

Reminders: •  $k$  field. ( $0 \neq 1$ )

- A ring has an identity 1
- Any ring homomorphism send  $1 \mapsto 1$
- Subring contains 1
- Only ideals of fields are  $(0)$ ,  $(1)$ . So any ring homomorphisms between fields are injective.
- The subfield generated by  $1 \in k$  is  $\{ \sum_{i=0}^n a_i 1^i \mid a_i \in k \}$
- $k[x]$  is a PID. ( $\Rightarrow$  UFD)
  - $f \in k[x] = (f) \Leftrightarrow f = \lambda g \quad (\lambda \in k^\times)$
  - $f \in k[x]$  irreducible ( $\Leftrightarrow$  prime)  
 $\Leftrightarrow f$  prime  $\Leftrightarrow f$  irreducible.

• Factor thm:  $f(x) = 0 \Leftrightarrow x - \alpha \mid f(x)$

Con: deg n poly has most n roots.

Def: A field extension is a ring homomorphism  $i: k \hookrightarrow K$  between fields.

denote  $k/k$

Remark: often  $i = \text{inclusion}$ .

•  $i$  induces an isomorphism  $k \xrightarrow{\sim} i(k) \leq K$ .

$K$  becomes a  $k$ -vector space. (using ring operations of  $K$ )

Let  $[K:k] := \dim_k(K)$  as vector space.

If  $[K:k] < \infty$ , say  $k/k$  a finite extension and degree  $[K:k]$

Def:  $K/k$   $\mathbb{L}/k$ , then a  $k$ -homomorphism  $K \rightarrow L$  is a ring homo  $\Psi: k \rightarrow L$

s.t.  $\Psi(x) = x, \forall x \in k$  (equivariantly,  $k$ -linear)

Also have  $k$ -isomorphism

Write: Hom<sub>K</sub>(K, L)

Suppose  $K/k$ ,  $\alpha \in K$ , consider evaluation homomorphism  $(ring)$

$ev_\alpha : k[x] \rightarrow K$  (Also  $k$ -linear)

$$f(x) \mapsto f(\alpha)$$

Image  $(ev_\alpha) = k[\alpha]$  (Smallest subring containing  $k$  and  $\alpha$ )

$\ker(ev_\alpha)$  principal

Case 1:  $\ker = 0 \Rightarrow ev_\alpha : k[x] \xrightarrow{\sim} k[\alpha] \subseteq K$ .

i.e. no polynomial makes  $P(\alpha) = 0$ , Transcendental.

e.g.  $t \in k(H)$

Case 2:  $\ker(ev_\alpha) \neq 0 \Rightarrow \exists$  monic polynomial  $m_{\alpha, k}(x) / m_{\alpha}(x)$ ,

s.t.  $\ker(ev_\alpha) = (m_{\alpha}(x))$

$$\Rightarrow k[x]/(m_{\alpha}(x)) \xrightarrow{\sim} k[\alpha] \subseteq K$$

$k[\alpha]$  domain  $\Rightarrow (m_{\alpha}(x))$  prime.

In this case,  $\alpha$  algebraic over  $k$ ,  $m_{\alpha}(x)$  minimal polynomial

(lowest degree)

Note: recall  $(m_{\alpha}(x))$  is non-zero prime  $\Rightarrow$  maximal.

$\Rightarrow k[\alpha] = k(\alpha)$  a field

We have  $[k(\alpha) : k] = \dim_k(k[x]/(m_{\alpha}(x))) = \deg(m_{\alpha}(x))$

$k(\alpha)$  has basis  $(1, \alpha, \dots, \alpha^{\deg - 1})$

E.g.:  $\alpha = i$ ,  $m_{\alpha, \mathbb{Q}}(\alpha) = x^2 + 1$ ,  $m_{\alpha, \mathbb{R}}(\alpha) = x - 1$

If  $\alpha$  is algebraic, we say  $k[x]/(m_{\alpha}(x))$  is a field extn of  $k$ .

$$k \hookrightarrow k[x] \twoheadrightarrow k[x]/(m_{\alpha}(x))$$

Also,  $k[x]/(f(x)) \xrightarrow{\sim} k(\alpha)$  is a  $k$ -isom

Conversely, given  $f(x)$  irre, let  $k_f = k[x]/(f(x))$  a field extn of  $k$ .

Lemma: (1)  $[k_f : k] = \deg(f)$

(2)  $\bar{x} \in k_f$  is a root of the irre. poly of  $f(x)$  and  $k_f = k(\bar{x})$

Pf: (1) As above.

(2) Write  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0$

$$\begin{aligned} f(\bar{x}) &= \bar{x}^n + \dots + a_0 \\ &= \overline{\bar{x}^n + \dots + a_0} = \overline{f(x)} = 0. \end{aligned}$$

Every element of  $k_f$  is of the form  $\sum_{i=0}^{\deg-1} b_i \bar{x}^i \in k(\bar{x})$ , so  $k_f = k(\bar{x})$

Cor: (Kronecker):

$\forall f(x) \in k[x]$   $\deg > 0$ ,  $\exists L/k$  s.t.  $f(x)$  has a root in  $L$ .

Pf: Take  $g/f$  irre, take  $k_g$ .

Prop: (Tower Law):

If  $L/k/k$ , then  $[L:k] = [L:k][k:k]$ .

Pf:  $\frac{L}{k}$  Say  $(\alpha_i)$  basis of  $L/k$   
 $\frac{k}{k}$   $(\beta_j)$  basis of  $k/k$ .

$\sqrt{L}$  is  $(\alpha_i \beta_j)$  basis of  $L/k$ .

① spans: Take  $x \in L$ ,

$$x = \sum \lambda_i \alpha_i, \quad \lambda_i \in k.$$

$$\begin{cases} \text{Say } \lambda_i = \sum m_{ij} \beta_j \quad m_{ij} \in k \\ x = \sum m_{ij} \alpha_i \beta_j. \end{cases}$$

② inv. inde: Say  $\sum c_i \alpha_i \beta_j = 0$

$$\Rightarrow \sum_{j=1}^n c_{ij} \beta_j = 0$$

$\in K \Rightarrow \forall i, \sum c_{ij} \beta_j = 0$

$$\Rightarrow c_{ij} = 0.$$

E.g.  $\sqrt{2} \notin \mathbb{Q}(\beta)$   $\forall n$  odd.

$\begin{array}{c} \mathbb{Q}(\beta) \\ | \\ \mathbb{Q}(\beta) \\ | \\ \mathbb{Q} \end{array}$   $\xrightarrow{n}$  contradiction.

- If  $[K:k]$  prime, then  $k \leq L \leq K \Rightarrow L=k$ .

Prop (Universal property of  $k_f$ )

Given any field extension  $k \hookrightarrow K$ , and any  $\alpha \in K$  s.t.  $f(\alpha) = 0$ .

There exists unique ring homomorphism  $k_f \xrightarrow{\psi} K$ , s.t.  
 $k \xrightarrow{k_f} k_f$  commutes, s.t.  $\psi(\bar{x}) = \alpha$ . (can extend  $\bar{x}$  uniquely from  $k$  to  $k_f$ )

Pf:  $\psi$  unique, as we know  $\psi(a) = a \quad \forall a \in k, \quad \psi(x) = \alpha$

$$\Rightarrow \psi\left(\overline{\sum a_i x^i}\right) = \sum a_i \alpha^i$$

$\psi$  exists: Consider ring homo  $\tilde{\psi} = ev_\alpha : k[x]/(f(x)) \rightarrow K$

s.t.  $\tilde{\psi}(a) = a, \forall a \in k$ .

As  $f(a) = 0, \tilde{\psi}(f(a)) = 0$ , so by the universal property

of the quotient ring, get ring homo  $\psi : k[x]/(f(x)) \rightarrow K$

$$\begin{array}{ccc} k_f & = & \frac{k[x]}{(f(x))} \\ \uparrow & \xrightarrow{\psi} & \nearrow \varphi \\ k[x] & & \end{array}$$

s.t.  $\psi$  commutes.

Then  $\psi(\bar{x}) = \alpha, \psi(a) = a \quad \forall a \in k$ .

Finite, algebraic field extensions.

Def:  $K/k$  is algebraic if  $\forall \alpha \in K, \alpha$  is algebraic over  $k$ .

Prop:  $\mathbb{K}/k$  finite  $\Rightarrow \mathbb{K}/k$  algebraic.

Pf: Take  $\alpha \in K$ , consider  $1, \alpha, \alpha^2, \dots$ , they will be linearly dependent eventually.

Notation:  $\mathbb{K}/k$ ,  $\alpha_i \in k$ ,  $k(\alpha_1, \dots, \alpha_n)$  the smallest subfield of  $K$  containing  $k, \alpha_i$ 's.

Def:  $\mathbb{K}/k$  is finitely generated if  $K = k(\alpha_1, \dots, \alpha_n)$

$\mathbb{K}/k$  is simple if  $K = k(\alpha)$

Prop:  $\mathbb{K}/k$  finite  $\Leftrightarrow$  algebraic + finitely generated

Pf: " $\Rightarrow$ "  $f_{\text{in}} \Rightarrow \text{alg}$   $\vee f_{\text{in}} \Rightarrow f.g.$  say  $\alpha_1, \dots, \alpha_n$  basis,  $K = k(\alpha_1, \dots, \alpha_n)$ .  
" $\Leftarrow$ "  $K = k(\alpha_1, \dots, \alpha_n)$ ,  $\alpha_i$  alg over  $k$ .

$$k \subseteq k(\alpha_1) \subseteq k(\alpha_1)(\alpha_2) \subseteq \dots \subseteq k(\alpha_1, \dots, \alpha_n) = K.$$

As  $\alpha_i$  algebraic, each layer is finite  $\Rightarrow \mathbb{K}/k$  finite.

Remark: If  $\alpha_1, \dots, \alpha_n$  algebraic /  $k$ ,  $\Rightarrow k(\alpha_1, \dots, \alpha_n)$  alg /  $k$ .

Prop: If  $\mathbb{L}/k$ ,

(i)  $\mathbb{L}/k$  finite  $\Leftrightarrow \mathbb{L}/k$ ,  $\mathbb{K}/k$  finite.

(ii)  $\mathbb{L}/k$  alg.  $\Leftrightarrow \mathbb{K}/k$  alg.

Pf: (i) Tower Law

(ii) " $\Rightarrow$ "  $\mathbb{K}/k$  alg, as  $k \subseteq L$ ,  $\mathbb{L}/k$  alg, as  $k[x] \subseteq L[x]$ .

" $\Leftarrow$ " Take  $\alpha \in L$ ,  $\Rightarrow \alpha$  alg over  $K$

so  $\alpha^n + \dots + a_1\alpha + a_0 = 0$ ,  $a_i \in K$ .

$\Rightarrow \alpha$  is alg. over  $K(a_0, \dots, a_{n-1})$

As  $a_i \in K$  algebraic,  $\Rightarrow k(a_0, \dots, a_{n-1})/k$  algebraic  $\stackrel{fg}{\Rightarrow}$  finite.

$\Rightarrow k(a_0, \dots, a_{n-1})(\alpha) \underset{\text{finite}}{|}$

$k(a_0, \dots, a_{n-1}) \underset{\text{finite}}{|}$

$\Rightarrow$  Total extension is finite  $\Rightarrow$  algebraic.

Algebraic closure:  $\forall k, \exists$  "max alg. ext"  $\bar{k}/k$ , unique up to isom.

Def: A field  $K$  is alg. closed if every irr poly in  $K[x]$  has deg 1

$\Leftrightarrow$  every non-constant poly has a root

$\Leftrightarrow$  every alg. ext of  $K$  is trivial.

E.g.:  $\mathbb{C}, \mathbb{Q}$

Def: An alg. closure of  $k$  is  $\bar{k}/k$  s.t. alg. + alg. closed.

( $\Leftrightarrow \bar{k}/k$  is maximal algebraic)

E.g.:  $\mathbb{Q}_{/\mathbb{R}}$

Thm:  $\forall k, \bar{k}$  exists.

Pf: Consider the polynomial ring with infinitely many variables.

$A := k[X_f : f \in k[x], \deg f > 0]$

Let  $I \triangleleft A$  generated by  $f(x_f), \forall f \deg f > 0$

Claim:  $I \neq A$  ( $\Leftrightarrow$  maximal ideal  $\Rightarrow I$ )

Pf: If not,  $1 \in I$ , i.e.  $1 = \sum_{i=1}^n a_i f_i(x_{f_i})$ ,  $a_i \in A$ ,  $f_i \deg f_i > 0$  distinct.

By Kronecker's Thm,  $\exists \bar{k}/k$  finite, s.t.  $\forall 1 \leq i \leq n, \exists \alpha_i \in \bar{k}, f_i(\alpha_i) = 0$ .

Evaluate at  $x_{f_i} = \alpha_i$ , then  $1 = 0$

So  $\exists$  maximal ideal  $m \triangleleft I$ ,  $m \supseteq I$ . (By correspondence thm,  $m \leftrightarrow \bar{m} \triangleleft \bar{A}/\bar{I}$ )

Let  $k_i = \bar{A}/\bar{m}_i$ . field.  $k \hookrightarrow \bar{A} \xrightarrow{\cong} \bar{A}/\bar{m} = k_i$

Note: Any  $f \in k_i[x]$  has a root  $\bar{x}_f$  in  $k_i$ .

Iterate:  $k \subseteq k_1 \subseteq k_2 \dots$  s.t.  $\nexists f \in k_i[x]$ ,  $f$  has a root in  $k_{i+1}$

Let  $k_\infty := \bigcup_{i=1}^{\infty} k_i$ .  $\nexists f \in k_\infty[x]$ ,  $\exists n$  s.t.  $f \in k_n[x]$

$\Rightarrow f$  has a root in  $k_{n+1} \subseteq k_\infty$ .

To finish, ② ways

① Lemma: If  $K/k$  alg. closed. Then  $K_{alg} := \{x \in K : x \text{ alg.}\}$  is an alg. closure of  $k$ .

Pf:  $K_{alg}$  a subfield. so an alg. ext<sup>n</sup> of  $k$ .

$K$  alg. closed: say  $f \in K_{alg}[x]$ ,  $\exists \alpha \in K$ ,  $f(\alpha) = 0$ .

$K_{alg}^{(2)}/K_{alg}/k$  is alg.  $\Rightarrow \alpha \in K_{alg}$ .

② Show  $k_i/k$  alg. ( $\Rightarrow k_\infty/k$  alg. by induction)

Point:  $k_i$  generated by the  $\bar{x}_f$ , each  $\bar{x}_f$  alg.

Fact:  $k_i = k_\infty$ .

Prop: 1) If  $K/k$  alg.,  $L/k$ , s.t.  $L$  is alg. closed, then  $\exists$   $k$ -homo.

$K \xrightarrow{\psi} L$ , s.t.  $\begin{matrix} K & \xrightarrow{\psi} & L \\ \nwarrow & & \uparrow \\ & k & \end{matrix}$

2) If  $K/k$ ,  $L/k$  alg. closures,  $\psi : |k| \rightarrow |L|$  is  $k$ -isom.

Pf: i) Use Zorn's Lemma.  $X = \{F, \theta\}, k \subseteq F \subseteq K, \begin{matrix} F & \xrightarrow{\theta} & L \\ \nwarrow & & \uparrow \\ & k & \end{matrix}$

①  $X \neq \emptyset$  since  $(k, k \rightarrow L) \in X$ .

Say  $(F_1, \theta_1) \leq (F_2, \theta_2)$  if  $F_1 \subseteq F_2$ ,  $\theta_1 = \theta_2|_{F_1}$

NT: any chain has upper bound.

If  $(F_i, \theta_i)$  is a chain (any 2 comparable), let  $F' = \bigcup_{i \in I} F_i$ ,

and  $\theta' : F' \rightarrow L$ ,  $x \mapsto \theta_i(x)$  if  $x \in F_i$ .

Check (as chain) that  $\theta$  is well-defined and is an upper bound.

By Zorn's Lemma,  $\exists$  maximal  $(F, \theta) \in X$

Claim:  $F = K$ .

Pf: If not, take  $\alpha \in K \setminus F$ , then  $F(\alpha)/F$  is alg.

Let  $m(x)$  be the min. poly. Let  $\beta$  be any root of

$\theta(m(x)) \in L[x]$  (exist, since  $L$  is alg. closed)

By universal property,  $F(\alpha) \cong F_{\text{ma}} \xrightarrow{\exists \psi} L$   
st.  $\psi(\alpha) = \beta$ . Then  $(F(\alpha), \psi) > (F, \theta)$

2)  $K/k, L/k$  alg. closings.

By 1),  $\xrightarrow{\text{alg}} k \xleftarrow{\text{alg}} L$   $\Rightarrow L/k$  algebraic  $\Rightarrow \psi$  isom.

Remark: If  $k \subseteq K \subseteq \bar{k}$ , then  $\bar{k} = \bar{K}$

## Splitting Fields:

Def:  $f(x) \in k[x]$ , deg  $> 0$ ,  $K/k$  field extn

Say  $f$  splits over  $K$  if  $f(x) = c \prod_{i=1}^n (x - \alpha_i)$ ,  $\alpha_i \in K$ .

Say  $K/k$  is a splitting field of  $f$  if  $f$  splits over  $K$  and  $K = k(\alpha_1, \dots, \alpha_n)$

Remark: • If  $K$  is a splitting field of  $f$  over  $k$ , then  $K$  is minimal  $\Leftrightarrow$  if  $K/L/k$ ,

then  $K = L$ .

• If  $L$  is a splitting field over  $k$ ,  $\exists! K \subseteq L$  st.  $K$  is a splitting field of  $f$  over  $k$ .

• A splitting field is always a finite extn. (thus  $\deg \leq n!$ )

Prop: If  $f(x) \in k[x]$ , deg  $> 0$ ,

1)  $f$  admits a splitting field over  $k$ .

2) Any 2 splitting fields are  $k$ -isom.

Pf: Let  $\bar{k}/k$ , then  $f$  splits over  $\bar{k}$ . So the splitting field exists by Rk

2) Say  $K_1/k, K_2/k$  splitting fields of  $f$

Consider  $\begin{matrix} K_1 & \xrightarrow{\psi} & K_2 \\ | & & | \\ k_1 & & k_2 \\ & \searrow & \\ & k' & \end{matrix}$  So  $\exists k\text{-isom } \bar{k}_1 \xrightarrow{\varphi} \bar{k}_2$

Observe: if  $k \leq L \leq \bar{k}_1$  s.t.  $f$  splits over  $L$ ,

$$\Rightarrow k \leq \varphi(L) \leq \bar{k}_1 \text{ s.t. } \dots \varphi(L)$$

As  $K_i$  are the unique minimal fields (in  $\bar{k}_i$ ) ✓

E.g.: Compute degree:  $\begin{matrix} \textcircled{1}(\mathbb{F}_2, \mathbb{Z}_3) \\ \textcircled{2} \\ \textcircled{3} \\ \textcircled{4}(\mathbb{F}_2) \\ \textcircled{5} \\ \textcircled{6}(\mathbb{Z}_2) \\ \textcircled{7} \\ \textcircled{8} \end{matrix}$

•  $x^3 - 3x + 1$ , 1) irre. 2)  $\{\alpha, 1 - \frac{1}{\alpha}, \frac{1}{1-\alpha}\}$  sets.

Def: Say  $K/k$  normal if  $\forall \alpha \in K, m_{\alpha, k}(x)$  splits over  $K$ .

E.g:  $\textcircled{1}(\mathbb{F}_2)/\textcircled{1}$  is not normal.

Thm: For  $K/k$  finite, TFAE

①  $K/k$  normal

②  $K/k$  is a splitting field of some  $f(x) \in k[x]$ .

③  $\forall k\text{-homo } \varphi: k \xrightarrow{\varphi} \bar{k}, \varphi(K) = K$ .

Pf: ①  $\Rightarrow$  ②:  $K/k$  finite  $\Rightarrow K = k(\alpha_1, \dots, \alpha_n)$

$$f(x) = \prod_{i=1}^n m_{\alpha_i, k}(x)$$

As  $K/k$  normal  $\Rightarrow f$  splits over  $k$ .

and, so ✓

②  $\Rightarrow$  ③:  $K$  splitting field of  $f$  over  $k$ .

Write  $f(x) = \prod_{i=1}^n (x - \alpha_i)$  over  $K$ .

Given  $\Psi: K \rightarrow \bar{K}$ ,  $\forall \alpha_i, \Psi(\Psi(\alpha_i)) = \Psi(f(\alpha_i)) = 0$

$$\Leftrightarrow \{\alpha_1, \dots, \alpha_n\} \xleftrightarrow{b_j} \{\Psi(\alpha_1), \dots, \Psi(\alpha_n)\}$$

$$\Rightarrow \Psi(K) = k(\Psi(\alpha_1), \dots, \Psi(\alpha_n)) = k(\alpha_1, \dots, \alpha_n) = K$$

③  $\Rightarrow$  ① - Suppose  $\alpha \in K$ , Write  $m_\alpha(x) = \prod_{i=1}^n (x - \alpha_i)$ ,  $\alpha_i = \alpha$  over  $\bar{K}$

$\forall i$ , there  $k$ -isom,  $k(\alpha_i) \cong k_{m_\alpha} = \frac{k[x]}{m_\alpha(x)}$

$$\begin{array}{ccc} K & \xrightarrow{\psi} & \bar{K} \\ \text{alg} \uparrow & \cong \uparrow & \uparrow \\ k(\alpha) & \xrightarrow{\sim} & k(\alpha_i) \end{array}$$

$\Psi$  exists by earlier prop.

In particular,  $\Psi: K \rightarrow \bar{K}$  a  $k$ -homo.

$$\stackrel{(3)}{\Rightarrow} \Psi(K) = K \Rightarrow \Psi(\alpha) = \alpha \in K \Rightarrow \text{①}$$

Lemma:  $L/K/k$ ,  $L/k$  normal  $\Rightarrow L/k$  normal ( $K/k$  may not be)

(If  $L/k$  normal,  $K/k$  normal,  $\nRightarrow L/k$  normal)

$\begin{matrix} \text{① } (\text{if } 2) \\ | \\ \text{② } (\text{if } 2) \\ \downarrow \end{matrix}$

Pf: Use ③.

Let  $\Psi: L \rightarrow \bar{L}$  a  $K$ -homo,

$\Rightarrow \Psi$  also a  $k$ -homo  $\Rightarrow \Psi(L) = L \Rightarrow L/k$  normal.

Def: Given  $K/k$  algebraic, then a **normal closure** is an

algebraic extension  $N/k$  s.t.

①  $N/k$  normal ② If  $N \supseteq M \supseteq K$  normal, then  $N=M$ .

Prop: If  $K/k$  finite, then  $N$  uniquely exists up to  $K$ -isomorphism

and also finite.

Pf: Let  $K = k(\alpha_1, \dots, \alpha_n)$ . Let  $f(x) = \prod_{i=1}^n m_{\alpha_i, k}(x) \in k[x]$

Claim:  $N/k$  is a normal closure of  $K/k$

$\Leftrightarrow N$  is a splitting field of  $f(x)$  over  $K$ .

Observe: ① If  $N/k$ ,  $M/k$  normal, then  $f$  splits over  $M$ .

$\Rightarrow M$  contains a splitting field of  $f$  over  $K$ .

② If  $S$  a splitting field of  $K$ , then also

a splitting field of  $k$ , so  $S/k$  normal.

(Reason:  $S = K(\text{roots of } f) = k(\text{roots of } f)$  since  $\text{disc}$  are "some" roots)

Pf claim: If  $N/k$  normal closure, then  $N$  contains the splitting field,  $S$ , of  $f$  over  $K$ , by ①.

$$N/S/K/k \xrightarrow{\text{normal by ①}} N=S$$

" $\Leftarrow$ " If  $S$  a splitting field of  $f$  over  $K$ , then  $S$  is normal

over  $k$  by ②.

If  $S/N/k/k$ , then  $f$  splits over  $N$  by ①. So  $S=N$

by minimality of splitting field.

## Separable Extension

Def:  $K/k$  alg.  $\alpha$  is separable over  $k$  if  $m_{\alpha}(x)$  has no repeated roots.

Say  $K/k$  is separable if  $\forall \alpha \in K$  is separable

$$\text{Ex: } K = k(t^{\frac{1}{p}}) = \mathbb{F}_p(t^{\frac{1}{p}})$$

$$k = \mathbb{F}_p(t)$$

Let  $\alpha = t^{\frac{1}{p}}$  a root of  $x^p - t = 0$ , irreducible by Eisenstein.

$$\text{Over } k, x^p - t = (x - t^{\frac{1}{p}})^p$$

Lemma: If  $\mathbb{F}/\mathbb{k}$ ,  $\mathbb{k}$  separable, then  $\mathbb{F}/\mathbb{k}$  and  $\mathbb{k}/\mathbb{k}$  separable.

Pf:  $m_{\alpha, k} | m_{\alpha, k}$

Lemma:  $f(x) \in k[x]$  has repeated roots  $\Leftrightarrow \gcd(f, f') \neq 1$

$$(\text{If } f = \sum a_i x^i, \quad f' = \sum i a_i x^{i-1})$$

Check:  $(f+cg)' = f' + cg'$ ,  $(fg)' = fg' + fg$

Pf:  $f(x) = C \prod (x - \alpha_i)$ ,  $\alpha_i \in \bar{k}$

$$\Rightarrow f'(x) = C \sum_i \prod_{j \neq i} (x - \alpha_j)$$

$$\Rightarrow f'(\alpha_k) = C \prod_{j \neq k} (\alpha_j - \alpha_k)$$

So  $f$  has repeated root  $\alpha_k$

$$\Leftrightarrow f'(\alpha_k) = 0$$

$\Leftrightarrow f, f'$  have common root  $\alpha_k$ .

$\Leftrightarrow x - \alpha_k | f, f'$  over  $\bar{k}[x]$

$\Leftrightarrow m_{\alpha, k}(x) | f, f'$

So  $f$  repeated root  $\Leftrightarrow \gcd(f, f') \neq 1$

Cor: If  $f \in k[x]$  irne, then  $f$  has repeated roots  $\Leftrightarrow f' = 0$ .

Pf: repeated roots  $\Leftrightarrow \gcd \neq 1$  but  $\deg(f') < \deg(f)$

$$\Leftrightarrow f' = 0$$

Lemma: If  $\text{char } k = 0$ , then any alg. extension  $\mathbb{k}/k$  is separable.

Pf:  $\forall \alpha \in \mathbb{k}, m_{\alpha, k}(x) = x^n + \dots, \quad m'_{\alpha, k}(x) = nx^{n-1} + \dots \neq 0$  in  $k[x]$ .

Lemma: If  $\text{char } k = p$ ,  $\forall f(x) \in k[x]$  irne, then  $\exists r > 0$ ,

irne  $g(x)$  with no repeated roots, s.t.  $f(x) = g(x^p)^r$

Moreover,  $f(x)$  has precisely  $\deg(g)$  distinct roots in  $\bar{k}$ ,

each has multiplicity  $p^r$ .

Pf: If  $f$  has no repeated roots, done. ( $r=0$ ,  $g=f$ )

Otherwise, by Cor,  $f'(x)=0 \Rightarrow f(x) = \sum i a_i x^i = 0$

$$\Leftrightarrow \forall i, i a_i = 0$$

$$\Leftrightarrow a_i = 0 \text{ or } p | i, \forall i$$

$$\Leftrightarrow f(x) = f_1(x^p) \text{ for some } f_1(x) = \sum a_i x^i$$

Iterate,

$$\text{Write } g = \prod (x - \alpha_i) \Rightarrow f(x) = \prod (x^{p^r} - \alpha_i)$$

$$= \prod (x - \alpha_i^{\frac{1}{p^r}})^{p^r}$$

Def: A field  $k$  is perfect if any  $k/k$  alg. is separable.

$$k \rightarrow k.$$

Def: char  $k = p > 0$ , Frobenius homo  $\Psi: x \rightarrow x^p$  ring homo.

Prop: If  $\text{char } k = p$ ,  $k$  perfect  $\Leftrightarrow$  Frobenius homo  $\Psi: k \rightarrow k$ .

is surjective (bijective)

Pf:  $k$  perfect  $\Leftrightarrow$  every irre  $f(x) \in k[x]$  has no repeated roots.

If  $\Psi$  not surj = Say  $f$  irre in  $k[x]$ ,  $\Rightarrow f(x) = g(x^{p^r})$

$$\begin{aligned} g(x) &= \sum b_i x^i \Rightarrow f(x) = \sum b_i x^{ip^r} \\ &\Rightarrow f(x) = \sum \left( b_i^{\frac{1}{p^r}} x \right)^{p^r} \end{aligned}$$

$$= \left( \sum b_i^{\frac{1}{p^r}} x \right)^{p^r}$$

redundant if  $r \neq 0$

so  $r=0$ ,  $f=g$ ,  $f$  has no repeated roots.

If  $\Psi$  not surj, Take  $\alpha \notin \Psi(k)$ , i.e.,  $\alpha \in k \setminus k^p$

The minimal poly of  $\alpha^{\frac{1}{p}} \in \bar{k} \setminus k$  divides  $(x^p - \alpha) \in k[x]$ .

$$x^p - \alpha = (x - \alpha^{\frac{1}{p}})^p \text{ in } \bar{k}[x],$$

If  $k$  perfect,  $m_{\alpha^{\frac{1}{p}}, k}(x) = x - \alpha^{\frac{1}{p}} \notin k[x]$ , contradiction.

E.g. in  $k = \mathbb{F}_{p^{1+t}}$ ,  $t \in \Psi(k)$

Cor: finite fields are perfect

Pf:  $\Psi$  injective.

Def:  $k/k$  alg.  $[k:k]_c := |\text{Hom}_k(k, \bar{k})|$  the separable degree.

Prop: If  $\alpha$  alg /  $k$ ,  $[k(\alpha):k]_s = \begin{cases} [k(\alpha):k] & \text{if } \text{char } k = 0 \\ p^r [k(\alpha):k] & \text{if } f(x) = g(x^{p^r}) \end{cases}$

In particular,  $[k(\alpha):k]_s | [k(\alpha):k]$ , equal  $\Leftrightarrow \alpha$  separable

Pf:  $k(\alpha) \cong k_f$ , by universal property of  $k_f$ ,

$$|\text{Hom}_k(k(\alpha), \bar{k})| \longleftrightarrow \{ \text{roots of } m_{\alpha, k}(x) \text{ in } \bar{k} \}$$

$$\Psi \leftrightarrow \psi(\alpha)$$

$$\begin{aligned} \text{Then } [k(\alpha):k]_s &= \left| \left\{ \text{roots of } m_{\alpha, k}(x) \text{ in } \bar{k} \right\} \right| \\ &= \begin{cases} \deg m_{\alpha, k}(x) & \text{if } \text{char } k = 0 \\ \deg g = \frac{\deg m_{\alpha, k}(x)}{p^r} & \text{if } \text{char } k = p \end{cases} \quad \square \end{aligned}$$

Prop: If  $L/k/k$  finite, then  $[L:k]_s = [L:k]_s [K:k]_s$ .

Pf:  $\text{N} \rightarrow \text{Hom}_k(L, \bar{k}) \cong \text{Hom}_k(L, \bar{k}) \oplus \text{Hom}_k(K, \bar{k})$

Extend  $\sigma_i$ :  $\begin{array}{ccc} L & \xrightarrow{\sigma_i} & \bar{k} \\ \uparrow \text{alg} & \uparrow \text{id} & \downarrow \text{id} \\ K & \xrightarrow{\sigma_i} & I \\ & \searrow & \swarrow \\ & R & \end{array}$

By uniqueness of alg. closure,  $\tilde{\sigma}$  is an isom.

$\nexists \psi \in \text{LHS}$ , Then  $\psi|_k = \sigma_i$  for some  $i$ .

$\tilde{G}_i^{\circ} \psi$  |<sub>K = induction from K → I</sub>

$$\Rightarrow \tilde{G}_{i_0}^{-1}\psi \in \text{Hom}_k(L, I) \Rightarrow \tilde{G}_{i_0}^{-1}\psi = T_j, \text{ some } j.$$

$$\text{So } \psi = \tilde{\phi}_i \circ \tau_j.$$

Remains to show:  $\tilde{\sigma}_i \circ T_j = \tilde{\sigma}_i \circ T_m \Rightarrow i=l, j=m$ .

Restrict to  $k = \tilde{\sigma}_i|_k = \tilde{\sigma}_l|_k \Rightarrow \sigma_i = \sigma_l$ .

$$\Rightarrow T_j = T_m \Rightarrow j = m.$$

Prop: If  $K/k$  finite,

$$\text{① } \mathbb{E}[K=k] = \begin{cases} \Pr[K=k] & \text{if } \text{char } k = 0 \\ \Pr[K=k] & \text{if } \text{char } k = p, \text{ for some } r. \end{cases}$$

$$\textcircled{2} \quad [k = k]_S = [k = k] \Leftrightarrow k/k \text{ separable.}$$

$$\underline{\underline{Pf}} \quad (1) = \frac{k = kd_1, \dots, dn}{kd_1, \dots, d_{n-1}}$$

② " $\in$ " : each  $k(x_1, \dots, x_n)/k(x_1, \dots, x_{n-1})$  separable ✓

$\Rightarrow$ :  $\forall \alpha \in K$ , consider

$$\text{So } [k(\omega) = k]_S = [k(\omega) = k].$$

Cor:  $L/k/k$  finite,  $L/k/k$  separable  $\Leftrightarrow L/k, k/k$  separable

Rf:  $\Sigma$  + Tower law.

Prop: (Primitive element thm)

If  $K/k$  finite + separable, then  $K = k(\alpha)$  for some  $\alpha \in K$ .

Remark: Fails if inseparable.

Pf: Case 1: If  $k$  finite, then  $K$  finite.

$\Rightarrow K^+$  is cyclic =  $\langle \alpha \rangle$ .

So  $|K| = k(\alpha)$

Case 2:  $K = k(\alpha_1, \dots, \alpha_n)$ . WLOG  $K = k(\alpha, \beta)$

If  $k$  is infinite,  $[K:k]_S = [K:k] = n$

Write  $\text{Hom}_k(K, \bar{k}) = \{\sigma_1, \dots, \sigma_n\}$

Trick: Let  $f(x) = \prod_{i,j} ((\sigma_i(\alpha) - \sigma_j(\alpha)) + (\sigma_i(\beta) - \sigma_j(\beta))x)$

$$((\sigma_i(\alpha) - \sigma_j(\alpha)) + (\sigma_i(\beta) - \sigma_j(\beta))x) \neq 0$$

if  $= 0$ , then  $\sigma_i(\alpha) = \sigma_j(\alpha)$ ,  $\sigma_i(\beta) = \sigma_j(\beta)$ , then  $\sigma_i = \sigma_j$ .

So  $f \neq 0 \Rightarrow \exists c \in k, f(c) = 0$ . (Since  $k$  infinite)

$$\Rightarrow ((\sigma_i(\alpha) - \sigma_j(\alpha)) + (\sigma_i(\beta) - \sigma_j(\beta))c) \neq 0$$

$$\Rightarrow \sigma_i(\alpha + c\beta) \neq \sigma_j(\alpha + c\beta) \quad (\text{Since } c \in k)$$

$\text{Hom}_k(k(\alpha + c\beta), \bar{k})$  has  $\geq n$  elements.

So  $[K:k] \geq [k(\alpha + c\beta):k] \geq [k(\alpha + c\beta):k]_S \geq n$ .

## Galois Theorem

Def:  $K/k$  is Galois if Normal + Separable.

Remark: If  $L/k$  Galois, then  $L/K$  Galois.

Def: For  $K/k$ , define  $\text{Aut}_k(K) = \text{Isom}_k(K, k)$

For  $K/k$  Galois,  $\text{Gal}(K) = \text{Aut}_k(K) = \text{Isom}_k(K, k)$

Prop: If  $K/k$  finite, then  $|\text{Aut}(K)| \leq [K:k]$ . with equality

iff  $K/k$  Galois.

Pf: Note  $\text{Aut}_k(K) = \text{Isom}_k(k, K) \leq \text{Hom}_k(K, \bar{k})$

$$\Rightarrow |\text{Aut}_k(K)| \leq |\text{Hom}_k(K, \bar{k})| = [K:k]_s \leq [K:k].$$

Normal                      Separable

Con: If  $K/k$  finite Galois, then  $|\text{Gal}(K/k)| = [K:k]$ .

Def: An intermediate field of  $K/k$  is  $L$ , s.t.  $k \leq L \leq K$ .

Galois Correspondence: Fix  $K/k$  Galois.

$$\{\text{Intermediate fields}\} \xrightleftharpoons[\oplus]{\theta} \{\text{Subgroups of } \text{Gal}(K)\}$$

$$F \longmapsto \text{Gal}(K/F) = \{g \in \text{Gal} \mid g(F) = F \text{ pointwise}\}.$$

$$\{\alpha \in K \mid h(\alpha) = \alpha \ \forall h \in H\} = K^H \longleftarrow H$$

$\text{Gal} = \theta, \underline{\theta}$  are inverse bijections.

Basic observation:

①  $\theta, \underline{\theta}$  are order-reversing, i.e.

$$F_1 \subset F_2 \Rightarrow \theta(F_1) \geq \theta(F_2)$$

$$\underline{\theta}(H_1) \supseteq \underline{\theta}(H_2) \Leftrightarrow H_1 \leq H_2$$

$$\textcircled{2} \quad \underline{\theta}(\theta(F)) = K^{\text{Gal}(K/F)} \supseteq F$$

$$\theta(\underline{\theta}(H)) = \text{Gal}(K/K^H) = H$$

$$\textcircled{3} = \textcircled{1} + \textcircled{2} \Rightarrow \theta(\underline{\theta}(\theta(F))) = \theta(F),$$

$$\underline{\theta}(\theta(\underline{\theta}(H))) = \underline{\theta}(H).$$

④ If  $F$  is any intermediate field, then  $K/F$  is Galois

$$\Rightarrow |\text{Gal}(K/F)| = [K:F]$$

$$\frac{||}{|\theta(F)|}$$

$$\textcircled{5} \text{ Apply 3) + 4), } \quad |\theta(\underline{\theta}(\theta(F)))| = |\theta(F)|$$

$$[k : \mathbb{E}(\theta(F))] = [k : F]$$

$$\begin{array}{c} k \\ \mathbb{E}(\theta(F)) \\ \downarrow \text{by } \hookrightarrow \\ F \\ k \end{array} \Rightarrow \mathbb{E}(\theta(F)) = F$$

some degree.

In particular,  $\theta$  is injective.

Cor: A finite Galois extension has only finitely many intermediate fields.

Remark: Same is true for any finite separable extension (idea: Consider the normal closure).

Fails for inseparable extensions.

Prop: Suppose  $K$  is any field,  $G$  any finite subgroup of field automorphisms of  $K$ , i.e.,  $G \leq \text{Aut}(K)$

Then  $K/K^G$  is finite Galois with  $\text{Gal}(K/K^G) = G$ .

Pf: Next time.

Cor: If  $K/k$  finite Galois, then  $\theta \cdot \mathbb{E} = \text{id}$ . i.e.,  $\text{Gal}(K/k^H) = H$ ,

$$\forall H \leq \text{Gal}(K/k)$$

Thm: (Fundamental Thm of Galois Theory):

$K/k$  finite Galois.

(1)  $\theta, \mathbb{E}$  are inverse + order-reversing bijections.

$$\left\{ \begin{array}{l} \text{int. fields} \\ \text{of } K/k \end{array} \right\} \leftrightarrow \left\{ \begin{array}{l} \text{subgroups of } \\ \text{Gal}(K/k) \end{array} \right\}.$$

(2) If  $F \longleftrightarrow H$  (in (1)),

then  $[k:F] = |H|$

(3) Also, if  $F \leftrightarrow H$  (in (1))

$F/k$  normal  $\Leftrightarrow H \trianglelefteq \text{Gal}(k/F)$

$$\begin{array}{c} k \\ \downarrow \\ F \leftrightarrow \underset{\text{Gal}(k/F)}{\underset{\text{Gal}(k/k)}{\trianglelefteq}} H \end{array}$$

Moreover, in that case,  $\text{Gal}(F/k) \cong \text{Gal}(k/k)/H$

$k/k$  finite

$\text{Gal}(k/k)$ .  
in general

Galois if normal + sep  $\Leftrightarrow |\text{Aut}_k(k)| = [k:k]$

Thm above

$$\begin{array}{c} \text{Definition} \quad k \leftrightarrow \mid \\ \text{galois} \quad \mid \leftrightarrow \mid \\ \mid \quad \mid \\ F \leftrightarrow H \\ \mid \quad \mid \\ k \leftrightarrow G \end{array} \text{order-reversing.}$$

Remark/exercise: • If  $\frac{F_1}{F_2} \leftrightarrow \frac{H_1}{H_2}$ , then  $F_1/F_2$  is normal  
 $\Leftrightarrow H_1 \trianglelefteq H_2$ , and  $\text{Gal}(F_1/F_2) \cong H_2/H_1$

In general,  $[F_1 : F_2] = (H_2 : H_1)$

• If  $F_1, F_2$  are intermediate fields,  $F_i \leftrightarrow H_i$ ,

then  $F_1 \cap F_2 \leftrightarrow \langle H_1, H_2 \rangle$

Point:  $\oplus, \ominus$  are order reversing

Recall: Showed  $\oplus \circ \oplus = \text{id}$

For  $\Theta \circ \Theta = \text{id}$ ,

Prop:  $k$  field,  $G \leq \text{Aut}(k)$ , then  $\overset{\text{finite}}{k}/\overset{\text{fixed by } G}{k^G}$  is galois with galois

group  $\text{Gal}(k/k^G) = G$ .

Pf: Note that  $G$  acts on  $k$  (as a set)

$$\left( \begin{array}{l} \text{acting} \\ G \times X \\ \hookrightarrow G \rightarrow S_X \\ \text{Aut } k \leq S_k \end{array} \right)$$

*NTG* normal/separable.

Pick  $\alpha \in K$ . Let  $f(x) = \prod_{\beta \in \text{Orb}(\alpha)} (x - \beta) \in K[x]$ .

Claim:  $f(x) \in K^G[x]$ . acting on every coefficient.

Pf: Enough to show  $\sigma(f(x)) = f(x) \quad \forall \sigma \in G$

$$\prod_{\beta \in \text{Orb}(\alpha)} (x - \sigma(\beta)) \stackrel{\text{permutation roots}}{\equiv} \prod_{\beta \in \text{Orb}(\alpha)} (x - \beta) = f(x)$$

~~Claim~~:  $f(x)$  is the minimal polynomial over  $K^G$ .

$$\textcircled{1} \quad m_\alpha(x) \mid f(x) \quad f(\alpha) = 0 \quad \text{and} \quad f(x) \in K^G[x].$$

$$\textcircled{2} \quad f(x) \mid m_\alpha(x) \quad \text{since } x - \alpha \mid m_\alpha(x) \text{ in } K[x]$$

$$\Rightarrow x - \sigma(\alpha) \mid \sigma(m_\alpha(x)) = m_\alpha(x)$$

$$\Rightarrow \prod_{\sigma \in G} (x - \sigma(\alpha)) = f(x) \mid m_\alpha(x)$$

no repeated roots

Claim shows  $\alpha$  is normal + separable /  $K^G$ . (Hence K)

So  $K/K^G$  is Galois.

and  $[K^G(\alpha) : K^G] = |\text{Gal}(F/\alpha)| \leq |G|$ , but don't know

To see  $K/K^G$  finite,

Pick  $F/F/K^G$  Finite with  $[F : K^G]$  maximal.

$F$  exists because  $F/K^G$  finite  $\Rightarrow [F : K^G] \leq |G|$ .

If  $F \neq K$ , then  $\exists \beta \in K \setminus F$ ,

$F(\beta) \supseteq F \supset K^G$   
finite  $\hookrightarrow$  contradiction.

finite because  $F \neq K$ .

So  $F = K$ , i.e.,  $K/K^G$  finite.

Now  $G \leq \text{Aut}(K/K^G) \leq \text{Aut}(K)$

$\leq |G|$  (above)

$\Rightarrow G = \text{Gal}(K/K^G)$

Cor: If  $K/k$  finite Galois, then  $m_{\alpha}(x) = \prod_{\beta \in G} (x - \beta)$

Pf of FTGT: (1) done

(2) if  $F \hookrightarrow H$ , then  $H = \text{Gal}(K/F) \Rightarrow |H| = [k:F]$

(3)

Lemma: If  $F$  is an intermediate field, then  $F/k$  Galois (normal)

$$\Leftrightarrow \sigma(F) = F, \forall \sigma \in G.$$

Remark:  $\sigma: k \hookrightarrow k$ , so  $\sigma(F)$  is another intermediate field.

Pf: " $\Rightarrow$ "

If  $F/k$  normal, then pick  $\alpha \in F$  and  $\sigma \in G$

Ets:  $\sigma(\alpha) \in F$

Then  $m_{\alpha, k}(x)$  splits  $/F$ , and  $m_{\alpha, k}(\alpha) = 0$

$$\Rightarrow \sigma(m_{\alpha, k}(\alpha)) = m_{\sigma(\alpha), k}(\sigma(\alpha)) = 0$$

" $\Leftarrow$ "

If  $\sigma(F) = F$

group homo  $\begin{cases} G \rightarrow \text{Aut}(F) \\ \sigma \mapsto \sigma|_F \end{cases}$

with kernel  $\text{Gal}(K/F) = H \trianglelefteq G$ .

So  $G/H \leq \text{Aut}(F)$

by prop earlier,  $\frac{F}{F^{(G/H)}}$  is finite Galois with Galois group  $G/H$ .  
 $= F^G = k$  (As  $k^G = k$ )

$$x \in F, h(x) = h.$$

Pf of (3):  $F \hookrightarrow H$  as in (1)

$$\text{shot } (\sigma(x)) = \sigma(h(x)) = \sigma(x)$$

Observe:  $\sigma(F) \hookrightarrow \sigma H \sigma^{-1}$  (for  $\sigma \in G$ )

Then  $F/k$  normal  $\Leftrightarrow \sigma(F) = F \quad \forall \sigma \in G$

$$\Leftrightarrow H = gHg^{-1} \quad \forall g \in G.$$

$\Leftrightarrow H \triangleleft G$ .

In that case,  $G \longrightarrow \text{Gal}(\mathbb{F}/k)$

Gal( $\mathbb{K}/k$ )

$\sigma \mapsto \sigma|_F$  well-defined because  $\sigma(F) = F + \text{group homs.}$

$$\text{Kernel} = \text{Gal}(K/F) = H \triangleleft G.$$

$$\Rightarrow G/H \cong \text{Im}(\psi) \leqslant \text{Gal}(F/\mathbb{K})$$

$$\text{Gal}(\mathbb{F}/k) = [\mathbb{F}:k] = \frac{[K:k]}{[K:\mathbb{F}]} = \frac{|G|}{|\mathbb{H}|} \quad \text{so } \psi \text{ is iso}$$

Example:  $K = \mathbb{Q}_{x_2} = \mathbb{Q}(\sqrt[4]{2}, i)$

$$\begin{array}{c} k \\ \times \\ Q(\sqrt{2}) \\ \hline 0 \end{array} \quad )^2$$

$\downarrow$   $K/\mathbb{Q}$  Galois (as separable + char = 0)

Compute Gal group:  $|G|=8$

$\sigma \in G \Rightarrow \sigma(i) = \pm i$  as root of  $x^2 + 1$

$$G(\sqrt[4]{2}) \in \{ i^k \sqrt[4]{2} \} \text{ as root of } x^4 - 2.$$

6 is determined by values on generators.  $\Rightarrow |G| \leq 8$

So all in G

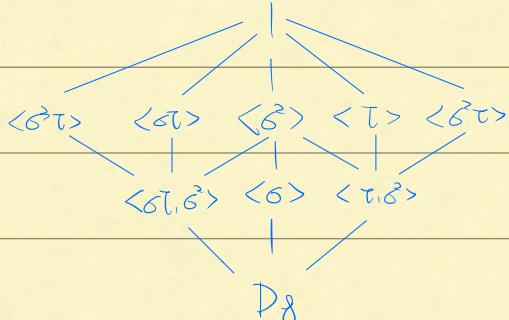
$$\Rightarrow \text{jet} \quad \begin{cases} \sigma(\sqrt[4]{2}) = \sqrt[4]{2} \\ \sigma(i) = i \end{cases} \quad \text{order 4}$$

$$T(\sqrt[4]{2}) = \sqrt[4]{2} \\ T(i) = -i \quad \text{order 2.}$$

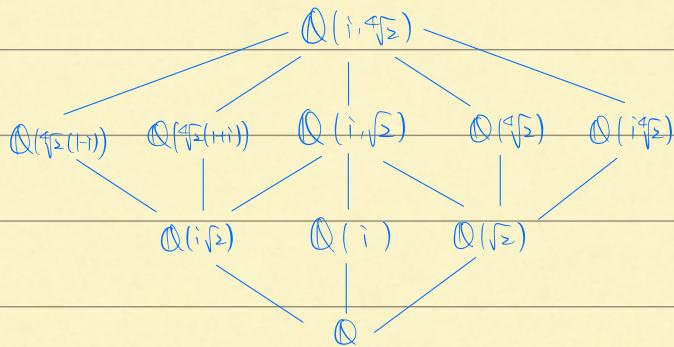
$\sigma\tau = \tau\zeta^{-1}$ ,  $\zeta^4, \tau^2 = 1$ . So get D8.

(and  $G = \langle G, T \rangle$ ).

## Subgroup lattice:



## Intermediate field:



## Finite fields:

If  $k$  a finite field, prime subfield  $\mathbb{F}_p$  (some prime)

$$\Rightarrow |k| = p^r, \quad r = \dim_{\mathbb{F}_p}(k) = [k : \mathbb{F}_p].$$

Prop:  $q = p^r = |k|$ ,  $\forall n \geq 1$ ,  $\exists$  unique (up to  $k$ -isom) extension  
of degree  $n$ .

Then  $|K| = q^n$ ,  $\text{Gal}(K/k) = \langle \psi^r : x \mapsto x^q \rangle$  is cyclic

order  $n$ .

Pf: Suppose  $K/k$  such an extension, ( $\Rightarrow |K| = q^n$ )

Then  $K^\times$  cyclic of order  $q^n - 1$ , i.e.,  $\alpha^{q^n-1} = 1 \Leftrightarrow \alpha^q = \alpha, \forall \alpha$ .

$\Rightarrow f(x) = \prod_{\alpha \in K} (x - \alpha) = \prod_{\alpha \in K} (x - \alpha^q)$  so  $K$  is the splitting field of  $f(x)$  over  $k$ .

So  $K$  normal, unique up to  $k$ -isom.

$K/k$  separable since  $f(x)$  separable

$\Rightarrow K/k$  Galois of deg  $n$ .  $\Rightarrow |\text{Gal}(K/k)| = n$ .

Claim:  $\psi^r \in \text{Gal}(K/k)$  and has deg  $n$ .

Pf: ①  $\psi^r : x \mapsto x^q$  fix  $\forall x \in k$  since  $x^q = x \quad \forall x \in k$ .

② Suppose  $(\psi^r)^i = \text{id}$  on  $K$ ,

$$\Leftrightarrow \alpha \mapsto \alpha^{q^i} = \alpha, \quad \forall \alpha \in K, \quad i \geq n.$$

remain to show existence.

Fix  $n$ . Let  $K$  = splitting field of  $x^{q^n} - x$  over  $k$

Note:  $K$  is also the splitting field of  $x^q - x$  over  $\mathbb{F}_p$ .

Let  $k' = \{\alpha \in K : \alpha^{q^n} = \alpha \Leftrightarrow \psi^n(\alpha) = \alpha\}$

(Since  $K$  split fld, smaltest)

As  $\psi$  a ring homo,  $k'$  is a subfield of  $K$ , so  $k' = K$ .

$|k'| = q^n$  as  $f'(x) = -1$ ,  $\checkmark$

Cor:  $\forall n \geq 1$ , there is a unique field of size  $q = p^n$   
up to isom.

E.g.: ①  $\mathbb{F}[x]/(x^2 + x + 1) = \mathbb{F}_4$

②  $\overline{\mathbb{F}_p} = \bigcup_{n \geq 1} \mathbb{F}_{p^n}$

Solvability by radicals (Assume char  $k = 0$ )

Idea:  $f = k[x]$  is solvable by radicals if its roots can be

obtained from  $k$  using field operations and  $\sqrt[n]{\cdot}$

Def: A finite extension  $K/k$  is radical if

$$\exists k = k_0 \subset k_1 \subset \dots \subset k_r \text{ s.t. } k_{i+1} = k_i(\alpha_i), \alpha_i^{n_i} \in k_i,$$

and  $K \subseteq k_r$ .

So  $f$  is solvable by radicals if  $\exists k/k$  radical s.t.

$f$  splits over  $k$ .

Goal:  $f$  is solvable by radicals  $\Leftrightarrow \text{Gal}(K/k)$  solvable.

Recall:  $G$  solvable if  $\exists 1 = G_0 \trianglelefteq G_1 \trianglelefteq \dots \trianglelefteq G_n = G$

$G/G_{n-1}$  abelian  $\Leftrightarrow$  cyclic.

Def:  $K/k$  is abelian/cyclic if  $K/k$  Galois and  $\text{Gal}(K/k)$  is abelian/cyclic

Thm-1 lemma.

Thm: If  $K/k$  finite Galois.

$K/k$  radical  $\Leftrightarrow \text{Gal}(K/k)$  solvable.

Lemma: Let  $K$  be the splitting field of  $x^{n-1}$  over  $k$ ,

then  $K/k$  abelian.

Pf:  $K/k$  Galois.  $f(x) = x^{n-1}$ ,  $f'(x) = nx^{n-1} \Rightarrow f$  no repeated roots.

$\Rightarrow |\mu_n(k)| = n$ , say  $\langle \zeta_n \rangle = \langle \zeta_n \rangle$ .

For  $\sigma \in \text{Gal}(K/k)$ ,  $\sigma(\zeta_n)$  is still a primitive root, so

$\sigma(\zeta_n) = \zeta_n^{\theta(\sigma)}$ , where  $\theta(\sigma) \in (\mathbb{Z}_{n-1})^\times$

Then note:  $\theta: \text{Gal}(K/k) \rightarrow (\mathbb{Z}_{n-1})^\times$  is a group homomorphism.

And  $\theta$  is injective, so  $K = k(\zeta_n)$ .

$\Rightarrow \text{Gal}(K/k) \leq (\mathbb{Z}_{n-1})^\times$  abelian.

Lemma: Suppose  $\zeta_n \in k$  ( $\Leftrightarrow |\mu_n(k)| = n$ )

If  $K = k(\zeta)$ ,  $\zeta^n \in k$ , then  $K/k$  cyclic.

Pf: If  $\zeta \neq 0$ ,  $K/k$  is splitting field of  $x^n - \zeta^n$ .

$\Rightarrow K/k$  is normal, so Galois.

If  $\sigma \in \text{Gal}(K/k)$ ,  $\sigma(\zeta) = \zeta^{\psi(\sigma)}$ , some  $\psi(\sigma) \in \mathbb{Z}_{n-1}$ .

Cheek:  $\psi: \text{Gal}(K/k) \rightarrow \mathbb{Z}_{n-1}$  is an <sup>as before</sup> injective group homo.

$$2 \cdot \zeta_n^{\psi(\sigma)} = \sigma(\zeta(\zeta)) = \sigma(\zeta^{\psi(\sigma)}) = \sigma(\zeta) \cdot \zeta_n^{\psi(\sigma)} = \zeta \cdot \zeta_n^{\psi(\sigma) + \psi(\tau)}$$

Def (Non-standard):  $M/k$  finite is polyabelian if

$\exists k = k_0 \subseteq k_1 \subseteq \dots \subseteq k_r = M$ . s.t.  $k_i/k_{i-1}$  abelian.

Remark:  $k/k$  polyab + Galois  $\xrightarrow{\text{FTGI}}$   $\text{Gal}(k/k)$  solvable.

Lemma: (i) If  $E/F, E/k, F/k$ , then  $EF/k$  also polyab.

(ii) If  $k/k$  is polyab with normal closure  $N/k \Rightarrow N/k$  polyab.

Pf: (i) As polyab,  $k = k_0 \subseteq \dots \subseteq k_r = E, k = k'_0 \subseteq \dots \subseteq k'_s = F$ .

So  $k = k_0 \subseteq \dots \subseteq k_r = E = E k'_0 \subseteq E k'_1 \subseteq \dots \subseteq E k'_s = EF$ .

$N \triangleleft E k'_j/E k'_{j-1}$  abelian.

①  $E k'_j/E k'_{j-1}$  is Galois by HW1

②  $\text{Gal}(E k'_j/E k'_{j-1}) \xrightarrow{\sigma} \text{Gal}(k'_j/k'_{j-1})$

(Note  $\sigma(k'_j) = k'_j$  As  $k'_j/k'_{j-1}$  normal)

(Also  $\theta$  is inj as  $E k'_{j-1} \cdot k'_j = E k'_j$ )

$\Rightarrow \text{Gal}(E k'_j/E k'_{j-1}) \leq \text{Gal}(k'_j/k'_{j-1})$

ab.  $\Leftarrow$  ab

(ii)  $= G = \text{Gal}(N/k)$

Claim:  $N = \bigcap_{\sigma \in G} \sigma(M)$  compositum ( $\Rightarrow$  done by (i))

As  $G$  permutes  $\{\sigma(M)\}$ , say  $G$  stabilize  $M$ , i.e.  $\sigma(M) = M, \forall \sigma$ .

$\Rightarrow M/k$  normal

Minimality of  $N \Rightarrow N = M$ .

Pf of Thm:  $\implies$

$k/k$  Galois + radical

$$\Rightarrow k = k_0 \subseteq \dots \subseteq \overset{N}{k_r}, k_i = k_{i-1}(\alpha_i), \alpha_i \in k_{i-1}$$

Let  $N = \text{lcm}(n_1, \dots, n_r)$ . working inside  $k_r$ , pick  $\zeta_N = N^{\frac{1}{r}}$  primitive.

$$k = k_0 \subseteq k_1 \subseteq \dots \subseteq k_r \quad k_j(\zeta_N) \subseteq k_{j+1}(\zeta_N) \text{ cyclic by lemma 2.}$$

$$k_0(\zeta_N) \subseteq \dots \subseteq k_r(\zeta_N) \quad k_0 \subseteq k_r(\zeta_N) \text{ abelian.}$$

So  $k_r(\zeta_N)/k$  polyabelian.

So  $N/k$  polyab as  $N$  the normal closure of  $k_r(\zeta_N)/k$ .

$\xrightarrow{\text{FTG}}$   $\text{Gal}(N/k)$  solvable.  $\Rightarrow$  its quotient group solvable.

Notice  $\begin{matrix} N \\ k_r(\zeta_N) \\ \downarrow \\ \text{Gal}(k_r(\zeta_N)/k) \end{matrix}$  Galois  $\text{Gal}(k_r(\zeta_N)/k)$  is a quotient group  
of  $\text{Gal}(N/k)$ .  $\checkmark$

$\Leftarrow$

Lemma: (linear independence of characters)

$F$  any field,  $G$  any group,

$\chi_1, \dots, \chi_r: G \rightarrow F^\times$  distinct group homs.

Then  $\chi_1, \dots, \chi_r$  linearly independent over  $F$ .

i.e., if  $\sum_i \lambda_i \chi_i(g) = 0 \quad \forall g \Rightarrow \lambda_i = 0 \quad \forall i$

Pf: If not, take a minimal counter example.

Say  $(\lambda_1 \chi_1 + \dots + \lambda_r \chi_r)(g) = 0, \quad \forall g$ .

$$\lambda_1 \chi_1(gh) + \dots + \lambda_r \chi_r(gh) = 0, \quad \forall g, \forall h$$

$$\lambda_1 \chi_1(h) \cancel{\chi_1(gh)} + \lambda_1 \chi_1(g) \chi_1(h) + \dots + \lambda_r \chi_r(h) \cancel{\chi_r(gh)} + \dots + \lambda_r \chi_r(g) \chi_r(h) = 0 \quad \textcircled{1}$$

$$\lambda_1 \chi_1(g) \chi_1(h) + \dots + \lambda_r \chi_r(g) \chi_r(h) = 0. \quad \textcircled{2}$$

$$\textcircled{1} - \textcircled{2} \Rightarrow \underbrace{\lambda_2 (\chi_2(h) - \chi_2(g))}_{\perp} \chi_2(g) + \dots + \lambda_r (\chi_r(h) - \chi_r(g)) \chi_r(g) = 0.$$

Choose  $h$  s.t.  $\chi_2(h) - \chi_2(g) \neq 0$ . Contradiction.

Lemma: (Hilbert 90)  $F/k$  finite cyclic. deg.  $n$ , with  $\sigma$  a generator

of  $\text{Gal}(F/k)$ .

If  $\prod_{i=1}^{n-1} \sigma^i(\alpha) = 1$ .  $\Leftrightarrow \alpha = \frac{\beta}{\sigma(\beta)}$  for some  $\beta \in F^\times$

Pf: By Dedekind,  $1, \zeta, \zeta^2, \dots, \zeta^{n-1} : K^\times \rightarrow K^\times$  are linearly

independent. So the linear combination

$$\zeta^0 + \lambda \cdot \zeta^1 + \lambda \cdot \zeta^2 \cdot \zeta^3 + \dots + \lambda \cdot \zeta^{n-2} \cdot \zeta^{n-1} = 0.$$

So  $\exists \gamma \in K^\times$ ,  $\stackrel{\beta}{\gamma} + \lambda \cdot \zeta(\gamma) + \lambda \cdot \zeta^2(\gamma) + \dots + \lambda \cdot \zeta^{n-2}(\gamma) \cdot \zeta^{n-1}(\gamma) = 0$

$$\zeta(\beta) = \zeta(\gamma) + \zeta(\zeta(\gamma))\zeta(\gamma) + \zeta(\zeta(\gamma))\zeta^2(\gamma) + \dots + \zeta(\zeta(\gamma))\zeta^{n-2}(\gamma) \cdot \zeta^{n-1}(\gamma) = \gamma$$

$$\Rightarrow \lambda \cdot \zeta(\beta) = \beta \text{ as } \lambda \cdot \zeta(\gamma) \cdots \zeta^{n-1}(\gamma) = 1 \text{ by assumption. } \checkmark$$

Lemma: If  $\gamma_n \in k$  and  $K/k$  is cyclic of degree  $n$

then  $\exists \alpha \in k$ , s.t.  $k = k(\alpha)$  and  $\alpha^n \in k$ .

Pf:  $\text{Gal}(K/k) = \langle \sigma \rangle$ .

Applying Hilbert 90 to  $\gamma_n \in k$ , (As  $Nm(\gamma_n) = 1$ )

By Hilbert,  $\gamma_n = \gamma_{\sigma(\alpha)}$ , some  $\alpha \in K^\times$

$$\text{i.e. } \zeta(\alpha) = \alpha \gamma_n^{-1}$$

Induction  
 $\Rightarrow m_{\alpha, k}(x)$  has roots at least  $\alpha \cdot \gamma_n^i$  ( $0 \leq i \leq n-1$ )

BUT  $[k:k] = n$ , so  $\deg m_{\alpha, k}(x)$ , so  $k = k(\alpha)$

Finally  $\zeta(\alpha^n) = \alpha^n \Rightarrow \alpha^n \in k$ .

Pf: ( $\Leftarrow$ )

Suppose  $K/k$  finite Galois with  $\text{Gal}(K/k)$  solvable.

FTG  $\Rightarrow k = k_0 \subseteq k_1 \subseteq \dots \subseteq k_r = K$ ,  $K/k_{i-1}$  normal, cyclic.

Let  $d := [k:k]$ ,  $d_i := [k_i:k_{i-1}]$

Consider inside  $\bar{K}$ ,  $k = k_0 \subseteq k_1 \subseteq \dots \subseteq k_r$

$$k(\bar{\gamma}_d) \subseteq \dots \subseteq k_r(\bar{\gamma}_d)$$

Note  $k_i(\bar{\gamma}_d)/k_{i-1}(\bar{\gamma}_d)$  is cyclic with degree dividing  $d_i$  therefore  $d_i$

Lemma  $\Rightarrow k_i(\bar{\gamma}_d) = k_{i-1}(\bar{\gamma}_d)(\alpha_i)$ , with  $\alpha_i \in k_{i-1}$

So  $k = k_0 \subseteq k_0(\bar{\gamma}_d) \subseteq k_1(\bar{\gamma}_d) \subseteq \dots \subseteq k_r(\bar{\gamma}_d)$ . So  $K \subseteq k_r(\bar{\gamma}_d)$ , radical.

Suppose  $f(x) \in k[x]$  irreducible, deg  $n$ , let  $N$  be a splitting field of  $f$  over  $k$ ,  $N/k$  Galois

$G := \text{Gal}(N/k)$  acts transitively and faithfully on  $\{ \text{roots of } f \text{ in } N \} = X$

$$\Rightarrow G \hookrightarrow S_n \cong S_n \text{ injective} \quad (\Leftrightarrow \text{faithful}) \Rightarrow G \leq S_n$$

Prop:  $f(x) = x^5 - 4x + 2 \in \mathbb{Q}[x]$  not solvable by radicals.

Pf: We'll show  $G = S_5$  in this case, not solvable  $\Rightarrow$  not radical.

Claim 1:  $G$  contains a 2-cycle.

First show  $f(x)$  has 3 real roots.

$f(x) = 5x^4 - 4$  has only 2 roots in  $\mathbb{R}$ , so  $f$  has  $\leq 3$  real roots in  $\mathbb{R}$ .

$f(\pm\infty) = \pm\infty$ ,  $f(0) > 0$ ,  $f(1) < 0 \Rightarrow 3$  real roots.

WLOG,  $N \subseteq \mathbb{C}$ , then complex conjugation  $T: \mathbb{C} \rightarrow \mathbb{C}$

sends  $N$  to  $N$

$\Rightarrow T|_N \in \text{Gal}(N/k)$  is a 2-cycle.

Claim 2:  $G$  contains a 5-cycle.

$\begin{array}{c} N \\ \downarrow \\ \text{deg } 5 \\ \text{by eigen} \\ \text{value} \end{array} \quad \text{2 root of } f \\ \text{---} \\ \text{---} \quad \Rightarrow 5 | |N - \text{2}| = |G| \Rightarrow \exists \text{ element in } G \text{ of order 5.}$

$\Rightarrow G$  has a 5-cycle.

Claim 3:  $\forall H \leq S_5$  containing 2-cycle and 5-cycle, then  $H = S_5$

We know all 2-cycles generate  $S_5$ .

WLOG,  $(12), (12345)$

Remark: transitive subgroups of  $S_5$ :

$C_5, D_{10}, F_{20}, A_5, S_5$  (All possible)  
 •  $\mathbb{Z}/n\mathbb{Z} \xrightarrow{\mathbb{Z}_5 \times \mathbb{Z}_4}$   
 $\text{Gal}(\mathbb{Q}(\zeta_n)/\mathbb{Q}) \hookrightarrow (\mathbb{Z}/n\mathbb{Z})^\times$  is an isomorphism.

Thm: (Kronecker-Weber):

If  $K/\mathbb{Q}$  finite abelian, then  $K \subseteq \mathbb{Q}(\zeta_n)$  for some  $n$ .

## Commutative Algebra

Noetherian rings/modules =

$R$  ring (maybe not commutative, always  $1 \in R$ )

$M$ : left  $R$ -module.

Ascending chain condition = ACC

If  $M_1 \subseteq M_2 \subseteq \dots$ , then  $\exists n \geq 1$ , s.t.  $M_n = M_{n+1} = \dots$

Def: A  $R$ -module  $M$  is noetherian if it satisfies ACC for submodules.

ring  $R$  is noetherian if it satisfies ACC for left ideals.

$\Leftrightarrow$  (As a left  $R$ -module).

Lemma: TFAE:

①  $M$  noetherian

② every submodule of  $M$  are f.g.

Pf: ①  $\Rightarrow$  ②: If  $N \subseteq M$ , take  $x_1 \in N \setminus \{0\}$ .

If  $N = Rx_1 = \{rx_1\}$ , done

otherwise,  $x_2 \in N \setminus Rx_1$ , keep going.

②  $\Rightarrow$  ①: Say  $M_1 \subseteq M_2 \subseteq \dots$  AC for submodules.

Let  $N := \bigcap_{i=1}^n M_i$  still sbmd

$N$  is fg  $\Rightarrow N = Rx_1 + \dots + Rx_n$ .

Say  $x_i \in M_{n(i)} \Rightarrow x_1, \dots, x_n \in M_{\max\{n_i\} = n}$

$\Rightarrow M_n = M_{n+1} = \dots$

Cor: Any R module  $\Leftrightarrow$  every left ideal is fg.

Ex: Any PID module.

$R[x_1, \dots, x_n]$  not module.

Lemma: If  $N \subseteq M$ , then

$M$  module  $\Leftrightarrow N$  and  $M/N$  module.

Pf: " $\Rightarrow$ "  $N$  module: every fc of  $N$  is a fc of  $M$ .

$M/N$  module:  $\overline{M}_1 \subseteq \overline{M}_2 \subseteq \dots$  fc for  $M$ .

" $\Leftarrow$  Say  $M_1 \subseteq M_2 \subseteq \dots$

$$\Rightarrow M_1 \cap N \subseteq M_2 \cap N \subseteq \dots \Rightarrow M_n \cap N = M_{n+1} \cap N$$
$$\Rightarrow \pi(M_1) \subseteq \pi(M_2) \subseteq \dots \Rightarrow \pi(M_n) = \pi(M_{n+1}) \Rightarrow M_n + N = M_{n+1} + N.$$

Claim:  $M_n = M_{n+1}$

Pf: Take  $x \in M_{n+1} \subseteq M_{n+1} + N = M_n + N$

$$\Rightarrow x = m_{n+1} + n' \Rightarrow n' = x - m_n \in N \cap M_{n+1} = N \cap M_n$$

$$\Rightarrow x = m_{n+1} + n' \in M_n$$

Cor: If  $M_1, \dots, M_n$  module, then  $\bigoplus_{k=1}^n M_k$  module.

Induction:  $N = \bigoplus_{k=1}^{n-1} M_k$ ,  $M/N = M_n$ .

Cor: If  $R$  module, then  $R$ -module  $M$  module  $\Leftrightarrow M$  fg.

Pf: " $\Rightarrow$ " by lemma.

" $\Leftarrow$ " Say,  $M$  f.g.  $M = Rx_1 + \dots + Rx_n$ .

$$\bigoplus R_i \longrightarrow M \quad R\text{-linear}$$

$$\underbrace{(r_i)}_{\text{m.e. by cor.}} \longrightarrow \sum r_i x_i$$

$$M \cong \bigoplus R / \ker \text{m.e.}$$

From now on, all rings commutative.

Thm: (Hilbert's basis thm)

If  $R$  is m.e., then  $R[x]$  m.e.

Lemma:  $R$  m.e.,  $I \triangleleft R$  m.e.  $\Rightarrow R/I$  m.e. ring.

Pf: need Acc for ideals in  $R/I$ .

$\{ \text{Ideals in } R/I \} \Leftrightarrow \{ \text{Ideals containing } I \}$ .

$$\overline{I}_1 \subseteq \overline{I}_2 \subseteq \dots \Leftrightarrow I_1 \subseteq I_2 \subseteq \dots$$

$$\text{Acc} \in \text{Acc}$$

Cor: If  $R$  m.e., so is  $R[x_1, \dots, x_n]/I$ .

E.g.  $\mathbb{Z}[x]/(x^2 + 1)$  m.e.

Pf: Say  $J \triangleleft R[x]$ , want:  $J$  f.g.

If not, pick  $f_n \in J$  s.t.

$f_0$  = an element with smallest degree in  $J$

$$f_1 = \dots - J \setminus f_0$$

Note:  $\deg f_0 \leq \deg f_1 \leq \dots$

Let  $a_n = \text{leading coef of } f_n \in R$ .

As  $R$  mre,  $(a_n) \subseteq (a_0, a_1) \subseteq \dots \xrightarrow{\text{Stabilizes}} = (a_0, \dots, a_{N-1})$

$$\Rightarrow a_n = \sum \lambda_i a_i$$

$$\text{Take } g = \sum \lambda_i (\times^{\deg f_n - \deg f_i}) f_i$$

$$= a_n \times^{\deg f_n} + \dots \in (f_1, \dots, f_{N-1})$$

By construction,  $f_n \notin (f_1, \dots, f_{N-1})$

Bnt  $f_n - g \in (f_1, \dots, f_{N-1})$ . Contradiction by degree reason.

Goal: "Weak NSS": If  $k = \bar{k}$ , then

$$\{\text{max ideals of } k[x_1, \dots, x_n]\} = \{(x_1 - a_1, \dots, x_n - a_n), a_i \in k\}.$$

Def: We say a ring extension is a subring  $R \subseteq S$

$\Rightarrow S$  a  $R$ -module.

$R \subseteq S$  is (module-)finite if  $S$  is a fg.  $R$ -module

Finite field ext)

$R \subseteq S$  is finite type if  $S = R[s_1, \dots, s_n]$ , some  $s_i \in S$ .

$R \subseteq S$  is integral if  $\forall s \in S$ ,  $s$  satisfies  $\underline{s^n + r_{n-1}s^{n-1} + \dots + r_0 = 0}$ . monic Algebraic)

Ex: •  $R[x]$  is fit, but not finite.

•  $\mathbb{Z} \subseteq \mathbb{Q}$  not fit (denominators)

•  $\mathbb{Z} \subseteq \mathbb{R}$  fit  $\Leftarrow$  finite.

•  $\mathbb{Z} \subseteq \mathbb{Z}[x]$  integral and finite.

Lemme: Given  $R \subseteq S$ , TFAE for  $s \in S$

①  $S$  is integral

②  $R[S] \subseteq S$  is a f.g.  $R$ -module

③  $\exists$  subring  $R' \subseteq S$  s.t.  $R'$  f.g.  $R$ -module.

Pf: ①  $\Rightarrow$  ②  $= S^n + R_{n-1}S^{n-1} + \dots + R_0 = 0$

$$\Rightarrow R[S] = R + R_S + \dots + R_{S^{n-1}}$$

⑤  $\Rightarrow$  ③: Let  $R' = R[S]$ .

③  $\Rightarrow$  ①:  $R[S] \subseteq R' \subseteq S$ .  $R' = R_{2^n} + \dots + R_{2^n}$  f.g.

$$S \subseteq R' \Rightarrow S_{2^n} \in R' \Rightarrow S_{2^n} = \sum_{j=1}^n r_{ij} S_j$$

$$\Rightarrow (S - A) \begin{pmatrix} 0 \\ \vdots \\ 0 \end{pmatrix} = 0 \quad \text{where } A = (r_{ij}) \in M_{n \times n}(R)$$

Cor: For  $R \subseteq S$  ring ext<sup>n</sup>,

finite  $\Leftrightarrow$  f.t + integral

Pf: " $\Rightarrow$ " finite  $\Rightarrow$  f.t. (above)

finite  $\Rightarrow$  integral by ③  $\Rightarrow$  ①

" $\Leftarrow$ " Say  $S = R[S_1, \dots, S_n]$ , each  $S_i$  integral

$$R \subseteq R[S] \subseteq R[S_1, S_2] \subseteq \dots \subseteq R[S_1, \dots, S_n]$$

each " $\subseteq$ " is f.g by " $\textcircled{1} \Rightarrow \textcircled{2}$ "

$\Rightarrow R \subseteq R[S_1, \dots, S_n]$  finite as in the proof of tower law.

Remark:  $S = R[S_1, \dots, S_n]$ , each  $S_i$  integral  $\Rightarrow R \subseteq S$  finite.

Cor: Given  $R \subseteq S$ , then

$\tilde{R} := \{s \in S \mid s \text{ integral over } S\}$  form a subring.

Pf: If  $s_1, s_2 \in \tilde{R}$ ,  $\Rightarrow R[s_1, s_2]$  is f.g.  $R$ -module.

$\Rightarrow R \subseteq R[s_1, s_2]$  finite  $\Rightarrow$  integral

$$\Rightarrow s_1 + s_2, s_3 \in \tilde{R}.$$

Lemma: If  $R$  is an UFD,  $K = \text{Frac } R$ , then  $\tilde{R} = R$

Pf: Suppose  $\alpha \in K$  integral,  $\alpha = \frac{a}{b}$ , WLOG,  $\gcd(a, b) = 1$

if  $\frac{a}{b} \notin R$ ,  $\exists$  prime  $\pi$ ,  $\pi | b$ ,  $\pi \nmid a$ .

$$\text{As } \frac{a}{b} \in \tilde{R}, \left(\frac{a}{b}\right)^n + c_{n-1}\left(\frac{a}{b}\right)^{n-1} + \dots + c_1\left(\frac{a}{b}\right) + c_0 = 0$$

$$\Rightarrow \underbrace{a^n}_{\pi \nmid} + c_{n-1}a^{n-1}b + \dots + c_1ab^{n-1} + c_0b^n = 0$$

$\pi \nmid$

Contradiction.

Thm: (Zariski's lemma)

If  $K/k$  field extension of finite type,

then  $K/k$  finite.

Pf:  $K = k[\alpha_1, \dots, \alpha_n]$  by induction

$n=1$ :  $K = k(\alpha_1) \Rightarrow \alpha_1$  alg.  $\Rightarrow K/k$  finite.

Finite by induction

Induction Step:  $k \subseteq k(\alpha_1) \subseteq K = k[\alpha_1, \dots, \alpha_n] = k(\alpha_1)[\alpha_2, \dots, \alpha_n]$

If  $\alpha_1$  alg./k, everything is finite.

If  $\alpha_1$  transcendental, let  $R = k[\alpha_1]$  ( $\cong k[x]$ ) UFD,

$\forall \alpha_i, \alpha_i$  alg. over  $k(\alpha_1)$

$$\text{So } \alpha_i^n + r_{n-1}\alpha_i^{n-1} + r_{n-2}\alpha_i^{n-2} + \dots + r_0 = 0 \quad \text{where } r \text{ independent of } i.$$

$$\Rightarrow (r\alpha_i)^n + r_{n-1}(r\alpha_i)^{n-1} + \dots + r_0 r^n = 0 \Rightarrow r\alpha_i \text{ integral.}$$

Claim:  $\forall r \in K, \exists N > 0$ , s.t.  $r^N r$  is integral over  $R$

By assumption,  $r \in k[\alpha_1, \dots, \alpha_n]$

$$\Rightarrow r^N r \in k[\alpha_1, \alpha_2, \dots, \alpha_n]$$

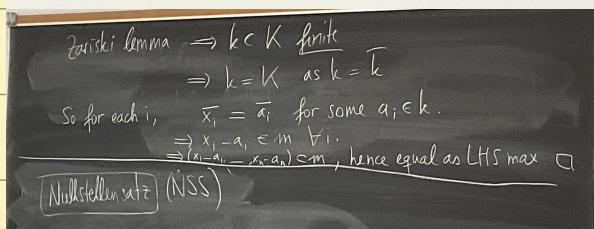
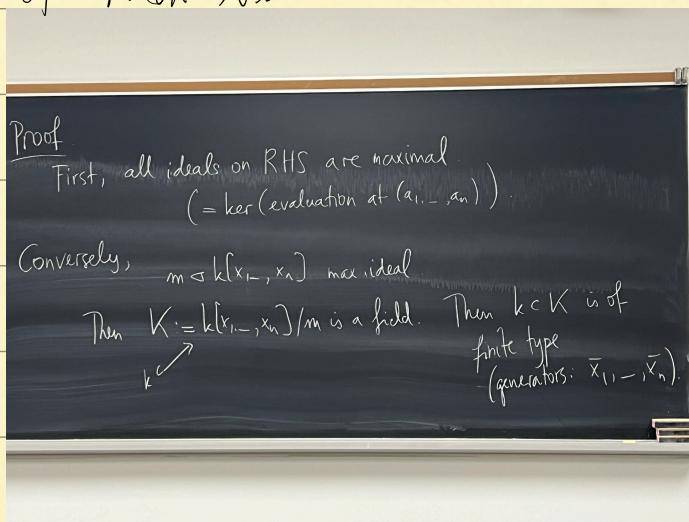
$$= R[\alpha_1, \dots, \alpha_n]. \text{ Integral over } R.$$

Take now  $r \in k[\alpha_1] = \text{Frac}(R)$

claim  $\Rightarrow r^N \gamma$  is int/ $R \Rightarrow r^N \gamma \in R$ .  
 Lemma  
 W.F.D.

Contradiction to the fact that  $\gamma$  is transcendental.

Pf of weak NSS?



Nullstellensatz:

$$\left\{ \begin{array}{l} \text{Ideals of } \\ k[x_1, \dots, x_n] \end{array} \right\} \xleftarrow{\quad \vee \quad} \left\{ \begin{array}{l} \text{Subsets of } \\ k^n \end{array} \right\}$$

$$J \longmapsto V(J) = \{a \in k^n \mid f(a) = 0, \forall f \in J\}$$

$$I(X) = \{f \mid f(a) = 0 \quad \forall a \in X\} \longleftrightarrow X$$

Def: A subset of  $k^n$  of the form  $V(I)$  is called an algebraic subset

Remark: Any ideal of  $k[x]$  is fin. (Noe)

⇒ any alg. subset is zero locus of finitely many polys

Ex:  $n=1$ ,  $k[x]$  PID

$$V(f) = \{a \in k, f(a) = 0\} = \text{finite} \quad (\text{if } f \neq 0)$$

$$n=2: I = (y^2 - x^3 + x) \quad \hookrightarrow$$

$$I = (x, y) +$$

Basic Properties:

(1)  $V, I$  are order reversing

$$\begin{aligned} (2) \quad V(I(x)) &\geq x \quad \left. \begin{aligned} I(V(I(x))) &= I(x) \\ I(V(J)) &\geq J \end{aligned} \right\} \Rightarrow V(I(V(J))) = V(J) \end{aligned}$$

So  $V, I$  induces bijections between  $\text{Im}(I), \text{Im}(V)$

Thm (N4): (1)  $V(J) = \emptyset \Leftrightarrow J = (1)$

$$(2) \quad I(V(J)) = \sqrt{J} = \{ f \in k[x_1, \dots, x_n] \mid \text{some } N \geq 1, f^N \in J \}$$

Pf: (1)  $\Leftarrow$  obvious

$\Rightarrow$  Say  $V(J) = \emptyset, J \neq (1)$

Choose maximal ideal  $J \subseteq m = (x_1 - a_1, \dots, x_n - a_n)$

By weak NIS,  $V(J) \supseteq V(m) \neq \emptyset$

$$(2) \quad I(V(J)) \supseteq \sqrt{J} = \{ f \in k[x_1, \dots, x_n] \mid \text{if } a \in V(J) \Rightarrow f^{N(a)} = 0 \Rightarrow f(a) = 0 \}$$

$I(V(J)) \subseteq \sqrt{J}$ : Take  $f \in I(V(J))$

Reduce to (1) by Rabinowitsch trick:

$$\text{Let } \tilde{J} = (J, \frac{f}{f-1}) \triangleleft k[x_1, \dots, x_n, y]$$

Claim:  $V(\tilde{J}) = \emptyset$ :

Say  $(a_1, \dots, a_n, b) \in V(\tilde{J})$ , so  $(a_1, \dots, a_n) \in V(J)$

$$bf(a_1, \dots, a_n) - 1 = 0$$

But  $f(a_1, \dots, a_n) = 0$  as  $f \in I(V(J))$ . So  $V(\tilde{J}) = \emptyset$ .

By (1):  $\tilde{J} = k[x_1, \dots, x_n, y]$

So  $1 \in \tilde{J}$ .

$$\text{i.e., } 1 = \sum_{i=1}^s p_i(x_1, \dots, x_n, y) \cdot q_i(x_1, \dots, x_n) + r(x_1, \dots, x_n, y) \mid f(x_1, \dots, x_n) - 1$$

Evaluate  $\eta$  at  $\frac{1}{p}$  inside  $\text{Frac}(k[x_1, \dots, x_n])$

$$\Rightarrow I = \sum_i p_i(x, \frac{1}{p}) \cdot g_i(x)$$

$$\Rightarrow f^N = \underbrace{\sum_i p^N p_i}_{\in k[x]} \cdot g_i(x)$$

$\in k[x]$  as  $N$  large enough

Def: An Ideal  $I$  is radical if  $I = \sqrt{I}$

Remark: • Primes are radical, converse not true.

$$(x) \cap (y) = (xy) \subsetneq k[x, y].$$

•  $\sqrt{J}$  is radical.

Cor:  $I \vee \check{V}$  induce order-reversing bijections between radical ideals and algebraic subsets.

Lemma:  $\sqrt{I} = \bigcap_{P \supseteq I} P^{\text{prime}}$  in any comm ring

Pf: Reduce to  $I = 0$ .

$$R \Leftrightarrow R/I$$

$$\sqrt{I} \Leftrightarrow \sqrt{0}$$

$$P \supseteq I \Leftrightarrow P \text{ prime}$$

So  $\sqrt{I} \subseteq \sqrt{0} = \bigcap P$  in  $R$ .

" $\subseteq$ " if  $a^n = 0$ , then  $a^n \in P \Rightarrow a \in P \forall P \text{ prime}$

" $\supseteq$ " By contradiction, say  $a \in R$ ,  $a^n \neq 0$ ,  $\forall n > 0$

ETs  $\exists P$  st.  $P \cap \{1, a, a^2, \dots\} = \emptyset$

Zorn:  $X = \{I \subset R, I \cap \{1, a, a^2, \dots\} = \emptyset\} \neq \emptyset$  as  $\{0\} \in X$

If  $I_\alpha$  is a chain in  $X$ , let  $I = \bigcup I_\alpha$  an ideal.

If  $a^n \in I \Rightarrow a^n \in I_\alpha \Rightarrow \in$

$I \in X$  upper bound.

By Zorn,  $\exists J \in X$  maximal

Claim:  $J$  prime. By contradiction, if  $f \notin J$ ,  $g \notin J$ ,  $fg \in J$ .

$$J \subseteq J + (f) \supseteq a^n$$

$$J + (g) \supseteq a^m$$

$$\Rightarrow (J + (f))(J + (g)) \supseteq a^{m+n}$$

$$\Rightarrow J + (fg) = J \text{ as } fg \in J. \text{ contradiction.}$$

(Bariski's)

Lemma: There is topology on  $k^n$  whose closed subsets are alg. subsets.

Pf:  $k^n = V(\{0\})$ ,  $\emptyset = V(\{1\})$

$$Q V(J_\alpha) = V(\sum J_\alpha)$$

Claim:  $V(I) \cup V(J) = V(I \cap J) (= V(I \cup J))$

" $\subseteq$ " is clear as  $V$  order reversing.

" $\supseteq$ ". If  $a \in V(I \cup J)$ , but  $a \notin V(I)$ ,  $a \notin V(J)$

$\Rightarrow f(a) \neq 0$  some  $f \in I$ ,  $g(a) \neq 0$  some  $g \in J$ .

$\Rightarrow (fg)(a) \neq 0$  and  $fg \in I \cup J$

Remark:  $I(VX_2) = \bigcap I(x_2)$

• It's a very coarse topology,  $n > 1$  not  $\mathbb{Z}$

$n=1$ : "finite complement top."

Def: For  $f \in k[X]$ , let  $D(f) = k^n \setminus V(f) = \{a \mid f(a) \neq 0\}$ , open

Lemma: 1) Points of  $k^n$  are closed.

2)  $D(f)$  form a basis

3)  $k^n$  is compact

4)  $k^n$  is a metrizable top. space. i.e.  $\begin{cases} \text{DCC on closed subsets.} \\ \text{ACC on open subsets} \end{cases}$

Pf: 1)  $a \in k^n$ ,  $\{a\} = V(\{x_1=a_1, \dots, x_n=a_n\})$

2) Say  $a \in \bigcup_{\text{open}} U \subseteq k^n$

Write  $U = k^n \setminus V(I)$  (as  $U$  open)

$\Rightarrow f_{(0)} \neq 0$  for some  $f \in I$ , so  $V(I) \subseteq V(f)$

$\Leftrightarrow D(f) \subseteq U$  (complement)

3) Say  $k^n = \bigcup_{\text{open}} V_\alpha$ ,  $V_\alpha$  open.

By 2), write each  $V_\alpha$  as union of  $D(f_\alpha)$ 's, so  $\bigcup_{\text{open}} V_\alpha = \bigcup_{\text{closed}} D(f_\alpha)$

$\Rightarrow k^n = \bigcup_{\text{closed}} D(f_\alpha)$

$\Leftrightarrow \emptyset = \bigcap_{\text{closed}} V(f_\alpha) = V(\sum f_\alpha)$

$\bigoplus_{\text{closed}} | \in (f_\alpha : \alpha \in A)$

$\Rightarrow | \in (f_{\alpha_1}, \dots, f_{\alpha_n})$ , some  $\alpha_i \in A$

$\Leftrightarrow k^n = D(f_{\alpha_1}) \cup \dots \cup D(f_{\alpha_n})$

4) Say  $X \supseteq X_1 \supseteq \dots$  closed

$\Rightarrow I(X_1) \subsetneq I(X_2) \subsetneq \dots \subsetneq k[X]$ , contradiction ( $k[X]$  noe)

Remark: Any algebraic subset  $X \subseteq k^n$  inherits a Zariski's top.

Con: If  $X \subseteq k^n$  is a subset, then the Zariski top satisfies (1)–(4)

Pf: point in  $X \subseteq k^n$  closed.

Suppose  $V \subseteq k^n$  alg. subset,  $k[V] := k[X]/I(V)$  a reduced ring as

$I(V)$  radical.

The ring homo  $k[X] \rightarrow \{f_n : V \rightarrow k\} \cong k[V] = \text{image}$ .

$$f(x) \mapsto | a \mapsto f(a)$$

By above,  $V$ s induce inverse bijections

$\{$  closed subsets of  $V\} \longleftrightarrow \{$  radical ideals of  $k[V]\}$ .

Def: A top. space is irreducible if

$$\textcircled{1} X \neq \emptyset$$

$$\textcircled{2} X = X_1 \cup X_2, X_i \text{ closed } \Rightarrow X_1 = X \text{ or } X_2 = X$$

Ex:  $\mathbb{A}^n$   $V(X_1 X_2) = V(x_1) \cup V(x_2) \subset k^n$  not irreducible

$k = k'$  irre as closed sets are finite

Remark: •  $\textcircled{2} \Leftrightarrow (\emptyset = U_1 \cap U_2 \stackrel{\text{complement}}{\Rightarrow} U_1 = \emptyset \text{ or } U_2 = \emptyset)$

$\Leftrightarrow$  any 2 non-empty open sets intersect.

$\Leftrightarrow$  any nonempty open is dense.

• irreducible  $\Rightarrow$  connected.

Prop: algebraic  $V$  is irreducible  $\Leftrightarrow I(V)$  is prime ideal

Pf: " $\Leftarrow$ " If  $V$  reducible,  $V = V_1 \cup V_2$  closed,

$$\Rightarrow I(V) = I(V_1) \cap I(V_2), \quad I(V_1) \neq I(V) \quad (\text{order-reversing})$$

Take  $f_i \in I(V_i) \setminus I(V)$

$$\Rightarrow f_1 f_2 \in I(V_1) \cap I(V_2) = I(V) \quad \text{not prime}$$

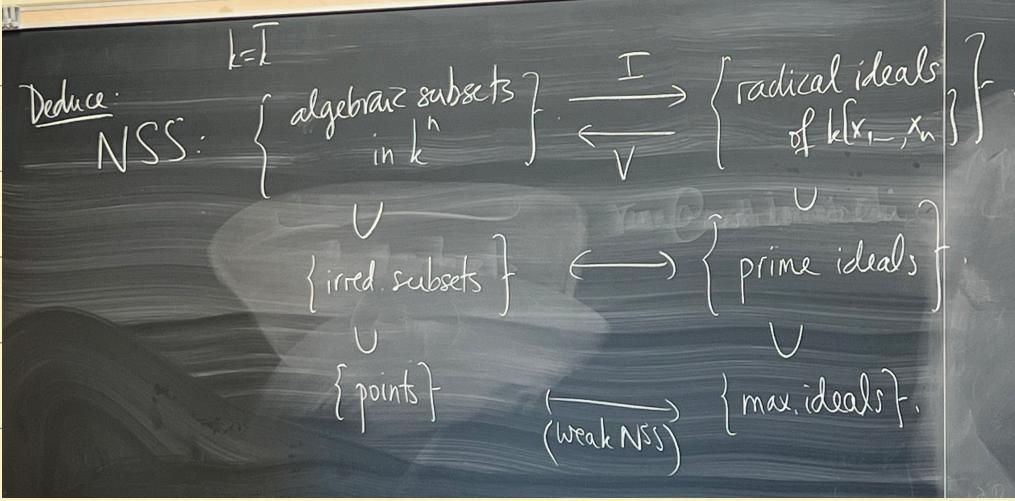
" $\Rightarrow$ " If  $I(V)$  not prime, take  $f_1, f_2 \in I(V)$ ,  $f_1 f_2 \in I(V)$

Take  $V_i := V \cap V(f_i)$

$V_i \neq V$  as  $f_i \notin I(V)$

$$V_1 \cup V_2 = V \cap (V(f_1) \cup V(f_2)) \quad V(I) \cap V(J) = V(I \cap J) = V(IJ)$$

$$= V \cap (V(f_1 f_2))$$



Prop:  $X$  Noetherian top. space.

Then  $\exists X = X_1 \cup X_2 \cup \dots \cup X_n$ ,  $X_i$  irre. closed. \*

If  $\forall i, j$ ,  $X_i \neq X_j$ , then this is unique up to ordering.

Pf: Existence: If not,  $X$  cannot be irred

$$X = X_1 \cup X'_1 \text{ proper closed.}$$

either  $X_1$  or  $X'_1$  doesn't have \*, say  $X_1$

$$\Rightarrow X_1 = X_2 \cup X'_2$$

So  $X \supseteq X_1 \supseteq X_2 \supseteq \dots$ , contradicts PCC on closed subsets.

Uniqueness: Write  $X = X_1 \cup \dots \cup X_n$ .

Claim:  $\{X_1, \dots, X_n\} = \{\text{maximal irre. subsets of } X\}$ .

Pf: If  $Y \subseteq X$ , then  $Y \subseteq X_1 \cup \dots \cup X_n$

$$\Rightarrow Y = (Y \cap X_1) \cup \dots \cup (Y \cap X_n)$$

$$\stackrel{\text{irred}}{\Rightarrow} Y = Y \cap X_i \Rightarrow Y \subseteq X_i$$

So if  $Y \subseteq X$  is any maximal irred. subset,

$$\Rightarrow Y \subseteq X_i \Rightarrow Y = X_i$$

Conversely, each  $X_i$  max. irred.

As  $X_i \subseteq Y$  irred. by  $\hookleftarrow$ ,  $Y \subseteq X_j$  for some  $j$

$$\Rightarrow X_i \subseteq X_j \Rightarrow Y = X_i$$

Def: The max irred subsets are called irreducible components of  $X$

Remark:  $\overset{\text{irred}}{Y} \subseteq X \Rightarrow Y$  is irred.

One small application to ring theory:

Prop: Suppose  $V \subseteq k^n$  alg. subset, then  $k[V] (= k[x]/I(V))$  has finitely many minimal prime ideals.

Pf: By NBS, minimal prime ideals  $\Leftrightarrow$  maximal irreducible closed subsets of  $V$   
= irreducible components of  $V$ .

Def: The (Jacobson) radical of a ring  $A$  is:

$$\text{rad } A = \bigcap_{\substack{m \in A \\ \text{maximal}}} m$$

Lemma:  $x \in \text{rad } A \Leftrightarrow \exists y \in A^x \quad \forall y \in$

Nakayama's Lemma:  $M \not\cong A$ -module.

$$I \subseteq \text{rad } A, \quad M = IM \Leftrightarrow M = 0$$

Remark: Most general app:  $A$  is local (i.e., unique maximal ideal)

Corl:  $M \not\cong A$ -mod,  $I \subseteq \text{rad } A \quad N \subseteq M$ .

$$\text{then } N = M \Leftrightarrow N + IM = M$$

Pf: Apply NAK to  $\bar{M} = M/N = 0 \Leftrightarrow M = N$

$$IM = \bar{M} \Leftrightarrow IM + N/N = M/N \Leftrightarrow IM + N = M.$$

Cor:  $M \text{ f.g } A\text{-mod} , I \subseteq \text{rad } A$

Then  $x_1 \dots x_n \in M$  generate  $M$  as  $A$ -module

$\Leftrightarrow \bar{x}_1 \dots \bar{x}_n \in M/\text{IM}$  generate  $M/\text{IM}$  as  $A$ -module

Pf: Corl with  $N = Ax_1 + \dots + Ax_n$

$$\Leftrightarrow (N + \text{IM} = M)$$

Pf: Let  $M = Ax_1 + \dots + Ax_n$ . Assume  $M = \text{IM}$

$$\Rightarrow x_i = \sum_{j=1}^n b_{ij} x_j, \quad b_{ij} \in I.$$

$$\Leftrightarrow (I_n - B) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0, \quad B = (b_{ij}) \in M_{n \times n}$$

$$\Rightarrow \det(I_n - B) \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0,$$

$$\Rightarrow \det(I_n - B) \cdot I_n \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = 0.$$

So  $S = \det(I_n - B)$  kills  $\forall m \in M$

$$I_n - B = \begin{pmatrix} 1-b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & 1-b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \dots & 1-b_{nn} \end{pmatrix} \Rightarrow S \in I + I.$$

By Lemma,  $I \subseteq \text{rad } A \Rightarrow I + I \subseteq A^\times \Rightarrow M = 0$ .

Pf: Say  $x_1 \dots x_n$  generate  $M$ ,  $n$  minimal

If  $M = \text{IM}$ ,  $n \geq 1$  ( $M \neq 0$ )

$$x_n = c_1 x_1 + \dots + c_n x_n$$

$$\Rightarrow (1 - c_n) x_n = c_1 x_1 + \dots + c_{n-1} x_{n-1}$$

$$1 - c_n \in I + I \subseteq A^*$$

$$\Rightarrow x_n \in \text{span}(x_1, \dots, x_{n-1}), \quad \text{contradiction}$$

Remark: Pf 1 showed more precise result

$\forall I, M = \text{IM} \Rightarrow Sm = 0$  for some  $s \in I$

# Semisimple rings / modules

Motivation:  $G$  finite group, want to study representation of  $G$  over  $k$ .

A rep. of  $G$  is a  $k$ -vector space  $V$  + action  $G \times V \rightarrow V$ , s.t.

$$\begin{array}{l} V \rightarrow V \\ x \mapsto gx \end{array} \quad k\text{-linear}, \forall g \in G.$$

Recall:  $k[G]$  (group ring) =  $\{\sum_{g \in G} \lambda_g g : \lambda_g \in k\}$ .  $(\sum \lambda_g g)(\sum \mu_h h) = (\sum \lambda_g \mu_{gh} gh)$

If  $V$  a representation, it becomes a  $k[G]$ -module.

$$\text{define } (\sum \lambda_g g)(x) = \sum \lambda_g (gx)^V, \forall x \in V.$$

Conversely, every  $k[G]$ -module arises this way.

$$\begin{array}{c} k[G] \\ \downarrow \\ g \cdot x := g x \end{array}$$

Prove later: if  $\text{char } k = 0$ , every  $k[G]$ -module is a direct sum of simple  $k[G]$ -modules.

$R$ -ring, not commutative.

$M$ - $R$ -module (always left)

Def:  $M$  is simple if  $\{0\} \neq M$  is the only submodule.

e.g.  $A = \mathbb{Z}$ ,  $M = \mathbb{Z}/p\mathbb{Z}$ .

Lemma:  $M$  simple  $\Leftrightarrow M \cong R/m$ ,  $m$  maximal left ideal.

Pf: " $\Leftarrow$ " = corresponding theorem.

" $\Rightarrow$ ":  $M$  simple  $\Rightarrow \neq 0$ . So  $\exists x \in M \setminus \{0\}$ .

$$x \mapsto rx$$

Then  $\psi: R \rightarrow M$ ,  $\text{im}(\psi) = M$  as  $M$  simple.

So  $M \cong R/\ker(\psi)$ ,  $\ker(\psi)$  maximal by corresponding theorem.

Def:  $M, N$   $R$ -module. Let  $\text{Hom}_R(M, N) = \{f: M \rightarrow N, R\text{-linear}\}$ . ake group.

$\text{End}_R(M) := \text{Hom}_R(M, M)$  a ring

Prop: (Schur's lemma)

$M, N$  simple module. Any  $M \rightarrow N$  ( $R$ -linear) is either  $0$  or isom.

Cor: If  $M \cong N$ , then  $\text{Hom}_R(M, N) = 0$

Also,  $\text{End}_R(M)$  is a division ring.

e.g.  $\text{End}_{\mathbb{Z}}(\mathbb{Z}/p\mathbb{Z}) = \mathbb{Z}/p\mathbb{Z}$ .

•  $R = k[x]/(f(x))$ ,  $M = k[x]/(f(x))$ .  $\text{End}_{k[x]}(M) = k[x]/(f(x))$

division ring

•  $R = D$ ,  $M = D$ ,  $\text{End}_D(D) = D^{\text{op}}$  (opposite ring)

Pf:  $\psi: M \rightarrow N$

If  $\ker \psi = M$  or  $\text{im } \psi = 0$  then  $\psi = 0$

otherwise,  $\ker \psi = 0$ ,  $\text{im } \psi = N$  the  $\psi$  isom.

$\text{Hom}_R(\bigoplus M_i, N) = \bigoplus \text{Hom}_R(M_i, N)$

$f \mapsto (f|_{M_i})$  universal property

Similar for  $N$ .

Prop: ① Suppose  $M = S_1^{\oplus n_1} \oplus \cdots \oplus S_l^{\oplus n_l}$  ( $S^{\oplus N} = \overbrace{S \oplus \cdots \oplus S}^N$ )

$S_i$  simple,  $S_i \not\cong S_j$

then  $\text{End}_R(M) \cong \text{End}_R(S_1^{\oplus n_1}) \times \cdots \times \text{End}_R(S_l^{\oplus n_l})$  as rings

② If  $S$  simple,  $D = \text{End}_R(S)$

then  $\text{End}_R(S^{\oplus n}) \cong M_n(D)$  as rings.

Pf: ① Claim  $\Psi: M \rightarrow M \Leftrightarrow (\Psi_i: S_i^{\oplus n_i} \rightarrow S_i^{\oplus n_i})_{i=1}^n$

$$\Psi(x_1, \dots, x_n) = (\Psi_1(x_1), \dots, \Psi_n(x_n))$$

claim  $\Rightarrow$  ① NTs claim.

$$\text{End}(S^{\oplus n}) = \text{Hom}(S^{\oplus n}, S^{\oplus n}) = \bigoplus \text{Hom}(S_i^{\oplus n_i}, S_i^{\oplus n_i}) \quad \text{交叉项去}.$$

②  $\text{End}_R(S^{\oplus n}) = \text{Hom}(S, S)^n$  by universal property

$$\varphi: S^{\oplus n} \rightarrow S^{\oplus n} \hookrightarrow (\varphi_{ij}: S \rightarrow S)_{i,j=1}^n$$
$$\varphi \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} \varphi_{11} & \cdots & \varphi_{1n} \\ \vdots & \ddots & \vdots \\ \varphi_{n1} & \cdots & \varphi_{nn} \end{pmatrix} \begin{pmatrix} x_1 \\ \vdots \\ x_n \end{pmatrix}.$$

Def:  $M$  is semi-simple if it's isomorphism to a direct sum of simple modules.

$\Leftrightarrow$  Internal direct sum of simple submodules

e.g. Any  $k$ -vector space is semi-simple.

Lemma: TFAE:

①  $M = \bigoplus_{i \in I} S_i$  semisimple

②  $M = \sum_{i \in I} S_i$  ( $S_i$  simple submodule. smallest submodule containing all  $S_i$ )

③  $\forall N \subseteq M, \exists N' \subseteq M$  s.t.  $M = N \oplus N'$

Pf: ①  $\Rightarrow$  ② = obvious

②  $\Rightarrow$  ③ = Take  $N \subseteq M$ . want  $N'$

By standard Zorn,  $\exists N' \subseteq M$  maximal s.t.  $N \cap N' = \{0\}$

if  $N + N' = M$ , done.

if not,  $N + N' \subseteq M$ . By ②,  $S_i \not\subseteq N + N'$  for some  $i$ .

As  $(N + N') \cap S_i \subseteq S_i$ ,  $S_i$  simple  $\Rightarrow (N + N') \cap S_i = 0$

$\Rightarrow (S_i + N') \cap N \subseteq N \cap N' = 0$ . Contradicts to maximality.

③  $\Rightarrow$  ①: By Zorn,  $\exists$  maximal collection  $S_i$  of simple submodules  
s.t.  $\bigoplus_{i \in S} S_i \xrightarrow{\psi} M$  injective.

Let  $N = \text{im}(\psi)$ . By ③,  $\exists N' \subseteq M$ , s.t.  $M = N \oplus N'$   $\Rightarrow \Leftarrow$   
 $N \nmid N' = 0$ . If not,  $N \nmid S \subseteq N'$  simple.

This is easy if  $R = k[G]$  (Let  $x \neq 0$  in  $N'$ , then  $Rx$   
contains a simple submodule by dimension).

Cor: If  $M$  semi-simple, then so is any submodule / quotient.

If  $M_i$  semi-simple  $\Rightarrow \bigoplus M_i$  semi-simple.

Pf: Question: If  $\psi: M \rightarrow N$ ,  $M = \bigoplus S_i \Rightarrow N = \psi(M) = \bigoplus \psi(S_i)$

$\Rightarrow N$  is semi-simple by ⑤.

Sub: If  $N \subseteq M$ , then  $M = N \oplus N' \Rightarrow N = M/N'$

Def: The ring is semi-simple if  $R$  is semi-simple as (left)  
R-module.

Prop:  $R$  semi-simple  $\Leftrightarrow$  all  $R$ -module semi-simple.

Pf: " $\Leftarrow$ " obvious

" $\Rightarrow$ " If  $M$  is any  $R$ -module, by universal property of  $\oplus$ ,

$$\psi: \bigoplus_{m \in M} R \rightarrow M$$

$$(r_m) \mapsto \sum r_m \cdot m$$

By Cor,  $\oplus R$  semi-simple  $\Rightarrow$  its quotient  $M$  is ss

Lemma: If  $R$  ss. Then  $R = I_1 \oplus \dots \oplus I_n$  for some simple

submodule (minimal ideal)

Pf:  $R \leqslant R$  ss  $\Rightarrow R = \bigoplus_{\alpha \in A} I_\alpha$   $I_\alpha$  simple sbndl.

$\Rightarrow I \in I_{\alpha_1} + \dots + I_{\alpha_n}$ , some distinct  $\alpha_1, \dots, \alpha_n \in A$

$\Rightarrow R \in R.I_{\alpha_1} + \dots + R.I_{\alpha_n} = I_{\alpha_1} + \dots + I_{\alpha_n} \leqslant R$

$\Rightarrow R = I_{\alpha_1} \oplus \dots \oplus I_{\alpha_n}$   $\square$

Cor: If  $R$  semi-simple,  $R = I_1 \oplus \dots \oplus I_n$ , then any simple  $R$ -module  
is isom to  $I_j$  for some  $j$

Pf: If  $S$  simple, let  $x \in S \setminus \{0\}$ ,  $\Psi: R \xrightarrow{r \mapsto rx} S$ .  $\exists I_j$ ,  $\Psi|_{I_j} \neq 0$ .

So  $\Psi|_{I_j}: I_j \rightarrow S$  isom by Schur.

Def:  $R$  ring,  $R^{\text{op}} =$  opposite ring,  $R = R^{\text{op}}$  as abelian group,  
 $y^*x = xy$

Remark:  $M_n(R)^{\text{op}} \cong M_n(R^{\text{op}})$

$A \mapsto A^T$

Lemma: If  $R$  is a ring, then

$R^{\text{op}} \cong \text{End}_R(R)$  as an  $R$ -module.

$r \mapsto \Psi_r: x \mapsto xr$

Pf:  $\Psi_r: R \rightarrow R$  is  $R$ -linear.  $(\lambda x + y)r = \lambda(xr) + yr$ .

•  $\Psi$  is a ring-homo.  $\Psi(1) = \Psi_1 = \text{id}$

$$\Psi_{r+s} = \Psi_r + \Psi_s \quad x(r+s) = xr + xs \quad \checkmark$$

$$\Psi_{rs} = \Psi_r \circ \Psi_s \quad \overset{x(sr)}{\underset{\parallel}{x(r \cdot s)}} = (xs)r \quad \checkmark$$

• injective: If  $\Psi_r = 0$ ,  $\Psi_{r(1)} = 0$

• surjective:  $\Psi: R \rightarrow R$ . Then  $\Psi(r) = r\Psi(1) \Rightarrow \Psi = \Psi_{\Psi(1)}$

Theorem (Artin-Wedderburn) =

$R$  semi-simple  $\Leftrightarrow R \cong M_n(D_1) \times \cdots \times M_n(D_s)$ ,  $D_i$  division rings.

Pf:  $\Rightarrow$ : By lemma,  $R = I_1 \oplus \cdots \oplus I_n$ ,  $I_j$  simple.

WLOG (w.l.o.g.)  $I_1, \dots, I_s$  are pairwise non-isom.

$$\forall j > s, \exists k < s, \text{ s.t. } I_j \cong I_k.$$

$$So R \cong I_1^{\oplus n_1} \oplus \cdots \oplus I_s^{\oplus n_s}$$

By Lemma:  $R^{\oplus p} \cong \text{End}_R(I_1^{\oplus n_1} \oplus \cdots \oplus I_s^{\oplus n_s})$  As module.

$$\cong M_{n_1}(D_1) \times \cdots \times M_{n_s}(D_s) . D_j = \text{End}_R(I_j) \text{ division ring.}$$

$$\stackrel{\oplus}{\Rightarrow} R \cong M_{n_1}(D_1)^{\oplus p} \times \cdots \times M_{n_s}(D_s)^{\oplus p} \cong M_{n_1}(D_1^{\oplus p}) \times \cdots \times M_{n_s}(D_s^{\oplus p}).$$

For converse,

Prop: Suppose  $R = M_n(D)$   $D$  division ring.

① The module  $S = D^{\oplus n}$  (column vectors, under matrix multiplication)

is simple.

②  $R \cong S^{\oplus n}$  as  $R$ -module. So  $R$  is a semi-simple ring.

③ Any simple  $R$ -module is isom to  $S$

Useful notation:  $E_{ij} = \begin{pmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{pmatrix} \in R$

$$e_i = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix} \in S . \text{ So } E_{ij} e_k = \delta_{jk} e_i$$

Pf: ①  $S = D^{\oplus n}$ ,  $\exists x \in S \setminus \{0\}, Rx = S$ .

Write  $x = \sum_{k=1}^n e_k d_k$  ( $d_k \in D$ ), say  $d_j \neq 0$

$$\Rightarrow E_{ij} x = e_i d_j (= d_j e_i) \stackrel{\text{division}}{\in} R_x \Rightarrow S \subseteq R_x .$$

②  $R = M_n(D) = I_1 \oplus \cdots \oplus I_n$ , where  $I_i = \{x \in M_n(D) \text{, zero outside } i\text{th column}\}$

Then  $I_i \subseteq R$  is an  $R$ -submodule (i.e., left ideal)

and  $\mathbb{S} \cong \mathbb{I}$ ,  $(\begin{smallmatrix} d_1 \\ d_n \end{smallmatrix}) \Leftrightarrow (0 \cdots \begin{smallmatrix} d_1 \\ d_n \end{smallmatrix} \cdots 0)$

③ By some cor above.

Exercise: Show  $R$  is simple ring.

Suppose  $A, B$  are rings,  $R = A \times B$ .

$\rightarrow e = (1, 0), f = (0, 1)$ , central.  $e^2 = e, f^2 = f, ef = 0, e+f = 1$ .

If  $N$  is any  $A$ -module, can consider it as  $R$ -module  
ring form.

via  $R = A \times B \rightarrow A \rightarrow \text{End}_A(N)$

If  $P$  is a  $B$ -module, consider it as another projection.

$\leadsto N \oplus P$  is  $R$ -module.

Conversely,  $M$  an  $R$ -module,  $M = eM \oplus fM$

$eM$  killed by  $(0) \times B = Rf$ , so is an  $\frac{A \times B}{(0) \times B} \cong A$ -module.

$fM$  similarly.

Prop: ① We have "functors"  $\mathcal{F}$  and  $\mathcal{G}$

$(A\text{-mod}) \times (B\text{-mod}) \xrightleftharpoons{\mathcal{F}} (R\text{-mod})$

$(N, P) \longrightarrow N \oplus P$

$(eM, fM) \longleftarrow M$ .

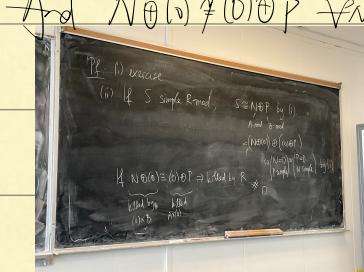
s.t.  $\mathcal{F}(\mathcal{G}(N, P)) \cong (N, P)$ ,  $\mathcal{G}(\mathcal{F}(M)) = M$ .

(equivalence of categories)

② The simple  $R$ -modules are (up to isom)

$N \oplus (0)$ ,  $(0) \oplus P$

Simple  $A$ -mod    Simple  $B$ -mod.



Remark: If  $R$  ss. simple mod  $S_1, \dots, S_k$  (up to isom)

By construction:  $D_i^P = \text{End}_R(S_i)$

Last time:  $M_n(D)$  semi-simple: only simple module  $D^{\oplus n}$

Pf: " $\Leftarrow$ :

Show if  $R = A \times B$ , simple  $R$ -module. are precisely

$$N \oplus (0) \quad , \quad (0) \oplus P$$
$$\begin{array}{c} \uparrow \quad \uparrow \\ \text{simple } A\text{-mod} \quad \text{simple } B\text{-mod} \end{array}$$

Cor:  $A, B$  semi-simple rings, then  $A \times B$  semi-simple

Let  $S_1, \dots, S_k$  simple  $A$ -mod up to isom,

$$\dots S'_1 \dots S'_k \dots B \dots \dots \dots$$

So we have  $k+l$  simple  $R$ -mod.  $S_i \oplus (0), (0) + S'_j$

Pf: Write  $A = I_1 \oplus \dots \oplus I_r, B = J_1 \oplus \dots \oplus J_s$  simple.

$\Rightarrow R = A \times B = A \oplus B = (I_1 \oplus 0) \oplus \dots \oplus (0 \oplus J_1) \oplus \dots$  All simple.

$\Rightarrow R$  is semi-simple  $R$ -mod, therefore s.s. ring.

Cor: If  $R = M_{n_1}(D) \times \dots \times M_{n_s}(D)$ , then

①  $R$  semi-simple ring

②  $R$  has precisely  $s$  simple module up to isom

$$(0) \oplus \dots \oplus (D_j^{\oplus n_j}) \oplus \dots \oplus (0)$$

Remark:  $R$  semi-simple  $\Leftrightarrow R^P$  semi-simple.

Application: Let  $k$  be a field.

Def: A  $k$ -algebra is a ring  $A$  together with a ring homo:

$k \hookrightarrow Z(A)$  (center of  $A$ )

Ex: a) A field extension  $\mathbb{F}/k$

b) A division ring with center =  $k$

c)  $k[G]$  group ring

d)  $M_n(k)$ , or  $M_n(\bar{A})$

e)  $M_n(D_1) \times \cdots \times M_n(D_s)$  if  $k \subseteq Z(D_i)$ ,  $\forall i$

$$\begin{array}{c} x \in Z(G) \\ \downarrow \\ \lambda(x\eta) = (\lambda x)\eta = x(\lambda\eta) \end{array}$$

Remark: Any  $k$ -alg  $A$  becomes a  $k$ -vector space.

often talk about fid.  $k$ -alg.

Cor: If  $R$  is a fid.  $k$ -algebra that is semi-simple,

then  $R \cong M_{n_1}(D_1) \times \cdots \times M_{n_s}(D_s)$ , where  $D_i$  is a fid.

division  $k$ -algebra. and  $\dim_k R = \sum_{i=1}^s n_i^2 \dim_k D_i$

Pf:  $R \cong M_{n_1}(D_1) \times \cdots \times M_{n_s}(D_s)$  as ring.  $D_i$  division ring.  $\downarrow Z \rightarrow (Z, \cdot_Z)$

$k$ -alg. ring homo  $k \rightarrow Z(R) = Z(M_{n_1}(D_1)) \times \cdots \times Z(M_{n_s}(D_s)) = Z(D_1) \times \cdots \times Z(D_s)$

$\xleftarrow{\text{uni. prop}}$  ring homo  $k \rightarrow Z(D_i)$

$\Rightarrow D_i$   $k$ -alg.

Lemma: Let  $k = \bar{k}$ ,  $D$  is a fid. division ring. then  $D = k$

Pf: If not. pick  $s \in D \setminus k$ . then  $k \subseteq k[S] \subseteq D$ . commutative subring.

finite dim  $\Rightarrow k[S]$  a field, contradiction.

Cor: If  $k = \bar{k}$ ,  $R$  a fid. semi-simple  $k$ -alg.

then  $R \cong M_{n_1}(k) \times \dots \times M_{n_r}(k)$

$S_i$  column vectors, as  $R$ -module.  $R \cong S_1^{\oplus n_1} \oplus \dots \oplus S_r^{\oplus n_r}$

•  $\exists$  div. algebra/ $\mathbb{C}$  that are much bigger than  $\mathbb{C}$  ( $\mathbb{C}(x)$ )

Thm: (Maschke)

(if  $\text{char } k \neq |G|$ )

Suppose  $G$  a finite group,  $k$  any field that  $|G| \in k^\times$

Then  $k[G]$  is a semi-simple ring.

Pf: if  $M$  is any  $k[G]$ -mod.  $N \subseteq M$  subrd,  $\exists N'$ , st.  $M = N \oplus N'$

First, find  $N' \leq M$   $k$ -subspace st.  $M = N \oplus N'$

$\rightarrow$  get projection map  $\pi: M \rightarrow N$ ,  $k$ -linear and  $\pi|_N = \text{id}$ .

Define  $f: M \rightarrow N$

$$m \mapsto \frac{1}{|G|} \sum_{\substack{g \in G \\ g^{-1}m \in N}} g(\pi(g^{-1}m))$$

claim:  $f$  is  $k[G]$ -linear and  $f|_N = \text{id}$ .  $(\Rightarrow M = N \oplus \ker f)$

Pf: 1)  $f$  is  $k$ -linear since  $\pi$  is  $k$ -linear and  $ag = ga \forall a \in k, g \in G$ .

$$\begin{aligned} \Rightarrow \text{for } h \in G, f(hm) &= \frac{1}{|G|} \sum_{g \in G} g(\pi(g^{-1}hm)) \quad g' = h^{-1}g \\ &= \frac{1}{|G|} \sum_{g \in G} hg'(\pi((g')^{-1}m)) \\ &= hf(m) \end{aligned}$$

3) by 1)+2),  $f$  is  $k[G]$ -linear.

$$4) \text{ for } n \in N, f(n) = \frac{1}{|G|} \sum_{\substack{g \in G \\ g^{-1}n \in N}} g(\pi(g^{-1}n)) = \frac{1}{|G|} \sum_{g \in G} g(g^{-1}n) = n$$

Remark: if  $\text{char } k \neq |G|$ , not semi-simple.

Representations of finite groups

$G$  finite group,  $k$  field.

Recall: A representation of  $G$  is a  $k$ -vector space + a group action

$G \times V \rightarrow V$ , s.t.  $\stackrel{x \mapsto gx}{V \rightarrow V}$  is linear  $\forall g \in G$ .

A homo  $V_1 \rightarrow V_2$  of  $G$  reps.  $V_1, V_2$  is a  $k$ -linear map

$f: V_1 \rightarrow V_2$  s.t.  $f(gx) = gf(x)$ ,  $\forall x \in V_1, \forall g \in G$ .

• rep of  $G \Leftrightarrow$  gp homo  $G \rightarrow GL(V)$

A rep  $V$  of  $G$  becomes a  $k[G]$ -module.

$$(\sum_{g \in G} \lambda_g g) \cdot x := \sum_{g \in G} \lambda_g (gx) \in V \quad \forall x \in V$$

and  $f: V_1 \rightarrow V_2$  becomes  $k[G]$ -linear:

• Conversely, if  $M$  a  $k[G]$ -module, can make it into  $G$ -rep

$$g \cdot x = gx \quad (G \subseteq k[G])$$

Set ("equiv. of categories")

$$\{ \text{reps of } G \text{ on } k\text{-vsp} \} \leftrightarrow \{ k[G]\text{-modules} \}.$$

Rk. If  $V$  is a rep,

$$G\text{-stable } k\text{-subspaces of } V \longleftrightarrow \text{submodules of } V$$

Ex: Reps of  $G$   $\hookrightarrow k[G]$ .

• Two group homos  $\rho_1, \rho_2: G \rightarrow GL(V)$  on same

$V$  are isom  $\Leftrightarrow$  they are conjugate by some  $x \in GL(V)$

i.e.,  $\rho_1(g) = x \rho_2(g) x^{-1}, \forall g \in G$ .

In particular, if  $\chi_1 \neq \chi_2: G \rightarrow GL(V)$  then  $\chi_1 \neq \chi_2$  as rep.

From now on,  $k = \mathbb{C}$  (mostly works for  $k = \bar{k}$ , char = 0)

And all representations are f.d.

Thm: Let  $S_1, \dots, S_r$  be irre. representations up to isom

①  $r = \#$  of conj classes of  $G$ .

② for every rep.  $V$ ,  $V \cong S_1^{\oplus n_1} \oplus \dots \oplus S_r^{\oplus n_r}$  with unique  $n_j$

③  $\mathbb{C}[G] \cong \bigoplus_{i=1}^r S_i^{\oplus \dim_{\mathbb{C}} S_i}$

④  $|G| = \sum_{i=1}^r (\dim_{\mathbb{C}} S_i)^2$

Pf:  $S_i$  are the simple  $\mathbb{C}[G]$ -modules.

Marchke + A-W

$$\Rightarrow \mathbb{C}[G] \cong M_{n_1}(\mathbb{C}) \times \cdots \times M_{n_r}(\mathbb{C})$$

and semi-simple modules are  $S_i = \mathbb{C}^{\oplus n_i}$  (up to isom)

Already saw ③, ( $\Rightarrow$  by taking dimension)

② in HN4 Q1

$$\text{① Recall: } \underbrace{\chi(\mathbb{C}[G])}_{\text{compute this}} = \chi(M_{n_1}(\mathbb{C}) \times \cdots \times M_{n_r}(\mathbb{C}))$$

$$\sum \lambda_g \cdot g \in \chi(\mathbb{C}[G])$$

$$\Leftrightarrow h(\sum \lambda_g \cdot g) = (\sum \lambda_g \cdot g)h$$

$$\Leftrightarrow \lambda_{hgh^{-1}} = \lambda_g, \forall g, h \in G$$

$\Leftrightarrow G \rightarrow \mathbb{C}$  is constant on conjugacy classes. ("class function")

$$\Rightarrow \chi(\mathbb{C}[G]) = \{ \text{char } f: f \text{ class function of } G \} \rightarrow \mathbb{C}$$

$\dim = \# \text{ conjugacy class} = r$  as above.

Example:  $G = S_3$ , 3 conjugacy classes:  $1+1+1, 1+2, 3$

By thm, 3 irre. repr.

Found: trivial, sign ( $\begin{matrix} \text{odd} \mapsto -1 \\ \text{even} \mapsto 1 \end{matrix}$ )

Called  $\chi_V$

$$\text{Theorem ④: } |S_3| = \overbrace{n_1^2 + n_2^2 + n_3^2}^{6} \Rightarrow n_3 = 4$$

2-dim:  $S_3 \cong D_6$  acts on  $\mathbb{R}^2$  (sym of  $\Delta$ )

Def: The character of a rep.  $V$  is the function

$$\chi_V: G \rightarrow GL(V) \xrightarrow{\text{trace}} \mathbb{C}$$

As  $\text{tr}(ABA^{-1}) = \text{tr}(B)$ , so  $\chi_V$  is a class function.

↪ notion of trivial / irred. rep.

Example:  $G = S_3$ , find all irred. chars:

1. sign:  $S_3 \rightarrow \mathbb{C}^{\times} \xrightarrow{\text{tr}} \mathbb{C}$

character table:	e	(12)	(123)
$\chi_1$	1	1	1
$\chi_{\text{sgn}}$	1	-1	1
$\chi_V$	2.	0	-1

$(12) \rightarrow \text{reflection}$

$$(123) \rightarrow \text{rotation} \rightarrow \begin{pmatrix} e^{\frac{2\pi i}{3}} \\ e^{-\frac{2\pi i}{3}} \end{pmatrix}$$

Lemma: If  $V$  is  $G$ -rep  $\Leftrightarrow f_V = G \rightarrow GL(V)$

$$\textcircled{1} \quad \chi_V(1) = \dim_{\mathbb{C}}(V)$$

$$\textcircled{2} \quad f_V(g) \text{ is diagonalizable } \forall g$$

$\textcircled{3}$  if  $g \in G$  has order  $n$ , then  $\chi_V(g)$  is a sum of  $(\dim V)$   $n^{\text{th}}$  root of unity.

$$\textcircled{4} \quad |\chi_V(g)| \leq \chi_V(1) \quad \forall g$$

$$\textcircled{5} \quad \overline{\chi_V(g)} = \overline{\chi_V(g)} \quad \forall g$$

Pf:  $\textcircled{1}$  obvious ( $f_V(1) = I$ )

$$\textcircled{2} \quad g^n = 1 \Rightarrow f_V(g)^n = 1 \text{ in } GL(V)$$

$\Leftrightarrow$  minimal polynomial of  $f_V(g)$  divides  $x^n - 1$

$\downarrow$  Fact:  $\alpha \in \text{GL}(V)$  diag  $\Leftrightarrow$  its min poly has no repeated roots.

$\Rightarrow f_V(g)$  is diag.

$G$ -rep  $(G \times V \rightarrow V)$



$G \rightarrow GL(V)$

$\mathbb{C}[G]$ -module

$$A-N \Rightarrow \text{# of irred-reps} = \text{# of conjugacy classes}$$

$$\mathbb{C}[G] \cong \bigoplus_{i=1}^r \mathbb{C}^{d_i} \quad (\text{dim}_{\mathbb{C}} S_i)$$

$$\Rightarrow |G| = \sum_{i=1}^r (d_i)^2$$

character:  $G \xrightarrow{f_V} \mathbb{C}L(V)$

$$\chi_V \downarrow \begin{matrix} \text{tr} \\ \mathbb{C} \end{matrix}$$

R<sup>P</sup>: (contd.)

$$\textcircled{3}: g^n = 1 \Rightarrow f_V(g)^n = \text{Id}$$

$$\Rightarrow f_V(g) \in \langle \zeta_1, \dots, \zeta_d \rangle, \zeta_i^n = 1$$

$$\textcircled{4}: |\chi_V(g)| = |\zeta_1 + \dots + \zeta_d| \leq d$$

$$\textcircled{5}: \chi_V(g^{-1}) = \bar{\zeta_1} + \dots + \bar{\zeta_d} = \overline{\zeta_1 + \dots + \zeta_d} = \overline{\chi_V(g)}$$

Prop: The irred characters are linearly independent / C

$\Rightarrow$  they form a C-basis of class functions.

R<sup>P</sup>: Define  $\tilde{\chi}_V: \mathbb{C}[G] \rightarrow \mathbb{C}$

$$x \mapsto \text{tr}(x \cdot V \rightarrow V) \quad (\tilde{\chi}_V|_G = \chi_V)$$

Say  $S_1, \dots, S_r$  are the simple  $\mathbb{C}[G]$ -module up to isom.

$$\text{If } \sum_{i=1}^r x_i \tilde{\chi}_{S_i} = 0 \quad (x_i \in \mathbb{C}) \text{ as } f_V: G \rightarrow \mathbb{C}$$

$$\Leftrightarrow \sum_{i=1}^r x_i \tilde{\chi}_{S_i} = 0 \text{ as } f_V: \mathbb{C}[G] \rightarrow \mathbb{C}$$

Write  $\mathbb{C}[G] \cong \bigoplus_{i=1}^r M_{n_i}(\mathbb{C}) \otimes \mathbb{C}^{\oplus n_i} = S_i$

Take  $x = (0, \dots, I, \dots, 0) \in \bigoplus_{j \neq i} \mathbb{C}^{n_j} \otimes \mathbb{C}^{n_i} : \sum x_i \tilde{\chi}_{S_i} = 0$

$$\Rightarrow x_j n_j = 0, \text{ so } x_j = 0, \forall j$$

$$= 0 \text{ if } j \neq i \\ = n_j \text{ if } j = i$$

Cor: ① Every character of G is uniquely of the form  $\sum_{i=1}^r l_i \chi_i$

$$\textcircled{2} V_1 \cong V_2 \Leftrightarrow \chi_{V_1} = \chi_{V_2}.$$

Def: If  $G$  acts on a finite group  $X$ , define a

Permutation representation :  $\mathbb{C}X := \left\{ \sum_{x \in X} \lambda_x \cdot x \mid \lambda_x \in \mathbb{C} \right\}$

(basis given by  $X$ ,  $\dim = |X|$ )

$$G\text{-rep: } g \cdot (\sum \lambda_x \cdot x) = \sum \lambda_x \cdot g \cdot x$$

Example: if  $G \curvearrowright G$  by  $g \cdot h = gh$ ,  $\mathbb{C}G = \mathbb{C}[G]$ .

Lemma: (permutation character)

$$\text{If } G \curvearrowright X, \chi_{\mathbb{C}X}(g) = \#\{x \in X \mid gx = x\}$$

Rf: Orbits of  $g$ :  $x_1 \mapsto x_2 \mapsto \dots \mapsto x_p \quad x_{p+1} \mapsto \dots$

$$\text{In this basis, } g: \begin{pmatrix} 0 & & & \\ 1 & 0 & \dots & 0 \\ & & \ddots & \\ & & 0 & 1 \end{pmatrix}$$

So  $\text{tr}(g) = \# \text{ of 1-orbits}$ .

Example:  $\chi_{\mathbb{C}G} = \begin{cases} |G| & \text{if } g=1 \\ 0 & \text{if } g \neq 1 \end{cases}$

Other representations:

If  $V, W$   $G$ -rep, get

$$V \oplus W : g(v, w) = (gv, gw)$$

$V \otimes W$  = tensor product as vector spaces

Recall:  $\exists$  bilinear fn  $V \times W \rightarrow V \otimes W$

$$(x, y) \mapsto x \otimes y.$$

universal prop: if  $V \times W \rightarrow U$  bilinear, then

$\exists!$  linear function  $V \otimes W \rightarrow U$ . s.t.

$$\begin{array}{ccc} V \times W & \xrightarrow{\text{bi-l}} & U \\ & \uparrow \text{lin} & \\ & \xrightarrow{\text{bi-l}} & V \otimes W \end{array}$$

If  $f_1: V_1 \rightarrow W_1$ ,  $f_2: V_2 \rightarrow W_2$  linear, get

unique linear  $V_1 \otimes V_2 \rightarrow W_1 \otimes W_2$

$$x \otimes y \mapsto f_1(x) \otimes f_2(y)$$

Fat: if  $(e_i), (f_j)$  basis of  $V, W$

then  $(e_i \otimes f_j)$  basis of  $V \otimes W$

For  $V \otimes W$ ,  $g \in G$ ,  $V \otimes W \rightarrow V \otimes W$

$$f_{V(g)} \otimes f_{W(g)}$$

$$\text{Hom}_G(V, W) := \{f: V \rightarrow W \mid f \text{ G-linear}\}$$

$g \in G$  acts as  $\text{Hom}_G(V, W) \rightarrow \text{Hom}_G(V, W)$

$$f \mapsto f_{V(g)} \circ f \circ f_{W(g)^{-1}}$$

$$\text{i.e. } (x \mapsto g f(g^{-1} x))$$

$V^* := \text{Hom}_G(V, \mathbb{C})$ , so special case of Hom-hop

concretely,  $V^* \rightarrow V^*$

$$f \mapsto (x \mapsto f(g^{-1} x))$$

Lemma:  $\text{Hom}_G(V, W) \cong V^* \otimes W$  as  $G$ -hops.

Pf: By univ. prop, we have linear map

$$V^* \otimes_G W \rightarrow \text{Hom}_G(V, W)$$

$$\theta = (f \otimes w \mapsto (v \mapsto f(v)w))$$

Check this is a map of  $G$ -hops

$$f \otimes w \xrightarrow{\theta} (v \mapsto f(v)w)$$

$$\downarrow g$$

$$\downarrow g$$

$$g \circ f \otimes g \circ w \xrightarrow{\theta} v \mapsto g[f(g^*v)w] = f(g^*v)gw$$

$\xrightarrow{f(g^*v)}$

Check  $\theta$  is a linear map: pick bases  $e_1, \dots, e_m$  of  $V$   
 $\xrightarrow{e_i^*}$   $e_1^*, \dots, e_m^*$  of  $V^*$   
 $f_1, \dots, f_n$  of  $W$ .  $(\text{real } e_i^* e_j)$

$\Rightarrow e_i^* \otimes f_j$  basis of  $V^* \otimes W$

$$\theta(e_i^* \otimes f_j) \in \text{Hom}_{\mathbb{C}}(V \otimes W) \cong M_{n \times m}(\mathbb{C})$$

sends  $e_k \mapsto e_i^* e_k f_j = S_{ik} f_j$

matrix  $\begin{pmatrix} 0 & \cdots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \cdots & 0 \end{pmatrix} \leftarrow j$

Lemma: ①  $\chi_{V \otimes W} = \chi_V \cdot \chi_W$

②  $\chi_{V \otimes W} = \chi_V \cdot \chi_W$

③  $\chi_{\text{Hom}(V,W)} = \overline{\chi_V} \cdot \chi_W$

④  $\chi_{V^*} = \overline{\chi_V}$

Pf: ① obvious

② NT if  $f: V \rightarrow V$ ,  $h: W \rightarrow W$  linear,

then  $\text{tr}(f \otimes h) = \text{tr}(f) \text{tr}(h)$

Pick basis  $(e_i)$  of  $V$ ,  $(f_j)$  of  $W$ ,

$$f(e_i) = \sum_j a_{ji} e_j$$

$$h(f_j) = \sum_k b_{jk} f_k$$

$$(f \otimes h)(e_i \otimes f_k) = f(e_i) \otimes h(f_k)$$

$$= \underbrace{\sum_j \sum_k a_{ji} b_{jk} (e_j \otimes f_k)}_{\text{coeff of } e_i \otimes f_k \text{ equals } a_{ii} b_{kk}}$$

coeff of  $e_i \otimes f_k$  equals  $a_{ii} b_{kk}$

$$\text{So } \text{tr}(f \otimes h) = \sum_i \sum_k a_{ii} b_{kk} = \text{tr}(f) \text{tr}(h)$$

④  $g: V^* \rightarrow V^*$

$f \mapsto f \circ g^{-1}$  is the transpose map of  $g^{-1}: V \rightarrow V$

So  $\text{Tr}(g \text{ on } V^*) = \text{Tr}(g^{-1} \text{ on } V)$

$$\Rightarrow \chi_{V^*|g} = \chi_{V|g^{-1}} = \overline{\chi_{V|g}}$$

③  $\text{Hom}_G(V, W) \cong V^* \otimes W$

$$\text{so } \chi_{\text{Hom}(V, W)} \stackrel{(2)}{=} \chi_{V^*} \chi_W \oplus \overline{\chi_V} \cdot \chi_W$$

Def: If  $\chi, \psi: G \rightarrow \mathbb{C}$  class functions

define  $\langle \chi, \psi \rangle := \frac{1}{|G|} \sum_{g \in G} \chi(g) \overline{\psi(g)} \in \mathbb{C}$  is pos. def. hermitian inner product

$$= \frac{1}{|G|} \sum_{i=1}^r |\text{cl}(g_i)| \underbrace{\chi(g_i) \overline{\psi(g_i)}}_{\text{conj. class}}$$

Lemma: If  $V$  is a  $G$ -np, then

$$\langle \chi_V, 1 \rangle = \dim_G(V), \text{ where } V^G = \{x \in V \mid x = gx, \forall g\}$$

Pf: Define  $\pi: V \rightarrow V^G$

$$x \mapsto \frac{1}{|G|} \sum_{g \in G} gx \quad \text{then } \pi|_{V^G} = \text{id.} \Rightarrow \pi^2 = \pi$$

$$\Rightarrow V = V^G \oplus \ker(\pi)$$

$$X \mapsto (\underbrace{\pi(X)}_{\pi = \text{id}}, \underbrace{X - \pi(X)}_{\pi = 0})$$

So  $\text{Tr}(\pi) = \dim(V^G)$

$$\frac{1}{|G|} \sum_{g \in G} \underbrace{\text{Tr}(g: V \rightarrow V)}_{\chi_{V|g}} = \langle \chi_V, 1 \rangle$$

1	3	6
4	(12)	(123)
5	-1	1
2	0	-1
3	(2)	(3)

Burnside's Thm: If  $G$  is a simple gp. of order  $p^aq^b$  ( $p, q$  prime), then  $G$  is abelian

Reminders:

- $G$  gp.,  $|G| = p^n \Rightarrow \chi(G) \neq 1$  ( $\Rightarrow$  proves Burnside if  $|G| = p^n$ )
- $R \subset S$  ring wtf, finite  $\Rightarrow$  integral  $\tilde{\mathbb{Z}} = \text{int. closure of } \mathbb{Z} \text{ in } \mathbb{C}$ ,  $\tilde{\mathbb{Z}} \cap \mathbb{Q} = \mathbb{Z}$
- Characters:  $\chi = \chi_V$ ,  $\chi_V(g) \in \tilde{\mathbb{Z}}$ ,  $|\chi_V(g)| \leq \chi_V(1) = \dim V$ .  
Equal  $\Leftrightarrow \chi(g)$  is scalar.

Prop: If  $V$  is an irre. rep of  $G$ , then  $\dim V = \chi_V(1)$  divides  $|G|$

Pf: Let  $g_1, \dots, g_r$  represent the cong classes

Let  $e_i = \sum_{g \in \text{cc}(g_i)} g \in \mathbb{Z}(G[G])$ , even in  $\mathbb{Z}(G[G])$

Note: ring extn  $\mathbb{Z}(G[G])$  finite ( $\text{dim}_\mathbb{C} V \in \mathbb{Z}[G]$ ,  $\mathbb{Z}$  noetherian)

so integral, so  $e_i$  integral / $\mathbb{Z}$

Have ring homo:  $\Psi: \mathbb{Z}(G[G]) \xrightarrow{\text{schur}} \text{End}(V) \cong \mathbb{C}$

$$z \mapsto (z: V \rightarrow V) = \begin{pmatrix} \Psi(z) & \\ & \Psi(z) \end{pmatrix}$$

$e_i$  is integral / $\mathbb{Z} \Rightarrow \Psi(e_i) \in \tilde{\mathbb{Z}}$

Compute  $\text{tr}(e_i \cdot V \rightarrow V) = n \cdot \Psi(e_i)$ , where  $n := \dim_{\mathbb{C}} V$

$$\sum_{g \in G} \text{tr}(g) = |\text{cc}(g_i)| \chi_V(g_i)$$

$$\Rightarrow \frac{|\text{cc}(g_i)|}{n} \cdot \chi_V(g_i) \in \tilde{\mathbb{Z}}$$

$$\Rightarrow \frac{n}{n} \geq \sum_{j=1}^r \frac{|\text{cc}(g_j)|}{n} \cdot \chi_V(g_j) \frac{\in \tilde{\mathbb{Z}}}{\text{cc}(g_j)} = \frac{|G|}{n} \langle \chi_V, \chi_V \rangle \Rightarrow \frac{|G|}{n} \in \tilde{\mathbb{Z}} \cap \mathbb{Q} = \mathbb{Z}$$

Lemma: Suppose  $V$  is an irre. rep of dim  $n$ .  $C_j := |\text{cc}(g_j)|$

If  $\gcd(n, C_j) = 1$ , then  $|\chi_V(g_j)| = 0$  or  $n (= \chi_V(1))$

Pf: By above,  $\frac{C_j}{n} \chi_V(g_j) \in \tilde{\mathbb{Z}}$ , also  $\chi_V(g_j) \in \tilde{\mathbb{Z}}$

as  $\gcd(n, C_j) = 1$ ,  $1 = an + bC_j \Rightarrow \frac{1}{n} \underbrace{\chi_V(g_j)}_{:= \alpha} \in \tilde{\mathbb{Z}}$

$$\text{Also, } |\alpha| = \frac{|\chi_V(g_j)|}{\chi_V(1)} \leq 1$$

Let  $N := \text{Galois closure of } \mathbb{Q}(\alpha)/\mathbb{Q}$ ,  $G := \text{Gal}(N/\mathbb{Q})$

$\beta = \prod_{\sigma \in G} \sigma(\alpha) \in N^G = \mathbb{Q}$ . but also  $\sigma(\alpha) \in \tilde{\mathbb{Z}}, \forall \sigma$

$$\Rightarrow \beta \in \tilde{\mathbb{Z}} \Rightarrow \beta \in \mathbb{Z}$$

Now,  $\alpha = \frac{\chi_{\{g\}}}{n} = \frac{\zeta_1 + \dots + \zeta_n}{n}$  ( $\zeta_i$  some roots of unity)

$$|\zeta(\alpha)| = \left| \frac{\zeta(\zeta_1) + \dots + \zeta(\zeta_n)}{n} \right| \leq 1, \quad \forall \zeta$$

$$\Rightarrow |\beta| \leq 1 \stackrel{\beta \in \mathbb{Z}}{\Leftrightarrow} |\beta| = 0 \text{ or } 1 \Rightarrow |\alpha| = 0 \text{ or } 1$$

Thm: (Burnside)  $p \neq q$  primes (Showed: G abe)

If  $|G| = p^a q^b$ , then  $G$  is solvable.

Pf: Take composition series:

$$1 = G_0 \trianglelefteq G_1 \trianglelefteq \dots \trianglelefteq G_n = G, \quad G_i/G_{i-1} \text{ simple.}$$

So WLOG  $G$  simple,  $\exists \gamma \in G$  abelian

By construction: Assume  $G$  is non-abelian, simple.  $|G| = p^a q^b$

As  $p$ -groups solvable,  $a > 0, b > 0$ .

Let  $\text{triv} = \chi_1, \dots, \chi_r$  be irreps of  $G$ ,  $\chi_i = \chi_{V_i}$ .

Let  $Z_i = \{g \in G \mid |\chi_i(g)| = \chi_i(1)\} \Leftrightarrow \rho_{V_i}(g)$  is a scalar

so  $Z_i \trianglelefteq G$

$G$  is simple  $\Rightarrow Z_i = 1$  or  $Z_i = G$

If  $Z_i = G$ ,  $\Rightarrow G$  acts as scalars of  $V_i \Rightarrow \dim V_i = 1$

$\rho_{V_i}: G \rightarrow \mathbb{C}^\times$ , so ker = 1 or  $G$   
contradicts  $V_i = 1$   
to  $G$  non-abe  $\Rightarrow i = 1$

$\Rightarrow Z_i = 1, \forall i > 1$  \*

Take  $1 \neq Q \trianglelefteq G$ , a  $q$ -Sylow group,  $|Q| = q^b > 1$  ( $\Rightarrow Z(Q) \neq 1$ )

Take  $h \in Z(Q) \setminus \{1\}$

$$\Rightarrow C_G(h) \triangleright Q \Rightarrow \underbrace{(G : C_G(h))}_{|\text{ccl}(h)|} | (G : Q) = p^a$$

$$\text{Recall: } \chi_i(1) \mid |G| = p^a q^b$$

If  $p \nmid \chi_i(1)$ , then  $\chi_i(1) \mid q^b$ , so  $\gcd(\chi_i(1), |\text{ccl}(h)|) = 1$

$$\Rightarrow |\chi_i(h)| = 0 \text{ or } \chi_i(1)$$

in this case,  $h \in \mathbb{Z}$   
 $\Rightarrow i=1$

If  $p \nmid \chi_{i(1)}$  and  $i > 1$ , then  $\chi_i(h) = 0$

Column orthogonality: use  $h \neq 1$ :

$$0 = \sum_{i=1}^r \chi_{i(1)} \chi_{i(1)} = 1 + \sum_{\substack{i>1 \\ p \nmid h(i)}} \underbrace{\chi_{i(1)} \cdot \chi_{i(h)}}_{\substack{\text{c.p.r} \\ \in \mathbb{Z}}} \in \mathbb{Z}$$

$$\Rightarrow \frac{-1}{p} \in \mathbb{Z} \cap \mathbb{Q} = \mathbb{Z}, \text{ contradiction.}$$

## Restriction + induction

Suppose  $H \leq G$ , then  $V|_H: H \rightarrow \text{GL}(V)$  is a representation of  $H$

Other way: If  $W$  is an  $H$ -rep, let

$$\text{Ind}_H^G W := \{f: G \rightarrow W : f(hg) = h \underbrace{f(g)}_W, \forall h \in H, g \in G\}.$$

- $\mathbb{C}$ -vector space by pointwise operations
- $G$ -action: if  $v \in G$ ,  $f \in \text{Ind}_H^G W$ , let  $v(f) = G \rightarrow W$

$$\text{then } v(f) \in \text{Ind}_H^G W : hg \mapsto f(hg)v = h \underbrace{f(g)v}_W$$

Check:  $\text{Ind}_H^G W$  becomes a  $G$ -rep this way.

Remark:  $\dim_{\mathbb{C}} (\text{Ind}_H^G W) = (G:H) \cdot \dim_{\mathbb{C}} W$

Rk:  $\dim_{\mathbb{C}} (\text{Ind}_H^G W) = (G:H) \cdot \dim_{\mathbb{C}} W$ .  
 (point: if  $G = Hg_1 \sqcup \dots \sqcup Hg_n$ ,  $n = (G:H)$ )  
 then  $\text{Ind}_H^G W \xrightarrow{\sim} W^{\oplus n}$   $\mathbb{C}$ -linear map.  
 $f \mapsto (f(g_1), \dots, f(g_n))$

Ex:  $\text{Ind}_H^G W \cong W$ .  
 $\text{Ind}_H^G (\mathbb{C}) \cong \{f: G \rightarrow \mathbb{C}\} \cong \mathbb{C}[G]$  reg. rep.

Thm: (Frobenius reciprocity)

$V$   $G$ -rep,  $W$   $H$ -rep

$$\text{Hom}_G(V, \text{Ind}_H^G W) \cong \text{Hom}_H(V|_H, W)$$