sequenza usage example

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1 Abstract

Deep sequence of tumor DNA along with corresponding normal DNA can provide a rich picture of the mutations and aberrations that characterize the tumor. However, analysis of this data can be impeded by of tumor cellularity and heterogeneity and by unwieldy data. Here we describe the *sequenza* software system, which comprises a fast python-based pre-processor and an R-based analysis package. Sequenza enables the efficient estimation of tumor cellularity and ploidy, and generation of copy number, loss-of-heterozygosity, and mutation frequency profiles.

This document details a typical analysis of matched tumor-normal exome sequence data using *sequenza*.

2 Getting started

2.1 Minimum requirements

- Software: R, Python

- Operating system: Linux, OSX

- Memory: Minimum 4GB of RAM. Recommended >8GB.

- Disk space: 1.5 GB for sample

- R version: 2.15.1

- Python version: 2.7; rpy2 for running sequenza R functions from python.

2.2 Installation

In order to install sequenza, you can select obtain the package from the nearest CRAN mirror doing:

```
> install.packages("sequenza")
```

2.3 Workflow Overview

A typical workflow by Sequenza is as follow :

1. Convert pileup to *ABfreq*

2. GC normalization

3. Allele-specific segmentation using the depth ratio and the B allele frequencies

4. Infer cellularity and DNA-index by model fitting

5. Call CNV and variant alleles

2.4 Preparing inputs for Sequenza

In order to obtain precise mutational and aberration patterns in a tumor sample, Sequenza requires a matched normal sample from the same patient. In short, the following files are needed to get started with Sequenza.

1. A bam file or a derived pileup file from the tumor specimen.

2. A bam file or a derived pileup file from the normal specimen.

3. A FASTA reference genomic sequence file (optional, for GC-content correction, and to transform bam to pileup if needed.)

We recommend using pre-processed and quality filtered BAM files to obtain pileup calls for both samples.

Pileup files can be generated using `samtools` (ref). The genome sequence file can be obtained from (url).

```
1   samtools mpileup −f hg19.fasta −Q 20 normal.bam | gzip > normal.pileup.gz
2   samtools mpileup −f hg19.fasta −Q 20 tumor.bam | gzip > tumor.pileup.gz
```

2.5 Processing the data with *sequenza-utils.py.*

For convenience and efficiency we have implemented pre-processing algorithms in an standalone (not called from R) Python program. Although, the program is provided with the package; it's exact location can be found like this:

```
> system.file("exec", "sequenza-utils.py", package="sequenza")
```

```
[1] "/usr/local/Cellar/r/3.0.1/R.framework/Versions/3.0/Resources/library/sequenza/exe
```

You may wish to copy this program to a location on your path.

To obtain the GC content information (required to obtain an *ABfreq* file), is possible to use a function from sequenza-utils, and extract the average GC content using a fixed genomic windows, or download the gc5Base from golden path (`http://hgdownload-test.cse.ucsc.edu/goldenPath/hg19/gc5Base/`). The following example is by using a 50 nucleotides window:

```
1   sequenza−utils.py GC−windows −w 50 hg19.fa | gzip > hg19.gc50Base.txt.gz
```

When the GC content file is available, it is possible to process the two pileup files to obtain an *ABfreq* file containing genotype information, alleles and mutation frequency, and more other features.

```
1   sequenza−utils.py pileup2abfreq −gc hg19.gc50Base.txt.gz \
2                                    −r normal.pileup.gz \
3                                    −s tumor.pileup.gz | gzip > out.abfreq.gz
```

If you don't have yet the pileup, or you are not interested on storing the pileup for further use, you can use two *FIFO* files, to pipe the samtools output directly to *sequenza-utils*:

```
1   mkfifo normal.fifo tumor.fifo
2   samtools mpileup −f hg19.fasta −Q 20 normal.bam > normal.fifo &
3   samtools mpileup −f hg19.fasta −Q 20 tumor.bam > tumor.fifo &
4   sequenza−utils.py pileup2abfreq −gc hg19.gc50Base.txt.gz \
5                                   −r normal.fifo \
6                                   −s tumor.fifo | gzip > out.abfreq.gz
7   rm normal.fifo tumor.fifo
```

3   Exploring the *ABfreq* file and GC-correction

After the aligned sequence data have been pre-processed, the *sequenza* R package would handle all the normalization and analysis steps. So the following part of this vignette will take place in R. Load the sequenza package:

```
> library("sequenza")
```

3.1   Read the file

In the package we provide an example file, to find the complete path of the example data file:

```
> data.file <-  system.file("data", "abf.data.abfreq.txt.gz", package = "sequenza")
> data.file
```

```
[1] "/usr/local/Cellar/r/3.0.1/R.framework/Versions/3.0/Resources/library/sequenza/dat
```

The ABfreq file can be read all at once, but processing one chromosome at a time is less demanding on computational resources and might be preferable for small resource system, or when processing WGS data.

Read only the data corresponding to chromosome 1:

```
> abf.data <- read.abfreq(data.file, chr.name = "1")
```

Alternatively, read all data at once:

```
> abf.data <- read.abfreq(data.file)
```

```
> str(abf.data)
```

```
'data.frame':          45003 obs. of  13 variables:
 $ chromosome              : chr  "1" "1" "1" "1" ...
 $ n.base                  : int  133037 330227 883223 884960 896946 901828 910282 9
 $ base.ref                : chr  "T" "G" "T" "C" ...
 $ depth.normal            : int  170 9 126 29 151 39 45 350 95 24 ...
 $ depth.sample            : int  130 13 96 23 131 40 34 359 69 25 ...
 $ depth.ratio             : num  0.765 1.444 0.762 0.793 0.868 ...
 $ Af                      : num  0.573 0.615 0.533 0.524 0.557 0.563 0.594 0.526 0.
 $ Bf                      : num  0.427 0.385 0.467 0.476 0.443 0.438 0.406 0.474 0.
 $ ref.zygosity            : chr  "het" "het" "het" "het" ...
 $ GC.percent              : num  38 56 50 68 66 62 36 54 64 48 ...
 $ sample.reads.above.quality: num  0.95 1 0.96 0.91 0.88 0.8 0.94 0.59 0.96 0.96 ...
 $ AB.germline             : chr  "CT" "CT" "CT" "CT" ...
 $ AB.sample               : chr  "." "." "." "." ...
```

It is also provided a way to read the file even faster, after mapping the chromosomes location in the file, it is possible to select the coordinate (in terms of from line x to line y) of the file to read. see the man page of *read.abfreq* for an example.

3.2   Quality control and normalization

Each aligned base, in the next generation sequencing, is associate with a quality score. The *sequenza-utils* software is capable of filtering the base with a quality score lower then a specified value (default is 20). The rate of reads that have passed the filter in returned the column *sample.reads.above.quality*, while the *depth.sample* column contains the raw depth indicated in the pileup (from samtools). The product :

```
> abf.data$good.s.reads <- abf.data$depth.sample *
+                          abf.data$sample.reads.above.quality
```

Would roughly indicate the actual number of reads that passed the quality filter for each position. The column *good.s.reads* (good sample reads) is required in many function in a usual *sequenza* workflow.

3.3   GC-normalization

The GC content bias is affecting most of the sample, however some sample are more biased then other. We attempt to remove this bias by normalize by the mean depth ratio value of a corresponding GC content value.

It is possible to gather gc-content information from the entire file and in the meantime map the chromosome position in the file (to fast access chromosome by chromosome later, see ?read.abfreq):

```
> gc.stats <- gc.sample.stats(data.file)
```

```
> str(gc.stats)

List of 6
 $ raw          : num [1:43, 1:3] 0.625 0.504 0.534 0.406 0.502 ...
  ..- attr(*, "dimnames")=List of 2
  .. ..$ : chr [1:43] "8" "10" "12" "14" ...
  .. ..$ : chr [1:3] "25%" "50%" "75%"
 $ adj          : num [1:43, 1:3] 0.866 0.662 0.775 0.601 0.64 ...
  ..- attr(*, "dimnames")=List of 2
  .. ..$ : chr [1:43] "8" "10" "12" "14" ...
  .. ..$ : chr [1:3] "25%" "50%" "75%"
 $ gc.values    : num [1:43] 8 10 12 14 16 18 20 22 24 26 ...
 $ raw.mean     : Named num [1:43] 0.843 0.829 0.7 0.684 0.821 ...
  ..- attr(*, "names")= chr [1:43] "8" "10" "12" "14" ...
 $ raw.median   : Named num [1:43] 0.722 0.762 0.69 0.675 0.785 ...
  ..- attr(*, "names")= chr [1:43] "8" "10" "12" "14" ...
 $ file.metrics:'data.frame':        23 obs. of  4 variables:
  ..$ chr    : Factor w/ 23 levels "1","10","11",..: 1 2 3 4 5 6 7 8 9 10 ...
  ..$ n.lines: int [1:23] 4542 2430 2455 2190 1021 1395 1709 1931 2594 835 ...
  ..$ start  : num [1:23] 1 4543 6973 9428 11618 ...
  ..$ end    : num [1:23] 4542 6972 9427 11617 12638 ...
```

Or alternatively, it is possible to collect the GC-contents information from an object already loaded in the environment.

```
> gc.stats <- gc.norm(ratio = abf.data$depth.ratio,
+                      gc = abf.data$GC.percent)
```

In either case the the normalization to the depth.ratio is performed in the following way:

```
> gc.vect  <- setNames(gc.stats$raw.mean, gc.stats$gc.values)
> abf.data$adjusted.ratio <- abf.data$depth.ratio /
+                            gc.vect[as.character(abf.data$GC.percent)]
```

```
> par(mfrow = c(1,2), cex = 1, las = 1, bty = 'l')
> matplot(gc.stats$gc.values, gc.stats$raw,
+          type = 'b', col = 1, pch = c(1, 19, 1), lty = c(2, 1, 2),
+          xlab = 'GC content (%)', ylab = 'Uncorrected depth ratio')
> legend('topright', legend = colnames(gc.stats$raw), pch = c(1, 19, 1))
> hist2(abf.data$depth.ratio, abf.data$adjusted.ratio,
+        breaks = prettyLog, key = vkey, panel.first = abline(0, 1, lty = 2),
+        xlab = 'Uncorrected depth ratio', ylab = 'GC-adjusted depth ratio')
```
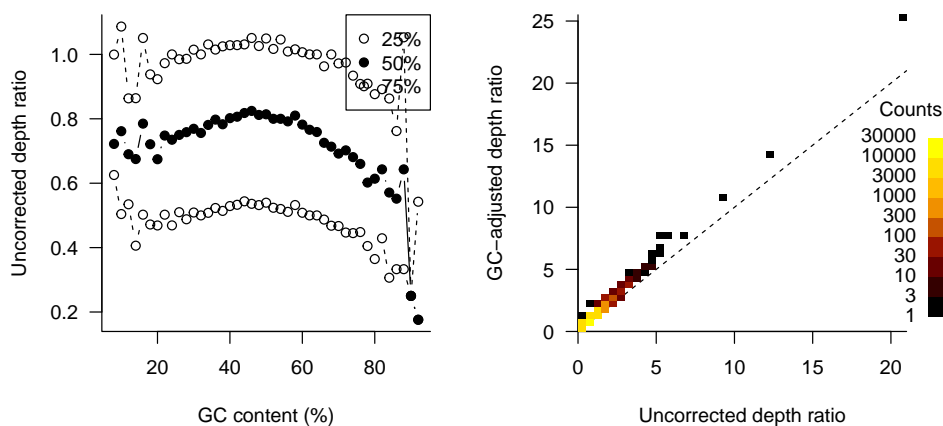

Figure 1: Display of depth.ratio bias in relation of GC content (left), and resulting normalization effect (right).

4   Analysing sequencing with *sequenza*

The R package *sequenza* offers an ensemble of functions and models that can be used to design customized workflows and analysis. However we provide a golden standard procedure, aimed to quickly perform and satisfy the majority of the cases, and therefore to easily introduce the user to use sequenza for their own data. The analysis is mainly divided in 3 separate steps:

- Extract the relevant information from the raw *ABfreq* file.

- Fit the *sequenza* model to infer cellularity and ploidy (dna.index).

- Apply the estimated parameter to detect CNV variant alleles

4.1 Extract the information from the *ABfreq* file.

The function *sequenza.extract* is designed to efficiently access the raw ABfreq data and take care of normalization steps. The argument provide the ability of customize a set of action listed below:

- binning depth ratio and B allele frequency in a desired window size (allowing a desired number of overlapping windows);

- performing a fast, allele specific segmentation using the *copynumber* package;

- filter mutation by frequency and noise.

```
> test <- sequenza.extract(data.file)
> names(test)
```

After the raw data is processed, the size of the data is considerably reduced. For instance the object resulting from *sequenza.extract* can be stored as a R object within a size contained in few megabytes, even for whole genome sequencing data.

The result of this first step consist in a list of lists. All the sub-lists have a different information subdivided by chromosome. Every list share the same chromosome order.

4.1.1 Plot chromosome view with mutations, BAF, depth ratio and segments

Each chromosome can be visualize using the function *chromosome.view* as in figure 2. The same function can be used to visualize the data after the estimation of cellularity and dna.index parameters as in figure 5

```
> chromosome.view(mut.tab = test$mutations[[1]], baf.windows = test$BAF[[1]],
+                 ratio.windows = test$ratio[[1]], min.N.ratio = 1,
+                 segments = test$segments[[1]], main = test$chromosomes[1])
```
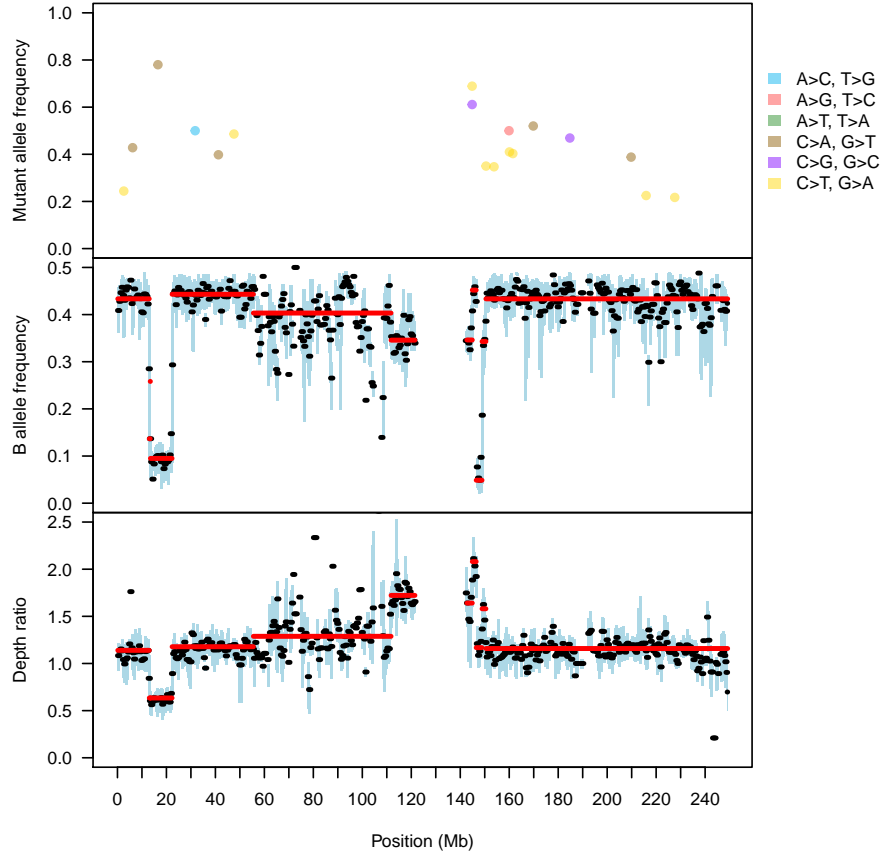

Figure 2: Plots of Mutation (top), B-allele frequencies (middle) and depth ratio (bottom) for chromosome position.

4.2   Inference of cellularity and ploidy (DNA index)

After the raw data is conveniently processed, we can apply the Bayesian inference implemented in the package. The function *sequenza.fit* perform the inference using the calculated B allele frequency and depth ratio of the obtained segments. The method can be explored in more detail by looking the manual pages for the function *baf.model.fit*.

```
> CP <- sequenza.fit(test)
```

The result is a list in the format *list(x ,y, z)* directly usable by standard graphical function, like *image*. However we provide few customized functions do better display

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and explore the results, detect the confidence intervals, and finally retrieve the point estimate.

4.2.1   Confidence intervals, confidence region and point estimate

The object resulting from *sequenza.fit* have two vectors, x and y, indicating respectively the tested values of dna.index and cellularity, and a matrix z with x columns and y rows, containing the estimated log-likelihood. It is possible to calculate the confidence intervals for the two parameters using the function *get.ci*

```
> cint <- get.ci(CP)
```

As well it is possible to plot the likelihood over the combinations of the two parameters, highlighting the point estimate and the confidence region.

```
> cp.plot(CP)
> cp.plot.contours(CP, add = TRUE, likThresh = c(0.999))
```
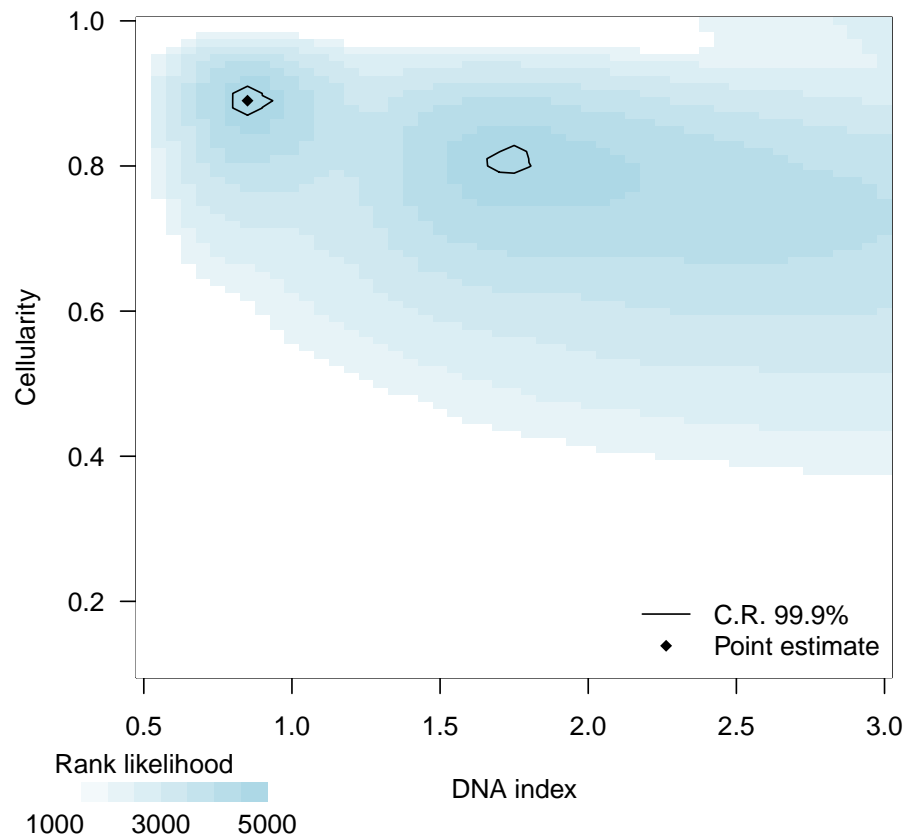

Figure 3: Result from the Bayesian inference over the defined range of cellularity and DNA index. The color indicates the log-likelihood of the corresponding cellularity/DNA index values.

Exploring the result for cellularity and dna.index separately, it is possible to draw the likelihood distribution for each parameter. The information is returned by the *get.ci* function.

```
> par(mfrow = c(2,2))
> cp.plot(CP)
> cp.plot.contours(CP, add = TRUE)
> plot(cint$values.y, ylab = "Cellularity",
+      xlab = "likelihood", type = "n")
> select <- cint$confint.y[1] <= cint$values.y[,2] &
+           cint$values.y[,2] <= cint$confint.y[2]
> polygon(y = c(cint$confint.y[1], cint$values.y[select, 2], cint$confint.y[2]),
+         x = c(0, cint$values.y[select, 1], 0), col='red', border=NA)
> lines(cint$values.y)
> abline(h = cint$max.y, lty = 2, lwd = 0.5)
> plot(cint$values.x, xlab = "DNA index",
+      ylab = "likelihood", type = "n")
> select <- cint$confint.x[1] <= cint$values.x[,1] &
+           cint$values.x[,1] <= cint$confint.x[2]
> polygon(x = c(cint$confint.x[1], cint$values.x[select, 1], cint$confint.x[2]),
+         y = c(0, cint$values.x[select, 2], 0), col='red', border=NA)
> lines(cint$values.x)
> abline(v = cint$max.x, lty = 2, lwd = 0.5)
>
```
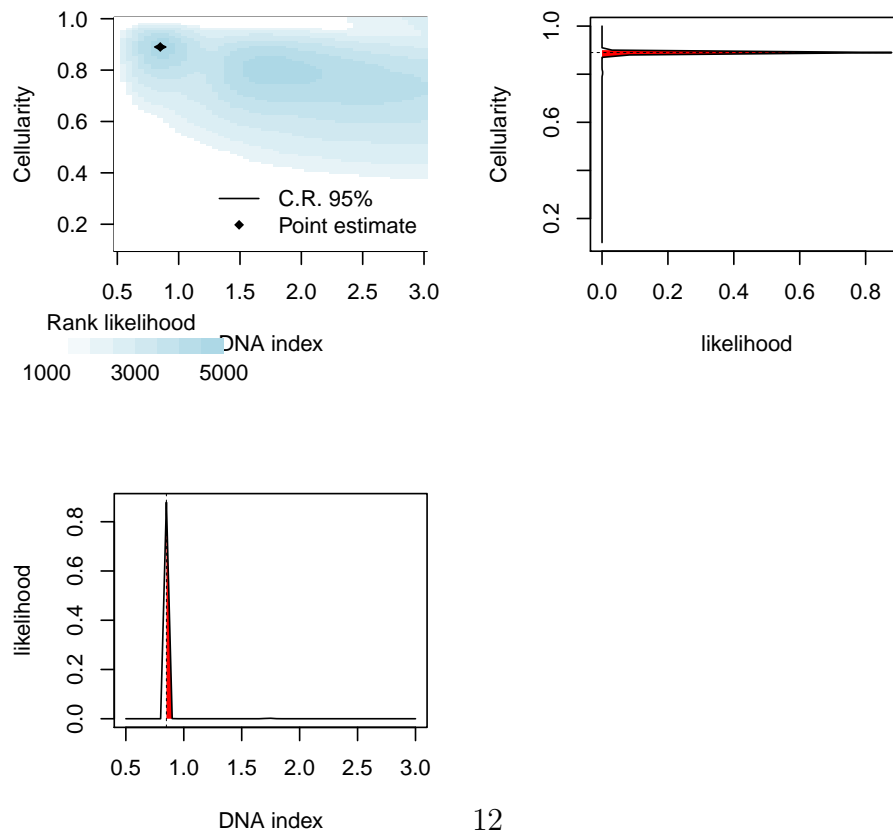

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Figure 4: Plot of the log likelihood with respective cellularity and DNA-index probability distribution and confidence intervals.

4.3 Call CNVs and mutations using the estimated parameters

The point estimate value correspond to the point of maximum likelihood, detected after the confidence interval computation:

```
> cellularity <- cint$max.y
> cellularity

[1] 0.89

> dna.index <- cint$max.x
> dna.index

[1] 0.85
```

In addition we need to calculate the average normalized depth ratio, used to set a value for the baseline copy number.

```
> avg.depth.ratio <- mean(test$gc$adj[, 2])
```

4.3.1 Detect variant alleles (mutations)

To detect variant alleles we use a mutation frequency model, implemented in the function *mufreq.bayes*, with the estimated cellularity and dna.index parameters:

```
> mut.tab     <- na.exclude(do.call(rbind, test$mutations))
> mut.alleles <- mufreq.bayes(mufreq = mut.tab$F,
+                             depth.ratio = mut.tab$adjusted.ratio,
+                             cellularity = cellularity, dna.index = dna.index,
+                             avg.depth.ratio = avg.depth.ratio)
> head(mut.alleles)

   CNr CNt Mt          L
4    2   2  1 -25.30391
41   2   2  1 -12.49285
2    2   1  1 -12.33863
42   2   2  1 -13.30915
43   2   2  1 -12.99802
44   2   2  1 -13.01904

> head(cbind(mut.tab[,c("chromosome","n.base","F","adjusted.ratio", "mutation")],
+            mut.alleles))
```

```
        chromosome   n.base     F adjusted.ratio mutation CNr CNt Mt        L
1.95             1  2585089 0.244      1.1393516      C>T   2   2  1 -25.30391
1.162            1  6197233 0.428      1.1393516      G>T   2   2  1 -12.49285
1.673            1 16535060 0.780      0.6341823      G>T   2   1  1 -12.33863
1.1253           1 31740870 0.500      1.1779920      T>G   2   2  1 -13.30915
1.1446           1 41234537 0.398      1.1779920      C>A   2   2  1 -12.99802
1.1576           1 47583731 0.486      1.1779920      C>T   2   2  1 -13.01904
```

The result consists in four values for every imputed mutation: *CNr* Is the provided copy number of the normal sample at the given position (default = 2); *CNt* is the estimated copy number of the tumor at the given position; *Mt* is the estimated numbers of alleles carrying the mutation; *L* is the log-likelihood of the model fit.;

4.3.2 Detect copy number variations

To detect copy number variations we use a B allele frequency model, implemented in the function *baf.bayes*, with the estimated parameters of cellularity and dna.index:

```
> seg.tab     <- na.exclude(do.call(rbind, test$segments))
> cn.alleles <- baf.bayes(Bf = seg.tab$Bf, depth.ratio = seg.tab$depth.ratio,
+                         cellularity = cellularity, dna.index = dna.index,
+                         avg.depth.ratio = avg.depth.ratio)
> head(cn.alleles)

     CNt A B         L
[1,]   2 1 1 -11.68159
[2,]   1 1 0 -12.28254
[3,]   1 1 0 -26.57928
[4,]   1 1 0 -10.86406
[5,]   2 1 1 -11.61929
[6,]   2 1 1 -12.33120


> seg.tab <- cbind(seg.tab, cn.alleles)
> head(seg.tab)

    chromosome start.pos      end.pos          Bf N.BAF depth.ratio N.ratio CNt A B
1.1          1    133037  12988756  0.43348813   405   1.1393516     430   2 1 1
1.2          1  13000305  13380725  0.13668349    50   0.6345426      57   1 1 0
1.3          1  13380510  13448938  0.25827580     9   0.6341432      10   1 1 0
1.4          1  13450081  22304519  0.09494406   461   0.6341823     541   1 1 0
1.5          1  22317088  55523989  0.44303623   624   1.1779920     646   2 1 1
1.6          1  55524484 111741107  0.40325041   471   1.2879646     538   2 1 1
            L
1.1 -11.68159
```

```
1.2 -12.28254
1.3 -26.57928
1.4 -10.86406
1.5 -11.61929
1.6 -12.33120
```

The result consists in four values for every imputed segment: *CNt* is the estimated copy number of the tumor of the given segment; A is the estimated numbers of A alleles; B is the estimated numbers of B alleles; L is the log-likelihood of the model fit.;

4.4   Visualize detected copy number changes and variant alleles

To visualize the data after detection CNV and variant alleles, it is possible to use the *chromosome.view*. In order to draw the relative model points (and evaluate how the estimated model fits the real data) more information are needed compared to figure 2:

- the segment need to have the columns relative to the copy number variation calling, as well

- the estimated value of cellularity and dna.index.

- the value of the average normalized depth ratio

```
> chromosome.view(mut.tab = test$mutations[[1]], baf.windows = test$BAF[[1]],
+                 ratio.windows = test$ratio[[1]],  min.N.ratio = 1,
+                 segments = seg.tab[seg.tab$chromosome == test$chromosomes[1],],
+                 main = test$chromosomes[1],
+                 cellularity = cellularity, dna.index = dna.index,
+                 avg.depth.ratio = avg.depth.ratio)
```
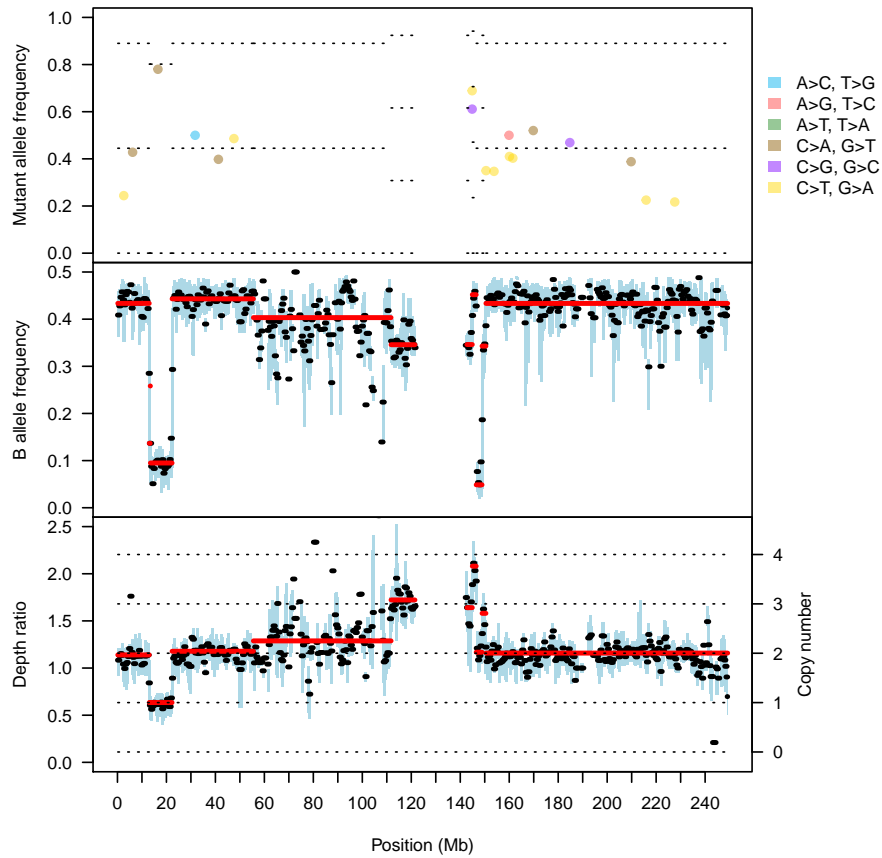

Figure 5: Plots of Mutation (top), B-allele frequencies (middle) and depth ratio (bottom) for chromosome position. Horizontal dotted line indicate different copy number/allele state.