sequenza usage example

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Contents

| 1 | Abs | stract | 1 |
|----------|-----|--|----|
| 2 | Get | ting started | 2 |
| | 2.1 | Minimum requirements | 2 |
| | 2.2 | Installation | 2 |
| | 2.3 | Workflow overview | 2 |
| | 2.4 | Preparing inputs for Sequenza | 2 |
| | 2.5 | Obtaining the required ABfreq file | 3 |
| | | 2.5.1 Processing the data with sequenza-utils.py | 3 |
| | | 2.5.2 Converting VarScan2 output to ABfreq | 3 |
| 3 | Evr | ploring the ABfreq file and GC-correction details | 3 |
| • | 3.1 | Read the file | 4 |
| | 3.2 | Quality control and normalization | 4 |
| | 3.3 | GC-normalization | 4 |
| | 5.5 | GC-normanzation | 4 |
| 4 | Ana | alyzing sequencing data with sequenza | 6 |
| | 4.1 | Extract the information from the ABfreq file | 6 |
| | | 4.1.1 Plot chromosome view with mutations, BAF, depth ratio and segments | 7 |
| | 4.2 | Inference of cellularity and ploidy (Ploidy) | 7 |
| | 4.3 | Results of model fitting | 8 |
| | | 4.3.1 Confidence intervals, confidence region and point estimate | 8 |
| | 4.4 | Call CNVs and mutations using the estimated parameters | 11 |
| | | 4.4.1 Detect variant alleles (mutations) | 11 |
| | | 4.4.2 Detect copy number variations | 12 |
| | 4.5 | Visualize detected copy number changes and variant alleles | 12 |
| | | 4.5.1 Genome-wide view of the alleles and copy numbers state | 14 |

1 Abstract

Deep sequence of tumor DNA along with corresponding normal DNA can provide a valuable perspective on the mutations and aberrations that characterize the tumor. However, analysis of this data can be impeded by tumor cellularity and heterogeneity and by unwieldy data. Here we describe Sequenza, which comprises a fast python-based pre-processor and an R-based analysis package. Sequenza enables the efficient estimation of tumor cellularity and ploidy, and generation of copy number, loss-of-heterozygosity, and mutation frequency profiles.

This document details a typical analysis of matched tumor-normal exome sequence data using sequenza.

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2 Getting started

2.1 Minimum requirements

• Software: R, Python

• Operating system: Linux, OSX

• Memory: Minimum 4GB of RAM. Recommended >8GB.

• Disk space: 1.5 GB for sample

• R version: 2.15.1

• Python version: 2.7; rpy2 is required to run sequenza R functions from the python command line programs.

2.2 Installation

In order to install sequenza, you can select download the package from the nearest CRAN mirror doing:

> install.packages("sequenza")

2.3 Workflow overview

A typical workflow developed with Sequenza on pre-aligned sequencing files(BAM) is structured as follow:

- 1. Convert pileup to ABfreq format
- 2. GC normalization
- 3. Allele-specific segmentation using the depth ratio and the B allele frequencies (BAFs)
- 4. Infer cellularity and ploidy by model fitting
- 5. Call CNV and variant alleles

2.4 Preparing inputs for Sequenza

In order to obtain precise mutational and aberration patterns in a tumor sample, Sequenza requires a matched normal sample from the same patient. In summary, the following files are needed to get started with Sequenza.

- 1. A bam file or a derived pileup file from the tumor specimen.
- 2. A bam file or a derived pileup file from the normal specimen.
- 3. A FASTA reference genomic sequence file (to extract GC-content information, and to transform bam to pileup if needed.)

We recommend using pre-processed and quality filtered BAM files to obtain pileup calls for both samples.

Pileup files can be generated using samtools[2]. The genome sequence file can be obtained from (url).

- $_{1}$ samtools mpileup -f hg19.fasta -Q 20 normal.bam \mid gzip > normal.pileup.gz
- samtools mpileup -f hg19.fasta -Q 20 tumor.bam | gzip > tumor.pileup.gz

Alternatively, it is possible to use the output of VarScan2[1] (http://varscan.sourceforge.net), which would require a similar approach and the generation of pileups as well.

2.5 Obtaining the required ABfreq file.

2.5.1 Processing the data with sequenza-utils.py.

For convenience and efficiency we have implemented pre-processing algorithms in a standalone (not called from R) Python program. Although, the program is provided with the package; its exact location can be found like this:

```
> system.file("exec", "sequenza-utils.py", package="sequenza")
```

You may wish to copy this program to a location on your path.

To obtain the GC content information (required to obtain an ABfreq file), is possible to use a function from sequenza-utils, and extract the average GC content using a fixed genomic windows, or download the gc5Base from golden path (http://hgdownload-test.cse.ucsc.edu/goldenPath/hg19/gc5Base/). The following example calculates GC content for 50 nucleotides windows:

```
sequenza-utils.py GC-windows -w 50 hg19.fa \mid gzip > hg19.gc50Base.txt.gz
```

When the GC content file is available, it is possible to process the two pileup files to obtain an ABfreq file containing genotype information, alleles and mutation frequency, and more other features.

```
sequenza—utils.py pileup2abfreq -gc hg19.gc50Base.txt.gz \setminus -r normal.pileup.gz \setminus -s tumor.pileup.gz | gzip > out.abfreq.gz
```

If you don't have yet the pileup, or you are not interested on storing the pileup for further use, you can use two FIFO files, to pipe the samtools output directly to sequenza-utils:

2.5.2 Converting VarScan2 output to ABfreq.

Since many projects might already being processed trough VarScan2, it can be convenient to be able to import such results. For this purpose a simple function is provided within the package, to convert the output of the *somatic* and *copynumber* programs of the VarScan2 suite into the *ABfreq* format.

```
> cnv <- read.table("varscan.copynumber", header = TRUE, sep = "\t")
> snp <- read.table("varscan.snp", header = TRUE, sep = "\t")
> abf.data <- VarScan2abfreq(varscan.snp = snp, varscan.copynumber = cnv)
> write.table(abf.data, "my.sample.abfreq", col.names = TRUE, row.names = FALSE, sep = "\t")
```

For whole genome sequencing the information in the *varscan.snp* could be enough to estimate the ploidy and cellularity, and define the copy number and mutations, hence the *varscan.copynumber* argument is optional, but it is strongly suggested to use it in case of exome sequencing.

3 Exploring the ABfreq file and GC-correction details

After the aligned sequence data have been pre-processed, sequenza R package would handle all the normalization and analysis steps. So the following part of this vignette will take place in R.

```
> library("sequenza")
```

3.1 Read the file

In the package we provide an example file, to find the complete path of the example data file:

```
> data.file <- system.file("data", "abf.data.abfreq.txt.gz", package = "sequenza")
> data.file
```

The ABfreq file can be read all at once, but processing one chromosome at a time is less demanding on computational resources, especially while processing NGS data and might be preferable in case of limited computational resources.

Read only the data corresponding to chromosome 1:

```
> abf.data <- read.abfreq(data.file, chr.name = "1")</pre>
   Alternatively, read all data at once:
> abf.data <- read.abfreq(data.file)
> str(abf.data, vec.len = 2)
'data.frame':
                     45003 obs. of 13 variables:
                     "1" "1" ...
 $ chromosome : chr
 $ n.base
               : int
                      133037 330227 883223 884960 896946 ...
 $ base.ref
               : chr
                      "T" "G" ...
 $ depth.normal: int 170 9 126 29 151 ...
 $ depth.sample: int 130 13 96 23 131 ...
 $ depth.ratio : num 0.765 1.444 ...
 $ Af
               : num 0.573 0.615 0.533 0.524 0.557 ...
                      0.427 0.385 0.467 0.476 0.443 ...
 $ Bf
               : num
                      "het" "het" ...
 $ ref.zygosity: chr
 $ GC.percent : num
                      38 56 50 68 66 ...
 $ good.s.reads: num
                      124 13 92 21 115 ...
                      "CT" "CT" ...
 $ AB.germline : chr
                      "." "." ...
 $ AB.sample
             : chr
```

The files can be read even faster, after mapping the chromosomes location in the file, it is possible to select the coordinate (in terms of from line x to line y) of the file to read. see the man page of *read.abfreq* for an example.

3.2 Quality control and normalization

Each aligned base, in the next generation sequencing, is associated with a quality score. The sequenza-utils software is capable of filtering the base with a quality score lower then a specified value (default, 20). The number of reads that have passed the filter in returned the column good.s.reads, while the depth.sample column contains the raw depth indicated in the pileup (from samtools).

3.3 GC-normalization

The GC content bias is affecting most of the sample, however some samples are more biased than others. We attempt to remove this bias by normalizing with the mean depth ratio value of a corresponding GC content value.

It is possible to gather GC-content information from the entire file and in the meantime map the chromosome position in the file (to fast access chromosome by chromosome later, see ?read.abfreq):

```
> gc.stats <- gc.sample.stats(data.file)
> str(gc.stats)
```

```
List of 6
 $ raw
              : num [1:43, 1:3] 0.625 0.504 0.534 0.406 0.502 ...
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:43] "8" "10" "12" "14" ...
 ....$ : chr [1:3] "25%" "50%" "75%"
              : num [1:43, 1:3] 0.866 0.662 0.775 0.601 0.64 ...
 $ adi
  ..- attr(*, "dimnames")=List of 2
  ....$ : chr [1:43] "8" "10" "12" "14" ...
 ....$ : chr [1:3] "25%" "50%" "75%"
 $ gc.values : num [1:43] 8 10 12 14 16 18 20 22 24 26 ...
              : Named num [1:43] 0.843 0.829 0.7 0.684 0.821 ...
 $ raw.mean
 ..- attr(*, "names")= chr [1:43] "8" "10" "12" "14" ...
 $ raw.median : Named num [1:43] 0.722 0.762 0.69 0.675 0.785 ...
  ..- attr(*, "names")= chr [1:43] "8" "10" "12" "14" ...
 $ file.metrics:'data.frame':
                                     23 obs. of 4 variables:
            : Factor w/ 23 levels "1","10","11",...: 1 12 16 17 18 19 20 21 22 2 ...
  ..$ n.lines: int [1:23] 4542 2728 2396 1822 1775 2370 2178 1425 2087 2430 ...
  ..$ start : num [1:23] 1 4543 7271 9667 11489 ...
             : num [1:23] 4542 7270 9666 11488 13263 ...
  Or alternatively, it is possible to collect the GC-contents information from an object already
loaded in the environment.
> gc.stats <- gc.norm(x = abf.data$depth.ratio,
                      gc = abf.data$GC.percent)
  In either case the the normalization to the depth.ratio is performed in the following way:
> gc.vect <- setNames(gc.stats$raw.mean, gc.stats$gc.values)
> abf.data$adjusted.ratio <- abf.data$depth.ratio /
                             gc.vect[as.character(abf.data$GC.percent)]
```

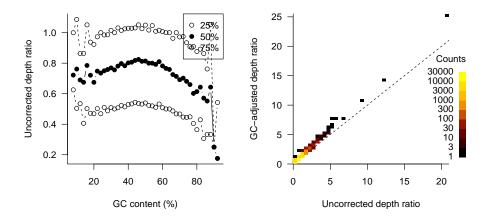


Figure 1: Visualization of depth.ratio bias in relation of GC content (left), and resulting normalization effect (right).

4 Analyzing sequencing data with sequenza

The R package *sequenza* offers an ensemble of functions and models that can be used to design customized workflows and analyses. Here we provide generic and most commonly used analyses steps.

- Extract the relevant information from the raw ABfreq file.
- Fit the sequenza model to infer cellularity and ploidy (ploidy).
- Apply the estimated parameter to detect CNV variant alleles

4.1 Extract the information from the ABfreq file.

The function *sequenza.extract* is designed to efficiently access the raw ABfreq data and take care of normalization steps. The argument provide the ability of customize a set of action listed below:

- binning depth ratio and B allele frequency in a desired window size (allowing a desired number of overlapping windows);
- performing a fast, allele specific segmentation using the *copynumber* package[3];
- filter mutation by frequency and noise.

```
> test <- sequenza.extract(data.file)
> names(test)
```

After the raw data is processed, the size of the data is considerably reduced. For instance the object resulting from *sequenza.extract* can be stored as a R object within a size contained in few megabytes, even for whole genome sequencing data.

The result of this first step consist in a list of lists. All the sub-lists have a different information subdivided by chromosome. Every list share the same chromosome order.

4.1.1 Plot chromosome view with mutations, BAF, depth ratio and segments

Each chromosome can be visualize using the function *chromosome.view* as in figure 2. The same function can be used to visualize the data after the estimation of *cellularity* and *ploidy* parameters as in Figure 5.

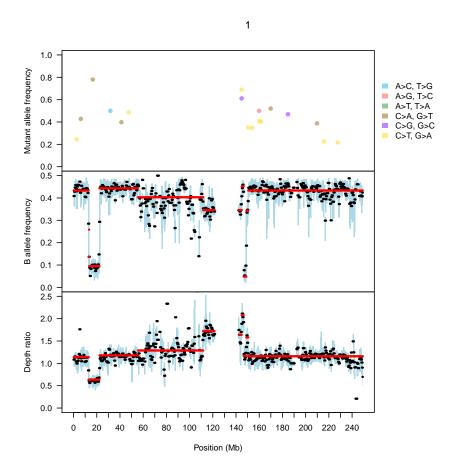


Figure 2: Plots of Mutation (top), B-allele frequencies (middle) and depth ratio (bottom) for chromosome position.

4.2 Inference of cellularity and ploidy (Ploidy)

After the raw data is conveniently processed, we can apply the Bayesian inference implemented in the package. The function *sequenza.fit* perform the inference using the calculated B allele frequency and depth ratio of the obtained segments. The method can be explored in more detail by looking the manual pages for the function *baf.model.fit*.

> CP.example <- sequenza.fit(test)

The result is a list in the format list(x, y, z) directly usable by standard graphical function, like *image*. However we provide few customized functions to explore and better display the results, detect the confidence intervals, and finally retrieve the point estimate.

4.3 Results of model fitting

The last part of the workflow, would be to apply the estimated parameters. There is a all in one function that would plot and save the results, giving control on file names and output directory:

```
> sequenza.results(sequenza.extract = test, sequenza.fit = CP.examples,
+ sample.id = "Test", out.dir="TEST", )
```

However this standard way of present the result would satisfy the usual situation, it is possible to create alternative wrapper by using functions on the following sub-sections.

4.3.1 Confidence intervals, confidence region and point estimate

The object resulting from sequenza.fit have two vectors, x and y, indicating respectively the tested values of ploidy and cellularity, and a matrix z with x columns and y rows, containing the estimated log-likelihood. Confidence intervals for these two parameters can be calculated using the function qet.ci.

```
> cint <- get.ci(CP.example)</pre>
```

It is also possible to plot the likelihood over the combinations of the two parameters, highlighting the point estimate and the confidence region.

```
> cp.plot(CP.example)
> cp.plot.contours(CP.example, add = TRUE, likThresh = c(0.999))
```

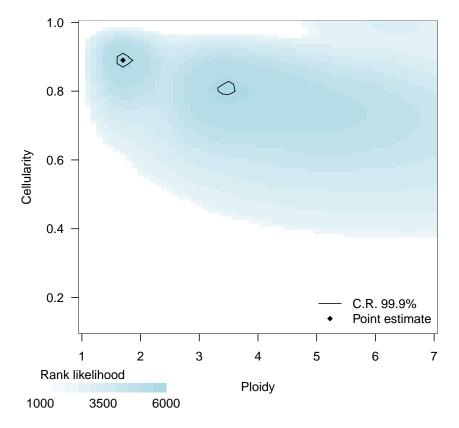
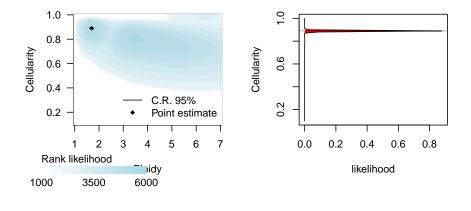


Figure 3: Result from the Bayesian inference over the defined range of cellularity and Ploidy. Color intensity indicates the log-likelihood of corresponding cellularity/Ploidy values.

By exploring the result for cellularity and ploidy separately, it is possible to draw the likelihood distribution for each parameter. The information is returned by the get.ci function.

```
> par(mfrow = c(2,2))
> cp.plot(CP.example)
> cp.plot.contours(CP.example, add = TRUE)
> plot(cint$values.y, ylab = "Cellularity",
       xlab = "likelihood", type = "n")
 select <- cint$confint.y[1] <= cint$values.y[,2] &</pre>
            cint$values.y[,2] <= cint$confint.y[2]</pre>
 polygon(y = c(cint$confint.y[1], cint$values.y[select, 2], cint$confint.y[2]),
          x = c(0, cint$values.y[select, 1], 0), col='red', border=NA)
> lines(cint$values.y)
> abline(h = cint$max.y, lty = 2, lwd = 0.5)
 plot(cint$values.x, xlab = "Ploidy",
       ylab = "likelihood", type = "n")
 select <- cint$confint.x[1] <= cint$values.x[,1] &</pre>
            cint$values.x[,1] <= cint$confint.x[2]</pre>
  polygon(x = c(cint$confint.x[1], cint$values.x[select, 1], cint$confint.x[2]),
          y = c(0, cint$values.x[select, 2], 0), col='red', border=NA)
> lines(cint$values.x)
> abline(v = cint$max.x, 1ty = 2, 1wd = 0.5)
```



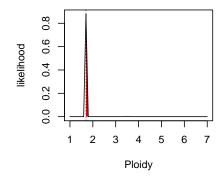


Figure 4: Plot of the log-likelihood with respective cellularity and ploidy probability distribution and confidence intervals.

4.4 Call CNVs and mutations using the estimated parameters

The point estimate value correspond to the point of maximum likelihood, detected after the confidence interval computation:

```
> cellularity <- cint$max.y
> cellularity

[1] 0.89
> ploidy <- cint$max.x
> ploidy

[1] 1.7
```

In addition we need to calculate the average normalized depth ratio, used to set a value for the baseline copy number.

```
> avg.depth.ratio <- mean(test$gc$adj[, 2])</pre>
```

4.4.1 Detect variant alleles (mutations)

To detect variant alleles, we use a mutation frequency model that is implemented as the *mufreq.bayes* function:

```
<- na.exclude(do.call(rbind, test$mutations))
> mut.tab
> mut.alleles <- mufreq.bayes(mufreq = mut.tab$F,</pre>
                            depth.ratio = mut.tab$adjusted.ratio,
                            cellularity = cellularity, ploidy = ploidy,
                            avg.depth.ratio = avg.depth.ratio)
> head(mut.alleles)
  CNn CNt Mt
4
    2 2 1 -25.30391
    2 2 1 -12.49285
2
    2
       1 1 -12.33863
42
    2 2 1 -13.30915
    2
       2 1 -12.99802
43
44
       2 1 -13.01904
> head(cbind(mut.tab[,c("chromosome","n.base","F","adjusted.ratio", "mutation")],
            mut.alleles))
      chromosome
                 n.base
                            F adjusted.ratio mutation
1.95
              1 2585089 0.244
                               1.1393516
                                   1.1393516
1.162
              1 6197233 0.428
                                                  G>T
1.673
              1 16535060 0.780
                                   0.6341823
                                                  G>T
1.1253
              1 31740870 0.500
                                   1.1779920
                                                  T>G
1.1446
              1 41234537 0.398
                                  1.1779920
                                                  C>A
             1 47583731 0.486
1.1576
                                   1.1779920
                                                  C>T
      CNn CNt Mt
                        Τ.
       2 2 1 -25.30391
1.95
        2
            2 1 -12.49285
1.162
1.673
        2 1 1 -12.33863
1.1253 2 2 1 -13.30915
1.1446 2 2 1 -12.99802
1.1576 2 2 1 -13.01904
```

The result comprises of four values for every imputed mutation: CNn Is the provided copy number of the normal sample at the given position (default = 2); CNt is the estimated copy number of the tumor at the given position; Mt is the estimated numbers of alleles carrying the mutation; L is the log-likelihood of the model fit.

4.4.2 Detect copy number variations

To detect copy number variations we use a B allele frequency model, implemented in the function baf. bayes, with the estimated parameters of cellularity and ploidy:

```
<- na.exclude(do.call(rbind, test$segments))</pre>
> seg.tab
> cn.alleles <- baf.bayes(Bf = seg.tab$Bf, depth.ratio = seg.tab$depth.ratio,
                           cellularity = cellularity, ploidy = ploidy,
                           avg.depth.ratio = avg.depth.ratio)
> head(cn.alleles)
     CNt A B
                      Τ.
       2 1 1 -11.68157
[1,]
[2,]
       1 1 0 -12.28254
[3,]
       1 1 0 -26.57928
       1 1 0 -10.86406
[4,]
[5,]
       2 1 1 -11.61929
[6,]
       2 1 1 -12.33114
> seg.tab <- cbind(seg.tab, cn.alleles)
> head(seg.tab)
    chromosome start.pos
                            end.pos
                                             Bf N.BAF
1.1
             1
                   133037
                           12988756 0.43349084
                                                  405
1.2
             1
                13000305
                           13380725 0.13668009
                                                   50
1.3
             1
                13380510
                           13448938 0.25821883
                                                    9
1.4
             1
                13450081
                           22304519 0.09495172
                                                   461
1.5
                22317088
                           55523989 0.44303403
                                                  624
             1
1.6
             1
                55524484 111741107 0.40325013
                                                  471
    depth.ratio N.ratio CNt A B
1.1
      1.1393516
                     430
                           2 1 1 -11.68157
      0.6345426
                      57
                           1 1 0 -12.28254
1.2
      0.6341432
                      10
                           1 1 0 -26.57928
1.3
1.4
      0.6341823
                     541
                           1 1 0 -10.86406
1.5
      1.1779920
                     646
                           2 1 1 -11.61929
                           2 1 1 -12.33114
1.6
      1.2879646
                     538
```

The result comprises of four values for every imputed segment: CNt is the estimated copy number of the tumor of the given segment; A is the estimated numbers of A alleles; B is the estimated numbers of B alleles; L is the log-likelihood of the model fit.

4.5 Visualize detected copy number changes and variant alleles

To visualize the data after detection CNV and variant alleles, it is possible to use the *chromo-some.view*. In order to draw the relative model points (and to evaluate how the estimated model fits the real data) more information is needed compared to Figure 2:

- Each segment must have the columns relative to the copy number variation calling.
- Cellularity and ploidy estimates.
- Average normalized depth ratio.

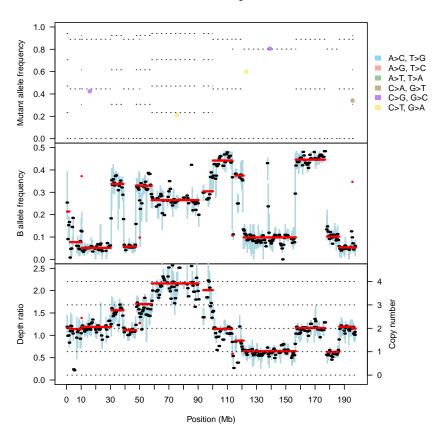


Figure 5: Plots of mutation (top), B-allele frequencies (middle) and depth ratio (bottom) for chromosome position. Horizontal dotted line indicates different copy number/allele state.

4.5.1 Genome-wide view of the alleles and copy numbers state

```
> genome.view(seg.cn = seg.tab, info.type = "CNt")
> legend("bottomright", bty="n", c("Tumor copy number"),col = c("red"),
+ inset = c(0, -0.4), pch=15, xpd = TRUE)
```

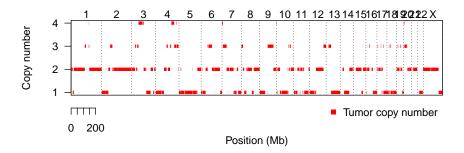


Figure 6: Genome-wide copy number profile obtained from exome sequencing.

```
> genome.view(seg.cn = seg.tab, info.type = "AB")
> legend("bottomright", bty = "n", c("A-allele", "B-allele"), col= c("red", "blue"),
+ inset = c(0, -0.45), pch = 15, xpd = TRUE)
```

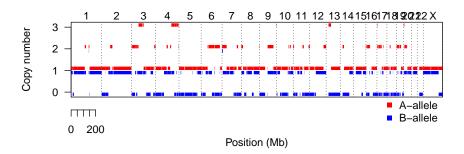


Figure 7: Genome-wide A and B alleles profile obtained from exome sequencing.

References

- [1] Daniel C Koboldt, Qunyuan Zhang, David E Larson, Dong Shen, Michael D McLellan, Ling Lin, Christopher A Miller, Elaine R Mardis, Li Ding, and Richard K Wilson. VarScan 2: somatic mutation and copy number alteration discovery in cancer by exome sequencing. *Genome research*, 22(3):568–76, March 2012.
- [2] Heng Li, Bob Handsaker, Alec Wysoker, Tim Fennell, Jue Ruan, Nils Homer, Gabor Marth, Goncalo Abecasis, and Richard Durbin. The Sequence Alignment/Map format and SAMtools. *Bioinformatics (Oxford, England)*, 25(16):2078–9, August 2009.
- [3] Gro Nilsen, Knut Liestø l, Peter Van Loo, Hans Kristian Moen Vollan, Marianne B Eide, Oscar M Rueda, Suet-Feung Chin, Roslin Russell, Lars O Baumbusch, Carlos Caldas, Anne-

Lise Bø rresen Dale, and Ole Christian Lingjaerde. Copynumber: Efficient algorithms for single- and multi-track copy number segmentation. $BMC\ genomics$, 13:591, January 2012.