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# Data-based stability and control for future grids

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# Outline

- Introduction
- Remarks on learning
- Projects
  1. Stability assessment (TSA)
  2. Long-term voltage stability (LTVS)
  3. Data-based frequency regulation (DeePC)
- Conclusions

# Remarks on learning

## ➤ Modelling

- Be wary of assumed probability distributions, e.g. Gaussian for Kalman Filter
- Uncertainty important RO vs SO vs DRO

## ➤ Methods

- Errors - model vs training, e.g. 97% looks good but why not 100%
- Balance offline vs online computation

## ➤ Aim for human-like control (Ref: Wang and Hill, CRC Press, 2010)

# 1. Data-Based Stability Assessment

## □ Power System Transient Stability Assessment (TSA)

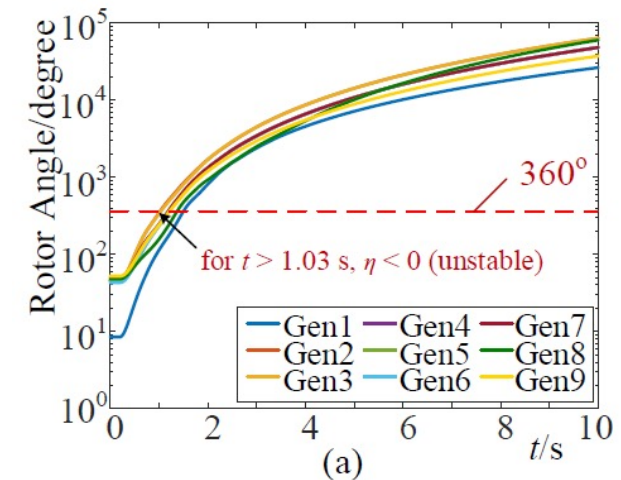
- **Model-Based TSA**

- Time-domain simulation based analysis → modeling everything in detail, with **heavy computational burdens**.
- Transient energy function (TEF) and related methods → difficult to build TEFs for large systems, implemented with highly simplified models (**limited applicability**).

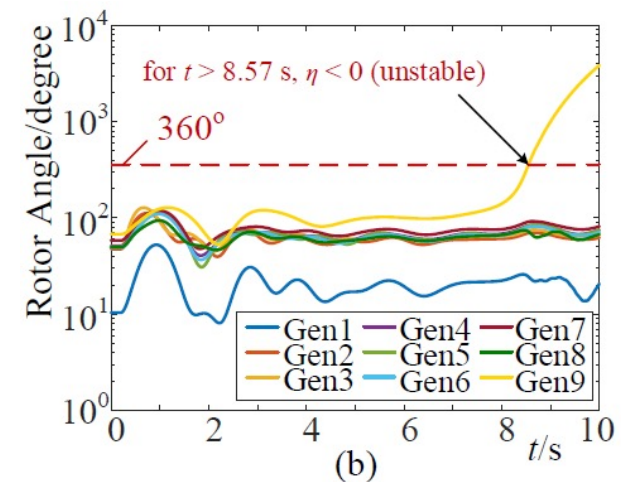
- **Data-Driven TSA**

- **Intelligently learning correlations** between initial system states/responses and eventual system stability status from huge volumes of PMU data (machine learning).
- **High efficiency and reliability** during online application, almost **no limitation on system sizes or scales**, great potential in new knowledge discovery.

Ref: L. Zhu, D. J. Hill, and C. Lu, TPWRS, 2020; L. Zhu and D. J. Hill, TPWRS, 2022.



Typical unstable case 1 (fast evolution)



Typical unstable case 2 (slow evolution)

# 1. Data-Based Stability Assessment

## Overall Framework

- 1) Generating numerous transient cases and transforming them into pictorial images (for CNN)
- 2) Training a hierarchical deep learning machine (two-layer model based on divide-and-rule strategy)
- 3) online TSA (quantitative stability margin + qualitative stability status prediction)

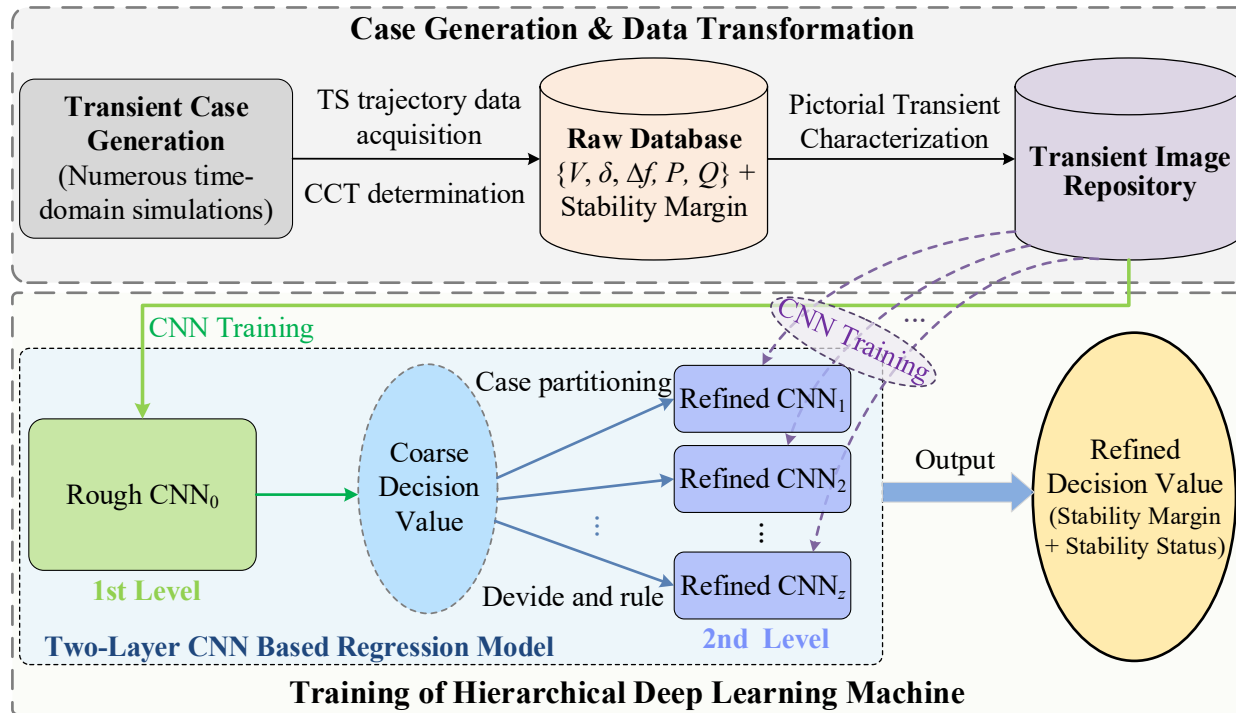


Fig. 4 Procedure of constructing the HDLM

Raw trajectory Inputs (generator buses):

$$\{V, \delta, \Delta f, P, Q\}$$

Transient stability criterion:

$$\eta = \frac{360^\circ - |\Delta\delta|_{\max}}{360^\circ + |\Delta\delta|_{\max}} < 0 \Rightarrow \text{unstable}$$

Transient stability margin:

$$SM_i = CCT_i - (t_{i,c} - t_{i,0})$$

# 1. Data-Based Stability Assessment

## □ Pictorial Transient Characterization

- 1) Subsequence extraction with a  $L$ -point sliding time window:

$$\mathcal{T} = \{x_0, x_1, \dots, x_{N-1}\} \xrightarrow{\text{green arrow}} \mathcal{T}_i = \{x_{i-1}, x_i, x_{i+1}, \dots, x_{i+L-2}\}$$

- 2) Symbolic Aggregate approXimation (SAX):

$$\mathcal{C} = \{c_i \mid c_i = \alpha_j, \text{ if } \beta_{j-1} \leq x_i < \beta_j\} \text{ (for } 1 \leq i \leq n)$$

$$\alpha = \{\alpha_1, \alpha_2, \dots, \alpha_m\} \text{ (alphabet)}$$

$$\beta = \{\beta_0, \beta_1, \dots, \beta_m\} \text{ (breakpoint vector)}$$

- 3) Symbolic word extraction:  $\mathcal{A} = \{a_i \mid a_i = \{c_i, c_{i+1}, \dots, c_{i+L-1}\}, \text{ for } 1 \leq i \leq n - L + 1\}$

- 4) Counting frequencies of symbolic words:

$$f_{\alpha_i \alpha_j} = \frac{1}{n-2+1} \sum_{k=1}^{n-2+1} \chi(a_k, \alpha_i \alpha_j), \chi(a_k, \alpha_i \alpha_j) = \begin{cases} 1 & \text{for } a_k = \{\alpha_i, \alpha_j\} \\ 0 & \text{otherwise} \end{cases}$$

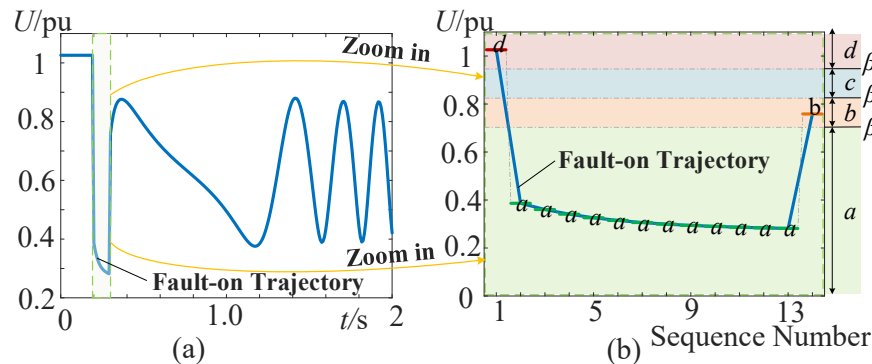


Illustration of SAX based trajectory quantization

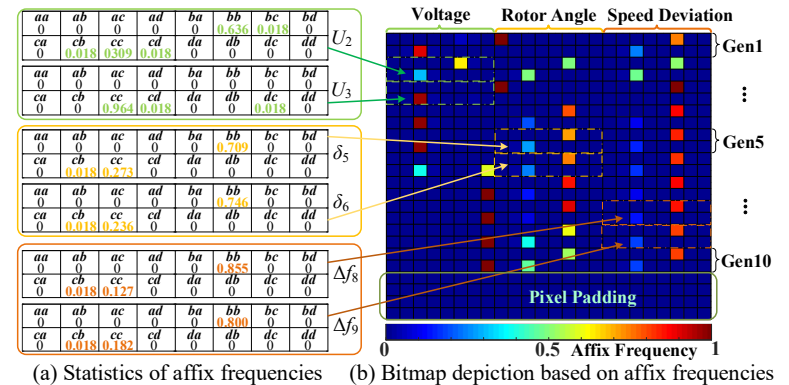
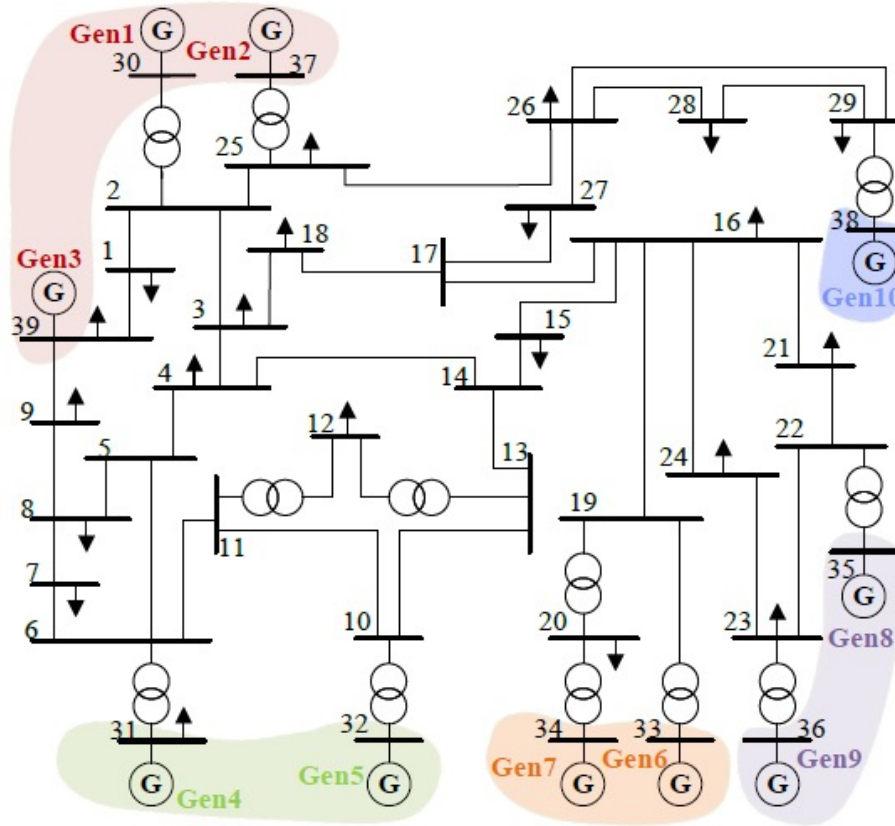


Illustration of 2-D pictorial representation

# 1. Data-Based Stability Assessment

## □ Numerical Case Study



One-line diagram of the IEEE 39-bus system

**Note:** 10 PMUs are assumed to install at the 10 generator buses, so as to acquire the (fault-on + 2) trajectories of  $\{V, \delta, \Delta f\}$  from these buses (120-Hz reporting rate).

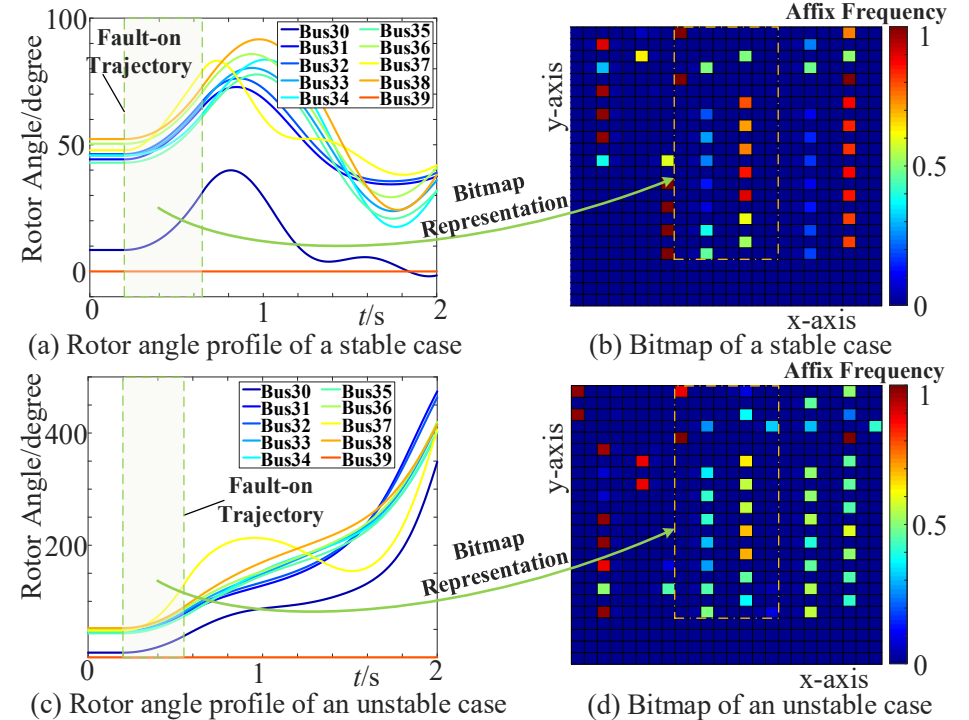


Illustration of bitmap based transient case representation.  
(a)~(b): stable case; (c)~(d): unstable case.

**Stable profile:** more red pixels (affix frequency close to 1) → **moderate evolution**.

**Unstable profile:** more diverse pixels (diverse frequency values) → **drastic evolution**.

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## A. Background

- Adaptive Coordinated Voltage Control (ACVC) for secondary voltage control (SVC) [6,7]
  - CVC: sequencing, timing and tuning control actions at various locations;
  - SVC: operating during 10secs - 1min after a disturbance to supply voltage by controlling transformer taps, switching capacitors banks and shedding loads;

### Mechanism

- Offline: knowledge accumulation for anticipated faults;
- Online: searching for a feasible solution for unanticipated faults.

### Limitation

- Limited applicability for unanticipated faults – search for a similar one or do the whole things online;
  - Heavy computation burdens – the need to search all anticipated faults in the database;
  - Low information utilization - only considering nearby bus data;
- Objective: to achieve an effective and efficient online voltage control by utilizing control knowledge from offline long-term optimization and learning.

[6] H. Ma and D. J. Hill, "Adaptive coordinated voltage control part i: Basic scheme," *IEEE Trans. Power Syst.*, vol. 29, no. 4, pp.1546-1553, 2013.

[7] H. Ma and D. J. Hill, "Adaptive coordinated voltage control part ii: Use of learning for rapid response," *IEEE Trans. Power Syst.*, vol. 29, no. 4, pp. 1554-1561, 2014.

## C. Data-based learning and control for LTVS

- Offline long-term optimization for anticipated faults

$$\min \sum_i \left| v_{i,ref} - v_{i,t_{k+1}}^m \right| \quad t = t_k \quad (3.8)$$

- Offline learning system

- **PCA**: reduce dimension (all bus data is considered)
- **Priority values**: evaluate the control effects
- **FFBP**: establish a direct mapping relationship

PC scores of system dynamics → priority values of control actions

- Online control system

- **Detection**: voltage drop to the threshold value of 0.9.
- **Analysis**: input system dynamics to PCA and FFBP.
- **Control**: apply the obtain optimal control actions.

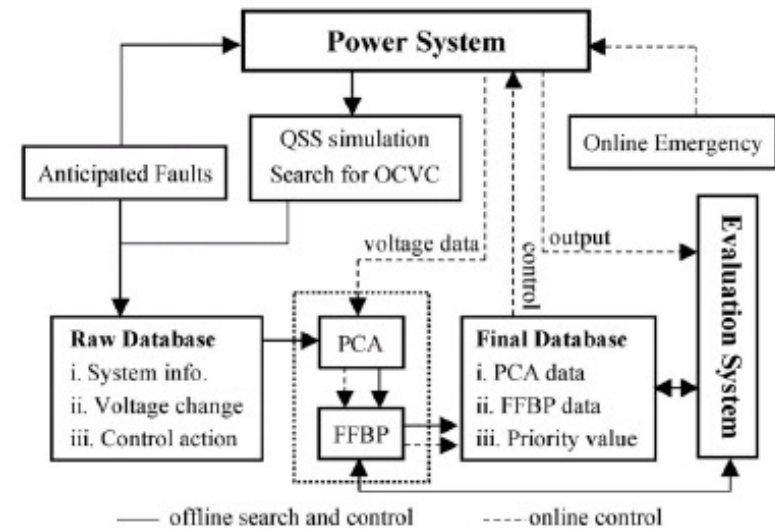


Fig. 6. Data-based learning and control system for LTVS

High applicability for unanticipated faults  
High online efficiency

Ref: H.Cai, H.Ma and D.J.Hill, "A data-based learning and control method for long-term voltage stability," IEEE Trans Power Systems, July 2020.

## D. Case studies

- New England 39-bus System

Table 3.4: Summary of Computation Time between Different Systems

System	Average computation time		Case number of offline learning
	DLC (online)	ACVC (online)	
6-bus	0.011 s	0.120 s	126
39-bus	0.012 s	0.291 s	414

More efficient for a larger power system.

- Iceland 189-bus system

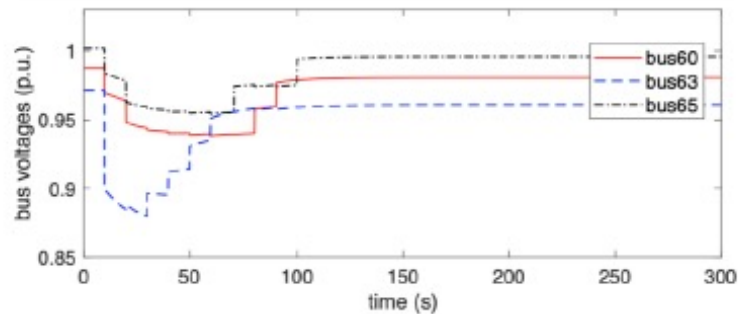
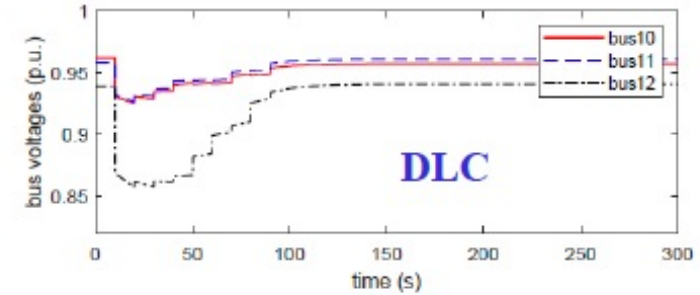
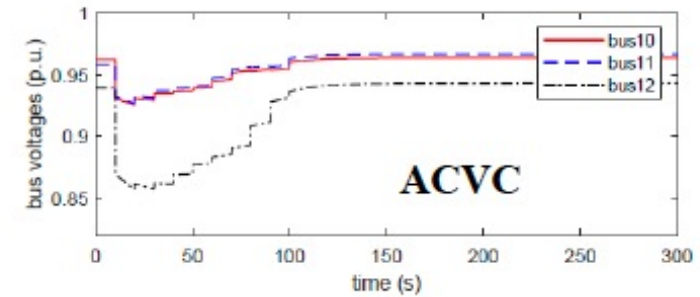


Fig. 10. Simulation result of voltage in bus 60, 63, 65.



(b) Simulation result of voltage at bus 5 and 6 with DLC online.



(c) Simulation result of voltage at bus 5 and 6 with ACVC online.

Fig. 9. Summary of the case study in 39-bus system.

# Data-based Frequency Regulation of Power Systems

## ➤ Data-enabled Predictive Control (DeePC) based Load Frequency Control (LFC):

- Behavioral system theory based → **model-free**.
- Offline training is **not** required.
- **Receding horizon** online control.

- ▶ **Hankel Matrix** (constructed with pre-collected data)

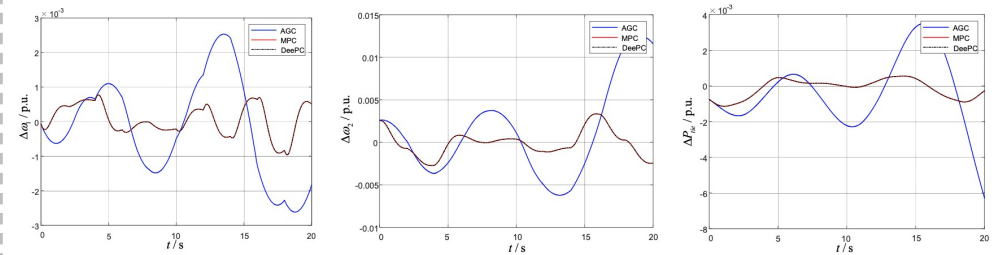
$$\mathcal{H}_t(u_T^d) := \begin{bmatrix} U_p \\ U_f \end{bmatrix} \quad \mathcal{H}_t(y_T^d) := \begin{bmatrix} Y_p \\ Y_f \end{bmatrix} \quad \mathcal{H}_t(d_T^d) := \begin{bmatrix} D_p \\ D_f \end{bmatrix}$$

- ▶ **Optimization Problem** (solved at each sampling instant to find the optimal control signal  $u_r$ ):

$$\begin{aligned} \min_{g, u_r, y_r, \sigma_d, \sigma_{d_r}} & \sum_{s=0}^{T_f-1} (\|y_r(s) - r(t_0 + s)\|_Q^2 + \|u_r(s)\|_R^2) \\ & + \lambda_g \|g\|_2^2 + \lambda_d \|\sigma_d\|_2^2 + \lambda_{d_r} \|\sigma_{d_r}\|_2^2 \\ \text{s.t.} & \begin{bmatrix} U_p \\ D_p \\ Y_p \\ U_f \\ D_f \\ Y_f \end{bmatrix} g = \begin{bmatrix} u_{ini} \\ d_{ini} \\ y_{ini} \\ u_r \\ d_r \\ y_r \end{bmatrix} + \begin{bmatrix} 0 \\ \sigma_d \\ 0 \\ 0 \\ \sigma_{d_r} \\ 0 \end{bmatrix} \\ & u_r(s) \in \mathcal{U}, \quad \forall s \in \{0, 1, \dots, T_f - 1\}, \\ & y_r(s) \in \mathcal{Y}, \quad \forall s \in \{0, 1, \dots, T_f - 1\}, \\ & d_r(s) = d_{pre}(t_0 + s), \quad \forall s \in \{0, 1, \dots, T_f - 1\}. \end{aligned}$$

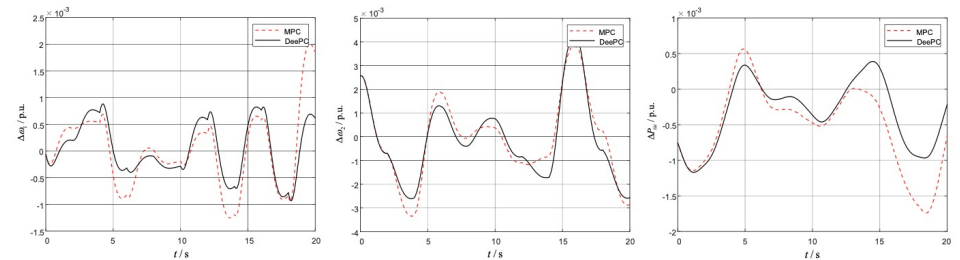
### ▪ Results:

- Control performance with **accurate** net load prediction:



**Better than AGC & Close to MPC**

- Control performance with **inaccurate** net load prediction:



**Better robustness than MPC**

Ref: Zhao, Liu and Hill, A Data-Enabled Predictive Control Method for Frequency Regulation of Power Systems, ISGT Europe 2021.

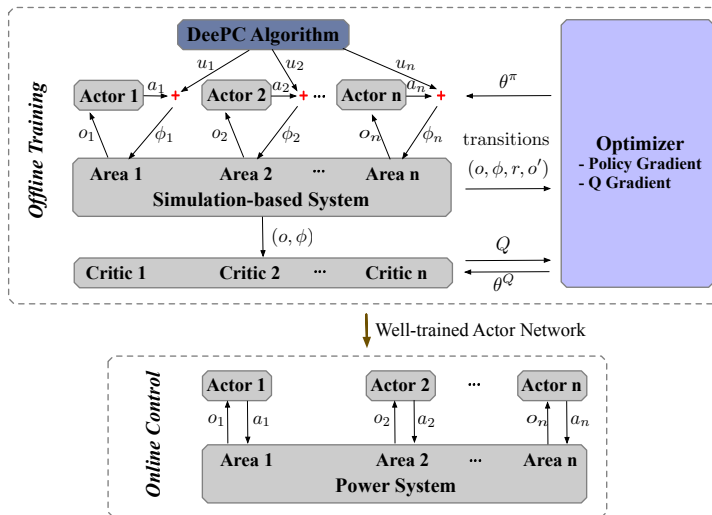


## Data-based Frequency Regulation of Power Systems

### ➤ Combination of DeePC & Reinforcement Learning (RL) for LFC:

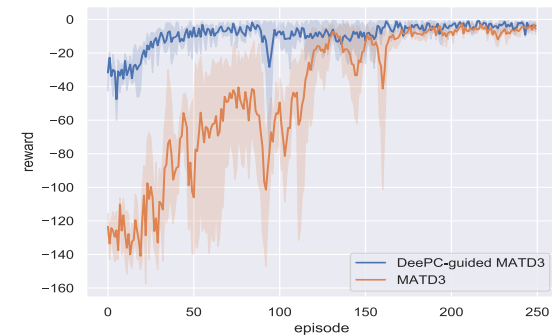
	DeePC	RL
<b>Pros</b>	<ul style="list-style-type: none"> <li>• model-free</li> <li>• offline training is not required</li> </ul>	<ul style="list-style-type: none"> <li>• model-free</li> <li>• fast online control</li> </ul>
<b>Cons</b>	<ul style="list-style-type: none"> <li>• online computation burden</li> </ul>	<ul style="list-style-type: none"> <li>• complex offline training</li> </ul>

- **Idea:** Use the DeePC to **guide** the offline training of RL.

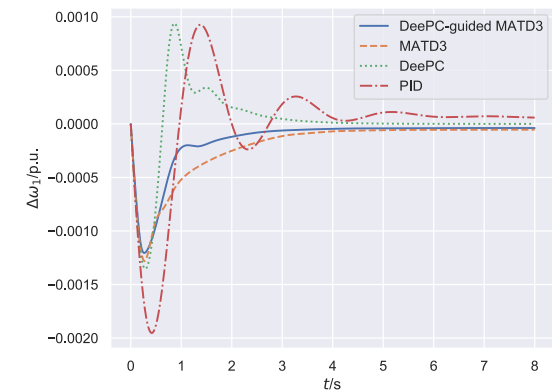


### ▪ Results:

#### ▪ **stable** offline training:



#### ▪ **effective** online control:



Ref: Zhao, Liu and Hill, A Multi-Agent Reinforcement Learning based Frequency Control Method with Data-Enabled Predictive Control Guided Policy Search, IEEE PES General Meeting 2022.

## □ Unsolved Problems in Existing Data-Based Approaches

- **Lack of unstable/risky scenarios** to verify the real-world performances of a well-trained scheme.
- Insufficient consideration of **practical implementation contexts**, e.g., measurement errors/losses, communication delays and/or failures.
- **Inadequate interpretability** of many ML methods, especially emerging neural network-based DL approaches, being incomprehensible for power system operators in practice.
- How to systematically **combine model-based and data-based approaches** to derive more powerful solutions.
- ...

*Annual Review of Control, Robotics, and  
Autonomous Systems*

Stability and Control of  
Power Grids

Tao Liu,<sup>1,\*</sup> Yue Song,<sup>1,\*</sup> Lipeng Zhu,<sup>1,2,\*</sup>  
and David J. Hill<sup>1,3</sup>

**Ref:** T. Liu, Y. Song, L. Zhu, and D. J. Hill, AR-CRAS, 2022.

# Conclusions

- As complexity increases data-based/learning methods becoming more popular in research
- Not just about applying standard ML tools and accepting answers
- Questions on how to get the physical knowledge included
- New stability phenomena emerging, e.g. oscillations in wind/HVDC
- Models are now spatio-temp-hierarchical (kW to GW) with less time-scale separation, i.e. GFL, GFM inverters taking over from synch generators
- After 100 years or so of slow changes, a new era of fundamentals is suddenly here in a larger PE community, but dynamics and control is no less important
- And at levels of rigor established in the past

# New stability

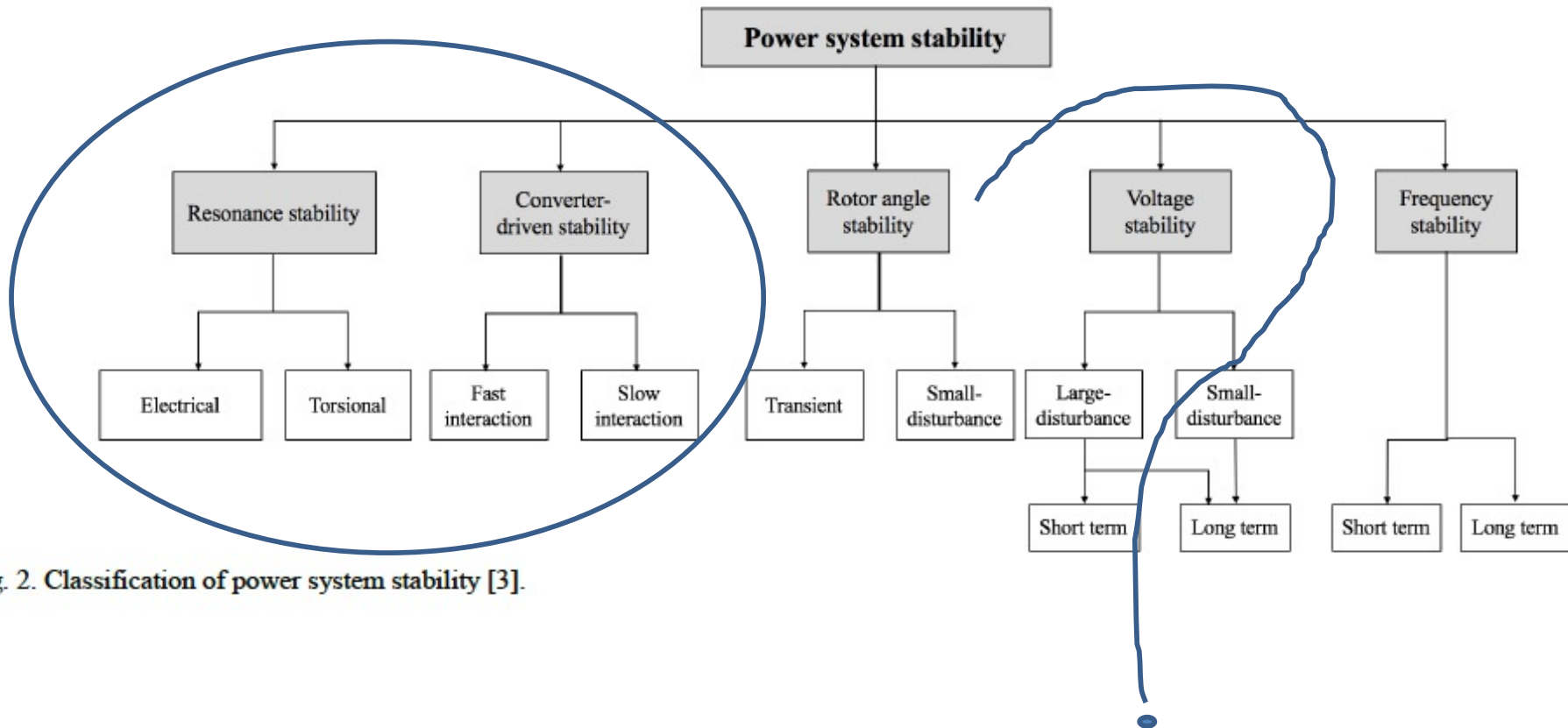


Fig. 2. Classification of power system stability [3].

Ref: N.Hatziargyriou, ...D.J.Hill, ... "Definition and Classification of Power System Stability – Revisited & Extended," *IEEE Trans Power Systems*, July 2021.