

Office Hr Tuesday

1:30:30 p.m.

829

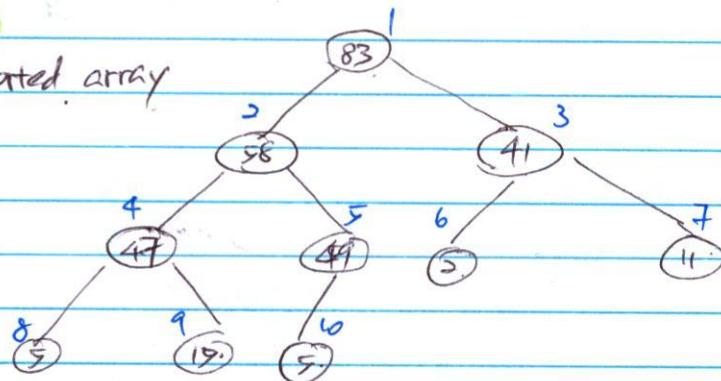
FundAlg or Pro. Spencer.

www.cs.nyu.edu/faculty/spencer/fundalg/index.html.

chapter 6.

HEAPS.

Semi-sorted array



1 2 3 4 5 6 7 8 9 10  
83 58 41 47 49 2 11 5 15 7

Heap A.

Heap-size [A] size = "n" Asymptotic.

Length [A] (length of array in the computer)

(In Binary-tree, left, right child cannot be the same in general)

Binary Tree Root [A] = 1 or index

parent[I][J] = [I]/2 (I ≠ 1) Root has no parent.

Left[I][J] = [I] \* 2 (or [I] \* 2 + 1)

Right[I][J] = [I] \* 2 + 1 (or [I] \* 2 + 2)

Max-heap property

For All I ≠ 1

$$A[I] \geq A[\text{parent}[I]] \quad (\geq)$$

Min-heap property

For All I ≠ 1

$$A[I] \leq A[\text{parent}[I]] \quad (\leq)$$

→ Binary tree rooted at I.

I and All descendants

→ Left tree of I.

Bin Tree rooted at Left[I][J]

→ Right tree of I

Bin Tree rooted at Right[I][J]

LEAF: No children (I) > N/2

Height[I][J] = length of longest path I to leaf.

Height[A] = Height[I][J] =  $\lceil \lg n \rceil$  ( $\lg$  means  $\log_2$ )

$$N = 1023 = 2^{10} - 1 \quad \text{Height} = 9$$

$$N = 1048576 = 2^{20} - 1 \quad \dots = 19$$

$$N = 2^{30} - 1 \approx 10^9 \quad \dots = 29$$

$$N = 10^{12} \quad \dots = 39$$

MAX-HEAPFY ( $A, I$ )

$O(\ln N)$

Build-max-heap ( $A$ )

$O(N)$

HEAP-SORT

$O(N \ln N)$

Priority Queue

Insert  $O(\ln N)$

Extract-Max  $O(\ln N)$

Increase key  $O(\ln N)$

MAX  $O(1)$

Time  $O(\text{HEIGHT}(I))$

For any  $I$ , Time  $O(\ln N)$   $\swarrow$  worst-case.

MAX-HEAPFY ( $A, I$ )

Input: left Tree of  $I$ : heap

right Tree of  $I$ : heap

Output: Tree rooted at  $I$ : Heap

1-7 largest  $\leftarrow$  that of  $I$ , left  $[I]$ , right  $[I]$

(index) with largest  $A$ -value (not index, result value!)

8 IF largest  $\neq I$   $\leftarrow$  Else STOP!

$A[I] \leftarrow A[\text{largest}]$

MAX-HEAPFY ( $A, \text{largest}$ )

eg)

maxheapfy ( $A, 1$ )

$\begin{array}{c} 98 \\ \swarrow \searrow \\ 98 \quad 70 \quad 95 \end{array}$

60  $\begin{array}{c} \swarrow \searrow \\ 70 \quad 57 \end{array}$  51 83

11 19  $\begin{array}{c} \swarrow \searrow \\ 21 \quad 14 \end{array}$

- why it works
- how it takes

(partial sorting algorithm)

## Build Max Heap (A).

Input: Array  $A[1 \dots N]$

Output: HEAP.

1. Hoopsize [A]  $\leftarrow$  length [A]
  2. For  $I = \lfloor \text{length}[A]/2 \rfloor$  down to 1.  
max- heapify [A, I]

Time  $O(N)$  Height =  $k-1$

前序遍历  $H = 2^{k-1}$

$$\begin{aligned} & 2^{k-1} \cdot 0 + 2^{k-2}(1) + 2^{k-3}(2) + \dots + 2^0(k-1) \\ &= 2^{k-1} \left( \frac{1}{2} + \frac{3}{4} + \frac{7}{8} + \frac{15}{16} + \dots \right) \\ &< 2^{k-1} \cdot 2 = 2^k = O(N) \end{aligned}$$

$$\frac{1}{2} + \frac{3}{4} + \frac{7}{8} + \frac{15}{16} = \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} \dots = \frac{1}{2}$$

$$\frac{1}{8} + \frac{1}{16} \dots = \frac{1}{4}$$

$$\frac{1}{16} \dots = \frac{1}{2}$$

Formula:  $\frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{16} + \dots + \sum_{n=1}^{\infty} \left(\frac{1}{2}\right)^n = \frac{\frac{1}{2}}{1 - \frac{1}{2}} = 1$

## Hospital IAJ

Input: Array A [1 - N]

Output: Sorted ("array")

1. Build - max - Heap IAI  $O(N)$
  2. For  $I = \text{length}[IA]$  down to 2.

$$A[I] \leftrightarrow A[I]$$

Heapsize IAT --

Max-HEAPIFY ( $A, i$ )

## Priority Queue

insert IA / x T

insert into a MaxHeap

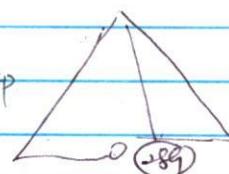
~~HEAPSIZE[A]++~~

`A[HEAPSIZE] = x`

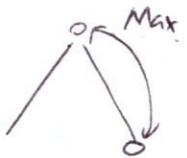
while.  $I \neq 1$  AND  $key[\text{parent}[I]] < key[A[I]]$

$A[JJ] \leftrightarrow A[\text{parent}[JJ]]$

$T \leftrightarrow \text{parent}[T]$



## Time Dilution



Extract-MAX [A]

$\max \leftarrow A[1]$

$O(\lg n)$

$A[1] \leftarrow A[\text{HEAPSIZE}[A]]$

$\text{HEAPSIZE}[A]--$

Max-heapify ( $A, 1$ )

return  $\max$

1/30.

Sorting Chapter 7 & 8

Quicksort.

partition [ $A, p, r$ ]  $\uparrow$   $\downarrow$

input  $A[p \dots r]$  arbitrary order 隨意排列

output pivot  $x = A[r]$

all  $A[i] < x$  are before  $x$

09  
 book method:  
 $\begin{array}{ccccccc} * & * & * & all & > & after \\ 3 & 4 & 5 & 6 & 7 & 8 & 9 \end{array}$  pick as pivot  
 prof's version:  $\begin{array}{ccccccc} A[10] & 6 & 84 & 30 & \rightarrow & 91(+) & \\ B[10] & 6 & 30 & 22 & \rightarrow & 91 & 84 \end{array}$  return  $7$   
 (Auxiliary B)  
 $\text{left} \leftarrow p$   $\rightarrow$  pointer.  
 $\text{right} \leftarrow r$   $\rightarrow$  pointer.

$x = A[r] \rightarrow$  pivot  $\rightarrow$

For  $j = p$  to  $r-1$   
 do if  $A[j] < x$

$B[\text{left}] \leftarrow A[j]$

$\text{left}++$

else

$B[\text{right}] = A[j]$

$\text{right}--$

$B[\text{left}] \leftarrow x$

For  $j = p$  to  $r$

Time  $O(l \lg l)$  where

$A[i] \leftarrow B[i]$

$l = q - p + 1$  (# items)

return left.

(r)

# Take 3 random elements,  $\rightarrow$  then take median  $\rightarrow$  pretty near the center.

Quicksort. [A, p, r] (Assume  $p \leq r$ )

If.  $p < r$  ( $\times$  else done!  $\times$ )

then  $q \leftarrow \text{partition } [A, p, r]$

Quicksort [A, p, q-1]

Quicksort [A, q+1, r]

△ How much time does Quicksort take on n items?

Worst case: e.g. A already sorted  $O(n^2)$

$F(n) = \text{Time } (\# \text{ comparisons})$   $\downarrow$  actually  $F(n-1)$

If. pivot always median  $F(n) + 2F(\frac{n}{2})$

$F(n) = O(n \lg n)$

Randomized Partition [A, p, r]

$i \leftarrow \text{Random } [p, r]$  (in this range)

$A[i] \leftrightarrow A[r]$

return partition [A, p, r]

Randomized Quicksort. [A, p, r]

If.  $p < r$ .

(Expected)  
Avg Amount of Time.

equally well.

$q \leftarrow \text{Randomized Partition } [A, p, r]$

Randomized Quicksort [A, p, q-1]

Randomized Quicksort [A, q+1, r]

Get a data and shuffle the data first.  $\xrightarrow{\text{then}} \text{Quicksort}$ .  
(elements!)

Difficult point know

$T(n) = \text{Expected } \# \text{ comparisons with } n \text{ items}$

$T(0) = T(1) = 0$

$T(n) = n-1 + \frac{1}{n} \sum_{i=1}^n [T(i-1) + T(n-i)]$  recursive equation.

↑  
comp with  
pivot.

$$= n-1 + \frac{2}{n} \sum_{i=1}^{n-1} T(i)$$

$$T(2) = 2-1 + \frac{2}{2}(0) = 1$$

$$T(3) = 3-1 + \frac{2}{3}(T(1) + T(2)) = \frac{8}{3}$$

$$T(4) = 4-1 + \frac{2}{4}(T(1) + T(2) + T(3)) =$$

Math  $\rightarrow$

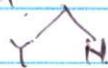
$$T(n) = \Theta(n \lg n)$$

## Comparison Sorts.

puzzle: Find order on  $H$  objects By comparison.

(2.9)

$n = 4, a, b, c, d$



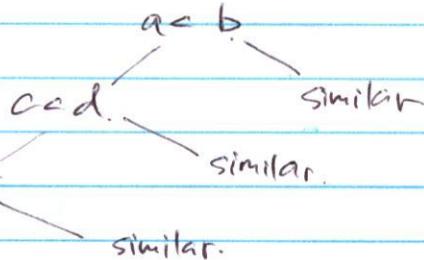
### Decision Tree

ab cd  
ac bd  
acd b

↓

b < c ?

abcd



If  $m$  possibilities and  $h$  Questions,

Decision Tree lower Bound  $m \leq 2^h$

$$h \geq \log_2 m$$

Sorting  $H$  elements  $m = n!$

# comparison  $\geq \lceil \lg n! \rceil$

$$\frac{n}{n} \cdot \frac{n-1}{n-1} \dots \frac{1}{1} \text{ DTLB}$$

$$4 \cdot 2 \cdot 1 \quad 5$$

$$5 \cdot 4 \cdot 3 \quad 7$$

? To P.  
so q.

$$\lg n! = \lg 2 + \dots + \lg n \leq n \lg n$$

$$\geq \lg \frac{n}{2} + \dots + \lg n \geq \frac{n}{2} (\lg n - 1)$$

$$\lg n! = \Theta(n \lg n)$$

Stirling formula  $n! \sim n^n e^{-n} \sqrt{2\pi n}$

## Linear Time Sorts

\* require special data \*

### Counting Sort

only when data is in good form

Input:  $A [1 \dots n]$  All  $0 \leq A[i] \leq k$  integers

If:  $k = O(N)$  Linear time Time  $O(\max(N, k))$

Input:  $A [1 \dots n]$

Output  $B [1 \dots n]$

Auxiliary  $C [0 \dots k]$

initialize.  $C[i] = 0 \quad 0 \leq i \leq k$ . (to count # occurrence of value)

$O(N)$  For  $s = 1 \text{ to } H$ .  $C[A[s]]++$  (+counting)

$O(k)$  For.  $s = 1 \text{ to } K$   $C[S] = C[S] + C[S-1]$ , (+cumulative counting +)

B. 0.  
A. 1 3 0 2 2 3 1 3 > 0

(eg) C. 0 1 2 3  
2 3 3  
> 4 7 10

For J = M Down to 1.

value  $\leftarrow A[IJ]$   
 $O(N)$  place  $\leftarrow C[value]$   
 $B[place] \leftarrow value$ .  
 $C[value] \leftarrow -$ .

### Radix Sort.

input  $A[I=1 \dots N]$   
 $0 \leq A[IJ] < k^D$  integers  
written in base  $k$ .  
D digits (count from left).

Tradeoff of K and D

$N$  numbers  $\rightarrow$  base  $k$

(eg) All  $0 \leq A[IJ] \leq N^3$   
Base  $N$  Each count  $O(\max(N, N)) = O(N)$   
# count = D = 3

For. J = D Down to 1.

Apply counting sort to. J<sup>th</sup> Digit.

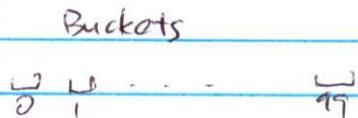
(eg)  
*g1 b2 31 72 53*  
*g1 31 b2 72 53*  
*31 51 53 b2 72.*

Time  $O(D \cdot \text{Max}(N, k))$

3/6

### Bucket Sort.

input Array  $A[I=1 \dots N]$  unsorted.



Assumption:  $0 \leq A[IJ] < 1$  "Random"

Output sorted. Array

Array  $B[0, \dots, (N-1)]$  of linkedlist.  
initially empty

For. I = 1 to N

$O(N)$  Place =  $\lfloor A[IJ] \times N \rfloor$

insert  $A[IJ]$  into. list  $B[place]$

For. J = 0 to N-1

sort  $B[IJ]$  By any sort.

Assuming No correlation  
independent.

(mean 1)

### Poisson Distribution $X$



$$\Pr[Bi \text{ is empty}] = (1 - \frac{1}{n})^n \sim e^{-1} = 36\%$$

$$\Pr[Bi \text{ has 1 item}] = n \cdot \frac{1}{n} \cdot (1 - \frac{1}{n})^{n-1} \sim e^{-1} = 36\%$$

$$\Pr[Bi \text{ has 2 items}] = \binom{n}{2} \frac{1}{n^2} (1 - \frac{1}{n})^{n-2} \sim \frac{1}{2e} = 18\%$$

$$\dots \dots \dots \binom{n}{3} \frac{1}{n^3} (1 - \frac{1}{n})^{n-3} \sim \frac{1}{6e} \sim 6\%$$

$$\Pr[X=k] = \frac{1}{k!}$$

$$C_0^2 = 0$$

$$C_1^2 = 0$$

Count comparison

$$C_2^2 = 1$$

Assume sort takes  $\binom{k}{2}$  comparisons

$$C_3^2 = 3$$

Total # of comparisons:

$$C_4^2 = 6$$

$$n \left[ \frac{1}{2}(0) + \frac{1}{2}(0) + \frac{1}{2}(1) + \frac{1}{6}(3) + \frac{1}{24}(6) + \frac{1}{120}(10) + \frac{1}{720}(15) + \dots \right]$$

$$C_5^2 = 10$$

$$= CN \quad (\text{promised linear time})$$

### Growth of Functions

$$O \circ \Theta \Omega \sim w$$

Df:  $T(n) = \Theta(g(n))$  means  $\exists c_1 c_2 > 0$ ,

such that  $c_1 g(n) \leq T(n) \leq c_2 g(n)$

for  $n$  sufficiently large.

eg:  $3n^3 - 5n^2 + 1076n = \Theta(n^3)$ .

$$2n^3 \leq \quad || \quad \leq 4n^3$$

$f(n) \sim g(n)$  means  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$

$T(n) = O(g(n))$  means  $\exists c \ T(n) \leq c g(n)$  for  $n$  sufficiently large.  
want  $g(n)$  = "nice"

eg.  $n$ .  $n^2$   
 $n^3$ .  $\lg n$   
 $\lg \lg n$ .  $n \lg \lg n$

$n^{3/2}$ . 1 (constant). [doesn't matter what size of data.]  
 $n \lg n$ .

$T(n) = \Omega(g(n))$  means  $\exists c > 0$   $T(n) \geq c g(n)$  for  $n$  suff large.

$f(n) = o(g(n))$  means.

$$\lim_{n \rightarrow \infty} \frac{T(n)}{g(n)} = 0$$

### Funny Addition.

part I  $T_1(n) = O(g(n))$

But Note!

part II.  $T_2(n) = O(g(n))$

You can't set part into H.

Total  $T_1(n) + T_2(n) = O(g(n))$

\*  $\lg n = O(n^\varepsilon)$  for any  $\varepsilon > 0$  \*

(og)  $n \lg n = O(n^{\frac{3}{2}})$

$\lg^k n = O(n^\varepsilon)$  for any  $k, \varepsilon > 0$ .

### Nested loops.

Suppose BLP [I]

BLOP [IN]

takes  $O(g(I))$

For  $I=1$  to  $N$ .

then. BLOP [N] takes

$\Rightarrow$  BLP [IJ]

$O(g(I) + \dots + g(N))$

$x = 0$

For.  $I=1$  to  $N$

For  $J=1$  to  $I$   $\Rightarrow O(I)$   $\Rightarrow O(1+2+\dots+N)$ .

End For

End For

Suppose.  $g$  increasing

$$(g(1) + \dots + g(N)) \leq N g(N)$$

$$\geq g\left(\frac{N}{2}\right) + \dots + g(N) \geq \frac{N}{2} g\left(\frac{N}{2}\right)$$

(og)  $1^3 + 2^3 + \dots + N^3 \leq N N^3$

$$\geq \frac{N}{2} \left(\frac{N}{2}\right)^3 = \frac{N^4}{16}$$

$\therefore 1^3 + \dots + N^3 = \Theta(N^4)$  works for any power.

$$2^1 + 2^2 + 2^3 + \dots + 2^N \leq N 2^N ?$$
 (true but Not actual)

$$2^{N+1} - 2 = \Theta(2^N)$$

老师上课讲的混了  
P 及 Q 次序

MergeSort. (No assumption for the data)

Merge [A, P, Q, R]

Input A [P ... R] sorted

A [P+1 ... Q] sorted

Output A [P ... Q] sorted

① Create Aux.  $P - P+1$

$L[i], \dots, R[P+1]$  with  $A[P \dots R] \leftarrow \infty$

$R[P+1 \dots R+1]$  with  $A[P+1 \dots Q] \leftarrow \infty$

L point  $\leftarrow 1$

R point  $\leftarrow 1$

linear

For  $k = P \rightarrow R$

If  $L[LPoint] \leq R[RPoint]$

$A[k] \leftarrow L[LPoint]$

LPoint ++

Else

$A[k] \leftarrow R[RPoint]$

RPoint ++

MergeSort [A, P, R] ( $P \leq R$ , unsorted)

If ( $P < R$ ) ( $\rightarrow$  else done!  $\times$ )

$Q = \lfloor (P+R)/2 \rfloor$

MergeSort [A, P, Q]

MergeSort [A, Q+1, R]

Merge [A, P, Q, R]

Let  $T(n) =$  time (# comp) for mergeSort on  $n$  items

$$T(n) = O(n) + T(\frac{n}{2}) + T(\frac{n}{2})$$

$$= 2T(\frac{n}{2}) + O(n)$$

7/13.

## Recursions

$$T(n) = a T(n/b) + f(n)$$

# calls    size    overhead

initial value  $T(1)$  (Not Important!)

$$\boxed{\text{Mergesort } T(n) = 2 T(n/2) + \Theta(n)}$$

$\hookrightarrow$  recursive call of half size.

$$\hookrightarrow \text{Just Right: } T(n) = \Theta(n \lg n) \because f(n) = n^{\log_2 2} = n$$

In No overhead case

$$T(n) = a T(n/b)$$

$$T(1) = 1$$

$$T(b) = a$$

$$T(b^2) = a^2$$

$$T(n) = 4 T(n/4)$$

$$T(1) = 1$$

$$T(4) = 4 \cdot 1 = 4$$

$$T(4) = 16$$

$$T(b^i) = a^i$$

$$T(2^i) = 4^i$$

$$\sim T(n) = n^2$$

generalize

$$n = b^i$$

$$a^i = \left\lceil \frac{(\log_b a)}{b} \right\rceil^i = (b^i)^{\log_b a} = n^{\log_b a}.$$

$$\Rightarrow T(n) = n^{\log_b a}$$

depending on the growth rate of overhead

{ how do we get? }

## Master Theorem

{ how to use? }

Low overhead If  $f(n)$  is "substantially lower" than  $n^{\log_b a}$ .

$$\text{Then } T(n) = \Theta(n^{\log_b a})$$

High overhead If  $f(n)$  is "substantially higher" than  $f(n)$ 

$$\text{Then } T(n) = \Theta(f(n))$$

Just right overhead If  $f(n) = \Theta(n^{\log_b a})$ 

$$\text{Then } T(n) = \Theta(n^{\log_b a} \lg n)$$

$$\log_2 8 = 3$$

$$\text{eq: } T(n) = 8T\left(\frac{n}{2}\right) + n^{3.5}$$

$T(n) = \Theta(n^3)$  low  
 $\Theta(n^{3.5})$  high  
 $\Theta(n^3 \lg n)$  Just Right

$$T(n) = \alpha T(n/b) + f(n)$$

$$\frac{T(n)}{n^2} = \frac{4T(n/2)}{n^2} + \frac{f(n)}{n^2}$$

renormalize it

Set:  $\text{Scrif}(T(n)) / n^{\log_2 q}$

$$\text{Set } S(n) = \frac{T(n)}{n^2}.$$

$$\boxed{Sc(y/b)} = T(n/b) / \left(\frac{n}{b}\right)^{\log_b a} \\ = a T(n/b) / n^{\log_b a}$$

$$S(n) = S(n/2) + g(n)$$

$$S(n) = S(\frac{n}{b}) + g(n)$$

where  $g(n) = f(n)/n^2$ .

where  $g(n) = f(n)/n^{\log_2 q}$

$$S(b^e) = g(b^e) + g(b^{e-1}) + \dots + g(b) + g(1) + S(1)$$

$\Rightarrow$  HighOver.  $g(n) \cdot g(n/b) \cdot g(n/b^2)$  drops & sum done by 1st term  
 $g(n)$  pospw of n constant to  $\mathcal{O}(g(n))$

$\Rightarrow$  Lower Bnd.  $g(a) + g(b) + g(b^2) + \dots + g(n)$  constant to  $\Theta(1)$   
 $g(n)$  Neg Powfn.

$\Rightarrow$  Just Right Over. All  $g(1) + g(2) + \dots + g(n) = \Theta(g(n))$

$$\textcircled{9}: T(n) = 2T\left(\frac{n}{2}\right) + n - 1$$

$$T(1) = 0$$

$$S(n) = \frac{T(n)}{n} \quad S(n) = ST\left(\frac{n}{2}\right) + \left(1 - \frac{1}{n}\right)$$

$$S(1) = \frac{T(1)}{1} = 0$$

$$n=2^b \cdot S(n) = (1 - \frac{1}{2^b}) + (1 - \frac{1}{2^{b+1}}) + \dots + (1 - \frac{1}{2^n}) + 0$$

# STRASSEN

$$T(n) = 7T\left(\frac{n}{2}\right) + \mathcal{O}(n^2)$$

$$\log_{10} T = 2.807$$

$$\text{Master Theorem} = T(n) = \Theta(n^{\log_2 7})$$

## KARATSUBA

Multiply 2 N-digit #s.

#s given by  $A[0 \dots N]$

$B[0 \dots N]$

Output.  $C[0 \dots (2N)]$

Addition CARRY  $\leftarrow 0$

For  $i=0$  to  $N$

$$C[i] = A[i] + B[i] + \text{CARRY}$$

If  $C[i] < 10$

do CARRY  $\leftarrow 0$

else

CARRY  $\leftarrow 1$

$$C[i] = C[i] - 10$$

END FOR

$$C[N+1] = \text{CARRY}$$

(eg in decimal...)

- Note:

这里的数值是倒着的

$$98 \Rightarrow A = [8, 9]$$

$$+ 49 \Rightarrow B = [9, 4]$$

Then Addition Algorithm

makes sense.

## Normal Multi

$$\Theta(N^2)$$

-- Note:

Initial. All.  $C[i] \leftarrow ?$

这里的数值是正常的。

For  $I=0$  to  $N$

$$A = [2, 4]$$

For  $J=0$  to  $N$

$$B = [1, 1]$$

$$C[I+J] = C[I+J] + A[I] \times B[J]$$

CARRY  $\leftarrow 0$

For  $k=0$  to  $2N$

$$C[k] = C[k] + \text{CARRY}$$

$$\text{CARRY} \leftarrow (C[k]/10)$$

$$C[k] = \text{Remainder}[C[k], 10]$$

## Divide & Conquer

binary

$n$  even.

Input  $d, B$

Output  $dB$

$d$	3	1	4		$x$	$y$
$B$	2	+	1		8	1
	z				w	

$$d = x2^y + y$$

$$B = z2^w + w$$

$$dB = (xz)2^n + (xw + yz)2^{n/2} + yw$$

Bad way: calculate  $xz, xw, yz, yw$  & overhead

$$T(n) = 4T(n/2) + \Theta(n)$$

bad overhead  $T(n) = \Theta(n^2)$

$\Theta(n)$

$xz$

$$T(n/2)$$

$$(xz)2^n + (yw)$$

$yw$

$$T(n/2)$$

$$(xw + yz)2^{n/2}$$

$x+y$

$$\Theta(n)$$

$\Downarrow$

$z+w$

$$\Theta(n)$$

$$T(n) = 3T(\frac{n}{2}) + \Theta(n)$$

$(x+y)(z+w)$

$$T(\frac{n}{2})$$

overhead

$$(x+y)(z+w) - xz - yw \quad \Theta(n)$$

$$T(n) = \Theta(n \log^3 n)$$

$xw + yz$

2/3

Precitation point.

$$T(n) = 2T(n/2) + \Theta(n \log n)$$

→ If we use Master Theorem.  $\log_2 2 = 1 \Rightarrow n$  compare with  $n \log n$ .

It will be high overhead  $\boxed{T(n) = \Theta(n \log n)}$

→ But in fact, in reality, is that true ???

$$\frac{T(n)}{n} = 2\frac{T(n/2)}{n} + \frac{\Theta(n \log n)}{n}$$

$$\text{let } S(n) = \frac{T(n)}{n}$$

$$S(n) = S(n/2) + \log n$$

$$= S(n/4) + \log(n/2) + \log n$$

:

$$\therefore \lg n = \underbrace{S(1) + \log(1) + \dots + \log(n/2) + \log n}_{\Theta(\lg n \cdot \lg n)}$$

$$\lg(n/4) = \lg n - 2$$

$$\lg(1) = \lg n - \lg n$$

$$\therefore S(n) = \lg n + S(1) \Rightarrow T(n) = n(\lg n + S(1))$$

27

## Hashing

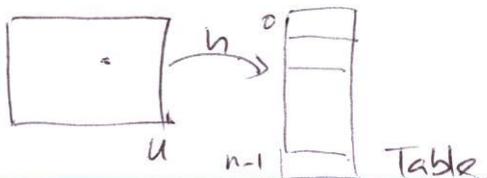
Universe  $U$  of keys.

Dynamic DATA structure

Function Supported: Insert

Delete

Search



Hash Table Size  $m$ .

Hash Function  $h$ :

$$U \rightarrow \{0, 1, \dots, n-1\}$$

### IDEA:

Insert 'John' into  $T[h(\text{key('JOHN')})]$

Search 'John' in  $T[h(\text{key('JOHN')})]$

Delete 'John' from  $T[h(\text{key('JOHN')})]$

### Problem... Collision

$$h(\text{key('John')}) = h(\text{key('Mary')})$$

### Collision Resolution by Chaining

$T$  ARRAY of Doubly linked lists

Insert 'John' at the head of  $T[h(\text{key('JOHN')})]$

Search  $\rightsquigarrow$  search list  $T[h(\text{key('JOHN')})]$

Delete  $\rightsquigarrow$  search-remove 'John' functionally linked list

$m$  = size HASH TABLE

$n$  = # records

Load Factor.  $\alpha = \frac{n}{m}$

Successful  $1 + \frac{\alpha}{2}$

unsuccessful  $1 + 2$

Suppose keys are nonnegative integers

e.g.  $h(x) = x \bmod m$

e.g.  $m=9$

Insert  $372 \bmod 9 = 3$

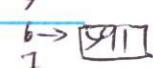
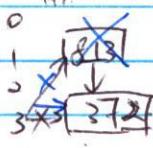
Insert  $591 \bmod 9 = 6$

Search  $200 \bmod 9 = 2$  No

Insert  $813 \bmod 9 = 3$

Search  $372$

Delete  $813$



## Properties of good hash Function.

- ① Quick to compute.
- ② Acts like Random Function } Pseudorandom
- ③ Deterministic

## Probe Sequence

$h(key, 0) \quad h(key, 1), \dots$

Insert: Try  $h(key, 0)$  if full  $h(key, 1), \dots$

Linear Probing  $h(key, i) = h(key) + i \bmod m$

## Problem: Primary Clustering

### Double hashing

map Prime

Two Hash Funs  $h_1(k) \in \{0, 1, \dots, m-1\}$

$h_2(k) \in \{1, \dots, n-1\}$

$h(k, i) = h_1(k) + ih_2(k) \bmod m$

eg  $m=11 \quad h_1(k)=5 \quad h_2(k)=3$

Probe sequence 5, 8, 0, 3, 6, 9, 1, 4, 7, 10, 2

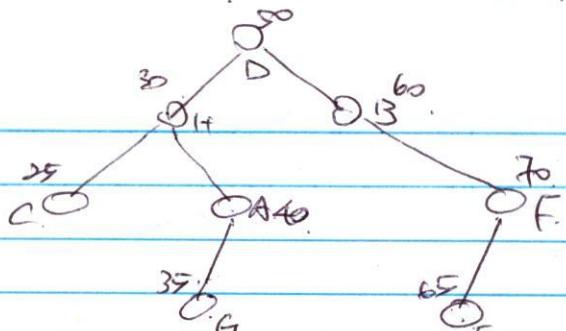
## Deletes & Iterating

- ④ Add "Delete" to spot on hashtable

$h_1(\text{Tom}) = 4$	0	Insert 'John'
$h_2(\text{Tom}) = 3$	1	Insert 'Mary'
	2	~ 'Tom'
	3	Delete 'Mary'
4 John	4	Search 'Tom':
	5	either found
	6 Mary	or a blank spot.
	7 Delete	
	8.	
	9	
	10 Tom.	

Think about unsuccessful searching time.

## Semi sorted Data Structure



A B C D E F F G H

parent H D H

left G H G H

right H G F H

key 40 60 25

Root = D

### BST Property ~~&~~ (Very important!)

Any Node X (Definition of BST)

Any Node y in Left Tree has key(y)  $\leq$  key(x)

--- z --- Right --- key(z)  $>$  key(x)

### Dynamic Data Structure [Assume all keys are distinct]

Search.  $O(H)$

Insert  $O(H)$

Delete.  $O(H)$

3/7.

Midterm date confirmed as stated on Sylabus

Office Hour: Tmr.

Assignments + Solutions. (看老师的解答和写法)

2:30 - 3:30

### BST

H: Nodes H: Height.

worst case.  $H = N - 1$

Usually:  $H = O(\lg N)$

unlike heap, it can be long and stringing.  
can have left child/right child or not.

### Tree Search, $[x, k]$

$\uparrow$   
root.



Time  $O(H)$

- ① If.  $k = x.key$   
return  $x$
- ② else if.  $x = NIL$   
return NIL

- ③ Else, if.  $k < x.key$   $left[x]$   
return TreeSearch  $[x.left, k]$
- ④ Else ( $x > k$ )  
return TreeSearch  $[x.right, k]$

\* BST is a Good dynamic data structure

Full-Tree Search [T, k] 完整的 code 需要补这段

$x \leftarrow \text{Root}[T]$

TreeSearch [x, k]

TREE-MIN [x]

```
while left[x] ≠ NIL  
    x ← left[x]  
end while  
return x;
```

TREE-MAX [x]

```
while right[x] ≠ NIL  
    x ← right[x]  
end while  
return x;
```

If you are in inorder, stick in new stuff is a disaster!

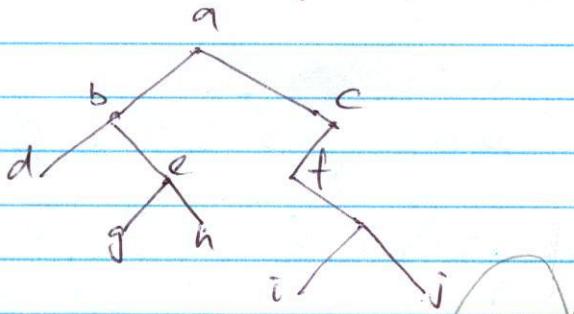
In-Order TREE-WALK (x)

If  $x \neq \text{NIL}$  (\* else done! \*)

In-Order tree-walk (left[x])

print x

In-Order tree-walk (right[x]),



Insert [z]

$x \leftarrow \text{Root}[T]$

$p \leftarrow \text{NIL}$

while  $x \neq \text{NIL}$

if  $z.\text{key} < x.\text{key}$

$p \leftarrow x$

$x \leftarrow \text{left}[x]$

else.

$p \leftarrow x$

$x \leftarrow \text{right}[x]$

end while

$\text{parent}[z] \leftarrow p$

$\text{left}[z] \leftarrow \text{NIL}$

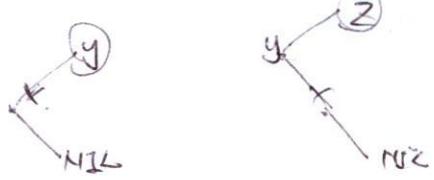
$\text{right}[z] \leftarrow \text{NIL}$

If  $z.\text{key} < p.\text{key}$

$z \leftarrow \text{left}[p]$

else

$z \leftarrow \text{right}[p]$

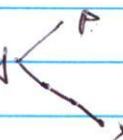


**Successor.**  $I[x]$   $O(H)$

If.  $\text{Right}[x] \neq \text{NIL}$ .

return  $\text{MIN}[\text{Right}[x]]$

else

climb from  $x$  until Preach.  $y$  

$y$  is the left child of  $P$ .

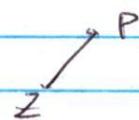
**Deletion.**

**Delete**  $[z]$

**case 1**  $z$  childless

3A: No Parent.

$\text{Root} \leftarrow \text{NIL}$



Else: If  $z = \text{Right}[P]$

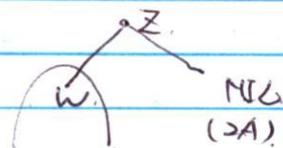
$\text{NIL} \leftarrow \text{Right}[P]$

else  $\text{NIL} \leftarrow \text{left}[P]$

**case 2**  $z$  has 1 child

(say left child)

$w \leftarrow \text{left}[z]$



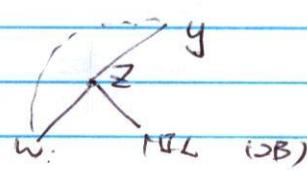
3A:  $z$  is Root.

$\text{Root}[T] \leftarrow w$

$\text{Parent}[w] \leftarrow \text{NIL}$

3B:  $\text{Left}[y] \leftarrow w$ .

$\text{parent}[w] \leftarrow y$



3C:  $\text{right}[y] \leftarrow w$

$\text{parent}[w] \leftarrow y$



**case 3**  $z$  has 2 children.

$w \leftarrow \text{parent}[z]$

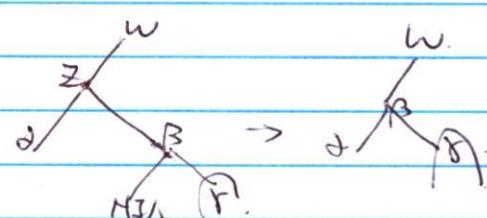
3A:  $\text{Left}[y] = \text{NIL}$

$\text{left}[w] = \beta$

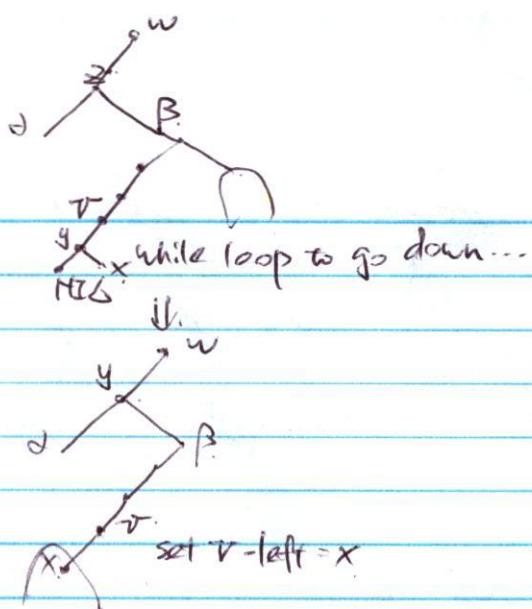
$\text{parent}[\beta] = w$

$\text{left}[\beta] = d$

$\text{parent}[d] = \beta$



SB: left.BJ ≠ M.JL



Time:  $O(H)$

(Finding  $y$  from  $B$ ,

## Dynamic Programming

optimization

### 15-1 POD-CUTTING

Total length  $M$ .

[Input] Price  $P[I][J]$  For  $I$  UNIT Pod  $N$ . partition  $P(N)$   
 $1 \leq I \leq N$   
 $P(N) \approx \sqrt{3} e^{\frac{N}{\sqrt{3}}}$

want to maximize total revenue. greater than pdy time.

[Output] Revenue  $R[J]$   $1 \leq J \leq N$  FirstCut  $I[J]$ .  $1 \leq J \leq N$ .  
Set  $P[N] = \text{MAX REV.}$

Initial:

$$R[0][J] = 0$$

Time  $O(N^2)$

$$P[1][J] = P[1][J], \text{ FirstCut}[1][J] = 1$$

Recursive (\*) solution.  $\Rightarrow P[N] = \max_{1 \leq I \leq N} (P[I][J] + P[N-I])$   $O(2^N)$

3/20

FirstCut  $[N]$  = that  $I$  giving MAX

To make cuts of Prod of length  $N$

Done  $\leftarrow 0$

until  $\text{Done} = N$

$\text{Done} \leftarrow \text{Done} + \text{FirstCut}[N - \text{Done}]$

"cut" at Done.

This is linear time.

Top-down & bottom-up memoized, cut-rod  $\rightarrow O(N^2)$

## 19.4 [Longest Common Sequence]

**Input**  $x[i \dots M]$  ( $x = x_1 x_2 \dots x_n$ )

$y[i \dots N]$  ( $y = y_1 y_2 \dots y_m$ )

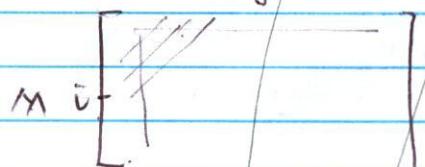
$x_i = x_1 x_2 \dots x_i$   $c[i, j] = \text{length of LCS of } x_i y_j$

$y_j = y_1 y_2 \dots y_j$

$\sim T$  Thus: IF  $x_i = y_j$  then A LCS of  $x_i y_j$  uses  $x_i, y_j$ .  
 $\oplus T$  and  $c[i, j] = c[i-1, j-1] + 1$

$\sim T$  (\*) IF  $x_i \neq y_j$   $x_{i+1} \in T$   $x_{i+1} \in A$

$\sim A.$   $c[i, j] = \max_{\substack{M \\ j}} [c[i-1, j], c[i, j-1]]$



Initialization.

$c[i, 0] = 0, 1 \leq i \leq M$ .

$c[0, j] = 0, 1 \leq j \leq N$ .

For  $k=2$  to  $M+N$

For  $I = \max(1, k-M)$  to  $N$

$J = k-I$

$c[I, J] = (*)$

For  $I = 1$  to  $M$ .

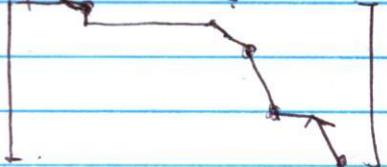
For  $J = 1$  to  $N$

$c[I, J] = (*)$

Time  $\Theta(M \cdot N)$

$$b[I, J] = \dots$$

follow Arrows



Paranthetization.

$$A = \begin{matrix} 100 \times 100 \\ 100 \times 10 \end{matrix}$$

$$B = \begin{matrix} 100 \times 5 \\ 10 \times 5 \end{matrix}$$

$$C = 5 \times 90$$

$$AB = \begin{matrix} 100 \times 900 \\ 100 \times 10 \times 900 \end{matrix} = 900000$$

$$AB = \begin{matrix} 100 \times 900 \\ 100 \times 10 \times 900 \end{matrix} = 900000$$

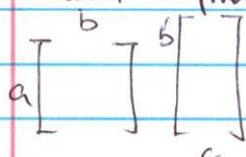
$$AC = \begin{matrix} 100 \times 50 \\ 100 \times 5 \times 50 \end{matrix} = 50000$$

$$AC = \begin{matrix} 100 \times 50 \\ 100 \times 5 \times 50 \end{matrix} = 50000$$

## Matrix Chain Mult.

Input:  $p_0, p_1, \dots, p_n$  positive integers

want to find  $A_1 \dots A_n$  where  $A_i$  has size  $p_{i-1} \times p_i$



Assume cost = abc

$$(AB)(CD)$$

$P(n) = \# \text{ways to paren. } A_1 - A_N$

A I(B C) D J

$$\text{Cut } A_1 \dots A_M = (A_1 \dots A_I)(A_{I+1} \dots A_M)$$

$$A[B(CD)]$$

IF CenY is btw  $I_1$ ,  $I_{n-1}$   $P(I_1) \neq P(I_{n-1})$

I(A B | C) D

$$\text{Precision } P_{(n)} = \sum_{i=1}^{n-1} P(i)P(n-i)$$

$$[A(BC)]D$$

$$\Rightarrow P(n) = \frac{1}{2n-1} \left( \frac{2n-1}{n-1} \right) \sim C 4^n n^{-3/2}$$

Input  $P_0, P_1, \dots, P_n$ .

Let.  $m(i, j) = \min \text{ cost of to find. } A_i \dots A_j \quad (i \leq j)$

initial  $m(i,j) = 0$

$$m(i, i+1) = \overbrace{P_i P_i P_{i+1}}^{A_i A_k} \quad A_{i+1} * P_k$$

$$m_{i,j} = \min_{1 \leq k < j} [m_{i,k} + m_{k+1,j} + \frac{A_{k+1} \dots A_j}{P_{k+1} P_k P_j}]$$

Triple Loop Time  $O(N^3)$

For  $L=3$ , no. 14 ( $\times$ : length of interval  $\times$ )

For  $I=1$  to  $N-L+1$

$$J = I + L - i \quad (* \text{ intervals with } L \text{ term})$$

$$m(I, J) = (-x)$$

## Mid-term 6 problems

write program + comment to explain

Sorting Algorithm (all) understand Time + reason.

Give the analysis.

## Precursion

## Optimal BST.

1, 2, ..., N

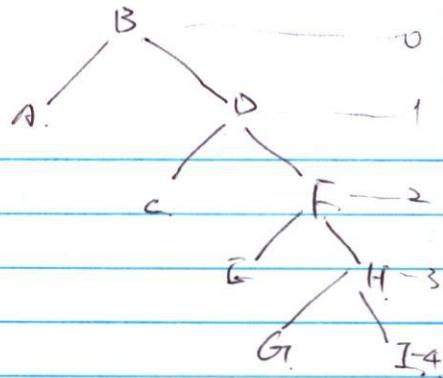
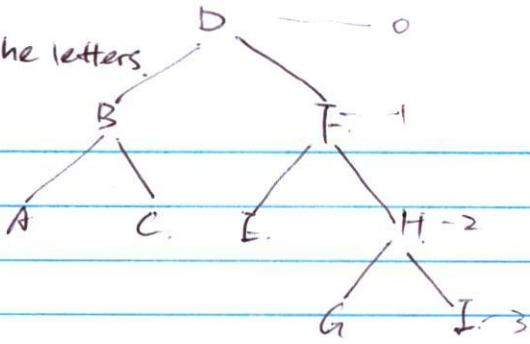
## Input frequency

want BST that minimizes  $\sum_{i=1}^n d(i)p(i)$ .

4/3

Depend on the

frequency of the letters.



OPT BST

keys:  $1, \dots, N$ given frequencies:  $p[1], \dots, p[N]$ 

want to minimize the sum over all keys

$$\text{Cost} = \sum_{I=1}^N p[I] d[I]$$

↑ depth in BST

Idea: let  $C[I, J]$  be the minimal cost for a BST on keys  $I \dots J$ Initialize  $C[I, I] = 0$  $C[I, J-1] = 0$  (+ useful  $\star$ )

$$(\star) C[I, J] = \min_{I \leq k \leq J} C[I, k-1] + C[k+1, J]$$

Each  $k$  in time  $O(N^2)$   
For all  $k$  in  $[I, J]$ ,  $D[I, J]$   
 $O(N^3)$  pre process

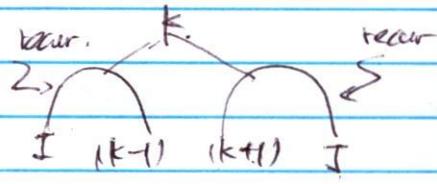
 $O(N)$  Initialize - $O(N^3)$  use ( $\star$ ).

$$C[I, J] = C[I, k-1] + C[k+1, J] + \left[ \sum_{S=I}^{k-1} p[S] - p[k] \right]$$

pre process

$$C[I, J] = C[I, J] - p[k]$$

$$C[I, J] = p[I]$$

For  $S=2$  to  $N$ .

$$C[I, S] = C[I, S-1] + p[S]$$

eg For  $I=2$  to  $N-1$ For  $I=1 \dots N-1$ 

$$J = I + 1$$

$$C[I, J] = (\star)$$

## Greedy Algorithm

## Activity selector.

input:  $A[i] = [S[i], F[i]] \quad 1 \leq i \leq N$ problem: Optimize the number of activities.

Theorem: Some Optimal Solution uses the activity that finishes first.

First finish



replace B by A.

 $\therefore$  Might as well as A.

i) Order by  $f_{II}$   $O(n \lg n)$

{  
  a) select  $a_I = (s_i, f_i)$

  b)  $\text{TEMPF} \leftarrow f_i$

$O(n)$   $\checkmark$   $f_I$ , For  $I = 2$  to  $n$ .

If  $s_I > \text{TEMPF}$ . (\* else skip  $a_I$ )

select  ~~$s_I$~~   $a_I$

$\text{TEMPF} \leftarrow f_I$ .

### ✓ Huffman decoding

Given: - Alphabet  $\Sigma$  (eg:  $\{a, b, c\}$ ). (order doesn't matter)

Frequencies.  $f(x) > 0 \quad x \in \Sigma$ . -  $\sum f(x)$

$$\sum_{x \in \Sigma} f(x) = 1.$$

want each letter  $x$  to code word.

( $2-1$  string)

$x$	$f(x)$	$c(x)$
a	0.55	00
b	0.2	01
c	0.24	10
d	0.01	11

$$\text{cost} = \text{BIT} = \sum_{x \in \Sigma} f(x) d_T(x) \quad \begin{matrix} \text{depth of } x \text{ in tree.} \\ \uparrow \\ \text{length of codeword.} \end{matrix}$$

$$\begin{aligned} & 3 \times 0.55 \\ & + 2 \times 0.24 \\ & + 2 \times 0.21 \\ & = 1.66 \end{aligned}$$

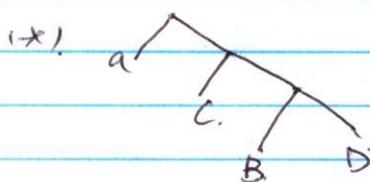
prefix code: No codeword is the prefix of another codeword.

$\Rightarrow$  Unambiguous parsing.

eg: 111|0|1.0|0|110|10|  
      D C B A B B

We want prefix code with minimum cost.

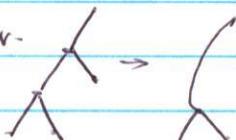
$\xrightarrow{\text{!}}$



(†)



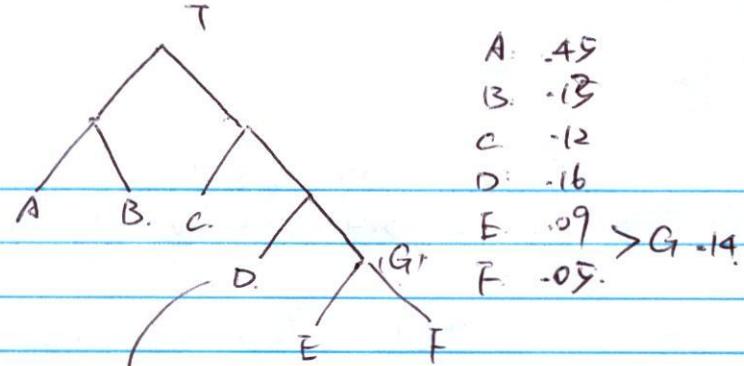
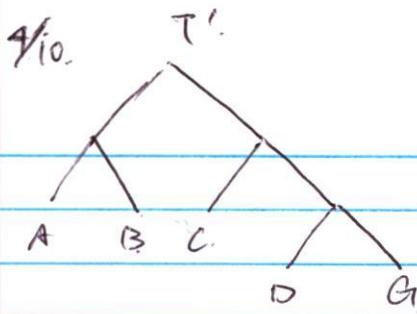
keypoints ①. Never



② given shape of Tree.

place  $\leftarrow$  Bottom up.

③ Any shape., the two. least. frequent letter. appear on  
bottom level. We can end will assure they're siblings



A:	.45
B:	.13
C:	.12
D:	.16
E:	.09
F:	.05

$> G = .14$

✓ Optimal BST

$p[1] \dots p[n]$  frequencies

$$\text{Minimize. } \sum_{i=1}^n p[i] \cdot d[i]$$

$$0.45(2) + 0.13(2) + 0.12 \cdot 2 + 0.16 \cdot 3 + 0.09 \cdot 4$$

$$+ 0.05 \cdot 4$$

$$\Delta = 0.09 + 0.05 = 0.14$$

$$+ 0.14 \cdot 3$$

THM: We may assume two letters of smallest frequency are siblings

0.45, A

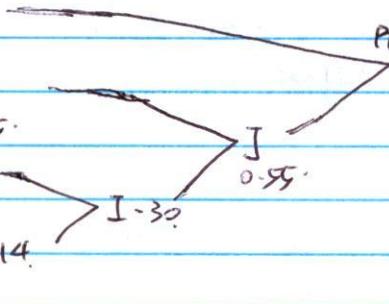
0.13, B > H

0.12, C > 0.05.

0.16, D

E > G = .14

F



Result.  $\uparrow^0$

A 0

B 100

C 101

D 110

E 1110

F 1111

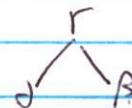
Min Heap Implementation ( $|S| = n$ )

① Build Min heap & i for  $S$  under  $\beta$ .  $O(n)$ .

(part II)  
when  $|Q| = i$

$O(\lg i)$   $\beta = \text{Extract-Min}[Q]$

$O(\lg i)$   $\beta = \text{Extract-Min}[Q]$



$O(1)$  { Allocate new  $\gamma$ .

$\gamma.\text{left} = \alpha$ ;  $\gamma.\text{right} = \beta$ ;  $\gamma.\text{parent} = \gamma$ ;  $\beta.\text{parent} = \gamma$

$p(\gamma) = p(\alpha) + p(\beta)$

$O(\lg i)$  insert  $[Q, \gamma]$

Time. when  $|Q| = i$  is  $O(\lg i)$

part II. time is  $O(\sum_{i=1}^n \lg i) = O(n \lg n)$

∴ Total time  $O(n) + O(n \lg n) = O(n \lg n)$

$V$  = set. of nodes

$E$  = set of (directed) edge adjacency

Adjacency list representation

for each Node  $v$ ,  $\text{Adj}[v]$  is linked list of neighbors

### ✓ BreathFirst Search BFS [G, s]

Find All  $v$  readable from  $s$ .<sup>\*</sup> source. (Given)

$d[v] = \text{distance}[s, v]$  (shortest distance from  $s$  to  $v$ )

BFS Tree  $\pi[v] = \text{parent of } v$   
(Root  $s$ ).

colors white gray black

un触手 untouched in progress & processed

Initial: All white except  $s$  grey

Queue  $Q$  initially  $Q = \{s\}$

while,  $Q \neq \emptyset$

$u \leftarrow \text{dequeue}[Q]$

for,  $v \in \text{Adj}[u]$

if  $\text{color}[v] == \text{white}$

$\text{color}[v] \leftarrow \text{gray}$

$\text{enqueue}[v]$

$\pi[v] \leftarrow u$ .

$d[v] = d[u] + 1$

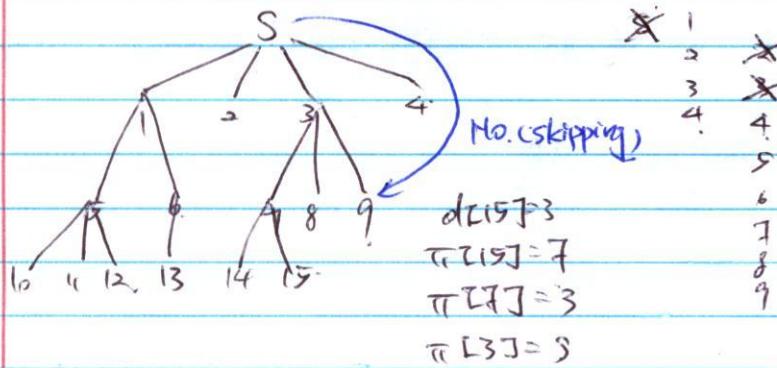
end for ( $\forall v$ )

$\text{color}[v] \leftarrow \text{black}$

Vertices

Edge

Time  $O(V+E)$



Huffman code - BST - order matters

prefixcode - B&T - order does not matter.

## Depth First Search (DFS) GJ

initially all white outside

Given. Ordering of V.

black  
= Outside

DFS. I G.]  
time  $\Rightarrow$   
for all  $V \in V$

### Final Summary

2. if  $\text{color}[v] = \text{white}$   
 $\rightarrow$  Do DFS-visit [G, v]

DFS-visit( $\star$ )

color [UI] ← grey

time ++

$\text{dIuJ} \leftarrow \text{time}$

for each.  $V \in \text{Adj}[U]$

if.  $\text{color}(T[V]) = \text{white}$ . ( $\times$  else ignore  $\times$ )

$$\pi[v] \leftarrow u$$

$\rightarrow$  DFS-visit [V]

$\text{d}_{\text{IUI}} = \text{discovery time u becomes gray}$

$t_{\text{final}}$  = final time. u becomes black

color EuJ ← black

time + t

$F_{LUI} \leftarrow \text{time}$

Time. Dev U+E)

✓ parentheses THM

Let  $v, w \in V$  with  $d[v] < d[w]$ ,  $w$  not found in DFS-visited

either  $d[V]$   $F[V]$   $d[W]$   $F[W]$  Preach DFS visit  $[V]$   
 $V$  grey  
 $w$  white

EF d<sub>TFS</sub> d<sub>TFS</sub> EF<sub>TFS</sub> EF<sub>TFS</sub> ← h found in TFS-visited

But NOT!  $d[V]$   $d[w]$   $F[V]$   $F[w]$

## Dag - directed Acyclic Graph.

Topsort.

$i \rightarrow j$  means must do  $i$  before  $j$ .

Input: Dag

Output: Ordering.

$v_1 v_2 v_3 v_4 \dots v_n$

so that No.  $v_i \rightarrow v_j$

Topsort. [G]

1) call DFS [G] Time:  $O(V+E)$

2) place vertices in reverse order of finish time

$f(v_1) > f(v_2) > \dots > f(v_n)$ .

Time  $O(V)$   
when  $v$  finishes?

place  $q+front$  of

list.

Q: Why does Top-Sort work?

Hod.: if.  $v \rightarrow w$  then  $f(v) > f(w)$

\* Proof: Case I:  $d[v] < d[w]$  During DFS-visit [v] and w.  
DFS-visit [w] subroutine of DFS-visit [v].  
 $f[w] < f[v]$

Case II:  $d[w] < d[v]$ .

DFS-visit [w] misses v

$f[w] < d[v] < f[v]$

$\therefore f[w] < f[v]$

w never recall v

Strongly connected components

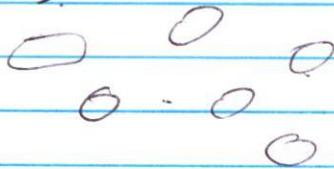
direct graphs:  $v \rightarrow w$

$v \rightarrow w$

$\exists$  dir path,  $v$  to  $w$

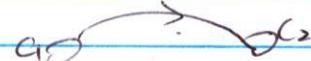
Def:  $v = w$  if  $v \rightarrow w$  AND  $w \rightarrow v$

clusters



super graph

cluster  $\rightarrow$  points

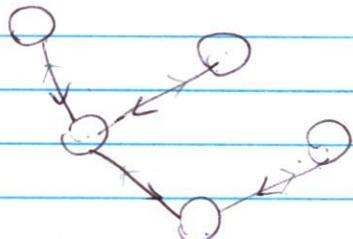
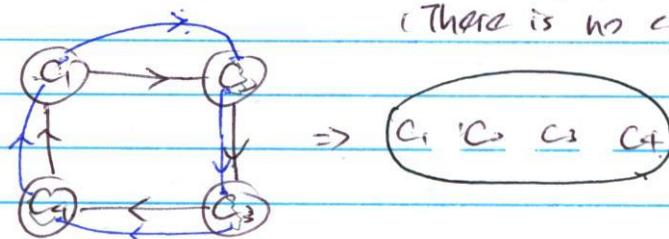


directed graph  $\Rightarrow c_1 \rightarrow c_2$  if

cluster graph is DAG

(There is no cycles!)

proof:



[Input] Directed Graph G

[Output] One cluster.

(Break - All clusters)

$v$  finished last.

claim  $v$  must be in a top cluster.  
(nothing above it)

① Apply DFS to G

let  $v$  be the vertex that finishes last

if  $w \rightarrow v$ . But not  $v \rightarrow w$ , then  $f(w) > f(v)$

PF. [Case 1]  $d(v) < d(w)$

in  $\text{DFS-visit}(v)$ ,  $w$  not discovered

so  $d(v) < f(v) < d(w) < f(w)$

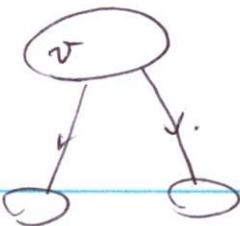
[Case 2]:  $d(v) < d(w)$

there is a path in  $w \rightarrow v$ , thus  $v$  is discovered there

During  $\text{DFS-visit}(w)$ . As  $w \rightarrow v$   $v$  is discovered

$\Rightarrow \text{DFS-visit}(v)$  is subroutine of  $\text{DFS-visit}(w)$

$\therefore f(v) < f(w)$ .



(2) Let  $G'$  be  $G$  with arrows reversed.  $\mathcal{O}(V+E)$

(3) Apply DFS-visit( $v$ ) to  $G'$   $\mathcal{O}(V+E)$

(4) Output: all points that you can reach. That turn grey/black.  
reach all  $w$  in the cluster.  
AND nothing else as  $v$  in Top cluster.

### Minimal Spanning Tree Problem. (MST)

undirected  $G$ ,  $V$  vertices,  $E$  edges

each edge  $e = \{v, w\}$  has weight  $w(e)$

assume  $G$  connected

Spanning Tree  $T$  on  $V-1$  Edges

if  $V-1$  Edges & No cycle  $\rightarrow$  spanning  
--- --- --- d. spanning  $\rightarrow$  No cycle

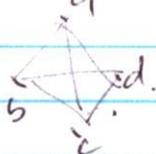
$$\text{Defin. } w(T) = \sum_{e \in T} w(e)$$

✓ MST: Find  $T$  with minimal  $w(T)$

$V^{V-2}$

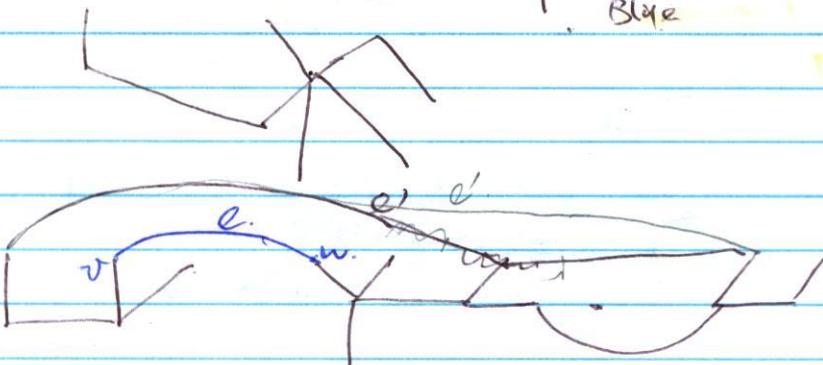
-  $T+e-e' = T'$  tree.  $w(T') = w(T) + w(e) - w(e')$

Thm: The edge of minimal weight is in MST



✓ Kruskal / Prim

Modified MST  
 $T$ . Blue



Claim: Modified MST must include  $e$ .

with minimal  $w(e)$  amongst potential edges

between two components.

If not add e delete  $e'$

✓

## higher-level Kruskal

- ① Order edges by weight.  $w(e_1) < w(e_2)$

$$\Theta(E \ln E) = \Theta(E \ln n) \quad E \leq n^2$$

- ② For  $I=1$  to  $E$

ADD.  $e_I = \{x_I, y_I\}$  iff. No cycle created.

## Union-Find (\*)

Data Sequence  $e_i = \{x_i, y_i\}$   $1 \leq i \leq E$

initialize.  $T \leftarrow \emptyset$

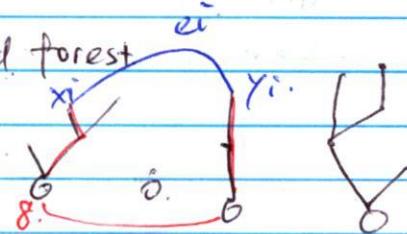
each  $I$ . Accept iff.  $x_i y_i$  in different component of  $T$   
if accept.  $T \leftarrow T \cup \{x_i, y_i\}$

Union-Find - Dynamically keep rooted forest

$\pi(v) =$  parent of  $v$ .

$\pi(v) = v$ .  $v$  is root.

Want:  $\text{size}[v] = \text{size}[\text{parent } v]$  if  $v$  is not



↳ Components of forest of accepted edges = components in the rooted forest

## Union-Find

Initialization All.  $\pi(x) = x$   $\text{size}(x) = 1$

for.  $I=1$  to  $E$

$e_I = \{x_I, y_I\}$

$x \leftarrow x[I]$   $y \leftarrow y[I]$

while  $x \neq \pi(x)$

$x \leftarrow \pi(x)$  } Down to roots

while  $y \neq \pi(y)$

(indent to align with while.  $y \leftarrow \pi(y)$ )

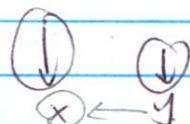
→ If.  $x \neq y$  else do nothing \*

reset.  $\text{size}(x) \geq \text{size}(y)$

$\pi(y) \leftarrow x$

$\text{size}(x) \leftarrow \text{size}(x) + \text{size}(y)$

$\text{size}[v] = \text{size of components of } v$ . when  $v$  stops being a root.  
� claim



**Claim:** If  $\pi(V) = w$  Then  $\text{size}[w] \geq 2\text{size}[v]$

At moment that set  $\pi[V] = w$ .

before  $\text{size}[v] \leq \text{size}[w]$

$$\text{size}[w] = \text{size}[w] + \text{size}[v]$$

$$\text{Now } \text{size}[w] \geq 2\text{size}[v]$$

(Later  $\text{size}[v]$  stays same  $\text{size}[w]$  doesn't go down.  
(still hold)

\*  $\text{size}[\pi(x)] \geq 2\text{size}[x]$

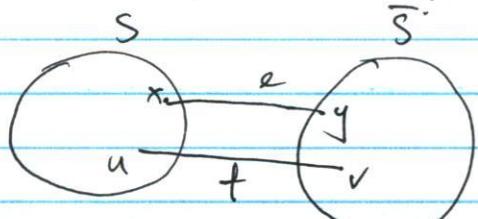
collinear Bannister length  $\leq 4\sqrt{r}$

4/24.

Prim MST

$\cup$  complement.

Thm: Any split.  $V = S \cup \bar{S}$ , let  $e = \{x, y\}$ , be the edge between  $S$  and  $\bar{S}$  of minimal weight. Then  $e$  is in the MST.



(PF) Take MST without  $e$

Take path  $x \rightarrow y$  in MST

That path has some "crossing" edge  $f$ . Replace  $f$  by  $e \rightarrow e$ .

Designate source  $\{w\}$

High Level Prim

$$S \leftarrow \{w\}$$

$r-1$  times.

Find.  $e = \{x, y\}$ ,  $x \in S$ ,  $y \notin S$ .

of. MIN WEIGHT.

ADD  $e$  to MST

$$S \leftarrow S \cup \{y\}$$



Data Structure

MinHeap  $\mathcal{Q}$ .

$w \in S'$   $\pi[w]$  that  $S'$  with cost  $[v, w]$  minimal.

$$\text{key}[w] = \text{cost}[v, w]$$

initialization.

for each  $z \in \text{ADJ}[w]$  (places can go directly)

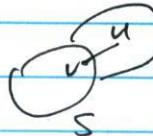
$$\pi[z] \leftarrow w$$

$$\text{key}[z] \leftarrow \text{cost}[w, z]$$

build MIN-HEAP.  $\mathcal{Q}$

T  $v-1$  times

$\mathcal{O}(ln v) \rightarrow u \leftarrow \text{Extract-MIN}[\mathcal{Q}]$



Add edge  $\{u, \pi(u)\}$

Add  $u$  to  $S$

update

for  $z \in \text{ADJ}[k]$

This occurs  $2E$  times

if  $z \in S$  forget about it

if  $z \notin S$

if  $\text{cost}[z, u] < \text{key}(z)$ ,

$$\pi[z] \leftarrow u$$

$\mathcal{O}(ln v) \rightarrow \text{key}[z] \leftarrow \text{cost}(z, u)$

Number Theory: Numbers  $M$  with  $n$  digits

Primality

2000: Agrawal / Kayal / Sax

Polynomial Afg. determines a number is a prime.

(blackbox polynomial Afg?)

Chapter 31. 8.9 X.

31.1 Skim

Euclid's Algorithm

[input]:  $a, b$

[output]:  $\text{gcd}(a, b)$

Division: given  $b \neq 0, a$

(\*) FIND  $q, r$   $a = qb + r$  AND  $|r| < b$

(eg):  $a = 37, b = 10$

$$37 = 3 \times 10 + 7$$

$$= 4 \times 10 - 3$$

THM:  $\text{gcd}(a, b) = \text{gcd}(b, r)$  [Default:  $\text{gcd}(b, 0) = b$ ]

$$d | a \& d | b \Rightarrow d | r = a - qb$$

$$d | b \& d | r \Rightarrow d | a = qb + r.$$

EUCID(a, b), ( $a \neq b \neq 0$ )

If  $b = 0$  return  $a$

else find  $q, r$  (\*)

return EUCID(b, r).

EUCID(100, 41)       $100 = 2(41) + 18$

--- (41, 18)       $41 = 2(18) + 5$

--- (18, 5)       $18 = 3(5) + 3$

--- (5, 3)       $5 = 3 + 2$

--- (3, 2)       $3 = 2 + 1$        $1 = 3 - 2$

--- (2, 1)       $2 = 2 \cdot 1 + 0$

--- (1, 0) = 1

when  $a, b$  have  $\leq n$  digits.

Time.  $O(n^2)$

Extended Euclidean Algo

THM:  $\exists x, y \quad ax + by = \text{gcd}(a, b)$

EXT EUC(a, b) returns  $(d, x, y)$

$O(n^2)$

If  $b=0$  return  $(a, 1, 0)$

else find  $q, r$  (\*)

$(d, x', y') \leftarrow \text{EXT EUC}(b, r)$

return  $(d, y', x' - qy')$

$$d = \text{gcd}(a, b) \quad ax + by = d$$

$$a = bq + r$$

$$d = bx' + ry'$$

$$= bx' + (a - bq)x'$$

$$= ay' + b(x' - qx')$$

$\mathbb{Z}_n$  elements 0, 1, ...,  $n-1$

$a$  has a multi inverse  $a^{-1}$

iff  $\text{gcd}(a, n) = 1$

To find  $a^{-1}$ .

use EXT EUC(a, n)

If  $d \neq 1$ , no inverse

If  $d = 1, x, y$ , then

$$1 = ax + ny$$

$\therefore$  In  $\mathbb{Z}_n \quad ax = 1$

④ In  $\mathbb{Z}_{100}$

$$16(100) - 39(41) = 1$$

$$\text{In } \mathbb{Z}_{100} \quad 41(-39) = 1$$

$$41^{-1} = 39 = 61$$

**Equation**  $Ax \equiv B \pmod{N}$

**Assume**  $\gcd(A, N) = 1$   
 $x \equiv A^{-1} B \pmod{N}$

**Chinese Remainder Theorem**

**Assume**  $\gcd(M, N) = 1$   
system  $x \equiv A \pmod{M}$

$x \equiv B \pmod{N}$

Has solution  $x \equiv C \pmod{MN}$

① Parameterize  $x = MY + A$

$$MY + A \equiv B \pmod{N}$$

$$MY \equiv (B - A) \pmod{N}$$

$$Y = M^{-1} (B - A) \pmod{N}$$

eg.  $x \equiv 13 \pmod{41}$

$$x \equiv 27 \pmod{100}$$

$$x \equiv 41y + 13 = 41(27) + 13 = 227 \pmod{4100}$$

$$41y + 13 \equiv 27 \pmod{100}$$

$$41y \equiv 14 \pmod{100}$$

$$y = \underbrace{(61)(41)}_1 y = 61(14) = 854 \rightarrow 54 \pmod{100}$$

**Fermat** If  $p$  prime  $a^{p-1} \stackrel{\text{then}}{\equiv} 1 \pmod{p}$

(more:  $a^{p-1} \equiv 1 \pmod{p}$ , ( $\leq a \leq p-1$ ). Theorem 31.3)

Modular Exponentiation.

**Island Hopping Alg** calculate  $A^B \pmod{N}$ .

zero time ① write  $B = 2^{s_1} + 2^{s_2} + \dots + 2^{s_T}$   $s_1 > s_2 > \dots > s_T$

② Compute  $X_T = A^{2^T} \pmod{N}$ ,  $0 \leq T \leq S$ ,

$$X_0 = A$$

$$X_T = X_{T-1}^2 \pmod{N}$$

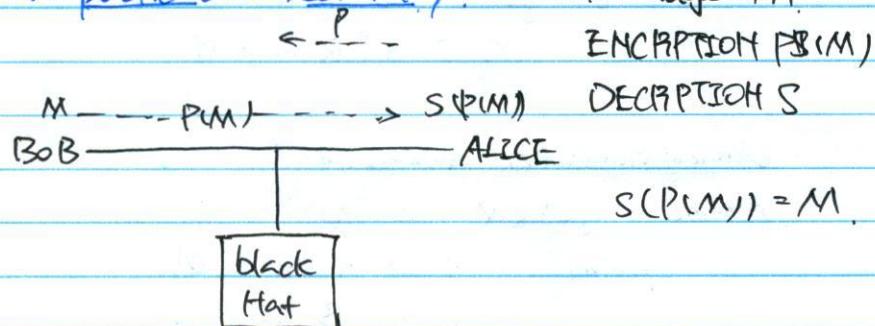
③  $A^B = A^{2^{s_1}} \cdot A^{2^{s_2}} \cdot \dots \cdot A^{2^{s_T}} = X_{s_1} \cdot X_{s_2} \cdot \dots \cdot X_{s_T}$

$O(d^3)$  with  $d = \# \text{ digits}$

91.

## Publickey Encryption

Each participant has both a public and secret key.



One-Way Function

Alice →

→ Alice picks P, S

sends P to Bob

keeps S secret.

Only Alice can compute  $S(A)$

The RSA cryptosystem P963. proof.

let  $p, q$  be distinct primes  $1 \sim 10^{100}$ .

equation 31.20

$$n = pq$$

$$\begin{aligned} \phi(n) &= \text{For any } a \text{ relatively prime } p \& q \quad \text{P958 Theorem 31.31} \\ &= n \cdot (1 - \frac{1}{p})(1 - \frac{1}{q}) \\ &= pq \left(1 - \frac{1}{p}\right)\left(1 - \frac{1}{q}\right) \\ &= (p-1)(q-1) \end{aligned}$$

$$\begin{aligned} a^{p-1} &\equiv 1 \pmod{p} \rightarrow a^{(p-1)(q-1)} \equiv 1 \pmod{p} \quad \text{if } p \nmid a^{(p-1)(q-1)} \equiv 1 \pmod{pq} \\ a^{q-1} &\equiv 1 \pmod{q} \rightarrow a^{(p-1)(q-1)} \equiv 1 \pmod{q} \quad \text{Theorem 31.29} \\ \text{if } a^{\phi} &\equiv 1 \pmod{(p-1)(q-1)} \quad \text{if } a^{\phi} \equiv a^1 \pmod{n}. \\ \text{then } a^{\phi} &\equiv a^1 \equiv a \pmod{(p-1)(q-1)}? \end{aligned}$$

## RSA

Alice [1] selected primes  $p, q$  ( $\sim 10^{100}$ ) (secret)

[2] set  $n = pq$  (public)

[3] select  $e$ ,  $\gcd(e, (p-1)(q-1)) = 1$  Public  $P = (e, n)$

[4] compute  $d$ ,  $ed \equiv 1 \pmod{(p-1)(q-1)}$  Secret  $S = (d, n)$

MESSAGE:  $M$   $0 < M < n$ .  $\gcd(M, p) = \gcd(M, q) = 1$

$P(M) = M^e \pmod{n}$  (Public!)

$S(C) = C^d \pmod{n}$  (Secret)

$S(P(M)) = (M^e)^d \pmod{n} = M^d \pmod{n} = M \pmod{n}$

## P. NP. NP-Complete

Property ~ YES/ No Question

~ Yes/ No Decision Procedure

~ Set  $L$  (Language) of instances with Property

P?

✓ Connected Graph ( $G$ ), integer

✓ Shortest Path ( $G, V, w, k$ )  
    \ vertices

finite problems

$$\text{Yes} \leftrightarrow p(v, w) \leq k$$

X CLIQUE ( $G, k$ )  $\in \text{NP}$

$$\text{Yes} \leftrightarrow \text{dique of size} \geq k$$

X HAMILTONIAN CYCLE ( $G$ )  $\in \text{NP}$

(2nd)

We say  $L \in P$  if there is an algorithm

(1) Always answers YES/ NO,

(2) Answer always correct.

→ (3) How much time it takes.

Time  $O(n^c)$  with  $n = \text{inputsize}$   
 $c$  constant.

3-SAT  $\in \text{NP}$  (Truth Table?)

Boolean  $x_1, \dots, x_n$

CLAUSE.  $C = \bar{x}_i \vee \bar{x}_j \vee \bar{x}_k$

INPUT  $C_1 \wedge C_2 \wedge \dots \wedge C_m$

Output Yes if  $\exists x_i \in T \text{ or } F$

$$\exists C_1 \wedge \dots \wedge C_m \in T$$

3-SAT  $\in P$ ? Probably NOT

## NP - Nondeterministic Polynomial Time

VERIFIABLE (Poly Time)

ORACLE

$L \in \text{NP}$  iff

$\rightarrow$  Input:  $x$

Oracle, Certificate  $y$

P = NP?

NP = Co-NP?

when  $x \in L$

ORACLE can't supply certificate  
to VERIFIABLE. Who then  
knows  $x \in L$

Reducibility  $L_1 \leq L_2$  ( $L_1$  reducible to  $L_2$ )

given blackbox for  $L_2$  (in unit time)

then  $\exists$  poly-time algorithm for  $L_1$

So: If  $L_2 \in P$ , then  $L_1 \in P$

4-SAT  $\leq$  3-SAT

for each clause  $x_1 \vee x_2 \vee x_3 \vee x_4$

Add. "new"  $\exists$ . Replace clause by

$x_1 \vee x_2 \vee \exists_1$

AND  $x_3 \vee x_4 \vee \exists_1$

Big big Theorem:

Any  $L \in \text{NP}$ , has  $L \leq 3\text{-SAT}$

Corollary: If 3-SAT  $\in P$  then  $P = NP$

Def:

$L \in \text{NP}$  complete

i)  $L \in \text{NP}$

ii) Every  $L' \in \text{NP}$  has  $L' \leq L$

$s \rightarrow \rightarrow \rightarrow \rightarrow u$

Want:

Path of min weight.

### 24.5. DIJKSTRA

**Input** Directed Graph  $G = (V, E)$

Source  $s \in V$

weight Fun.  $w(x, y)$  for  $(x, y) \in E$  weightFun always  $\geq 0$

$S(u, v) := \min w$  of path  $u$  to  $v$

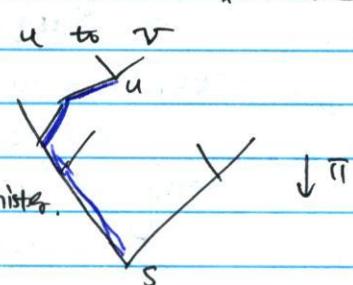
**Output**  $S(s, u)$  for all  $u \in V$

$\pi = \text{parent}$

MIMPATH: slide down the bannister,

and then reverse.

not that efficient.



### Data

$d[v]$ : shortest length path "for now"

$\pi[v]$ : parent "for now"

$S$  = vertices processed

$Q$  = Min heap on  $S$  underd.

### Initial

$$S = \{s\}$$

$$\text{For } v \in \text{ADJ}(s) \quad \pi[s] = s$$

$$d[v] = w(s, v)$$

$$\text{else} \quad \pi[v] = \text{NIL}$$

$$d[v] = \infty$$

Build heap.  $\sim$  linear time

Middle: All  $v \in S \quad d[v] = S[s, v]$

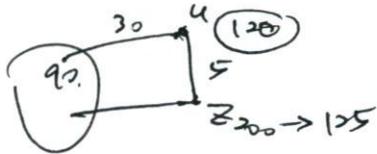
and slide down Bannister for Path

For  $v \notin S \quad d[v]$  is min 'cost'  $v$  to  $z \in S$  ((Edge)) + Then  
down to Bannister.

Claim:  $v \notin S$  with  $d[v]$  minimal is correct.

$O(E \lg V)$

Dijkstra's



### ① Initialize

while  $\mathcal{Q} \neq \emptyset$

$u \leftarrow \text{heap-Extract min } [\mathcal{Q}]$   $\lg V$

其实是指整个 while loop.

Total  
Time

For  $z \in \text{ADJ}[u] \text{ AND } z \notin \mathcal{S}$

{ If  $d[u] + w[u, z] < d[z]$  } (else nothing)

$d[z] \leftarrow d[u] + w[u, z]$

$\pi[z] = u$

Update  $\mathcal{Q}$   $\ni O(\lg N)$ .

always remember  
to update the  
data structure)

24.2 G is DAG

### ① TopSort.

$(E + V)$

ignore  $v$  before  $s$

Initialize  $d[s] = 0$   $d \neq s$   $d[v] = \infty$

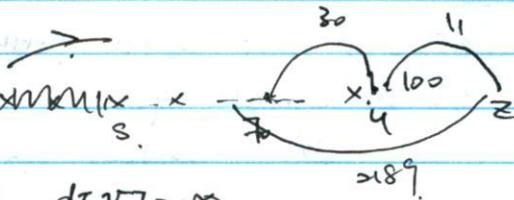
For each  $u$  after  $s$  in  $\pi[v] = H[i]$   
TopSort Order].

$O(E)$

( $d(u), \pi(u)$  correct).

For  $z \in \text{ADJ}[u]$

update  $\mathcal{Q}$ ,



Dynamic

Problem

always base

on previous

step

Text:

Input: length  $l_1, \dots, l_n$ .

Line length  $L$

Penalty Function  $P(x)$

### ✓ Text Alignment:

$$P(x) = x^3$$

$$L = 10$$

$$\text{Gap } P$$

How Sing -

$$\geq 8$$

A Melody -

$$\geq 8$$

Now Sing A

$$0 \quad 0$$

Melody       

$$4 \quad 64$$

$m(l)$  = min penalty for  $l_1 \dots l_i$

initialization: while fit on one line, put all in one line.

given:  $m(1), \dots, m(i-1)$

For each  $k$  where  $l_k, \dots, l_i$  fit on one line

constant  $\rightarrow$  calculate  $F[k] = \text{Penalty for line } l_k \dots l_i$   
time.

+  $m[k-1]$

Takes o time already calculated.

Set  $m(i) = \text{Min of those } F[k]$

set  $\pi[i] = \text{that } k$

- ① Mid-term 前也要考 + Mid-term 题. [有一题来自作业]
- ② proof: words. at least 1 proof
- ③ 大问题会分几小问