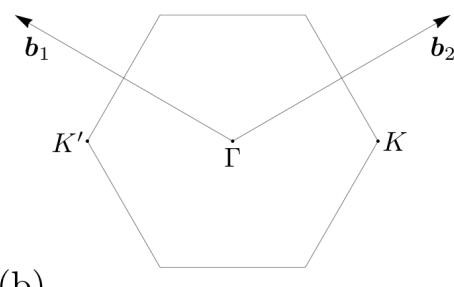
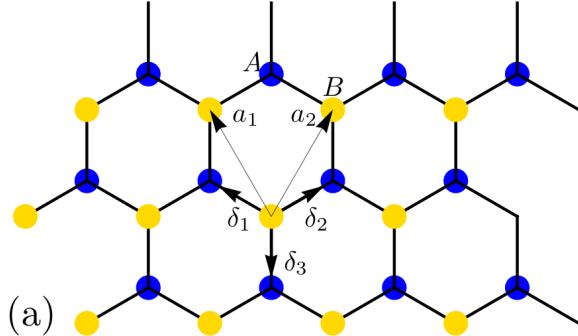


# Single-layer graphene

## 1. Graphene's band structure



$$\vec{a}_1 = a \left( -\frac{\sqrt{3}}{2}, \frac{3}{2} \right), \quad \vec{a}_2 = a \left( \frac{\sqrt{3}}{2}, \frac{3}{2} \right), \quad a = 1.42 \text{ \AA}$$

$$\vec{\delta}_1 = a \left( -\frac{\sqrt{3}}{2}, \frac{1}{2} \right), \quad \vec{\delta}_2 = a \left( \frac{\sqrt{3}}{2}, \frac{1}{2} \right), \quad \vec{\delta}_3 = a (0, -1)$$

$$\vec{b}_1 = \frac{2\pi}{3a} (-\sqrt{3}, 1), \quad \vec{b}_2 = \frac{2\pi}{3a} (\sqrt{3}, 1)$$

$$\vec{a}_1 \cdot \vec{b}_1 = \frac{2\pi}{3} \left( \frac{3}{2} + \frac{3}{2} \right) = 2\pi, \quad \vec{a}_2 \cdot \vec{b}_2 = \frac{2\pi}{3} \left( \frac{3}{2} + \frac{3}{2} \right) = 2\pi$$

$$K_T = \left( \tau \frac{4\pi}{3\sqrt{3}a}, 0 \right), \quad \tau = \pm 1$$

A minimum tight-binding model can be written as

$$H = -t \sum_{\langle i,j \rangle} a_i^+ b_j + \text{h.c.},$$

where  $a_j^+$ ,  $b_j^+$  creates an electron on the  $j$ -th lattice site in the A and B sublattice, respectively. The symbol  $\langle i,j \rangle$  indicates that summations over  $i,j$  are limited to NN only.

We introduce the Fourier transformation

$$\begin{cases} a_i = \frac{1}{\sqrt{N}} \sum_{\vec{k}} e^{i\vec{k} \cdot \vec{R}_i} a_{\vec{k}} \\ b_j = \frac{1}{\sqrt{N}} \sum_{\vec{p}} e^{i\vec{p} \cdot \vec{R}_j} b_{\vec{p}} \end{cases}$$

and the orthogonal relation  $\frac{1}{N} \sum_i e^{i(\vec{k} - \vec{p}) \cdot \vec{R}_i} = \delta_{\vec{k}, \vec{p}}$ .

$$\Rightarrow H = -\frac{t}{N} \sum_{\langle i,j \rangle} \sum_{\vec{k}, \vec{p}} e^{-i\vec{k} \cdot \vec{R}_i} e^{i\vec{p} \cdot \vec{R}_j} a_{\vec{k}}^+ b_{\vec{p}} + \text{h.c.}$$

$$= -\frac{t}{N} \sum_j \sum_{\vec{k}, \vec{p}} \left[ a_{\vec{k}}^+ b_{\vec{p}} e^{i(\vec{p} - \vec{k}) \cdot \vec{R}_j} (e^{-i\vec{k} \cdot \vec{\delta}_1} + e^{-i\vec{k} \cdot \vec{\delta}_2} + e^{-i\vec{k} \cdot \vec{\delta}_3}) + \text{h.c.} \right]$$

$$= -t \sum_{\vec{k}} \left[ a_{\vec{k}}^+ b_{\vec{k}}^- f(\vec{k}) + h.c. \right]$$

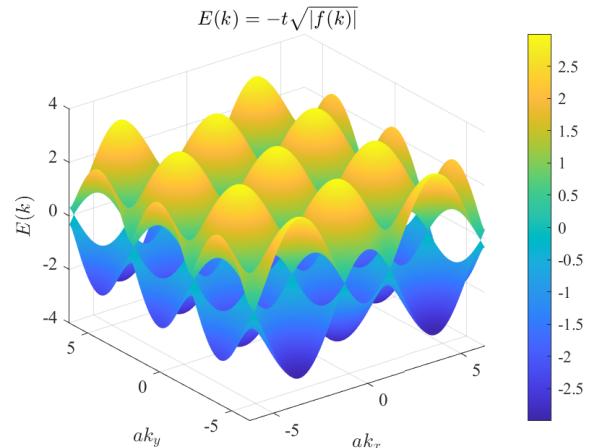
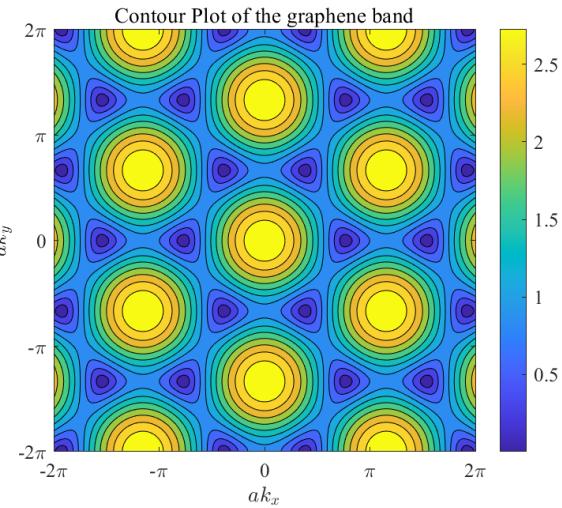
where  $f(\vec{k}) = e^{-i\vec{k} \cdot \vec{\delta}_1} + e^{-i\vec{k} \cdot \vec{\delta}_2} + e^{-i\vec{k} \cdot \vec{\delta}_3}$

$$H = -t \sum_{\vec{k}} (a_{\vec{k}}^+, b_{\vec{k}}^+) \begin{pmatrix} 0 & f(\vec{k}) \\ f^*(\vec{k}) & 0 \end{pmatrix} \begin{pmatrix} a_{\vec{k}} \\ b_{\vec{k}} \end{pmatrix}$$

$$= \sum_{\vec{k}} (a_{\vec{k}}^+, b_{\vec{k}}^+) h(\vec{k}) \begin{pmatrix} a_{\vec{k}} \\ b_{\vec{k}} \end{pmatrix}, \text{ where } h(\vec{k}) = -t \begin{pmatrix} 0 & f(\vec{k}) \\ f^*(\vec{k}) & 0 \end{pmatrix}.$$

$$E(\vec{k}) = \pm t |f(\vec{k})|$$

$$= \pm t \left[ 3 + 2 \cos(\sqrt{3} a k_x) + 4 \cos\left(\frac{\sqrt{3}}{2} a k_x\right) \cos\left(\frac{3}{2} a k_y\right) \right]^{\frac{1}{2}}$$



# A linear dispersion at low energies

We expand  $f(\vec{k})$  near the valleys as follows:

$$\begin{aligned} f(\vec{k}_\tau + \vec{k}) &= e^{-i\vec{k} \cdot \vec{\delta}_1} e^{-i\vec{k}_\tau \cdot \vec{\delta}_1} + e^{-i\vec{k} \cdot \vec{\delta}_2} e^{-i\vec{k}_\tau \cdot \vec{\delta}_2} + e^{-i\vec{k} \cdot \vec{\delta}_3} e^{-i\vec{k}_\tau \cdot \vec{\delta}_3} \\ &= e^{-i\vec{k} \cdot \vec{\delta}_1} e^{i2\pi\tau/3} + e^{-i\vec{k} \cdot \vec{\delta}_2} e^{-i2\pi\tau/3} + e^{-i\vec{k} \cdot \vec{\delta}_3}, \end{aligned}$$

where both  $a k_x, a k_y$  are assumed to be small. Thus we have

$$\begin{aligned} f(\vec{k}_\tau + \vec{k}) &\cong (1 + e^{i2\pi\tau/3} + e^{-i2\pi\tau/3}) + (-i\vec{k}) \cdot (\vec{\delta}_1 e^{i2\pi\tau/3} + \vec{\delta}_2 e^{-i2\pi\tau/3} + \vec{\delta}_3) \\ &= 0 - i\vec{k} \cdot \left[ -\frac{3a}{2} (\tau k_x, 1) \right] = -\frac{3a}{2} (\tau k_x - i k_y) \end{aligned}$$

We define a Fermi velocity  $v_F$  s.t.  $\hbar v_F = \frac{3at}{2}$ .

The low-energy Hamiltonian is then

$$h(\vec{K}_C + \vec{k}) = -t \begin{pmatrix} 0 & f(\vec{K}_C + \vec{k}) \\ f^*(\vec{K}_C + \vec{k}) & 0 \end{pmatrix} \cong \hbar v_F \begin{pmatrix} 0 & \tau k_x - i k_y \\ \tau k_x + i k_y & 0 \end{pmatrix}$$

## 2. Haldane's graphene model

$$H_{\text{Haldane}} = H_1 + H_2 + H_m, \text{ where}$$

$$H_1 = -t_1 \sum_{\langle i,j \rangle} (a_i^\dagger b_j + h.c.)$$

$$H_2 = -t_2 \sum_{\ll i,j \gg} [e^{i\phi_{ij}} (a_i^\dagger a_j + b_i^\dagger b_j) + h.c.]$$

$$H_m = M \sum_i (a_i^\dagger a_i - b_i^\dagger b_i), \quad M > 0 \text{ WLOG}.$$

Again, we take the Fourier transformation

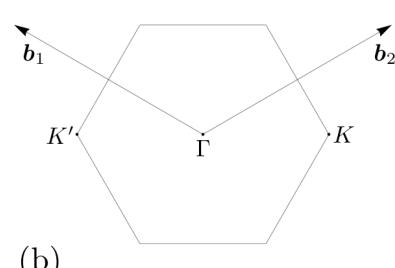
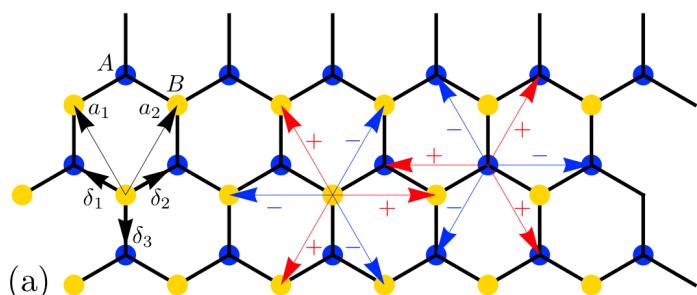
$$\begin{cases} a_i = \frac{1}{\sqrt{N}} \sum_{\vec{k}} e^{i\vec{k} \cdot \vec{R}_i} a_{\vec{k}} \\ b_i = \frac{1}{\sqrt{N}} \sum_{\vec{k}} e^{i\vec{k} \cdot \vec{R}_i} b_{\vec{k}} \end{cases}$$

$$H_1 = \sum_{\vec{k}} (a_{\vec{k}}^\dagger \quad b_{\vec{k}}^\dagger) \begin{pmatrix} 0 & f(\vec{k}) \\ f^*(\vec{k}) & 0 \end{pmatrix} \begin{pmatrix} a_{\vec{k}} \\ b_{\vec{k}} \end{pmatrix}$$

$$\hookrightarrow f(\vec{k}) = -t_1 (e^{-i\vec{k} \cdot \vec{s}_1} + e^{-i\vec{k} \cdot \vec{s}_2} + e^{-i\vec{k} \cdot \vec{s}_3})$$

$$v_{ij} = 1 : \vec{R}_i + \vec{a}_1, \quad \vec{R}_i - \vec{a}_2, \quad \vec{R}_i - \vec{a}_1 + \vec{a}_2$$

$$v_{ij} = -1 : \vec{R}_i - \vec{a}_1, \quad \vec{R}_i + \vec{a}_2, \quad \vec{R}_i + \vec{a}_1 - \vec{a}_2$$



Explicitly, we have

$$H_2 = -t_2 \sum_i [e^{i\phi} (b_{i-\vec{a}_1+\vec{a}_2}^+ b_i + b_{i-\vec{a}_2}^+ b_i + b_{i+\vec{a}_1}^+ b_i) \\ + e^{-i\phi} (b_{i+\vec{a}_1-\vec{a}_2}^+ b_i + b_{i+\vec{a}_2}^+ b_i + b_{i-\vec{a}_1}^+ b_i)] \\ - t_2 \sum_i [e^{i\phi} (a_{i+\vec{a}_1-\vec{a}_2}^+ a_i + a_{i+\vec{a}_2}^+ a_i + a_{i-\vec{a}_1}^+ a_i) \\ + e^{-i\phi} (a_{i-\vec{a}_1+\vec{a}_2}^+ a_i + a_{i-\vec{a}_2}^+ a_i + a_{i+\vec{a}_1}^+ a_i)]$$

$$H_2 = \sum_{\vec{k}} (a_{\vec{k}}^+ \quad b_{\vec{k}}^+) \begin{pmatrix} \epsilon_A(\vec{k}) & 0 \\ 0 & \epsilon_B(\vec{k}) \end{pmatrix} \begin{pmatrix} a_{\vec{k}} \\ b_{\vec{k}} \end{pmatrix}$$

where  $\begin{cases} \epsilon_A(\vec{k}) = -2t_2 [\cos[\vec{k} \cdot (\vec{a}_1 - \vec{a}_2) - \phi] + \cos(\vec{k} \cdot \vec{a}_2 - \phi) + \cos(\vec{k} \cdot \vec{a}_1 + \phi)] \\ \epsilon_B(\vec{k}) = -2t_2 [\cos[\vec{k} \cdot (\vec{a}_1 - \vec{a}_2) + \phi] + \cos(\vec{k} \cdot \vec{a}_2 + \phi) + \cos(\vec{k} \cdot \vec{a}_1 - \phi)] \end{cases}$

$$H_{\text{Haldane}} = \sum_{\vec{k}} (a_{\vec{k}}^+ \quad b_{\vec{k}}^+) H_{\text{Haldane}}(\vec{k}) \begin{pmatrix} a_{\vec{k}} \\ b_{\vec{k}} \end{pmatrix}, \text{ where}$$

$$H_{\text{Haldane}}(\vec{k}) = \begin{pmatrix} \epsilon_A(\vec{k}) + M & f(\vec{k}) \\ f^*(\vec{k}) & \epsilon_B(\vec{k}) - M \end{pmatrix}$$

$$= h_0(\vec{k}) \mathbb{1} + h_x(\vec{k}) \sigma_x + h_y(\vec{k}) \sigma_y + h_z(\vec{k}) \sigma_z, \text{ where}$$

$$h_x(\vec{k}) = -t_1 [\cos(\vec{k} \cdot \vec{\delta}_1) + \cos(\vec{k} \cdot \vec{\delta}_2) + \cos(\vec{k} \cdot \vec{\delta}_3)]$$

$$h_y(\vec{k}) = -t_1 [\sin(\vec{k} \cdot \vec{\delta}_1) + \sin(\vec{k} \cdot \vec{\delta}_2) + \sin(\vec{k} \cdot \vec{\delta}_3)]$$

$$h_0(\vec{k}) = \frac{\epsilon_A(\vec{k}) + \epsilon_B(\vec{k})}{2}$$

$$= -2t_2 \cos(\phi) [\cos(\vec{k} \cdot (\vec{a}_1 - \vec{a}_2)) + \cos(\vec{k} \cdot \vec{a}_2) + \cos(\vec{k} \cdot \vec{a}_1)]$$

$$h_z(\vec{k}) = \frac{\epsilon_A(\vec{k}) - \epsilon_B(\vec{k})}{2} + M$$

$$= M - 2t_2 \sin(\phi) [\sin(\vec{k} \cdot (\vec{a}_1 - \vec{a}_2)) + \sin(\vec{k} \cdot \vec{a}_2) - \sin(\vec{k} \cdot \vec{a}_1)]$$

## # Symmetry analysis

1. Always respects the  $C_3$  rotational symmetry.

$$\vec{\delta}_1 \rightarrow \vec{\delta}_2 \rightarrow \vec{\delta}_3 \rightarrow \vec{\delta}_1$$

2. The system has no time-reversal symmetry unless  $\phi = 0, \pi$ .

3. Respects inversion symmetry only when  $M = 0$

## # Low energy Hamiltonian near valleys

$$H_{\text{eff}} = 3t_2 \cos\phi + \hbar v (\tau k_x \sigma_x + k_y \sigma_y) + \Delta_z^T \sigma_z$$

$$\text{where } \Delta_z^T = M - 3\sqrt{3} t_2 \tau \sin\phi$$

$$\Rightarrow E_{\text{gap}}^T = 2 |\Delta_z^T| = 2 |M - 3\sqrt{3} t_2 \tau \sin\phi|$$

## 3. Berry curvature, Chern number

$$\sigma_{xy} = \frac{e^2}{h} \int \frac{d^2 \vec{k}}{2\pi} F_{xy}(\vec{k})$$

$$\hookrightarrow = \frac{\partial \vec{A}_y(\vec{k})}{\partial k_x} - \frac{\partial \vec{A}_x(\vec{k})}{\partial k_y} \quad \text{Berry curvature}$$

$$\vec{A}_\alpha(\vec{k}) = \sum_{n \in \text{filled bands}} \langle n \vec{k} | i \frac{\partial}{\partial k_\alpha} | n \vec{k} \rangle, \quad \alpha = x, y \quad \text{Berry connection}$$

$$C = \int_{BZ} \frac{d^2 \vec{k}}{2\pi} F_{xy}(\vec{k}) \quad \text{Chern number}$$

# Twisted bilayer graphene

We start with a Bernal stacking, then rotate the second layer by  $\theta$  and finally translate it by  $\vec{\tau}$ . This way, each layer is described by the lattice

$$\vec{R}_{n_1, n_2}^{(1)} = n_1 \vec{a}_1 + n_2 \vec{a}_2$$

$$\begin{aligned}\vec{R}_{n_1, n_2}^{(2)} &= R_\theta (n_1 \vec{a}_1 + n_2 \vec{a}_2 - \vec{s}) + \vec{\tau} \\ &\hookrightarrow \begin{pmatrix} \cos\theta & -\sin\theta \\ \sin\theta & \cos\theta \end{pmatrix}\end{aligned}$$

$$\Rightarrow \vec{R}_{n_1, n_2}^{(2)} = R_\theta (\vec{R}_{n_1, n_2}^{(1)} - \vec{s}) + \vec{\tau}$$

# Moiré pattern

$$\vec{G}^m : \vec{b}_1^m = \vec{b}_1 - \vec{b}_1^\theta, \quad \vec{b}_2^m = \vec{b}_2 - \vec{b}_2^\theta$$

$$\vec{R}^m : \vec{a}_i^m \cdot \vec{b}_j^m = 2\pi \delta_{ij}$$

# Rotated Dirac Hamiltonian

$$H_{SLG}^{\pm K}(\vec{s}, \theta) = \pm \hbar v_F |\vec{s}| \begin{pmatrix} 0 & e^{\mp i(\Theta_{\vec{s}} + \theta)} \\ e^{\pm i(\Theta_{\vec{s}} + \theta)} & 0 \end{pmatrix}$$

# Interlayer hopping term

$$T_{\vec{k}, \vec{k}'}^{\alpha, \beta} = \langle \psi_{\vec{k}, \alpha}^{(1)} | H_\perp | \psi_{\vec{k}', \beta}^{(2)} \rangle$$

describes a process where an electron with momentum  $\vec{k}'$  in layer 2, sublattice  $\beta$ , hops to a momentum state  $\vec{k}$  in layer 1, sublattice  $\alpha$ .

In the tight-binding approximation, we have

$$\begin{cases} |\Psi_{\vec{k}, \alpha}^{(1)}\rangle = \frac{1}{\sqrt{N_1 N_2}} \sum_{n_1, n_2} e^{i \vec{k} \cdot (\vec{R}_{n_1, n_2} + \vec{\delta}_\alpha^{(1)})} | \vec{R}_{n_1, n_2} + \vec{\delta}_\alpha^{(1)}, \alpha \rangle \\ |\Psi_{\vec{k}', \beta}^{(2)}\rangle = \frac{1}{\sqrt{N_1 N_2}} \sum_{n_1, n_2} e^{i \vec{k}' \cdot (\vec{R}_{n_1, n_2} + \vec{\delta}_\beta^{(2)})} | \vec{R}_{n_1, n_2} + \vec{\delta}_\beta^{(2)}, \beta \rangle \end{cases}$$

where  $\vec{\delta}_\alpha^{(1)} = \vec{\delta}_\alpha$ ,  $\vec{\delta}_\beta^{(2)} = \vec{\delta}_\beta^\theta$ .

$$\begin{aligned} T_{\vec{k} + \vec{q}_1, \vec{k}^\theta + \vec{q}_2}^{\alpha, \beta} &= \frac{1}{N_1 N_2} \sum_{n_1, n_2} \sum_{n'_1, n'_2} e^{-i(\vec{k} + \vec{q}_1) \cdot (\vec{R}_{n_1, n_2} + \vec{\delta}_\alpha^{(1)})} e^{i(\vec{k}^\theta + \vec{q}_2) \cdot (\vec{R}_{n'_1, n'_2} + \vec{\delta}_\beta^{(2)})} \\ &\times \langle \vec{R}_{n_1, n_2} + \vec{\delta}_\alpha^{(1)}, \alpha | H_\perp | \vec{R}_{n'_1, n'_2} + \vec{\delta}_\beta^{(2)}, \beta \rangle \\ &= \frac{1}{N_1 N_2} \sum_{n_1, n_2} \sum_{n'_1, n'_2} e^{-i(\vec{k} + \vec{q}_1) \cdot (\vec{R}_{n_1, n_2} + \vec{\delta}_\alpha)} e^{i(\vec{k}^\theta + \vec{q}_2) \cdot (\vec{R}_{n'_1, n'_2} - \vec{\delta}_\beta^\theta + \vec{\delta}_\beta^\theta)} \\ &\times t_\perp (\vec{R}_{n_1, n_2} - \vec{R}_{n'_1, n'_2} + \vec{\delta}_\alpha - \vec{\delta}_\beta^\theta + \vec{\delta}_\beta^\theta - \vec{\tau}) \end{aligned}$$

FT to  $t_\perp$ :

$$t_\perp (\vec{R}_{n_1, n_2} - \vec{R}_{n'_1, n'_2} + \vec{\delta}_\alpha - \vec{\delta}_\beta^\theta + \vec{\delta}_\beta^\theta - \vec{\tau}) = \int_{\mathbb{R}^2} \frac{d\vec{k}}{(2\pi)^2} t_\perp(\vec{k}) e^{i\vec{k} \cdot (\vec{R}_{n_1, n_2} - \vec{R}_{n'_1, n'_2} + \vec{\delta}_\alpha - \vec{\delta}_\beta^\theta + \vec{\delta}_\beta^\theta - \vec{\tau})}$$

Use  $\sum_{\vec{k}} \rightarrow \frac{A_{\text{unit}}}{(2\pi)^2} \int_{\mathbb{R}^2} d\vec{k}$

$\Rightarrow$

$$\begin{aligned} T_{\vec{k} + \vec{q}_1, \vec{k}^\theta + \vec{q}_2}^{\alpha, \beta} &= \frac{1}{(N_1 N_2)^2} \sum_{n_1, n_2} \sum_{n'_1, n'_2} \sum_{\vec{k}} e^{i[\vec{k} - (\vec{k} + \vec{q}_1)] \cdot \vec{R}_{n_1, n_2}} e^{i[(\vec{k}^\theta + \vec{q}_2) - \vec{k}] \cdot \vec{R}_{n'_1, n'_2}} \\ &\times e^{i[\vec{k} - (\vec{k} + \vec{q}_1)] \cdot \vec{\delta}_\alpha} e^{i[(\vec{k}^\theta + \vec{q}_2) - \vec{k}] \cdot (\vec{\delta}_\beta^\theta - \vec{\delta}_\beta^\theta + \vec{\tau})} \frac{t_\perp(\vec{k})}{A_{\text{u.c.}}} \end{aligned}$$

Now, use the orthogonality relations:

$$\sum_{n_1, n_2} e^{i[\vec{k} - (\vec{K} + \vec{q}_1)] \cdot \vec{R}_{n_1, n_2}} = \begin{cases} N_1 N_2 & \text{if } \vec{k} - (\vec{K} + \vec{q}_1) = \vec{G}_{k, l}^{(1)} = \vec{G}_{k, l}, H_{k, l} \\ 0 & \text{otherwise} \end{cases}$$

$$\sum_{n_1, n_2} e^{i[(\vec{K}^\theta + \vec{q}_2^\theta) - \vec{k}] \cdot \vec{R}_{n_1, n_2}^\theta} = \begin{cases} N_1 N_2 & \text{if } \vec{k} - (\vec{K}^\theta + \vec{q}_2^\theta) = \vec{G}_{m, n}^{(2)} = \vec{G}_{m, n}^\theta, H_{m, n} \\ 0 & \text{otherwise} \end{cases}$$

which implies

$$\vec{k} = \vec{K} + \vec{q}_1 + \vec{G}_{k, l} = \vec{K}^\theta + \vec{q}_2^\theta + \vec{G}_{m, n}^\theta$$

$\implies$

$$T_{\vec{k} + \vec{q}_1, \vec{K}^\theta + \vec{q}_2^\theta}^{\alpha, \beta} = \sum_{k, l, m, n} \frac{t_\perp(\vec{k} + \vec{q}_1 + \vec{G}_{k, l})}{A_{u.c.}} e^{i[\vec{G}_{k, l} \vec{s}_\alpha \cdot \vec{G}_{m, n} (\vec{\delta}_\beta - \vec{\delta}) - \vec{G}_{m, n}^\theta \cdot \vec{\tau}]} \times \sum_{\vec{k} + \vec{q}_1 + \vec{G}_{k, l}, \vec{K}^\theta + \vec{q}_2^\theta + \vec{G}_{m, n}^\theta}$$

$$\simeq \frac{t_\perp(\vec{k})}{A_{u.c.}} \sum_{m, n} \left[ e^{-i[\vec{G}_{m, n} \cdot (\vec{\delta}_\beta - \vec{\delta}) + \vec{G}_{m, n} \cdot \vec{\tau}]} \sum_{\vec{k} + \vec{q}_1, \vec{K}^\theta + \vec{q}_2^\theta + \vec{G}_{m, n}^\theta} \right. \\ \left. + e^{i[\vec{b}_2 \cdot \vec{s}_\alpha - \vec{G}_{m, n} (\vec{\delta}_\beta - \vec{\delta}) - \vec{G}_{m, n}^\theta \cdot \vec{\tau}]} \sum_{\vec{k} + \vec{q}_1 + \vec{b}_2, \vec{K}^\theta + \vec{q}_2^\theta + \vec{G}_{m, n}^\theta} \right]$$

$$+ e^{-i[\vec{b}_1 \cdot \vec{s}_\alpha + \vec{G}_{m, n} (\vec{\delta}_\beta - \vec{\delta}) + \vec{G}_{m, n}^\theta \cdot \vec{\tau}]} \sum_{\vec{k} + \vec{q}_1 - \vec{b}_1, \vec{K}^\theta + \vec{q}_2^\theta + \vec{G}_{m, n}^\theta} \left. \right]$$

$$= \frac{t_\perp(\vec{k})}{A_{u.c.}} \left[ \sum_{\vec{k} + \vec{q}_1, \vec{K}^\theta + \vec{q}_2^\theta} + C e^{i[\vec{b}_2 (\vec{s}_\alpha - \vec{\delta}_\beta + \vec{\delta}) - \vec{b}_2^\theta \cdot \vec{\tau}]} \sum_{\vec{k} + \vec{q}_1 + \vec{b}_2, \vec{K}^\theta + \vec{q}_2^\theta + \vec{b}_2} \right. \\ \left. + e^{-i[\vec{b}_1 \cdot (\vec{s}_\alpha - \vec{\delta}_\beta + \vec{\delta}) - \vec{b}_1^\theta \cdot \vec{\tau}]} \sum_{\vec{k} + \vec{q}_1 - \vec{b}_1, \vec{K}^\theta + \vec{q}_2^\theta - \vec{b}_1^\theta} \right]$$

In a matrix notation  $T = \begin{pmatrix} T^{A,A} & T^{A,B} \\ T^{B,A} & T^{B,B} \end{pmatrix}$ , we rewrite the last expression as

$$T_{\vec{K} + \vec{q}_1, \vec{K}^0 + \vec{q}_2^0} = \frac{t_L(\vec{K})}{A_{u.c.}} \left[ T_1 \delta_{\vec{q}_2 - \vec{q}_1, \vec{K} - \vec{K}^0} + T_2 \delta_{\vec{q}_1^0 - \vec{q}_1, (\vec{K} + \vec{b}_2) - (\vec{K}^0 + \vec{b}_2^0)} \right]$$

$$+ T_3 \delta_{\vec{q}_2^0 - \vec{q}_1, (\vec{K} - \vec{b}_1) - (\vec{K}^0 - \vec{b}_1^0)} \right]$$

$$= T_{\vec{q}_b} \delta_{\vec{q}_2^0 - \vec{q}_1, \vec{q}_b} + T_{\vec{q}_{tr}} \delta_{\vec{q}_2^0 - \vec{q}_1, \vec{q}_{tr}} + T_{\vec{q}_{tl}} \delta_{\vec{q}_2^0 - \vec{q}_1, \vec{q}_{tl}}$$

where  $T_{\vec{q}_b} = \frac{t_L(\vec{K})}{A_{u.c.}} T_1$ ,  $T_{\vec{q}_{tr}} = \frac{t_L(\vec{K})}{A_{u.c.}} T_2$ ,  $T_{\vec{q}_{tl}} = \frac{t_L(\vec{K})}{A_{u.c.}} T_3$

$$T_1 = \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}, \quad T_2 = e^{-i\vec{b}_2 \cdot \vec{\tau}} \begin{pmatrix} e^{i\phi} & 1 \\ e^{-i\phi} & e^{i\phi} \end{pmatrix}, \quad T_3 = e^{i\vec{b}_1 \cdot \vec{\tau}} \begin{pmatrix} e^{-i\phi} & 1 \\ e^{i\phi} & e^{-i\phi} \end{pmatrix}$$

$$\phi = \frac{2\pi}{3}$$

$$\vec{q}_b = \vec{K} - \vec{K}^0, \quad \vec{q}_{tr} = (\vec{K} + \vec{b}_2) - (\vec{K}^0 + \vec{b}_2^0), \quad \vec{q}_{tl} = (\vec{K} - \vec{b}_1) - (\vec{K}^0 - \vec{b}_1^0)$$

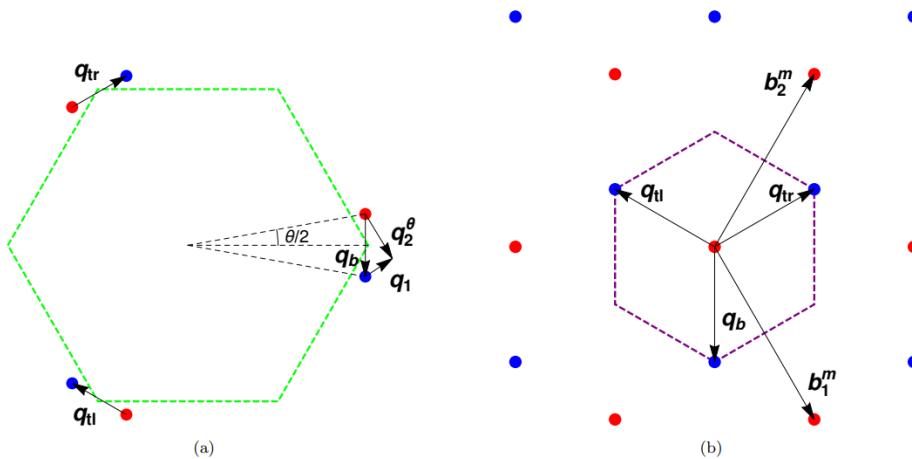


Figure 2.10: Momentum-space geometrical picture for the interlayer hopping on a tBLG. (a) The green dashed line marks the first BZ for an unrotated SLG; the red (blue) circles mark the three equivalent Dirac points  $K$  for layer 1 (2). Crystal momentum conservation is attained when  $\mathbf{q}_2^0 - \mathbf{q}_1 = \mathbf{q}_b, \mathbf{q}_{tr}, \mathbf{q}_{tl}$ ; in this reference frame, the three momentum transfers have modulus  $|\mathbf{q}_j| = 2|\mathbf{K}| \sin(\theta/2)$  and directions  $(0, -1)$  for  $j = b$  (bottom),  $(\sqrt{3}/2, 1/2)$  for  $j = tr$  (top right), and  $(-\sqrt{3}/2, 1/2)$  for  $j = tl$  (top left). (b) The three equivalent Dirac points in the first BZ result in three distinct hopping processes in reciprocal space (matrix elements); when we capture all ‘orders’ of hopping (possible hopping processes after previous ones), we obtain this  $k$ -space honeycomb structure, which captures the periodicity of the moiré pattern. The purple dashed line marks a moiré unit cell in reciprocal space.

We move to the reference frame shown in Fig. 2.10:  $\pm \frac{\theta}{2}$  rotation.  
We have

$$\vec{q}_b = \frac{8\pi \sin(\frac{\theta}{2})}{3\sqrt{3} d} (0, -1) , \quad \vec{q}_{\text{tr}} = \frac{8\pi \sin(\frac{\theta}{2})}{3\sqrt{3} d} (\frac{\sqrt{3}}{2}, \frac{1}{2})$$

$$\vec{q}_{\text{TL}} = \frac{8\pi \sin(\frac{\theta}{2})}{3\sqrt{3} d} (-\frac{\sqrt{3}}{2}, \frac{1}{2})$$

$$\vec{b}_1^m = \vec{q}_b - \vec{q}_{\text{TL}} = \frac{8\pi \sin(\frac{\theta}{2})}{3 d} (\frac{1}{2}, -\frac{\sqrt{3}}{2})$$

$$\vec{b}_2^m = \vec{q}_{\text{tr}} - \vec{q}_b = \frac{8\pi \sin(\frac{\theta}{2})}{3 d} (\frac{1}{2}, \frac{\sqrt{3}}{2})$$

$$\vec{a}_1^m = \frac{\sqrt{3} d}{2 \sin(\frac{\theta}{2})} (\frac{\sqrt{3}}{2}, -\frac{1}{2}), \quad \vec{a}_2^m = \frac{\sqrt{3} d}{2 \sin(\frac{\theta}{2})} (\frac{\sqrt{3}}{2}, \frac{1}{2})$$

$$A_{\text{m.u.c.}} = |\vec{a}_1^m \times \vec{a}_2^m| = \frac{3\sqrt{3} d^2}{8 \sin^2(\frac{\theta}{2})}$$

## # Hamiltonian matrix construction

$$H = H_1 + H_2 + H_{\perp}$$

In the TB-approximation, the wavefunctions read  $|\Psi_F\rangle = \sum_{\alpha, i} C_{\alpha}^{(i)} |\Psi_{F,\alpha}^{(i)}\rangle$

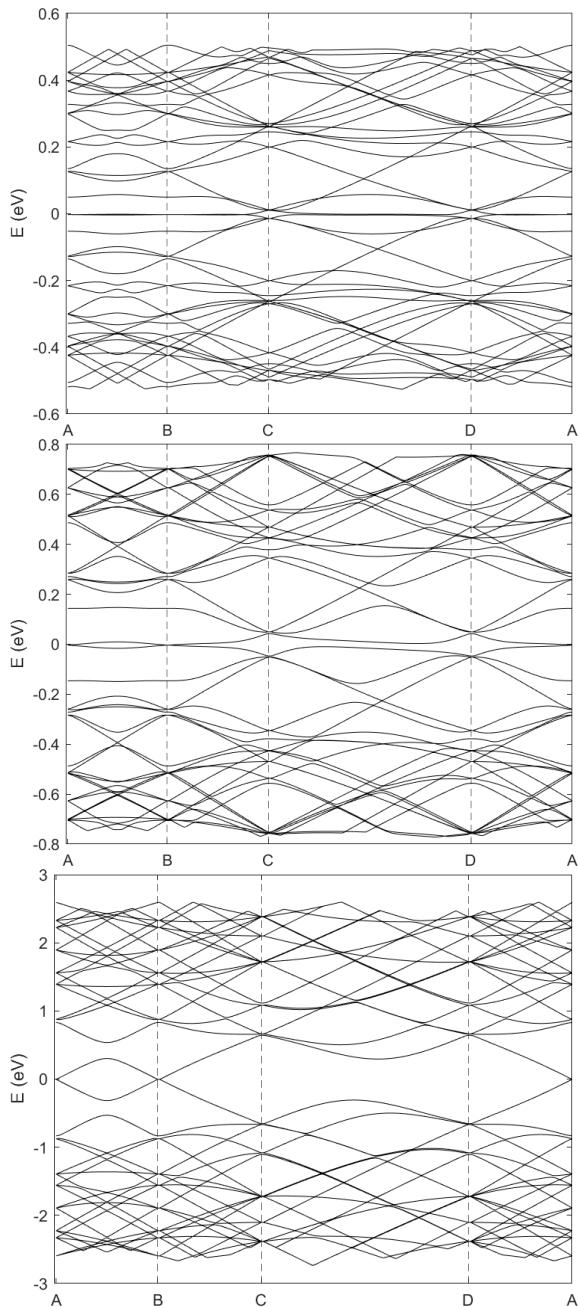
In the continuum low-energy model within a K expansion, we have

$$\langle \Psi_{\vec{K} + \vec{q}_1, \alpha}^{(1)} | H_{\perp} | \Psi_{\vec{K}^0 + \vec{q}_2, \beta}^{(2)} \rangle = T_{\vec{K} + \vec{q}_1, \vec{K}^0 + \vec{q}_2}^{\alpha, \beta}$$

$$\langle \Psi_{\vec{K} + \vec{q}_1, \alpha}^{(1)} | H_1 | \Psi_{\vec{K} + \vec{p}_1, \beta}^{(1)} \rangle = H_{\text{SLG}, \vec{K}}^{\alpha, \beta} (\vec{q}_1, -\frac{\theta}{2}) \delta_{\vec{q}_1, \vec{p}_1}$$

$$\langle \Psi_{\vec{K} + \vec{q}_1, \alpha}^{(2)} | H_2 | \Psi_{\vec{K} + \vec{p}_2, \beta}^{(2)} \rangle = H_{\text{SLG}, \vec{K}}^{\alpha, \beta} (\vec{q}_2, \frac{\theta}{2}) \delta_{\vec{q}_2, \vec{p}_2}$$

$ K + \mathbf{q}, 1\rangle$	$ K^\theta + \mathbf{q} + \mathbf{q}_b, 2\rangle$	$ K^\theta + \mathbf{q} + \mathbf{q}_{tr}, 2\rangle$	$ K^\theta + \mathbf{q} + \mathbf{q}_{tl}, 2\rangle$	$\langle K + \mathbf{q}, 1 $
$H_{SLG}^K(\mathbf{q}, -\theta/2)$	$T_{\mathbf{q}_b}$	$T_{\mathbf{q}_{tr}}$	$T_{\mathbf{q}_{tl}}$	$\langle K^\theta + \mathbf{q} + \mathbf{q}_b, 2 $
$T_{\mathbf{q}_b}^\dagger$	$H_{SLG}^K(\mathbf{q} + \mathbf{q}_b, \theta/2)$	0	0	$\langle K^\theta + \mathbf{q} + \mathbf{q}_{tr}, 2 $
$T_{\mathbf{q}_{tr}}^\dagger$	0	$H_{SLG}^K(\mathbf{q} + \mathbf{q}_{tr}, \theta/2)$	0	$\langle K^\theta + \mathbf{q} + \mathbf{q}_{tl}, 2 $
$T_{\mathbf{q}_{tl}}^\dagger$	0	0	$H_{SLG}^K(\mathbf{q} + \mathbf{q}_{tl}, \theta/2)$	



$$\theta = 1.05^\circ$$

$$\theta = 1.5^\circ$$

$$\theta = 5^\circ$$

# Twisted MoTe<sub>2</sub> ( $\pm$ MoTe<sub>2</sub>)

$$H_{\tau} = \begin{bmatrix} -\frac{\hbar^2 (\vec{k} - \tau K_+)^2}{2m^*} + \Delta_+(\vec{r}) & \Delta_{\tau, \tau}(\vec{r}) \\ \Delta_{\tau, \tau}^\dagger(\vec{r}) & -\frac{\hbar^2 (\vec{k} - \tau K_-)^2}{2m^*} + \Delta_-(\vec{r}) \end{bmatrix}$$

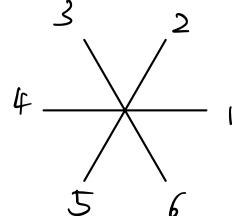
# arXiv: 2305.01006

$$\Delta_{\pm}(\vec{r}) = 2V \sum_{j=1,3,5} \cos(\vec{g}_j \cdot \vec{r} \pm \psi)$$

$$\Delta_{\tau, \tau}(\vec{r}) = \omega (1 + e^{-i\tau \vec{g}_2 \cdot \vec{r}} + e^{-i\tau \vec{g}_3 \cdot \vec{r}})$$

$$\vec{K}_{\pm} = \frac{4\pi}{3a_m} \left[ -\frac{\sqrt{3}}{2}, \mp \frac{1}{2} \right], \quad \vec{g}_j = \frac{4\pi}{\sqrt{3}a_m} \left[ \cos \frac{(j-1)\pi}{3}, \sin \frac{(j-1)\pi}{3} \right], \quad j=1, \dots, 6$$

$$a_m \sim a_0 / \sqrt{2 \sin \frac{\theta}{2}} \sim a_0 / \theta$$

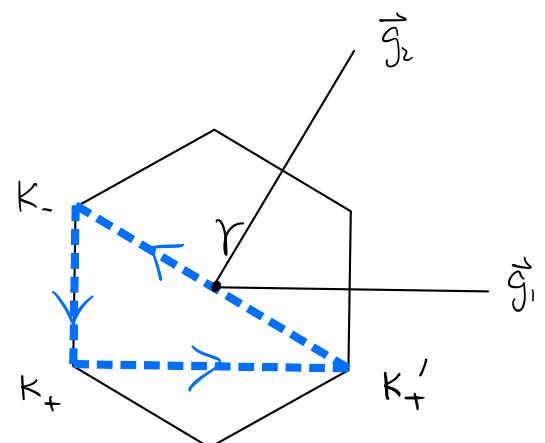


$$a_0 = 3.472 \text{ \AA}, \quad m^* = 0.62 m_e$$

$$V = 8 \text{ meV}, \quad \psi = -89.6^\circ, \quad \omega = -8.5 \text{ meV}$$

$$\begin{aligned} \Delta_{\pm}(\vec{r}) &= V \sum_{j=1,3,5} (e^{i\vec{g}_j \cdot \vec{r} \pm \psi} + e^{-i\vec{g}_j \cdot \vec{r} \pm \psi}) \\ &= V e^{\pm \psi} \sum_{j=1,3,5} e^{i\vec{g}_j \cdot \vec{r}} + V e^{\mp \psi} \sum_{j=2,4,6} e^{i\vec{g}_j \cdot \vec{r}} \end{aligned}$$

$$\Delta_{\tau, \tau}(\vec{r}) = \omega (1 + e^{i\tau \vec{g}_2 \cdot \vec{r}} + e^{i\tau \vec{g}_3 \cdot \vec{r}})$$



# Rhombohedral Pentalayer graphene / hBN

$$H_s = \sum_s \sum_{\vec{k} \in BZ} \psi_{\vec{k},\sigma}^+ [H_{PLG}(\vec{k}) + \kappa V_{\text{moiré}}(s)] \psi_{\vec{k},\sigma}$$

$$+ \kappa \sum_s \sum_j \sum_{\vec{k} \in BZ} \psi_{\vec{k} + \vec{g}_j, \sigma}^+ V_{\text{moiré}}(\vec{g}_j) \psi_{\vec{k}, \sigma}$$

$$V_{\text{moiré}}(\vec{r}) = V_{\text{moiré}}(0) + \sum_j V_{\text{moiré}}(\vec{g}_j) e^{i \vec{r} \cdot \vec{g}_j}$$

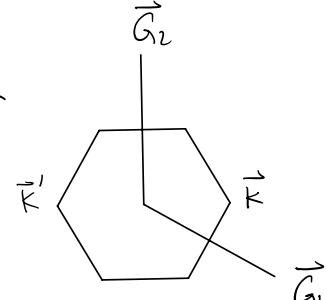

---

$$\vec{R}_1 = \alpha_G [1, 0]^T, \quad \vec{R}_2 = \alpha_G \left[ \frac{1}{2}, \frac{\sqrt{3}}{2} \right]^T$$

$$\vec{G}_1 = \frac{2\pi}{\alpha_G} [1, -\frac{1}{\sqrt{3}}]^T, \quad \vec{G}_2 = \frac{2\pi}{\alpha_G} [0, \frac{2}{\sqrt{3}}]^T$$

$$\vec{K} = -\vec{K}' = \frac{2}{3} \vec{G}_1 + \frac{1}{3} \vec{G}_2 = \frac{2\pi}{\alpha_G} \left[ \frac{2}{3}, 0 \right]^T$$

The Hamiltonian of the pristine RnG in a perpendicular displacement field is



$$H_{RnG}(\vec{k}) = H_0(\vec{k}) + V_d$$

$$[V_d]_{ll'} = S_{ll'} [l - (n_l - 1)/2] u_l$$

↴ # layers  
 0 → n\_l - 1  
 top → bottom

$$H_0(\vec{k}) = \begin{bmatrix} h^{(0)} & h^{(1)} & h^{(2)} & & & \\ h^{(1)*} & h^{(0)} & & & & \\ h^{(2)*} & & & & & \\ & \ddots & \ddots & \ddots & & \\ & & & h^{(1)} & h^{(2)} & \\ & & & h^{(1)*} & h^{(0)} & h^{(1)} \\ & & & h^{(2)*} & h^{(1)*} & h^{(0)} \end{bmatrix}$$

where

$$h^{(0)} = -t_0 \begin{bmatrix} 0 & f_{\vec{k}} \\ f_{\vec{k}}^* & 0 \end{bmatrix}$$

$$h^{(1)} = \begin{bmatrix} t_4 f_{\vec{k}} & t_3 f_{\vec{k}}^* \\ t_1 & t_4 f_{\vec{k}} \end{bmatrix}$$

$$h^{(2)} = \begin{bmatrix} 0 & \frac{t_2}{2} \\ 0 & 0 \end{bmatrix}$$

$$f_{\vec{k}} = \sum_j \exp(i \vec{k} \cdot \vec{s}_j)$$

$$\vec{g}_n = R_{2\pi n/3} [0, a_G/\sqrt{3}]^\top, \quad n=0, 1, 2, \quad a_G = 2.46 \text{ \AA}$$

$$(t_0, t_1, t_2, t_3, t_4) = (3100, 380, -21, 290, 141) \text{ meV}$$

The reciprocal vector for hBN  $\vec{G}'_i = \frac{a_G}{a_{hBN}} R_\theta \vec{G}_i$ ,  $\frac{a_G}{a_{hBN}} \sim 1.018$

moiré vector  $\vec{g}_1 = \vec{G}_1 - \vec{G}'_1$ .  $\vec{g}_n = R_{2\pi(n-1)/3} \vec{g}_1, \quad n=2,3$

$$\vec{g}_n = -\vec{g}_{n-3}, \quad n=4,5,6$$

$$\Rightarrow V_{\text{moiré}}^{\text{top}}(\vec{r}) = V_{\text{moiré}}^{\text{top}}(0) + \sum_{j=1}^6 V_{\text{moiré}}^{\text{top}}(\vec{g}_j) e^{i\vec{r} \cdot \vec{g}_j}$$

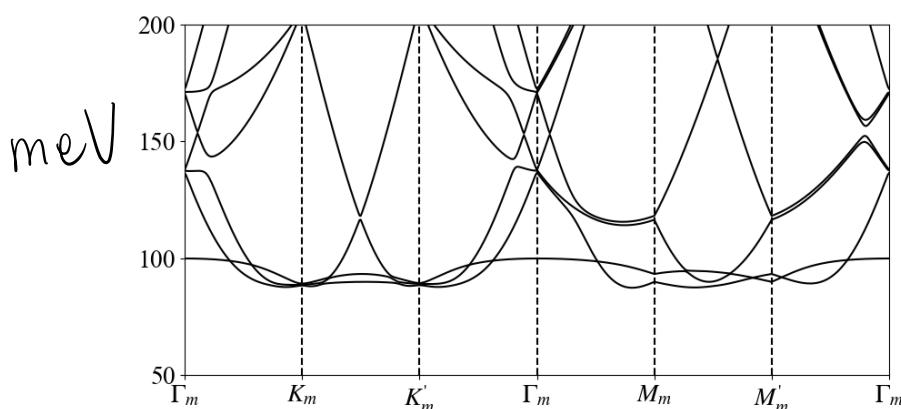
Hermiticity,  $C_3 \Rightarrow$

$$\begin{cases} V_{\text{moiré}}^{\text{top}}(0) = V_{\text{moiré}}^{\text{top}}(0)^+ \\ V_{\text{moiré}}^{\text{top}}(\vec{g}_j) = V_{\text{moiré}}^{\text{top}}(-\vec{g}_j)^+ \\ V_{\text{moiré}}^{\text{top}}(0) = U_3 V_{\text{moiré}}^{\text{top}}(0) U_3^+ \\ V_{\text{moiré}}^{\text{top}}(R_{2\pi/3} \vec{g}_j) = U_3 V_{\text{moiré}}^{\text{top}}(\vec{g}_j) U_3^+ \end{cases}$$

$$U_3 = \begin{bmatrix} 1 & \\ w & \bar{w} \end{bmatrix}, \quad w = e^{2\pi i/3} \sim C_3$$

We take  $V_{\text{moiré}}^{\text{top}}(0) = V_0 \mathbb{1}$ ,  $V_{\text{moiré}}^{\text{top}}(\vec{g}_1) = V_1 e^{-i\gamma} \begin{bmatrix} 1 & 1 \\ w & \bar{w} \end{bmatrix}$

$$(V_0, V_1, \gamma) = (28.9 \text{ meV}, 21 \text{ meV}, -0.29)$$



# $C_3$ symmetry of some bands

