

OS PROJECT

SAFE SEQUENCE USING BANKER'S ALGORITHM

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Git hub link : <https://github.com/Yusuf-al/OS-project>

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DeadLock : Deadlock is a situation where a set of processes are blocked because each process is holding a resource and waiting for another resource acquired by some other process.

Consider an example when two trains are coming toward each other on same track and there is only one track, none of the trains can move once they are in front of each other. Similar situation occurs in operating systems when there are two or more processes hold some resources and wait for resources held by other(s). For example, in the below diagram, Process 1 is holding Resource 1 and waiting for resource 2 which is acquired by process 2, and process 2 is waiting for resource 1.

Deadlock can arise if following four conditions hold simultaneously :

Mutual Exclusion: One or more than one resource are non-sharable (Only one process can use at a time)

Hold and Wait: A process is holding at least one resource and waiting for resources.

No Preemption: A resource cannot be taken from a process unless the process releases the resource.

Circular Wait: A set of processes are waiting for each other in circular form.

Methods for handling deadlock :

There are three ways to handle deadlock

1) Deadlock prevention or avoidance: The idea is to not let the system into deadlock state.

One can zoom into each category individually, Prevention is done by negating one of above mentioned necessary conditions for deadlock.

Avoidance is kind of futuristic in nature. By using strategy of “Avoidance”, we have to make an assumption. We need to ensure that all information about resources which process WILL need are known to us prior to execution of the process. We use Banker’s algorithm (Which is in-turn a gift from Dijkstra) in order to avoid deadlock.

2) Deadlock detection and recovery: Let deadlock occur, then do preemption to handle it once occurred.

3) Ignore the problem all together: If deadlock is very rare, then let it happen and reboot the system. This is the approach that both Windows and UNIX take.

Banker’s Algorithm : Banker’s Algorithm is a resource allocation and deadlock avoidance algorithm. This algorithm test for safety simulating the allocation for predetermined maximum possible amounts of all resources, then makes an “s-state” check to test for possible activities, before deciding whether allocation should be allowed to continue. In simple terms, it checks if allocation of any resource will lead to deadlock or not, OR is it safe to allocate a resource to a process and if not then resource is not allocated to that process. Determining a safe sequence (even if there is only 1) will assure that system will not go into deadlock. Banker’s algorithm is generally used to find if a safe sequence exist or not. But here we will determine the total number of safe sequences and print all safe sequences

Following **Data structures** are used to implement the Banker’s Algorithm:

Let ‘**n**’ be the number of processes in the system and ‘**m**’ be the number of resources types.

Available :

- It is a 1-d array of size ‘**m**’ indicating the number of available resources of each type.

- $Available[j] = k$ means there are 'k' instances of resource type R_j

Max :

- It is a 2-d array of size ' $n*m$ ' that defines the maximum demand of each process in a system.
- $Max[i, j] = k$ means process P_i may request at most 'k' instances of resource type R_j .

Allocation :

- It is a 2-d array of size ' $n*m$ ' that defines the number of resources of each type currently allocated to each process.
- $Allocation[i, j] = k$ means process P_i is currently allocated 'k' instances of resource type R_j

Need :

- It is a 2-d array of size ' $n*m$ ' that indicates the remaining resource need of each process.
- $Need[i, j] = k$ means process P_i currently need 'k' instances of resource type R_j
- for its execution.
- $Need[i, j] = Max[i, j] - Allocation[i, j]$

$Allocation_i$ specifies the resources currently allocated to process P_i and $Need_i$ specifies the additional resources that process P_i may still request to complete its task.

Banker's algorithm consists of Safety algorithm and Resource request algorithm

Safety Algorithm: The algorithm for finding out whether or not a system is in a safe state can be described as follows:

1) Let Work and Finish be vectors of length 'm' and 'n' respectively.

Initialize: $Work = Available$

$Finish[i] = false$; for $i=1, 2, 3, 4, \dots, n$

2) Find an i such that both

a) $Finish[i] = false$

b) $Need_i \leq Work$

if no such i exists goto step (4)

3) $Work = Work + Allocation[i]$

$Finish[i] = true$

goto step (2)

4) if $Finish[i] = true$ for all i

then the system is in a safe state

Resource-Request Algorithm

Let $Request_i$ be the request array for process P_i . $Request_i[j] = k$ means process P_i wants k instances of resource type R_j . When a request for resources is made by process P_i , the following actions are taken:

1) If $Request_i \leq Need_i$

Goto step (2) ; otherwise, raise an error condition, since the process has exceeded its maximum claim.

2) If $\text{Request}_i \leq \text{Available}$

Goto step (3); otherwise, P_i must wait, since the resources are not available.

3) Have the system pretend to have allocated the requested resources to process P_i by modifying the state as follows:

$\text{Available} = \text{Available} - \text{Request}_i$

$\text{Allocation}_i = \text{Allocation}_i + \text{Request}_i$

$\text{Need}_i = \text{Need}_i - \text{Request}_i$

The **time complexity of banker's algorithm** is $r * (P * P)$ where P is the number of active processes and r is the number of resources.

There are 5 processes and 3 resource types, resource A with 10 instances, B with 5 instances and C with 7 instances. Consider following and write a c code to find whether the system is in safe state or not ?

| Processes | Allocation | | | Max need | | | Available | | | Remaining Need | | |
|-----------|------------|---|---|----------|---|---|-----------|---|---|----------------|---|---|
| | A | B | C | A | B | C | A | B | C | A | B | C |
| P0 | 0 | 1 | 0 | 7 | 5 | 3 | 3 | 3 | 2 | 7 | 4 | 3 |
| P1 | 2 | 0 | 0 | 3 | 2 | 2 | | | | 1 | 2 | 2 |
| P2 | 3 | 0 | 2 | 9 | 0 | 2 | | | | 6 | 0 | 0 |
| P3 | 2 | 1 | 1 | 2 | 2 | 2 | | | | 0 | 1 | 1 |
| P4 | 0 | 0 | 2 | 4 | 3 | 3 | | | | 4 | 3 | 1 |

Here currently available : (3,3,2)

Can we fulfill the request the of

$P0(7,4,3)$ not less or equal to (3,3,2) so $P0$ will not be executed .

$P1(1,2,2)$ is less than (3,3,2) so $P1$ will be executed . **(P1)**

Now the available instances $(3,3,2) + P1(2,0,0) =$ now total currently available (5,3,2)

Can we fulfill the request the of

$P2(6,0,0)$ not less or equal to (5,3,2) so $P0$ will not be executed .

$P3(0,1,1)$ is less than (5,3,2) $P3$ will be executed . **(P3)**

Now the available instances $(5,3,2) + P1(2,1,1) =$ now total currently available(7,4,3)

$P4(4,3,1)$ is less than (7,4,3) $P3$ will be executed . **(P4)**

Now the available instances $(7,4,3) + P4(0,0,2) =$ now total currently available (7,4,5)

Can we fulfill the request the of

$P0(7,4,3)$ is less than (7,4,5) so $P0$ will be executed **(P0)**

Now the available instances $(7,4,5) + P4(0,1,0) =$ now total currently available (7,5,5)

$P2(6,0,0)$ is less than (7,5,5) so $P0$ will be executed **(P2)**

Now the available instances $(7,5,5) + P2(3,0,2) =$ now total currently available (10,5,7)

Here now available instances are $A=10$, $B=5$, $C=7$ which is same as the given instances .

So the safe sequence is $P1 > P3 > P4 > P0 > P2$

Code of given problem :

```
#include <stdio.h>

int main()
{
    int n, m, i, j, k;

    n = 5; // Number of processes
    m = 3; // Number of resources

    int alloc[5][3] = { { 0, 1, 0 }, // P0    // Allocation Matrix
                        { 2, 0, 0 }, // P1
                        { 3, 0, 2 }, // P2
                        { 2, 1, 1 }, // P3
                        { 0, 0, 2 } }; // P4

    int max[5][3] = { { 7, 5, 3 }, // P0    // MAX Matrix
                     { 3, 2, 2 }, // P1
                     { 9, 0, 2 }, // P2
                     { 2, 2, 2 }, // P3
                     { 4, 3, 3 } }; // P4

    int avail[3] = { 3, 3, 2 }; // Available Resources

    int f[n], ans[n], ind = 0;

    for (k = 0; k < n; k++) {
        f[k] = 0;
    }

    int need[n][m];

    for (i = 0; i < n; i++) {
        for (j = 0; j < m; j++)
            need[i][j] = max[i][j] - alloc[i][j];
    }

    int y = 0;

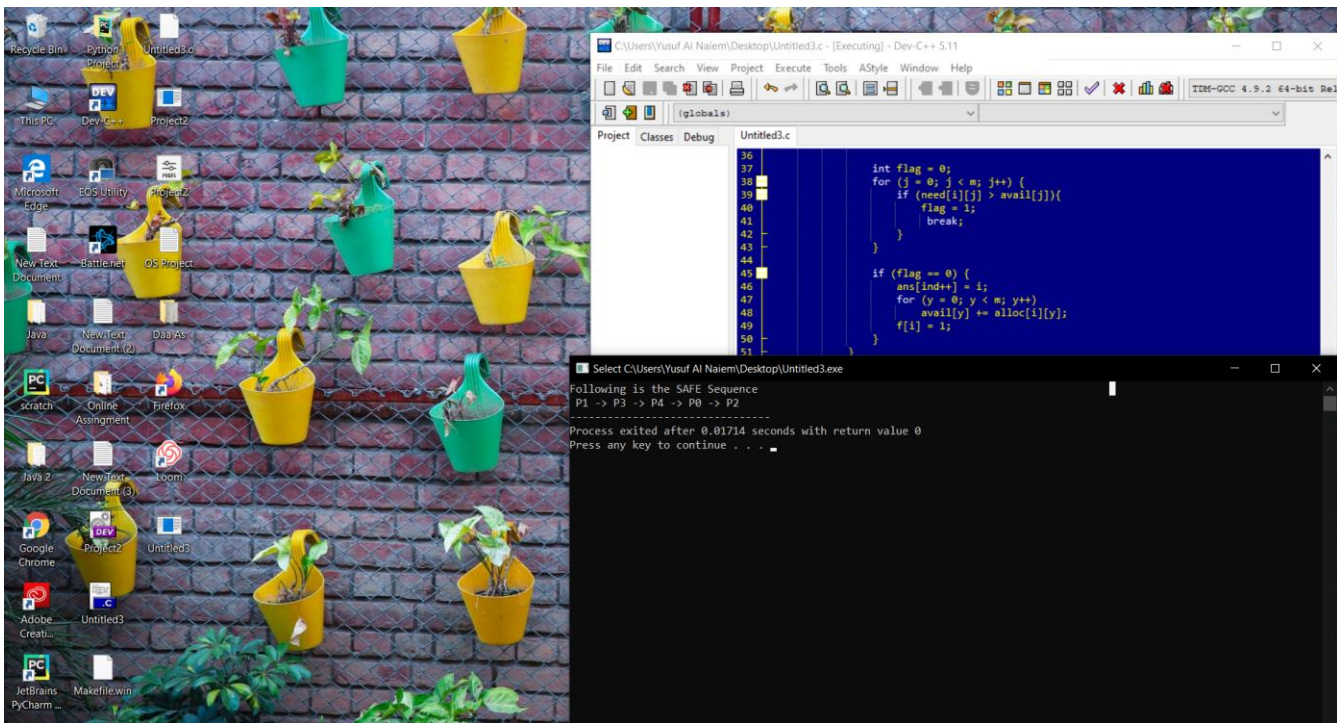
    for (k = 0; k < 5; k++) {
        for (i = 0; i < n; i++) {
            if (f[i] == 0) {
```

```

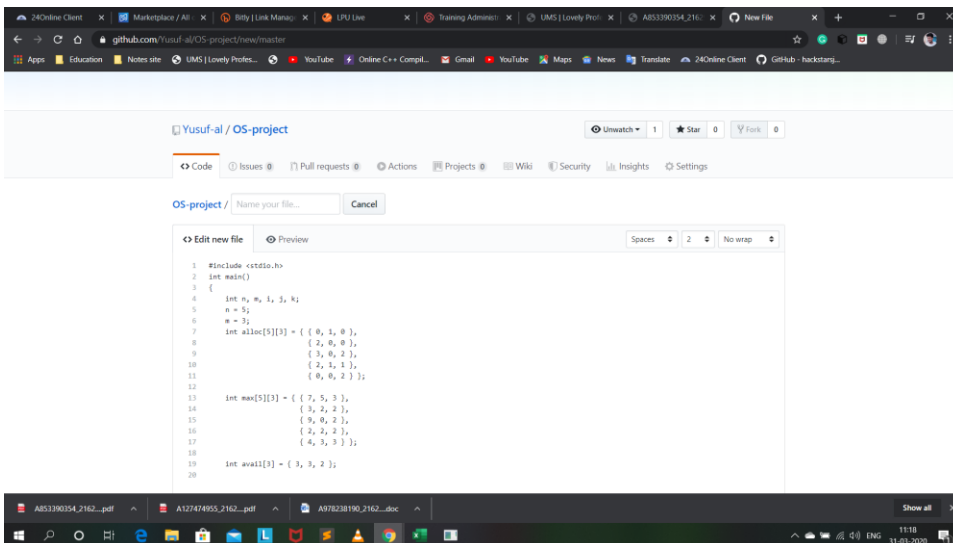
    int flag = 0;
    for (j = 0; j < m; j++) {
        if (need[i][j] > avail[j]){
            flag = 1;
            break;
        }
    }
    if (flag == 0) {
        ans[ind++] = i;
        for (y = 0; y < m; y++)
            avail[y] += alloc[i][y];
        f[i] = 1;
    }
}

printf("Following is the SAFE Sequence\n");
for (i = 0; i < n - 1; i++)
    printf(" P%d ->", ans[i]);
printf(" P%d", ans[n - 1]);
return (0);
}

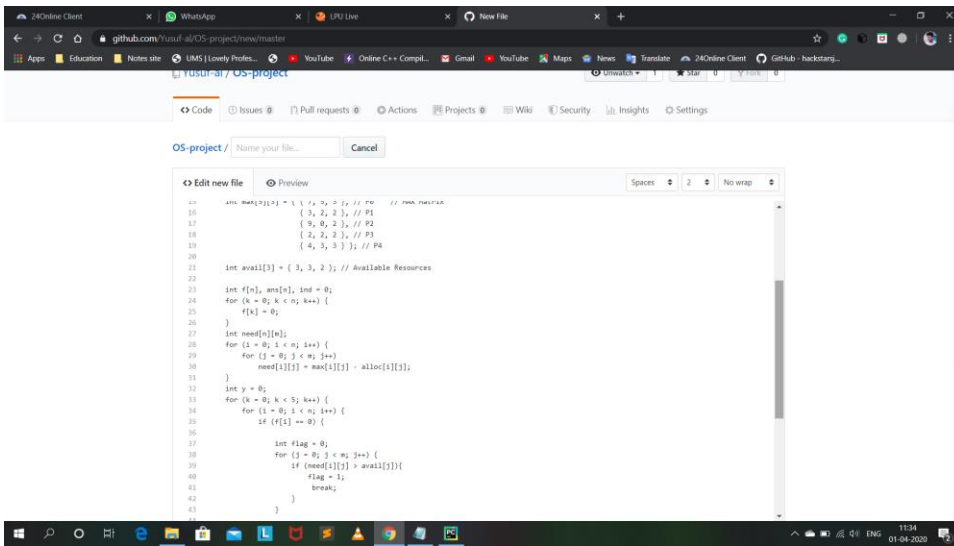
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Github Submission :

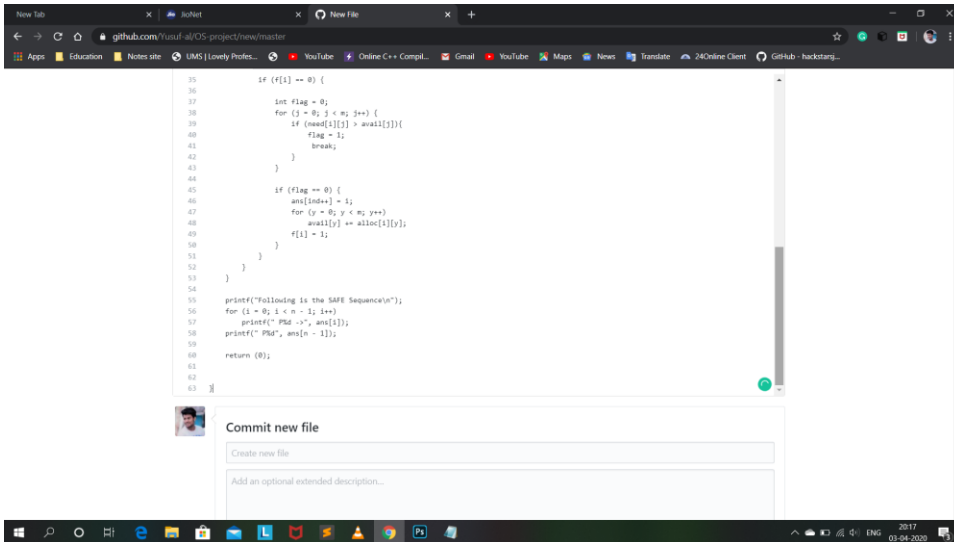


Date : 31-03-2020



```
16  ans[ans[i][0]] = { i, 2, 2, 2 }; // P0 // P0: max 2
17  { 3, 2, 2 }; // P1
18  { 9, 0, 2 }; // P2
19  { 2, 2, 2 }; // P3
20  { 4, 3, 3 }; // P4
21
22  int avail[3] = { 3, 3, 2 }; // Available Resources
23
24  int f[n], ans[n], ind = 0;
25  for (k = 0; k < n; k++) {
26      f[k] = 0;
27  }
28  int need[n][n];
29  for (i = 0; i < n; i++) {
30      for (j = 0; j < n; j++) {
31          need[i][j] = ans[i][j] - avail[i][j];
32      }
33  }
34  int y = 0;
35  for (k = 0; k < 5; k++) {
36      for (i = 0; i < n; i++) {
37          if (f[i] == 0) {
38              int flag = 0;
39              for (j = 0; j < n; j++) {
40                  if (need[i][j] > avail[j]){
41                      flag = 1;
42                      break;
43                  }
44              }
45              if (flag == 0) {
46                  ans[ind++] = i;
47                  for (y = 0; y < n; y++) {
48                      avail[y] += ans[i][y];
49                      f[i] = 1;
50                  }
51              }
52          }
53      }
54  }
55  printf("Following is the SAFE Sequence\n");
56  for (i = 0; i < n - 1; i++) {
57      printf(" P%d -> ", ans[i]);
58  }
59  printf(" P%d", ans[n - 1]);
60  return (0);
61 }
```

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```
35  if (f[i] == 0) {
36      int flag = 0;
37      for (j = 0; j < n; j++) {
38          if (need[i][j] > avail[j]){
39              flag = 1;
40              break;
41          }
42      }
43      if (flag == 0) {
44          ans[ind++] = i;
45          for (y = 0; y < n; y++) {
46              avail[y] += ans[i][y];
47              f[i] = 1;
48          }
49      }
50  }
51  }
52  }
53  }
54  }
55  printf("Following is the SAFE Sequence\n");
56  for (i = 0; i < n - 1; i++) {
57      printf(" P%d -> ", ans[i]);
58  }
59  printf(" P%d", ans[n - 1]);
60  return (0);
61 }
```

Date : 03-04-2020

Github Link : <https://github.com/Yusuf-al/OS-project>