# M1CCA Projet Interpolation polynomiale en deux variables

# Yudi Sun 21400421

Long Qian 21400529

Yudi.Sun@etu.sorbonne-universite.fr Long.Qian@etu.sorbonne-universite.fr

Encadrant : Jérémy Berthomieu jeremy.berthomieu@lip6.fr

May 16, 2025

# Contents

1	Introduction 2		
2	Polynomial Decomposition with Respect to Interpolation Points 2.1 Distinct Horizontal Coordinates	2 2 3 3	
3	Vandermonde Method	4	
	3.1 Considering only one variable x	4	
	3.2 Considering two variables x and y	4	
	3.3 Considering the non-distinct case	4	
	3.4 More general conditions	6	
	3.4.1 Matrix complet	6	
	3.4.2 Optimization to a lower degree	6	
	3.5 Considering three variables x,y,z	7	
	3.6 Considering $n$ variables	7	
	3.7 Complexity	8	
4	Algorithm	9	
	4.1 Goal and Approach	9	
	4.2 Algorithm Outline	9	
	4.3 Implementation with SymPy	9	
5	Performance and Optimizations	10	
	5.1 Baseline Complexity	10	
	5.2 Two Optimisations Present in poly.py	10	
	5.3 Illustrative Example	10	
	5.4 Complexity Analysis and Performance	10	
6	Extension to Three Dimensions	11	
-	6.1 Goal and Approach	11	
	6.2 Algorithm Outline	11	
	6.3 Implementation Notes	11	
	6.4 Generalization to <i>n</i> Dimensions	11	
7	Conclusion	12	

### 1 Introduction

Solving systems of polynomial equations is foundational in computational algebra, with applications in cryptography, robotics, and coding theory. Gröbner bases offer a systematic framework to analyze such systems by characterizing their vanishing ideals. For univariate polynomials, the ideal is generated by a single polynomial  $\prod_{i=0}^{n-1}(x-x_i)$  when roots are distinct. In the bivariate case, if solutions  $(x_0,y_0),\ldots,(x_{n-1},y_{n-1})$  have pairwise distinct  $x_i$ , the ideal is generated by two polynomials:

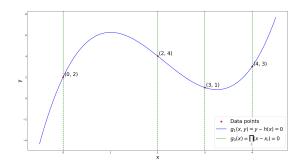
$$g_0 = \prod_{i=0}^{n-1} (x - x_i)$$
 and  $g_1 = y - h(x)$ ,

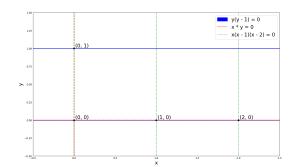
where h(x) interpolates the  $y_i$ .

However, when  $x_i$  are non-distinct,  $g_1$  becomes undefined, requiring new methods to construct low-degree polynomials vanishing on overlapping solutions. This work addresses three objectives:

- 1. Proving that  $g_0$  and  $g_1$  suffice under the distinct  $x_i$  hypothesis.
- 2. Developing minimal-degree polynomials for non-distinct  $x_i$  and analyzing computational costs.
- 3. We search for polynomials of low total degree under general conditions, given the set E containing the points  $(x_i, y_i)$ :

$$E = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-2}, y_{n-2}), (x_{n-1}, y_{n-1}), (x_n, y_n)\}.$$





- (a) Illustration of  $g_0$  and  $g_1$  (Example)
- (b) Points E and their vanishing ideal (Example)

# 2 Polynomial Decomposition with Respect to Interpolation Points

#### 2.1 Distinct Horizontal Coordinates

Consider a finite set of points in the plane:

$$E_0 = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\},\$$

with the assumption that all the  $x_i$  are distinct.

We define the polynomial:

$$g_0(x) = \prod_{i=0}^{n-1} (x - x_i),$$

and the interpolation polynomial h(x) such that  $h(x_i) = y_i$  for all i = 0, 1, ..., n - 1.

Any bivariate polynomial p(x, y) that vanishes on  $E_0$  can be decomposed as:

$$p(x,y) = g_0(x)q(x,y) + (y - h(x))r(x,y), \quad q,r \in \mathbb{K}[x,y].$$
(1)

**Proof:** Given  $p(x,y) = \sum_{i=0}^{d} p_i(x)y^i$ , expand  $y^i$  in powers of (y - h(x)):

$$y^{i} = ((y - h(x)) + h(x))^{i} = \sum_{j=0}^{i} {i \choose j} (y - h(x))^{i-j} h(x)^{j}.$$

Thus,

$$p(x,y) = \sum_{i=0}^{d} p_i(x) \sum_{j=0}^{i} {i \choose j} (y - h(x))^{i-j} h(x)^j$$
$$= \sum_{k=0}^{d} \left[ \sum_{j=0}^{d-k} p_{k+j}(x) {k+j \choose j} h(x)^j \right] (y - h(x))^k.$$

Define:

$$R(x) = \sum_{j=0}^{d} p_j(x)h(x)^j, \quad S(x,y) = \sum_{k=1}^{d} \left[ \sum_{j=0}^{d-k} p_{k+j}(x) \binom{k+j}{j} h(x)^j \right] (y - h(x))^{k-1},$$

and thus we have:

$$p(x,y) = R(x) + (y - h(x))S(x,y).$$

Since  $p(x_i, y_i) = 0$ , it follows that:

$$R(x_i) = \sum_{j=0}^{d} p_j(x_i)h(x_i)^j = \sum_{j=0}^{d} p_j(x_i)y_i^j = p(x_i, y_i) = 0.$$

Thus, R(x) is divisible by g(x), and we have:

$$p(x,y) = g_0(x)q(x,y) + (y - h(x))r(x,y).$$

This completes the proof.

### 2.2 Multiple Vertical Coordinates for a Single Horizontal Coordinate

Now consider the extended set with one repeated horizontal coordinate:

$$E_1 = E_0 \cup \{(x_n, y_n), (x_n, y'_n)\}, \quad y_n \neq y'_n.$$

Define:

$$g(x) = \prod_{i=0}^{n} (x - x_i), \quad h(x_i) = y_i, \quad h_1(x_n) = y_n, \quad h_2(x_n) = y'_n.$$

As before, expand p(x, y) around y - h(x):

$$p(x,y) = g(x)q(x) + (y - h(x))S(x,y).$$

At  $x = x_n$ , we have:

$$p(x_n, y_n) = (y_n - h(x_n))S(x_n, y_n) = 0, \quad p(x_n, y_n') = (y_n' - h(x_n))S(x_n, y_n') = 0.$$

Since  $y_n \neq h(x_n)$  or  $y'_n \neq h(x_n)$ , we must have:

$$S(x_n, y_n) = S(x_n, y_n') = 0.$$

 $h_1(x)$  is the polynomial interpolating the set  $\{(x_0, y_0), (x_1, y_1), \dots, (x_n, y_n)\}$ .  $h_2(x)$  is the polynomial interpolating the set  $\{(x_0, y_0), (x_1, y_1), \dots, (x_n, y'_n)\}$ . So we have  $y_n = h_1(x_n)$  and  $y'_n = h_2(x_n)$ . Thus, S(x, y) can be factorized as:

$$S(x,y) = (x - x_n)q_1(x,y) + (y - h_1(x_n))(y - h_2(x_n))q_2(x,y),$$

leading to the general factorization:

$$p(x,y) = g(x)q(x) + (y - h(x))(x - x_n)q_1(x,y) + (y - h_1(x))(y - h_2(x))q_2(x,y).$$
(2)

Here, the first term removes all base points in  $E_0$ , the second term handles single vertical interpolations, and the third term ensures vanishing at all multiple vertical coordinates for each horizontal coordinate.

#### 2.3 More General Conditions

Let

$$E_0 = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\}\$$

be an arbitrary finite set of N points, with no assumption on the  $x_i$  and  $y_i$ . We seek a nonzero bivariate polynomial

$$P(x,y) = \sum_{a+b \le d} c_{a,b} x^a y^b$$

of total degree d such that

$$P(x_i, y_i) = 0, \quad i = 1, \dots, N,$$

and we wish to make d as small as possible.

#### 3 Vandermonde Method

#### 3.1 Considering only one variable x

$$E_2 = \{x_0, x_1, \dots, x_{n-1}\}\$$

be a set of n pairwise distinct points. The smallest nonzero polynomial that vanishes on E is

$$g_0(x) = \prod_{i=0}^{n-1} (x - x_i).$$

Equivalently, writing

$$g_0(x) = g_0 + g_1 x + \dots + g_{n-1} x^{n-1},$$

we can find the coefficients  $\{g_i\}$  by solving the Vandermonde linear system:

$$\begin{pmatrix} 1 & x_0 & x_0^2 & \cdots & x_0^{n-1} \\ 1 & x_1 & x_1^2 & \cdots & x_1^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n-1} & x_{n-1}^2 & \cdots & x_{n-1}^{n-1} \end{pmatrix} \begin{pmatrix} g_0 \\ g_1 \\ \vdots \\ g_{n-1} \end{pmatrix} = \begin{pmatrix} x_0^n \\ x_1^n \\ \vdots \\ x_{n-1}^n \end{pmatrix}.$$

Since  $det(Vandermonde) \neq 0$ , the matrix is reversible and will not be described in detail in the following matrix. Hence the polynomial

$$x^{n} - (g_{n-1}x^{n-1} + g_{n-2}x^{n-2} + \dots + g_{1}x + g_{0})$$

vanishes at each  $x_i$ .

#### 3.2 Considering two variables x and y

$$E_0 = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-1}, y_{n-1})\},\$$

with the  $x_i$  pairwise distinct. We seek a polynomial

$$h(x) = h_0 + h_1 x + \dots + h_{n-1} x^{n-1}$$

such that

$$h(x_i) = y_i, \quad i = 0, \dots, n-1.$$

Equivalently, the function  $(x,y) \mapsto y - h(x)$  vanishes on E. The coefficients  $\{h_j\}$  are obtained by solving the Vandermonde system

$$\begin{pmatrix} 1 & x_0 & x_0^2 & \cdots & x_0^{n-1} \\ 1 & x_1 & x_1^2 & \cdots & x_1^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n-1} & x_{n-1}^2 & \cdots & x_{n-1}^{n-1} \end{pmatrix} \begin{pmatrix} h_0 \\ h_1 \\ \vdots \\ h_{n-1} \end{pmatrix} = \begin{pmatrix} y_0 \\ y_1 \\ \vdots \\ y_{n-1} \end{pmatrix}.$$

Consequently, the polynomial

$$y - (h_{n-1}x^{n-1} + \dots + h_1x + h_0)$$

vanishes at each  $(x_i, y_i)$ .

#### 3.3 Considering the non-distinct case

Now we set

$$E_3 = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-2}, y_{n-2}), (x_{n-1}, y_{n-1}), (x_{n-1}, y'_{n-1})\}.$$

$$\begin{pmatrix} 1 & x_0 & x_0^2 & \cdots & x_0^{n-1} \\ 1 & x_1 & x_1^2 & \cdots & x_1^{n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & x_{n-1} & x_n^{2-1} & \cdots & x_n^{n-1} \\ 1 & x_n & x_n^2 & \cdots & x_n^n \end{pmatrix} \begin{pmatrix} g_0 \\ g_1 \\ \vdots \\ g_{n-1} \\ g_n \end{pmatrix} = \begin{pmatrix} x_0 \\ x_1 \\ \vdots \\ x_{n-1}^{n-1} \\ x_n^n \end{pmatrix}.$$

After that we know poly

$$G(x) = x^{n+1} - (g_n x^n + \dots + g_1 x + g_0)$$

Let

$$h(x) = h_n x^n + h_{n-1} x^{n-1} + \dots + h_1 x + h_0$$

be an unknown polynomial. Define

$$A(x,y) = (x - x_n)(y - h(x)).$$

Expanding gives

$$A(x,y) = xy - xh(x) - x_n y + x_n h(x) = xy - x_n y - h_n x^{n+1} - h_{n-1} x^n - \dots - h_0.$$

To eliminate the  $x^{n+1}$ -term, introduce the monic polynomial

$$G(x) = x^{n+1} - (g_n x^n + g_{n-1} x^{n-1} + \dots + g_0).$$

Then form

$$B(x,y) = A(x,y) + h_n G(x).$$

By construction B has total degree at most n in x, and one checks

$$B(x,y) = xy - (ky + k_n x^n + k_{n-1} x^{n-1} + \dots + k_1 x + k_0).$$

Requiring that all coefficients of the monomials  $\{x^n, x^{n-1}, \dots, x, y, 1\}$  in B(x, y) vanish yields a linear system for the unknowns

$$k, h_0, h_1, \ldots, h_n$$
.

$$B(x,y) = xy - (ky + k_nx^n + \dots + k_1x + k_0) = 0.$$

which vanishes on the points

$$(x_0, y_0), (x_1, y_1), \ldots, (x_{n-2}, y_{n-2}), (x_{n-1}, y_{n-1}), (x_{n-1}, y'_{n-1}).$$

So we can deduce the Vandermonde matrix

$$\begin{pmatrix} 1 & x_0 & \cdots & x_0^n & y_0 \\ 1 & x_1 & \cdots & x_1^n & y_1 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & x_{n-1} & \cdots & x_{n-1}^n & y_{n-1} \\ 1 & x_{n-1} & \cdots & x_{n-1}^n & y_{n-1}' \end{pmatrix} \begin{pmatrix} k_0 \\ k_1 \\ \vdots \\ k_n \\ k \end{pmatrix} = \begin{pmatrix} x_0 y_0 \\ x_1 y_1 \\ \vdots \\ x_{n-1} y_{n-1} \\ x_{n-1} y_{n-1}' \end{pmatrix}.$$

The same reasoning applies to the final polynomial in y (e.g.  $y^2 - \cdots$ ), leading again to a Vandermonde-type linear system.

$$F(x,y) = y^2 - (ky + k_n x^n + \dots + k_1 x + k_0),$$

we observe:

$$x y = k y + k_n x^n + k_{n-1} x^{n-1} + \dots + k_1 x + k_0.$$
  
 $y^2 - x y \longrightarrow y^2 - [k y + k_n x^n + \dots + k_0].$ 

Since

$$y^2 - xy = y^2 - x \cdot (xy),$$

we can factor out an x in the second term:

$$y^{2} - x[ky + k_{n}x^{n} + \dots + k_{0}] = y^{2} - (kxy + k_{n}x^{n+1} + \dots + k_{0}x) \longrightarrow y^{2} - (ly + l_{n}x^{n} + \dots + l_{0})$$

$$\begin{pmatrix} 1 & x_{0} & \dots & x_{0}^{n} & y_{0} \\ 1 & x_{1} & \dots & x_{1}^{n} & y_{1} \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 1 & x_{n-1} & \dots & x_{n-1}^{n} & y_{n-1} \\ 1 & x_{n-1} & \dots & x_{n}^{n} + y' + y' \end{pmatrix} \begin{pmatrix} l_{0} \\ l_{1} \\ \vdots \\ l_{n} \\ l \end{pmatrix} = \begin{pmatrix} y_{0}^{2} \\ y_{1}^{2} \\ \vdots \\ y_{n-1}^{2} \\ y_{2}^{2} \end{pmatrix}.$$

#### 3.4 More general conditions

#### 3.4.1 Matrix complet

From the poly p(x,y) and the previous reasoning

$$p(x,y) = g(x)q(x) + (y - h(x))(x - x_n)q_1(x,y) + (y - h_1(x))(y - h_2(x))q_2(x,y).$$
(3)

we can deduce une matrix complet :

$$W(x,y) = \begin{pmatrix} x_0^0 & x_0^1 & \cdots & x_n^n & y_0 x_0^0 & y_0 x_0^1 & \cdots & y_0 x_n^n & \cdots & y_0^n x_0^n \\ x_1^0 & x_1^1 & \cdots & x_1^n & y_1 x_1^0 & y_1 x_1^1 & \cdots & y_1 x_1^n & \cdots & y_1^n x_1^n \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots \\ x_n^0 & x_n^1 & \cdots & x_n^n & y_n x_n^n & y_n x_n^1 & \cdots & y_n x_n^n & \cdots & y_n^n x_n^n \end{pmatrix} \in \mathbb{R}^{(n+1)\times(n+1)^2}.$$

#### 3.4.2 Optimization to a lower degree

This matrix is too big, we need to do the optimization to reduce that

We firstly think about

$$E = \{(x_0, y_0), (x_1, y_1), \dots, (x_n, y_n)\}.$$

- The constant polynomial P(x,y) = 1 does not vanish on E.
- Is there a linear polynomial  $x + a_0$  that vanishes on E?

Answer: Yes if and only if 
$$W_{x,y}\begin{pmatrix} 1\\ \vdots\\ a_0 \end{pmatrix} = \begin{pmatrix} 0\\ \vdots\\ 0 \end{pmatrix}$$
,

where

$$W_{x,y} = \begin{pmatrix} 1 & x_0 \\ 1 & x_1 \\ \vdots & \vdots \\ 1 & x_n \end{pmatrix}.$$

• If the answer above is "yes" then next think about: is there a quadratic polynomial

$$x^2 + b_{10}x + b_{00}$$

that vanishes on E?

$$x^2 + a_{00}x = x(x + a_{00})$$

vanish on E, the answer is "yes" and

$$x^3 + x^2b_{20}x + xb_{10} + b_{00}$$

is also vanish on E.

• If the answer above is "No" then is there the poly

$$x^2 + a_{10}x + a_{00}$$

vanish on E? The answer is "yes" only in this situation:

$$\begin{pmatrix} 1 & x_0 & x_0^2 \\ 1 & x_1 & x_1^2 \\ \vdots & \vdots & \vdots \\ 1 & x_n & x_n^2 \end{pmatrix} \begin{pmatrix} a_{00} \\ a_{10} \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 0 \end{pmatrix}.$$

• Now we can do the optimization

$$\underbrace{\begin{pmatrix} 1 & x_0 & x_0^2 & y_0^3 \\ 1 & x_1 & x_1^2 & y_1^3 \\ \vdots & \vdots & \vdots & \vdots \\ 1 & x_n & x_n^2 & y_n^3 \end{pmatrix}}_{\text{(block 1)}} \underbrace{\begin{pmatrix} y_0 & y_0 x_0 & y_0 x_0^2 \\ y_1 & y_1 x_1 & y_1 x_1^2 \\ \vdots & \vdots & \vdots \\ y_n & y_n x_n & y_n x_n^2 \end{pmatrix}}_{\text{(block 2)}} \underbrace{\begin{pmatrix} y_0^2 & \cdots & y_0^3 & \cdots & y_0^4 \\ y_1^2 & \cdots & y_1^3 & \cdots & y_1^4 \\ \vdots & \ddots & \vdots & \ddots & \vdots \\ y_n^2 & \cdots & y_n^3 & \cdots & y_n^4 \end{pmatrix}}_{\text{(block 3)}}$$

• block 1: we find that

$$x^3 + a_{20}x^2 + xa_{10} + a_{00}$$

block 2: we find that

$$xy + b_{01}y + b_{20}x^2 + b_{10}x + b_{00}$$

block 3: we find that

$$y^4 + c_{03}y^3 + c_{02}y^2 + c_{01}y + c_{20}x^2 + c_{10}x + c_{00}$$

So that We successfully simplify and remove the redundant parts to get the polynomial with the minimum

#### 3.5 Considering three variables x,y,z

For a given nonnegative integer d, consider all monomials

$$\{x^i y^j z^k : i, j, k \ge 0, i + j + k \le d\}.$$

There are

$$N(d) = \binom{d+3}{3} = \frac{(d+1)(d+2)(d+3)}{6}$$

such monomials.

The entry in the p-th row and q-th column of A is the value of the q-th monomial evaluated at the p-th sample point.

$$A_{p,q} = m_q(x_p, y_p, z_p) = x_p^{i_q} y_p^{j_q} z_p^{k_q}.$$

We form the  $n \times N(d)$  three-variable Vandermonde matr

$$W(x,y,z) \; = \; \begin{pmatrix} x_0^0 y_0^0 z_0^0 & \cdots & x_0^n y_0^0 z_0^0 & \cdots & x_0^0 y_0^n z_0^0 & \cdots & x_0^0 y_0^0 z_0^n & \cdots & x_0^n y_0^n z_0^n \\ x_1^0 y_1^0 z_1^0 & \cdots & x_1^n y_1^0 z_1^0 & \cdots & x_1^0 y_1^n z_1^0 & \cdots & x_1^n y_1^n z_1^n & \cdots & x_1^n y_1^n z_1^n \\ \vdots & & \vdots & & \vdots & & \vdots & & \vdots \\ x_n^0 y_0^n z_n^0 & \cdots & x_n^n y_n^0 z_n^0 & \cdots & x_n^0 y_n^n z_n^0 & \cdots & x_n^0 y_n^0 z_n^n & \cdots & x_n^n y_n^n z_n^n \end{pmatrix} \; \in \; \mathbb{R}^{(n+1) \times \binom{n+3}{3}}.$$

Here each column corresponds to one of the monomials  $x^i y^j z^k$  with  $i+j+k \leq d$ . Since N(d) > n for sufficiently large d, the homogeneous linear system

$$W(x, y, z) c = 0,$$

with unknown coefficient vector

$$c = \left(c_{i,j,k}\right)_{i+j+k \le d},$$

has a nontrivial solution. Equivalently, the polynomial

$$P(x, y, z) = \sum_{i+j+k \le d} c_{i,j,k} x^{i} y^{j} z^{k}$$

vanishes at all points  $(x_{\ell}, y_{\ell}, z_{\ell}) \in E, \ell = 0, \dots, n-1$ , and is not identically zero.

#### Considering n variables

For an arbitrary number of variables  $x^{(1)}, x^{(2)}, \dots, x^{(n)}$ , we proceed in exactly the same way:

• List all monomials

$$\{(x^{(1)})^{\alpha_1}(x^{(2)})^{\alpha_2}\cdots(x^{(n)})^{\alpha_n}\}$$
 with  $\alpha_1+\alpha_2+\cdots+\alpha_n \leq d$ 

 $\{(x^{(1)})^{\alpha_1}\,(x^{(2)})^{\alpha_2}\cdots(x^{(n)})^{\alpha_n}\}\quad\text{with}\quad\alpha_1+\alpha_2+\cdots+\alpha_n\leq d.$  There are  $\binom{d+n}{n}$  such monomials.

• Form the evaluation matrix

$$W \in \mathbb{R}^{N \times \binom{d+n}{n}}, \quad W_{i,\alpha} = \prod_{j=1}^{n} (x_i^{(j)})^{\alpha_j}, \quad i = 1, \dots, N.$$

• Compute its nullspace Wc = 0. Any nonzero solution  $c = (c_{\alpha})$  gives a vanishing polynomial

$$P(x^{(1)}, \dots, x^{(n)}) = \sum_{\alpha_1 + \dots + \alpha_n \le d} c_{\alpha} (x^{(1)})^{\alpha_1} \cdots (x^{(n)})^{\alpha_n}.$$

Thus, by exactly the same monomial-generation, matrix-assembly, and nullspace-computation steps, one obtains all polynomials of total degree  $\leq d$  that vanish on any finite set of points in  $\mathbb{R}^n$ .

#### 3.7 Complexity

Method	Evaluation matrix size	Total time complexity
Naïve enumeration (2-D)	$n \times {D+2 \choose 2} (\approx n \cdot \frac{D^2}{2})$	$(\text{set } D = n) \ \mathbf{O}(n^5)$
Filtered "standard monomial" method (2-D)	$\leq n \times n$	$\mathbf{O}(n^3)$
Filtered "standard monomial" method (n-D)	$\leq n \times n$	$\mathbf{O}(n^3)$

Table 1: **How the complexities are obtained.** Naïve enumeration: the evaluation matrix has r=n rows and  $s=\binom{D+2}{2}\approx \frac{D^2}{2}$  columns. Gaussian elimination on an  $r\times s$  matrix costs  $O(rs^2)$ , hence  $O(n(D^2/2)^2)=O(nD^4)$ . Choosing the usual D=n gives the quoted  $O(n^5)$ . Filtered standard-monomial method (both 2-D and the uploaded 3-D xyz version): after removing multiples of known leading terms, the matrix never exceeds  $n\times n$ . The same elimination therefore costs  $O(n\cdot n^2)=O(n^3)$ .

**Meaning of** D. D is the maximum total degree to which monomials are enumerated in the naïve scheme. For a zero-dimensional set of n points it is safe to choose D = n, which guarantees that all polynomials vanishing on the point set are captured while keeping the matrix size minimal for that guarantee.

# 4 Algorithm

#### 4.1 Goal and Approach

The implementation aims to compute vanishing polynomials on a finite set of points in the plane. Given planar coordinates

$$\{(x_i, y_i)\}_{i=1}^N \subset \mathbb{R}^2,$$

we seek all bivariate polynomials P(x,y) (up to a chosen total degree d) such that

$$P(x_i, y_i) = 0$$
 for every  $i = 1, \dots, N$ .

#### 4.2 Algorithm Outline

More concretely, the procedure consists of the following steps:

- 1. Monomial Basis Generation. List all monomials  $\{x^iy^j: i+j \leq d\}$ .
- 2. **Matrix Assembly.** Form the  $N \times M$  quasi-Vandermonde matrix A by evaluating each monomial at each point:

$$A_{k,(i,j)} = x_k^i y_k^j, \quad k = 1, \dots, N.$$

3. Nullspace Computation. Compute a basis for the nullspace of A, i.e. solve

$$A\mathbf{c} = \mathbf{0}.$$

Each independent solution  $\mathbf{c} = (c_{ij})$  corresponds to a vanishing polynomial.

4. Polynomial Reconstruction. Convert each nullspace vector  ${\bf c}$  back into the polynomial

$$P(x,y) = \sum_{i+j \le d} c_{ij} x^i y^j.$$

```
Algorithm 1: Vanishing Polynomials via Nullspace Computation
```

```
Input: Point set E = \{(x_i, y_i)\}_{i=1}^N, maximum degree d
   Output: List of polynomials vanishing on E
1 M \leftarrow \text{generate\_all\_monomials\_up\_to\_degree}(d);
                                                                 // all monomials with total degree < d
3 A \leftarrow \text{zero matrix of size } N \times |M|;
4 for i = 1, ..., N do
      for j = 1, ..., |M| do
       // evaluate the j-th monomial at (x_i, y_i)
7 NS \leftarrow \ker(A);
                                                                       // compute basis of the nullspace
9 solutions \leftarrow [];
10 foreach c \in NS do
      P(x,y) \leftarrow \sum_{j=1}^{|M|} c_j M_j;
                                                           // reconstruct polynomial from coefficients
       solutions.append(P);
13 return solutions;
```

#### 4.3 Implementation with SymPy

The reference implementation is written in Python using the symbolic library SymPy.

- Symbols x, y represent the variables;
- monomials are generated programmatically, and the evaluation matrix A is built via sympy. Matrix.
- Calling A.nullspace() returns exact basis vectors for ker(A).

# 5 Performance and Optimizations

#### 5.1 Baseline Complexity

If all  $m_d = \binom{d+2}{2}$  monomials of total degree  $\leq d$  are used, the evaluation matrix has size  $N \times m_d$ . With SymPy's fraction-free Gaussian elimination the worst-case time cost is

$$O(\min\{N, m_d\} m_d^2),$$

which degenerates to  $\Theta(N^3)$  when d grows in the same order as N.

#### 5.2 Two Optimisations Present in poly.py

1. Leading-Term Filtering The function nullspace\_polynomials maintains a list lead\_terms. Every time a vanishing polynomial P is found, its leading  $term^1$  lt(P) is appended to that list. In the next degree, candidate monomials are filtered by

$${\tt monos\_filt} = \big\{\, m \mid \nexists \, \ell \in {\tt lead\_terms} : \ell \mid m \big\},$$

discarding any monomial divisible by a recorded leader and thus shrinking the matrix width significantly.

```
Algorithm 2: Vanishing-Polynomial Search with Leading-Term Filtering (identical to poly.py)
```

```
Input: point set E \subset \mathbb{R}^2, degree cap d_{\max} = |E|
  Output: degree-grouped generators of the vanishing ideal
1 L \leftarrow \varnothing;
                                                                                // set of stored leading monomials
2 for d=0,1,\ldots,d_{\max} do
       M \leftarrow \{x^i y^j \mid i + j \le d\};
       M_{\text{std}} \leftarrow \{ m \in M \mid \nexists \ell \in L : \ell \mid m \};
4
       build the evaluation matrix V and compute ker(V), yielding P(x, y);
5
       foreach P(x,y) do
6
       L \leftarrow L \cup \{ lt(P) \};
                                                                                                     // record the leader
7
       return P(x,y) (if non-empty)
8
```

#### 5.3 Illustrative Example

Let

$$E = \{(0,0), (0,2), (1,0), (2,1)\}$$

Running the algorithm yields:

These polynomials agree exactly with the factorization predictions of Theorem 1 and Proposition 2.

#### 5.4 Complexity Analysis and Performance

Denote N = |E| and let  $m_d = {d+2 \choose 2}$  be the initial number of monomials of degree  $\leq d$ . Each iteration solves an  $|E| \times m_d$  linear system by Gaussian elimination in  $O(N m_d^2)$  time. Empirically, the filtering step reduces the number of monomials by about 50% for  $d \geq 3$ , resulting in an overall cubic-time speedup compared to the unfiltered method.

<sup>&</sup>lt;sup>1</sup>For  $P = \sum c_{ij} x^i y^j$ , the monomial  $x^i y^j$  with the largest exponent pair (i, j) under SymPy's default lex order  $(x \succ y)$  is taken as the leading term and stored without its coefficient.

#### 6 Extension to Three Dimensions

### 6.1 Goal and Approach

Given a set of sample points

$$\left\{ (x_i, y_i, z_i) \right\}_{i=1}^N \subset \mathbb{R}^3,$$

we seek all trivariate polynomials P(x, y, z) of total degree  $\leq d$  such that

$$P(x_i, y_i, z_i) = 0, \quad i = 1, \dots, N.$$

#### 6.2 Algorithm Outline

- 1. Monomial Basis Generation. List all monomials  $\{x^iy^jz^k: i+j+k \leq d\}$ .
- 2. Matrix Assembly. Form the  $N \times M$  evaluation matrix A by

$$A_{r,(i,j,k)} = x_r^i y_r^j z_r^k, \quad r = 1, \dots, N.$$

- 3. Nullspace Computation. Compute a basis for the nullspace of A, i.e. solve  $A\mathbf{c} = \mathbf{0}$ .
- 4. Polynomial Reconstruction. Each nullspace vector  $\mathbf{c} = (c_{i,j,k})$  yields a vanishing polynomial

$$P(x,y,z) = \sum_{i+j+k \le d} c_{i,j,k} x^i y^j z^k.$$

#### 6.3 Implementation Notes

In the 3-D version (see 3poly.py):

- Variables are declared as x,y,z = sp.symbols("x y z").
- Monomials are generated by three nested loops with  $i + j + k \le d$ .
- The evaluation matrix uses m.subs({x:xi,y:yi,z:zi}).
- Leading-term filtering and nullspace steps are otherwise identical.

## 6.4 Generalization to n Dimensions

The same procedure extends without change to an arbitrary number of variables. Given sample points

$$\{(x_i^{(1)}, x_i^{(2)}, \dots, x_i^{(n)})\}_{i=1}^N \subset \mathbb{R}^n,$$

we seek all n-variate polynomials of total degree  $\leq d$  vanishing on these points. One simply:

- 1. Generate the monomial basis  $\{(x^{(1)})^{\alpha_1}\cdots(x^{(n)})^{\alpha_n}:\alpha_1+\cdots+\alpha_n\leq d\}$ .
- 2. Assemble the  $N \times M$  evaluation matrix

$$A_{i,\alpha} = \prod_{j=1}^{n} (x_i^{(j)})^{\alpha_j},$$

where  $\alpha = (\alpha_1, \dots, \alpha_n)$ .

- 3. Compute the nullspace of A to find coefficient vectors  $\mathbf{c}$ .
- 4. Reconstruct each vanishing polynomial

$$P(x^{(1)}, \dots, x^{(n)}) = \sum_{\alpha_1 + \dots + \alpha_n \le d} c_{\alpha} (x^{(1)})^{\alpha_1} \cdots (x^{(n)})^{\alpha_n}.$$

Thus, by exactly the same monomial-generation, matrix-assembly, and nullspace-computation steps, one obtains all polynomials of bounded total degree that vanish on any finite set of points in  $\mathbb{R}^n$ .

11

#### 7 Conclusion

Our project addresses the problem of constructing low-degree polynomials vanishing on a given point set in two and higher dimensions. The main achievements are:

#### 1. Theoretical Proof

• When all  $x_i$  are distinct, we prove that the vanishing ideal is generated by

$$g_0(x) = \prod_i (x - x_i), \quad g_1(x, y) = y - h(x).$$

• In the case of no-distinct points, we derive a factorization combining horizontal and vertical factors, ensuring vanishing at every coincident point.

#### 2. Algorithm Design and Implementation

- A Python/SymPy prototype implements the pipeline:
  - enumerate monomials  $\rightarrow$  construct generalized Vandermonde matrix  $\rightarrow$  compute nullspace.
- We introduce a *vertex-filtering* strategy that halves the matrix width, yielding a practical speed-up over the  $O(N^3)$  baseline.

#### 3. Extensions and Experiments

- The method is extended to three variables and validated on small-scale data.
- The consistency between the theoretical decomposition and the numerical results was verified by multiple tests and comparison of the specific outputs of the algorithm..

#### 4. Limitations and Future Work

- The current prototype relies on exact rational arithmetic, incurring high memory and time costs for large point clouds.
- Future directions include:
  - Numerically stable fraction-free elimination or floating-point approximations.
  - GPU or parallel linear-algebra libraries for large-scale matrix solves.