

PROJECT

M1 CCA

Polynomial interpolation in two variables

Group member : Long Qian & Yudi Sun

Supervisor: Jérémie Berthomieu

CONTENT

01

INTRODUCTION

02

VANDERMONDE METHOD & ALGORITHM

03

OPTIMIZATIONS & ALGORITHM

04

EXTENSION TO N DIMENSIONS

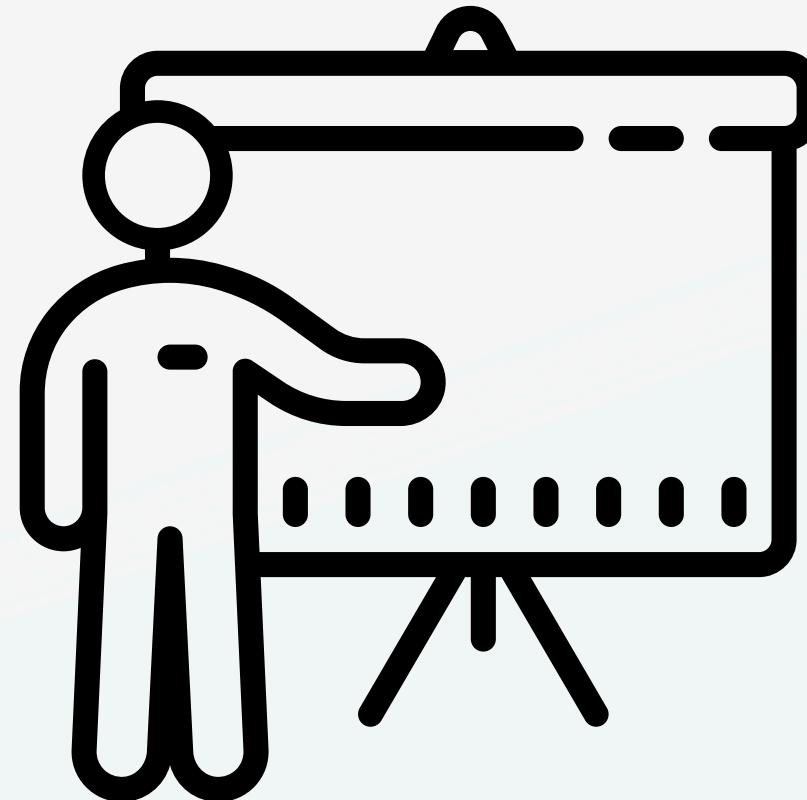
05

CONCLUSION

INTRODUCTION

Our project is dedicated to studying Polynomial interpolation in two variables:

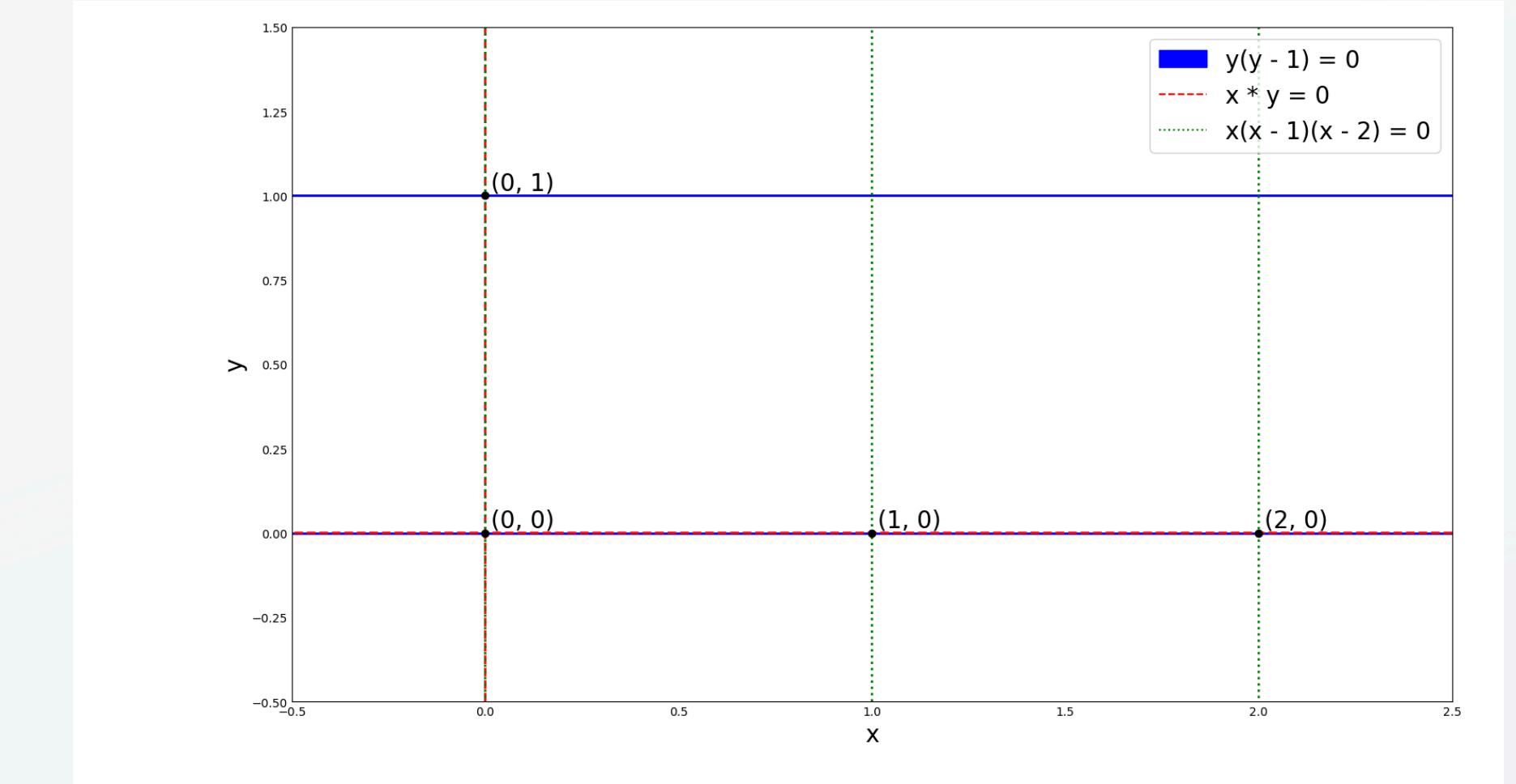
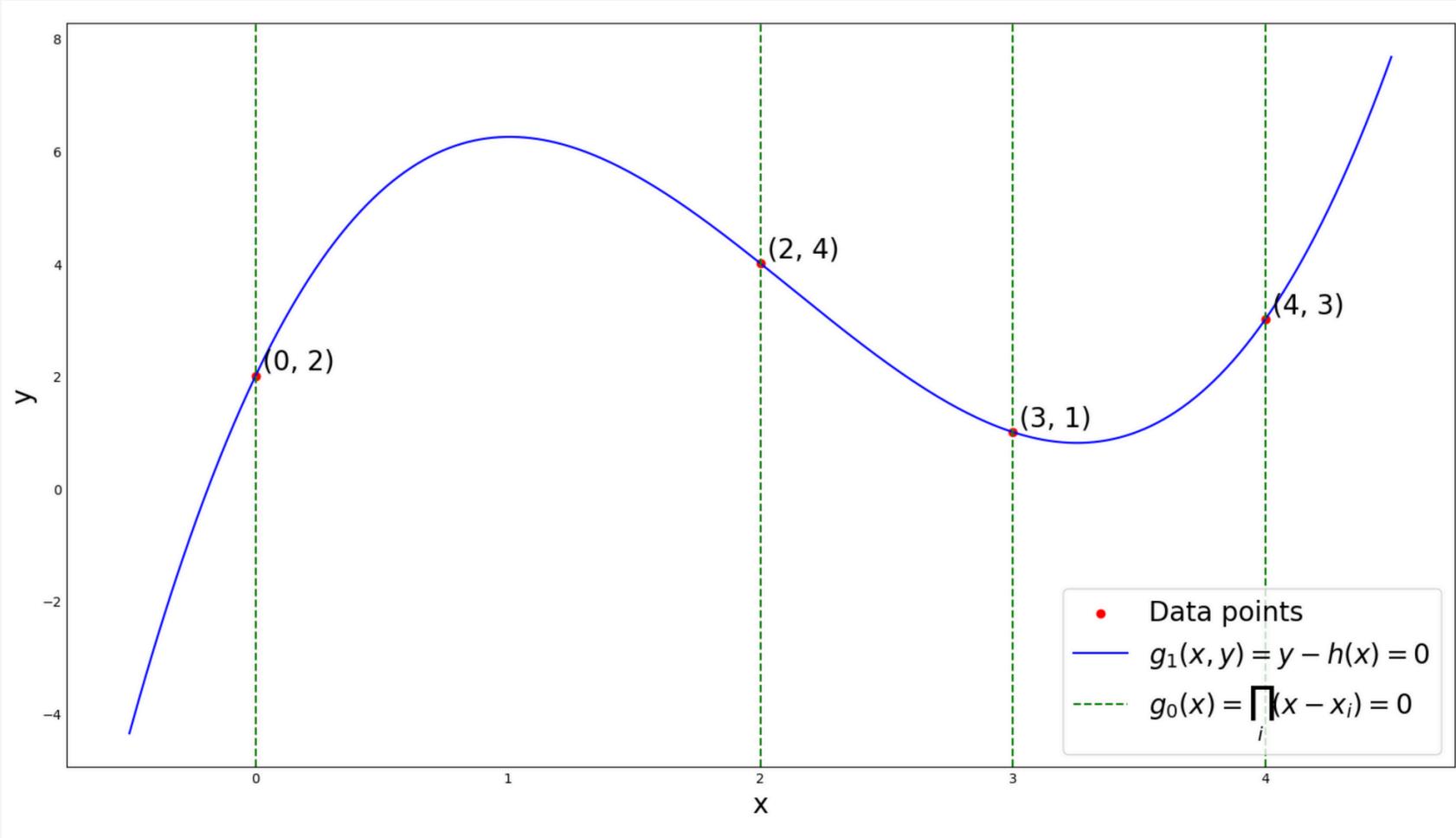
- Studying the polynomials of vanish on a set in the distinct and no-distinct cases.
- Finding polynomials of low total degree under general conditions.
- Extension to $N \geq 3$ variable.



Example:

$$g_0 = \prod_{i=0}^{n-1} (x - x_i) \quad \text{and} \quad g_1 = y - h(x)$$

$$E = \{(x_0, y_0), (x_1, y_1), \dots, (x_{n-2}, y_{n-2}), (x_{n-1}, y_{n-1}), (x_n, y_n)\}$$



VANDERMONDE METHOD

Two variable (x,y) (under general conditons)

$$p(x, y) = g(x)q(x) + (y - h(x))(x - x_n)q_1(x, y) + (y - h_1(x))(y - h_2(x))q_2(x, y).$$

$$[W(x, y)] = \begin{pmatrix} x_0^0 & x_0^1 & \cdots & x_0^n & y_0 x_0^0 & y_0 x_0^1 & \cdots & y_0 x_0^n & \cdots & y_0^n x_0^n \\ x_1^0 & x_1^1 & \cdots & x_1^n & y_1 x_1^0 & y_1 x_1^1 & \cdots & y_1 x_1^n & \cdots & y_1^n x_1^n \\ \vdots & \vdots & \ddots & \vdots & \vdots & \vdots & \ddots & \vdots & \ddots & \vdots \\ x_n^0 & x_n^1 & \cdots & x_n^n & y_n x_n^0 & y_n x_n^1 & \cdots & y_n x_n^n & \cdots & y_n^n x_n^n \end{pmatrix} \in \mathbb{R}^{(n+1) \times (n+1)^2}$$

Example (3 points) :

$$(x_0, y_0) = (1, 0), \quad (x_1, y_1) = (2, 0), \quad (x_2, y_2) = (0, 1).$$

$$W = \begin{pmatrix} x_0^0 & x_0^1 & x_0^2 & y_0 x_0^0 & y_0 x_0^1 & y_0 x_0^2 & y_0^2 x_0^0 & y_0^2 x_0^1 & y_0^2 x_0^2 \\ x_1^0 & x_1^1 & x_1^2 & y_1 x_1^0 & y_1 x_1^1 & y_1 x_1^2 & y_1^2 x_1^0 & y_1^2 x_1^1 & y_1^2 x_1^2 \\ x_2^0 & x_2^1 & x_2^2 & y_2 x_2^0 & y_2 x_2^1 & y_2 x_2^2 & y_2^2 x_2^0 & y_2^2 x_2^1 & y_2^2 x_2^2 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 2 & 4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

Example (3 points) :

$$(x_0, y_0) = (1, 0), \quad (x_1, y_1) = (2, 0), \quad (x_2, y_2) = (0, 1).$$

$$W = \begin{pmatrix} x_0^0 & x_0^1 & x_0^2 & y_0 x_0^0 & y_0 x_0^1 & y_0 x_0^2 & y_0^2 x_0^0 & y_0^2 x_0^1 & y_0^2 x_0^2 \\ x_1^0 & x_1^1 & x_1^2 & y_1 x_1^0 & y_1 x_1^1 & y_1 x_1^2 & y_1^2 x_1^0 & y_1^2 x_1^1 & y_1^2 x_1^2 \\ x_2^0 & x_2^1 & x_2^2 & y_2 x_2^0 & y_2 x_2^1 & y_2 x_2^2 & y_2^2 x_2^0 & y_2^2 x_2^1 & y_2^2 x_2^2 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 2 & 4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

1. Let:

$$\mathbf{c} = \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \\ c_5 \\ c_6 \\ c_7 \\ c_8 \\ c_9 \end{pmatrix}.$$

2. Then:

$$W\mathbf{c} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 2 & 4 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} c_1 \\ c_2 \\ c_3 \\ c_4 \\ c_5 \\ c_6 \\ c_7 \\ c_8 \\ c_9 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix}.$$

3. Expand into individual equations:

$$\begin{cases} c_1 + c_2 + c_3 = 0, \\ c_1 + 2c_2 + 4c_3 = 0, \\ c_1 + c_4 + c_7 = 0. \end{cases}$$

4. find the polynomials:

The vanishing ideal of $p(x,y)$ is:

$$\{y^2 - y, xy, x^2 - 3x - 2y + 2\}$$

OPTIMIZATIONS

Example:

$$x^3 + a_{20}x^2 + a_{10}x + a_{00} = 0$$

$$xy + b_{01}y + b_{20}x^2 + b_{10}x + b_{00} = 0$$

$$y^4 + c_{03}y^3 + c_{02}y^2 + c_{01}y + c_{20}x^2 + c_{10}x + c_{00} = 0$$

$$\begin{array}{c} \left(\begin{array}{cccc} 1 & x_0 & x_0^2 & x_0^3 \\ 1 & x_1 & x_1^2 & x_1^3 \\ \vdots & \vdots & \vdots & \vdots \\ 1 & x_n & x_n^2 & x_n^3 \end{array} \right) \quad \left(\begin{array}{ccc} y_0 & y_0x_0 & y_0x_0^2 \\ y_1 & y_1x_1 & \cancel{y_1x_1^2} \\ \vdots & \vdots & \vdots \\ y_n & y_nx_n & y_nx_n^2 \end{array} \right) \quad \left(\begin{array}{cccc} y_0^2 & \cdots & y_0^3 & \cdots & y_0^4 \\ y_1^2 & \cdots & y_1^3 & \cdots & y_1^4 \\ \vdots & \cdots & \vdots & \cdots & \vdots \\ y_n^2 & \cdots & y_n^3 & \cdots & y_n^4 \end{array} \right) \\ \text{(block 1)} \qquad \qquad \qquad \text{(block 2)} \qquad \qquad \qquad \text{(block 3)} \end{array}$$

Algorithm:

- Global record

- $L \leftarrow \emptyset$: the set of leading monomials we have found.
Use L to skip monomials that are already covered.

- Monomial sets

- $M = \{x^i y^j \mid i + j \leq d\}$: all monomials of total degree at most d .
- $M_{\text{std}} = \{m \in M \mid \nexists \ell \in L : \ell \mid m\}$: Removing from M any monomial divisible by L .



In the optimization process, if an element like x^2y can be replaced by a previously known expression, then we can remove that element.

OPTIMIZATIONS EXAMPLE:

$$E = \{(0,0), (1,0), (2,0), (0,1)\}, \quad \text{variables } x, y.$$

Degree 0

$$M_0 = \{1\}.$$

$$M_{\text{std}} = M_0,$$

- **Evaluation matrix**

$$V_0 = \begin{pmatrix} 1 \\ 1 \\ 1 \\ 1 \end{pmatrix}$$

Degree 1

$$M_1 = \{1, x, y\}.$$

$$M_{\text{std}} = \{1, x, y\},$$

- **Evaluation matrix** (4×3)

$$V_1 = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 1 & 2 & 0 \\ 1 & 0 & 1 \end{pmatrix}.$$

Degree 2

$$M_2 = \{1, x, y, x^2, xy, y^2\}.$$

$$M_{\text{std}} = M_2,$$

- **Evaluation matrix** (4×6 ; columns ordered $1, x, y, x^2, xy, y^2$):

A basis of $\ker V_2$ gives two polynomials

$$y - y^2, \quad xy.$$

- **Leading terms** (lex order $x > y$): $\ell_1 = xy, \ell_2 = y^2$. Record

$$L = \{xy, y^2\}.$$

OPTIMIZATIONS EXAMPLE:

Degree 3

- All monomials

$$M_3 = \{1, x, y, x^2, xy, y^2, x^3, x^2y, xy^2, y^3\}$$

- Standard monomials Remove any monomial divisible by xy or y^2 :

$$M_{\text{std}} = \{1, x, y, x^2, x^3\}.$$

- Evaluation matrix (4 × 5; columns 1, x , y , x^2 , x^3):

$$V_3 = \begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 & 1 \\ 1 & 2 & 0 & 4 & 8 \\ 1 & 0 & 1 & 0 & 0 \end{pmatrix}.$$

Taking $c_4 = 1$ yields the (unique) polynomial

$$x^3 - 3x^2 + 2x = x(x - 1)(x - 2).$$

- Leading term $\ell_3 = x^3$. Update

$$L = \{xy, y^2, x^3\}.$$

Degree 4

- All monomials number 15. After removing those divisible by xy , y^2 or x^3 :

$$M_{\text{std}} = \{1, x, y, x^2\}.$$

- Evaluation matrix (4 × 4; columns 1, x , y , x^2):

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 2 & 0 & 4 \\ 1 & 0 & 1 & 0 \end{pmatrix}.$$

- The determinant is non-zero, so $\ker = 0$.

Final set of generators

$$\boxed{\{xy, y - y^2, x^3 - 3x^2 + 2x\}} = \{xy, y(1 - y), x(x - 1)(x - 2)\}.$$

EXTENSION TO N>=3 VARIABLES

Three variable (x,y,z) (no-distinct under general conditons)

Given nonnegative integer d, consider all monomials:

$$\{ x^i y^j z^k : i, j, k \geq 0, i + j + k \leq d \}$$

$$N(d) = \binom{d+3}{3} = \frac{(d+1)(d+2)(d+3)}{6}$$

Hence we have the matrix:

$$W(x, y, z) = \begin{pmatrix} x_0^0 y_0^0 z_0^0 & \cdots & x_0^n y_0^0 z_0^0 & \cdots & x_0^0 y_0^n z_0^0 & \cdots & x_0^0 y_0^0 z_0^n & \cdots & x_0^n y_0^n z_0^n \\ x_1^0 y_1^0 z_1^0 & \cdots & x_1^n y_1^0 z_1^0 & \cdots & x_1^0 y_1^n z_1^0 & \cdots & x_1^0 y_1^0 z_1^n & \cdots & x_1^n y_1^n z_1^n \\ \vdots & & \vdots & & \vdots & & \vdots & & \vdots \\ x_n^0 y_n^0 z_n^0 & \cdots & x_n^n y_n^0 z_n^0 & \cdots & x_n^0 y_n^n z_n^0 & \cdots & x_n^0 y_n^0 z_n^n & \cdots & x_n^n y_n^n z_n^n \end{pmatrix} \in \mathbb{R}^{(n+1) \times \binom{n+3}{3}}.$$

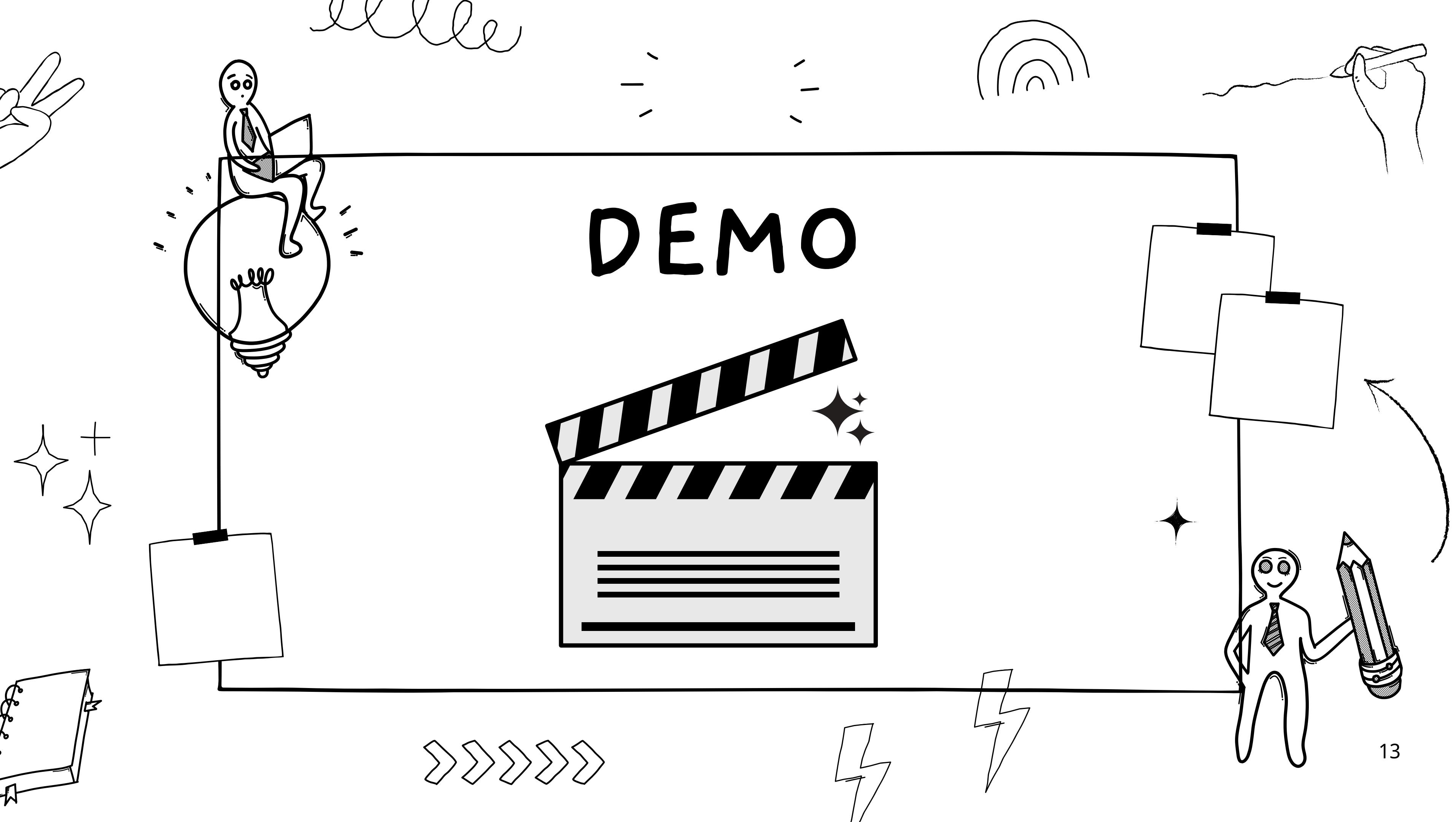
Similarly, we can extend it to n variables ...

COMPLEXITY

Method	Evaluation matrix size	Total time complexity
Naïve enumeration	$n \times \binom{D+2}{2} (\approx n \cdot \frac{D^2}{2})$	(set $D = n$) $\mathbf{O}(n^5)$
Filtered “standard monomial” method (2-D)	$\leq n \times n$	$\mathbf{O}(n^3)$
Filtered “standard monomial” method (3-D, xyz script)	$\leq n \times n$	$\mathbf{O}(n^3)$

CONCLUSION

- Theoretical Contributions
- Algorithm & Implementation
- Extensions & Verification
- Limitations & Future Work



**THANK'S FOR
WATCHING**

