

# Reading Seminar on Homogeneous Dynamics (2023 Spring)

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## §1 Introduction (Pengyu Yang, Mar 17)

### Arithmetic & Super-rigidity

Let  $\mathbb{G}$  be a connected semisimple algebraic  $\mathbb{Q}$ -group.

**Theorem 1.1 (Borel-Harish-Chandra)**  $\mathbb{G}(\mathbb{Z})$  is a lattice in  $\mathbb{G}(\mathbb{R})$ .

**Definition 1.2.** We say  $\Gamma, \Gamma' \subset \mathbb{G}$  are **commensurable** if

$$[\Gamma : \Gamma \cap \Gamma'] < \infty, \quad [\Gamma' : \Gamma \cap \Gamma'] < \infty$$

**Definition 1.3 (Restriction of scalar).** Let  $[k : \mathbb{Q}] = d$  and  $\mathbb{G}$  be a  $k$ -group. The restriction  $R_{k/\mathbb{Q}}\mathbb{G}$  is a  $\mathbb{Q}$ -group such that for every  $k \subset K$ ,

$$R_{k/\mathbb{Q}}\mathbb{G}(K) \cong \mathbb{G}^{\sigma_1}(K) \times \mathbb{G}^{\sigma_2}(K) \times \cdots \times \mathbb{G}^{\sigma_d}(K)$$

where  $\sigma_i : k \hookrightarrow \mathbb{C}$  are embeddings.

**Remark 1.4** —  $R_{k/\mathbb{Q}}\mathbb{G}(\mathbb{Q}) \cong \mathbb{G}(k)$ ,  $R_{k/\mathbb{Q}}\mathbb{G}(\mathbb{Z}) \cong \mathbb{G}(\mathcal{O}_k)$ .

**Definition 1.5.** Let  $G$  be a connected semisimple real Lie group with trivial center and no compact factor. Let  $\Gamma \subset G$  be a lattice. We say  $\Gamma$  is **arithmetic** if there exists a semisimple algebraic  $\mathbb{Q}$ -group  $\mathbb{H}$  such that there is a surjective  $\varphi : \mathbb{H}(\mathbb{R})^0 \rightarrow G$  with compact kernel such that  $\varphi(\mathbb{H}(\mathbb{Z}) \cap \mathbb{H}(\mathbb{R})^0)$  is commensurable with  $\Gamma$ .

#### Example 1.6

1.  $G = \mathrm{SL}(n, \mathbb{R})$  and  $\Gamma = \mathrm{SL}(n, \mathbb{Z})$  or congruence subgroups.
2.  $G = \mathrm{Sp}(2n, \mathbb{R})$  and  $\Gamma = \mathrm{Sp}(2n, \mathbb{Z})$ .
3.  $B = \mathbb{Q}(2, 3) := \langle i, j \mid i^2 = 2, j^2 = 3, ij = -ji \rangle$ . Then  $B \otimes_{\mathbb{Q}} \mathbb{R} \cong \mathrm{Mat}(2, \mathbb{R})$ . Let

$$\mathbb{G} = B^{(1)} := \{a + bi + cj + dij : a^2 - 2b^2 - 3c^2 + 6d^2 = 1\}.$$

Then  $\mathbb{G}(\mathbb{R}) \cong \mathrm{SL}(2, \mathbb{R})$  given by  $i \mapsto \begin{bmatrix} \sqrt{2} & \\ & -\sqrt{2} \end{bmatrix}$  and  $j \mapsto \begin{bmatrix} & 1 \\ 3 & \end{bmatrix}$ . Then  $\mathbb{G}(\mathbb{Z})$  is a cocompact arithmetic lattice in  $\mathrm{SL}(2, \mathbb{R})$ , which is not commensurable with  $\mathrm{SL}(2, \mathbb{Z})$ .

4.  $G = \mathrm{SL}(2, \mathbb{R}) \times \mathrm{SL}(2, \mathbb{R})$ ,  $\Gamma = \mathrm{SL}(2, \mathbb{Z}[\sqrt{2}])$ , we consider the embedding  $\Gamma \hookrightarrow G$  given by  $A \mapsto (A, {}^\sigma A)$ . The restriction of scalar  $\mathbb{G} = R_{\mathbb{Q}(\sqrt{2})/\mathbb{Q}}\mathrm{SL}(2, \mathbb{Q}(\sqrt{2}))$ .
5.  $G = \mathrm{SL}(2, \mathbb{C})$ ,  $\Gamma = \mathrm{SL}(2, \mathbb{Z}[\sqrt{-1}])$ ,  $\mathbb{G} = R_{\mathbb{Q}(\sqrt{-1})/\mathbb{Q}}\mathrm{SL}(2, \mathbb{Q}(\sqrt{-1}))$ .
6. Let  $J = x_1^2 + x_2^2 + (1 - \sqrt{2})x_3^2$ . Let  $G = \mathrm{SO}(J)(\mathbb{R})^0 \cong \mathrm{SO}(2, 1)^0$ . Let  $\mathbb{H} = R_{\mathbb{Q}(\sqrt{2})/\mathbb{Q}}\mathrm{SO}(J)$ . Then  $\mathbb{H}(\mathbb{R}) \approx G \times \mathrm{SO}(x_1^2 + x_2^2 + (1 + \sqrt{2})x_3^2)(\mathbb{R}) \cong G \times \mathrm{SO}(3)$ .

#### Theorem 1.7 (Margulis Arithmeticity)

Let  $G$  be a semisimple real Lie group with  $\mathrm{rank}_{\mathbb{R}} G \geq 2$  without compact factor. Let  $\Gamma \subset G$  be an irreducible lattice. Then  $\Gamma$  is a arithmetic.

**Theorem 1.8 (Margulis Super-rigidity)**

Let  $G$  be a semisimple real Lie group with  $\text{rank}_{\mathbb{R}} G \geq 2$ . Assume that  $G$  is with trivial center and no compact factor. Let  $\Gamma \subset G$  be an irreducible lattice. Let  $H = \mathbb{H}(k)$  be a connected simple  $k$ -group where  $k$  is a local field  $(\mathbb{R}, \mathbb{C}, \mathbb{Q}_p, \dots)$ . Let  $\varphi : \Gamma \rightarrow H$  be a homomorphism such that  $\varphi(\Gamma)$  is Zariski dense and unbounded. Then  $\varphi$  extends to  $G$ , that is,  $\exists \psi : G \rightarrow H$  continuous such that  $\psi|_{\Gamma} = \varphi$ .

**Remark 1.9** — Margulis Super-rigidity  $\implies$  Margulis Arithmeticity.

**Real rank one case**

$X = G/K$	$\mathbb{H}^n$	$\mathbb{C}\mathbb{H}^n$	$\mathbb{H}\mathbb{H}^n$	$\mathbb{O}\mathbb{H}^n$
$G$	$\text{SO}(n, 1)^0$	$\text{SU}(n, 1)$	$\text{Sp}(n, 1)$	$F_4^{-20}$
$K$	$\text{SO}(n)$	$U(n)$	$\text{Sp}(n)$	$\text{Spin}(9)$

**$\text{SO}(2, 1)$  case.**  $G = \text{PSL}(2, \mathbb{R}) \cong \text{SO}(2, 1)^0 \cong \text{Isom}(\mathbb{H}^2)^+$ . Let  $\Gamma = \pi_1(\Sigma_g)$ . We consider

$$\mathcal{M}_g := \text{Hom}(\Gamma, G) / \sim = \{\text{hyperbolic structure on } S\} = \{\text{complex structure on } S\}.$$

$\mathcal{M}_g$  is a complex orbifold of complex dimension  $3g - 3$ . There is no rigidity.

**$\text{SO}(n, 1)$  case for  $n \geq 3$ .** There is some rigidity.

**Theorem 1.10 (Mostow strong rigidity)**

Let  $M, N$  be compact hyperbolic  $n$ -manifolds. Let  $\varphi : M \rightarrow N$  be a homotopy equivalence. Then there exists an isometry  $\psi : M \rightarrow N$  which is homotopic to  $\varphi$ .

**Theorem 1.11 (Gromov-Piatetski-Shapiro)**

For every  $n \geq 3$ ,  $\text{SO}(n, 1)$  contains infinitely many commensurable classes of non-arithmetic lattices.

**$\text{Sp}(n, 1)$  case and  $F_4^{-20}$  case.**

**Theorem 1.12 (Corlette, Gromov-Shoen)**

Let  $G = \text{Sp}(n, 1)$  or  $F_4^{-20}$ . Every lattice  $\Gamma < G$  is arithmetic.

**$\text{SU}(n, 1)$  case.** The only known non-arithmetic lattices are for  $n = 2, 3$ . For the  $\text{SU}(2, 1)$  case, Mostow constructed reflection groups which are non-arithmetic. For the  $\text{SU}(3, 1)$  case, Deligne-Mostow constructed non-arithmetic lattices.

**This semester****Theorem 1.13** (Bader-Fisher-Miller-Stover, [BFMS21, Theorem 1.1])

Let  $\Gamma \subset \mathrm{SO}(n, 1)^0$  be a lattice. Suppose  $K \backslash G/\Gamma$  contains infinitely many maximal totally geodesic subspace of  $\dim \geq 2$ . Then  $\Gamma$  is arithmetic.

**Theorem 1.14** ([BFMS21, Theorem 1.5])

Let  $W = \mathrm{SO}(m, 1)^0 < G = \mathrm{SO}(n, 1)^0$  where  $1 < m < n$ . If there exists  $\{\mu_i\}$  a sequence of  $W$ -invariant ergodic probability measure on  $G/\Gamma$  such that  $\mu_i \xrightarrow{w^*} \mu_{G/\Gamma}$ . Then  $\Gamma$  is arithmetic.

**Theorem 1.15** (Super-rigidity, [BFMS21, Theorem 1.6])

Let  $W = \mathrm{SO}(m, 1)^0 < G = \mathrm{SO}(n, 1)^0$  where  $1 < m < n$ . Let  $k$  be a local field. Let  $\mathbb{H}$  be a connected  $k$ -algebraic group. Assume that  $(k, \mathbb{H})$  is compatible with  $G$ . Let  $\rho : \Gamma \rightarrow \mathbb{H}(k)$  be a homomorphism with unbounded and Zariski dense image. If there exists  $\mathbb{H} \rightarrow \mathrm{SL}(V)$  a  $k$ -representation on a  $k$ -vector space  $V$  and a  $W$ -invariant probability measure  $\nu$  on

$$(G \times \mathbb{P}(V))/\Gamma : \{(g, v) \sim (g\gamma, \rho(\gamma)^{-1}v)\}$$

such that  $\nu$  projects to  $\mu_{G/\Gamma}$ . Then  $\rho$  extends to  $G \rightarrow \mathbb{H}(k)$ .

- There are two good surveys about rigidity theory [S04] and [F22].
- We will follow a textbook by Zimmer [Z13] at the beginning in this semester.

**§2 Ergodic theory (Yuxiang Jiao, Mar 31)**

Setting

- $G$  locally compact second countable group.
- $S$  a Borel space (isomorphic to a complete separable metric space with Borel  $\sigma$ -algebra).
- $S$  is a  $G$ -space:  $G$  acts on  $S$  (measurably).
- A quasi-invariant measure  $\mu$  on  $S$ , that is, for every  $A \subset S, g \in G, \mu(Ag) = 0$  iff  $\mu(A) = 0$ .

**Definition 2.1.** The action is called **ergodic** if every  $G$ -invariant measurable subset of  $S$  is either null or conull.

**Example 2.2**

1.  $S = M$  a smooth manifold,  $G \subset \mathrm{Diff}(M)$ ,  $\mu \approx \mathrm{Leb}$  which is quasi-invariant.
2.  $H < G$  a closed subgroup,  $X = G/H$ . Then  $G \curvearrowright (X, \mu_X)$  is ergodic (by transitivity).
3.  $\mathrm{SL}(n, \mathbb{Z}) \curvearrowright \mathbb{R}^n$  is ergodic (by Fourier analysis).
4.  $X = \prod_{\mathbb{Z}} \{\pm 1\}$  a compact abelian group.  $H = \{x \in X : x_i = 1 \text{ for all but finitely many } i\}$ . Then  $H \curvearrowright X$  is ergodic (by Fourier analysis).
5.  $\Gamma = \mathrm{SL}(2, \mathbb{Z}) \curvearrowright \mathbb{RP}^1$ . Ergodic? Regard  $\mathbb{RP}^1 \cong \mathrm{SL}(2, \mathbb{R})/P$  where  $P = \{g : g \cdot \infty = \infty\}$ . We remark that there is no  $\Gamma$ -invariant measure on  $\mathbb{RP}^1$ . Proposition 2.3 helps to deal with this action.

## Moore's ergodicity theorem

**Proposition 2.3** ([Z13, Corollary 2.2.3])

Let  $H_1, H_2$  be closed subgroups of  $G$ . Then  $H_1 \curvearrowright G/H_2$  ergodic  $\iff H_2 \curvearrowright G/H_1$  ergodic.

*Proof.* Let  $S$  be a  $G$ -space and  $H \subset G$  a closed subgroup. Then  $H \curvearrowright S$  is ergodic iff  $G \curvearrowright (S \times G/H)$  is ergodic.  $\square$

**Definition 2.4.** Let  $G$  be a connected semisimple Lie group with finite center and  $\Gamma < G$  is a lattice. We say  $\Gamma$  **irreducible** if for every normal subgroup  $H \subset G$ ,  $\Gamma H/H$  is dense in  $G/H$ .

**Theorem 2.5** (Moore's ergodicity theorem, [Z13, Theorem 2.2.6])

Let  $G = \prod G_i$  be a finite product of connected non-compact simple Lie groups with finite center. Let  $\Gamma < G$  be an irreducible lattice. If  $H \subset G$  is a closed subgroup and  $H$  is not compact. Then  $H$  is ergodic on  $G/\Gamma$ .

**Example 2.6**  $\mathrm{SL}(n, \mathbb{Z})$  acts ergodically on  $\mathbb{RP}^{n-1}$ .

**Example 2.7**  $\mathrm{SL}(n, \mathbb{Z})$  acts ergodically on  $(\mathbb{R}^n, \mathrm{Leb})$ . Since  $\mathbb{R}^n \setminus \{0\} \cong \mathrm{SL}(n, \mathbb{R})/H$ .

**Definition 2.8.** Let  $G$  be a finite product of connected non-compact simple Lie groups with finite center. Let  $S$  be an ergodic  $G$ -space with finite invariant measure. We say the action is **irreducible** if for every non-central normal subgroup  $N \subset G$ ,  $N$  is ergodic on  $S$ .

**Proposition 2.9**  $\Gamma < G$  is an irreducible lattice  $\iff G \curvearrowright G/\Gamma$  is irreducible.

**Example 2.10**

$G$  as above. Assume that  $G \hookrightarrow H$  where  $H$  is a simple Lie group. Let  $\Gamma < H$  be a lattice (hence irreducible). By Moore's ergodicity,  $H/\Gamma$  is an ergodic  $G$ -space. Furthermore, it is an irreducible  $G$ -space.

**Theorem 2.11** (Moore's ergodicity theorem, general version, [Z13, Theorem 2.2.15])

Let  $G = \prod G_i$  be a finite product of connected non-compact simple Lie groups with finite center. Let  $S$  be an irreducible ergodic  $G$ -space with finite invariant measure. If  $H \subset G$  is a closed subgroup and  $H$  is not compact. Then  $H$  is ergodic on  $S$ .

## Relation with unitary representations

Let us show the idea of proof of Moore's ergodicity theorem. Note that  $G \curvearrowright S$  induces an action  $G \curvearrowright L^2(S)$ . Since we assume that  $\mu$  is  $G$ -invariant, then  $G$  acts by unitary operators. Denote as  $\pi : G \rightarrow \mathcal{U}(L^2(S))$ . We equip  $\mathcal{U}(L^2(S))$  with strong operator topology, then  $\pi$  is continuous.

Denote  $L_0^2(S)$  to be the orthogonal complement of  $\mathbb{C}$  in  $L^2(S)$  which is  $G$ -invariant.

**Proposition 2.12** ([Z13, Corollary 2.2.17])

$G$  acts ergodically on  $S \iff$  there is no non-trivial  $G$ -invariant vectors in  $L_0^2(S)$ .

Combining this proposition, it suffices to show

**Theorem 2.13** ([Z13, Theorem 2.2.19])

Let  $G = \prod G_i$  be a finite product of connected non-compact simple Lie groups with finite center. Let  $\pi$  be a unitary representation of  $G$  such that  $\pi|_{G_i}$  has no invariant vectors. If  $H \subset G$  is a closed subgroup and  $\pi|_H$  has non-trivial invariant vectors, then  $H$  is compact.

This theorem follows from the following result.

**Theorem 2.14** (Vanishing of matrix coefficients, [Z13, Theorem 2.2.20])

Let  $G, G_i, \pi$  be as above. For every unit vectors  $v, w \in \mathcal{H}$ , the Hilbert space where  $G$  acts on. The matrix coefficient  $f_{v,w}(g) = (\pi(g)v, w)$  tends to zero as  $g$  tending to infinity.

If there exists an  $H$ -invariant vector  $v$ , then  $H$  is compact since  $(\pi(h)v, v) \equiv 1$  for  $h \in H$ .

**Remark 2.15** — Vanishing of matrix coefficients can be viewed as “mixing”, which is stronger than ergodicity.

**“Mixing” in  $\mathrm{SL}(2, \mathbb{R})$** **Theorem 2.16**

Let  $G = \mathrm{SL}(2, \mathbb{R})$  and  $\pi : G \rightarrow \mathcal{U}(\mathcal{H})$  be a unitary representation without invariant vectors. Then for every  $\varphi, \psi \in \mathcal{H}$  and  $(g_n)$  divergent in  $\mathrm{SL}(2, \mathbb{R})$ , we have  $(g_n \cdot \varphi, \psi) \rightarrow 0$ .

*Proof.* By KAK decomposition, it suffices to consider  $g_n \in A$ . Let  $g_n = a_{t_n} = \mathrm{diag}(e^{t_n}, e^{-t_n})$  with  $t_n \rightarrow \infty$ . Assume for a contradiction that  $(g_n \cdot \varphi, \psi) \not\rightarrow 0$ , we can assume that  $(g_n \cdot \varphi, \psi) \rightarrow c \neq 0$ . Take a countable dense set  $\mathcal{A} \subset \mathcal{H}$  containing  $\varphi, \psi$  above. Passing to a subsequence if necessary, we can assume that  $(g_n \cdot \varphi, \psi)$  convergent for every  $\varphi, \psi \in \mathcal{A}$ . Define

$$f(\varphi, \psi) = \lim_{n \rightarrow \infty} (g_n \cdot \varphi, \psi),$$

which forms a nonzero sesquilinear form on  $\mathcal{H}$ . By Riesz representation theorem, there exists  $E \in \mathcal{L}(\mathcal{H})$  such that  $f(\varphi, \psi) = (E\varphi, \psi)$ .

We want to show that every vector in  $\mathrm{Im} E$  is fixed by  $\mathrm{SL}(2, \mathbb{R})$ . For every  $u = \begin{bmatrix} 1 & * \\ 0 & 1 \end{bmatrix}$ , we have  $g_n^{-1} u g_n \rightarrow \mathrm{id}$ . Then

$$(u \cdot E\varphi, \psi) = \lim_{n \rightarrow \infty} (u g_n \cdot \varphi, \psi) = \lim_{n \rightarrow \infty} (g_n \cdot \varphi, \psi) = (E\varphi, \psi).$$

Hence  $u \circ E = E$ . It follows that  $\mathrm{Im} E$  is fixed by  $U$ . Similarly,  $E \circ v = E$  for every  $v = \begin{bmatrix} 1 & \\ * & 1 \end{bmatrix}$ . This does not lead to  $\mathrm{Im} E$  are fixed by  $V$  directly.

We use a trick of considering the adjoint operator. Note that  $E^* = \lim g_n^{-1}$  in the weak sense. By the commutativity, we have

$$(E\varphi, E\varphi) = \lim_k \lim_l (g_k \cdot \varphi, g_l \cdot \varphi) = \lim_k \lim_l (g_l^{-1} \cdot \varphi, g_k^{-1} \cdot \varphi) = (E^* \varphi, E^* \varphi).$$

Then  $\ker E^* = \ker E$ . Hence  $\text{Im}(\text{id} - v) \subset \ker E = \ker E^*$ . It follows that  $E^* \circ v = E^*$  and hence  $v^* \circ E = E$ . Since  $v^* = v^{-1}$  run over  $V$ , we get the  $V$ -invariance.

Because  $U, V$  generates  $\text{SL}(2, \mathbb{R})$ , we have  $\text{Im } E$  is fixed by  $\text{SL}(2, \mathbb{R})$  and hence is trivial. We get a contradiction.  $\square$

In the case of  $\text{SL}(n, \mathbb{R})$ , we can similarly define  $U^+, U^-$  as

$$U^+ = \{u : g_n^{-1} u g_n \rightarrow \text{id}\}, \quad U^- = \{u : g_n u g_n^{-1} \rightarrow \text{id}\}.$$

By some calculation on the Lie algebra, we can show that  $U^+$  and  $U^-$  together generate  $\text{SL}(n, \mathbb{R})$ .

### §3 Preparation on algebraic groups I (Yuxiang Jiao, Mar 31)

Setting

- $G$  a locally compact second countable group and  $S$  a measurable  $G$ -space.
- $k \subset K$  where  $k$  is a local field (where  $\text{char } k = 0$ ) and  $K$  is algebraic closed.
- $\mathbb{G}$  a linear algebraic group defined over  $k$ ,  $\mathbb{G}_k$  is its  $k$ -points.
- Regard  $\mathbb{G} \subset \text{GL}(n, \mathbb{K})$ , it then  $\mathbb{G}_k$  has a locally compact topology (the usual topology given by  $\text{GL}(n, k)$ ). We call it the Hausdorff topology.

**Theorem 3.1** (Chevalley, [Z13, Proposition 3.1.4])

If  $\mathbb{H} \subset \mathbb{G}$  is a  $k$ -subgroup of  $\mathbb{G}$ , then there is a  $k$ -rational representation  $\mathbb{G} \rightarrow \text{GL}(n, K)$  and a point  $x \in \mathbb{P}^{n-1}(k)$  such that  $\mathbb{H}_k = \text{Stab}_{\mathbb{G}_k}(x)$ .

There are several definitions.

- A set is called **locally closed** if it is open in its closure.
- A Borel space is called **countably separated** if there exists a countable family of Borel sets  $\{A_i\}$  which separate points.
- A Borel space is called **countably generated** if we additionally requires that  $\{A_i\}$  generates the Borel  $\sigma$ -algebra.
- Let  $S$  be a Borel  $G$ -space which is countably separated, we call the action is smooth if  $S/G$  is countably separated.

**Proposition 3.2**

If  $G$  acts smoothly on  $S$ . Then every quasi-invariant measure on  $S$  is supported on an orbit (measurable support).

**Theorem 3.3** ([Z13, Theorem 2.1.4])

Suppose  $G$  acts continuously on a complete separable metrizable space  $S$ . Then the following are equivalent

- (1) All orbits are locally closed.
- (2) The action is smooth.
- (3) For every  $s \in S$ ,  $G/\text{Stab}_G(s) \rightarrow \text{Orb}(s)$  is a homeomorphism.

**Fact 3.4.** Let  $V, W$  be varieties and  $f : V \rightarrow W$  is a regular map. Then  $f(V)$  contains an open set in its closure (in Zariski topology).

Now we consider an algebraic group  $\mathbb{G}$  acts algebraically on a variety  $V$ . Then for every  $x \in V$ , the orbit  $\mathbb{G}.x$  contains an open subset  $U \subset \overline{\mathbb{G}.x}^{\text{Zar}}$ . Hence  $\mathbb{G}.x = \mathbb{G}.U$  which is open in  $\overline{\mathbb{G}.x}^{\text{Zar}}$ . Since a Zariski topology is coarser than Hausdorff topology, we deduce (general version needs to show a certain Galois cohomology group is finite)

**Theorem 3.5** (Borel-Serre, [Z13, Theorem 3.1.3])

If  $k$  is a local field of characteristic 0, and a  $k$ -group  $\mathbb{G}$  acts  $k$ -algebraically on a  $k$ -variety  $V$ . Then every  $\mathbb{G}_k$ -orbit in  $V_k$  is locally closed in the Hausdorff topology.

### Group actions on the measure space

Let  $\mathbb{P}^{n-1} = \mathbb{P}^{n-1}(k)$  be the projective space. Let  $G = \text{PGL}(n, k)$  with a natural action on  $\mathbb{P}^{n-1}(k)$ . It induces an action on  $\text{Prob}(\mathbb{P}^{n-1})$ , the family of probability measures on  $\mathbb{P}^{n-1}$ . We equip  $\text{Prob}(\mathbb{P}^{n-1})$  with the weak\* topology, which makes it a compact metrizable space.

**Theorem 3.6** ([Z13, Theorem 3.2.4])

For any  $\mu \in \text{Prob}(\mathbb{P}^{n-1})$ , the stabilizer  $\text{Stab}_G(\mu)$  has a normal subgroup of finite index which is  $k$ -almost algebraic (a compact extension of the  $k$ -points of a  $k$ -group). In particular, if  $k = \mathbb{R}$ ,  $\text{Stab}_G(\mu)$  is the real points of an  $\mathbb{R}$ -group.

**Theorem 3.7** ([Z13, Theorem 3.2.6])

Every  $G$ -orbit in  $\text{Prob}(\mathbb{P}^{n-1})$  is locally closed, hence  $G \curvearrowright \text{Prob}(\mathbb{P}^{n-1})$  is smooth.

## §4 Preparation on algebraic groups II (Yuxiang Jiao, Apr 7)

Let us sketch the proof of Theorem 3.6 here.

**Lemma 4.1** (Furstenberg)

Let  $(g_n) \subset G$  such that  $g_n.\mu \rightarrow \nu$  where  $\mu, \nu \in \text{Prob}(\mathbb{P}^{n-1})$ , then

- (1) either  $(g_n)$  is bounded in  $G$ ,
- (2) or there exists proper subspaces  $V, W \subset k^n$  such that  $\text{supp } \nu \subset [V] \cup [W]$ .

**Corollary 4.2** ([Z13, Corollary 3.2.2])

Let  $\mu \in \text{Prob}(\mathbb{P}^{n-1})$ , then

- (1) either  $\text{Stab}_G(\mu)$  is compact,
- (2) or there exists a proper subspace  $V_0 \subset k^n$  such that  $\mu([V_0]) > 0$  and  $\text{Stab}_G(\mu).[V_0] = [V_0] \cup [V_1] \cup \cdots \cup [V_r]$ , a finite union of proper subspaces.

*Proof of Theorem 3.6.* Decompose  $\mu$  into a sum of countably many  $\mu_i \in \text{Prob}(\mathbb{P}^{n-1})$ , such that for each  $\mu_i$ :



- (i)  $\mu_i$  is invariant under  $\text{Stab}_G(\mu)$ .
  - (ii)  $\text{supp } \mu_i \subset [V_{i0}] \cup [V_{i1}] \cup \cdots \cup [V_{ir_i}]$ , a finite union of subspaces with same dimension.
  - (iii) for each  $V \subset k^n$  with  $\dim V < \dim V_{i0}$ ,  $\mu_i(V) = 0$ .
- Then  $\text{Stab}_G(\mu) = \bigcap_i \text{Stab}_G(\mu_i)$ . For each  $i$ , we consider

$$H_i = \{g \in G : g \cdot [V_{i0}] \subset [V_{i1}] \cup \cdots \cup [V_{ir_i}]\}, \quad N_i = \{g \in G : g|_{V_{i0}} \text{ is a scalar}\}.$$

Then  $\bigcap_i N_i \subset \text{Stab}_G(\mu) \subset \bigcap_i H_i$ . Since  $H_i, N_i$  are algebraic, the intersection can be replaced by a finite intersection. By previous lemma, we have

$$\bigcap_{i \in F} N_i \subset_{\text{Cocompact}} \text{Stab}_G(\mu) \cap \bigcap_{i \in F} H'_i \subset_{\text{Finite index}} \text{Stab}_G(\mu) \subset \bigcap_{i \in F} H_i,$$

where  $H'_i := \{g \in G : g \cdot [V_{ij}] = [V_{ij}], \forall j\}$ . □

#### Theorem 4.3 (Borel density theorem)

Let  $\mathbb{G}$  be a connected semisimple  $\mathbb{R}$ -group,  $G = \mathbb{G}_{\mathbb{R}}^0$  and assume that  $G$  has no compact factor. Let  $\Gamma$  be a closed subgroup such that  $G/\Gamma$  has a finite  $G$ -invariant measure. Then

1.  $\Gamma$  is Zariski dense in  $\mathbb{G}$ .
2.  $\Gamma^0$  is normal in  $G$ . In particular, if  $G$  is simple and  $\Gamma$  is a proper subgroup, then  $\Gamma$  is discrete.

*Proof.* Let  $\mathbb{H}$  be the Zariski closure of  $\Gamma$  and  $H = \mathbb{H} \cap G$ . Since  $G$  is Zariski dense in  $\mathbb{G}$  [Z13, Theorem 3.1.9], it suffices to show  $H = G$ . By Chevalley's theorem (Theorem 3.1), there is a  $\mathbb{R}$ -regular homomorphism  $\mathbb{G} \rightarrow \text{GL}(n, \mathbb{C})$  such that  $H = \text{Stab}_G(x)$  for some  $x \in \mathbb{P}^{n-1}(\mathbb{R})$ . WLOG, we assume that  $G \cdot x$  linearly spans  $\mathbb{P}^{n-1}(\mathbb{R})$ . The conclusion follows if  $n = 1$ .

Assume that  $n \geq 2$ . Since  $G/H$  has a finite  $G$ -invariant measure, there is also a  $G$ -invariant measure  $\mu$  on  $G \cdot x \subset \mathbb{P}^{n-1}(\mathbb{R})$ . Note that  $G$  has no compact factor and hence there is a proper subspace  $V$  with  $\mu([V]) > 0$  and  $\mu([V']) = 0$  for every proper subspace  $V' \subset V$ . Then  $G \cdot V$  is a finite union of proper subspaces, by connectedness,  $G \cdot V = V$ . But  $G \cdot x \cap [V] \neq 0$  since  $\mu(G \cdot x) = 1$  and  $\mu([V]) > 0$ , hence  $G \cdot x \subset [V]$ . We get a contradiction. □

Theorems 3.6 and 3.7 together gives a clear description of the action of  $\text{PGL}(n, k)$  on  $\text{Prob}(\mathbb{P}^{n-1}(k))$ . There are several corollaries as below.

#### Corollary 4.4 ([Z13, Corollary 3.2.12])

If  $\mathbb{G} \subset \text{PGL}(G, K)$  is a  $k$ -group, then the action of  $\mathbb{G}_k$  on  $\text{Prob}(\mathbb{P}^{n-1}(k))$  is smooth.

*Proof.* It suffices to consider  $\mathbb{G}_k$ -orbits on  $G \cdot \mu$  and note that  $G \cdot \mu \cong G/\text{Stab}_G(\mu)$ . □

#### Corollary 4.5 ([Z13, Corollary 3.2.17])

If  $\mathbb{H} < \mathbb{G}$  are  $k$ -groups such that  $\mathbb{G}_k/\mathbb{H}_k$  is compact, then  $\mathbb{G}_k$  acts smoothly on  $\text{Prob}(\mathbb{G}_k/\mathbb{H}_k)$ .

#### Corollary 4.6 ([Z13, Corollary 3.2.18])

If  $\mathbb{H} < \mathbb{G}$  are  $\mathbb{R}$ -groups such that  $\mathbb{G}_{\mathbb{R}}/\mathbb{H}_{\mathbb{R}}$  is compact, then for every  $\mu \in \text{Prob}(\mathbb{G}_{\mathbb{R}}/\mathbb{H}_{\mathbb{R}})$ ,  $\text{Stab}_{\mathbb{G}_{\mathbb{R}}}(\mu)$  is the real points of an  $\mathbb{R}$ -group.

## Group actions on the function space

Let  $X$  be a  $\sigma$ -finite measure space and  $V$  be a locally compact space. Denote  $F(X, V)$  be the space of measurable maps  $f : X \rightarrow V$ . We endow  $F(X, V)$  with the topology in the sense of converging in measure. Then  $F(X, V)$  is a complete separable metrizable space.

### Proposition 4.7

Let  $\mathbb{G}$  be a  $k$ -group and  $V$  be a  $k$ -variety,  $\mathbb{G}$  acts  $k$ -regularly on  $V$ . Then the action of  $\mathbb{G}_k$  on  $F(X, V_k)$  is smooth and the stabilizers are  $k$ -points of a  $k$ -group.

Let  $V$  be an  $\mathbb{R}$ -variety. Define

$$\text{Rat}(V_{\mathbb{R}}, \mathbb{P}^m(\mathbb{C})) := \{f \text{ is the restriction to } V_{\mathbb{R}} \text{ of an } \mathbb{R}\text{-rational function } f : V \rightarrow \mathbb{P}^m(\mathbb{C})\}.$$

### Proposition 4.8

Let  $\mathbb{G}, \mathbb{H}$  be  $\mathbb{R}$ -groups acting on  $\mathbb{P}^n(\mathbb{C}), \mathbb{P}^m(\mathbb{C})$  respectively. Let  $V \subset \mathbb{P}^n(\mathbb{C})$  be a closed  $\mathbb{G}$ -invariant  $\mathbb{R}$ -subvariety, such that  $V_{\mathbb{R}}$  is Zariski dense in  $V$ . Then  $\mathbb{G}_{\mathbb{R}} \times \mathbb{H}_{\mathbb{R}}$  induces an action on  $\text{Rat}(V_{\mathbb{R}}, \mathbb{P}^m(\mathbb{R}))$ . We have

1. The  $\mathbb{G}_{\mathbb{R}}, \mathbb{H}_{\mathbb{R}}$  and  $\mathbb{G}_{\mathbb{R}} \times \mathbb{H}_{\mathbb{R}}$  actions on  $\text{Rat}(V_{\mathbb{R}}, \mathbb{P}^m(\mathbb{R}))$  are smooth.
2. The stabilizers are real points of algebraic  $\mathbb{R}$ -groups.

## §5 Margulis' super-rigidity theorem I (Jiesong Zhang, Apr 7)

Let  $\mathbb{G}$  be a connected semisimple  $\mathbb{R}$ -group,  $G = \mathbb{G}_{\mathbb{R}}^0$  and assume that  $G$  has trivial center and no compact factors. Let  $\Gamma \subset G$  be an irreducible lattice. Let  $H = \mathbb{H}_k$  be the  $k$ -points of a  $k$ -group (take  $k = \mathbb{R}$  today), which is center-free. Let  $\varphi : \Gamma \rightarrow H$  be a homomorphism such that

1.  $\varphi(\Gamma)$  is Zariski dense and,
2. unbounded.

Today's main result is the following lemma.

### Lemma 5.1

There are proper algebraic  $\mathbb{R}$ -subgroups  $\mathbb{P} \subset \mathbb{G}, \mathbb{L} \subset \mathbb{H}$  and a  $\Gamma$ -map  $\psi : \mathbb{G}_{\mathbb{R}}/\mathbb{P}_{\mathbb{R}} \rightarrow \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}}$ .

**Definition 5.2.** We say  $\mathbb{H} \subset \mathbb{G}$  is **parabolic** if  $\mathbb{G}/\mathbb{H}$  is a projective variety.

### Proposition 5.3

If  $\mathbb{G}$  is a  $k$ -group and  $\mathbb{H}$  is a parabolic subgroup of  $\mathbb{G}$ . Then  $\mathbb{G}_k/\mathbb{H}_k$  is compact.

**Definition 5.4.** Let  $G$  be a topological group. We say  $G$  is **amenable**, if every continuous  $G$ -action on a compact metrizable space admits a  $G$ -invariant probability measure.

### Proposition 5.5

Let  $\mathbb{P}$  be a minimal parabolic subgroup of  $\mathbb{G}$  and  $\Gamma \subset G$  is a lattice. Then  $\mathbb{P}$  is an amenable group and  $\Gamma$  acts amenably on  $\mathbb{G}/\mathbb{P}$ .

The definition of amenable action, see

### Proposition 5.6

Let  $S$  be an amenable  $\Gamma$ -space and  $X$  be a compact  $G$ -space. Then there is a measurable  $\Gamma$ -map  $S \rightarrow \text{Prob}(X)$ .

We will skip the definition of an amenable action. We proof the following result directly.

### Proposition 5.7

If  $\Gamma \curvearrowright X$  where  $X$  is a compact metrizable space. Then there exists a  $\Gamma$ -map  $\omega : \mathbb{G}/\mathbb{P} \rightarrow \text{Prob}(X)$ .

*Proof.* Let  $\mu$  be the Haar measure on  $\mathbb{G}$ . Consider the action

$$(\Gamma \times \mathbb{G}) \curvearrowright (\mathbb{G} \times X), \quad (\gamma, g)(h, x) = (\gamma hg^{-1}, \gamma x).$$

Let  $p : \mathbb{G} \times X \rightarrow \mathbb{G}$  be the projection. Let  $Q$  be the family of Borel measures  $\tau$  on  $\mathbb{G} \times X$  satisfying  $p_*\tau = \mu$  and  $(\gamma, 1)_*\tau = \tau$ . We claim that  $Q$  is nonempty. In fact, let  $D$  be a fundamental domain of  $\Gamma$  and  $x_0 \in X$ , let  $\phi : \mathbb{G} \rightarrow \mathbb{G} \times X$  given by  $g \mapsto (g, \gamma_g x_0)$  where  $\gamma_g \in \Gamma$  is the unique element such that  $g \in \gamma_g D$ . Then  $\phi$  is  $\Gamma$ -equivalent and hence  $\phi_*\mu \in Q$ .

Note that  $Q$  is a compact and convex set and  $Q$  is  $(\Gamma \times \mathbb{G})$ -invariant. Recall that  $\mathbb{P}$  is amenable, then there exists a  $(1, \mathbb{P})$ -invariant element  $\tau \in Q$ . Write

$$\tau = \int_{\mathbb{G}} \delta_g \otimes \nu_g d\mu(g), \quad \nu_g \in \text{Prob}(X).$$

We can see that  $\nu_g = \gamma_*\nu_{\gamma^{-1}gp} = \nu_{gp}$  for almost every  $g$ . It induces a  $\Gamma$ -map  $\omega : gp \mapsto \nu_g$ .  $\square$

*Proof of Lemma 5.1.* Let  $\mathbb{P} \subset \mathbb{G}$  be a minimal parabolic group and  $\mathbb{P}' \subset \mathbb{H}$  be a parabolic subgroup. Then  $\mathbb{H}_{\mathbb{R}}/\mathbb{P}'_{\mathbb{R}}$  is a compact space. Note that  $\Gamma$  acts amenably on  $\mathbb{G}_{\mathbb{R}}/\mathbb{P}_{\mathbb{R}}$ . Hence there is a  $\Gamma$ -map

$$\varphi : \mathbb{G}_{\mathbb{R}}/\mathbb{P}_{\mathbb{R}} \rightarrow \text{Prob}(\mathbb{H}_{\mathbb{R}}/\mathbb{P}'_{\mathbb{R}}).$$

It induces a map  $\tilde{\varphi} : \mathbb{G}_{\mathbb{R}}/\mathbb{P}_{\mathbb{R}} \rightarrow \text{Prob}(\mathbb{H}_{\mathbb{R}}/\mathbb{P}'_{\mathbb{R}})/\mathbb{H}_{\mathbb{R}}$ , which is  $\Gamma$ -invariant. Recall that the action  $\mathbb{H}_{\mathbb{R}} \curvearrowright \text{Prob}(\mathbb{H}_{\mathbb{R}}/\mathbb{P}'_{\mathbb{R}})$  is smooth. Hence  $\tilde{\varphi}$  is essential constant. Hence  $\varphi(\mathbb{G}_{\mathbb{R}}/\mathbb{P}_{\mathbb{R}})$  falls in an orbit  $\mathbb{G}.\mu$ . Take  $\mathbb{L}_{\mathbb{R}} = \text{Stab}_{\mathbb{H}_{\mathbb{R}}}(\mu)$ , the conclusion follows.  $\square$

## §6 Margulis' super-rigidity theorem II (Bohan Yang, Apr 14)

Let us recall the Margulis' superrigidity theorem.

### Theorem 6.1 (Margulis' superrigidity)

Let  $\mathbb{G}$  be a connected semisimple algebraic  $\mathbb{R}$ -group with  $\mathbb{R}$ -rank at least 2. Assume that  $\mathbb{G}_{\mathbb{R}}^0$  has no compact factors. Let  $\Gamma \subset \mathbb{G}_{\mathbb{R}}^0$  be an irreducible lattice. Let  $\mathbb{H}$  be a connected simple algebraic  $\mathbb{R}$ -group and  $\mathbb{H}_{\mathbb{R}}$  is not compact. Assume that  $\pi : \Gamma \rightarrow \mathbb{H}_{\mathbb{R}}$  is a homomorphism with  $\pi(\Gamma)$  Zariski dense. Then  $\pi$  extends to a rational homomorphism  $\mathbb{G} \rightarrow \mathbb{H}$  defined over  $\mathbb{R}$ .

Throughout this section, we will use the notation in Zimmer's book [Z13], which is terrible. There,  $G/\Gamma = \{\Gamma \cdot g : g \in G\}$  and the action  $G \curvearrowright X$  is always an right action  $(g, x) \mapsto xg$ . This means that  $G$  has a natural (right) action on  $G/\Gamma$ .

**Lemma 6.2** ([Z13, Lemma 5.1.3])

Suppose  $\mathbb{P} \subset \mathbb{G}$  and  $\mathbb{L} \subset \mathbb{H}$  are proper algebraic  $\mathbb{R}$ -subgroups, and  $\varphi : \mathbb{G}/\mathbb{P} \rightarrow \mathbb{H}/\mathbb{L}$  is a rational  $\Gamma$ -map, then  $\pi$  extends to a rational homomorphism  $\mathbb{G} \rightarrow \mathbb{H}$ .

Hence it suffices to find such rational  $\Gamma$ -map  $\varphi$ . We will use the map constructed last time (Lemma 5.1). The aim is to show the constructed map is (essentially) rational (Step 2 in [Z13]).

**Definition 6.3.** Let  $V$  be a complex variety and  $W$  be an  $\mathbb{R}$ -variety. Let  $A \subset V_{\mathbb{R}}$  be a set of positive measure. We say  $f : A \rightarrow V$  is **essentially rational** if there exists a rational map  $R : W \rightarrow V$  such that  $R = f$  on  $A$ .

We want to show that  $\varphi$  is rational. On criterion for rationality is a unipotent representation of a unipotent group. So want to replace  $\mathbb{G}_{\mathbb{R}}^0/P_0$  by a such group, where  $P_0 = \mathbb{P} \cap \mathbb{G}_{\mathbb{R}}^0$ .

**Lemma 6.4** ([Z13, Lemma 5.1.4])

There exists a connected unipotent  $\mathbb{R}$ -subgroup  $U \subset \mathbb{G}$  such that the product map  $m : U \times \mathbb{P} \rightarrow \mathbb{G}$  is injective and the image is a Zariski dense  $\mathbb{R}$ -open set. Furthermore, it induces a map  $U_{\mathbb{R}} \rightarrow \mathbb{G}_{\mathbb{R}}^0/P_0$  which is a measure space isomorphism.

In our case,  $\mathbb{G} = \mathrm{SL}(n, \mathbb{C})$  and  $\mathbb{P}$  is the triangular matrices. Then we can take  $U$  to be the lower triangular matrices with diagonal entries equal to 1.

**Lemma 6.5** ([Z13, Lemma 5.1.5])

It suffices to show for some  $g \in \mathbb{G}_{\mathbb{R}}^0$ , the map  $u \mapsto \varphi(ug)$  is essentially rational on  $U_{\mathbb{R}}$ .

**Definition 6.6.** For every  $t \in A \subset \mathbb{G}$ , let  $C_t$  be the centralizer of  $t$  in  $\mathbb{G}$ . Let  $C_t^u = C_t \cap U$ .

**Lemma 6.7** ([Z13, Lemma 5.1.6])

There exists  $t_1, \dots, t_n \in A_{\mathbb{R}}^0$ ,  $t_i \neq e$  and connected subgroups  $U_i \subset C_{t_i}^u$  such that

- (1)  $\prod_{i=1}^r U_i \rightarrow U$  is an  $\mathbb{R}$ -isomorphism.
- (2) For each  $r$ ,  $\prod_{i=1}^r U_i \subset U$  is a subgroup and  $\prod_{i=r+1}^n U_i$  is normal in  $\prod_{i=r}^n U_i$ .

**Lemma 6.8** ([Z13, Lemma 5.1.7])

To prove Step 2, it suffices to prove if  $e \neq t \in A_{\mathbb{R}}^0$ ,  $V \subset C_t^0$  is a connected algebraic  $\mathbb{R}$ -group, then for almost every  $g \in \mathbb{G}_{\mathbb{R}}^0$ ,  $u \mapsto \varphi(ug)$  is essentially rational on  $V_{\mathbb{R}}$ .

*Proof.* Induction on  $n - r$ , we prove that  $\varphi : u \mapsto \varphi(ug)$  is essentially rational on  $\prod_{i=r}^n (U_i)_{\mathbb{R}}$ . If  $r = n$ , then this is the “suffices to show” part. Suppose we have  $u \mapsto \varphi(ug)$  is essentially rational on  $\prod_{i=r}^n (U_i)_{\mathbb{R}}$ . We define  $\varphi_g(c, u) = \varphi(cug)$ ,  $c \in U_{r-1}$ . It suffices to show  $\varphi_g$  is essentially rational for almost every  $g$ .

By the “suffices to show” part, for every  $u$  and almost every  $g$ ,  $c \mapsto \varphi(cug)$  is essentially rational. By Fubini, for almost every  $g$ ,  $c \mapsto \varphi_g(c, u)$  is essentially rational. On the other hand,  $\varphi(cug) = \varphi((cuc^{-1})cg)$ , then for every  $c$ , for almost every  $g$ ,  $u \mapsto \varphi(cug)$  is essentially rational. By another Fubini and Theorem [Z13, Theorem 3.4.4], we have for almost every  $g$ ,  $\varphi_g$  is essentially rational.  $\square$

**Lemma 6.9** ([Z13, Lemma 5.1.8])

To prove Step 2, it suffices to prove if  $e \neq t \in A_{\mathbb{R}}^0$ , then for almost every  $g$ , there exists

- (1) an  $\mathbb{R}$ -subvariety  $W_g \in \mathbb{H}/\mathbb{L}$  such that  $\varphi_g : (C_t)_{\mathbb{R}}^0 \rightarrow \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}}, c \mapsto cg$  satisfies  $\varphi_g(c) \in W_g$  for almost all  $c$ ;
  - (2) an  $\mathbb{R}$ -algebraic group  $Q_g$  which acts  $\mathbb{R}$ -regularly on  $W_g$ ;
  - (3) a measurable homomorphism  $h_g : (C_t)_{\mathbb{R}}^0 \rightarrow (Q_g)_{\mathbb{R}}$ ;
  - (4) a point  $x_g \in W_g \cap \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}}$ ;
- such that  $\varphi_g(c) = x_g h_g(c)$  for almost all  $c \in (C_t)_{\mathbb{R}}^0$ .

*Proof.* Let  $V \subset C_t^u$  be a connected algebraic  $\mathbb{R}$ -group. If  $\varphi_g = x_g h_g$  holds for all  $c$ , then  $h_g|_{V_{\mathbb{R}}}$  is unipotent by [Z13, Proposition 3.4.2] and hence  $\varphi_g|_{V_{\mathbb{R}}}$  is rational. But  $V_{\mathbb{R}}$  is of measure zero in  $(C_t)_{\mathbb{R}}^0$ , we need a further argument. For each  $u \in V_{\mathbb{R}}$  and almost all  $g \in \mathbb{G}_{\mathbb{R}}^0, c \in (C_t)_{\mathbb{R}}^0$ , we have

$$\varphi(ucg) = x_g h_g(uc) = x_g h_g(u) h_g(c).$$

By Fubini, there exists a fixed  $c$  such that the equation holds for almost every  $g$  and almost every  $u \in V_{\mathbb{R}}$ . Therefore,  $u \mapsto x_g h_g(u) h_g(c)$  is rational. Hence  $u \mapsto \varphi(ug)$  is essentially rational.  $\square$

**Proposition 6.10** ([Z13, Proposition 3.5.2])

Let  $C$  be a locally compact group and  $\varphi \in F(C, \mathbb{H}_k/\mathbb{L}_k)$ . For every  $g \in C$ , let  $\varphi_g \in F(C, \mathbb{H}_k/\mathbb{L}_k), \varphi_g(c) = \varphi(cg)$ . Assume that almost every  $\varphi_g$  lie in a single  $\mathbb{H}_k$ -orbit of  $F(C, \mathbb{H}_k/\mathbb{L}_k)$ , then there exists (1)(2)(3)(4) as above.

*Proof of Step 2.* By the above proposition, we should check that for almost every  $g \in \mathbb{G}_{\mathbb{R}}^0$ , for almost every  $c \in C = (C_t)_{\mathbb{R}}^0$ ,  $(\varphi_g)_c$  lies in a common  $\mathbb{H}_{\mathbb{R}}$ -orbit. By a Fubini argument, it suffices to show that almost every  $\varphi_g$  lies in a same  $\mathbb{H}_{\mathbb{R}}$ -orbit.

Define  $\Phi : \mathbb{G}_{\mathbb{R}}^0 \rightarrow F(C, \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}}), g \mapsto \varphi_g$ . Let  $T = \{t^n\} \subset A$ , which is unbounded. Then

$$\varphi_{tg}(c) = \varphi(ctg) = \varphi(tcg) \stackrel{T \subseteq P_0}{=} \varphi(cg) = \varphi_g(c).$$

Hence  $\Phi$  is a  $T$ -invariant measurable map, which induces  $T : \mathbb{G}_{\mathbb{R}}^0/T \rightarrow F(C, \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}})$ . Recall  $\varphi$  is a  $\Gamma$ -map, then  $\varphi_{g\gamma} = \varphi_g \pi(\gamma)$ . Note that  $\pi(\gamma) \in \mathbb{H}_{\mathbb{R}}$ , consider the induced map

$$\bar{\Phi} : \mathbb{G}_{\mathbb{R}}^0/T \rightarrow F(C, \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}})/\mathbb{H}_{\mathbb{R}}$$

which is essentially  $\Gamma$ -invariant. Since  $T$  is unbounded,  $\Gamma \curvearrowright \mathbb{G}_{\mathbb{R}}^0/T$  is ergodic. Combining with  $F(C, \mathbb{H}_{\mathbb{R}}/\mathbb{L}_{\mathbb{R}})/\mathbb{H}_{\mathbb{R}}$  is countably separated,  $\bar{\Phi}$  is essentially constant. This complete the proof.  $\square$

**§7 Margulis' arithmeticity theorem (Apr 21)**

**Definition 7.1** (Restriction of scalar). Let  $[k : \mathbb{Q}] = d$  and  $\mathbb{G}$  be a  $k$ -algebraic group. We define the  $\mathbb{Q}$ -algebraic group  $R_{k/\mathbb{Q}}\mathbb{G}$  such that

$$R_{k/\mathbb{Q}}\mathbb{G} \cong \prod_{i=1}^d \mathbb{G}^{\sigma_i},$$

where  $\sigma_1, \dots, \sigma_d$  are the  $\mathbb{Q}$ -embeddings of  $k \hookrightarrow \mathbb{C}$ .

**Proposition 7.2**  $(R_{k/\mathbb{Q}}(\mathbb{G}))_{\mathbb{Q}} \cong \mathbb{G}_k$  and  $(R_{k/\mathbb{Q}}(\mathbb{G}))_{\mathbb{Z}} \cong \mathbb{G}_{\mathcal{O}_k}$ .

**Theorem 7.3 (Margulis Arithmeticity)**

Let  $G$  be a semisimple real Lie group with  $\text{rank}_{\mathbb{R}} G \geq 2$  without compact factor. Let  $\Gamma \subset G$  be an irreducible lattice. Then  $\Gamma$  is arithmetic.

The aim is to put  $\Gamma$  into some  $\mathbb{G}_k \cong (R_{k/\mathbb{Q}}\mathbb{G})_{\mathbb{Q}}$ . Then we consider the Zariski closure  $\overline{\alpha(\Gamma)} = \mathbb{H}$ . Taking the restriction of scalar and considering the integral points,  $(R_{k/\mathbb{Q}}(\mathbb{H}))_{\mathbb{Z}}$  will be a desired construction. First we want to find an algebraic extension  $k/\mathbb{Q}$  such that  $\Gamma \subset \mathbb{G}_k$ .

Note that  $G$  can be equipped with an algebraic structure. We assume that  $G$  is a connected semisimple algebraic  $\mathbb{Q}$ -group with trivial center and  $\Gamma \subset G_{\mathbb{R}}^0$  is an irreducible lattice. Let  $L(G)$  be the Lie algebra of  $G$ , which also admits an  $\mathbb{Q}$ -structure (if  $G \subset \text{GL}(n, \mathbb{C})$  then  $G \subset M(n, \mathbb{C})$  admits a basis in  $M(n, \mathbb{Q})$ ).

**Lemma 7.4 ([Z13, Lemma 6.1.8])** There exists an embedding  $\pi : \Gamma \rightarrow \text{GL}(m, k)$ .

*Proof.* For every  $g \in G$ , we define  $T(g) = \text{tr}(\text{Ad}(g))$ , then  $T$  is a polynomial. Let  $V$  be the linear space of  $\{gT\}$  which is finite dimensional with an  $G$ -action on it. It induces a  $G$  representation which is faithful. Since  $\Gamma$  is Zariski dense in  $G$ , there is  $\{\gamma_1, \dots, \gamma_m\} \subset \Gamma$  such that  $\{\pi(\gamma_i)T\}$  is a basis of  $V$ . We need the following fact.

**Fact 7.5 ([Z13, Lemma 6.1.6]).** For every  $\gamma \in \Gamma$ ,  $\text{tr}(\text{Ad}(\gamma))$  is algebraic.

*Proof.* It suffices to show for every  $\gamma \in \Gamma$ ,  $\text{Aut}(\mathbb{C})(\text{tr}(\text{Ad}(\gamma)))$  is bounded. Note that for every  $\sigma$ , we have  $\sigma(\text{tr}(\text{Ad}(\gamma))) = \text{tr}(\text{Ad}(\sigma(\gamma)))$ . It suffices to show the following fact.

**Fact 7.6.**  $\{\text{tr}(\text{Ad}(\sigma(\gamma))) : \sigma \in \text{Aut}(\mathbb{C})\}$  is bounded.

*Proof.* Let  $G = \prod H_i$  and  $L(G) = \sum L(H_i)$  be the Lie algebras. Let  $p_i : G \rightarrow H_i$  be the projection, then

$$\text{tr}(\text{Ad}(\sigma(\gamma))) = \sum_i \text{tr}(\text{Ad}_{H_i}(p_i(\sigma(\gamma)))).$$

By Borel density theorem, both  $\Gamma$  and  $\sigma(\Gamma)$  are Zariski dense in  $G$ . Hence  $(p_i \circ \sigma)(\Gamma)$  is Zariski dense in  $H_i$ . By Margulis' super-rigidity, either  $(p_i \circ \sigma)(\Gamma)$  is contained in a compact subgroup or  $p_i \circ \sigma|_{\Gamma}$  extends to a rational homomorphism  $\pi : G \rightarrow H_i$ . In the first case, every eigenvalue of  $\text{Ad}_{H_i}(p_i \circ \sigma(\gamma))$  is on the unit circle and hence  $|\text{tr}(\text{Ad}_{H_i}(p_i \circ \sigma(\gamma)))| \leq \dim H_i$ . If  $(p_i \circ \sigma)$  extends to  $\pi$ , then  $d\pi : L(G) \rightarrow L(H_i)$  is surjective and  $\text{Ad}_{H_i}(\pi(g)) \circ d\pi = d\pi \circ \text{Ad}(g)$ . Hence any eigenvalue of  $\text{Ad}_{H_i}(\pi(g))$  is an eigenvalue of  $\text{Ad}(g)$ . Taking  $g = \gamma$ , we obtain an estimate  $|\text{tr}(\text{Ad}_{H_i}(p_i \circ \sigma(\gamma)))| \leq e(\gamma) \dim H_i$ , where  $e(\gamma)$  only depends on  $\text{Ad}(\gamma)$ .  $\square$

$\square$

Then for every  $\gamma, \pi(\gamma) \in \text{GL}(n, k)$ . This can be shown by the following way. Let  $c_{ij}$  be coefficient of matrices. Then for every  $\gamma \in \Gamma$ , we have

$$\pi(\gamma)(\pi(\gamma_i)T) = \sum_{j=1}^m c_{ij}(\pi(\gamma_j)T).$$

Expanding  $T$  into  $\text{tr}(\text{Ad})$ , which is algebraic for every element in  $\Gamma$ , the conclusion follows.  $\square$

Indeed,  $\Gamma$  is finitely generated. Hence  $k$  is a finite algebraic extension. In later discussions, we can assume that  $G$  is defined over  $k$  and  $\Gamma \subset G_k$ .

*Proof of Theorem 7.3.* Let  $[k : \mathbb{Q}] = d$ . We take the restriction of scalar, let  $\alpha : G_k \rightarrow (R_{k/\mathbb{Q}}G)_{\mathbb{Q}}$  be the map given by  $g \mapsto (\sigma_1(g), \dots, \sigma_d(g))$  where  $\sigma_1 = \text{id}$ . Let  $H = \overline{\alpha(\Gamma)}^{\text{Zar}}$ , which is an algebraic  $\mathbb{Q}$ -group. Let  $p : R_{k/\mathbb{Q}}(G) \rightarrow G$  such that  $(p \circ \alpha)|_{G_k} = \text{id}$ . Note that  $\Gamma$  is Zariski dense in  $G$ , we have  $p(H) = G$ . Since  $G$  is semisimple and center-free, we have  $p(\text{Rad}(H)) = \text{id}$  and  $p(C(G)) = \text{id}$ . Combining with  $G$  is connected, we can also assume that  $H$  is semisimple, center-free and connected.

**Claim 7.7.**  $(\ker p)_{\mathbb{R}}$  is compact.

*Proof.* Let  $F$  be a simple factor of  $\ker p$ , it suffices to check  $F_{\mathbb{R}}$  is compact. Assume that  $F_{\mathbb{R}}$  is non-compact, by Margulis' super-rigidity theorem, the map  $G \xrightarrow{\alpha} H \xrightarrow{\text{projection}} F$  extends to a rational homomorphism  $h : G \rightarrow F$ . Writing  $H \cong G \times F \times F'$ , then  $\{(g, h(g), f') : g \in G, f' \in F'\}$  contains  $\Gamma$ . It Contradicts that  $\alpha(\Gamma)$  is Zariski dense in  $H$ .  $\square$

For a prime  $p$ , let  $\mathbb{Q}_p$  be the  $p$ -adic field. We have an embedding  $H_{\mathbb{Q}} \rightarrow H_{\mathbb{Q}_p}$ , which induces  $\alpha : \Gamma \rightarrow H_{\mathbb{Q}_p}$ . Since  $\mathbb{Q}_p$  is totally disconnected, by Margulis' super-rigidity,  $\alpha(\Gamma)$  is bounded. Hence the powers of each prime appearing in the denominators of the matrix entries of  $\alpha(\gamma) \in H_{\mathbb{Q}}$  are uniformly bounded over  $\gamma \in \Gamma$ . Moreover, we can show that  $\Gamma \cap H_{\mathbb{Z}}$  is of finite index in  $\Gamma$ . Applying  $p$ , we get  $\Gamma \cap p(H_{\mathbb{Z}})$  is of finite index in  $\Gamma$ . Since  $(\ker p)_{\mathbb{R}}$  is compact,  $p(H_{\mathbb{Z}})$  is a lattice in  $G_{\mathbb{R}}$ . Then  $\Gamma \cap p(H_{\mathbb{Z}}) < p(H_{\mathbb{Z}})$  is an inclusion of two lattices, hence of finite index. We obtain that  $\Gamma$  and  $p(H_{\mathbb{Z}})$  are commensurable. We are done.  $\square$

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