

# Lie Theory

September 25, 2023

## Contents

<b>1</b>	<b>Background</b>	<b>1</b>
1.1	Topology . . . . .	1
<b>2</b>	<b>Topological Groups</b>	<b>1</b>
2.1	Introduction . . . . .	1
2.2	Neighborhoods of Identity . . . . .	2
2.3	Metrisable Groups . . . . .	2
2.4	Homomorphisms . . . . .	3
2.5	Subgroups . . . . .	3
2.6	Connected Components of Topological Groups . . . . .	4
2.7	Group Action . . . . .	4
2.8	Homogeneous Spaces . . . . .	5
2.9	Orbits and Homogeneous Spaces . . . . .	6

## 1 Background

### 1.1 Topology

**Definition 1.1.1.** A topological space is *locally connected* at point  $x$  if every neighborhood of  $x$  contains a connected open neighborhood.

## 2 Topological Groups

### 2.1 Introduction

**Definition 2.1.1.** A *topological group* is a group such that

1. the product  $p : G \times G \rightarrow G, p(g, h) = gh$ , is a continuous map if  $G \times G$  has the product topology;
2. The map  $\iota : G \rightarrow G, \iota(g) = g^{-1}$ , is continuous (hence, a homeomorphism, as  $\iota^{-1} = \iota$ ).

Each element  $g \in G$  defines the following maps.

- *left translation*:  $L_g : G \rightarrow G, L_g(h) = gh$ ;

- *right translation*:  $R_g : G \rightarrow G, R_g(h) = hg$ ;
- *conjugation*:  $C_g : G \rightarrow G, C_g(h) = ghg^{-1}$ .

## 2.2 Neighborhoods of Identity

An (open) neighborhood of  $x \in X$ , where  $X$  is a topological space, is an open set  $U$  that contains  $x$ .

Let  $G$  be a topological group, and  $1 \in G$  is the identity.  $V(1)$  refers to the set of all neighborhoods of 1.

**Proposition 2.2.1 (Proposition 2.2).** *Let  $G$  be a t.g. (topological group),  $V = V(1)$ . Then we'll have*

1. (T1) for all  $u \in V, 1 \in u$ ;
2. (T2)  $u, v \in V \implies u \cap v \in V$ ;
3. (TG1) for all  $u \in V$ , there exists  $v \in V$  s.t.  $v^2 \subseteq u$ ;
4. (TG2)  $u \in V \implies u^{-1} \in V$ ;
5. (TG3)  $u \in V, g \in G \implies gug^{-1} \in V$ .

**Definition 2.2.2.** Let  $G$  be a group, not necessarily topological group. A system of neighborhood of  $1 \in G$  is a family of sets satisfying (T1) to (TG3).

**Definition 2.2.3.** Let  $X$  be a topological space and  $x \in X$ . A fundamental system of neighborhoods of  $x$  is a family  $F$  of open sets containing  $x$  s.t. for all open  $u$  that contains  $x$ , there exists  $v \in F$  s.t.  $v \subseteq u$ .

**Theorem 2.2.4 (Proposition 2.5).** *Let  $G$  be an abstract group,  $V$  be a system of neighborhoods of 1. There exists a unique topology on  $G$  making  $G$  into a topological group and s.t.  $V$  is a fundamental system of neighborhoods of 1.*

*idea of proof.* □

**Proposition 2.2.5.** *Let  $G$  be a topological group. TFAE*

1. topology of  $G$  is a Hausdorff
2.  $\{1\}$  is closed in
3.  $\bigcap_{U \in V(1)} U = \{1\}$

## 2.3 Metrizable Groups

**Definition 2.3.1.** Let  $G$  be a topological group.  $G$  is metrizable if it has a left-(or right-) invariant distance which defines the topology left-invariant for all  $g \in G$  and  $d(gx, gy) = d(x, y)$  for all  $x, y \in G$ .

**Theorem 2.3.2.** *A topological group  $G$  is metrizable iff it has a countable system of neighborhoods of 1.*

## 2.4 Homomorphisms

We need to talk about  $G \rightarrow H$  continuous homomorphisms.

**Example 2.4.1.** The determinant homomorphism  $\det : GL_n(\mathbb{R}) \rightarrow \mathbb{R}^* = GL(1, \mathbb{R})$  is continuous.

**Theorem 2.4.2.** Let  $G, H$  be topological group. A group homomorphism  $\phi : G \rightarrow H$  is continuous iff  $\phi$  is continuous at  $1 \in G$ .

*Proof.*  $\implies$  is obvious. Let's look at the other direction.

Note that  $\phi \circ L_g = L_{\phi(g)} \circ \phi$  as maps  $G \rightarrow H$  because

$$(\phi \circ L_g)(g') = \phi(gg') = \phi(g)\phi(g') = (L_{\phi(g)} \circ \phi)(g').$$

Then

$$\phi = L_{\phi(g)} \circ \phi \circ L_{g^{-1}}$$

is continuous at  $g$ , as  $L_{g^{-1}}$  is continuous at  $g$ ,  $\phi$  continuous at  $1$ , and  $L_{\phi(g)}$  continuous everywhere.  $\square$

**Theorem 2.4.3.** A map  $\phi : G \rightarrow H$  is a group homomorphism ( $G, H$  are just groups) iff

$$gr(\phi) := \{(g, \phi(g)) \mid g \in G\} \subseteq G \times H.$$

**Proposition 2.4.4.** Let  $X$  and  $Y$  be topological spaces, such that  $Y$  is Hausdorff. A map  $\phi : X \rightarrow Y$  is continuous if and only if its graph  $gr(\phi)$  is closed and the projection  $p(x, \phi(x)) = x$  is a homeomorphism.

*Proof.* Suppose  $\phi$  is continuous. Then

$$gr(\phi) = \theta^{-1}(\Delta Y) \text{ w.r.t. } \theta : X \times Y \rightarrow Y \times Y$$

is closed, since  $\theta$  is continuous and  $\Delta Y$  is closed.  $\square$

**Theorem 2.4.5.** Suppose  $G, H$  are topological groups,  $H$  is Hausdorff. The map  $G \rightarrow H$  is a continuous homomorphism iff  $gr(\phi)$  is a closed subgroup and  $p : gr(\phi) \rightarrow G$  is a homeomorphism.

## 2.5 Subgroups

Let  $G$  be a topological group.  $H \subseteq G$  is a *topological subgroup* if  $H$  is a topological group w.r.t. the induced topology.

**Proposition 2.5.1.** Let  $G$  be a topological group. If  $H \subseteq G$  a subgroup, which is open. Then  $H$  is also closed.

*Proof.* Consider

$$Y = \bigcup_{g \in G-H} gH.$$

$Y$  is open, as it is a union of open sets.  $H$  is also closed, as  $G - Y = H$ . Hence,  $H$  is closed.  $\square$

**Proposition 2.5.2.**  *$G$  a topological group,  $H \subseteq G$  a subgroup. Then  $\overline{H}$  is also a subgroup of  $G$ .*

*Proof.* Note that  $A \subseteq X$  (subset of a topological space),  $x \in \overline{A}$  iff for all open  $U$  that contains  $x$ ,  $U \cap A \neq \emptyset$ . Then we check the followings.

1.  $\overline{H}$  is closed under  $m : G \times G \rightarrow G$ .

□

## 2.6 Connected Components of Topological Groups

A *connected space* cannot be written as the union of two disjoint open sets.

A *connected component* of a point  $x \in X$  is the union of all connected sets containing  $x$ , which is also the maximal connected set containing  $x$ .

A *connected component* of  $X$  is a maximal connected subset.

If  $A \subseteq X$  is connected, then the closure  $\overline{A}$  is connected. Thus, every connected component is closed.

Let  $G$  be a topological group,  $G_0$  is the connected component of  $1 \in G$ .

**Proposition 2.6.1.**  *$G_0$  is a closed normal subgroup of  $G$ . The connected components of  $G$  are exactly  $gG_0$  for  $g \in G$ .*

A *neighborhood*  $N$  of  $x \in X$  is a subset  $N \subseteq X$ ,  $x \in N$  and there exists an open  $U \subseteq X$  s.t  $x \in U \subseteq N$ .

A space is *locally connected* if for every open neighborhood of every point contains a connected open neighborhood.

**Proposition 2.6.2.** *If  $G$  is locally connected, then  $G_0$  is open.*

**Proposition 2.6.3.** *If  $G$  connected,  $U \in \mathcal{V}(1)$ , then  $G = \cup_{n \geq 1} U^n$ .*

## 2.7 Group Action

Suppose  $G$  a group,  $X$  a set.

**Definition 2.7.1.** A *left action* of a group  $G$  on a set  $X$  is a function that associates to  $g \in G$  a map  $a(g) : X \rightarrow X$  which satisfies the properties: 1.  $a(1) = \text{id}_X$ , that is,  $a(1)(x) = x$ , for every  $x \in X$ ; 2.  $a(gh) = a(g) \circ a(h)$ .

**Definition 2.7.2.** Let  $\phi_x : G \times X \rightarrow X$ ,  $\phi_y : G \times Y \rightarrow Y$ . A map  $f : X \rightarrow Y$  is *G-equivariant* if

$$\phi_y(g, f(x)) = f(\phi_x(g, x)).$$

Same story for topological groups.

**Definition 2.7.3.** Let  $G$  be a topological group,  $X$  a topological space, an *action*  $G$  on  $X$  should be continuous. In other words,  $G$  acts on  $X$  by homeomorphisms  $\phi_g$ .

Action is *transitive* if  $X = Gx$  for some  $x \in X$ . We define the *orbit* of  $x$  to be  $Gx = \{gx \mid g \in G\}$ . A *stabilizer* or *isotropy subgroup* of  $x$  is  $G_x = \{g \in G \mid gx = x\}$ .

An action is an *effective action* or *faithful* if  $gx = x, \forall x \in X \implies g = 1$ , equivalently,  $\cap_{x \in X} G_x = \{1\}$ .

**Proposition 2.7.4.**

$$G/G_x \rightarrow X \quad \text{where} \quad gG_x \mapsto gx.$$

*This map is equivariant.*

**Proposition 2.7.5.** *Suppose that the action of  $G$  on  $X$  is continuous and that  $X$  is a Hausdorff space. Then, any isotropy subgroup  $G_x, x \in X$ , is closed.*

## 2.8 Homogeneous Spaces

Let  $G$  be a topological group.

**Definition 2.8.1.** A *homogeneous  $G$ -space* is just  $G/H$  for a subgroup  $H$  of  $G$ .

**Definition 2.8.2.** A topological space  $X$  without regards to group is *homogeneous* if for all  $x, y \in X$ , there exists a homeomorphism  $\phi : X \rightarrow X$  s.t.  $\phi(x) = y$ .

Topology on  $G/H$  is that of a quotient:  $\pi : G \rightarrow G/H$ . In other words,  $U \subseteq G/H$  open if  $\pi^{-1}(U) \subseteq G$  open.

Note: action of  $G$  on  $G/H$  is continuous:

$$G \times G/H \rightarrow G/H \quad \text{where} \quad (x, gH) \mapsto xgH.$$

**Proposition 2.8.3.** *We have the following facts.*

1.  $G/H$  is a homogeneous space in the sense of topology.
2.  $\pi : G \rightarrow G/H$  is an open map (it takes open sets to open sets).
3.  $H$  compact implies that  $\pi$  is a closed map.
4.  $G/H$  is Hausdorff iff  $H$  is closed.
5.  $G/H$  discrete iff  $H$  open. (HW2)
6. If  $G$  is compact,  $G/H$  discrete and finite iff  $H$  is open.
7.  $H \triangleleft G$  implies  $G/H$  is a topological group.
8.  $H := \overline{\{1\}}$ . Then  $H$  is a normal subgroup of  $G$ , and  $G/H$  is Hausdorff topological group.

*Proof of 1.* Consider left translation

$$L_x : gH \mapsto xgH.$$

This is a homeomorphism since  $L_{x^{-1}}$  is an inverse and both are continuous.  $\square$

*Proof of 2.* We need to show that  $\pi^{-1}\pi(U)$  is open. (Omitted, just do image preimage and write it as union of right cosets).  $\square$

*Proof of 3.* Take  $F \subseteq G$  closed, if  $H$  is a compact subset, then  $FH \subseteq G$  is closed. (From a proposition from textbook).

Notice that  $\pi(F)$  closed iff  $\pi^{-1}\pi(F)$  closed, and the latter equals to  $FH$ .  $\square$

*Proof of 4.* We first show  $\implies$ . Note that  $H = \pi^{-1}(H)$ , which is a point of  $G/H$ , so it's closed. Thus  $H$  is closed.

Then we show  $\Leftarrow$ . Consider the homeomorphism

$$f : G/H \times G/H \rightarrow G \times G/H \times H \quad \text{where} \quad (g_1H, g_2H) \mapsto (g_1, g_2)H \times H.$$

Denote  $\Delta = \{(gH, gH)\}$ . Then  $f(\Delta) = \{(g, g)H \times H\}$  is closed iff  $\pi_{G \times G}^{-1}f(\Delta)$  is closed, which equals to  $\{(g_1, g_2) \mid g_1H = g_2H\} = \{(g_1, g_2) \mid g_1^{-1}g_2 \in H\}$ .  $\square$

Let  $G$  be a topological group,  $H \subseteq G$  a subgroup.

**Proposition 2.8.4.** *If  $H$  and  $G/H$  are compact, then so is  $G$ .*

*Proof.*

$$\pi : G \rightarrow G/H$$

is a [perfect map](#), i.e., a continuous surjective closed map with compact fibers  $\pi^{-1}(x), \forall x \in G/H$ .  $\square$

**Proposition 2.8.5.** *If  $G/H$  and  $H$  are connected, then so is  $G$ .*

*Proof.* Suppose  $G$  is not connected, then there exists  $A \sqcup B = G$ ,  $A, B \neq \emptyset$  open, disjoint  $\subseteq G$ . Then  $\pi(A), \pi(B) \neq \emptyset$ , open because  $\pi$  is always open,  $\pi(A) \cup \pi(B) = G/H$ , which is connected. Therefore  $\pi(A) \cap \pi(B) \neq \emptyset$ . Thus there exists  $gH \in G/H$  s.t.  $gH \cap A \neq \emptyset$  and  $gH \cap B \neq \emptyset$ .  $\square$

## 2.9 Orbits and Homogeneous Spaces

Homogeneous space  $G/G_x$ , we have a bijection:

$$G/G_x \rightarrow G \cdot x \quad \text{where} \quad gG_x \mapsto gx.$$

**Proposition 2.9.1.** *Let  $G \times X \rightarrow X$  be a continuous and transitive action of  $G$  on  $X$ . Fix  $x \in X$  and consider the bijection*

$$\xi_x : G/G_x \rightarrow X \quad \text{given by} \quad \xi_x(gG_x) = gx.$$

*Then  $\xi_x$  is continuous with respect to the quotient topology in  $G/G_x$ .*

**Proposition 2.9.2.** *Let  $G \times X \rightarrow X$  be a topological transitive group action. Suppose  $G$  is locally compact and separable (i.e., has a countable dense subset) and  $X$  is Hausdorff and locally compact, Then*

$$\xi_x : G/G_x \rightarrow X = G \cdot x \quad \forall x \in X$$

*is a homeomorphism.*