# Lie Theory

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# 1 Background

### 1.1 Topology

**Definition 1.1.1.** A topological space is *locally connected* at point x if everyneighborhood of x contains a connected open neighborhood.

# 2 Topologcial Groups

#### 2.1 Introduction

**Definition 2.1.1.** A *topological group* is a group such that

1. he product  $p:G\times G\to G, p(g,h)=gh,$  is a continuous map if  $G\times G$  has the product topology;

2. The map  $\iota: G \to G, \iota(g) = g^{-1}$ , is continuous (hence, a homeomorphism, as  $\iota^{-1} = \iota$ ).

Each element  $g \in G$  defines the following maps.

- left translation:  $L_q: G \to G, L_q(h) = gh;$
- right translation:  $R_q: G \to G, R_q(h) = hg;$
- conjugation:  $C_g: G \to G, C_g(h) = ghg^{-1}$ .

#### 2.2 Neighborhoods of Identity

An (open) neighborhood of  $x \in X$ , where X is a topological space, is an open set U that cointains x.

Let G be a topological group, and  $1 \in G$  is the identity. V(1) refers to the set of all neighborhoods of 1.

**Proposition 2.2.1** (Proposition 2.2). Let G be a t.g. (topological group), V = V(1). Then we'll have

- 1. (T1)for all  $u \in V, 1 \in u$ ;
- 2.  $(T2)u, v \in V \implies u \cap v \in V$ ;
- 3. (TG1) for all  $u \in V$ , there exists  $v \in V$  s.t.  $v^2 \subseteq u$ ;
- 4.  $(TG2) u \in V \implies u^{-1} \in V$ :
- 5. (TG3)  $u \in V, g \in G \implies gug^{-1} \in V$ .

**Definition 2.2.2.** Let G be a group, not necessarily topological group. A system of neighborhood of  $1 \in G$  is a family of sets sastisfying (T1) to (TG3).

**Definition 2.2.3.** Let X be a topological space and  $x \in X$ . A fundamental system of neighborhoods of x is a family F of open sets containing x s.t. for all open u that contains x, there exists  $v \in F$  s.t.  $v \subseteq u$ .

**Theorem 2.2.4** (Proposition 2.5). Let G be an abstract group, V be a system of neighborhoods of 1. There exists a unique topology on G making G into a topological group and s.t. V is a fundamental system of neighborhoods of 1.

idea of proof.  $\Box$ 

**Proposition 2.2.5.** Let G be a topological group. TFAE

- 1. topology of G is a Hausdorff
- 2.  $\{1\}$  is closed in
- 3.  $\bigcap_{U \in \mathcal{V}(1)} U = \{1\}$

#### 2.3 Metrizable Groups

**Definition 2.3.1.** Let G be a topological group. G is metrizable if it has a left-(or right-) invariant distance which defines the tooplogy left-invariant for all  $g \in G$  and d(gx, gy) = d(x, y) for all  $x, y \in G$ .

**Theorem 2.3.2.** A topological group G is metrizable iff it has a countable system of neighborhoods of 1.

#### 2.4 Homomorphisms

We need to talk about  $G \to H$  continuous homomorphisms.

Example 2.4.1. The determinant homomorphism det :  $GL_n(\mathbb{R}) \to \mathbb{R}^* = GL(1,\mathbb{R})$  is continuous.

**Theorem 2.4.2.** Let G, H be topological group. A group homomorphism  $\phi : G \to H$  is continuous iff  $\phi$  is continuous at  $1 \in G$ .

*Proof.*  $\implies$  is obvious. Let's look at the other direction.

Note that  $\phi \circ L_g = L_{\phi(g)} \circ \phi$  as maps  $G \to H$  because

$$(\phi \circ L_q)(g') = \phi(gg') = \phi(g)\phi(g') = (L_{\phi(q)} \circ \phi)(g').$$

Then

$$\phi = L_{\phi(g)} \circ \phi \circ L_{g^{-1}}$$

is continuous at g, as  $L_{g^{-1}}$  is continuous at g,  $\phi$  continuous at 1, and  $L_{\phi(g)}$  continuous everywhere.

**Theorem 2.4.3.** A map  $\phi: G \to H$  is a group homomorphism (G, H are just groups) iff

$$gr(\phi) := \{(g, \phi(g)) \mid g \in G\} \subseteq G \times H.$$

**Proposition 2.4.4.** Let X and Y be topological spaces, such that Y is Hausdorff. A map  $\phi: X \to Y$  is continuous if and only if its graph  $gr(\phi)$  is closed and the projection  $p(x, \phi(x)) = x$  is a homeomorphism.

*Proof.* Suppose  $\phi$  is continuous. Then

$$gr(\phi) = \theta^{-1}(\Delta y)$$
 w.r.t.  $\theta: X \times Y \to Y \times Y$ 

is closed, since tehta is continuous and  $\Delta y$  is closed.

**Theorem 2.4.5.** Suppose G, H are topological groups, H is Hausdorff. The map  $G \to H$  is a continuous homomorphism iff  $gr(\phi)$  is a closed subgroup and  $p: gr(\phi) \to G$  is a homeomorphism.

#### 2.5 Subgroups

Let G be a topological group.  $H \subseteq G$  is a topological subgroup if H is a topological group w.r.t. the induced topology.

**Proposition 2.5.1.** Let G be a topological group. If  $H \subseteq G$  a subgroup, which is open. Then H is also closed.

Proof. Consider

$$Y = \bigcup_{g \in G - H} gH.$$

Y is open, as it is a union of open sets. H is also closed, as G-Y=H. Hence, H is closed.  $\square$ 

**Proposition 2.5.2.** G a topological group,  $H \subseteq G$  a subgroup. Then  $\overline{H}$  is also a subgroup of G.

*Proof.* Note that  $A \subseteq X$  (subset of a topological space),  $x \in \overline{A}$  iff for all open U that contains  $x, U \cap A \neq \emptyset$ . Then we check the followings.

1.  $\overline{H}$  is closed under  $m: G \times G \to G$ .

#### 2.6 Connected Components of Topological Groups

A connected space cannot be written as the union of two disjoint open sets.

A *connected component* of a point  $x \in X$  is the union of all connected sets containing x, which is also the maximal connected set containing x.

A *connected component* of X is a maximal connected subset.

If  $A\subseteq X$  is connected, then the closure  $\overline{A}$  is connected. Thus, every connected component is closed.

Let G be a topological group,  $G_0$  is the connected component of  $1 \in G$ .

**Proposition 2.6.1.**  $G_0$  is a closed normal subgroup of G. The connected components of G are exactly  $gG_0$  for  $g \in G$ .

A neighborhood N of  $x \in X$  is a subset  $N \subseteq X$ ,  $x \in N$  and there exists an open  $U \subseteq X$  s.t  $x \in U \subseteq N$ .

A space is *locally connected* if for every open neighborhood of every point contains a connected open neighborhood.

**Proposition 2.6.2.** If G is locally conencted, then  $G_0$  is open.

**Proposition 2.6.3.** If G connected,  $U \in \mathcal{V}(1)$ , then  $G = \bigcup_{n \geq 1} U^n$ .

#### 2.7 Group Action

Suppose G a group, X a set.

**Definition 2.7.1.** A *left action* of a group G on a set X is a function that associates to  $g \in G$  a map  $a(g) : X \to X$  which satisfies the properties: 1.  $a(1) = \mathrm{id}_X$ , that is, a(1)(x) = x, for every  $x \in X$ ; 2.  $a(gh) = a(g) \circ a(h)$ .

**Definition 2.7.2.** Let  $\phi_x: G \times X \to X, \phi_y: G \times Y \to Y$ . A map  $f: X \to Y$  is G-equivariant if

$$\phi_y(g, f(x)) = f(\phi_x(g, x)).$$

Same stroy for topological groups.

**Definition 2.7.3.** Let G be a topological group, X a topological space, an *action* G on X should be continuous. In other words, G acts on X by homeomorphisms  $\phi_g$ .

Action is *transitive* if X = Gx for some  $x \in X$ . We define the *orbit* of x to be  $Gx = \{gx \mid g \in G\}$ . A *stabilizer* or *isotropy subgroup* of x is  $G_x = \{g \in G \mid gx = x\}$ .

An action is an *effective action* or *faithful* if  $gx = x, \forall x \in X \implies g = 1$ , equivalently,  $\bigcap_{x \in X} G_x = \{1\}$ .

#### Proposition 2.7.4.

$$G/G_x \to X$$
 where  $gG_x \mapsto gx$ .

This map is equivariant.

**Proposition 2.7.5.** Suppose that the action of G on X is continuous and that X is a Hausdorff space. Then, any isotropy subgroup  $G_x, x \in X$ , is closed.

#### 2.8 Homogeneous Spaces

Let G be a topological group.

**Definition 2.8.1.** A homogeneous G-space is just G/H for a subgroup H of G.

**Definition 2.8.2.** A topological space X without regards to group is *homogeneous* if for all  $x, y \in X$ , there exists a homeomorphism  $\phi : X \to X$  s.t.  $\phi(x) = y$ .

Topology on G/H is that of a quotient:  $\pi:G\to G/H$ . In other words,  $U\subseteq G/H$  open if  $\pi^{-1}(U)\subseteq G$  open.

Note: action of G on G/H is continuous:

$$G \times G/H \to G/H$$
 where  $(x, gH) \mapsto xgH$ .

**Proposition 2.8.3.** We have the following facts.

1. G/H is a homogeneous space in the sense of topology.

- 2.  $\pi: G \to G/H$  is an open map (it takes open sets to open sets).
- 3. H compact implies that  $\pi$  is a closed map.
- 4. G/H is Hausdorff iff H is closed.
- 5. G/H discrete iff H open. (HW2)
- 6. If G is compact, G/H discrete and finite iff H is open.
- 7.  $H \triangleleft G$  implies G/H is a topological group.
- 8.  $H := \overline{\{1\}}$ . Then H is a normal subgroup of G, and G/H is Hausdorff topological group.

Proof of 1. Consider left translation

$$L_x: gH \mapsto xgH.$$

This is a homeomorphism since  $L_{x^{-1}}$  is an inverse and both are continuous.  $\square$ 

*Proof of 2.* We need to show that  $\pi^{-1}\pi(U)$  is open. (Omitted, just do image preimage and write it as union of right cosets).

*Proof of 3.* Take  $F \subseteq G$  closed, if H is a compact subset, then  $FH \subseteq G$  is closed. (From a proposition from textbook).

Notice that  $\pi(F)$  closed iff  $\pi^{-1}\pi(F)$  closed, and the latter equals to FH.  $\square$ 

*Proof of 4.* We first show  $\implies$  . Note that  $H=\pi^{-1}(H)$ , which is a point of G/H, so it's closed. Thus H is closed.

Then we show  $\Leftarrow$ . Consider the homeomorphism

$$f: G/H \times G/H \to G \times G/H \times H$$
 where  $(g_1H, g_2H) \mapsto (g_1, g_2)H \times H$ .

Denote  $\Delta = \{(gH, gH)\}$ . Then  $f(\Delta) = \{(g, g)H \times H\}$  is closed iff  $\pi_{G \times G}^{-1} f(\Delta)$  is closed, which equals to  $\{(g_1, g_2) \mid g_1 H = g_2 H\} = \{(g_1, g_2) \mid g_1^{-1} g_2 \in H\}$ .  $\square$ 

Let G be a topological group,  $H \subseteq G$  a subgroup.

**Proposition 2.8.4.** If H and G/H are compact, then so is G.

Proof.

$$\pi:G\to G/H$$

is a perfect map, i.e., a continuous subjective closed map with compact fibers  $\pi^{-1}(x), \forall x \in G/H$ .

**Proposition 2.8.5.** If G/H and H are connected, then so is G.

*Proof.* Suppose G is not connected, then there exists  $A \bigsqcup B = G$ ,  $A, B \neq \emptyset$  open, disjoin  $\subseteq G$ . Then  $\pi(A), \pi(B) \neq \emptyset$ , open because  $\pi$  is always open,  $\pi(A) \cup \pi(B) = G/H$ , which is connected. Therefore  $\pi(A) \cap \pi(B) \neq \emptyset$ . Thus there exists  $gH \in G/H$  s.t.  $gH \cap A \neq \emptyset$  and  $gH \cap B \neq \emptyset$ .

#### 2.9 Orbits and Homogeneous Spaces

Homogeneous space  $G/G_x$ , we hav ea bijection:

$$G/G_x \to G \cdot x$$
 where  $gG_x \mapsto gx$ .

**Proposition 2.9.1.** Let  $G \times X \to X$  be a continuous and transitive action of G on X. Fix  $x \in X$  and consider the bijection

$$\xi_x: G/G_x \to X$$
 given by  $\xi_x(gG_X) = gx$ .

Then  $\xi_x$  is continuous with respect to the quotient topology in  $G/G_x$ .

**Proposition 2.9.2.** Let  $G \times X \to X$  be a topological transitive group action. Suppose G is locally compact and spearable (i.e., has a countable dense subset) and X is Hausdorff and locally compact, Then

$$\xi_x: G/G_x \to X = G \cdot x \quad \forall x \in X$$

is a homeomorphism.

#### 2.10 Examples

We have

$$O(N) = \{ g \in GL(n, \mathbb{R}) \mid gg^T = I_n(\det g = 1) \}.$$

O(n) acts on  $\mathbb{R}^n$  with orbits being  $S_r^{n-1} - \{x \in \mathbb{R}^n \mid |x| = r\}, r \geq 0$ .

Induction implies that O(n), SO(n) are compact, SO(n) connected.

Also  $SL(n,\mathbb{R})$  is connected, as it has for n > -2 has 2 orbits on  $\mathbb{R}^n : \{0\}, \mathbb{R}^n - \{0\}$ . Also  $SL(n,\mathbb{C})$  is connected.

Consider unitary groups

$$U(n) = \{ q \in GL(n, \mathbb{C}) \mid qq^{-T} - I_n(\det q = 1) \}.$$

 $GL(n,\mathbb{F})$  acts on  $\mathbb{P}^{n-1}$ , which is the set of lines through 0 in  $\mathbb{F}^n$ .

 $Gr_k(n, \mathbb{F})$  is the set of k-dimensional subspaces of  $\mathbb{F}^n$ , which is the quotient of the set of  $n \times k$ -matrices of rank k by  $GL(k, \mathbb{F})$  acting on the right.

# 3 Lie Group

#### 3.1 Basics

**Definition 3.1.1.** A Lie group G is a group and a manifold such that

$$m:G\times G\to G$$

is smooth.

The composition of two smooth maps is smooth.

**Proposition 3.1.2.** The inverse map  $\iota: G \to G$  is a diffeomorphism with

$$d\iota_q = -(dL_{q^{-1}})_1 \circ (dR_{q^{-1}})_q.$$

Particularly,  $\iota_1 = -\operatorname{id}$ .

### 3.2 Tangent Bundle to a Manifold

A fiber bundle is a structure  $(E,B,\pi,F)$ , where E,B, and F are topological spaces and  $\pi:E\to B$  is a continuous surjection satisfying a local triviality condition outlined below. The space B is called the base space of the bundle, E the total space, and F the fiber. The map  $\pi$  is called the projection map (or bundle projection). We shall assume in what follows that the base space B is connected.

We require that for every  $x \in B$ , there is an open neighborhood  $U \subseteq B$  of x (which will be called a trivializing neighborhood) such that there is a homeomorphism  $\varphi: \pi^{-1}(U) \to U \times F$  (where  $\pi^{-1}(U)$  is given the subspace topology, and  $U \times F$  is the product space) in such a way that  $\pi$  agrees with the projection onto the first factor. That is, the following diagram should commute:

#### ADD THIS!

Denote the tangent bundle

$$TM = \bigcup_{x \in M} T_x M$$
  $T_x M = \{ m(t) \mid m(0) = x \} / \sim .$ 

#### 3.3 Lie Groups

Let TG be the tangent bundle to a Lie group G. We define

$$d(L_q)_h: T_hG \to T_{qh}G$$
 where  $h'(t) \mapsto (gh)'(t)$ .

Notice that then

$$d(L_q)_1: T_1G \simeq T_qG.$$

Moreover,

$$G \times T_1 G \simeq TG$$
 where  $(g, v) \mapsto (g, d(L_g)_1 v)$ .

Thus, TG is trivial as a vector bundle for a Lie group G. i.e. G is parallelizable.