

**A FIRST COURSE  
IN  
ABSTRACT ALGEBRA**



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**MAT3004 Notebook**

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**Dr. Guang Rao**

*The Chinese University of Hong Kong, Shenzhen*



香港中文大學(深圳)

The Chinese University of Hong Kong, Shenzhen



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# Notations and Conventions

$\mathbb{R}^n$	$n$ -dimensional real space
$\mathbb{C}^n$	$n$ -dimensional complex space
$\mathbb{R}^{m \times n}$	set of all $m \times n$ real-valued matrices
$\mathbb{C}^{m \times n}$	set of all $m \times n$ complex-valued matrices
$x_i$	$i$ th entry of column vector $\mathbf{x}$
$a_{ij}$	$(i, j)$ th entry of matrix $\mathbf{A}$
$\mathbf{a}_i$	$i$ th column of matrix $\mathbf{A}$
$\mathbf{a}_i^T$	$i$ th row of matrix $\mathbf{A}$
$\mathbb{S}^n$	set of all $n \times n$ real symmetric matrices, i.e., $\mathbf{A} \in \mathbb{R}^{n \times n}$ and $a_{ij} = a_{ji}$ for all $i, j$
$\mathbb{H}^n$	set of all $n \times n$ complex Hermitian matrices, i.e., $\mathbf{A} \in \mathbb{C}^{n \times n}$ and $\bar{a}_{ij} = a_{ji}$ for all $i, j$
$\mathbf{A}^T$	transpose of $\mathbf{A}$ , i.e, $\mathbf{B} = \mathbf{A}^T$ means $b_{ji} = a_{ij}$ for all $i, j$
$\mathbf{A}^H$	Hermitian transpose of $\mathbf{A}$ , i.e, $\mathbf{B} = \mathbf{A}^H$ means $b_{ji} = \bar{a}_{ij}$ for all $i, j$
$\text{trace}(\mathbf{A})$	sum of diagonal entries of square matrix $\mathbf{A}$
$\mathbf{1}$	A vector with all 1 entries
$\mathbf{0}$	either a vector of all zeros, or a matrix of all zeros
$\mathbf{e}_i$	a unit vector with the nonzero element at the $i$ th entry
$\mathcal{C}(\mathbf{A})$	the column space of $\mathbf{A}$
$\mathcal{R}(\mathbf{A})$	the row space of $\mathbf{A}$
$\mathcal{N}(\mathbf{A})$	the null space of $\mathbf{A}$
$\text{Proj}_{\mathcal{M}}(\mathbf{A})$	the projection of $\mathbf{A}$ onto the set $\mathcal{M}$



## 8.3. Friday

### 8.3.1. Polynomials

**Definition 8.13** [polynomial] Let  $k$  be a field, and  $f = \sum_{i=0}^n c_i x^i$  be a polynomial in  $k[x]$ .

An element  $a \in k$  is a root of  $f$  if

$$f(a) = \sum_{i=0}^n c_i a^i = 0$$

in  $k$ . ■

question: what is  $k[x]$ ?

**Corollary 8.2** For all  $f \in k[x]$ ,  $a \in k$ , then there exists  $q \in k[x]$  such that

$$f = q(x - a) + f(a)$$

*Proof.* By division theorem, there exists  $q, r \in k[x]$  such that

$$f = q \cdot (x - a) + r, \quad \deg r < \deg(x - a) = 1$$

which implies  $r$  is a constant. Evaluate both sides for  $x = a$ , we have

$$f(a) = r.$$
■

**Proposition 8.18 — root theorem.** Let  $k$  be a field,  $f$  a polynomial in  $k[x]$ . Then  $a \in k$  is a root of  $f$  iff  $(x - a)$  divides  $f$  in  $k[x]$ .

*Proof.* For forward direction, there exists  $q \in k[x]$  such that

$$f = q(x - a) + f(a) = q(x - a) \implies (x - a) \mid f$$

For the reverse direction, if  $f = q(x - a)$  for some  $q \in k[x]$ , then  $f(a) = q(a)(a - a) = 0$ ,

i.e.,  $a$  is a root of  $f$ . ■

**Theorem 8.6** Let  $k$  be a field,  $f$  a nonzero polynomial in  $k[x]$

1. If  $f$  has some degree  $n$ , then it has at most  $n$  roots in  $k$
2. If  $f$  has degree  $n$  and  $a_1, \dots, a_n \in k$  are distinct roots of  $f$ , then

$$f = c \prod_{i=1}^n (x - a_i)$$

for some  $c \in k$ .

*Proof.* 1. We show the first part by induction. Suppose it holds for all nonzero polynomials with degree strictly less than  $n$ , and  $\deg f = n$ . If  $f$  has no roots in  $k$ , the proof is complete, otherwise suppose a root  $a \in k$ . There exists  $q \in k[x]$  such that

$$f = q(x - a)$$

For the any other root  $b \in k$ , we have

$$0 = q(b)(b - a)$$

Since  $k$  is a field, it has no zero divisors, which implies  $q(b) = 0$ , since  $b - a \neq 0$ . Thus  $b$  is a root of  $q$ . Since  $\deg q < n$ , by induction we imply  $q$  has at most  $n - 1$  roots, i.e.,  $f$  has at most  $n - 1$  roots that are different from  $a$ .

2. If  $n = 1$ , then  $f = c_0 + c_1x$  for some  $c_i \in k$  with  $c_1 \neq 0$ , which implies

$$0 = f(a_1) = c_0 + c_1a_1 \implies c_0 = -c_1a_1 \implies f = -c_1a_1 + c_1x = c_1(x - a_1)$$

Suppose  $n > 1$ , and the claim holds for all  $n' \in \mathbb{N}$  such that  $n' < n$ . By previous claim, there exists  $q \in k[x]$  such that

$$f = q(x - a_n)$$

Since  $\deg q = n - 1$ , and for  $1 \leq i < n$ , we have

$$0 = f(a_i) = q(a_i)(a_i - a_n) \implies q(a_i) = 0,$$

which implies  $a_1, \dots, a_{n-1}$  are  $n - 1$  distinct roots of  $q$  as well. Thus there exists  $c \in k$  s.t.

$$q = c(x - a_1) \cdots (x - a_{n-1}),$$

which follows that

$$f = q(x - a_n) = c(x - a_1) \cdots (x - a_n)$$

■

**Corollary 8.3** Let  $k$  be a field. Let  $f, g$  be nonzero polynomials in  $k[x]$ . Let  $n = \max\{\deg f, \deg g\}$ . If  $f(a) = g(a)$  for  $n + 1$  distinct  $a \in k$ , then  $f = g$ .

*Proof.* Let  $h = f - g$ , then  $\deg h \leq n$ . There are  $n + 1$  distinct elements  $a \in k$  s.t.  $h(a) = 0$ . If  $h \neq 0$ , then it is a nonzero polynomial of degree  $\leq n$  which has  $n + 1$  distinct roots, which is a contradiction.  $h = 0$  implies  $f = g$ . ■

**Definition 8.14** A polynomial in  $k[x]$  is called a **monic polynomial** if its leading coefficient is 1. ■

**Theorem 8.7** Let  $k$  be a field, then the ring  $k[x]$  is a PID.

**Corollary 8.4** Let  $k$  be a field, and  $f, g$  be nonzero polynomials in  $k[x]$ . There exists a unique monic polynomial  $d \in k[x]$  with the following properties:

1.  $(f, g) = (d)$
2.  $d$  divides both  $f$  and  $g$ , i.e., there exists  $a, b \in k[x]$  s.t.  $f = ad, g = bd$
3. There are polynomials  $p, q \in k[x]$  such that  $d = pf + qg$
4. If  $h \in k[x]$  is a divisor of  $f, g$ , then  $h$  divides  $d$ .

This  $d \in k[x]$  is called the **greatest common divisor** (GCD) of  $f$  and  $g$ . We say  $f$  and  $g$  are **relatively prime** if their GCD is 1.

*Proof.* By the PID theorem, there exists  $d = \sum_{n=0}^{\infty} a_n x^n \in k[x]$  such that  $(d) = (f, g)$ . Replacing  $d$  with  $a_n^{-1}d$ , we assume  $d$  is a monic polynomial. It remains to show that  $d$  is unique.

Suppose  $(d) = (d')$ , there exists nonzero  $p, q \in k[x]$  such that

$$d' = pd, \quad d = qd'$$

which follows that

$$\deg d' = \deg d + \deg p, \quad \deg d = \deg q + \deg d' = \deg q + \deg d + \deg p,$$

i.e.,  $\deg p = \deg q = 0$ . Thus  $\deg d = \deg d'$ . Comparing the leading coefficients of  $d'$  and  $pd$ , we have  $p = 1$ , i.e.,  $d = d'$ .

The remaining part follows similarly. ■

**Definition 8.15** [Irreducible] Let  $R$  be a commutative ring. A non-zero element  $p \in R$  which is not a unit is said to be **irreducible** if  $p = ab$  implies that either  $a$  or  $b$  is a unit. ■

■ **Example 8.10** The set of irreducible elements in the ring  $\mathbb{Z}$  is

$$\{\pm p \mid p \text{ is a prime number}\}$$

Let  $k$  be a field.

**Proposition 8.19** A polynomial  $f \in k[x]$  is a unit iff it is a **nonzero** constant polynomial.

**Proposition 8.20** A nonzero nonconstant polynomial  $p \in k[x]$  is **irreducible** iff there is no  $f, g \in k[x]$  with  $\deg f, \deg g < \deg p$ , such that  $fg = p$ .

*Proof.* 1. Suppose  $p$  is irreducible, and  $p = fg$  for some  $f, g \in k[x]$  such that  $\deg f, \deg g <$

$\deg p$ . Then  $p = fg$  implies that  $\deg f, \deg g$  are both positive. By previous lemma, both  $f, g$  are non-units, which is a contradiction.

2. Conversely, suppose  $p$  is a nonzero non-unit in  $k[x]$ , which is not equal to  $fg$  for  $\forall f, g \in k[x]$  with  $\deg f, \deg g < \deg p$ . Then  $p = ab$  for  $a, b \in k[x]$  implies that either  $a$  or  $b$  must have the same degree as  $p$ , and the other factor must be a nonzero constant, i.e., a unit in  $k[x]$ . Thus  $p$  is irreducible. ■

**Proposition 8.21 — Euclid's Lemma.** Let  $k$  be a field. Let  $f, g$  be polynomials in  $k[x]$ . Let  $p$  be an irreducible polynomial in  $k[x]$ . If  $p \mid fg$  in  $k[x]$ , then  $p \mid f$  or  $p \mid g$ .

*Proof.* Suppose  $p$  not divides  $f$ , then any **common divisor** of  $p$  and  $f$  must have degree strictly less than  $\deg p$ . Since  $p$  is irreducible, this implies that any common divisor of  $p$  and  $f$  is a nonzero constant. Thus the GCD of  $p$  and  $f$  is 1. There exists  $a, b \in k[x]$  such that

$$ap + bf = 1 \implies apg + bfg = g$$

Since  $p$  divides the LHS, it also divides the RHS. ■

**Proposition 8.22** If  $f, g \in k[x]$  are relatively prime, and both divide  $h \in k[x]$ , then  $fg \mid h$ .

question

**Theorem 8.8 — Unique Factorization.** Let  $k$  be a field. Every non-constant polynomial  $f \in k[x]$  may be written as

$$f = cp_1 \cdots p_n$$

where  $c$  is a non-zero constant, and each  $p_i$  is a monic irreducible polynomials in  $k[x]$ . The factorization is **unique** up to the ordering of the factors.

*Proof.* Similar to the proof of unique factorization for  $\mathbb{Z}$  ■

**Theorem 8.9** Let  $k$  be a field,  $p$  be a polynomial in  $k[x]$ . The following statements are equivalent:

1.  $k[x]/(p)$  is a field
2.  $k[x]/(p)$  is an integral domain
3.  $p$  is irreducible in  $k[x]$ .

*Proof.* 1. (2) implies (3): If  $p$  is not irreducible, then there exists  $f, g \in k[x]$  with degree strictly less than that of  $p$ , such that  $p = fg$ .

It's clear that  $p$  does not divide  $f$  or  $g$  in  $k[x]$ . The equivalence classes  $\bar{f}$  and  $\bar{g}$  of  $f$  and  $g$ , respectively, modulo  $(p)$  is not equal to zero in  $k[x]/(p)$ . (question) On the other hand,  $\bar{f} \cdot \bar{g} = \overline{fg} = \bar{p} = 0$  in  $k[x]/(p)$ , which implies that  $k[x]/(p)$  is not an integral domain, which is a contradiction.

2. (3) implies (1): By definition, the multiplicative identity 1 of a field is different from additive identity 0. We first check that the equivalence class  $1 \in k[x]$  in  $k[x]/(p)$  is not zero. Since  $p$  is irreducible, we have  $\deg p > 0$ , and  $1 \notin (p)$ . Therefore  $1 + (p) \neq 0 + (p)$  in  $k[x]/(p)$ .

Next, we need to show the existence of multiplicative inverse of any nonzero element in  $k[x]/(p)$ . Given any  $f \in k[x]$  whose equivalence  $\bar{f}$  modulo  $(p)$  is nonzero in  $k[x]/(p)$ , we want to construct  $\bar{f}^{-1}$ . Since  $\bar{f} \neq 0$  in  $k[x]/(p)$ , we have  $f - 0 \notin (p)$ , i.e.,  $p$  does not divide  $f$ . Since  $p$  is irreducible, we have  $\gcd(p, f) = 1$ . There exists  $g, h \in k[x]$  such that  $fg + hp = 1$ . Thus  $\bar{f}^{-1} = \bar{g}$ . This is because  $fg - 1 = hp$  implies  $fg - 1 \in (p)$ , i.e.,  $\bar{f}\bar{g} = \bar{fg} = 1$  in  $k[x]/(p)$ .

■

### 8.3.2. Polynomials over $\mathbb{Z}$ and $\mathbb{Q}$

**Theorem 8.10** Let  $f = a_0 + a_1x + \cdots + a_nx^n$  be a polynomial in  $\mathbb{Q}[x]$ , with  $a_i \in \mathbb{Z}$ . Every rational root  $r$  of  $f$  in  $\mathbb{Q}$  has the form  $r = b/c$  ( $b, c \in \mathbb{Z}$ ), where  $b|a_0$  and  $c|a_n$ .

*Proof.* Let  $r = b/c$  be a rational root of  $f$ , where  $b, c$  are relatively prime integers. We have

$$0 = \sum_{i=1}^n a_i (b/c)^i$$



Multiplying both sides above equation by  $c^n$ , we have

$$0 = a_0c^n + a_1c^{n-1}b + \cdots + a_nb^n$$

or equivalently,

$$a_0c^n = -(a_1c^{n-1}b + \cdots + a_nb^n)$$

Since  $b$  divides the RHS, and  $b, c$  are relatively prime,  $b$  must divide  $a_0$ . Similarly,

$$a_nb^n = -(a_0c^n + \cdots + a_{n-1}cb^{n-1})$$

It is clear that  $c$  divides  $a_n$ . ■

**Definition 8.16** A polynomial  $f \in \mathbb{Z}[x]$  is said to be **primitive** if the gcd of its coefficients is 1. ■

R Note that if  $f$  is monic, i.e., its leading coefficient is 1, then it is primitive. If  $d$  is the gcd of the coefficients of  $f$ , then  $\frac{1}{d}f$  is a primitive polynomial in  $\mathbb{Z}[x]$ .

**Theorem 8.11 — Gauss's Lemma.** If  $f, g$  are both primitive, then  $fg$  is primitive.

*Proof.* Write  $f = \sum_{k=0}^m a_kx^k$  and  $g = \sum_{k=0}^n b_kx^k$ , then  $fg = \sum_{k=0}^{m+n} c_kx^k$ , where

$$c_k = \sum_{i+j=k} a_ib_j.$$

Assume that  $fg$  is not primitive, then there exists a prime  $p$  such that  $p$  divides  $c_k$  for  $k = 0, 1, \dots, m+n$ . Since  $f$  is primitive, there exists smallest  $u$  s.t.  $a_u$  is not dividible by  $p$ ; similarly, a smallest  $v$  s.t.  $b_v$  is not dividible by  $p$ . We have

$$c_{u+v} = \left( \sum_{i+j=u+v, (i,j) \neq (u,v)} a_ib_j \right) + a_ub_v,$$

which implies that

$$a_u b_v = c_{u+v} - \left( \sum_{i+j=u+v, i < u} a_i b_j \right) - \left( \sum_{i+j=u+v, i > u} a_i b_j \right)$$

By the minimum conditons on  $u$  and  $v$ , each term on the RHS of the above equation is divisible by  $p$ . Thus  $p$  divides  $a_u$  and  $b_v$ , which implies that  $p$  divides either  $a_u$  or  $b_v$ , which is a contradiction. ■

**Proposition 8.23** Every nonzero  $f \in \mathbb{Q}[x]$  has a unique factorization:

$$f = c(f) f_0,$$

where  $c(f)$  is a positive rational number, and  $f_0$  is a primitive polynomial in  $\mathbb{Z}[x]$ .

**Definition 8.17** The rational number  $c(f)$  is called the **content** of  $f$ . ■

