

Intractability

Introduction

A problem is intractable if it can't be solved in polynomial time.

- Church-Turing thesis
 - Turing machines can compute any function that can be computed by a physically harnessable process of the natural world
 - No need to seek more powerful machines or languages
 - Enables rigorous study of computation
- Which problems can we solve in practice?
 - Those with poly-time algorithms

Search problems

Four fundamental problems

LSOLVE. Given a system of **linear equations**, find a solution.

$$\begin{array}{rcl} 0x_0 + 1x_1 + 1x_2 & = & 4 \\ 2x_0 + 4x_1 - 2x_2 & = & 2 \\ 0x_0 + 3x_1 + 15x_2 & = & 36 \end{array} \quad \begin{array}{rcl} x_0 & = & -1 \\ x_1 & = & 2 \\ x_2 & = & 2 \end{array} \quad \leftarrow \text{variables are real numbers}$$

LP. Given a system of **linear inequalities**, find a solution.

$$\begin{array}{rcl} 48x_0 + 16x_1 + 119x_2 & \leq & 88 \\ 5x_0 + 4x_1 + 35x_2 & \geq & 13 \\ 15x_0 + 4x_1 + 20x_2 & \geq & 23 \\ x_0 + x_1 + x_2 & \geq & 0 \end{array} \quad \begin{array}{rcl} x_0 & = & 1 \\ x_1 & = & 1 \\ x_2 & = & 1/5 \end{array} \quad \leftarrow \text{variables are real numbers}$$

ILP. Given a system of **linear inequalities**, find a 0-1 solution.

$$\begin{array}{rcl} x_1 + x_2 & \geq & 1 \\ x_0 + x_2 & \geq & 1 \\ x_0 + x_1 + x_2 & \leq & 2 \end{array} \quad \begin{array}{rcl} x_0 & = & 0 \\ x_1 & = & 1 \\ x_2 & = & 1 \end{array} \quad \leftarrow \text{variables are 0 or 1}$$

SAT. Given a system of **boolean equations**, find a binary solution.

$$\begin{array}{l} (x_1 \text{ or } x_2) \text{ and } (x_0 \text{ or } x_2) = \text{true} \\ (x_0 \text{ or } x_1) \text{ and } (x_1 \text{ or } x_2) = \text{false} \\ (x_0 \text{ or } x_2) \text{ and } (x_0) = \text{true} \end{array} \quad \begin{array}{rcl} x_0 & = & \text{false} \\ x_1 & = & \text{false} \\ x_2 & = & \text{true} \end{array} \quad \leftarrow \text{variables are "true" or "false"}$$

LSOLVE. Given a system of linear equations, find a solution.

LP. Given a system of linear inequalities, find a solution.

ILP. Given a system of linear inequalities, find a 0-1 solution.

SAT. Given a system of boolean equations, find a binary solution.

Q. Which of these problems have **poly-time** algorithms?



- LSOLVE. Yes. Gaussian elimination solves N -by- N system in N^3 time.
- LP. Yes. Ellipsoid algorithm is poly-time. \leftarrow but was open problem for decades
- ILP, SAT. No poly-time algorithm known or believed to exist! \leftarrow but we still don't know for sure

P vs. NP

- NP is the class of all search problems

| problem | description | poly-time algorithm | instance I | solution S |
|----------------------|--------------------------------------------------------|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|
| LSOLVE (A, b) | Find a vector x that satisfies $Ax = b$ | Gaussian elimination | $0x_0 + 1x_1 + 1x_2 = 4$ $2x_0 + 4x_1 - 2x_2 = 2$ $0x_0 + 3x_1 + 15x_2 = 36$ | $x_0 = -1$ $x_1 = 2$ $x_2 = 2$ |
| LP (A, b) | Find a vector x that satisfies $Ax \leq b$ | ellipsoid | $48x_0 + 16x_1 + 119x_2 \leq 88$ $5x_0 + 4x_1 + 35x_2 \leq 13$ $15x_0 + 4x_1 + 20x_2 \leq 23$ $x_0 - x_1 - x_2 \leq 0$ | $x_0 = 1$ $x_1 = 1$ $x_2 = \frac{1}{5}$ |
| ILP (A, b) | Find a binary vector x that satisfies $Ax \leq b$ | ??? | $x_0 + x_1 \geq 1$ $x_0 + x_2 \geq 1$ $x_0 + x_1 + x_2 \geq 2$ | $x_0 = 0$ $x_1 = 1$ $x_2 = 1$ |
| SAT (Φ, b) | Find a boolean vector x that satisfies $\Phi(x) = b$ | ??? | $(x'_0 \text{ or } x'_1) \text{ and } (x_0 \text{ or } x_2) = \text{true}$ $(x_0 \text{ or } x_2) \text{ and } (x_1 \text{ or } x'_2) = \text{false}$ $(x_0 \text{ or } x_2) \text{ and } (x'_0) = \text{true}$ | $x_0 = \text{false}$ $x_1 = \text{false}$ $x_2 = \text{true}$ |
| FACTOR (x) | Find a nontrivial factor of the integer x | ??? | 147573952589676412927 | 193707721 |

- P is the class of search problems solvable in poly-time

| problem | description | poly-time algorithm | instance I | solution S |
|-------------------------|-------------------------------------------------|----------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| LSOLVE (A, b) | Find a vector x that satisfies $Ax = b$ | Gaussian elimination (Edmonds 1967) | $0x_0 + 1x_1 + 1x_2 = 4$ $2x_0 + 4x_1 - 2x_2 = 2$ $0x_0 + 3x_1 + 15x_2 = 36$ | $x_0 = -1$ $x_1 = 2$ $x_2 = 2$ |
| LP (A, b) | Find a vector x that satisfies $Ax \leq b$ | ellipsoid (Khachiyan 1979) | $48x_0 + 16x_1 + 119x_2 \leq 88$ $5x_0 + 4x_1 + 35x_2 \leq 13$ $15x_0 + 4x_1 + 20x_2 \leq 23$ $x_0 - x_1 - x_2 \leq 0$ | $x_0 = 1$ $x_1 = 1$ $x_2 = \frac{1}{5}$ |
| SORT (a) | Find a permutation that puts array a in order | mergesort (von Neumann 1945) | 2 3 8 5 1 2 9 1 2 2 0 3 | 5 2 4 0 1 3 |
| STCONN (G, s, t) | Find a path in a graph G from s to t | depth-first search (Theseus) |  |  |

Nondeterminism

- nondeterminism machine can guess the desired solution

Classifying Problems

- Problem X poly-time reduces to problem Y if X can be solved with:
 - Polynomial number of standard computational steps
 - Polynomial number of calls to Y
- Consequence
 - If we can poly-time reduce SAT to problem Y , then we conclude that Y is (probably) intractable

SAT reduces to ILP

SAT. Given a system of boolean equations, find a solution.

$$x'_1 \text{ or } x_2 \text{ or } x_3 = \text{true}$$

$$x_1 \text{ or } x'_2 \text{ or } x_3 = \text{true}$$

$$x'_1 \text{ or } x'_2 \text{ or } x'_3 = \text{true}$$

$$x'_1 \text{ or } x'_2 \text{ or } x_4 = \text{true}$$

← can reduce any SAT problem to this form

ILP. Given a system of linear inequalities, find a binary solution.

$C_i = 1$ iff equation i is satisfied

$$C_1 \geq 1 - x_1$$

$$C_1 \geq x_2$$

$$C_1 \geq x_3$$

$$C_1 \leq (1 - x_1) + x_2 + x_3$$

$$C_2 \geq x_1$$

$$C_2 \geq 1 - x_2$$

$$C_2 \geq x_3$$

$$C_2 \leq 1 + x_1 - x_2 + x_3$$

$$C_3 \geq 1 - x_1$$

$$C_3 \geq 1 - x_2$$

$$C_3 \geq 1 - x_3$$

$$C_3 \leq 3 - x_1 - x_2 - x_3$$

$$C_4 \geq 1 - x_1$$

$$C_4 \geq 1 - x_2$$

$$C_4 \geq x_4$$

$$C_4 \leq 2 - x_1 - x_2 + x_4$$

$\Phi = 1$ iff all equations are satisfied

$$\Phi \leq C_1$$

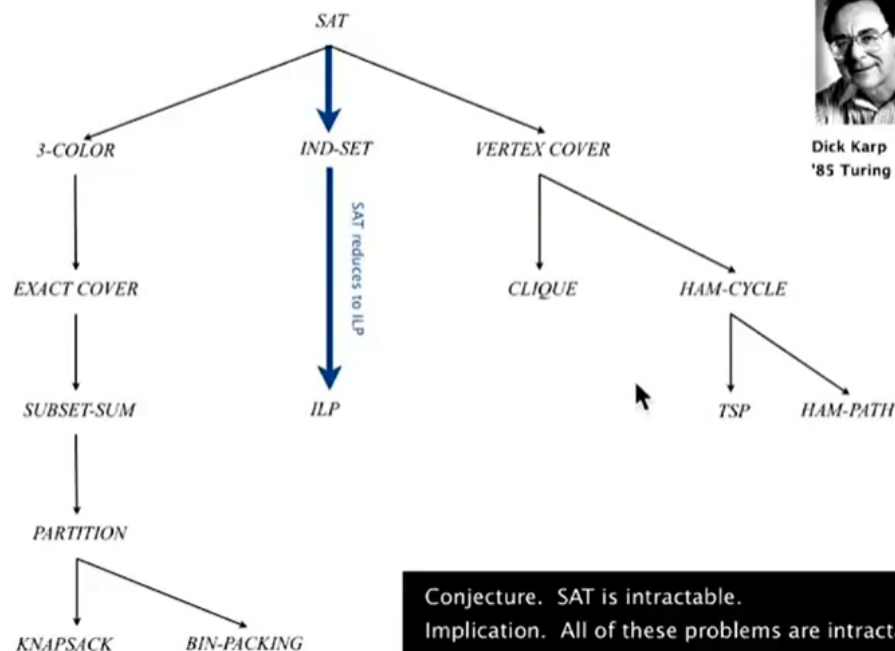
$$\Phi \leq C_2$$

$$\Phi \leq C_3$$

$$\Phi \leq C_4$$

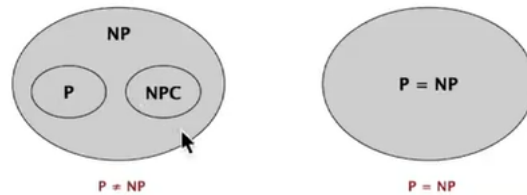
$$\Phi \geq C_1 + C_2 + C_3 + C_4 - 3$$

More reductions to SAT



NP-completeness

- An NP problem is NP-complete if all problems in NP poly-time reduce to it
- SAT is NP-complete
- Implication
 - Poly-time algorithm for SAT iff $P=NP$
 - No poly-time algorithm for some NP problem \Rightarrow none for SAT



Summary

- P---class of search problems solvable in poly-time
- NP---class of all search problems, some of which seem wickedly hard
- NP-complete---hardest problems in NP
- Intractable---problem with no poly-time algorithm
- Use a theory guide
 - A poly-time algorithm for an NP-complete problem would be a stunning breakthrough (a proof that $P=NP$)
 - You will confront NP-complete problems in your career
 - Safe to assume that $P \neq NP$ and that such problems are intractable
 - Identify these situations and proceed accordingly

Coping with Intractability

- FACTOR
 - In NP, but not known (or believed) to be in P or NP-complete
- Relax one of desired features
 - Solve arbitrary instances of the problem

Special cases may be tractable.

- Ex: Linear time algorithm for 2-SAT. ← at most two literals per equation
- Ex: Linear time algorithm for Horn-SAT. ← at most one un-negated literal per equation

- Solve the problem to optimality

Develop a heuristic, and hope it produces a good solution.

- No guarantees on quality of solution.
- Ex. TSP assignment heuristics.
- Ex. Metropolis algorithm, simulating annealing, genetic algorithms.

Approximation algorithm. Find solution of provably good quality.

- Ex. MAX-3SAT: provably satisfy 87.5% as many clauses as possible.

but if you can guarantee to satisfy 87.51% as many clauses as possible in poly-time, then $P = NP$!

- Solve the problem in poly-time

Complexity theory deals with worst case behavior.

- Instance(s) you want to solve may be "easy."
- Chaff solves real-world SAT instances with $\sim 10K$ variable.