Hash

- computing the hash function
- equality test: Method for checking whether two keys are equal
- collision resolution: Algorithm and data structure to handle two keys that hash to the same array index

hash functions

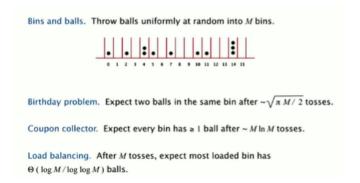
Goal

- Scramble the keys uniformly to produce a table index
 - o efficiently computable
 - o each table index equally likely for each other

Java's hash code

```
1 | if x.equals(y), then x.hashCode() == y.hashCode()
```

Uniform hashing assumption



separate chaining

Collision

```
equals() and hashCode()

Consequence. Number of probes for search/insert is proportional to N/M.

• M too large ⇒ too many empty chains.

• M too small ⇒ chains too long.

• Typical choice: M ~ N/5 ⇒ constant-time ops.
```

```
public class SeparateChainingHashST<Key, Value> {
   private static final int INIT_CAPACITY = 4;
   private int n;  // number of key-value pairs
```

```
5
        private int m;
                                                        // hash table size
 6
        private SequentialSearchST<Key, Value>[] st; // array of linked-list
    symbol tables
 7
 8
        public SeparateChainingHashST() {
 9
            this(INIT_CAPACITY);
        }
10
11
12
        public SeparateChainingHashST(int m) {
13
            this.m = m;
14
            st = (SequentialSearchST<Key, Value>[]) new SequentialSearchST[m];
            for (int i = 0; i < m; i++)
15
16
                 st[i] = new SequentialSearchST<Key, Value>();
17
        }
18
        private void resize(int chains) {
19
20
            SeparateChainingHashST<Key, Value> temp = new
    SeparateChainingHashST<Key, Value>(chains);
21
            for (int i = 0; i < m; i++) {
                 for (Key key : st[i].keys()) {
22
23
                     temp.put(key, st[i].get(key));
24
                }
25
            }
26
            this.m = temp.m;
27
            this.n = temp.n;
28
            this.st = temp.st;
29
        }
30
31
        private int hashTextbook(Key key) {
32
            return (key.hashCode() & 0x7fffffff) % m;
33
        }
34
35
        private int hash(Key key) {
36
            int h = key.hashCode();
37
            h \land = (h >>> 20) \land (h >>> 12) \land (h >>> 7) \land (h >>> 4);
38
            return h \& (m-1);
        }
39
40
        public int size() {
41
42
            return n;
43
        }
44
        public boolean isEmpty() {
45
46
            return size() == 0;
47
        }
48
49
        public boolean contains(Key key) {
50
            if (key == null) throw new IllegalArgumentException("argument to
    contains() is null");
51
            return get(key) != null;
52
        }
53
```

```
54
         public Value get(Key key) {
             if (key == null) throw new IllegalArgumentException("argument to get()
 55
     is null");
             int i = hash(key);
 56
 57
             return st[i].get(key);
 58
         }
 59
         public void put(Key key, Value val) {
 60
 61
             if (key == null) throw new IllegalArgumentException("first argument to
     put() is null");
 62
             if (val == null) {
 63
                 delete(key);
 64
                 return;
 65
             }
 66
             if (n \ge 10*m) resize(2*m);
 67
 68
 69
             int i = hash(key);
             if (!st[i].contains(key)) n++;
 70
             st[i].put(key, val);
 71
 72
         }
 73
 74
         public void delete(Key key) {
 75
             if (key == null) throw new IllegalArgumentException("argument to
     delete() is null");
 76
 77
             int i = hash(key);
 78
             if (st[i].contains(key)) n--;
 79
             st[i].delete(key);
 80
 81
             if (m > INIT_CAPACITY && n <= 2*m) resize(m/2);</pre>
 82
         }
 83
 84
         public Iterable<Key> keys() {
 85
             Queue<Key> queue = new Queue<Key>();
             for (int i = 0; i < m; i++) {
 86
 87
                 for (Key key : st[i].keys())
 88
                      queue.enqueue(key);
 89
             }
 90
             return queue;
 91
         }
 92
 93
         public static void main(String[] args) {
 94
             SeparateChainingHashST<String, Integer> st = new
     SeparateChainingHashST<String, Integer>();
 95
             for (int i = 0; !StdIn.isEmpty(); i++) {
                 String key = StdIn.readString();
 96
 97
                 st.put(key, i);
 98
 99
             for (String s : st.keys())
100
                 StdOut.println(s + " " + st.get(s));
101
         }D
```

linear probing

Open addressing

- when a new key collides, find next empty slot, and put it there
- Array size M must be greater than number of key-value pairs N

Complexity

$$\sim \frac{1}{2} \left(1 + \frac{1}{1 - \alpha} \right) \qquad \sim \frac{1}{2} \left(1 + \frac{1}{(1 - \alpha)^2} \right)$$
 search hit search miss / insert

implementation	worst-case cost (after N inserts)			average case (after N random inserts)			ordered	key
	search	insert	delete	search hit	insert	delete	iteration?	interface
sequential search (unordered list)	N	N	N	N/2	N	N/2	no	equals()
binary search (ordered array)	lg N	N	N	lg N	N/2	N/2	yes	compareTo()
BST	N	N	N	1.38 lg N	1.38 lg N	7	yes	compareTo()
red-black tree	2 lg N	2 lg N	2 lg N	1.00 lg N	1.00 lg N	1.00 lg N	yes	compareTo()
separate chaining	lg N °	lg N *	lg N *	3.5 *	3-5 *	3.5 •	no	equals()
linear probing	lg N *	lg N *	lg N *	3-5 *	3-5 *	3.5 *	no	equals()

Parameters

- M too large ⇒ too many empty array entries.
- M too small \Rightarrow search time blows up.
- Typical choice: α = N/M ~ ½.
 # probes for search hit is about 3/2
 # probes for search miss is about 5/2

```
private Value[] vals; // the values
 9
10
        public LinearProbingHashST() {
11
             this(INIT_CAPACITY);
12
        }
13
        public LinearProbingHashST(int capacity) {
14
15
             m = capacity;
16
             n = 0;
17
             keys = (Key[]) new Object[m];
18
             vals = (Value[]) new Object[m];
19
        }
20
21
        public int size() {
22
             return n;
23
        }
24
25
        public boolean isEmpty() {
             return size() == 0;
26
27
        }
28
29
        public boolean contains(Key key) {
30
             if (key == null) throw new IllegalArgumentException("argument to
    contains() is null");
31
             return get(key) != null;
32
        }
33
34
        private int hashTextbook(Key key) {
35
             return (key.hashCode() & 0x7ffffffff) % m;
36
        }
37
38
        private int hash(Key key) {
39
             int h = key.hashCode();
40
             h \wedge = (h \rangle 20) \wedge (h \rangle 12) \wedge (h \rangle 7) \wedge (h \rangle 4);
41
             return h & (m-1);
42
        }
43
44
        private void resize(int capacity) {
45
             LinearProbingHashST<Key, Value> temp = new LinearProbingHashST<Key,</pre>
    Value>(capacity);
             for (int i = 0; i < m; i++) {
46
47
                 if (keys[i] != null) {
48
                     temp.put(keys[i], vals[i]);
49
                 }
50
             }
51
             keys = temp.keys;
52
             vals = temp.vals;
53
                = temp.m;
54
        }
55
56
        public void put(Key key, Value val) {
```

```
if (key == null) throw new IllegalArgumentException("first argument to
     put() is null");
 58
             if (val == null) {
 59
 60
                 delete(key);
 61
                 return;
             }
 62
 63
 64
             if (n \ge m/2) resize(2*m);
 65
 66
             int i;
             for (i = hash(key); keys[i] != null; i = (i + 1) % m) {
 67
 68
                 if (keys[i].equals(key)) {
 69
                      vals[i] = val;
 70
                      return;
                 }
 71
 72
             }
 73
             keys[i] = key;
 74
             vals[i] = val;
 75
             n++;
 76
         }
 77
 78
         public Value get(Key key) {
 79
             if (key == null) throw new IllegalArgumentException("argument to get()
     is null");
 80
             for (int i = hash(key); keys[i] != null; i = (i + 1) % m)
 81
                 if (keys[i].equals(key))
 82
                      return vals[i];
 83
             return null;
 84
         }
 85
 86
         public void delete(Key key) {
 87
             if (key == null) throw new IllegalArgumentException("argument to
     delete() is null");
             if (!contains(key)) return;
 88
 89
 90
             int i = hash(key);
             while (!key.equals(keys[i])) {
 91
 92
                 i = (i + 1) \% m;
 93
             }
 94
 95
             keys[i] = null;
 96
             vals[i] = null;
 97
 98
             i = (i + 1) \% m;
 99
             while (keys[i] != null) {
                 Key keyToRehash = keys[i];
100
101
                 Value valToRehash = vals[i];
102
                  keys[i] = null;
103
                 vals[i] = null;
104
                 n--;
105
                  put(keyToRehash, valToRehash);
```

```
106
                  i = (i + 1) \% m;
107
              }
108
109
              n--;
110
111
              if (n > 0 \& n \le m/8) resize(m/2);
112
              assert check();
113
         }
114
115
116
         public Iterable<Key> keys() {
117
              Queue<Key> queue = new Queue<Key>();
118
              for (int i = 0; i < m; i++)
119
                  if (keys[i] != null) queue.enqueue(keys[i]);
120
              return queue;
         }
121
122
123
         private boolean check() {
124
125
              if (m < 2*n) {
126
                  System.err.println("Hash table size m = " + m + "; array size n =
     " + n);
127
                  return false;
128
              }
129
130
              for (int i = 0; i < m; i++) {
131
                  if (keys[i] == null) continue;
132
                  else if (get(keys[i]) != vals[i]) {
133
                      System.err.println("get[" + keys[i] + "] = " + get(keys[i]) +
     "; vals[i] = " + vals[i]);
134
                      return false;
135
                  }
136
              }
137
              return true;
         }
138
139
         public static void main(String[] args) {
140
141
              LinearProbingHashST<String, Integer> st = new
     LinearProbingHashST<String, Integer>();
142
              for (int i = 0; !StdIn.isEmpty(); i++) {
143
                  String key = StdIn.readString();
                  st.put(key, i);
144
145
              }
146
              for (String s : st.keys())
147
                  StdOut.println(s + " " + st.get(s));
148
149
         }
150
    }
```

Comparision

- separate chaining
 - o easier to implement delete
 - o performance degrades gracefully
 - o clustering less sensitive to poorly-designed has funtion
- linear probing
 - o less wasted space
 - o better cache performance

context

One-way hash function

hard to find a key that will hash to a desired value (or two keys that hash to the same value)

• e.g., MD4, MD5, SHA-0, SHA-1, SHA-2, WHIRLPOOL, RIPEMD-160

Hashing: variations on the theme

Two-probe hashing

- hash two positions, insert key in shorter of the two chains
- ullet reduces expected length of the longest chain to $log\ log N$

Double hashing

- use linear probing, but skip a variable amount, not just 1 each time
- · effectively eliminates clustering
- can allow table to become nearly full
- more difficult to implement delete

Cukoo hashing

- hash keys two positions; insert key into either position; if occupied, reinsert displaced key into its alternative position (and recur)
- constant worst case time for search

Hash Table vs. BST

- hash table
 - simpler to code
 - o no effective alternative for unordered keys
 - faster for simple keys
 - better system support in java for strings
- BST
 - stronger performance guarantee

- support for ordered ST operations
- \circ easier to implement compareTo() correctly than equals() and hashCode()

Symbol Table Applications

Set

a collection of distinct keys

API

```
public class SET<Key extends Comparable<Key>>

SET()

void add(Key key)

boolean contains(Key key)

void remove(Key key)

int size()

return the number of keys in the set

Iterator<Key> iterator()

iterator through keys in the set
```

Filter

```
1
    public class BlockFilter {
 2
 3
        // Do not instantiate.
 4
        private BlockFilter() { }
 5
 6
        public static void main(String[] args) {
 7
            SET<String> set = new SET<String>();
 8
 9
            // read in strings and add to set
            In in = new In(args[0]);
10
            while (!in.isEmpty()) {
11
12
                 String word = in.readString();
                 set.add(word);
13
            }
14
15
            // read in string from standard input, printing out all exceptions
16
17
            while (!StdIn.isEmpty()) {
                 String word = StdIn.readString();
18
19
                 if (!set.contains(word))
20
                     StdOut.println(word);
21
            }
22
        }
23
    }
```

Dictionary

LookupCSV

```
1
    public class LookupCSV {
 2
 3
        // Do not instantiate.
        private LookupCSV() { }
 4
 5
        public static void main(String[] args) {
 6
 7
            int keyField = Integer.parseInt(args[1]);
            int valField = Integer.parseInt(args[2]);
 8
 9
            // symbol table
10
11
            ST<String, String> st = new ST<String, String>();
12
13
            // read in the data from csv file
            In in = new In(args[0]);
14
15
            while (in.hasNextLine()) {
                String line = in.readLine();
16
                String[] tokens = line.split(",");
17
                String key = tokens[keyField];
18
                String val = tokens[valField];
19
20
                st.put(key, val);
21
            }
22
23
            while (!StdIn.isEmpty()) {
24
                String s = StdIn.readString();
                if (st.contains(s)) StdOut.println(st.get(s));
25
                else
                                     StdOut.println("Not found");
26
27
            }
28
        }
29
    }
```

Indexing

File index

given a list of file specified, create an index so that you can efficiently find all files containing a given query string

```
import java.io.File;
 1
 2
    public class FileIndex {
 3
 5
        // Do not instantiate.
 6
        private FileIndex() { }
 8
        public static void main(String[] args) {
 9
            // key = word, value = set of files containing that word
10
            ST<String, SET<File>> st = new ST<String, SET<File>>();
11
```

```
12
            // create inverted index of all files
13
            StdOut.println("Indexing files");
14
            for (String filename : args) {
15
                 StdOut.println(" " + filename);
16
17
                 File file = new File(filename);
                 In in = new In(file);
18
                 while (!in.isEmpty()) {
19
20
                     String word = in.readString();
                     if (!st.contains(word)) st.put(word, new SET<File>());
21
22
                     SET<File> set = st.get(word);
                     set.add(file);
23
                 }
24
25
            }
26
            while (!StdIn.isEmpty()) {
27
28
                 String query = StdIn.readString();
29
                 if (st.contains(query)) {
                     SET<File> set = st.get(query);
30
                     for (File file : set) {
31
32
                         StdOut.println(" " + file.getName());
33
                     }
34
            }
35
        }
36
37
    }
```

Concordance

```
public class Concordance {
 1
 2
 3
        public static void main(String[] args) {
            int CONTEXT = 5;
 4
 5
 6
            In in = new In(args[0]);
 7
            String[] words = in.readAllStrings();
 8
            ST<String, SET<Integer>> st = new ST<String, SET<Integer>>();
 9
10
            // build up concordance
            for (int i = 0; i < words.length; <math>i++) {
11
                 String s = words[i];
12
                 if (!st.contains(s)) {
13
14
                     st.put(s, new SET<Integer>());
                 }
15
                 SET<Integer> set = st.get(s);
16
                 set.add(i);
17
18
            StdOut.println("Finished building concordance");
19
20
21
            // process queries
            while (!StdIn.isEmpty()) {
22
```

```
23
                String query = StdIn.readString();
24
                SET<Integer> set = st.get(query);
                if (set == null) set = new SET<Integer>();
25
                for (int k : set) {
26
                    for (int i = Math.max(0, k - CONTEXT + 1); i < k; i++)
27
                         StdOut.print(words[i] + " ");
28
                    StdOut.print("*" + words[k] + "* ");
29
                    for (int i = k + 1; i < Math.min(k + CONTEXT, words.length);
30
    i++)
                         StdOut.print(words[i] + " ");
31
32
                    StdOut.println();
                }
33
34
                StdOut.println();
35
36
37
        }
38
    }
```

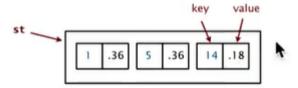
Sparse Vectors

Sparse matrix-vector multiplication

Sparse Vectors

symbol table representation

- · Key = index, value = entry.
- Efficient iterator.
- · Space proportional to number of nonzeros.



```
1
    public class SparseVector {
                                          // dimension
 2
        private int d;
 3
        private ST<Integer, Double> st; // the vector, represented by index-value
    pairs
 4
 5
        public SparseVector(int d) {
 6
            this.d = d;
 7
            this.st = new ST<Integer, Double>();
 8
        }
 9
        public void put(int i, double value) {
10
```

```
if (i < 0 || i >= d) throw new IllegalArgumentException("Illegal
    index");
12
            if (value == 0.0) st.delete(i);
                               st.put(i, value);
13
14
        }
15
        public double get(int i) {
16
17
            if (i < 0 \mid | i >= d) throw new IllegalArgumentException("Illegal
    index");
18
            if (st.contains(i)) return st.get(i);
19
            else
                                 return 0.0;
20
        }
21
22
        public int nnz() {
23
            return st.size();
24
        }
25
26
        @Deprecated
        public int size() {
27
28
            return d;
29
        }
30
        public int dimension() {
31
32
            return d;
33
        }
34
35
        public double dot(SparseVector that) {
            if (this.d != that.d) throw new IllegalArgumentException("Vector
36
    lengths disagree");
37
            double sum = 0.0;
38
39
            if (this.st.size() <= that.st.size()) {</pre>
40
                for (int i : this.st.keys())
41
                    if (that.st.contains(i)) sum += this.get(i) * that.get(i);
42
            }
43
            else {
                for (int i : that.st.keys())
44
45
                    if (this.st.contains(i)) sum += this.get(i) * that.get(i);
46
47
            return sum;
48
        }
49
50
        public double dot(double[] that) {
51
            double sum = 0.0;
52
            for (int i : st.keys())
53
                sum += that[i] * this.get(i);
54
            return sum;
55
        }
56
57
        public double magnitude() {
58
            return Math.sqrt(this.dot(this));
59
```

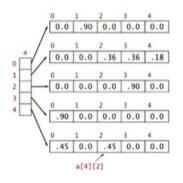
```
60
 61
         @Deprecated
         public double norm() {
 62
             return Math.sqrt(this.dot(this));
 63
 64
         }
 65
         public SparseVector scale(double alpha) {
 66
             SparseVector c = new SparseVector(d);
 67
             for (int i : this.st.keys()) c.put(i, alpha * this.get(i));
 68
 69
             return c;
 70
         }
 71
 72
         public SparseVector plus(SparseVector that) {
 73
             if (this.d != that.d) throw new IllegalArgumentException("Vector
     lengths disagree");
 74
             SparseVector c = new SparseVector(d);
                                                                                  //
 75
             for (int i : this.st.keys()) c.put(i, this.get(i));
     c = this
 76
             for (int i : that.st.keys()) c.put(i, that.get(i) + c.get(i));
                                                                                  //
     c = c + that
 77
             return c;
 78
         }
 79
 80
         public String toString() {
 81
             StringBuilder s = new StringBuilder();
 82
             for (int i : st.keys()) {
 83
                 s.append("(" + i + ", " + st.get(i) + ") ");
 84
             }
 85
             return s.toString();
 86
         }
 87
 88
         public static void main(String[] args) {
 89
             SparseVector a = new SparseVector(10);
 90
             SparseVector b = new SparseVector(10);
 91
             a.put(3, 0.50);
 92
             a.put(9, 0.75);
             a.put(6, 0.11);
 93
 94
             a.put(6, 0.00);
 95
             b.put(3, 0.60);
             b.put(4, 0.90);
 96
             StdOut.println("a = " + a);
 97
 98
             StdOut.println("b = " + b);
 99
             StdOut.println("a dot b = " + a.dot(b));
100
             StdOut.println("a + b = " + a.plus(b));
101
         }
102
103
    }
```

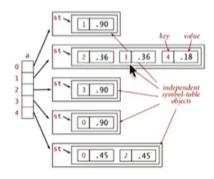
Sparse Matrix

symbol table representation

Sparse matrix representation: Each row of matrix is a sparse vector.

- · Efficient access to elements.
- Space proportional to number of nonzeros (plus N).





```
1
 2
    public class SparseMatrix {
 3
        private int n;
                                         // n-by-n matrix
        private SparseVector[] rows;
                                        // the rows, each row is a sparse vector
 4
 5
 6
        // initialize an n-by-n matrix of all 0s
 7
        public SparseMatrix(int n) {
 8
            this.n = n;
 9
            rows = new SparseVector[n];
10
            for (int i = 0; i < n; i++)
                 rows[i] = new SparseVector(n);
11
12
        }
13
        public void put(int i, int j, double value) {
14
            if (i < 0 || i >= n) throw new IllegalArgumentException("Illegal
15
    index");
            if (j < 0 \mid | j >= n) throw new IllegalArgumentException("Illegal
16
    index");
17
            rows[i].put(j, value);
18
        }
19
20
        public double get(int i, int j) {
21
            if (i < 0 \mid | i >= n) throw new IllegalArgumentException("Illegal
    index");
            if (j < 0 \mid | j >= n) throw new IllegalArgumentException("Illegal
22
    index");
            return rows[i].get(j);
23
24
        }
25
26
        public int nnz() {
            int sum = 0;
27
```

```
28
            for (int i = 0; i < n; i++)
29
                sum += rows[i].nnz();
30
            return sum;
        }
31
32
33
        public SparseVector times(SparseVector x) {
            if (n != x.size()) throw new IllegalArgumentException("Dimensions")
34
    disagree");
35
            SparseVector b = new SparseVector(n);
            for (int i = 0; i < n; i++)
36
37
                b.put(i, rows[i].dot(x));
38
            return b;
39
        }
40
        public SparseMatrix plus(SparseMatrix that) {
41
            if (this.n != that.n) throw new RuntimeException("Dimensions")
42
    disagree");
            SparseMatrix result = new SparseMatrix(n);
43
            for (int i = 0; i < n; i++)
44
                result.rows[i] = this.rows[i].plus(that.rows[i]);
45
46
            return result;
        }
47
48
49
        public String toString() {
50
            String s = "n = " + n + ", nonzeros = " + nnz() + "\n";
            for (int i = 0; i < n; i++) {
51
                s += i + ": " + rows[i] + "\n";
52
53
            }
54
            return s;
55
        }
56
57
        public static void main(String[] args) {
58
            SparseMatrix A = new SparseMatrix(5);
59
            SparseVector x = new SparseVector(5);
60
            A.put(0, 0, 1.0);
61
            A.put(1, 1, 1.0);
            A.put(2, 2, 1.0);
62
            A.put(3, 3, 1.0);
63
            A.put(4, 4, 1.0);
64
            A.put(2, 4, 0.3);
65
            x.put(0, 0.75);
66
67
            x.put(2, 0.11);
            StdOut.println("x
                                 : " + x);
68
69
            StdOut.println("A
                                 : " + A);
                                  : " + A.times(x));
            StdOut.println("Ax
70
            StdOut.println("A + A : " + A.plus(A));
71
72
73 }
```