

SECURITY (COMP0141): MATHEMATICAL BACKGROUND



BASIC MATHEMATICAL NOTATION

Sets: $A = \{1, 2\}$, $B = \{a, b, c\}$

Cardinality: $|A| = 2$, $|B| = 3$

Set inclusion: $x \in A$ means x belongs to A ($1 \in A$, $3 \notin A$)

Integers: whole numbers (positive or negative)

Division: $x \mid y$ means x (evenly) divides y ($y = ax$ for some integer a)

Set conditions: $\{x : 2 \mid x\}$ means all x that are even

Today we're going to see a lot of background mathematics. You may not see today why it's going to be useful but starting next week we'll see it used to construct all sorts of cryptography. Also, you won't be examined on this material explicitly!

MODULAR ("CLOCK") ARITHMETIC

$6 = 6 \bmod 12$
 $12 = 0 \bmod 12$
 $14 = 2 \bmod 12$



We technically should use \equiv to denote **equivalence** but will use $=$ instead

True or false?
 $14 = 2 \bmod 12$
 $37 = 26 \bmod 7$
 $5 = -10 \bmod 3$

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$14 = 2 \bmod 12$ because $14 = 12 + 2$
 $37 = 2 \bmod 7$ ($5 \cdot 7 + 2$), $26 = 5 \bmod 7$ ($3 \cdot 7 + 5$)
 $5 = 2 \bmod 3$ ($3 + 2$), $-10 = 2 \bmod 3$ ($-4 \cdot 3 + 2$)

MODULAR ARITHMETIC

Given integers $x > 0$, y , z we write $z = y \bmod x$ when $x \mid (z - y)$

More examples: $5 = 1 \bmod 2$, $18 = 3 \bmod 5$

Given $x > 0$ and z , we can find unique a and $y \in \{0, 1, \dots, x-1\}$ such that

$$z = ax + y$$

quotient remainder

More examples: $5 = 2 \cdot 2 + 1$, $18 = 3 \cdot 5 + 3$

If $z = ax + y$ then $z = y \bmod x$ (because $z - y = ax$ and $x \mid ax$)

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GREATEST COMMON DIVISOR

Greatest common divisor of a and b is the largest number that divides both a and b ; i.e., $\gcd(a, b) = \max\{d : d \mid a \text{ and } d \mid b\}$

Bézout's identity: for all a, b there are r, s such that $\gcd(a, b) = ra + sb$

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PROOF OF BEZOUT'S IDENTITY

Bézout's identity: for all a, b there are r, s such that $\gcd(a, b) = ra + sb$

Proof:

(1) Define $d = \min\{ra + sb \mid ra + sb > 0\}$

(2) Want to show that $\gcd(a, b) = d$, which we can do by showing that

(a) $\gcd(a, b) \leq d$ and that (b) $d \leq \gcd(a, b)$

(2a) Write $d = ra + sb$. Since $\gcd(a, b) \mid a$ and $\gcd(a, b) \mid b$ we have $\gcd(a, b) \mid ra + sb$ so $\gcd(a, b) \mid d$

(2b) If $d \mid a$ and $d \mid b$ then $d \leq \gcd(a, b)$, so we show this instead.

Assume $a = kd + t$ for $0 < t < d$ (meaning $d \nmid a$). Then $t = a - kd = a - k(ra + sb) = (1 - kr)a - ksb$. But this implies that $0 < t = Ra + Sb < d$ (for $R = 1 - kr$ and $S = -ks$) which contradicts the fact that d is minimal

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Again, you won't be expected to know this proof and you won't be examined on it – it's just for your own knowledge and interest

EUCLIDEAN ALGORITHM

Euclidean algorithm: used to efficiently compute $\gcd(a,b)$ for $a > b$

- start by finding r_0 such that $a = q_0b + r_0$ (so $r_0 = b \bmod a$)
- then r_1 such that $b = q_1r_0 + r_1$ (so $r_1 = b \bmod r_0$)
- eventually, get to $r_{n-2} = q_nr_{n-1} + 0$
- this final non-zero remainder r_{n-1} is the gcd of a and b

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Can read more at https://en.wikipedia.org/wiki/Euclidean_algorithm, and see the demos for an implementation of the algorithm

EUCLIDEAN ALGORITHM

Euclidean algorithm: used to efficiently compute $\gcd(a,b)$ for $a > b$

Example: find $\gcd(270,192)$

$$-270 = 1 \cdot 192 + 78$$

$$-192 = 2 \cdot 78 + 36$$

$$-78 = 2 \cdot 36 + 6$$

$$-36 = 6 \cdot 6 + 0$$

-so $\gcd(270,192) = 6$

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EUCLIDEAN ALGORITHM

Why does the algorithm work?

-There are finitely many numbers between a and 0 so it terminates

-Bottom up (r_{n-1} divides a and b):

$$r_{n-2} = q_n r_{n-1} + 0 \Rightarrow r_{n-1} = 0 \bmod r_{n-2}$$

$$r_{n-3} = q_{n-1} r_{n-2} + r_{n-1} \Rightarrow r_{n-1} = 0 \bmod r_{n-3}$$

... $\Rightarrow r_{n-1} = 0 \bmod a$ and $0 \bmod b$ (so r_{n-1} is a common divisor)

-Top down (any other divisor divides r_{n-1}):

$$a = q_0 b + r_0 \Rightarrow c \text{ dividing } a \text{ and } b \text{ divides } r_0$$

$$b = q_1 r_0 + r_1 \Rightarrow c \text{ divides } r_1$$

... $\Rightarrow c$ divides r_{n-1} (so r_{n-1} is the greatest common divisor)

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EXTENDED EUCLIDEAN ALGORITHM

Extended Euclidean algorithm: used to calculate r, s such that $\gcd(a, b) = ra + sb$

In the Euclidean algorithm, focused just on remainders and ignored quotients: equations of the form $r_{i-2} = q_{i-1} r_{i-1} + r_i$, or $r_i = r_{i-2} - q_{i-1} r_{i-1}$

In the extended algorithm, add in two extra variables with the same quotient: $s_i = s_{i-2} - q_{i-1} s_{i-1}$ and $t_i = t_{i-2} - q_{i-1} t_{i-1}$, start with $s_0 = 1, s_1 = 0, t_0 = 0$, and $t_1 = 1$ (because $a = 1*a + 0*b$ and $b = 0*a + 1*b$)

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Can read more at https://en.wikipedia.org/wiki/Extended_Euclidean_algorithm, and again see the demos for an implementation

EXTENDED EUCLIDEAN ALGORITHM

Example: find s and t such that $\gcd(18,13) = s \cdot 18 + t \cdot 13$

18 mod 13

$$-(r) \ 5 = 18 - 1 \cdot 13, (s) \ 1 - 0 = 1, (t) \ 0 - 1 = -1$$

13 mod 5

$$-(r) \ 3 = 13 - 2 \cdot 5, (s) \ 0 - 2 \cdot 1 = -2, (t) \ 1 - 2 \cdot (-1) = 3$$

5 mod 3

$$-(r) \ 2 = 5 - 1 \cdot 3, (s) \ 1 - 1 \cdot (-2) = 3, (t) \ -1 - 1 \cdot (3) = -4$$

3 mod 2

$$-(r) \ 1 = 3 - 1 \cdot 2, (s) \ -2 - 1 \cdot (3) = -5, (t) \ 3 - 1 \cdot (-4) = 7$$

2 mod 1

$$-(r) \ 0 = 2 - 2 \cdot 1$$

$$\text{So } 1 = -5 \cdot 18 + 7 \cdot 13$$

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MODULAR ARITHMETIC

Many of the usual laws of the integers also apply when computing modulo N

Associativity (both for $+$ and $*$):

$$\begin{aligned} &-(a + b \bmod N) + c \bmod N \\ &= a + (b + c \bmod N) \bmod N \\ &= a + b + c \bmod N \end{aligned}$$

$$\begin{aligned} &-(ab \bmod N)c \bmod N \\ &= a(bc \bmod N) \bmod N \\ &= abc \bmod N \end{aligned}$$

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MODULAR EXPONENTIATION

We often use modular exponentiations $g^x \bmod p$ ($\underbrace{g * g * \dots * g}_{x \text{ times}} \bmod p$)

Again, usual exponentiation rules apply

$$-g^x g^y = g^{x+y} \bmod p$$

$$-(g^x)^y = g^{xy} \bmod p$$

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COMMUTATIVE RING $\mathbb{Z}/N\mathbb{Z}$

Associative: $(a + b) + c = a + (b + c) \bmod N$

$$(ab)c = a(bc) \bmod N$$

Distributive: $a(b+c) = ab + ac \bmod N$

$$(a+b)c = ac + bc \bmod N$$

Commutative: $a + b = b + a \bmod N$

$$ab = ba \bmod N$$

Additive identity: $a + 0 = 0 + a = a \bmod N$

Multiplicative identity: $1a = a1 = a \bmod N$

Additive inverse: $a + (-a) + (-a) + a = 0 \bmod N$

Multiplicative inverse?

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MULTIPLICATIVE INVERSES

In general, some numbers have inverses but not all

Example: 3 mod 10

$$-3 * 7 = 21 = 1 + 20 = 1 + 2*10 = 1 \text{ mod } 10$$

$$\text{-so } 3 = 7^{-1} \text{ mod } 10$$

Example: 2 mod 10

-there is no number b such that $2b = 1 \text{ mod } 10$

We define $(\mathbb{Z}/N\mathbb{Z})^*$ to be all invertible elements in $\mathbb{Z}/N\mathbb{Z}$

When does a number have an inverse?

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See the demos for an implementation

MULTIPLICATIVE INVERSES

Element a has multiplicative inverse mod N if and only if $\gcd(a, N) = 1$

Proof:

-if $\gcd(a, N) = 1$ we can write $ra + sN = 1$ (by Bézout's identity) so $ra = 1 \text{ mod } N$, meaning $r = a^{-1}$

-if a has an inverse r , then $ra = 1 \text{ mod } N$, which means $ra + sN = 1$ for some s , which means $\gcd(a, N) = 1$

The inverse a^{-1} is unique modulo N and can be efficiently computed using the Extended Euclidean algorithm

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EXERCISE

What is $\mathbb{Z}/12\mathbb{Z}$?

What is $(\mathbb{Z}/12\mathbb{Z})^*$?

For each element in $(\mathbb{Z}/12\mathbb{Z})^*$, find its inverse.

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ANSWERS

What is $\mathbb{Z}/12\mathbb{Z}$? $\{0,1,2,3,\dots,11\}$

What is $(\mathbb{Z}/12\mathbb{Z})^*$? $\{1,5,7,11\}$

For each element in $(\mathbb{Z}/12\mathbb{Z})^*$, find its inverse.

$$-1^{-1} = 1$$

$$-5^{-1} = 5 \quad (5 \cdot 5 = 25 = 2 \cdot 12 + 1 = 1 \bmod 12)$$

$$-7^{-1} = 7 \quad (7 \cdot 7 = 49 = 4 \cdot 12 + 1 = 1 \bmod 12)$$

$$-11^{-1} = 11 \quad (11 \cdot 11 = 121 = 10 \cdot 12 + 1 = 1 \bmod 12)$$

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MULTIPLICATIVE INVERSES

Element a has multiplicative inverse mod N if and only if $\gcd(a, N) = 1$

When could we guarantee this to be the case?

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PRIME NUMBERS

A natural number N is **prime** if its only divisors are 1 and N

Examples: 2, 3, 5, 7, 11, 13, 17, 19, ...

If p is a prime and $p \mid ab$ then $p \mid a$ or $p \mid b$

Any natural number N has unique factorisation $N = p_1^{r_1} p_2^{r_2} \dots p_s^{r_s}$

This means $\gcd(a, p) \in \{1, p\}$ when p is prime

Corollary of Bézout's identity: if p is prime then for any $a \in \{1, \dots, p-1\}$ there is an inverse r such that $1 = ra \pmod{p}$

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Primes are amazing and have tons of interesting properties

FINITE FIELDS

What is $(\mathbb{Z}/p\mathbb{Z})^*$ when p is a prime?

The elements that have $\gcd(x,p) = 1$, but for a prime this is all x not divisible by p , so $F_p^* = \{1, 2, 3, \dots, p-1\}$

A **field** is a commutative ring where all non-zero elements are (multiplicatively) invertible

F_p is a field with p elements (or **order** p) so is called a **finite field**

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PRIME-ORDER FINITE FIELDS

Associative: $(a + b) + c = a + (b + c) \bmod N$
 $(ab)c = a(bc) \bmod N$

Distributive: $a(b+c) = ab + ac \bmod N$
 $(a+b)c = ac + bc \bmod N$

Commutative: $a + b = b + a \bmod N$
 $ab = ba \bmod N$

Additive identity: $a + 0 = 0 + a = a \bmod N$

Multiplicative identity: $1a = a1 = a \bmod N$

Additive inverse: $a + (-a) + (-a) + a = 0 \bmod N$

Multiplicative inverse: $a * a^{-1} = 1 \bmod N$

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