

Data Structures and Algorithms (ES221)

Sorting

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Attendance?

- Active Attendance
- Dead Bodies.
- Active Minds
- Mobiles in hands -> Mark as absent
- 80% mandatory



Sorting is the process of ordering a list of objects, according to some linear order, such as

$$a_1 \le a_2 \le a_3 \le a_4 \le a_5 \le a_6$$

- Internal sorting
 - When all the data is stored in the main memory
 - The random access nature of the memory can be utilized
- External sorting
 - When the size of data too large
 - The main memory cannot accommodate all the data



External sorting

- The bottleneck is usually the data movement between the secondary storage and the main memory.
- Data movement is efficient if it is moved in the form of large blocks.
- However, moving large blocks of data is efficient only if it is physically located in contiguous locations.



• The simplest algorithms usually take $O(n^2)$ time to sort n objects, and suited for sorting short lists.

• One of the most popular algorithms is Quick-Sort takes $O(n\log n)$ time on average.

• Quick-Sort works for most common applications, although in worst case it can take $O(n^2)$ time.



• There are other sorting techniques, such as Merge-Sort and Heap-Sort that take time *O*(*n*log*n*) in worst case.

 Merge-Sort however, is well suited for external sorting.

• There are other algorithms such as "bucket" and "radix" sort when the keys are integers of known range. They take time O(n).



- The sorting problem is to arrange a sequence of records so that the values of their key fields form a non-decreasing sequence.
- Given records r_1 , r_1 , \cdots . r_n with key values k_1 , k_1 , \cdots . k_n , respectively we must produce the same records in an order r_{i_1} , r_{i_2} , \cdots . r_{i_n} such that the keys are in the corresponding nondecreasing order.

$$\ker(r_{i_1}) \le \ker(r_{i_2}) \le \ker(r_{i_3}) \le \ker(r_{i_4}) \le \ker(r_{i_5}) \le \ker(r_{i_6})$$
 $\rightarrow k_{i_1} \le k_{i_2} \le k_{i_3} \le k_{i_4} \le k_{i_5} \le k_{i_6}$

 The records may NOT have distinct values, and can appear in any order.



One of the simplest sorting methods.

The basic idea is the "weight" of the record.

The records are kept in an array held vertically.

"light" records bubbling up to the top.

We make repeated passes over the array from bottom to top.

If two adjacent elements are out of order i.e. "lighter" one is below, we reverse the order.



The overall effect, is that after the first pass the "lightest" record will bubble all the way to the top.

On the second top pass, the second lowest rises to the second position, and so on.

On second pass we need not try bubbling to the top position, because we know that the lightest record is already there.



```
int n = N; // N is the size of the array;
 for (int i = 0; i < N; i++){
   for (int j = 1; j < n; j++) {
        if (A[j] < A[j-1]) {
            swap(j-1, j);
          }//end if
    } //end inner for
} //end inner for
         Complexity?
         O(N^2)
```



Algorithm does not exit until all the data is checked

```
// Swap function assumes that
// A[n] is a globally declared array
swap ( x , y) {
  int temp = A[x];
  A[x] = A[y];
  A[y] = temp;
}
```



```
int n = N; // N is the size of the array;
 for (int i = 0; i < N; i++)
    int swapped = 0;
                                  // Swap function assumes that
                                  // A[n] is a globally declared array
    for (int j = 1; j < n; j++) {
                                  swap (x, y) {
       if(A[j] < A[j-1]) {
                                   int temp = A[x];
           swap(j-1, j);
                                  A[x] = A[y];
           swapped = 1;
                                  A[y] = temp;
        }//end if
    } //end inner for
   // n = n-1;
                             Algorithm exits if no swap done
    if (swapped == 0)
                              in previous (outer loop) step
       break;
  } //end inner for
                 Complexity?
```



```
int n = N; // N is the size of the array;
                                       // Swap function assumes that
 for (int i = 0; i < N; i++)
                                       // A[n] is a globally declared array
   int swapped = 0;
                                       swap (x, y) {
                                        int temp = A[x];
    for (int j = 1; j < n; j++) {
                                       A[x] = A[y];
       if(A[j] < A[j-1]) 
                                       A[y] = temp;
           swap(j-1, j);
           swapped = 1;
                         No bubbling to the top position, because
        }//end if
                         the lightest record is already there.
    } //end inner for
    n = n-1;
    if (swapped == 0)
                              Algorithm exits if no swap done
       break;
                               in previous (outer loop) step
  } //end inner for
                 Complexity?
```

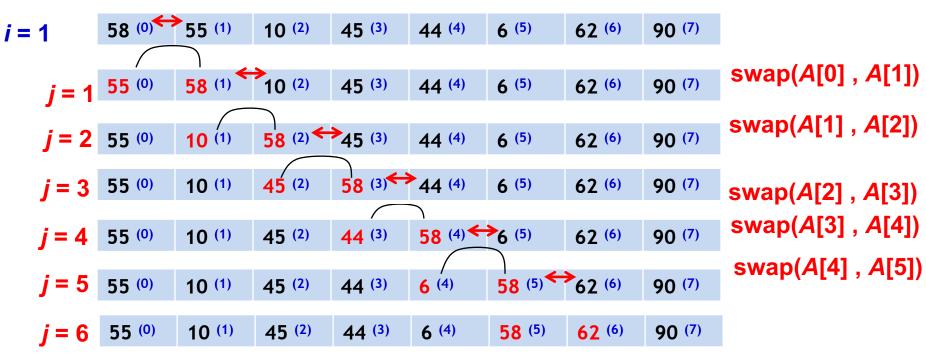


Bubble Sort Example (First Pass)

i = 0	62 (0)	58 (1)	55 ⁽²⁾	10 (3)	45 (4)	44 (5)	6 (6)	90 (7)	
<i>j</i> = 1	58 (0)	62 (1)	55 ⁽²⁾	10 (3)	45 ⁽⁴⁾	44 (5)	6 (6)	90 (7)	swap(A[0], A[1])
j = 2	58 ⁽⁰⁾	55 (1)	62 ⁽²⁾	10 (3)	45 (4)	44 (5)	6 (6)	90 (7)	swap(<i>A</i> [1] , <i>A</i> [2])
<i>j</i> = 3	58 ⁽⁰⁾	55 (1)	10 (2)	62 (3)	45 (4)	44 (5)	6 (6)	90 (7)	swap(<i>A</i> [2] , <i>A</i> [3])
j = 4	58 ⁽⁰⁾	55 ⁽¹⁾	10 (2)	45 (3)	62 (4)	44 (5)	6 (6)	90 (7)	swap(A[3] , A[4])
<i>j</i> = 5	58 ⁽⁰⁾	55 (1)	10 (2)	45 ⁽³⁾	44 (4)	62 (5) <>	6 (6)	90 (7)	swap(A[4] , A[5])
<i>j</i> = 6	58 ⁽⁰⁾	55 (1)	10 (2)	45 ⁽³⁾	44 (4)	6 (5)	62 (6)	90 (7)	swap(A[5] , A[6])
<i>j</i> = 7	58 (0)	55 (1)	10 (2)	45 ⁽³⁾	44 (4)	6 (5)	62 (6)	90 (7)	



Bubble Sort Example (Second Pass)





Bubble Sort Example (Third Pass)

```
i = 2
                   55 <sup>(0)</sup>
                               10 (1)
                                          45 (2)
                                                      44 (3)
                                                                 6 (4)
                                                                             58 <sup>(5)</sup>
                                                                                        62 (6)
                                                                                                    90 (7)
      j = 1
      i = 2
     j = 3
     j = 5
                   10 (0)
                                                                             58 (5)
                              45 (1)
                                          44 (2)
                                                      6 (3)
                                                                 55 (4)
                                                                                        62 (6)
                                                                                                    90 (7)
```



Bubble Sort Example (Fourth Pass)

```
i = 3
                     10 <sup>(0)</sup>
                                  45<sup>(1)</sup>
                                               44 (2)
                                                            6 (3)
                                                                                      58 (<sup>5</sup>)
                                                                                                   62 (6)
                                                                                                                 90 (7)
                                                                         55 (4)
       j = 1
      j = 2
      j = 3
      j = 4
                       10 (0)
                                   44 (1)
                                                 6 (2)
                                                             45 (3)
                                                                           55 (4)
                                                                                        58 <sup>(5)</sup>
                                                                                                     62 (6)
                                                                                                                  90 (7)
```

```
int n =N; // N is the size of the array;
for (int i = 0; i < N; i++){
   int swapped = 0;
   for (int j = 1; j < n; j++)
        if (A[j] < A[j-1]) {
        swap(j-1, j); swapped = 1;
        }//end if
        n = n-1;
        if (swapped == 0)
        break;
        }//End outer for</pre>
```





```
i = 4
                                                   6 (2)
                        10 (0)
                                     44 (1)
                                                                45 <sup>(3)</sup>
                                                                              55 (4)
                                                                                           58 <sup>(5)</sup>
                                                                                                         62 (6)
                                                                                                                      90 (7)
      j = 2
       j = 3
                        10 (0)
                                     6 (1)
                                                   44 (2)
                                                                45 (3)
                                                                              55 (4)
                                                                                            58 <sup>(5)</sup>
                                                                                                         62 <sup>(6)</sup>
                                                                                                                       90 (7)
```

```
int n =N; // N is the size of the array;
for (int i = 0; i < N; i++){
   int swapped = 0;
   for (int j = 1; j < n; j++)
        if (A[j] < A[j-1]) {
        swap(j-1, j); swapped = 1;
        }//end if
        n = n-1;
        if (swapped == 0)
        break;
        }//End outer for</pre>
```

Bubble Sort Example (Sixth Pass)



```
i = 5
                         10 (0)
                                                                   45 <sup>(3)</sup>
                                                                                                58 <sup>(5)</sup>
                                                                                                                            90 (7)
                                       6 (1)
                                                     44 (2)
                                                                                  55 (4)
                                                                                                              62 (6)
       j = 1
       j = 2
                         6 (0)
                                       10 (1)
                                                     44 (2)
                                                                   45 <sup>(3)</sup>
                                                                                                58 <sup>(5)</sup>
                                                                                                                            90 (7)
                                                                                 55 (4)
                                                                                                              62 <sup>(6)</sup>
```



Bubble Sort Example (Seventh Pass)

i = 6									
	6 ⁽⁰⁾	10 (1)	44 ⁽²⁾	45 ⁽³⁾	55 ⁽⁴⁾	58 ⁽⁵⁾	62 ⁽⁶⁾	90 (7)	
<i>j</i> = 1	6 (0)	10 (1)	44 (2)	45 ⁽³⁾	55 ⁽⁴⁾	58 ⁽⁵⁾	62 ⁽⁶⁾	90 (7)	





```
int n = N; // N is the size of the array;
 for (int i = 0; i < N; i++)
  for (int j = 1; j < n; j++) {
                                  Algorithm does not exit
       if(A[j] < A[j-1]) {
                                   until all the data is checked
           swap(j-1, j);
         }//end if
    } //end inner for
} //end inner for
                 Complexity?
                           O(N^2)
```



```
int n = N; // N is the size of the array;
 for (int i = 0; i < N; i++)
    int swapped = 0;
    for (int j = 1; j < n; j++) {
       if(A[j] < A[j-1]) {
           swap(j-1, j);
           swapped = 1;
        }//end if
    } //end inner for
    n = n-1;
    if (swapped == 0)
                             Algorithm exits if no swap done
       break;
                              in previous (outer loop) step
  } //end inner for
                 Complexity?
```

 $O(N^2)$





The **best case** scenario occurs when the input array is **already sorted in ascending order**.

In this case, Bubble Sort will still go through the array to check if any swaps are needed, but it will quickly determine that the list is already sorted.

Bubble Sort (Best Case)



Let's take an already sorted array:

[1, 2, 3, 4, 5]

Step-by-Step Execution Pass 1:

Compare 1 and $2 \rightarrow No swap$

Compare 2 and $3 \rightarrow No swap$

Compare 3 and $4 \rightarrow No swap$

Compare **4** and $5 \rightarrow \text{No swap}$

Since no swaps were made in this pass, the algorithm can stop early, concluding that the array is already sorted.

Bubble Sort (Best Case)



Bubble Sort includes an **optimization** where if no swaps occur in a full pass, the algorithm **stops early** instead of continuing through unnecessary passes.

In the best case, only **one pass** is needed to verify that the list is sorted.

This requires exactly **n-1 comparisons** (checking each pair once).

Since the number of operations grows **linearly** with the size of n, the time complexity is **O(n)**



The worst-case scenario occurs when the input array is in **reverse order** (completely unsorted in descending order).

This means that **every element needs to be swapped** in each pass to move to its correct position.



For example, let's take the array: [5, 4, 3, 2, 1] (completely reversed)

Pass 1:

```
Compare 5 and 4 \rightarrow Swap \rightarrow [4, 5, 3, 2, 1]
Compare 5 and 3 \rightarrow Swap \rightarrow [4, 3, 5, 2, 1]
Compare 5 and 2 \rightarrow Swap \rightarrow [4, 3, 2, 5, 1]
Compare 5 and 1 \rightarrow Swap \rightarrow [4, 3, 2, 1, 5]
```

Pass 2:

```
Compare 4 and 3 \rightarrow \text{Swap} \rightarrow [3, 4, 2, 1, 5]
Compare 4 and 2 \rightarrow \text{Swap} \rightarrow [3, 2, 4, 1, 5]
Compare 4 and 1 \rightarrow \text{Swap} \rightarrow [3, 2, 1, 4, 5]
```



For example, let's take the array: [5, 4, 3, 2, 1] (completely reversed)

Pass 3:

Compare 3 and $2 \rightarrow \text{Swap} \rightarrow [2, 3, 1, 4, 5]$ Compare 3 and $1 \rightarrow \text{Swap} \rightarrow [2, 1, 3, 4, 5]$

Pass 4:

Compare 2 and $1 \rightarrow \text{Swap} \rightarrow [1, 2, 3, 4, 5]$ (Sorted!)

In the worst case, Bubble Sort **performs the maximum number of swaps** and comparisons.



In the first pass, (n - 1) comparisons are made.

In the second pass, (n - 2) comparisons are made.

This continues until the last pass with **1** comparison.



$$(n-1)+(n-2)+(n-3)+\cdots+2+1=\frac{n(n-1)}{2}$$

The highest order term in $\frac{n(n-1)}{2}$ is **n2**, so we write the time complexity as **O(n2)**.

Bubble Sort (Average Case)



Time Complexity?



Questions?

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