追根究底事务是如何开启的?

我们知道开启事务可以使用 start transaction，那么我非常好奇

（1）开启事务、提交事务 是在spring中执行的 还是在jdbc驱动中执行的?

（2）spring中或者jdbc的驱动中 在哪里执行了start transaction? 什么时候执行的? getTransaction的时候还是begin的时候?

（3）在ConnectionImpl的commit方法实现中 会同通过ConnectionImpl对象内部持有的NativeSession对象执行 来发送一条 commit语句来实现实物的提交

但是在ConnectionImpl中没有 starTransaction这样的方法开启一个事务。

开启事务

问题一：在spring中开启一个事务使用了startTransaction，该方法内调用了doBegin方法开启一个事务，在doBegin方法中，开启事务这个语义是如何体现的? doBegin方法中会执行 start transaction sql语句吗?

```java
/**
 * Start a new transaction.
 */
private TransactionStatus startTransaction(TransactionDefinition definition, Object transaction,
        boolean debugEnabled, @Nullable SuspendedResourcesHolder suspendedResources) {

    boolean newSynchronization = (getTransactionSynchronization() != SYNCHRONIZATION_NEVER);
    org.springframework.transaction.support.DefaultTransactionStatus status = newTransactionStatus(
            definition, transaction, newTransaction: true, newSynchronization, debugEnabled, suspendedResources);
    doBegin(transaction, definition);
    /**
     * 注意startTransaction方法中调用了prepareSynchronization 方法
     */
    prepareSynchronization(status, definition);
    return status;
}
```

DataSourceTransactionManager的doBegin实现

```java
71      @Override
72      protected void doBegin(Object transaction, TransactionDefinition definition) {
73          DataSourceTransactionObject txObject = (DataSourceTransactionObject) transaction;
74          Connection con = null;
75
76          try {
77              if (!txObject.hasConnectionHolder() ||
78                      txObject.getConnectionHolder().isSynchronizedWithTransaction()) {
79                  /**
80                   *
81                   * 这里获取connection
82                   */
83                  Connection newCon = obtainDataSource().getConnection();
84                  if (logger.isDebugEnabled()) {
85                      logger.debug("Acquired Connection [" + newCon + "] for JDBC transaction");
86                  }
87                  txObject.setConnectionHolder(new ConnectionHolder(newCon), true);
88              }
89
90              txObject.getConnectionHolder().setSynchronizedWithTransaction(true);
91              con = txObject.getConnectionHolder().getConnection();
92
93              /**
94               *
95               * 指定事务隔离级别
96               *  con.setTransactionIsolation(definition.getIsolationLevel());
97               *
98               * 非常简单及基本数据的事物隔离级别的设置；这个隔离级别的实现，我们要留心。
99               *
100              */
101              Integer previousIsolationLevel = org.springframework.jdbc.datasource.DataSourceUtils.prepareConnectionForTransaction(con, definition);
102              txObject.setPreviousIsolationLevel(previousIsolationLevel);
103              txObject.setReadOnly(definition.isReadOnly());
104
105              // Switch to manual commit if necessary. This is very expensive in some JDBC drivers,
106              // so we don't want to do it unnecessarily (for example if we've explicitly
107              // configured the connection pool to set it already).
108              // 如果需要切换为手动提交，这在一些JDBC驱动中是很昂贵的
109              // 因此我们不想在不必要的情况下做这件事（例如如果我们已经
110              // 配置连接池来设置它）。
111              /**
112               * 这里设置手动提交
113               *
114               */
115              if (con.getAutoCommit()) {
116                  txObject.setMustRestoreAutoCommit(true);
117                  if (logger.isDebugEnabled()) {
118                      logger.debug("Switching JDBC Connection [" + con + "] to manual commit");
119                  }
120                  con.setAutoCommit(false);
121              }
122
123              prepareTransactionalConnection(con, definition);
124              txObject.getConnectionHolder().setTransactionActive(true);
125
126              int timeout = determineTimeout(definition);
127              if (timeout != TransactionDefinition.TIMEOUT_DEFAULT) {
128                  txObject.getConnectionHolder().setTimeoutInSeconds(timeout);
129              }
130
131              // Bind the connection holder to the thread.
132              if (txObject.isNewConnectionHolder()) {
133                  TransactionSynchronizationManager.bindResource(obtainDataSource(), txObject.getConnectionHolder());
134              }
135          } catch (Throwable ex) {
136              if (txObject.isNewConnectionHolder()) {
137                  org.springframework.jdbc.datasource.DataSourceUtils.releaseConnection(con, obtainDataSource());
138                  txObject.setConnectionHolder(null, false);
139              }
140              throw new CannotCreateTransactionException("Could not open JDBC Connection for transaction", ex);
141          }
142      }
143
```

在这个方法中我们看到首先是获取Connection，然后设置autoCommit为false， 在后续的方法
prepareTransactionConnection方法中 判断是否是只读，如果只读设置事务为只读。

```java
    protected void prepareTransactionalConnection(Connection con, TransactionDefinition definition)
            throws SQLException {

        if (isEnforceReadOnly() && definition.isReadOnly()) {
            try (Statement stmt = con.createStatement()) {
                stmt.executeUpdate( sql: "SET TRANSACTION READ ONLY");
            }
        }
    }
```

doBegin方法中并没有 执行 "start transaction"这样的sql语句来显示开启一个事务，而且在数据库驱动（mysql-connector-java）的实现中也搜不到 start transaction 这样的sql语句，那么事务到底是怎么开启的呢？

原来，当你获取到连接的时候，默认情况下每执行一条语句都会自动创建一个事务，当我们设置了autoCommit为false的情况下，多个sql语句自动合并到一个事务中，只有你执行了commit的时候事务才会提交，因此不需要使用start transaction语句 。参考下文官方文档。

在NativeCat中 模拟如下场景：

（1）新建查询，打开一个connection

（2）执行： set autocommit0

（3）执行update

（4）查看数据并没有发现修改后的值

（5）执行 commit 后发现修改后的值

这就解释了开启事务不需要使用start transaction ,只需要设置 autoCommit为false， 在DataSourceTransactionManager的doBegin方法中就将autoCommit设置为false表示开启了一个事务。

Using Transactions

https://docs.oracle.com/javase/tutorial/jdbc/basics/transactions.html

Using Transactions

There are times when you do not want one statement to take effect unless another one completes. For example, when the proprietor of The Coffee Break updates the amount of coffee sold each week, the proprietor will also want to update the total amount sold to date. However, the amount sold per week and the total amount sold should be updated at the same time; otherwise, the data will be inconsistent. The way to be sure that either both actions occur or neither action occurs is to use a transaction. A transaction is a set of one or more statements that is executed as a unit, so either all of the statements are executed, or none of the statements is executed.

有些时候，除非另一条语句完成，否则您不希望一条语句生效。例如，当the Coffee Break的所有者更新每周售出的咖啡数量时，该所有者还将希望更新到目前为止售出的总数量。但是，每周的销售金额和总销售金额应该同时更新;否则会导致数据不一致。确保两个操作都发生或两个操作都不发生的方法是使用事务。事务是作为一个单元执行的一个或多个语句的集合，因此要么执行所有语句，要么不执行任何语句。

This page covers the following topics

- Disabling Auto-Commit Mode
- Committing Transactions
- Using Transactions to Preserve Data Integrity
- Setting and Rolling Back to Savepoints
- Releasing Savepoints
- When to Call Method rollback

Disabling Auto-Commit Mode

When a connection is created, it is in auto-commit mode. This means that each individual SQL statement is treated as a transaction and is automatically committed right after it is executed. (To be more precise, the default is for a SQL statement to be committed when it is completed, not when it is executed. A statement is completed when all of its result sets and update counts have been retrieved. In almost all cases, however, a statement is completed, and therefore committed, right after it is executed.)

创建连接时，它处于自动提交模式。这意味着每个单独的SQL语句都被视为一个事务，并在执行后立即自动提交。(更准确地说，默认是在SQL语句完成时提交，而不是在执行时提交。当检索到语句的所有结果集和更新计数时，语句就完成了。然而，在几乎所有情况下，语句都是在执行之后完成并提交的。)

The way to allow two or more statements to be grouped into a transaction is to disable the auto-commit mode. This is demonstrated in the following code, where `con` is an active connection:

允许将两个或多个语句分组到一个事务中的方法是禁用自动提交模式。下面的代码演示了这一点，其中con是一个活动连接:

```
con.setAutoCommit(false);
```

因此也就是说 实际上数据库的事务不是我们手动开启的，默认情况下每一条sql语句都会有一个事务，在实际应用中我们需要做的 不是开启事务，而是将多个语句放置到一个事务中执行，这个是通过 将autoCommit设置为false来实现的。

The statement `con.setAutoCommit(true);` enables auto-commit mode, which means that each statement is once again committed automatically when it is completed. Then, you are back to the default state where you do not have to call the method `commit` yourself. It is advisable to disable the auto-commit mode only during the transaction mode. This way, you avoid holding database locks for multiple statements, which increases the likelihood of conflicts with other users.
该声明con.setAutoCommit(真正的);启用自动提交模式，这意味着每条语句在完成时再次自动提交。然后，您就回到默认状态，不必自己调用commit方法。建议只在事务模式期间禁用自动提交模式。通过这种方式，您可以避免为多个语句持有数据库锁，这增加了与其他用户发生冲突的可能性。

Using Transactions to Preserve Data Integrity 使用事务来保持数据完整性

In addition to grouping statements together for execution as a unit, transactions can help to preserve the integrity of the data in a table. For instance, imagine that an employee was supposed to enter new coffee prices in the table `COFFEES` but delayed doing it for a few days. In the meantime, prices rose, and today the owner is in the process of entering the higher prices. The employee finally gets around to entering the now outdated prices at the same time that the owner is trying to update the table. After inserting the outdated prices, the employee realizes that they are no longer valid and calls the `Connection` method `rollback` to undo their effects. (The method `rollback` aborts a transaction and restores values to what they were before the attempted update.) At the same time, the owner is executing a `SELECT` statement and printing the new prices. In this situation, it is possible that the owner will print a price that had been rolled back to its previous value, making the printed price incorrect.

This kind of situation can be avoided by using transactions, providing some level of protection against conflicts that arise when two users access data at the same time.

To avoid conflicts during a transaction, a DBMS uses locks, mechanisms for blocking access by others to the data that is being accessed by the transaction. (Note that in auto-commit mode, where each statement is a transaction, locks are held for only one statement.) After a lock is set, it remains in force until the transaction is committed or rolled back. For example, a DBMS could lock a row of a table until updates to it have been committed. The effect of this lock would be to prevent a user from getting a dirty read, that is, reading a value before it is made permanent. (Accessing an updated value that has not been committed is considered a *dirty read* because it is possible for that value to be rolled back to its previous value. If you read a value that is later rolled back, you will have read an invalid value.)

How locks are set is determined by what is called a transaction isolation level, which can range from not supporting transactions at all to supporting transactions that enforce very strict access rules.

One example of a transaction isolation level is `TRANSACTION_READ_COMMITTED`, which will not allow a value to be accessed until after it has been committed. In other words, if the transaction isolation level is set to `TRANSACTION_READ_COMMITTED`, the DBMS does not allow dirty reads to occur. The interface `Connection` includes five values that represent the transaction isolation levels you can use in JDBC:

Isolation Level	Transactions	Dirty Reads	Non-Repeatable Reads	Phantom Reads
`TRANSACTION_NONE`	Not supported	*Not applicable*	*Not applicable*	*Not applicable*
`TRANSACTION_READ_COMMITTED`	Supported	Prevented	Allowed	Allowed

	Supported	Allowed	Allowed	Allowed
`TRANSACTION_READ_UNCOMMITTED`	Supported	Allowed	Allowed	Allowed
`TRANSACTION_REPEATABLE_READ`	Supported	Prevented	Prevented	Allowed
`TRANSACTION_SERIALIZABLE`	Supported	Prevented	Prevented	Prevented

A *non-repeatable read* occurs when transaction A retrieves a row, transaction B subsequently updates the row, and transaction A later retrieves the same row again. Transaction A retrieves the same row twice but sees different data.

A *phantom read* occurs when transaction A retrieves a set of rows satisfying a given condition, transaction B subsequently inserts or updates a row such that the row now meets the condition in transaction A, and transaction A later repeats the conditional retrieval. Transaction A now sees an additional row. This row is referred to as a phantom.

Usually, you do not need to do anything about the transaction isolation level; you can just use the default one for your DBMS. The default transaction isolation level depends on your DBMS. For example, for Java DB, it is `TRANSACTION_READ_COMMITTED`. JDBC allows you to find out what transaction isolation level your DBMS is set to (using the `Connection` method `getTransactionIsolation`) and also allows you to set it to another level (using the `Connection` method `setTransactionIsolation`).

Note: A JDBC driver might not support all transaction isolation levels. If a driver does not support the isolation level specified in an invocation of `setTransactionIsolation`, the driver can substitute a higher, more restrictive transaction isolation level. If a driver cannot substitute a higher transaction level, it throws a `SQLException`. Use the method `DatabaseMetaData.supportsTransactionIsolationLevel` to determine whether or not the driver supports a given level.

Setting and Rolling Back to Savepoints

The method `Connection.setSavepoint`, sets a `Savepoint` object within the current transaction.

The `Connection.rollback` method is overloaded to take a `Savepoint` argument.

The following method, [CoffeesTable.modifyPricesByPercentage](#), raises the price of a particular coffee by a percentage, `priceModifier`. However, if the new price is greater than a specified price, `maximumPrice`, then the price is reverted to the original price:

```
  public void modifyPricesByPercentage(
    String coffeeName,
    float priceModifier,
    float maximumPrice) throws SQLException {
    con.setAutoCommit(false);
    ResultSet rs = null;
    String priceQuery = "SELECT COF_NAME, PRICE FROM COFFEES " +
                        "WHERE COF_NAME = ?";
    String updateQuery = "UPDATE COFFEES SET PRICE = ? " +
                         "WHERE COF_NAME = ?";
    try (PreparedStatement getPrice = con.prepareStatement(priceQuery,
ResultSet.TYPE_SCROLL_INSENSITIVE, ResultSet.CONCUR_READ_ONLY);
         PreparedStatement updatePrice = con.prepareStatement(updateQuery))
    {
      Savepoint save1 = con.setSavepoint();
      getPrice.setString(1, coffeeName);
      if (!getPrice.execute()) {
        System.out.println("Could not find entry for coffee named " + coffeeName);
```

```
      } else {
        rs = getPrice.getResultSet();
        rs.first();
        float oldPrice = rs.getFloat("PRICE");
        float newPrice = oldPrice + (oldPrice * priceModifier);
        System.out.printf("Old price of %s is $%.2f%n", coffeeName, oldPrice);
        System.out.printf("New price of %s is $%.2f%n", coffeeName, newPrice);
        System.out.println("Performing update...");
        updatePrice.setFloat(1, newPrice);
        updatePrice.setString(2, coffeeName);
        updatePrice.executeUpdate();
        System.out.println("\nCOFFEES table after update:");
        CoffeesTable.viewTable(con);
        if (newPrice > maximumPrice) {
          System.out.printf("The new price, $%.2f, is greater " +
                            "than the maximum price, $%.2f. " +
                            "Rolling back the transaction...%n",
                            newPrice, maximumPrice);
          con.rollback(save1);
          System.out.println("\nCOFFEES table after rollback:");
          CoffeesTable.viewTable(con);
        }
        con.commit();
      }
    } catch (SQLException e) {
      JDBCTutorialUtilities.printSQLException(e);
    } finally {
      con.setAutoCommit(true);
    }
  }
```

The following statement specifies that the cursor of the `ResultSet` object generated from the `getPrice` query is closed when the `commit` method is called. Note that if your DBMs does not

support `ResultSet.CLOSE_CURSORS_AT_COMMIT`, then this constant is ignored:

`getPrice = con.prepareStatement(query, ResultSet.CLOSE_CURSORS_AT_COMMIT);`

The method begins by creating a `Savepoint` with the following statement:

`Savepoint save1 = con.setSavepoint();`

The method checks if the new price is greater than the `maximumPrice` value. If so, the method rolls back the transaction with the following statement:

`con.rollback(save1);`

Consequently, when the method commits the transaction by calling the `Connection.commit` method, it will not commit any rows whose associated `Savepoint` has been rolled back; it will commit all the other updated rows.

Releasing Savepoints

The method `Connection.releaseSavepoint` takes a `Savepoint` object as a parameter and removes it from the current transaction.

After a savepoint has been released, attempting to reference it in a rollback operation causes a `SQLException` to be thrown. Any savepoints that have been created in a transaction are automatically released and become invalid when the

transaction is committed, or when the entire transaction is rolled back. Rolling a transaction back to a savepoint automatically releases and makes invalid any other savepoints that were created after the savepoint in question.

When to Call Method rollback

As mentioned earlier, calling the method `rollback` terminates a transaction and returns any values that were modified to their previous values. If you are trying to execute one or more statements in a transaction and get a `SQLException`, call the method `rollback` to end the transaction and start the transaction all over again. That is the only way to know what has been committed and what has not been committed. Catching a `SQLException` tells you that something is wrong, but it does not tell you what was or was not committed. Because you cannot count on the fact that nothing was committed, calling the method `rollback` is the only way to be certain.

The method `CoffeesTable.updateCoffeeSales` demonstrates a transaction and includes a `catch` block that invokes the method `rollback`. If the application continues and uses the results of the transaction, this call to the `rollback` method in the `catch` block prevents the use of possibly incorrect data.

事务的提交

事务的提交是在ConnectionImpl的commit方法中，在这个方法中他最终调用了 NativeSession对象的execSQL方法，且执行的语句是commit；我们如何确定事务是如何开启的？ （1）确认ConnectionImpl中是否存在开启事务的方法 （2）使用断点拦截查看 NativeSession是否会执行 start transaction语句