

Sigma Protocols

Distributed Lab

September 3, 2024



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Introduction

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Announcement

Today, we will build and code our first non-interactive proof system using the Fiat-Shamir heuristic based on **Sigma protocols**!

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Note

Everything that has a natural “homomorphic”/discrete-log-like structure can be proven using Sigma (Σ) protocols!

Schnorr Identification Protocol

Problem Statement

Suppose \mathbb{G} is a cyclic group of order q with a generator g . Then, the relation and language being considered are:

$$\mathcal{R} = \{(u, \alpha) \in \mathbb{G} \times \mathbb{Z}_q : u = g^\alpha\}, \mathcal{L}_{\mathcal{R}} = \{u \in \mathbb{G} : \exists \alpha \in \mathbb{Z}_q : u = g^\alpha\}$$

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Problem #2

Why cannot we simply send α ? Because we do not want to reveal the witness! That is why we need a zero-knowledge non-interactive argument of knowledge (zk-NARK).

Protocol Flow



Prover \mathcal{P}

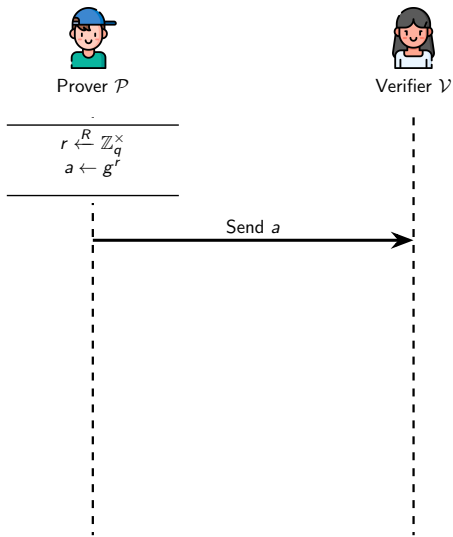
$$\begin{array}{c} \cdot \\ \hline r \xleftarrow{R} \mathbb{Z}_q^\times \\ a \leftarrow g^r \\ \hline \cdot \\ \vdots \end{array}$$



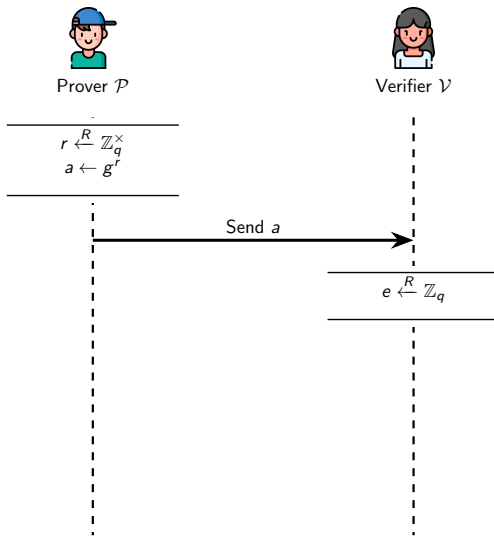
Verifier \mathcal{V}



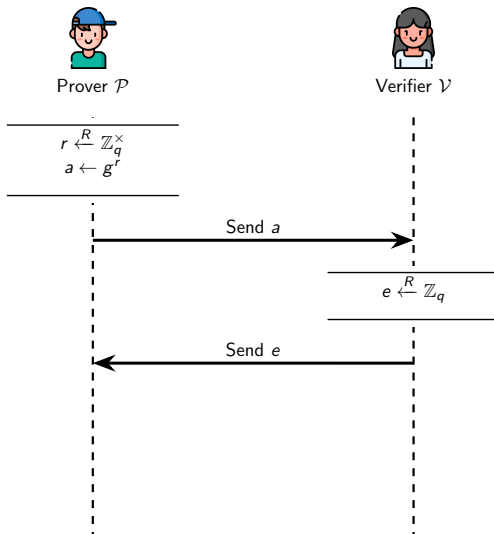
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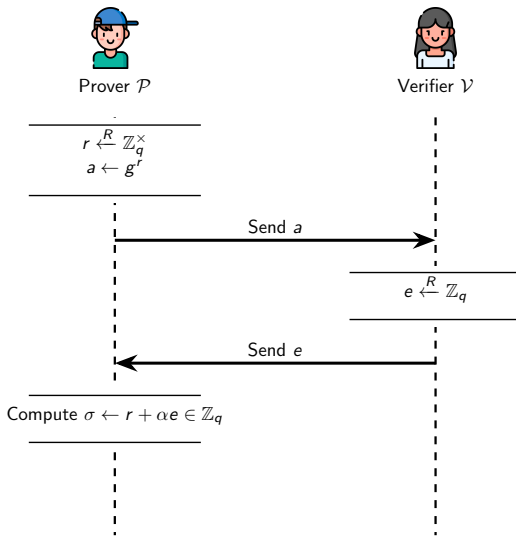
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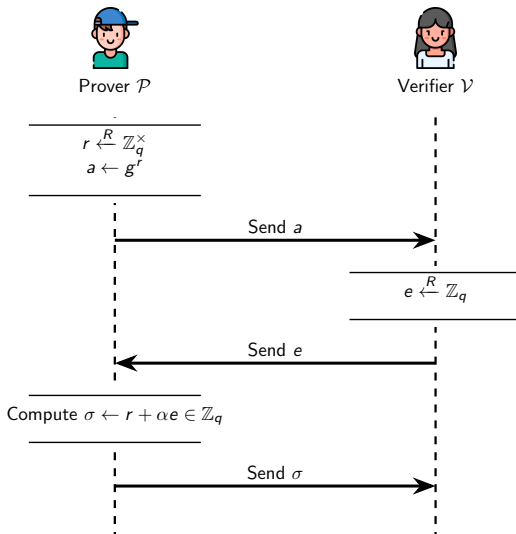
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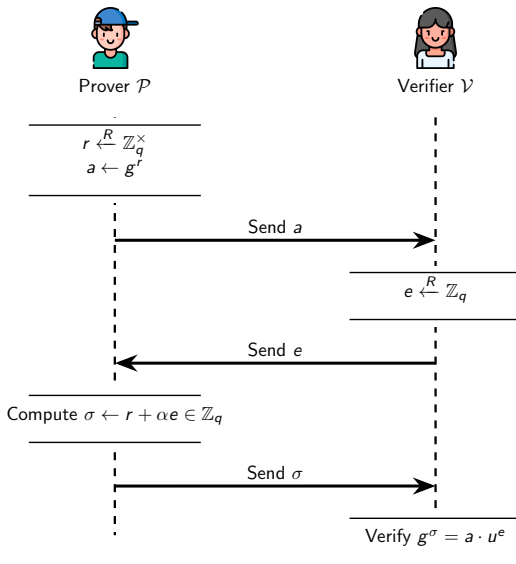
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- $\text{Gen}(1^\lambda)$: Take $\alpha \xleftarrow{R} \mathbb{Z}_q$ and $u \leftarrow g^\alpha$. **Output:** *verification key* $\text{vk} := u$, and *secret key* $\text{sk} := \alpha$.

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Applying Fiat-Shamir Transformation

Reminder

Suppose prover had messages (m_1, m_2, \dots, m_n) before verifier sends a challenge c . If x is a public statement, it suffices to choose $c \leftarrow H(x, m_1, \dots, m_n)$ without any interaction.

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- **Verify**: accept iff $g^\sigma = a \cdot u^e$.

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- $\text{Verify}((a, \sigma), m, \text{pk})$: The verifier checks if $g^\sigma = a \cdot u^e$ for $e \leftarrow H(u, m, a)$.

Note: In **green** we marked the only difference between the identification and signature protocols.

Sigma Protocols

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- Upon receiving c , \mathcal{P} computes the response z and sends it to \mathcal{V} .

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- In the beginning, \mathcal{P} computes a **commitment** a and sends it to \mathcal{V} .
- \mathcal{V} chooses a random **challenge** $c \in \mathcal{C}$ from the challenge space \mathcal{C} and sends it to \mathcal{P} .
- Upon receiving c , \mathcal{P} computes the response z and sends it to \mathcal{V} .
- \mathcal{V} outputs either accept or reject based on the **conversation** (a, c, z) .

Generalization

Now, can we generalize the Schnorr protocol to any relation \mathcal{R} ?

Well, not for any, but for a large class of relations called **Sigma protocols**!

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Definition

(a, c, z) is an **accepting conversation** if \mathcal{V} outputs accept on this tuple.

Why Σ ?

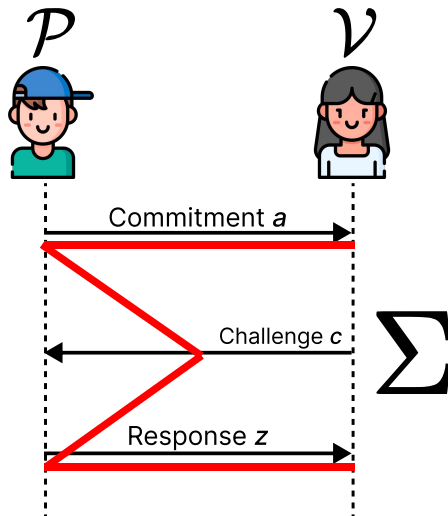


Figure: Why Σ -protocols are called so.

Special Soundness

Definition (Special Soundness)

Let $(\mathcal{P}, \mathcal{V})$ be a Σ -protocol for $\mathcal{R} \subseteq \mathcal{X} \times \mathcal{Y}$. We say that $(\mathcal{P}, \mathcal{V})$ is **special sound** if there exists a witness extractor \mathcal{E} such that, given statement $x \in \mathcal{X}$ and two accepting conversations (a, c, z) and (a, c', z') (where $c \neq c'$)^a, the extractor can always efficiently compute the witness w such that $(x, w) \in \mathcal{R}$.

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^aNotice that initial commitments in both conversations are the same!

Example

The Schnorr protocol is special sound because, given two accepting conversations (a, e, σ) and (a, e', σ') , we can compute the witness α . You can verify that $\alpha = \Delta\sigma / \Delta e$ for $\Delta\sigma = \sigma' - \sigma$ and $\Delta e = e' - e$ suffices.

Sigma Protocols Examples

Okamoto's Protocol

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For $u \in \mathbb{G}$, a **representation** relative to g and h is a pair $(\alpha, \beta) \in \mathbb{Z}_q \times \mathbb{Z}_q$ such that $u = g^\alpha h^\beta$.

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Notice that for the given u there are exactly q representations relative to g and h . Indeed, $\forall \beta \in \mathbb{Z}_q \exists! \alpha \in \mathbb{Z}_q : g^\alpha = uh^{-\beta}$.

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Question

How do we actually prove that \mathcal{P} knows the representation of u ?

$$\mathcal{R} = \left\{ (u, (\alpha, \beta)) \in \mathbb{G} \times \mathbb{Z}_q^2 : u = g^\alpha h^\beta \right\}$$

Okamoto's Protocol Flow

Definition (Okamoto's Identification Protocol)

Okamoto's Protocol consists of two algorithms: $(\mathcal{P}, \mathcal{V})$, where the prover is assumed to know $(u, (\alpha, \beta)) \in \mathcal{R}$ defined above. The protocol is defined as follows:

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Announcement

We will code the non-interactive Okamoto's protocol in the next section!
Stay tuned!

Okamoto's Protocol Correctness

Theorem

Okamoto's Protocol is a Σ -protocol for the relation \mathcal{R} which is Honest-Verifier Zero-Knowledge (HVZK).

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Special Soundness. Suppose we are given two accepting conversations: $(u_r, c, (\alpha_z, \beta_z))$ and $(u_r, c', (\alpha'_z, \beta'_z))$ and we want to construct an extractor \mathcal{E} which would give us a witness (α, β) .

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from which the extractor \mathcal{E} can efficiently compute witness as follows:
 $\alpha \leftarrow (\alpha_z - \alpha'_z)/(c - c')$ and $\beta \leftarrow (\beta_z - \beta'_z)/(c - c')$.

Diffie-Hellman Triplets

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A triplet $(u, v, w) \in \mathbb{G}^3$ is a **Diffie-Hellman triplet** if $\exists \alpha, \beta \in \mathbb{Z}_q : u = g^\alpha, v = g^\beta, w = g^{\alpha\beta}$.

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Now, this makes it easier to define the relation \mathcal{R} for the Chaum-Pedersen protocol:

$$\mathcal{R} = \left\{ ((u, v, w), \beta) \in \mathbb{G}^3 \times \mathbb{Z}_q : v = g^\beta \wedge w = u^\beta \right\}$$

Chaum-Pedersen Protocol

Definition (Chaum-Pedersen Protocol)

Chaum-Pedersen Protocol consists of two algorithms: $(\mathcal{P}, \mathcal{V})$, where the prover is assumed to know $(\beta, (u, v, w)) \in \mathcal{R}$ defined above. The protocol is defined as follows:

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Note

If between input and output we have an easy-to-compute and hard-to-invert homomorphism, we can use Sigma protocols to prove pre-images of this homomorphism!

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- **Schnorr Protocol:** Here we have $\mathbb{H} = \mathbb{Z}_q$, $\mathbb{T} = \mathbb{G}$, and $\psi : \mathbb{Z}_q \rightarrow \mathbb{G}$ is defined as $\psi(\alpha) = g^\alpha$. Moreover, here ψ is an isomorphism!

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- **Okamoto Protocol:** Here we have $\mathbb{H} = \mathbb{Z}_q^2$, $\mathbb{T} = \mathbb{G}$, and $\psi : \mathbb{Z}_q^2 \rightarrow \mathbb{G}$ is defined as $\psi(\alpha, \beta) = g^\alpha h^\beta$.

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Now, why does this generalize the previous protocols? Well, let us consider all previous examples:

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- **Okamoto Protocol:** Here we have $\mathbb{H} = \mathbb{Z}_q^2$, $\mathbb{T} = \mathbb{G}$, and $\psi : \mathbb{Z}_q^2 \rightarrow \mathbb{G}$ is defined as $\psi(\alpha, \beta) = g^\alpha h^\beta$.
- **Chaum-Pedersen Protocol:** Here we have $\mathbb{H} = \mathbb{Z}_q$, $\mathbb{T} = \mathbb{G}^2$, and $\psi : \mathbb{Z}_q \rightarrow \mathbb{G}^2$ is defined as $\psi(\beta) = (g^\beta, u^\beta)$.

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Definition (Sigma Protocol for the pre-image of a homomorphism)

The protocol consists of two algorithms: $(\mathcal{P}, \mathcal{V})$, where the prover is assumed to know the witness $h \in \mathbb{H}$ defined above. The protocol is defined as follows:

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Theorem

Such protocol is a Σ -protocol for the relation \mathcal{R} which is Honest-Verifier Zero-Knowledge (HVZK).

Combining Σ -Protocols

One of the features (which we are not going to delve into) is the ability to combine Σ -protocols to prove more complex statements.

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Example

\mathcal{P} can prove that he either knows the discrete log of u or the representation of u relative to g and h . Moreover, \mathcal{V} does not know which of the two statements \mathcal{P} is proving.

Coding Time!

Reminder

Suppose prover had messages (m_1, m_2, \dots, m_n) before verifier sends a challenge c . If x is a public statement, it suffices to choose $c \leftarrow H(x, m_1, \dots, m_n)$ without any interaction.

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Let us turn **Okamoto's Protocol** into a non-interactive proof using the Fiat-Shamir heuristic!

Reminder: Okamoto's Identification Protocol

- 1 \mathcal{P} computes $\alpha_r \xleftarrow{R} \mathbb{Z}_q$, $\beta_r \xleftarrow{R} \mathbb{Z}_q$, $u_r \leftarrow g^{\alpha_r} h^{\beta_r}$ and sends commitment u_r to \mathcal{V} .
- 2 \mathcal{V} samples the challenge $c \xleftarrow{R} \mathbb{Z}_q$ and sends c to \mathcal{P} .
- 3 \mathcal{P} computes $\alpha_z \leftarrow \alpha_r + \alpha c$, $\beta_z \leftarrow \beta_r + \beta c$ and sends $\mathbf{z} = (\alpha_z, \beta_z)$.
- 4 \mathcal{V} checks whether $g^{\alpha_z} h^{\beta_z} = u_r u^c$ and accepts or rejects the proof.

Non-Interactive Okamoto Protocol

Okamoto's Non-Interactive Identification Protocol

Now, we apply the *Fiat-Shamir Transformation*.

- Prove(1^λ): On input $(u, (\alpha, \beta)) \in \mathbb{G} \times \mathbb{Z}_q^2$,
 - 1 Sample $\alpha_r, \beta_r \xleftarrow{R} \mathbb{Z}_q$ and compute $u_r \leftarrow g^{\alpha_r} h^{\beta_r}$.
 - 2 Using the hash function $H : \mathbb{G} \times \mathbb{G} \rightarrow \mathcal{C}$, compute $c \leftarrow H(u, u_r)$.
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- Verify: Upon receiving statement u and a proof $\pi = (u_r, \alpha_z, \beta_z)$, the verifier:
 - 1 Recomputes the challenge c using the hash function.
 - 2 Accepts if and only if $g^{\alpha_z} h^{\beta_z} = u_r u^c$.

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Thank you for your attention!