zk-SNARK

Distributed Lab

Sep 5, 2024



Plan

- What is zk-SNARK?
- 2 Boolean Circuits
- Arithmetic Circuits
- 4 Linear Algebruh Preliminaries
- 5 Rank-1 Constraint System



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Definition

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- **Non-interactiveness** to produce the proof, the prover does not need any interaction with the verifier.
- **Zero-Knowledge** the verifier learns nothing about the data used to produce the proof, despite knowing that this data resolves the given problem and that the prover possesses it.

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Well... Let's take a look at some example.



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Imagine you're part of a treasure hunt...

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Imagine you're part of a treasure hunt...

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...but how to prove that without revealing the chest location?

The Problem: you have found a hidden treasure chest, and you want to prove to the organizer that you know its location without actually revealing that.



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We can retrieve some information from that:

Question #81673

What is a secret data? Who is a prover and who is a verifier?

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We can retrieve some information from that:

Question #81673

What is a secret data? Who is a prover and who is a verifier?

The Secret Data: the exact treasure location.

The Prover: you.

The Verifier: the treasure hunt organizer.



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Here is how we can apply the zk-SNARK to our problem:

 Argument of Knowledge: You need to create a proof that demonstrates you know the chest is.

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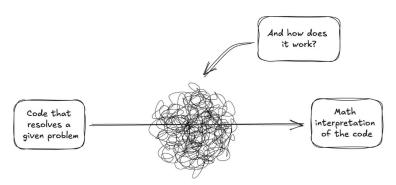
Well... The golden coin where the pirates' sign is engraved is our zk-SNARK proof!

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But the problems that we usually want to solve are in a slightly different format.

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When we need to prove that some element is in a merkle tree, we can't come to a verifier and give them a "coin"...



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Boolean Circuits

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The First Question To Resolve

The cryptographic tools we have learned in the previous lectures operate with numbers or certain primitives above them.

Question?

How do we convert a program into a mathematical language?

Do not forget about succintness!

Boolean Circuits

We can do that in a way like the computer does it - boolean circuits.

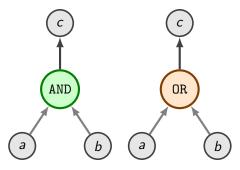
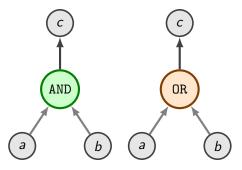


Figure: Boolean AND and OR Gates

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Boolean Circuits

We can do that in a way like the computer does it - boolean circuits.



Α	В	A AND B	
0	0	0	
0	1	0	
1	0	0	
1	1	1	

Figure: AND Gate Truth Table

Figure: Boolean AND and OR Gates

Note

With any of $\{AND, NOT\}$ or $\{OR, NOT\}$ gates sets one can build any possible logical circuit, they are called **functionally complete** sets.

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Boolean Circuit Example

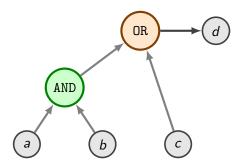


Figure: Example of a circuit evaluating d = (a AND b) OR c.

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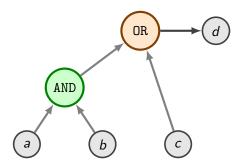


Figure: Example of a circuit evaluating d = (a AND b) OR c.

Boolean circuits receive an input vector of 0, 1 and resolve to true (1) or false (0); basically, they determine if the input values satisfy the statement.

The above circuit can be satisfied with the next values:

$$a = 1, \quad b = 1, \quad c = 0$$

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SHA-256 Boolean circuit

File	No. ANDs	No. XORs	No. INVs
sha256Final.txt	22,272	91,780	2,194

Figure: Stats of a SHA256 boolean circuit implementation.

More than 100000 gates. Impressive, doesn't it?

But it also shows how inconvenient the boolean circuits are.

Arithmetic Circuits

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Arithmetic Circuits

Similar to Boolean Circuits, the **Arithmetic circuits** consist of gates and wires.

- Wires: elements of some finite field \mathbb{F} .
- ullet Gates: addition (\oplus) and multiplication (\odot) corresponding to the field.

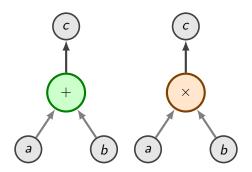


Figure: Addition and Multiplication Gates

Example

```
def multiply(a: F, b: F) -> F:
    return a * b
```



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This can be represented as a circuit with only one (multiplication) gate:

$$r = a \times b$$



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The witness vector (essentially, our solution vector) is $\mathbf{w} = (r, a, b)$, for example: (6, 2, 3).

We assume that the *a* and *b* are input values.

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Note

We can think of the "=" in the gate as an assertion.

Example

Now, suppose we want to implement the evaluation of the polynomial $Q(x_1, x_2) = x_1^3 + x_2^2 \in \mathbb{F}[x_1, x_2]$ using arithmetic circuits.

Looks easy, right? But the circuit is now much less trivial.

$$x_1^2 = x_1 \times x_1$$
 $r_1 = x_1 \times x_1$
 $x_1^3 = x_1^2 \times x_1$ or $r_2 = r_1 \times x_1$
 $x_2^2 = x_2 \times x_2$ or $r_3 = x_2 \times x_2$
 $Q = x_1^3 + x_2^2$ $Q = r_2 + r_3$

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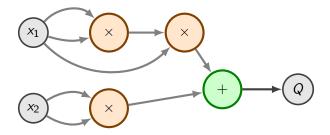


Figure: Example of a circuit evaluating $x_1^3 + x_2^2$.

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Example

Well, it is quite clear how to represent any polynomial-like expressions. But how can we translate if statements?

```
def example(a: bool, b: F, c: F) -> F:
    if a:
        return b * c
    else:
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Corresponding equations for the circuit are:

$$r_1 = b \times c,$$
 $r_3 = 1 - a,$ $r_5 = r_3 \times r_2$
 $r_2 = b + c,$ $r_4 = a \times r_1,$ $r = r_4 + r_5$

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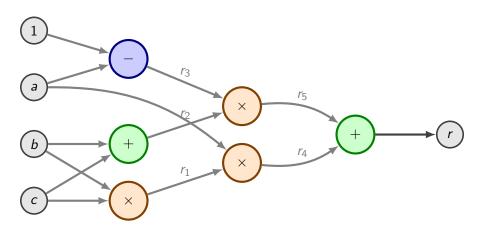


Figure: Example of a circuit evaluating the if statement logic.

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Circuit Satisfability Problem

Definition

Arithmetic circuit $C: \mathbb{F}^N \to \mathbb{F}$ over a finite field \mathbb{F} is a directed acyclic graph where internal nodes are labeled via +, -, and \times , and inputs are labeled $1, x_1, x_2, \ldots, x_n$. By |C| we denote the number of gates in the circuit.

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Definition

The **Circuit Satisfiability Problem** is defined as follows: given an arithmetic circuit C and a public input $x \in \mathbb{F}^n$, determine if there exists a private input $w \in \mathbb{F}^m$ such that C(x,w)=0. More formally, the problem is determined by relation $\mathcal{R}_{\mathbb{C}}$ and corresponding language $\mathcal{L}_{\mathbb{C}}$ as follows:

$$\mathcal{R}_{C} = \{(x, w) \in \mathbb{F}^{n} \times \mathbb{F}^{m} \mid C(x, w) = 0\},\$$

$$\mathcal{L}_{C} = \{x \in \mathbb{F}^{n} \mid \exists w \in \mathbb{F}^{m} : C(x, w) = 0\}$$

Linear Algebruh Preliminaries

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Vector Space

Definition

A **vector space** V over the field $\mathbb F$ is an abelian group for addition "+" together with a scalar multiplication operation "·" from $\mathbb F\times V$ to V, sending $(\lambda,x)\mapsto \lambda x$ and such that for any $\mathbf v,\mathbf u\in V$ and $\lambda,\mu\in\mathbb F$ we have:

- $\lambda(\mathbf{u} + \mathbf{v}) = \lambda \mathbf{u} + \lambda \mathbf{v}$
- $\bullet (\lambda + \mu)\mathbf{v} = \lambda \mathbf{v} + \mu \mathbf{v}$
- $\bullet (\lambda \mu) \mathbf{v} = \lambda (\mu \mathbf{v})$
- 1**v** = **v**

Any element $\mathbf{v} \in V$ is called a **vector**, and any element $\lambda \in \mathbb{F}$ is called a **scalar**. We also mark vector elements in boldface.

Matrix

The matrix is a rectangular array of numbers, symbols, or expressions, arranged in rows and columns. For example, the matrix A with m rows and n columns, consisting of elements from the finite field $\mathbb F$ is denoted as $A \in \mathbb F^{m \times n}$.

Definition

Let A, B be two matrices over the field \mathbb{F} . The following operations are defined:

- Matrix addition/subtraction: $A \pm B = \{a_{i,j} \pm b_{i,j}\}_{i,j=1}^{m \times n}$. The matrices A and B must have the same size $m \times n$.
- Scalar multiplication: $\lambda A = \{\lambda a_{i,j}\}_{1 \leq i,j \leq n}$ for any $\lambda \in \mathbb{F}$.
- Matrix multiplication: C = AB is a matrix $C \in \mathbb{F}^{m \times p}$ with elements $c_{i,j} = \sum_{\ell=1}^n a_{i,\ell} b_{\ell,j}$. The number of columns in A must be equal to the number of rows in B, that is $A \in \mathbb{F}^{m \times n}$ and $B \in \mathbb{F}^{n \times p}$.

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Matrix Multiplication

Example

Consider

$$A = \begin{bmatrix} 1 & 1 & 2 \\ 2 & 2 & 1 \end{bmatrix} \in \mathbb{R}^{2 \times 3}, \quad B = \begin{bmatrix} 2 & 1 \\ 1 & 3 \\ 1 & 1 \end{bmatrix} \in \mathbb{R}^{3 \times 2}$$

We cannot add A and B since they have different sizes. However, we can multiply them:

$$AB = \begin{bmatrix} 5 & 6 \\ 7 & 9 \end{bmatrix}, \quad BA = \begin{bmatrix} 4 & 4 & 5 \\ 7 & 7 & 5 \\ 3 & 3 & 3 \end{bmatrix}$$

To see why, for example, the upper left element of AB is 5, we can calculate it as $\sum_{\ell=1}^3 a_{1,\ell} b_{\ell,1} = 1 \times 2 + 1 \times 1 + 2 \times 1 = 5$.

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Vector As A Matrix

Note

It just so happens that when working with vectors, we usually assume that they are **column vectors**. This means that the vector $v = (v_1, v_2, \dots, v_n)$ is represented as a matrix:

$$\mathbf{v} = \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix}$$

This is a common convention in linear algebra, and we will use it in the following sections.

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Matrix Transpose

Definition (Transposition)

Given a matrix $A \in \mathbb{F}^{m \times n}$, the **transpose** of A is a matrix $A^{\top} \in \mathbb{F}^{n \times m}$ with elements $A_{ii}^{\top} = A_{ji}$.

Example

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}, \quad A^{\top} = \begin{bmatrix} 1 & 3 \\ 2 & 4 \end{bmatrix}$$

$$B = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix}, \quad B^{\top} = \begin{bmatrix} 1 & 4 \\ 2 & 5 \\ 3 & 6 \end{bmatrix}$$

$$\mathbf{v} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \quad \mathbf{v}^{\top} = [1, 2, 3]$$

Inner Product

Definition

Consider the vector space $\mathbb V$ over the finite field $\mathbb F_p$. The **inner product** is a function $\langle \cdot, \cdot \rangle : \mathbb V \times \mathbb V \to \mathbb F_p$ satisfying the following conditions for all $\mathbf u, \mathbf v, \mathbf w \in \mathbb V$:

- $\langle \mathbf{u} + \mathbf{v}, \mathbf{w} \rangle = \langle \mathbf{u}, \mathbf{w} \rangle + \langle \mathbf{v}, \mathbf{w} \rangle$.
- $\langle \mathbf{u}, \mathbf{v} \rangle = 0$ for all $\mathbf{u} \in \mathbb{V}$ iff $\mathbf{v} = \mathbf{0}$.
- $\langle \mathbf{u}, \mathbf{v} \rangle = 0$ for all $\mathbf{v} \in \mathbb{V}$ iff $\mathbf{u} = \mathbf{0}$.

Plenty of functions can be built that satisfy the inner product definition, we'll use the one that is usually called **dot product**.



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Dot Product

Definition

Consider the vector space $\mathbb V$ over the finite field $\mathbb F_p$. The **dot product** on $\mathbb V$ is a function $\langle \cdot, \cdot \rangle : \mathbb V \times \mathbb V \to \mathbb F$, defined for every $\mathbf u, \mathbf v \in \mathbb V$ as follows:

$$\langle \mathbf{u}, \mathbf{v} \rangle := \mathbf{u}^{\top} \mathbf{v} = \sum_{i=1}^{n} u_{i} v_{i}$$

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Note

The dot product can also be denoted using the dot notation as:

$$\mathbf{u} \cdot \mathbf{v}$$

That is why it's called the "dot" product.



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Dot Product

Example

Let \mathbf{u}, \mathbf{v} are vectors over the real number \mathbb{R} , where

$$\mathbf{u} = (1, 2, 3), \quad \mathbf{v} = (2, 4, 3)$$

Then:

$$\langle \mathbf{u}, \mathbf{v} \rangle = \sum_{i=1}^{3} u_i v_i = 2 \cdot 1 + 2 \cdot 4 + 3 \cdot 3 = 2 + 8 + 9 = 19$$



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Hadamard Product

Definition

Suppose $A, B \in \mathbb{F}^{m \times n}$. The **Hadamard product** $A \odot B$ gives a matrix C such that $C_{i,j} = A_{i,j}B_{i,j}$. Essentially, we multiply elements elementwise.

Example

Consider
$$A = \begin{bmatrix} 1 & 1 & 2 \\ 3 & 0 & 3 \end{bmatrix}$$
, $B = \begin{bmatrix} 3 & 2 & 1 \\ 0 & 2 & 1 \end{bmatrix}$. Then, the Hadamard product:

$$A \odot B = \begin{bmatrix} 1 \cdot 3 & 1 \cdot 2 & 2 \cdot 1 \\ 3 \cdot 0 & 0 \cdot 2 & 3 \cdot 1 \end{bmatrix} = \begin{bmatrix} 3 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$$

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Definition

Given two vectors $\mathbf{u} \in \mathbb{F}^n$, $\mathbf{v} \in \mathbb{F}^m$ the **outer product** is a the matrix whose entries are all products of an element in the first vector with an element in the second vector:

$$\mathbf{u} \otimes \mathbf{v} := \mathbf{u} \mathbf{v}^{\top} = \begin{bmatrix} u_1 v_1 & u_1 v_2 & \cdots & u_1 v_n \\ u_2 v_1 & u_2 v_2 & \cdots & u_2 v_n \\ \vdots & \vdots & \ddots & \vdots \\ u_m v_1 & u_m v_2 & \cdots & u_m v_n \end{bmatrix}$$

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Lemma (Properties of outer product)

For any scalar $c \in \mathbb{F}$ and $(\mathbf{u}, \mathbf{v}, \mathbf{w}) \in \mathbb{F}^n \times \mathbb{F}^m \times \mathbb{F}^p$:

- Transpose: $(\mathbf{u} \otimes \mathbf{v}) = (\mathbf{v} \otimes \mathbf{u})^T$
- Distributivity: $\mathbf{u} \otimes (\mathbf{v} + \mathbf{w}) = \mathbf{u} \otimes \mathbf{v} + \mathbf{u} \otimes \mathbf{w}$
- Scalar Multiplication: $c(\mathbf{v} \otimes \mathbf{u}) = (c\mathbf{v}) \otimes \mathbf{u} = \mathbf{v} \otimes (c\mathbf{u})$
- Rank: the outer product $\mathbf{u} \otimes \mathbf{v}$ is a rank-1 matrix if \mathbf{u} and \mathbf{v} are non-zero vectors



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Example

Let \mathbf{u}, \mathbf{v} are vectors over the real number \mathbb{R} , where

$$\mathbf{u} = (1, 2, 3), \quad \mathbf{v} = (2, 4, 3)$$

Then:

$$\mathbf{u} \otimes \mathbf{v} = \mathbf{u} \mathbf{v}^{\top} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} \begin{bmatrix} 2 & 4 & 3 \end{bmatrix} = \begin{bmatrix} 1 \cdot 2 & 1 \cdot 4 & 1 \cdot 3 \\ 2 \cdot 2 & 2 \cdot 4 & 2 \cdot 3 \\ 3 \cdot 2 & 3 \cdot 4 & 3 \cdot 3 \end{bmatrix} = \begin{bmatrix} 2 & 4 & 3 \\ 4 & 8 & 6 \\ 6 & 12 & 9 \end{bmatrix}$$



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The rows/columns number 2 and 3 in the result matrix can be represented as a linear combination of the first row/column, specifically by multiplying it by 2 and 3, respectively.

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Rank-1 Constraint System

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Constraint Definition

Definition

Each **constraint** in the Rank-1 Constraint System must be in the form:

$$\langle \mathbf{a}, \mathbf{w} \rangle \times \langle \mathbf{b}, \mathbf{w} \rangle = \langle \mathbf{c}, \mathbf{w} \rangle$$

Where \mathbf{w} is a vector containing all the *input*, *output*, and *intermediate* variables involved in the computation. The vectors \mathbf{a} , \mathbf{b} , and \mathbf{c} are vectors of coefficients corresponding to these variables, and they define the relationship between the linear combinations of \mathbf{w} on the left-hand side and the right-hand side of the equation.

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Constraint Example

Example

Consider the most basic circuit with one multiplication gate:

$$r = x_1 \times x_2$$

Since we have 3 variables, the constraint is written as:

$$(a_1w_1 + a_2w_2 + a_3w_3)(b_1w_1 + b_2w_2 + b_3w_3) = c_1w_1 + c_2w_2 + c_3w_3$$

Coefficients and witness vectors are:

$$\mathbf{w} = (r, x_1, x_2), \quad \mathbf{a} = (0, 1, 0), \quad \mathbf{b} = (0, 0, 1), \quad \mathbf{c} = (1, 0, 0).$$

Therefore, our expression above reduces to:

$$(0w_1 + 1w_2 + 0w_3)(0w_1 + 0w_2 + 1w_3) = (1w_1 + 0w_2 + 0w_3)$$

 $w_2 \times w_3 = w_1$
 $x_1 \times x_2 = r$

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Now, let us consider a more complex example.

def r(x1: bool, x2: F, x3: F)
$$\rightarrow$$
 F:
return x2 * x3 if x1 else x2 + x3

That can be expressed as:

$$r = x_1 \times (x_2 \times x_3) + (1 - x_1) \times (x_2 + x_3)$$

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Now, let us consider a more complex example.

def r(x1: bool, x2: F, x3: F)
$$\rightarrow$$
 F:
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Thus, the next constraints can be build:

$$x_1 \times x_1 = x_1$$
 (binary check) (1)

$$x_2 \times x_3 = \text{mult}$$
 (2)

$$x_1 \times \text{mult} = \text{selectMult}$$
 (3)

$$(1-x_1)\times(x_2+x_3)=r-\mathsf{selectMult} \tag{4}$$

The witness vector: $\mathbf{w} = (1, r, x_1, x_2, x_3, \text{mult}, \text{selectMult}).$

The coefficients vectors:

$$\begin{aligned} &\mathbf{a}_1 = (0,0,1,0,0,0,0), & \mathbf{b}_1 = (0,0,1,0,0,0,0), & \mathbf{c}_1 = (0,0,1,0,0,0,0) \\ &\mathbf{a}_2 = (0,0,0,1,0,0,0), & \mathbf{b}_2 = (0,0,0,0,1,0,0), & \mathbf{c}_2 = (0,0,0,0,0,1,0) \\ &\mathbf{a}_3 = (0,0,1,0,0,0,0), & \mathbf{b}_3 = (0,0,0,0,0,1,0), & \mathbf{c}_3 = (0,0,0,0,0,0,1) \\ &\mathbf{a}_4 = (1,0,-1,0,0,0,0), & \mathbf{b}_4 = (0,0,0,1,1,0,0), & \mathbf{c}_4 = (0,1,0,0,0,0,-1) \end{aligned}$$

Using the arithmetic in a large finite field \mathbb{F}_p , consider the following values:

$$x_1 = 1, \quad x_2 = 3, \quad x_3 = 4$$

Verifying the constraints:

②
$$x_2 \times x_3 = \text{mult} \quad (3 \times 4 = 12)$$

4
$$(1-x_1) \times (x_2+x_3) = r - \text{selectMult}$$
 $(0 \times 7 = 12 - 12)$

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Why Rank-1?

Lemma

Suppose we have a constraint $\langle \mathbf{a}, \mathbf{w} \rangle \times \langle \mathbf{b}, \mathbf{w} \rangle = \langle \mathbf{c}, \mathbf{w} \rangle$ with coefficient vectors \mathbf{a} , \mathbf{b} , \mathbf{c} and witness vector \mathbf{w} (all from \mathbb{F}^n). Then it can be expressed in the form: $\mathbf{w}^\top A \mathbf{w} + \mathbf{c}^\top \mathbf{w} = 0$

Where A is the outer product of vectors **a**, **b**, so a rank-1 matrix.

Lemma proof. Consider $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{w} \in \mathbb{F}^n$.

$$\left(\sum_{i=1}^n a_i w_i\right) \times \left(\sum_{j=1}^n b_j w_j\right) = \sum_{k=1}^n c_k w_k$$

Combine the products into a double sum on the left side:

$$\sum_{i=1}^n \sum_{j=1}^n a_i b_j w_i w_j = \mathbf{w}^\top (\mathbf{a} \otimes \mathbf{b}) \mathbf{w} = \mathbf{w}^\top A \mathbf{w}$$

Thus, the constraint can be written as:

$$\mathbf{w}^{\mathsf{T}}A\mathbf{w} + \mathbf{c}^{\mathsf{T}}\mathbf{w} = 0$$

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