### Introduction to zk-SNARK. R1CS

Distributed Lab

Sep 12, 2024



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## Plan

- What is zk-SNARK?
- 2 Boolean Circuits
- Arithmetic Circuits
- 4 Linear Algebruh Preliminaries
- 5 Rank-1 Constraint System



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### **Definition**

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- **Non-interactiveness** to produce the proof, the prover does not need any interaction with the verifier.
- **Zero-Knowledge** the verifier learns nothing about the data used to produce the proof, despite knowing that this data resolves the given problem and that the prover possesses it.

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Well... Let's take a look at some example.

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Imagine you're part of a treasure hunt...

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...but how to prove that without revealing the chest location?

**The Problem**: you have found a hidden treasure chest, and you want to prove to the organizer that you know its location without actually revealing that.



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We can retrieve some information from that:

**The Secret Data**: the exact treasure location.

The Prover: you.

**The Verifier**: the treasure hunt organizer.

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Here is how we can apply the zk-SNARK to our problem:

 Argument of Knowledge: You need to create a proof that demonstrates you know the chest is.

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Well... The golden coin where the pirates' sign is engraved is our zk-SNARK proof!

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When we need to prove that some element is in a merkle tree, we can't come to a verifier and give them a "coin"...

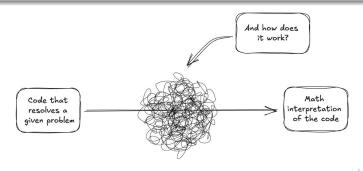
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#### Question?

How do we convert a program into a mathematical language?



## **Boolean Circuits**

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### **Boolean Circuits**

We can do that in a way like the computer does it - boolean circuits.

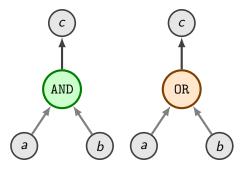
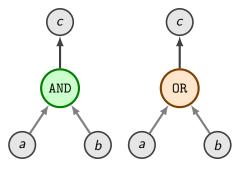


Figure: Boolean AND and OR Gates

### **Boolean Circuits**

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Α	В	A AND B	
0	0	0	
0	1	0	
1	0	0	
1	1	1	

Figure: AND Gate Truth Table

Figure: Boolean AND and OR Gates

#### Note

With any of  $\{AND, NOT\}$  or  $\{OR, NOT\}$  gates sets one can build any possible logical circuit, they are called **functionally complete** sets.

# Boolean Circuit Example

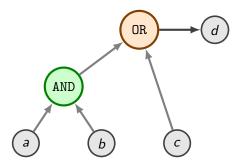


Figure: Example of a circuit evaluating d = (a AND b) OR c.

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# Boolean Circuit Example

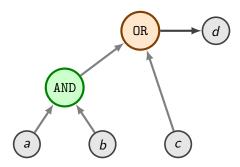


Figure: Example of a circuit evaluating d = (a AND b) OR c.

Boolean circuits receive an input vector of 0, 1 and resolve to true (1) or false (0);

The above circuit can be satisfied with the next values:

$$a = 1, \quad b = 1, \quad c = 0, \quad d = 1$$

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### SHA-256 Boolean circuit

File	No. ANDs	No. XORs	No. INVs
sha256Final.txt	22,272	91,780	2,194

Figure: Stats of a SHA256 boolean circuit implementation.

More than 100000 gates. Impressive, doesn't it?

But it also shows how inconvenient the boolean circuits are.

# **Arithmetic Circuits**

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### **Arithmetic Circuits**

Similar to Boolean Circuits, the **Arithmetic circuits** consist of gates and wires.

- Wires: elements of some finite field  $\mathbb{F}_p$ .
- ullet Gates: addition  $(\oplus)$  and multiplication  $(\odot)$  corresponding to the field.

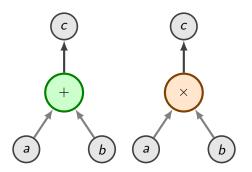


Figure: Addition and Multiplication Gates

# Example

```
def multiply(a: F, b: F) -> F:
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The witness vector (essentially, our solution vector) is  $\mathbf{w} = (r, a, b)$ , for example: (6, 2, 3).

We assume that the *a* and *b* are input values.

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#### Note

We can think of the "=" in the gate as an assertion.



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## Example

Now, suppose we want to implement the evaluation of the polynomial  $Q(x_1, x_2) = x_1^3 + x_2^2 \in \mathbb{F}[x_1, x_2]$  using arithmetic circuits.

Looks easy, right?



### Example

Now, suppose we want to implement the evaluation of the polynomial  $Q(x_1, x_2) = x_1^3 + x_2^2 \in \mathbb{F}[x_1, x_2]$  using arithmetic circuits.

Looks easy, right? But the circuit is now much less trivial.

$$x_1^2 = x_1 \times x_1$$
  $r_1 = x_1 \times x_1$   
 $x_1^3 = x_1^2 \times x_1$  or  $r_2 = r_1 \times x_1$   
 $x_2^2 = x_2 \times x_2$  or  $r_3 = x_2 \times x_2$   
 $Q = x_1^3 + x_2^2$   $Q = r_2 + r_3$ 

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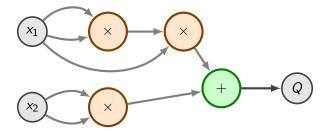


Figure: Example of a circuit evaluating  $x_1^3 + x_2^2$ .

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## Example

Well, it is quite clear how to represent any polynomial-like expressions. But how can we translate if statements?

```
def example(a: bool, b: F, c: F) -> F:
    if a:
        return b * c
    else:
        return b + c
```

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We can transform such a function into the next expression:

$$r = a \times (b \times c) + (1 - a) \times (b + c)$$

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Corresponding equations for the circuit are:

$$r_1 = b \times c,$$
  $r_3 = 1 - a,$   $r_5 = r_3 \times r_2$   
 $r_2 = b + c,$   $r_4 = a \times r_1,$   $r = r_4 + r_5$ 

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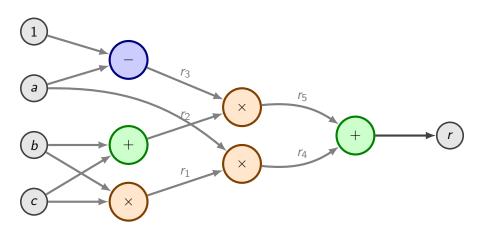


Figure: Example of a circuit evaluating the if statement logic.

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# Linear Algebruh Preliminaries

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# Vector Space

#### Definition

A **vector space** V over the field  $\mathbb{F}$  is an abelian group for addition "+" together with a scalar multiplication operation " $\cdot$ " from  $\mathbb{F} \times V$  to V, sending  $(\lambda, x) \mapsto \lambda x$  and such that for any  $\mathbf{v}, \mathbf{u} \in V$  and  $\lambda, \mu \in \mathbb{F}$  we have:

- $\lambda(\mathbf{u} + \mathbf{v}) = \lambda \mathbf{u} + \lambda \mathbf{v}$
- $(\lambda + \mu)\mathbf{v} = \lambda\mathbf{v} + \mu\mathbf{v}$
- $(\lambda \mu)\mathbf{v} = \lambda(\mu \mathbf{v})$
- 1v = v

Any element  $\mathbf{v} \in V$  is called a **vector**, and any element  $\lambda \in \mathbb{F}$  is called a scalar. We also mark vector elements in boldface.

## Matrix

The matrix is a rectangular array of numbers, symbols, or expressions, arranged in rows and columns. For example, the matrix A with m rows and n columns, consisting of elements from the finite field  $\mathbb F$  is denoted as  $A \in \mathbb F^{m \times n}$ .

#### **Definition**

Let A, B be two matrices over the field  $\mathbb{F}$ . The following operations are defined:

- Matrix addition/subtraction:  $A \pm B = \{a_{i,j} \pm b_{i,j}\}_{i,j=1}^{m \times n}$ . The matrices A and B must have the same size  $m \times n$ .
- Scalar multiplication:  $\lambda A = \{\lambda a_{i,j}\}_{1 \leq i,j \leq n}$  for any  $\lambda \in \mathbb{F}$ .
- Matrix multiplication: C = AB is a matrix  $C \in \mathbb{F}^{m \times p}$  with elements  $c_{i,j} = \sum_{\ell=1}^n a_{i,\ell} b_{\ell,j}$ . The number of columns in A must be equal to the number of rows in B, that is  $A \in \mathbb{F}^{m \times n}$  and  $B \in \mathbb{F}^{n \times p}$ .

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# Matrix Multiplication

### Example

Consider

$$A = \begin{bmatrix} 1 & 1 & 2 \\ 2 & 2 & 1 \end{bmatrix} \in \mathbb{R}^{2 \times 3}, \quad B = \begin{bmatrix} 2 & 1 \\ 1 & 3 \\ 1 & 1 \end{bmatrix} \in \mathbb{R}^{3 \times 2}$$

We cannot add A and B since they have different sizes. However, we can multiply them:

$$AB = \begin{bmatrix} 5 & 6 \\ 7 & 9 \end{bmatrix}, \quad BA = \begin{bmatrix} 4 & 4 & 5 \\ 7 & 7 & 5 \\ 3 & 3 & 3 \end{bmatrix}$$

To see why, for example, the upper left element of AB is 5, we can calculate it as  $\sum_{\ell=1}^3 a_{1,\ell} b_{\ell,1} = 1 \times 2 + 1 \times 1 + 2 \times 1 = 5$ .

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## Vector As A Matrix

#### Note

It just so happens that when working with vectors, we usually assume that they are **column vectors**. This means that the vector  $v = (v_1, v_2, \dots, v_n)$  is represented as a matrix:

$$\mathbf{v} = \begin{bmatrix} v_1 \\ v_2 \\ \vdots \\ v_n \end{bmatrix}$$

This is a common convention in linear algebra, and we will use it in the following sections.

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# Matrix Transpose

## Definition (Transposition)

Given a matrix  $A \in \mathbb{F}^{m \times n}$ , the **transpose** of A is a matrix  $A^{\top} \in \mathbb{F}^{n \times m}$  with elements  $A_{ii}^{\top} = A_{ji}$ .

## Example

$$A = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}, \quad A^{\top} = \begin{bmatrix} 1 & 3 \\ 2 & 4 \end{bmatrix}$$
$$B = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{bmatrix}, \quad B^{\top} = \begin{bmatrix} 1 & 4 \\ 2 & 5 \\ 3 & 6 \end{bmatrix}$$

$$\mathbf{v} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix}, \quad \mathbf{v}^{\top} = [1, 2, 3]$$

## Inner Product

#### **Definition**

Consider the vector space  $\mathbb V$  over the finite field  $\mathbb F_p$ . The **inner product** is a function  $\langle \cdot, \cdot \rangle : \mathbb V \times \mathbb V \to \mathbb F_p$  satisfying the following conditions for all  $\mathbf u, \mathbf v, \mathbf w \in \mathbb V$ :

- $\langle \mathbf{u} + \mathbf{v}, \mathbf{w} \rangle = \langle \mathbf{u}, \mathbf{w} \rangle + \langle \mathbf{v}, \mathbf{w} \rangle$ .
- $\langle \mathbf{u}, \mathbf{v} \rangle = 0$  for all  $\mathbf{u} \in \mathbb{V}$  iff  $\mathbf{v} = \mathbf{0}$ .
- $\langle \mathbf{u}, \mathbf{v} \rangle = 0$  for all  $\mathbf{v} \in \mathbb{V}$  iff  $\mathbf{u} = \mathbf{0}$ .

Plenty of functions can be built that satisfy the inner product definition, we'll use the one that is usually called **dot product**.



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### **Dot Product**

#### **Definition**

Consider the vector space  $\mathbb V$  over the finite field  $\mathbb F_p$ . The **dot product** on  $\mathbb V$  is a function  $\langle \cdot, \cdot \rangle : \mathbb V \times \mathbb V \to \mathbb F$ , defined for every  $\mathbf u, \mathbf v \in \mathbb V$  as follows:

$$\langle \mathbf{u}, \mathbf{v} \rangle := \mathbf{u}^{\top} \mathbf{v} = \sum_{i=1}^{n} u_{i} v_{i}$$

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### Dot Product

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$$\langle \mathbf{u}, \mathbf{v} \rangle := \mathbf{u}^{\top} \mathbf{v} = \sum_{i=1}^{n} u_{i} v_{i}$$

#### Note

The dot product can also be denoted using the dot notation as:

$$\mathbf{u} \cdot \mathbf{v}$$

That is why it's called the "dot" product.

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## **Dot Product**

### Example

Let  $\mathbf{u}, \mathbf{v}$  are vectors over the real number  $\mathbb{R}$ , where

$$\mathbf{u} = (1, 2, 3), \quad \mathbf{v} = (2, 4, 3)$$

Then:

$$\langle \mathbf{u}, \mathbf{v} \rangle = \sum_{i=1}^{3} u_i v_i = 2 \cdot 1 + 2 \cdot 4 + 3 \cdot 3 = 2 + 8 + 9 = 19$$

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## Hadamard Product

#### Definition

Suppose  $A, B \in \mathbb{F}^{m \times n}$ . The **Hadamard product**  $A \odot B$  gives a matrix C such that  $C_{i,j} = A_{i,j}B_{i,j}$ . Essentially, we multiply elements elementwise.

## Example

Consider 
$$A = \begin{bmatrix} 1 & 1 & 2 \\ 3 & 0 & 3 \end{bmatrix}$$
,  $B = \begin{bmatrix} 3 & 2 & 1 \\ 0 & 2 & 1 \end{bmatrix}$ . Then, the Hadamard product:

$$A \odot B = \begin{bmatrix} 1 \cdot 3 & 1 \cdot 2 & 2 \cdot 1 \\ 3 \cdot 0 & 0 \cdot 2 & 3 \cdot 1 \end{bmatrix} = \begin{bmatrix} 3 & 2 & 2 \\ 0 & 0 & 3 \end{bmatrix}$$



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#### **Definition**

Given two vectors  $\mathbf{u} \in \mathbb{F}^n$ ,  $\mathbf{v} \in \mathbb{F}^m$  the **outer product** is a the matrix whose entries are all products of an element in the first vector with an element in the second vector:

$$\mathbf{u} \otimes \mathbf{v} := \mathbf{u} \mathbf{v}^{\top} = \begin{bmatrix} u_1 v_1 & u_1 v_2 & \cdots & u_1 v_n \\ u_2 v_1 & u_2 v_2 & \cdots & u_2 v_n \\ \vdots & \vdots & \ddots & \vdots \\ u_m v_1 & u_m v_2 & \cdots & u_m v_n \end{bmatrix}$$

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## Lemma (Properties of outer product)

For any scalar  $c \in \mathbb{F}$  and  $(\mathbf{u}, \mathbf{v}, \mathbf{w}) \in \mathbb{F}^n \times \mathbb{F}^m \times \mathbb{F}^p$ :

- Transpose:  $(\mathbf{u} \otimes \mathbf{v}) = (\mathbf{v} \otimes \mathbf{u})^T$
- Distributivity:  $\mathbf{u} \otimes (\mathbf{v} + \mathbf{w}) = \mathbf{u} \otimes \mathbf{v} + \mathbf{u} \otimes \mathbf{w}$
- Scalar Multiplication:  $c(\mathbf{v} \otimes \mathbf{u}) = (c\mathbf{v}) \otimes \mathbf{u} = \mathbf{v} \otimes (c\mathbf{u})$
- Rank: the outer product  $\mathbf{u} \otimes \mathbf{v}$  is a rank-1 matrix if  $\mathbf{u}$  and  $\mathbf{v}$  are non-zero vectors



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### Example

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Then:

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$$\mathbf{u} = (1, 2, 3), \quad \mathbf{v} = (2, 4, 3)$$

Then:

$$\mathbf{u} \otimes \mathbf{v} = \mathbf{u} \mathbf{v}^{\top} = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} \begin{bmatrix} 2 & 4 & 3 \end{bmatrix} = \begin{bmatrix} 1 \cdot 2 & 1 \cdot 4 & 1 \cdot 3 \\ 2 \cdot 2 & 2 \cdot 4 & 2 \cdot 3 \\ 3 \cdot 2 & 3 \cdot 4 & 3 \cdot 3 \end{bmatrix} = \begin{bmatrix} 2 & 4 & 3 \\ 4 & 8 & 6 \\ 6 & 12 & 9 \end{bmatrix}$$

The rows/columns number 2 and 3 in the result matrix can be represented as a linear combination of the first row/column, specifically by multiplying it by 2 and 3, respectively.

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# Rank-1 Constraint System

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## Constraint Definition

#### **Definition**

Each **constraint** in the Rank-1 Constraint System must be in the form:

$$\langle \mathbf{a}, \mathbf{w} \rangle \times \langle \mathbf{b}, \mathbf{w} \rangle = \langle \mathbf{c}, \mathbf{w} \rangle$$

Where  $\mathbf{w}$  is a vector containing all the *input*, *output*, and *intermediate* variables involved in the computation. The vectors  $\mathbf{a}$ ,  $\mathbf{b}$ , and  $\mathbf{c}$  are vectors of coefficients corresponding to these variables, and they define the relationship between the linear combinations of  $\mathbf{w}$  on the left-hand side and the right-hand side of the equation.

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## Constraint Example

#### Example

Consider the most basic circuit with one multiplication gate:  $x_1 \times x_2 = r$ . The witnes vector  $\mathbf{w} = (r, x_1, x_2)$ . So

$$w_2 \times w_3 = w_1$$
 $(0 + w_2 + 0) \times (0 + 0 + w_3) = w_1 + 0 + 0$ 
 $(0w_1 + 1w_2 + 0w_3) \times (0w_1 + 0w_2 + 1w_3) = 1w_1 + 0w_2 + 0w_3$ 

Therefore the coefficients vectors are:

$$\mathbf{a} = (0, 1, 0), \quad \mathbf{b} = (0, 0, 1), \quad \mathbf{c} = (1, 0, 0).$$

The general form of our constraint is:

$$(a_1w_1 + a_2w_2 + a_3w_3)(b_1w_1 + b_2w_2 + b_3w_3) = c_1w_1 + c_2w_2 + c_3w_3$$

Now, let us consider a more complex example.

def 
$$r(x1: F, x2: F, x3: F) \rightarrow F:$$
  
return  $x2 * x3$  if  $x1$  else  $x2 + x3$ 

That can be expressed as:

$$r = x_1 \times (x_2 \times x_3) + (1 - x_1) \times (x_2 + x_3)$$

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Now, let us consider a more complex example.

def 
$$r(x1: F, x2: F, x3: F) \rightarrow F:$$
  
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Thus, the next constraints can be build:

$$x_1 \times x_1 = x_1$$
 (binary check)

$$x_2 \times x_3 = \text{mult}$$
 (2)

(1)

$$x_1 \times \text{mult} = \text{selectMult}$$
 (3)

$$(1-x_1)\times(x_2+x_3)=r-\mathsf{selectMult} \tag{4}$$

The witness vector:  $\mathbf{w} = (1, r, x_1, x_2, x_3, \text{mult}, \text{selectMult}).$ 

The coefficients vectors:

$$\begin{aligned} &\mathbf{a}_1 = (0,0,1,0,0,0,0), & \mathbf{b}_1 = (0,0,1,0,0,0,0), & \mathbf{c}_1 = (0,0,1,0,0,0,0) \\ &\mathbf{a}_2 = (0,0,0,1,0,0,0), & \mathbf{b}_2 = (0,0,0,0,1,0,0), & \mathbf{c}_2 = (0,0,0,0,0,1,0) \\ &\mathbf{a}_3 = (0,0,1,0,0,0,0), & \mathbf{b}_3 = (0,0,0,0,0,1,0), & \mathbf{c}_3 = (0,0,0,0,0,0,1) \\ &\mathbf{a}_4 = (1,0,-1,0,0,0,0), & \mathbf{b}_4 = (0,0,0,1,1,0,0), & \mathbf{c}_4 = (0,1,0,0,0,0,-1) \end{aligned}$$

Using the arithmetic in a large finite field  $\mathbb{F}_p$ , consider the following values:

$$x_1 = 1, \quad x_2 = 3, \quad x_3 = 4$$

Verifying the constraints:

② 
$$x_2 \times x_3 = \text{mult} \quad (3 \times 4 = 12)$$

$$(1-x_1) \times (x_2+x_3) = r - \text{selectMult} (0 \times 7 = 12 - 12)$$

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#### **Theorem**

Consider a Rank-1 Constraint System (R1CS) defined by m constraints. Each constraint is associated with coefficient vectors  $\mathbf{a}_i$ ,  $\mathbf{b}_i$ , and  $\mathbf{c}_i$ , where  $i \in \{1, 2, ..., m\}$  and also a witness vector **w** consisting of n elements. Then this system can also be represented using the corresponding matrices A. B. and C.

$$A = \begin{bmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{bmatrix} \quad B = \begin{bmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{m1} & b_{m2} & \dots & b_{mn} \end{bmatrix} \quad C = \begin{bmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{m1} & c_{m2} & \dots & c_{mn} \end{bmatrix}$$

such that all constraints can be reduced to the single equation:

$$A\mathbf{w} \odot B\mathbf{w} = C\mathbf{w}$$

**Proof.** Matrices defined this way can be expressed as

$$A = \begin{bmatrix} \mathbf{a}_1^\top \\ \mathbf{a}_2^\top \\ \vdots \\ \mathbf{a}_m^\top \end{bmatrix}, \quad B = \begin{bmatrix} \mathbf{b}_1^\top \\ \mathbf{b}_2^\top \\ \vdots \\ \mathbf{b}_m^\top \end{bmatrix}, \quad C = \begin{bmatrix} \mathbf{c}_1^\top \\ \mathbf{c}_2^\top \\ \vdots \\ \mathbf{c}_m^\top \end{bmatrix}$$

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**Proof.** Matrices defined this way can be expressed as

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Consider an expression Aw:

$$A\mathbf{w} = \begin{bmatrix} \mathbf{a}_1^\top \\ \mathbf{a}_2^\top \\ \vdots \\ \vdots \\ \mathbf{a}_m^\top \end{bmatrix} \begin{bmatrix} w_1 \\ w_2 \\ \vdots \\ w_n \end{bmatrix} = \begin{bmatrix} \mathbf{a}_1^\top \mathbf{w} \\ \mathbf{a}_2^\top \mathbf{w} \\ \vdots \\ \mathbf{a}_m^\top \mathbf{w} \end{bmatrix}$$

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Therefore, we have:

$$A\mathbf{w} = \begin{bmatrix} \langle \mathbf{a}_1, \mathbf{w} \rangle \\ \langle \mathbf{a}_2, \mathbf{w} \rangle \\ \vdots \\ \langle \mathbf{a}_m, \mathbf{w} \rangle \end{bmatrix}, \quad B\mathbf{w} = \begin{bmatrix} \langle \mathbf{b}_1, \mathbf{w} \rangle \\ \langle \mathbf{b}_2, \mathbf{w} \rangle \\ \vdots \\ \langle \mathbf{b}_m, \mathbf{w} \rangle \end{bmatrix}, \quad C\mathbf{w} = \begin{bmatrix} \langle \mathbf{c}_1, \mathbf{w} \rangle \\ \langle \mathbf{c}_2, \mathbf{w} \rangle \\ \vdots \\ \langle \mathbf{c}_m, \mathbf{w} \rangle \end{bmatrix}$$

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**Proof.** Matrices defined this way can be expressed as

$$A = \begin{bmatrix} \mathbf{a}_1^\top \\ \mathbf{a}_2^\top \\ \vdots \\ \mathbf{a}_m^\top \end{bmatrix}, \quad B = \begin{bmatrix} \mathbf{b}_1^\top \\ \mathbf{b}_2^\top \\ \vdots \\ \mathbf{b}_m^\top \end{bmatrix}, \quad C = \begin{bmatrix} \mathbf{c}_1^\top \\ \mathbf{c}_2^\top \\ \vdots \\ \mathbf{c}_m^\top \end{bmatrix}$$

Consider an expression Aw:

$$A\mathbf{w} = \begin{bmatrix} \mathbf{a}_{1}^{\top} \\ \mathbf{a}_{2}^{\top} \\ \vdots \\ \vdots \\ \mathbf{a}_{m}^{\top} \end{bmatrix} \begin{bmatrix} w_{1} \\ w_{2} \\ \vdots \\ w_{n} \end{bmatrix} = \begin{bmatrix} \mathbf{a}_{1}^{\top} \mathbf{w} \\ \mathbf{a}_{2}^{\top} \mathbf{w} \\ \vdots \\ \mathbf{a}_{m}^{\top} \mathbf{w} \end{bmatrix}$$

Therefore, we have:

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Thus,  $A\mathbf{w} \odot B\mathbf{w} = C\mathbf{w}$  is equivalent to the system of m constraints:

$$\langle \mathbf{a}_i, \mathbf{w} \rangle \times \langle \mathbf{b}_i, \mathbf{w} \rangle = \langle \mathbf{c}_i, \mathbf{w} \rangle, j \in \{1, \dots, m\}.$$

## Example

The vectors  $\mathbf{a}_i$  from the previous examples have the form:

$$\begin{aligned} &\mathbf{a}_1 = (0,0,1,0,0,0,0) \\ &\mathbf{a}_2 = (0,0,0,1,0,0,0) \\ &\mathbf{a}_3 = (0,0,1,0,0,0,0) \\ &\mathbf{a}_4 = (1,0,-1,0,0,0,0) \end{aligned}$$

This corresponds to n = 7, m = 4

$$A = \begin{bmatrix} a_{1,1} & a_{1,2} & a_{1,3} & a_{1,4} & a_{1,5} & a_{1,6} & a_{1,7} \\ a_{2,1} & a_{2,2} & a_{2,3} & a_{2,4} & a_{2,5} & a_{2,6} & a_{2,7} \\ a_{3,1} & a_{3,2} & a_{3,3} & a_{3,4} & a_{3,5} & a_{3,6} & a_{3,7} \\ a_{4,1} & a_{4,2} & a_{4,3} & a_{4,4} & a_{4,5} & a_{4,6} & a_{4,7} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & -1 & 0 & 0 & 0 & 0 \end{bmatrix}$$

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# Why Rank-1?

#### Lemma

Suppose we have a constraint  $\langle \mathbf{a}, \mathbf{w} \rangle \times \langle \mathbf{b}, \mathbf{w} \rangle = \langle \mathbf{c}, \mathbf{w} \rangle$  with coefficient vectors  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  and witness vector  $\mathbf{w}$  (all from  $\mathbb{F}^n$ ). Then it can be expressed in the form:  $\mathbf{w}^\top A \mathbf{w} + \mathbf{c}^\top \mathbf{w} = 0$ 

Where A is the outer product of vectors **a**, **b**, so a rank-1 matrix.

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#### Lemma

Suppose we have a constraint  $\langle \mathbf{a}, \mathbf{w} \rangle \times \langle \mathbf{b}, \mathbf{w} \rangle = \langle \mathbf{c}, \mathbf{w} \rangle$  with coefficient vectors  $\mathbf{a}$ ,  $\mathbf{b}$ ,  $\mathbf{c}$  and witness vector  $\mathbf{w}$  (all from  $\mathbb{F}^n$ ). Then it can be expressed in the form:

 $\mathbf{w}^{\top} A \mathbf{w} + \mathbf{c}^{\top} \mathbf{w} = 0$ 

Where A is the outer product of vectors a, b, so a rank-1 matrix.

**Lemma proof.** Consider  $\mathbf{a}, \mathbf{b}, \mathbf{c}, \mathbf{w} \in \mathbb{F}^n$ .

$$\left(\sum_{i=1}^n a_i w_i\right) \times \left(\sum_{j=1}^n b_j w_j\right) = \sum_{k=1}^n c_k w_k$$

Combine the products into a double sum on the left side:

$$\sum_{i=1}^n \sum_{j=1}^n a_i b_j w_i w_j = \mathbf{w}^\top (\mathbf{a} \otimes \mathbf{b}) \mathbf{w} = \mathbf{w}^\top A \mathbf{w}$$

Thus, the constraint can be written as:

$$\mathbf{w}^{\mathsf{T}}A\mathbf{w} + \mathbf{c}^{\mathsf{T}}\mathbf{w} = 0$$

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Thanks for your attention!

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