# Introduction to Zero-Knowledge Proofs

August 22, 2024

#### **Distributed Lab**

# zkdl-camp.github.io

github.com/ZKDL-Camp



#### Plan I Introduction

- Classical Proofs
- Goal of the course
- 2 Relations. Languages. NP Statements.
  - Language of true statements. Examples.
  - P and NP Statements
- 3 Interactive Proofs
  - Quadratic Residue Interactive Proof
  - Completeness and Soundness
  - Zero-Knowledge and Honest-Verifier Zero-Knowledge
  - Proof of Knowledge
- 4 Fiat-Shamir Heuristic

# Introduction

 First proofs you have probably encountered were geometry proofs.

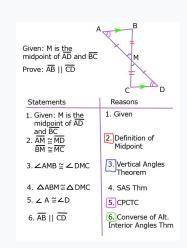


Figure: Geometry proof.

- First proofs you have probably encountered were geometry proofs.
- You were given axioms and you can prove certain statements x using them.

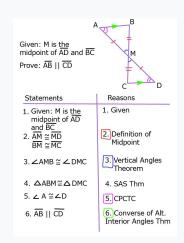


Figure: Geometry proof.

- First proofs you have probably encountered were geometry proofs.
- You were given axioms and you can prove certain statements x using them.
- The proof  $\pi$  is a sequence of logical steps that lead from axioms to the statement. Essentially, you have a witness w that proves the statement.

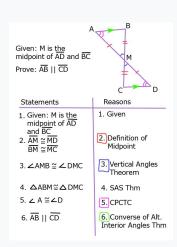


Figure: Geometry proof.

- First proofs you have probably encountered were geometry proofs.
- You were given axioms and you can prove certain statements x using them.
- The proof  $\pi$  is a sequence of logical steps that lead from axioms to the statement. Essentially, you have a witness w that proves the statement.
- Your teacher is the verifier V who checks your proof, while you are the prover P.

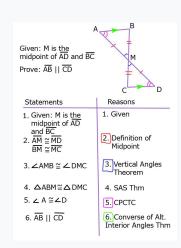
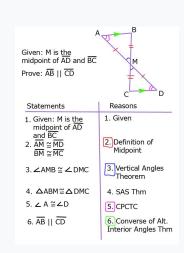


Figure: Geometry proof.

- First proofs you have probably encountered were geometry proofs.
- You were given axioms and you can prove certain statements x using them.
- The proof  $\pi$  is a sequence of logical steps that lead from axioms to the statement. Essentially, you have a witness w that proves the statement.
- Your teacher is the verifier V who checks your proof, while you are the prover P.
- This is a classical proof and in a sense, it is a non-interactive proof.



**Figure:** Geometry proof.

# Motivation

#### Note

However, we cannot use such proofs in the digital world.

 Proofs must be verified by computers. Therefore, we need to develop mathematic framework to be able to program them.



Figure: Hmm...

## Motivation

#### Note

However, we cannot use such proofs in the digital world.

- Proofs must be verified by computers. Therefore, we need to develop mathematic framework to be able to program them.
- This leads to the question: what is statement? What is proof?
   What is witness? How to formally define them?



Figure: Hmm...

## Motivation

#### Note

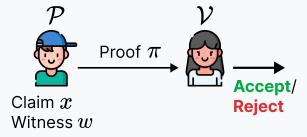
However, we cannot use such proofs in the digital world.

- Proofs must be verified by computers. Therefore, we need to develop mathematic framework to be able to program them.
- This leads to the question: what is statement? What is proof?
   What is witness? How to formally define them?
- We need to formalize these concepts.

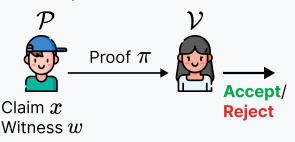


Figure: Hmm...

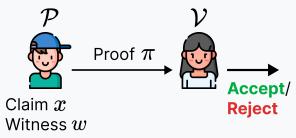
• We have a **prover**  $\mathcal{P}$  and a **verifier**  $\mathcal{V}$ .



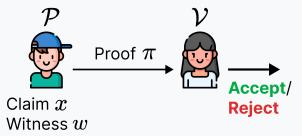
- We have a **prover**  $\mathcal{P}$  and a **verifier**  $\mathcal{V}$ .
- Prover  $\mathcal{P}$  wants to prove some statement x to the verifier.



- We have a **prover**  $\mathcal{P}$  and a **verifier**  $\mathcal{V}$ .
- Prover  $\mathcal{P}$  wants to prove some statement x to the verifier.
- Prover  $\mathcal{P}$  has a witness w that contains all necessary information to prove the statement x. He sends  $\pi$  as a proof.



- ullet We have a **prover**  ${\mathcal P}$  and a **verifier**  ${\mathcal V}$ .
- Prover  $\mathcal{P}$  wants to prove some statement x to the verifier.
- Prover  $\mathcal{P}$  has a witness w that contains all necessary information to prove the statement x. He sends  $\pi$  as a proof.
- Verifier  $\mathcal{V}$  wants to be convinced that the statement x is true.



We will try to solve the following problems:

• Completeness: If x is true,  $\pi$  proofs the statement.

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .
- **Zero-knowledge:**  $\pi$  does not reveal anything about w.

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .
- **Zero-knowledge:**  $\pi$  does not reveal anything about w.
- Argument of knowledge: Sometimes, the prover  $\mathcal{P}$  should convince the verifier  $\mathcal{V}$  that besides x is true, he knows the witness w.

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .
- **Zero-knowledge:**  $\pi$  does not reveal anything about w.
- Argument of knowledge: Sometimes, the prover  $\mathcal{P}$  should convince the verifier  $\mathcal{V}$  that besides x is true, he knows the witness w.
- Succinctness: The proof should be short, ideally polylogarithmic in the size of the statement  $(|\pi| = \text{polylog}(|x|)) + \text{fast}$  verification.

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .
- **Zero-knowledge:**  $\pi$  does not reveal anything about w.
- Argument of knowledge: Sometimes, the prover  $\mathcal{P}$  should convince the verifier  $\mathcal{V}$  that besides x is true, he knows the witness w.
- Succinctness: The proof should be short, ideally polylogarithmic in the size of the statement  $(|\pi| = \text{polylog}(|x|)) + \text{fast}$  verification.
- **Arithmetization**: We need to convert the statement *x* into some algebraic form + make it relatively universal.

- Completeness: If x is true,  $\pi$  proofs the statement.
- Soundness: If x is false, the prover  $\mathcal{P}$  should not be able to convince the verifier  $\mathcal{V}$  via any  $\pi^*$ .
- **Zero-knowledge:**  $\pi$  does not reveal anything about w.
- Argument of knowledge: Sometimes, the prover  $\mathcal{P}$  should convince the verifier  $\mathcal{V}$  that besides x is true, he knows the witness w.
- Succinctness: The proof should be short, ideally polylogarithmic in the size of the statement  $(|\pi| = \text{polylog}(|x|)) + \text{fast}$  verification.
- **Arithmetization**: We need to convert the statement *x* into some algebraic form + make it relatively universal.

#### Example

Given a hash function  $H: \{0,1\}^* \to \{0,1\}^\ell$ ,  $\mathcal{P}$  wants to convince  $\mathcal{V}$  that he knows the preimage  $x \in \{0,1\}^*$  such that H(x) = y.

#### Example

Given a hash function  $H: \{0,1\}^* \to \{0,1\}^\ell$ ,  $\mathcal{P}$  wants to convince  $\mathcal{V}$  that he knows the preimage  $x \in \{0,1\}^*$  such that H(x) = y.

• **Zero-knowledge**: The prover  $\mathcal{P}$  does not want to reveal *anything* about the pre-image x to the verifier  $\mathcal{V}$ .

#### Example

Given a hash function  $H: \{0,1\}^* \to \{0,1\}^\ell$ ,  $\mathcal{P}$  wants to convince  $\mathcal{V}$  that he knows the preimage  $x \in \{0,1\}^*$  such that H(x) = y.

- Zero-knowledge: The prover  $\mathcal{P}$  does not want to reveal anything about the pre-image x to the verifier  $\mathcal{V}$ .
- Argument of knowledge: Proving y has a pre-image is useless.  $\mathcal{P}$  must show he knows  $x \in \{0,1\}^*$  s.t. H(x) = y.

#### Example

Given a hash function  $H: \{0,1\}^* \to \{0,1\}^\ell$ ,  $\mathcal{P}$  wants to convince  $\mathcal{V}$  that he knows the preimage  $x \in \{0,1\}^*$  such that H(x) = y.

- **Zero-knowledge:** The prover  $\mathcal{P}$  does not want to reveal *anything* about the pre-image x to the verifier  $\mathcal{V}$ .
- Argument of knowledge: Proving y has a pre-image is useless.  $\mathcal{P}$  must show he knows  $x \in \{0,1\}^*$  s.t. H(x) = y.
- Succinctness: If the hash function takes n operations to compute, the proof should be much shorter than n operations.
   State-of-art: size is polylog(n) = O((log n)<sup>c</sup>). Verification time is also typically polylogarithmic (or even O(1) in some cases).

#### Example

Given a hash function  $H: \{0,1\}^* \to \{0,1\}^\ell$ ,  $\mathcal{P}$  wants to convince  $\mathcal{V}$  that he knows the preimage  $x \in \{0,1\}^*$  such that H(x) = y.

- **Zero-knowledge:** The prover  $\mathcal{P}$  does not want to reveal *anything* about the pre-image x to the verifier  $\mathcal{V}$ .
- Argument of knowledge: Proving y has a pre-image is useless.  $\mathcal{P}$  must show he knows  $x \in \{0,1\}^*$  s.t. H(x) = y.
- Succinctness: If the hash function takes n operations to compute, the proof should be much shorter than n operations.
   State-of-art: size is polylog(n) = O((log n)<sup>c</sup>). Verification time is also typically polylogarithmic (or even O(1) in some cases).

#### Note

But first, let us start with the basics.

# Relations. Languages. NP Statements.

# Language

#### Definition (Relation)

Given two sets  $\mathcal{X}$  and  $\mathcal{Y}$ , the **relation** is  $\mathcal{R} \subseteq \mathcal{X} \times \mathcal{Y}$ .

# Language

#### Definition (Relation)

Given two sets  $\mathcal{X}$  and  $\mathcal{Y}$ , the **relation** is  $\mathcal{R} \subseteq \mathcal{X} \times \mathcal{Y}$ .

- ullet  $\mathcal X$  is typically a set of statements.
- $\mathcal{Y}$  is a set of witnesses.

Interactive Proofs

# Language

#### Definition (Relation)

Given two sets  $\mathcal{X}$  and  $\mathcal{Y}$ , the **relation** is  $\mathcal{R} \subseteq \mathcal{X} \times \mathcal{Y}$ .

- ullet  $\mathcal X$  is typically a set of statements.
- $\mathcal{Y}$  is a set of witnesses.

#### Definition (Language of true statements)

Let  $\mathcal{R} \subseteq \mathcal{X} \times \mathcal{Y}$  be a relation. We say that a statement  $x \in \mathcal{X}$  is a **true** statement if  $(x,y) \in \mathcal{R}$  for some  $y \in \mathcal{Y}$ , otherwise the statement is called **false**. We define by  $\mathcal{L}_{\mathcal{R}}$  (the language over relation  $\mathcal{R}$ ) the set of all true statements, that is:

$$\mathcal{L}_{\mathcal{R}} = \{ x \in \mathcal{X} : \exists y \in \mathcal{Y} \text{ such that } (x, y) \in \mathcal{R} \}.$$

# Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

## Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

# Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

In this particular case, the **language of true statements** is defined as

$$\mathcal{L}_{\mathcal{R}} = \{n \in \mathbb{N} : \exists w = (p,q) \text{ are primes such that } n = p \cdot q\}$$

## Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

In this particular case, the **language of true statements** is defined as

$$\mathcal{L}_{\mathcal{R}} = \{ n \in \mathbb{N} : \exists w = (p, q) \text{ are primes such that } n = p \cdot q \}$$

• Valid witness #1:  $n = 15 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (3, 5).

## Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

In this particular case, the **language of true statements** is defined as

$$\mathcal{L}_{\mathcal{R}} = \{n \in \mathbb{N} : \exists w = (p, q) \text{ are primes such that } n = p \cdot q\}$$

- Valid witness #1:  $n = 15 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (3, 5).
- Invalid witness:  $n = 16 \notin \mathcal{L}_{\mathcal{R}}$ . There is no valid witness.

Introduction

# Example (Product of Two Primes (Semiprimes))

**Claim**: number  $n \in \mathbb{N}$  is the product of two prime numbers  $w=(p,q)\in\mathbb{N}\times\mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

In this particular case, the language of true statements is defined as

$$\mathcal{L}_{\mathcal{R}} = \{ n \in \mathbb{N} : \exists w = (p, q) \text{ are primes such that } n = p \cdot q \}$$

- Valid witness #1:  $n = 15 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (3, 5).
- Invalid witness:  $n = 16 \notin \mathcal{L}_{\mathcal{R}}$ . There is no valid witness.
- Valid witness #2:  $n = 50252009 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (5749, 8741).

# Language Example #1: Semiprimes

## Example (Product of Two Primes (Semiprimes))

**Claim:** number  $n \in \mathbb{N}$  is the product of two prime numbers  $w = (p, q) \in \mathbb{N} \times \mathbb{N}$ . The **relation** is given by:

$$\mathcal{R} = \{(n, p, q) \in \mathbb{N}^3 : n = p \cdot q \text{ where } p, q \text{ are primes}\}$$

In this particular case, the **language of true statements** is defined as

$$\mathcal{L}_{\mathcal{R}} = \{ n \in \mathbb{N} : \exists w = (p, q) \text{ are primes such that } n = p \cdot q \}$$

- Valid witness #1:  $n = 15 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (3, 5).
- Invalid witness:  $n = 16 \notin \mathcal{L}_{\mathcal{R}}$ . There is no valid witness.
- Valid witness #2:  $n = 50252009 \in \mathcal{L}_{\mathcal{R}}$ . Witness: w = (5749, 8741).

Ougation: 10 n = 07

### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:  $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\}$  (w is modular square root of x).

#### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:

 $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\}$  (w is modular square root of x).

Relation:  $\mathcal{R} = \{(x, w) \in (\mathbb{Z}_N^{\times})^2 : x \equiv w^2 \pmod{N}\}.$ 

### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:

 $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\}\ (w \text{ is modular square root of } x).$ 

Relation:  $\mathcal{R} = \{(x, w) \in (\mathbb{Z}_N^{\times})^2 : x \equiv w^2 \pmod{N}\}.$ 

**Language:**  $\mathcal{L}_{\mathcal{R}} = \{x \in \mathbb{Z}_N^{\times} : \exists w \in \mathbb{Z}_N^{\times} \text{ such that } x \equiv w^2 \pmod{N} \}.$ 

#### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:

 $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\}\ (w \text{ is modular square root of } x).$ 

Relation:  $\mathcal{R} = \{(x, w) \in (\mathbb{Z}_N^{\times})^2 : x \equiv w^2 \pmod{N}\}.$ 

**Language:**  $\mathcal{L}_{\mathcal{R}} = \{x \in \mathbb{Z}_{N}^{\times} : \exists w \in \mathbb{Z}_{N}^{\times} \text{ such that } x \equiv w^{2} \pmod{N} \}.$ 

**Examples** for N = 7:

•  $4 \in \mathcal{L}_{\mathcal{R}}$  since  $5^2 \equiv 4 \pmod{7}$ .

### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:

 $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\}$  (w is modular square root of x).

Relation:  $\mathcal{R} = \{(x, w) \in (\mathbb{Z}_N^{\times})^2 : x \equiv w^2 \pmod{N}\}.$ 

**Language:**  $\mathcal{L}_{\mathcal{R}} = \{x \in \mathbb{Z}_{N}^{\times} : \exists w \in \mathbb{Z}_{N}^{\times} \text{ such that } x \equiv w^{2} \pmod{N} \}.$ 

**Examples** for N = 7:

- $4 \in \mathcal{L}_{\mathcal{R}}$  since  $5^2 \equiv 4 \pmod{7}$ .
- $3 \notin \mathcal{L}_{\mathcal{R}}$  since there is no valid witness for 3.

#### Reminder

$$\mathbb{Z}_N^{\times} = \{x \in \mathbb{Z}_N : \gcd\{x, N\} = 1\}.$$
 Example:  $\mathbb{Z}_{10}^{\times} = \{1, 3, 7, 9\}.$ 

#### Example

Claim: number  $x \in \mathbb{Z}_N^{\times}$  is a quadratic residue modulo N:

 $(\exists w \in \mathbb{Z}_N^{\times}) : \{x \equiv w^2 \pmod{N}\} \ (w \text{ is modular square root of } x).$ 

Relation:  $\mathcal{R} = \{(x, w) \in (\mathbb{Z}_N^{\times})^2 : x \equiv w^2 \pmod{N}\}.$ 

**Language:**  $\mathcal{L}_{\mathcal{R}} = \{ x \in \mathbb{Z}_{N}^{\times} : \exists w \in \mathbb{Z}_{N}^{\times} \text{ such that } x \equiv w^{2} \pmod{N} \}.$ 

**Examples** for N = 7:

- $4 \in \mathcal{L}_{\mathcal{R}}$  since  $5^2 \equiv 4 \pmod{7}$ .
- $3 \notin \mathcal{L}_{\mathcal{R}}$  since there is no valid witness for 3.

**Question:** Is x = 1 a true statement for N = 5? What about x = 4?

### **NP Statements: Demonstration**

Well... We are simply going to send witness w to the verifier  $\mathcal{V}$  and he will check if the statement is true (meaning, whether  $x \in \mathcal{L}_{\mathcal{R}}$ ).

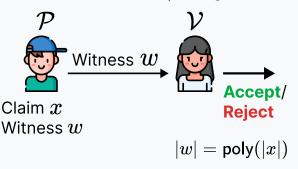


Figure: Typical setup for cryptographic proofs.

# Definition (P Language)

Problem is in the P class if exists a polytime algorithm checking  $x \in \mathcal{L}$ .

# Definition (P Language)

Problem is in the P class if exists a polytime algorithm checking  $x \in \mathcal{L}$ .

### Definition (NP Language)

A language  $\mathcal{L}_{\mathcal{R}}$  belongs to the NP class if there exists a polynomial-time verifier  $\mathcal{V}$  such that the following two properties hold:

### Definition (P Language)

Problem is in the P class if exists a polytime algorithm checking  $x \in \mathcal{L}$ .

### Definition (NP Language)

A language  $\mathcal{L}_{\mathcal{R}}$  belongs to the NP class if there exists a polynomial-time verifier  $\mathcal{V}$  such that the following two properties hold:

• Completeness: If  $x \in \mathcal{L}_{\mathcal{R}}$ , then there is a witness w such that  $\mathcal{V}(x,w)=1$  with  $|w|=\operatorname{poly}(|x|)$ . Essentially, it states that true claims have *short* proofs.

### Definition (P Language)

Problem is in the P class if exists a polytime algorithm checking  $x \in \mathcal{L}$ .

### Definition (NP Language)

A language  $\mathcal{L}_{\mathcal{R}}$  belongs to the NP class if there exists a polynomial-time verifier  $\mathcal{V}$  such that the following two properties hold:

- Completeness: If  $x \in \mathcal{L}_{\mathcal{R}}$ , then there is a witness w such that  $\mathcal{V}(x,w)=1$  with  $|w|=\operatorname{poly}(|x|)$ . Essentially, it states that true claims have *short* proofs.
- Soundness: If  $x \notin \mathcal{L}_{\mathcal{R}}$ , then for any w it holds that  $\mathcal{V}(x, w) = 0$ . Essentially, it states that false claims have no proofs.

### Definition (P Language)

Problem is in the P class if exists a polytime algorithm checking  $x \in \mathcal{L}$ .

### Definition (NP Language)

A language  $\mathcal{L}_{\mathcal{R}}$  belongs to the NP class if there exists a polynomial-time verifier  $\mathcal{V}$  such that the following two properties hold:

- Completeness: If  $x \in \mathcal{L}_{\mathcal{R}}$ , then there is a witness w such that  $\mathcal{V}(x,w)=1$  with  $|w|=\operatorname{poly}(|x|)$ . Essentially, it states that true claims have *short* proofs.
- Soundness: If  $x \notin \mathcal{L}_{\mathcal{R}}$ , then for any w it holds that  $\mathcal{V}(x, w) = 0$ . Essentially, it states that false claims have no proofs.

### **Theorem**

Any NP problem has a zero-knowledge proof (GMW86).

# Question (aka Motivation)

But can we do better?

# Question (aka Motivation)

But can we do better?

Sending witness is... Weird...



Figure: Hmm...#2

Introduction

# Interactive Proofs

We add two more ingredients:

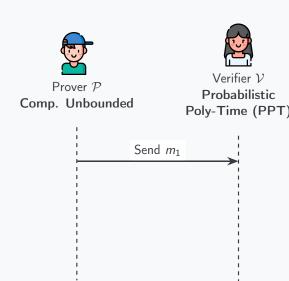
 Interaction: instead of passively receiving the proof, the verifier V can interact with the prover P by sending challenges and receiving responses.

We add two more ingredients:

- Interaction: instead of passively receiving the proof, the verifier V can interact with the prover P by sending challenges and receiving responses.
- Randomness: V can send random coins (challenges) to the prover, which P can use to generate responses.

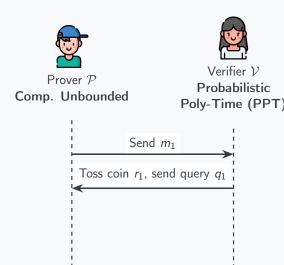
We add two more ingredients:

- Interaction: instead of passively receiving the proof, the verifier  $\mathcal{V}$  can interact with the prover  $\mathcal{P}$  by sending challenges and receiving responses.
- Randomness: V can send random coins (challenges) to the prover, which  $\mathcal{P}$  can use to generate responses.



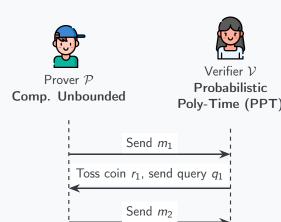
We add two more ingredients:

- Interaction: instead of passively receiving the proof, the verifier V can interact with the prover P by sending challenges and receiving responses.
- Randomness: V can send random coins (challenges) to the prover, which P can use to generate responses.



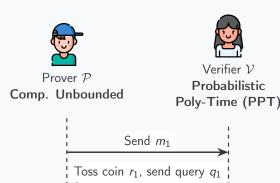
We add two more ingredients:

- Interaction: instead of passively receiving the proof, the verifier V can interact with the prover P by sending challenges and receiving responses.
- Randomness: V can send random coins (challenges) to the prover, which P can use to generate responses.



We add two more ingredients:

- Interaction: instead of passively receiving the proof, the verifier  $\mathcal{V}$  can interact with the prover  $\mathcal{P}$  by sending challenges and receiving responses.
- Randomness: V can send random coins (challenges) to the prover, which  ${\mathcal P}$  can use to generate responses.



Send m2

Toss coin  $r_2$ , send query  $q_2$ 

### Problem Statement

• Statement:  $x \in \mathcal{L}_{\mathcal{R}}$  where our language is defined as:

$$\mathcal{L}_{\mathcal{R}} = \{ x \in \mathbb{Z}_{N}^{\times} : \exists w \in \mathbb{Z}_{N}^{\times} \text{ such that } x \equiv w^{2} \text{ (mod } N) \}$$

• Witness: w = modular square root of x.

#### Problem Statement

• Statement:  $x \in \mathcal{L}_{\mathcal{R}}$  where our language is defined as:

$$\mathcal{L}_{\mathcal{R}} = \{ x \in \mathbb{Z}_{N}^{\times} : \exists w \in \mathbb{Z}_{N}^{\times} \text{ such that } x \equiv w^{2} \text{ (mod } N) \}$$

• Witness: w = modular square root of x.

How does  $\mathcal{P}$  and  $\mathcal{V}$  interact? Consider the figure below.



- 1. Sample r from  $\mathbf{Z}_N$  uniformly
- 2. Send  $a = r^2 \pmod{N}$



Is **x** indeed a quadr. residue?

I know w s.t.  $w^2 = x \pmod{N}$ 



I know w s.t.  $w^2 = x \pmod{N}$ 

- 1. Sample r from  $\mathbf{Z}_N$  uniformly
- 2. Send  $a = r^2 \pmod{N}$
- If I gave you the square root of a and ax, you would be convinced that the claim is true, but you learn the witness w.
- Instead, I will send you either r or rw, but you are to choose!



Is **x** indeed a quadr. residue?



I know w s.t.  $w^2 = x \pmod{N}$ 

- 1. Sample r from  $\mathbf{Z}_N$  uniformly
- 2. Send  $a = r^2 \pmod{N}$
- If I gave you the square root of a and ax, you would be convinced that the claim is true, but you learn the witness w.
- Instead, I will send you either r or rw, but you are to choose!



Is **x** indeed a quadr. residue?



Ok, I choose random bit b



I know w s.t.  $w^2 = x \pmod{N}$ 

- 1. Sample r from  $\mathbf{Z}_{N}$  uniformly
- 2. Send  $a = r^2 \pmod{N}$
- If I gave you the square root of a and ax, you would be convinced that the claim is true, but you learn the witness w.
- Instead, I will send you either r or rw. but you are to choose!



Is **x** indeed a quadr. residue?



Ok, I choose random bit b

- If b=0, send z=r
- If b=1, send z = rw (mod N)

Check if  $z^2 = ax^b$ 

#### Interactive Protocol

1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .

- 1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.

- 1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .

- 1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .
- 4. V accepts if  $z^2 = a \cdot x^b$ , otherwise it rejects.

- 1.  $\mathcal{P}$  samples  $r \stackrel{R}{\leftarrow} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .
- 4. V accepts if  $z^2 = a \cdot x^b$ , otherwise it rejects.
- 5. Repeat  $\lambda \in \mathbb{N}$  times.

#### Interactive Protocol

- 1.  $\mathcal{P}$  samples  $r \stackrel{R}{\leftarrow} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .
- 4. V accepts if  $z^2 = a \cdot x^b$ , otherwise it rejects.
- 5. Repeat  $\lambda \in \mathbb{N}$  times.

#### Lemma

The aforementioned protocol is complete and sound.

#### Interactive Protocol

- 1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .
- 4. V accepts if  $z^2 = a \cdot x^b$ , otherwise it rejects.
- 5. Repeat  $\lambda \in \mathbb{N}$  times.

#### Lemma

The aforementioned protocol is **complete** and **sound**.

Completeness. If b = 0, then z = r and thus  $z^2 = r^2 = a$ , check passes.

## Quadratic Residue Interactive Proof: Analysis

#### Interactive Protocol

- 1.  $\mathcal{P}$  samples  $r \xleftarrow{R} \mathbb{Z}_N^{\times}$  and sends  $a = r^2$  to  $\mathcal{V}$ .
- 2. V sends a random bit  $b \in \{0,1\}$  to P.
- 3.  $\mathcal{P}$  sends  $z = r \cdot w^b$  to  $\mathcal{V}$ .
- 4. V accepts if  $z^2 = a \cdot x^b$ , otherwise it rejects.
- 5. Repeat  $\lambda \in \mathbb{N}$  times.

#### Lemma

The aforementioned protocol is **complete** and **sound**.

Completeness. If b = 0, then z = r and thus  $z^2 = r^2 = a$ , check passes.

If b = 1, then z = rw and thus  $z^2 = r^2w^2 = ax$ , check passes.

# Quadratic Residue Interactive Proof: Analysis

**Soundness.** The main reason why the protocol is sound is insribed in the theorem below.

#### Theorem

For any prover  $\mathcal{P}^*$  with  $x \notin \mathcal{L}_{\mathcal{R}}$ , the probability of  $\mathcal{V}$  accepting the proof is at most 1/2.

# Quadratic Residue Interactive Proof: Analysis

**Soundness.** The main reason why the protocol is sound is insribed in the theorem below.

#### **Theorem**

For any prover  $\mathcal{P}^*$  with  $x \notin \mathcal{L}_{\mathcal{R}}$ , the probability of  $\mathcal{V}$  accepting the proof is at most 1/2.

Corollary. After repeating the protocol  $\lambda$  times, we have

$$\Pr[\mathcal{V} \text{ accepts after } \lambda \text{ rounds}] \leq \frac{1}{2^{\lambda}} = \operatorname{negl}(\lambda).$$

Thus, we showed both **completeness** and **soundness** of the protocol.

 $\langle \mathcal{P}, \mathcal{V} \rangle (x)$  reads as "interaction between  $\mathcal{P}$  and  $\mathcal{V}$  on the statement x".

 $\langle \mathcal{P}, \mathcal{V} \rangle (x)$  reads as "interaction between  $\mathcal{P}$  and  $\mathcal{V}$  on the statement x".

#### **Definition**

A pair of algorithms  $(\mathcal{P}, \mathcal{V})$  is called an **interactive proof** for a language  $\mathcal{L}_{\mathcal{R}}$  if  $\mathcal{V}$  is a polynomial-time verifier and the following two properties hold:

 $\langle \mathcal{P}, \mathcal{V} \rangle (x)$  reads as "interaction between  $\mathcal{P}$  and  $\mathcal{V}$  on the statement x".

#### **Definition**

A pair of algorithms  $(\mathcal{P}, \mathcal{V})$  is called an **interactive proof** for a language  $\mathcal{L}_{\mathcal{R}}$  if  $\mathcal{V}$  is a polynomial-time verifier and the following two properties hold:

• Completeness: For any  $x \in \mathcal{L}_{\mathcal{R}}$ ,  $\Pr[\langle \mathcal{P}, \mathcal{V} \rangle(x) = \text{accept}] = 1$ .

 $\langle \mathcal{P}, \mathcal{V} \rangle (x)$  reads as "interaction between  $\mathcal{P}$  and  $\mathcal{V}$  on the statement x".

#### **Definition**

A pair of algorithms  $(\mathcal{P}, \mathcal{V})$  is called an **interactive proof** for a language  $\mathcal{L}_{\mathcal{R}}$  if  $\mathcal{V}$  is a polynomial-time verifier and the following two properties hold:

- Completeness: For any  $x \in \mathcal{L}_{\mathcal{R}}$ ,  $\Pr[\langle \mathcal{P}, \mathcal{V} \rangle(x) = \mathsf{accept}] = 1$ .
- Soundness: For any  $x \notin \mathcal{L}_{\mathcal{R}}$  and for any prover  $\mathcal{P}^*$ , we have

$$\Pr[\langle \mathcal{P}^*, \mathcal{V} \rangle(x) = \mathsf{accept}] \leq \mathsf{negl}(\lambda)$$

 $\langle \mathcal{P}, \mathcal{V} \rangle (x)$  reads as "interaction between  $\mathcal{P}$  and  $\mathcal{V}$  on the statement x".

#### **Definition**

A pair of algorithms  $(\mathcal{P}, \mathcal{V})$  is called an **interactive proof** for a language  $\mathcal{L}_{\mathcal{R}}$  if  $\mathcal{V}$  is a polynomial-time verifier and the following two properties hold:

- Completeness: For any  $x \in \mathcal{L}_{\mathcal{R}}$ ,  $\Pr[\langle \mathcal{P}, \mathcal{V} \rangle(x) = \mathsf{accept}] = 1$ .
- Soundness: For any  $x \notin \mathcal{L}_{\mathcal{R}}$  and for any prover  $\mathcal{P}^*$ , we have

$$\Pr[\langle \mathcal{P}^*, \mathcal{V} \rangle(x) = \mathsf{accept}] \leq \mathsf{negl}(\lambda)$$

#### **Definition**

The class of interactive proofs (IP) is defined as:

 $IP = \{ \mathcal{L} : \text{there is an interactive proof } (\mathcal{P}, \mathcal{V}) \text{ for } \mathcal{L} \}.$ 

## Zero-Knowledge Informal Definition

#### **Definition**

An interactive proof system  $(\mathcal{P}, \mathcal{V})$  is called **zero-knowledge** if for any polynomial-time verifier  $\mathcal{V}^*$  and any  $x \in \mathcal{L}_{\mathcal{R}}$ , the interaction  $\langle \mathcal{P}, \mathcal{V}^* \rangle(x)$  gives nothing new about the witness w.



# Zero-Knowledge Informal Definition

#### **Definition**

An interactive proof system  $(\mathcal{P}, \mathcal{V})$  is called **zero-knowledge** if for any polynomial-time verifier  $\mathcal{V}^*$  and any  $x \in \mathcal{L}_{\mathcal{R}}$ , the interaction  $\langle \mathcal{P}, \mathcal{V}^* \rangle(x)$  gives nothing new about the witness w.

#### **Definition**

The pair of algorithms  $(\mathcal{P}, \mathcal{V})$  is called a **zero-knowledge interactive protocol** if it is *complete*, *sound*, and *zero-knowledge*.



Well, the claim is true, but what was the witness anyway?!

### Question #1

What has the verifier learned during the interaction?

### Question #1

What has the verifier learned during the interaction?

• First things first, he learned that the statement x is true.

### Question #1

What has the verifier learned during the interaction?

- First things first, he learned that the statement *x* is true.
- He also knows queries  $(q_1, \ldots, q_\ell)$  and random coins  $(r_1, \ldots, r_\ell)$  he tossed (since he is the one who has sent them).

### Question #1

What has the verifier learned during the interaction?

- First things first, he learned that the statement *x* is true.
- He also knows queries  $(q_1, \ldots, q_\ell)$  and random coins  $(r_1, \ldots, r_\ell)$  he tossed (since he is the one who has sent them).
- Moreover, he knows the prover's messages  $(m_1, m_2, \ldots, m_\ell)$ .

### Question #1

What has the verifier learned during the interaction?

- First things first, he learned that the statement *x* is true.
- He also knows queries  $(q_1, \ldots, q_\ell)$  and random coins  $(r_1, \ldots, r_\ell)$  he tossed (since he is the one who has sent them).
- Moreover, he knows the prover's messages  $(m_1, m_2, \ldots, m_\ell)$ .

#### **Definition**

All the conversation that verifier has witnessed is called **verifier's view** and is denoted as

$$view_{\mathcal{V}}(\mathcal{P}, \mathcal{V}) = (m_1, r_1, q_1, m_2, r_2, q_2, \dots, m_{\ell}, r_{\ell}, q_{\ell}).$$

### Question #1

What has the verifier learned during the interaction?

- First things first, he learned that the statement x is true.
- He also knows queries  $(q_1, \ldots, q_\ell)$  and random coins  $(r_1, \ldots, r_\ell)$  he tossed (since he is the one who has sent them).
- Moreover, he knows the prover's messages  $(m_1, m_2, \ldots, m_\ell)$ .

#### **Definition**

All the conversation that verifier has witnessed is called **verifier's view** and is denoted as

$$view_{\mathcal{V}}(\mathcal{P}, \mathcal{V}) = (m_1, r_1, q_1, m_2, r_2, q_2, \dots, m_{\ell}, r_{\ell}, q_{\ell}).$$

Fact:  $view_{\mathcal{V}}(\mathcal{P}, \mathcal{V})$  is a random variable.

### Example

### Example

For QN test, set  $N:=3\times 2^{30}+1$  (prime number), and  $\mathcal P$  wants to convince that  $1286091780\in\mathcal L_R$ . Conversation is the following:

1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).
- 5. During the second round,  $\mathcal{P}$  sends 2619047580 to  $\mathcal{V}$ .

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).
- 5. During the second round,  $\mathcal{P}$  sends 2619047580 to  $\mathcal{V}$ .
- 6. V chooses b = 1 and sends to P.

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).
- 5. During the second round,  $\mathcal{P}$  sends 2619047580 to  $\mathcal{V}$ .
- 6. V chooses b = 1 and sends to P.
- 7.  $\mathcal{P}$  sends 1768388249 to  $\mathcal{V}$ .

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).
- 5. During the second round,  $\mathcal{P}$  sends 2619047580 to  $\mathcal{V}$ .
- 6. V chooses b = 1 and sends to P.
- 7.  $\mathcal{P}$  sends 1768388249 to  $\mathcal{V}$ .
- 8. V verifies that  $1768388249^2 \equiv 2619047580 \times 1286091780$  (mod N).

### Example

- 1. During the first round,  $\mathcal{P}$  sends 672192003 to  $\mathcal{V}$ .
- 2. V sends b = 0 to P.
- 3.  $\mathcal{P}$  sends 2606437826 to  $\mathcal{V}$ .
- 4. V verifies that indeed 2606437826<sup>2</sup>  $\equiv$  672192003 (mod N).
- 5. During the second round,  $\mathcal{P}$  sends 2619047580 to  $\mathcal{V}$ .
- 6. V chooses b = 1 and sends to P.
- 7.  $\mathcal{P}$  sends 1768388249 to  $\mathcal{V}$ .
- 8. V verifies that  $1768388249^2 \equiv 2619047580 \times 1286091780 \pmod{N}$ .
- 9. Conversation ends.

#### Example

The view of the verifier V is the following:

$$view_{\mathcal{V}}(\mathcal{V}, \mathcal{P})[1286091780]$$

= (672192003, 0, 2606437826, 2619047580, 1, 1768388249)

#### Example

The view of the verifier V is the following:

$$\mathsf{view}_{\mathcal{V}}(\mathcal{V}, \mathcal{P})[1286091780] \\ = (672192003, 0, 2606437826, 2619047580, 1, 1768388249)$$

• Essentially, this view is the same as you have witnessed.

### Example

The view of the verifier V is the following:

```
\mathsf{view}_{\mathcal{V}}(\mathcal{V}, \mathcal{P})[1286091780] \\ = (672192003, 0, 2606437826, 2619047580, 1, 1768388249)
```

- Essentially, this view is the same as you have witnessed.
- You have not learned anything about w that prover  $\mathcal{P}$  knows.

#### Example

The view of the verifier V is the following:

```
\begin{aligned} \text{view}_{\mathcal{V}}(\mathcal{V},\mathcal{P}) [1286091780] \\ = (672192003, 0, 2606437826, 2619047580, 1, 1768388249) \end{aligned}
```

- Essentially, this view is the same as you have witnessed.
- You have not learned anything about w that prover  $\mathcal{P}$  knows.
- The witness was w = 3042517305 and two randomnesses were  $r_1 = 2606437826$  and  $r_2 = 3023142760$ .

### Example

The view of the verifier  $\mathcal{V}$  is the following:

```
\begin{aligned} \text{view}_{\mathcal{V}}(\mathcal{V},\mathcal{P}) [1286091780] \\ = (672192003, 0, 2606437826, 2619047580, 1, 1768388249) \end{aligned}
```

- Essentially, this view is the same as you have witnessed.
- ullet You have not learned anything about w that prover  ${\mathcal P}$  knows.
- The witness was w = 3042517305 and two randomnesses were  $r_1 = 2606437826$  and  $r_2 = 3023142760$ .
- This is a random variable: conversation could be different.

Question #2

What does it mean that the protocol is zero-knowledge?

#### Question #2

What does it mean that the protocol is zero-knowledge?

• Protocol is zero-knowledge if, given the verifier's view $_{\mathcal{V}}(\mathcal{P},\mathcal{V})$ , verifier cannot infer any information about the witness w.

### Question #2

What does it mean that the protocol is zero-knowledge?

- Protocol is zero-knowledge if, given the verifier's view $_{\mathcal{V}}(\mathcal{P},\mathcal{V})$ , verifier cannot infer any information about the witness w.
- What does it mean that verifier  $\mathcal V$  learns nothing new? It means that this view could have been simulated by  $\mathcal V$  without even running an interaction.

### Question #2

What does it mean that the protocol is zero-knowledge?

- Protocol is zero-knowledge if, given the verifier's view $_{\mathcal{V}}(\mathcal{P},\mathcal{V})$ , verifier cannot infer any information about the witness w.
- What does it mean that verifier  $\mathcal V$  learns nothing new? It means that this view could have been simulated by  $\mathcal V$  without even running an interaction.
- Call the view after the real interaction as real view, while the view after the simulation as simulated view.

### Question #2

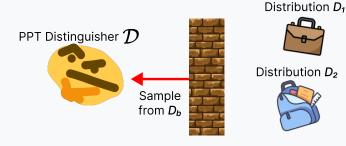
What does it mean that the protocol is zero-knowledge?

- Protocol is zero-knowledge if, given the verifier's view $_{\mathcal{V}}(\mathcal{P},\mathcal{V})$ , verifier cannot infer any information about the witness w.
- What does it mean that verifier  $\mathcal V$  learns nothing new? It means that this view could have been simulated by  $\mathcal V$  without even running an interaction.
- Call the view after the real interaction as real view, while the view after the simulation as simulated view.

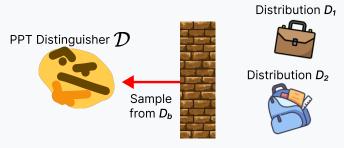
#### Note

Such idea of defining the zero-knowledge is called **simulation** paradigm and currently the most widely used way to prove zero-knowledge.

# Computational Indistinguishability



# Computational Indistinguishability



### Definition (Informal Computational Indistinguisability)

 $D_1$  and  $D_2$  are computationally indistinguishable (denoted by  $D_1 \approx D_2$ ) if for any PPT distinguisher  $\mathcal{D}$ , even after polynomial number k of samples from  $D_b$  (where  $b \xleftarrow{R} \{0,1\}$ ), for prediction  $\hat{b}$ :  $\Pr[\hat{b} = b] < \frac{1}{2} + \operatorname{negl}(k)$ .

# Zero-Knowledge Formally (Kind of)

Finally, we are ready to define the zero-knowledge.

### Definition (Honest-Verifier Zero-Knowledge (HVZK))

An interactive protocol  $(\mathcal{P}, \mathcal{V})$  is **honest-verifier zero-knowledge** (HVZK) for a language  $\mathcal{L}_{\mathcal{R}}$  there exists a poly-time simulator Sim such that for any valid statement  $x \in \mathcal{L}_{\mathcal{R}}$ :

$$\mathsf{view}_{\mathcal{V}}(\mathcal{P}, \mathcal{V})[x] \approx \mathsf{Sim}(x, 1^{\lambda})$$

Finally, we are ready to define the zero-knowledge.

### Definition (Honest-Verifier Zero-Knowledge (HVZK))

An interactive protocol  $(\mathcal{P}, \mathcal{V})$  is **honest-verifier zero-knowledge** (HVZK) for a language  $\mathcal{L}_{\mathcal{R}}$  there exists a poly-time simulator Sim such that for any valid statement  $x \in \mathcal{L}_{\mathcal{R}}$ :

$$\mathsf{view}_{\mathcal{V}}(\mathcal{P}, \mathcal{V})[x] \approx \mathsf{Sim}(x, 1^{\lambda})$$

### Definition (Zero-Knowledge (ZK))

An interactive protocol  $(\mathcal{P}, \mathcal{V})$  is **zero-knowledge (ZK)** for a language  $\mathcal{L}_{\mathcal{R}}$  if for every poly-time verifier  $\mathcal{V}^*$  there exists a poly-time simulator Sim such that for any valid statement  $x \in \mathcal{L}_{\mathcal{R}}$ :

$$\mathsf{view}_{\mathcal{V}^*}(\mathcal{P}, \mathcal{V}^*)[x] \approx \mathsf{Sim}(x, 1^{\lambda})$$

Now, the main issue with the above definition is that we have proven the statement correctness, but we have not proven that the prover knows the witness. These are completely two distinct things!

Now, the main issue with the above definition is that we have proven the statement correctness, but we have not proven that the prover knows the witness. These are completely two distinct things!

#### Example

Consider the discrete logarithm relation and language for a cyclic group  $E(\mathbb{F}_p)$  of order r:

$$\mathcal{R} = \{ (P, \alpha) \in E(\mathbb{F}_p) \times \mathbb{Z}_r : P = [\alpha]G \},$$

$$\mathcal{L}_{\mathcal{R}} = \{ P \in E(\mathbb{F}_p) : \exists \alpha \in \mathbb{Z}_r \text{ such that } P = [\alpha]G \}$$

Now, the main issue with the above definition is that we have proven the statement correctness, but we have not proven that the prover knows the witness. These are completely two distinct things!

#### Example

Consider the discrete logarithm relation and language for a cyclic group  $E(\mathbb{F}_p)$  of order r:

$$\mathcal{R} = \{ (P, \alpha) \in E(\mathbb{F}_p) \times \mathbb{Z}_r : P = [\alpha]G \},$$

$$\mathcal{L}_{\mathcal{R}} = \{ P \in E(\mathbb{F}_p) : \exists \alpha \in \mathbb{Z}_r \text{ such that } P = [\alpha]G \}$$

#### Question

What does it mean that  $X \in \mathcal{L}_{\mathcal{R}}$ ?

Now, the main issue with the above definition is that we have proven the statement correctness, but we have not proven that the prover knows the witness. These are completely two distinct things!

#### Example

Consider the discrete logarithm relation and language for a cyclic group  $E(\mathbb{F}_p)$  of order r:

$$\mathcal{R} = \{ (P, \alpha) \in E(\mathbb{F}_p) \times \mathbb{Z}_r : P = [\alpha]G \},$$

$$\mathcal{L}_{\mathcal{R}} = \{ P \in E(\mathbb{F}_p) : \exists \alpha \in \mathbb{Z}_r \text{ such that } P = [\alpha]G \}$$

#### Question

What does it mean that  $X \in \mathcal{L}_{\mathcal{R}}$ ?

Turns out  $\mathcal{L}_{\mathcal{R}} = E(\mathbb{F}_p)$ , so the proof  $X \in \mathcal{L}_{\mathcal{R}}$  itself is useless.

1. The knowledge of witness means that we can **extract** the witness while interacting with the prover.

- 1. The knowledge of witness means that we can **extract** the witness while interacting with the prover.
- 2. Thus, there should be an algorithm called **extractor**  $\mathcal{E}$  which can extract the witness w.

- 1. The knowledge of witness means that we can **extract** the witness while interacting with the prover.
- 2. Thus, there should be an algorithm called **extractor**  $\mathcal{E}$  which can extract the witness w.
- 3.  $\mathcal{E}$  is given more power than  $\mathcal{V}$  (otherwise, if the protocol is zero-knowledge, we cannot extract w).  $\mathcal{E}$  can **rewind** and **call** prover  $\mathcal{P}$  multiple times.

- 1. The knowledge of witness means that we can **extract** the witness while interacting with the prover.
- 2. Thus, there should be an algorithm called **extractor**  $\mathcal{E}$  which can extract the witness w.
- 3.  $\mathcal{E}$  is given more power than  $\mathcal{V}$  (otherwise, if the protocol is zero-knowledge, we cannot extract w).  $\mathcal{E}$  can **rewind** and **call** prover  $\mathcal{P}$  multiple times.
- 4. Sometimes, this is referred to as "extractor  $\mathcal{E}$  uses  $\mathcal{P}$  as an oracle".

- 1. The knowledge of witness means that we can **extract** the witness while interacting with the prover.
- 2. Thus, there should be an algorithm called **extractor**  $\mathcal{E}$  which can extract the witness w.
- 3.  $\mathcal{E}$  is given more power than  $\mathcal{V}$  (otherwise, if the protocol is zero-knowledge, we cannot extract w).  $\mathcal{E}$  can **rewind** and **call** prover  $\mathcal{P}$  multiple times.
- 4. Sometimes, this is referred to as "extractor  ${\mathcal E}$  uses  ${\mathcal P}$  as an oracle".

### Definition (Proof of Knowledge)

The interactive protocol  $(\mathcal{P}, \mathcal{V})$  is a **proof of knowledge** for  $\mathcal{L}_{\mathcal{R}}$  if exists a poly-time extractor algorithm  $\mathcal{E}$  such that for any valid statement  $x \in \mathcal{L}_{\mathcal{R}}$ , in expected poly-time  $\mathcal{E}^{\mathcal{P}}(x)$  outputs w such that  $(x, w) \in \mathcal{R}$ .

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

**Proof.** Let us define the extractor  $\mathcal{E}$  for the statement x as follows:

1. Run the prover to receive  $a \equiv r^2 \pmod{N}$  (r is chosen randomly from  $\mathbb{Z}_N^*$ ).

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

**Proof.** Let us define the extractor  $\mathcal{E}$  for the statement x as follows:

- 1. Run the prover to receive  $a \equiv r^2 \pmod{N}$  (r is chosen randomly from  $\mathbb{Z}_N^*$ ).
- 2. Set verifier's message to b = 0 to get  $z_1 \leftarrow r$ .

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

**Proof.** Let us define the extractor  $\mathcal{E}$  for the statement x as follows:

- 1. Run the prover to receive  $a \equiv r^2 \pmod{N}$  (r is chosen randomly from  $\mathbb{Z}_N^*$ ).
- 2. Set verifier's message to b = 0 to get  $z_1 \leftarrow r$ .
- 3. Rewind and set verifier's message to b=1 to get  $z_2 \leftarrow rw \pmod{N}$ .

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

**Proof.** Let us define the extractor  $\mathcal{E}$  for the statement x as follows:

- 1. Run the prover to receive  $a \equiv r^2 \pmod{N}$  (r is chosen randomly from  $\mathbb{Z}_N^*$ ).
- 2. Set verifier's message to b = 0 to get  $z_1 \leftarrow r$ .
- 3. Rewind and set verifier's message to b=1 to get  $z_2 \leftarrow rw \pmod{N}$ .
- 4. Output  $z_2/z_1 \pmod{N}$ .

#### Lemma

The quadratic residue interactive protocol is a proof of knowledge.

**Proof.** Let us define the extractor  $\mathcal{E}$  for the statement x as follows:

- 1. Run the prover to receive  $a \equiv r^2 \pmod{N}$  (r is chosen randomly from  $\mathbb{Z}_N^*$ ).
- 2. Set verifier's message to b = 0 to get  $z_1 \leftarrow r$ .
- 3. Rewind and set verifier's message to b=1 to get  $z_2 \leftarrow rw \pmod{N}$ .
- 4. Output  $z_2/z_1 \pmod{N}$ .

The extractor  $\mathcal{E}$  will always output w if  $x \in \mathcal{L}_{\mathcal{R}}$ .

Interactive Proofs

Introduction

#### Definition (Cryptographic Oracle)

Informally, cryptographic oracle is simply a function  $\mathcal{O}$  that gives in O(1) an answer to some typically very hard problem.

#### Definition (Cryptographic Oracle)

Informally, cryptographic oracle is simply a function  $\mathcal{O}$  that gives in O(1) an answer to some typically very hard problem.

#### Example (CDH Problem)

Consider the Computational Diffie-Hellman (CDH) problem on the cyclic elliptic curve  $E(\mathbb{F}_p)$  of prime order r with a generator G.

#### Definition (Cryptographic Oracle)

Informally, cryptographic oracle is simply a function  $\mathcal{O}$  that gives in O(1) an answer to some typically very hard problem.

#### Example (CDH Problem)

Consider the Computational Diffie-Hellman (CDH) problem on the cyclic elliptic curve  $E(\mathbb{F}_p)$  of prime order r with a generator G. Hard Problem:  $[\alpha\beta]G$  given  $[\alpha]G$  and  $[\beta]G$  where  $\alpha, \beta \in \mathbb{Z}_r$ .

### Definition (Cryptographic Oracle)

Informally, cryptographic oracle is simply a function  $\mathcal{O}$  that gives in O(1) an answer to some typically very hard problem.

#### Example (CDH Problem)

Consider the Computational Diffie-Hellman (CDH) problem on the cyclic elliptic curve  $E(\mathbb{F}_p)$  of prime order r with a generator G. Hard Problem:  $[\alpha\beta]G$  given  $[\alpha]G$  and  $[\beta]G$  where  $\alpha, \beta \in \mathbb{Z}_r$ . Oracle: However, we *could* assume that such problem can be solved in O(1) by a cryptographic oracle  $\mathcal{O}_{\text{CDH}}: ([\alpha]G, [\beta]G) \mapsto [\alpha\beta]G$ .

### Definition (Cryptographic Oracle)

Informally, cryptographic oracle is simply a function  $\mathcal{O}$  that gives in O(1) an answer to some typically very hard problem.

### Example (CDH Problem)

Consider the Computational Diffie-Hellman (CDH) problem on the cyclic elliptic curve  $E(\mathbb{F}_p)$  of prime order r with a generator G. Hard Problem:  $[\alpha\beta]G$  given  $[\alpha]G$  and  $[\beta]G$  where  $\alpha,\beta\in\mathbb{Z}_r$ . Oracle: However, we could assume that such problem can be solved in O(1) by a cryptographic oracle  $\mathcal{O}_{\mathsf{CDH}}:([\alpha]G,[\beta]G)\mapsto [\alpha\beta]G$ . This way, we can rigorously prove the security of some cryptographic protocols even if the Diffie-Hellman problem is suddenly solved.

One of the most popular cryptographic oracles is the random oracle  $\mathcal{O}_R$ .

### Definition (Informal definition of RO)

Suppose someone is inputting x to the random oracle  $\mathcal{O}_R : \mathcal{X} \to \mathcal{Y}^a$ . The oracle  $\mathcal{O}_R$  does the following:

One of the most popular cryptographic oracles is the **random oracle**  $\mathcal{O}_R$ .

### Definition (Informal definition of RO)

Suppose someone is inputting x to the random oracle  $\mathcal{O}_R: \mathcal{X} \to \mathcal{Y}^a$ . The oracle  $\mathcal{O}_R$  does the following:

1. If x has been queried before, the oracle returns the same value as it returned before.

One of the most popular cryptographic oracles is the random oracle  $\mathcal{O}_R$ .

### Definition (Informal definition of RO)

Suppose someone is inputting x to the random oracle  $\mathcal{O}_R: \mathcal{X} \to \mathcal{Y}^a$ . The oracle  $\mathcal{O}_R$  does the following:

- 1. If x has been queried before, the oracle returns the same value as it returned before.
- 2. If x has not been queried before, the oracle returns a randomly uniformly sampled value from the output space  $\mathcal{Y}$ .

One of the most popular cryptographic oracles is the **random oracle**  $\mathcal{O}_R$ .

#### Definition (Informal definition of RO)

Suppose someone is inputting x to the random oracle  $\mathcal{O}_R: \mathcal{X} \to \mathcal{Y}^a$ . The oracle  $\mathcal{O}_R$  does the following:

- 1. If x has been queried before, the oracle returns the same value as it returned before.
- 2. If x has not been queried before, the oracle returns a randomly uniformly sampled value from the output space  $\mathcal{Y}$ .

#### Question

Which very well-known cryptographic object can "serve" as a random oracle?

<sup>&</sup>lt;sup>a</sup>Typically, RO works with a family of functions  $f: \mathcal{X} \to \mathcal{Y}$ , but we are not going too deep into the details.

#### Statement

Any interactive public-coin protocol can be converted into a non-interactive public-coin protocol with preserving completeness, soundness, and zero-knowledge using the random oracle.

One of such transformations is called Fiat-Shamir heuristic. Idea:

#### Statement

Any interactive public-coin protocol can be converted into a non-interactive public-coin protocol with preserving completeness, soundness, and zero-knowledge using the random oracle.

One of such transformations is called Fiat-Shamir heuristic. Idea:

1. If all what  $\mathcal V$  does is sending uniformly random values, this is an overkill.

#### Statement

Any interactive public-coin protocol can be converted into a non-interactive public-coin protocol with preserving completeness, soundness, and zero-knowledge using the random oracle.

One of such transformations is called Fiat-Shamir heuristic. Idea:

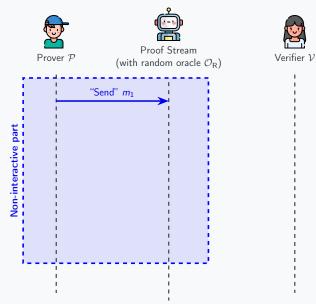
- 1. If all what  ${\cal V}$  does is sending uniformly random values, this is an overkill.
- 2. Instead of  ${\cal V}$  sending random values, prover should be able to generate it himself, but he should not know the randomness in advance.

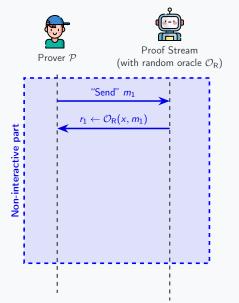
#### Statement

Any interactive public-coin protocol can be converted into a non-interactive public-coin protocol with preserving completeness, soundness, and zero-knowledge using the random oracle.

One of such transformations is called Fiat-Shamir heuristic. Idea:

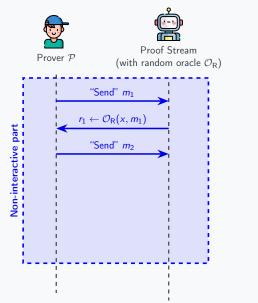
- 1. If all what  ${\cal V}$  does is sending uniformly random values, this is an overkill.
- 2. Instead of  ${\cal V}$  sending random values, prover should be able to generate it himself, but he should not know the randomness in advance.
- 3. Thus, we can replace the verifier's messages with the hash (random oracle) of all the previous conversation.





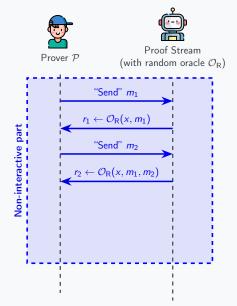


Verifier  $\mathcal V$ 



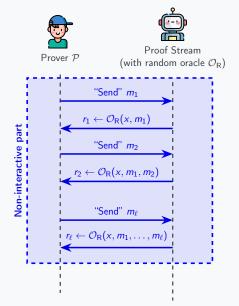


Verifier  $\mathcal{V}$ 



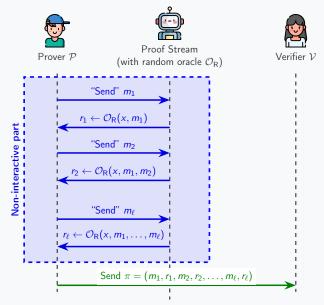


Verifier  $\mathcal{V}$ 





Verifier  $\mathcal{V}$ 



# Thank you for your attention



zkdl-camp.github.io
 github.com/ZKDL-Camp

