# Mathematics for Cryptography: Basic Notation and Groups

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#### Distributed Lab

# zkdl-camp.github.io

github.com/ZKDL-Camp



#### Plan

- 1 Some words about the course
- 2 Notation
  - Sets
  - Logic
  - Randomness and Sequences
- 3 Basic Group Theory
  - Reasoning behind Groups
  - Group Definition and Examples
  - Subgroups
  - Cyclic Groups
  - Homomorphism and Isomorphism

# Some words about the course

#### About ZKDL

- ZKDL is an intensive course on low-level zero-knowledge cryptography.
- We will learn zero-knowledge proving systems from total scratch.
- This means that material is hard. We want commitment and attention from your side.
- We, in turn, provide you structured explanation of the material, practical examples and exercises.



#### Note

This course is helpful for everyone: even lecturers do not know all the material fully. Please, feel free to ask questions and provide feedback — we will adjust the material accordingly.

# Why ZKDL?

- Better Mathematics understanding.
- Skill of reading academic papers and writing your own ones.
- Public speech skills for lecturers on complex topics.
- Our knowledge structurization condensed in one course.
- Importance of ZK is quite obvious.
- And, of course, cryptography is fun!



#### Note

We are R&D experts in Cryptography, so we need to boost our skills in academic writing, lecturing, and understanding advanced topics.

#### **Format**

- 1. We will gather every Thursday at 7PM.
- 2. Lecturer will be different based on the topic.
- 3. We will send you the lecture notes beforehand. Highly recommended to read it before the lecture.
- 4. We also attach exercises, which are highly recommended. You might ask questions about them during the lecture.
- 5. Optionally, we will conduct workshops on a separate day. We will discuss this later.

#### Contents

- Mathematics Preliminaries: group and number theory, finite fields, polynomials, elliptic curves etc.
- 2. Building SNARKs from scratch.
- Analysis of modern zero-knowledge proving systems: Groth16, Plonk, BulletProofs, STARK etc.
- Specialization topics: low-level optimizations, advanced protocols such as folding schemes, Nova etc.



# Notation

#### Sets

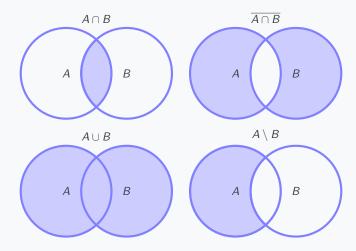
#### **Definition**

**Set** is a collection of (possibly) *distinct* objects, considered as an object in its own right.

#### Example

- N is a set of natural numbers.
- ullet  $\mathbb Z$  is a set of integers.
- $\bullet$   $\mathbb{R}$  is a set of real numbers.
- $\mathbb{R}_{>0}$  is a set of positive real numbers.
- $\{1, 2, 5, 10\}$  is a set of four elements.
- $\{1,2,2,3\} = \{1,2,3\}$  we do not count duplicates.
- $\{1,2,3\} = \{2,1,3\}$  order does not matter.
- $\{\{1,2\},\{3,4\},\{\sqrt{5}\}\}\$  elements can be sets themselves.

# Operations on sets



# Operations on sets: Examples

#### Example

Some words about the course

What does  $\mathbb{Z} \setminus \{0,1\}$  mean?

#### Example

How to simplify  $\mathbb{Q} \cap \mathbb{Z}$ ?

#### Example

What is the result of  $\{1, 2, 3\} \cup \{3, 4, 5\}$ ?

# **Defining sets**

#### Example

- $\{x \in \mathbb{R} : x^2 = 1\}$  a set of real numbers that satisfy the equation  $x^2 = 1$ .
- $\{x \in \mathbb{Z} : x \text{ is even}\}$  a set of even integers.
- $\{x^2 : x \in \mathbb{R}, x^3 = 1\}$  a set of squares of real numbers that satisfy the equation  $x^3 = 1$ .
- $\{x \in \mathbb{N} : x \text{ is prime}\} \setminus \{2\}$  a set of odd prime numbers.

#### Question #1

How to simplify the set  $\{x \in \mathbb{N} : x^2 = 2\}$ ?

### Question #2(\*)

How to simplify the set  $\{\sin \pi k : k \in \mathbb{Z}\}$ ?

### **Cartesian Product**

#### Definition

**Cartesian product** of two sets A and B is a set of all possible ordered pairs (a, b) where  $a \in A$  and  $b \in B$ . We denote it as  $A \times B$ .

#### **Definition**

**Cartesian power** of a set A is a set of all possible ordered tuples  $(a_1, a_2, \dots, a_n)$  where  $a_i \in A$ . We denote it as  $A^n$ .

#### Example

Consider sets  $A = \{1, 2\}$  and  $B = \{3, 4\}$ . Then,  $A \times B = \{(1, 3), (1, 4), (2, 3), (2, 4)\}$ .

#### Example

 $\mathbb{R}^2$  is a set of all possible points in the Cartesian plane.

### **Cartesian Product Questions**

#### Question #1

What does  $\{0,1\}^5$  mean?

#### Question #2

How to interpret the set  $\{(x,y) \in \mathbb{N}^2 : x = y\}$ .

#### Question #3(\*)

How to interpret the set  $\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$ 

# **Basic Logic**

- ∀ means "for all".
- ∃ means "there exists", ∃! means "there exists the only".
- ↑ means "and".
- ∨ means "or".

#### Question #1

Is it true that  $(\forall x \in \mathbb{N}) : \{x > 0\}$ ?

#### Question #2

Is it true that  $(\exists x \in \mathbb{N}) : \{x \ge 0 \land x < 1\}$ ?

#### Question #3

Is it true that  $(\forall x \in \mathbb{Z}) (\exists y \in \mathbb{N}) : \{y > x\}$ ?

### Randomness and Sequences

#### Notation

To denote probability of event E, we use notation Pr[E]. For example,

Pr[It will be cold tomorrow] = 0

#### Notation

To denote that we take an element from a set S uniformly at random, we use notation  $x \stackrel{R}{\leftarrow} S$ .

For example, when throwing a coin, we can write  $x \xleftarrow{R} \{\text{heads, tails}\}.$ 

#### **Notation**

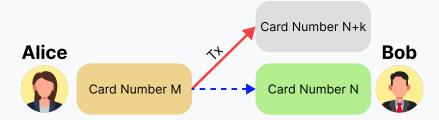
To denote an infinite sequence  $x_1, x_2, \cdots$ , we use  $\{x_i\}_{i \in \mathbb{N}}$ . To denote a finite sequence  $x_1, x_2, \cdots, x_n$ , we use  $\{x_i\}_{i=1}^n$ . To enumerate through a list of indeces  $\mathcal{I} \subset \mathbb{N}$ , we use notation  $\{x_i\}_{i \in \mathcal{I}}$ .

# Basic Group Theory

# Why Groups?!

Well, first of all, we want to work with integers. . .

Imagine that Alice pays to Bob with a card number N, but instead of paying to a number N, the system pays to another card number  $N+k,k\ll N$ , which is only by 0.001% different. Bob would not be 99.999% happy...



# Why Groups?!

But integers on their own are not enough. We need to define a structure that allows us to perform operations on them.

This is very similar to interfaces: we abstract from the implementation, just merely stating we have "some" operation.

#### Example

Consider set  $\mathbb{G}:=\{\mathsf{Dmytro},\mathsf{Dan},\mathsf{Friendship}\}.$  We can safely define an operation  $\oplus$  as:

 $\mathsf{Dmytro} \oplus \mathsf{Dan} = \mathsf{Friendship}$  $\mathsf{Dan} \oplus \mathsf{Friendship} = \mathsf{Dmytro}$  $\mathsf{Friendship} \oplus \mathsf{Dmytro} = \mathsf{Dan}$ 

#### Rhetorical question

What makes  $(\mathbb{G}, \oplus)$  a group?

# **Group Definition**

#### **Definition**

**Group**  $(\mathbb{G}, \oplus)$ , is a set with a binary operation  $\oplus$  with following rules:

- 1. Closure: Binary operations always outputs an element from  $\mathbb{G}$ , that is  $\forall a, b \in \mathbb{G} : a \oplus b \in \mathbb{G}$ .
- 2. Associativity:  $\forall a, b, c \in \mathbb{G} : (a \oplus b) \oplus c = a \oplus (b \oplus c)$ .
- 3. **Identity element:** There exists a so-called identity element  $e \in \mathbb{G}$  such that  $\forall a \in \mathbb{G} : e \oplus a = a \oplus e = a$ .
- 4. Inverse element:  $\forall a \in \mathbb{G} \ \exists b \in \mathbb{G} : a \oplus b = b \oplus a = e$ . We commonly denote the inverse element as  $(\ominus a)$ .

#### **Definition**

A group is called **abelian** if it satisfies the additional rule called **commutativity**:  $\forall a, b \in \mathbb{G} : a \oplus b = b \oplus a$ .

## **Explanation for Developers: Trait**

```
/// Trait that represents a group.
pub trait Group: Sized {
    /// Checks whether the two elements are equal.
    fn eq(\&self, other: \&Self) \rightarrow bool;
    /// Returns the identity element of the group.
    fn identity() \rightarrow Self:
    /// Adds two elements of the group.
    fn add(&self, a: &Self) → Self;
    /// Returns the negative of the element.
    fn negate(\&self) \rightarrow Self;
    /// Subtracts two elements of the group.
    fn sub(\&self, a: \&Self) \rightarrow Self {
        self.add(&a.negate())
```

More on that: https://github.com/ZKDL-Camp/lecture-1-math.

## **Group Examples**

#### Example

A group of integers with the regular addition  $(\mathbb{Z}, +)$  (also called the *additive* group of integers) is a group.

#### Example

The multiplicative group of positive real numbers  $(\mathbb{R}_{>0}, \times)$  is a group for similar reasons.

#### Question #1

Is  $(\mathbb{R}, \times)$  a group? If no, what is missing?

#### Question #2

Is  $(\mathbb{Z}, \times)$  a group? If no, what is missing?

#### **Small Note on Notation**

#### Additive group

We say that a group is *additive* if the operation is denoted as +, and the identity element is denoted as 0.

#### Multiplicative group

We say that a group is *multiplicative* if the operation is denoted as  $\times$ , and the identity element is denoted as 1.

#### Rule of thumb

We use additive notation when we imply that the group  $\mathbb{G}$  is the set of points on the elliptic curve, while multiplicative is typically used in the rest of the cases.

# Abelian Groups Examples and Non-Examples

#### Question #3

Is  $(\mathbb{R}, -)$  a group? If no, what is missing?

#### Question #4

Set V is a set of tuples  $(v_1, v_2, v_3)$  where each  $v_i \in \mathbb{R} \setminus \{0\}$ . Define the operation  $\odot$  as

$$(v_1, v_2, v_3) \odot (u_1, u_2, u_3) = (v_1 u_1, v_2 u_2, v_3 u_3)$$

Is  $(V, \odot)$  a group? If no, what is missing?

#### **Conclusion**

Group is just a fancy name for a set with a binary operation that behaves nicely.

# Subgroup

#### Question

Suppose  $(\mathbb{G}, \oplus)$  is a group. Is any subset  $\mathbb{H} \subset \mathbb{G}$  a group?

#### **Definition**

A subgroup is a subset  $\mathbb{H} \subset \mathbb{G}$  that is a group with the same operation  $\oplus$ . We denote it as  $\mathbb{H} \leq \mathbb{G}$ .

#### Example

Consider  $(\mathbb{Z},+)$ . Then, although  $\mathbb{N}\subset\mathbb{Z}$ , it is not a subgroup, as it does not have inverses.

#### Example

Consider  $(\mathbb{Z}, +)$ . Then,  $3\mathbb{Z} = \{3k : k \in \mathbb{Z}\} \subset \mathbb{Z}$  is a subgroup.

### Questions

#### Question #1

Does any group have at least one subgroup?

**Answer**. Yes, take  $\mathbb{H} = \{e\} < \mathbb{G}$ .

#### Question #2\*

Let  $GL(\mathbb{R},2)$  be a multiplicative group of invertable matrices, while  $SL(\mathbb{R},2)$  be a multiplicative group of matrices with determinant 1. Is  $SL(\mathbb{R},2) \leq GL(\mathbb{R},2)$ ?

**Answer**. Yes. For  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL(\mathbb{R},2)$  the inverse is  $A^{-1} = \begin{bmatrix} d & -b \\ -c & a \end{bmatrix}$ . Also,  $\det(AB) = \det A \cdot \det B$ , so the product of two matrices with determinant 1 has determinant 1, so the operation in closed.

# Cyclic Subgroup.

#### **Definition**

Given a group  $\mathbb G$  and  $g\in\mathbb G$  the cyclic subgroup generated by g is

$$\langle g \rangle = \{ g^n : n \in \mathbb{Z} \} = \{ \dots, g^{-3}, g^{-2}, g^{-1}, e, g, g^2, g^3, \dots \}.$$

#### Example

Consider the group of integers modulo 12, denoted by  $\mathbb{Z}_{12}$ . Consider  $2 \in \mathbb{Z}_{12}$ , the subgroup generated by 2 is then

$$\langle 2 \rangle = \{2, 4, 6, 8, 10, 0\}$$

#### **Definition**

We say that a group  $\mathbb{G}$  is **cyclic** if there exists an element  $g \in \mathbb{G}$  such that  $\mathbb{G}$  is generated by g, that is,  $\mathbb{G} = \langle g \rangle$ .

# Cyclic Subgroup Examples.

#### Example

Take  $\mathbb{Q}^{\times}$ . One of the possible cyclic subgroups is  $\mathbb{H} = \{2^n : n \in \mathbb{Z}\}$ .

Notation

#### Question

What is the generator of  $\mathbb{H}$  in the example above?

#### Question

What is the generator of

$$7\mathbb{Z} = \{7k : k \in \mathbb{Z}\} = \{\ldots, -14, -7, 0, 7, 14, \ldots\}$$
?

# Homomorphism

#### **Definition**

Some words about the course

A **homomorphism** is a function  $\phi: \mathbb{G} \to \mathbb{H}$  between two groups  $(\mathbb{G}, \oplus)$  and  $(\mathbb{H}, \odot)$  that preserves the group structure, i.e.,

$$\forall a, b \in \mathbb{G} : \phi(a \oplus b) = \phi(a) \odot \phi(b)$$

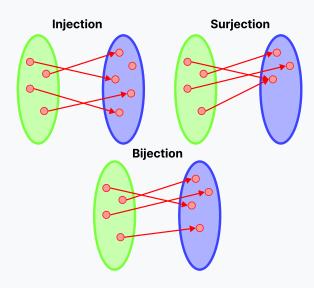
#### Example

Consider  $(\mathbb{Z},+)$  and  $(\mathbb{R}_{>0},\times)$ . Then, the function  $\phi:\mathbb{Z}\to\mathbb{R}_{>0}$  defined as  $\phi(k)=2^k$  is a homomorphism.

**Proof**. Take any  $n, m \in \mathbb{Z}$  and consider  $\phi(n+m)$ :

$$\phi(n+m) = 2^{n+m} = 2^n \times 2^m = \phi(n) \times \phi(m)$$

# Mapping types



# Homomorphism

#### **Definition**

**Isomorphism** is a bijective homomorphism.

#### **Definition**

Two groups  $\mathbb G$  and  $\mathbb H$  are **isomorphic** if there exists an isomorphism between them. We denote it as  $\mathbb G\cong\mathbb H$ .

#### Example

 $\phi: k\mapsto 2^k$  from the previous example is a homomorphism between  $(\mathbb{Z},+)$  and  $(\mathbb{R}_{>0},\times)$ , but not an isomorphism. Indeed, there is no  $x\in\mathbb{Z}$  such that  $2^x=3\in\mathbb{R}_{>0}$ .

#### Question

What can we do to make  $\phi$  an isomorphism?

#### **Field**

#### Informal Definition

Field  $\mathbb{F}$  is a set equipped with appropriate addition and multiplication operations with the corresponding well-defined inverses, where you can perform the basic arithmetic.

#### **Definition**

A **field** is a set  $\mathbb{F}$  with two operations  $\oplus$  and  $\odot$  such that:

- 1.  $(\mathbb{F}, \oplus)$  is an abelian group with identity  $e_{\oplus}$ .
- 2.  $(\mathbb{F} \setminus \{e_{\oplus}\}, \odot)$  is an abelian group.
- 3. The **distributive law** holds:

$$\forall a, b, c \in \mathbb{F} : a \odot (b \oplus c) = (a \odot b) \oplus (a \odot c).$$

#### Example

Some words about the course

The set of real numbers  $(\mathbb{R}, +, \times)$  is obviously a field. So is  $(\mathbb{Q}, +, \times)$ .

#### **Definition**

Finite Field is the set  $\{0, \dots, p-1\}$  equipped with operations modulo p is a field if p is a prime number.

#### Example

The set  $\mathbb{F}_5 = \{0, 1, 2, 3, 4\}$  with operations modulo 5 is a field. Operation examples:

- 3+4=2.
- $3 \times 2 = 1$ .
- $4^{-1} = 4$  since  $4 \times 4 = 1$ .

# Thank you for your attention



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