Class 15: Multisite variation

Small announcement: tomorrow (Wed.) my student hours will be only 2:00-3:00, not 2-4.

1 Preamble: harmonic bounding

• The simplest type is like this:

/input/	ConstraintA	ConstraintB
[output1]		*
[output2]		**

Call this "simple harmonic bounding"

• But there are also subtler cases:

/input/	CONSTRAINTA	CONSTRAINTB
[output3]	**	
[output4]	*	*
[output5]		**

Call this "collective harmonic bounding"

o Review: which constraint-based models of variation that we've seen can give only zero probability to the harmonically bounded candidate? Which can give non-zero probability?

Reminder of our models

probability distributions over Classic OT rankings	constraint weighting
Anttilan Partial Ordering	MaxEnt
Stochastic OT	Noisy Harmonic Grammar

Jesney 2007 has nice discussion of harmonic bounding in weighting models

- Is the inability to generate [output4] a good thing or a bad thing? What's a realistic situation where we could get a tableau like the above?
 - Suppose we observe the following variation:

/oko/	*V[-VOICE]V	IDENT(voice)
☞ [oko]	*	>
☞ [ogo]	<	*

- What about an input like /atapa/? This presents a case of **multisite variation**
- As we just discussed, models make different predictions about which of these candidates are possible:

/atapa/	*V[-VOICE]V	IDENT(voice)
[atapa]	**	> >
[adapa]	*	*
[ataba]	*	*
[adaba]	<	**

2 Roadmap

- Kaplan's typology: 4 types of multi-site variation
- Models of multi-site variation, and the types they can capture
- Quantitative predictions of these models
- Which types really exist? What should we want a model to capture?

Kaplan's typology of multisite variation

Cases taken from Kaplan 2011, Riggle & Wilson 2005, Vaux 2008.

3 Global optionality: Warao

Language isolate of Venezuela, Guyana, and Suriname; 28,100 speakers [Lewis 2009]. From Osborn 1966.

• Little raw data, but Osborn is very definite about the generalization:

"/p/ has allophones [p b]. The voiced allophone [b] is heard more frequently than the voiceless [p] in most words. In every word, except for a few words noted below, alternation between [b] and [p] is presumably possible, since many alternations of this order have been heard. Thus in /paro+parera/ weak, both the initial and medial phoneme /p/ is heard as [b] generally, and as [p] infrequently. In words like the one cited, with two or more occurrences of /p/, the allophones are consistently [b] or [p] for each utterance of the word. If the first occurrence of /p/ in the word is [b], the following occurrence(s) will be [b]. If the first occurrence is [p], the following occurrence(s) will be [p]. The following are examples of words with two occurrences of /p/: poto+poto soft, apaupute he will put them, kapa+kapa kind of banana." (p. 109)

- I.e., [paro-parera] ~ [baro-barera], but not *[paro-barera] or *[baro-parera].
- Also, for a non-reduplicative case, [hapisapa] ~ [habisaba] 'other side'
- o Let's make a tableau with variable constraint ranking and see what happens.
- o If you haven't seen this case before (or don't remember it), any ideas on additional constraints we might want?

4 Local optionality: English

- Vaux says that he can produce, for English *marketability* (Kaplan expresses data skepticism): [maɪkətʰəbɪlətʰi] ~ [maɪkərəbɪləri] ~ [maɪkərəbɪlətʰi]
- O Again, let's make a tableau with variable constraint ranking and see what happens. (Vaux calls this *iterative optionality*; Riggle & Wilson, Kaplan call it *local optionality*)

5 Iterative optionality: Vata

Ethnologue classifies as dialect of Lakota Dida, a Niger-Congo language of Côte d'Ivoire with 98,8000 speakers.

Data taken from Kaplan 2009; originally from Kaye 1982, which I didn't consult.

- The language has ATR harmony: [+ATR]: $[i,u,e,o,\Lambda]$ [-ATR]: $[\iota, \omega, \varepsilon, \upsilon, a]$
- [+ATR] optionally spreads to the final syllable of a preceding word:

```
/ɔˈ nɪ saká pì/ → ɔˈ nɪ saká pì ~ ɔˈ nɪ saká pì 'he didn't cook rice' - - - + → - - - + ~ - - - + +
```

• If all the words are monosyllabic, this is potentially self-feeding. There are various options, all possible...

Let's try a tableau for this one—we'll have to make a decision about what theory of harmony
we use.

6 Unique-target optionality: hypercorrection in Popular Dominican Spanish

(Vaux calls this "Basic Optionality")

Data taken from Bradley 2006.

• /s/ typically absent in a syllable coda:

Popular Dominican Spanish	Conservative Spanish	
se.co	se.co	'dry'
ca.so	ca.so	'case'
e.tú.pi.do	es.tú.pi.do	'stupid'
do	dos	'two' (p. 3)

Hypercorrection can insert a coda [s] (in the "hablar fisno" speech style):¹

Conservative	
in.vi.ta.do	'guest'
co.mo	'like'
es.tú.pi.do	'stupid'
des.de	'since' (p. 4)
	in.vi.ta.do co.mo es.tú.pi.do

¹ though not before an otherwise intervocalic tap or trill, which would be phonotactically illegal, and not if it would create a closed penult in a word with antepenultimate stress.

• And there can be variation of where the [s] is inserted:

Dominican fisno Conservative
as.bo.ga.do ~ a.bos.ga.do ~ a.bo.gasdo ~ a.bo.ga.do a.bo.ga.do 'lawyer' (p. 4)

- But, apparently there can only be one inserted s: *as.bo.ga.dos, etc.
- This claim is not really documented or discussed in the literature. Bradley cites personal communication with Núñez-Cedeño, the main describer of the phenomenon. (See more below.)

Theories/models

7 Vaux 2008's idea: diacritics on rules

• Rules can be tagged with the following two diacritics:

-iterative		+iterative
-optional	 Presumably, non-self-feeding rules: e.g., delete last segment of word But it's not clear to me what should happen if the input form already contains multiple targets: e.g., unstressed Vs obligatorily reduce 	propagates through a word "Normal" phonology, probably (if >1 target
+optional	Global optionality: Warao	 Local optionality: English tapping Unique-target optionality: hablar fisno Iterative optionality: Vata

o I'm not sure how the different types of [+optional, +iterative] rules would be distinguished—let's try writing some rules.

8 Riggle & Wilson 2005's theory: constraint cloning

- If two constraints are freely ranked, for each "position" in the input, make a copy of each constraint.
- These constraints are freely rankable. Sometimes, you'll get opposite rankings for different positions:

CIOIID.				
/maxkət ₆ əbilət ₁₂ i/	*VTV@6	IDENT(cont)@12	IDENT(cont)@6	*VTV@12
[maɪkət ^h əbɪlət ^h 12 i]	*!			*
[ma.kət ^h 6əbilər ₁₂ i]	*!	*		
☞ [maɹkər₅əbɪlətʰ ₁₂ i]			*	*
[ma.kər ₆ əbılər ₁₂ i]		*!	*	

o Let's talk about how to turn this into quantitative predictions.

4

² See p. 24 for discussion of an apparent counterexample given by Harris.

9 Kaplan 2011's theory: markedness suppression

- Kaplan proposes another quantitative model of variation, designed for multi-site variation.
- If a markedness constraint is designated as <u>suppressible</u> (" \mathfrak{O} "), then each * is subject to being ignored, with some probability p that speakers have to learn.
- In this tableau, there are 4 *s under the \odot constraint, so there are $2^4 = 16$ possible tableaux. If no marks are suppressed, *cand2* wins:

/ma.xətəbiləti/	⊙*V _T V	IDENT(cont)
candl [maxkəthəbiləthi]	**	
cand2 [maɹkərəbɪləri]		**
cand3 [maɹkətʰəbɪləri]	*	*
cand4 [maɹkərəbɪlətʰi]	*	*

- Here's a tableau where *cand1* wins.
 - The indicates that the * has been suppressed
 - In terms of choosing the winner, ∘ is the same as nothing—it's just there to help the reader understand what's happening

/ma.kətəbiləti/	⊙*V _T V	IDENT(cont)
candl [maxkəthəbiləthi]	00	
cand2 [maxkərəbiləri]		**
cand3 [maɹkətʰəbɪləri]	*	*
cand4 [maxkərəbilət ^h i]	*	*

probability	of	this
tableau: $p^2(1)$	$-p)^2$	

• Here's one where *cand3* wins

/ma.xetəbiləti/	⊙*V _T V	IDENT(cont)
cand1 [maɹkətʰəbɪlətʰi]	o*	
cand2 [maxkərəbiləri]		**
cand3 [maɹkətʰəbɪləri]	0	*
cand4 [maɹkərəbɪlətʰi]	*	*

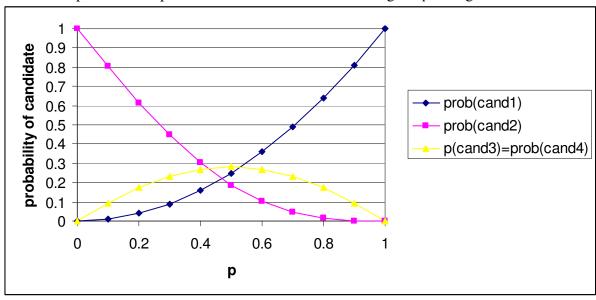
- To find out how probable each candidate is, we need to add up the probabilities of the tableaux that will choose them.
 - Here's a table of each possible suppression pattern for ②*t/V V

	1.1	CIC 5 d	table	or cac	ii poss	1010 50	ppress	non pe	tttCIII I	.01	<u>'' ' </u>					
cand1	**	o*	*0	**	**	00	۰*	۰*	*0	*0	**	00	00	o*	*0	00
cand2																
cand3	*	*	*	0	*	*	0	*	0	*	0	0	*	0	0	0
cand4	*	*	*	*	0	*	*	0	*	0	0	*	0	0	0	0
winner	2	2	2	3	4	1	3	4	3	4	3/4	1	1	3/4	3/4	1
											tie			tie	tie	
prob. of tableau	$(1-p)^4$		<i>p</i> (1	<i>-p</i>) ³				$p^{2}(1$	<i>-p</i>) ²				$p^{3}(1)$	l <i>-p</i>)		p^4
e.g., if <i>p</i> =0.2	0.410	0.102	0.102	0.102	0.102	0.026	0.026	0.026	0.026	0.026	0.026	0.006	0.006	0.006	0.006	0.002

• So, for p=0.2, the probabilities of the candidates are as follows (assume equal split when tied):

	probability	
cand1	0.026+0.006+0.006+0.002	= 0.04
cand2	0.410+0.102+0.102	= 0.61
cand3	0.102 + 0.026 + 0.026 + (0.026/2) + (0.006/2) + (0.006/2)	= 0.17
cand4	0.102 + 0.026 + 0.026 + (0.026/2) + (0.006/2) + (0.006/2)	= 0.17

• We can plot how the probabilities of the candidates change as p changes:



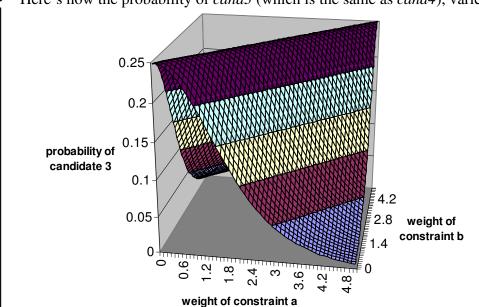
10 How about a MaxEnt model?

• See Adam Chong's 2012 201A paper on French schwa deletion (also looks at markedness suppression)!

	/ma.ikətəbiləti/	*t/V_V ³	IDENT(continuant)	probability
		weight = a	weight = b	
cand1	[maɹkətʰəbɪlətʰi]	**		$(e^{-2a})/Z$
cand2	[incliderektem]		**	$(e^{-2b})/Z$
cand3	[maɹkətʰəbɪləɾi]	*	*	$(e^{-a-b})/Z$
cand4	[maɹkərəbɪlətʰi]	*	*	$(e^{-a-b})/Z$

-

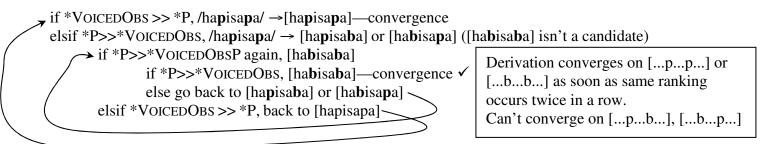
³ big simplification



• Here's how the probability of *cand3* (which is the same as *cand4*), varies as *a* and *b* vary:

11 Kimper 2011: serial variation

- Harmonic Serialism with free ranking
 - At each step of derivation, GEN(*input*) can make at most one change to *input*.
 - The constraint ranking can vary at each step if grammar says so.
 - Derivation ends (converges) when input=output.
- To get global optionality, as in Warao:



- We get global optionality here because the competing markedness constraints outrank IDENT(voice), so you won't get convergence until one or the other markedness constraint is totally satisfied.
- If IDENT(voice)'s ranking varied, we'd get local optionality—the derivation can freeze at any point, including [hapisaba] and [habisapa] if IDENT is top-ranked on the next round.

- To get local optionality, as in *marketability*...
- Uses French schwa-deletion as case stidy—analyzed in terms of footing (GEN can only build feet, not destroy them).
 - On the one hand, you want to foot as many syllables as possible, but on the other hand you don't want a vowel in the weak position of the foot.
 - At each point in derivation, either a monosyllabic or a disyllabic foot gets built, till no syllables left.
 - Once a foot is built, deletion of the weak vowel is possible to satisfy the no-weak-vowels constraint.

```
(58) la queue de ce renard 'this fox's tail'
```

- We get local optionality here in the footing because once a foot has been built, we're stuck with it. Even if PARSE is ranked high on next round, can't expand a monosyllabic foot to include another syllable.
- Once footing is established, Kimper assumes a ranking that makes deletion obligatory.
- o How could we do local optionality in *marketability* in this theory?

So what do we want from our theory?

Which of these variation types should it capture?

12 Global? (Warao)

- Kaplan, Riggle/Wilson, MaxEnt: all predict that all-or-nothing variation shouldn't exist.
- Stochastic OT predicts it should exist.
- Kaplan explains Warao away through an agreement/harmony constraint: all labial stops in a word must agree in voicing.

13 A better global case, from Kaplan 2012

- Eastern Andalusian metaphony (vowel harmony).
- Word-final /s/ laxifies preceding V, then usually deletes
 - on the face of it, looks like counterbleeding, but Kaplan cites Jiménez & Lloret's analysis as reassociation of [spread glottis] from /s/ to V.

```
        mes
        mé
        'month'

        tos
        tó
        'cough'

        mis
        mí
        'my (pl.)'

        tus
        tú
        'your (pl.)'
```

• Laxness spreads to preceding stressed V, if non-high:

lejos lého 'far' tesis tési 'thesis'

• If other Vs intervene, they participate too, all-or-none:

treboles tréβole ~ tréβole 'clovers' cómetelos kómetelo ~ kómetelo 'eat them (for you)!'

• Similarly, non-high Vs before the stress can laxify, all-or-none:

cotilloneskotizóne ~ kotizóne'cotillions'monederosmonedéro ~ monedéro'purses'

• Finally, the pretonic Vs lax only if the post-tonic ones do:

recógelos rekóhelo ~ rekóhelo ~ rekóhelo "pick them"

- o How would we get the all-or-nothing behavior of *cómetelos* in Stochastic OT/Partial ordering?
- Kaplan actually argues that this case is best handled in a Partial Ordering/Stochastic OT
 model, where the unattested variants are out because they're harmonically bounded—that is,
 this is a real case of global optionality.
- I'll refer you to Kaplan for what the markedness-suppression analysis (or Constraint cloning, or MaxEnt, etc.) would look like—he finds that it's overly tortured.
 - Essentially, you have to say that *[kómetelo], *[kómetelo] don't actually do better on any constraint than [kómetelo]

14 Local? (English aspiration)

- Kaplan, Riggle/Wilson, MaxEnt: it should exist.
- Stochastic OT: it shouldn't exist
- There are some appealing cases out there.

15 A famous case of local optionality: French schwa-deletion

- There's a long literature on this. See Riggle & Wilson 2005, Kaplan 2011 for references.
- As we saw above, essentially any schwa can delete (unless a bad C cluster is created).
- Kaplan gives some corpus examples of variation in the same speaker, matched for whether intonation-phrase boundary precedes (*Phonologie du Français Contemporain* corpus, PFC)

je m' souviendrai toujours, et, et il y a, il y a t

J' me souviens dans, on a, on faisait des soirées

16 Local optionality in text-setting?

• Bruce observes out that text-setting decisions in a line can be independent:

		X	_	X		X		X
X		X	X	X	X	X	X	X
X	X	X X	X X	\mathbf{X} \mathbf{X}	X X	X X	X X	X X
The		peo- ple	were	sad;	the	peo- ple	felt	grim
		X		X		X		X
X		X	X	X	X	X	X	X
X	X	X X	X X	\mathbf{X} \mathbf{X}	X X	X X	\mathbf{X} \mathbf{X}	X X
The		peo-	ple were	e sad;	the	peo- ple	felt	grim
		X		X		X		X
X		X	X	X	X	X	X	X
X	X	\mathbf{X} \mathbf{X}	X X	\mathbf{X} \mathbf{X}	\mathbf{X} \mathbf{X}	X X	X X	X X
The		peo- ple	were	sad;	the	peo-	ple felt	grim
		X		X		X		X
X		X	X	X	X	X	X	X
	X	x x	X X		x x	x x	x x	
The			ple were					
_		1	1	, ,	-	1	1	\mathcal{L}

17 Another case of local optionality from Riggle & Wilson: Pima reduplication

Munro & Riggle 2004, Uto-Aztecan language of Mexico, about 650 speakers [Lewis 2009].

• Infixing reduplication marks plural—in compounds, at least one member needs to reduplicate, but it can be more, and the choice is free:

for singular [?us-kàlit-váinom], lit. tree-car-knife 'wagon-knife', plural can be any of:

?u**?u**s-kà**k**lit-vá**pa**inom 'wagon-knives'

?u?us-kàklit-váinom

?u?us-kàlit-vápainom

?us-kà**k**lit-vá**pa**inom

?u?us-kàlit-váinom

?us-kà**k**lit-váinom

?us-kàlit-vápainom

• We could have called this a 5th type of variation ('at-least-one-target'), but we can also just rule out a completely non-reduplicated candidate with another constraint (what?)

18 Yet another case of local optionality from Riggle & Wilson: Miya palatalization

Schuh 1998. Afro-Asiatic language of Nigeria, 30,000 speakers [Lewis 2009].

[palatalized] is a feature that attaches to some words, and it has to be realized at least somewhere, but where and how much is optional.

/kánúw, [palatalized]/	k ^j ánúw ~ k é núw	'smoke'
/ápatlám, [palatalized]/	áp e tlám ~ á p ^j atlám	'hip'
/ràɗaɗə, [palatalized]/	r èd ad i ~ rà d adi	'dampness, cold'
/dədadə, [palatalized] /	d id ^j ad i	'falling'
/?ódə, [palatalized]/	? ídʒ ə	'mortar'
/ágór, [palatalized]/	á g^jí r	'hole'

• Probably there's an element of lexical variation here—for each lexical item, the range of variation is restricted—but on the whole this looks like local optionality.

19 Local optionality? Hebrew obstruents

Temkin Martínez 2010: recall from Class 9 that Hebrew has always-stops, always-fricatives, and alternators (fricative V__, else stop)

alternator always-stop	<u>Root</u> /ktb/ /kr?/	3 rd Person Sg. Past [katav] [kara]	<u>Infinitive</u> [liχtov] [likro]	'to write'	(p. 28)
always-fricative always-fricative alternator alternator	<u>Root</u> /vtr/ /χps/ /btl/ /kpr/	3 rd Person Sg. Past [viter] [χipes] [bitel] [kiper]	Infinitive [levater] [leχapes] [levatel] [leχaper]	'to give up' 'to look for' 'to cancel' 'to atone'	(p. 29)

Plus some free variation, perhaps created by the confusion this lexical variation causes:

1	Acceptable Variant	Gloss
p aga∫	faga∫	'met'
jik b or	jikvor	'will bury'
jeχase	je k ase	'will cover'

8)

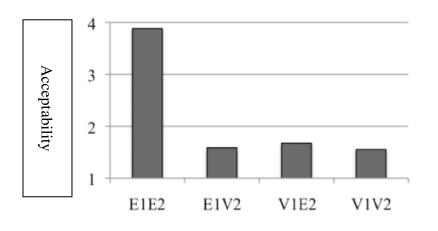
- What we didn't discuss last time was Temkin Martínez's rating study of words with more than one of the key segments.
 - TM predicts that the always-stops and always-fricatives should tend to be unacceptable in any other form, because of a higher-ranking faithfulness constraint indexed to them.
 - But for alternators, the competition is mainly about conflicting markedness:

A. $\lceil levake \rceil = *V-STOP \gg *\lceil +cont, -sib \rceil$ (occurs 88.4% in grammar)

$/bk_1 J/ + inf.$ 'to ask for'	IDENT- IO[cont]1	*V-STOP	*STOP	*[+cont, -sib]	IDENT- IO[cont]
⊮a. levak₁e∫	5500	*	*	*	*
b. lebak₁e∫		**!	**		
c. levaχ₁e∫	*!			afe afe	非
d. lebaχ₁e∫	*!		*	*	

- What should happen if there are two alternators in a word? T-M uses Stochastic OT.
- Results here are for a mix of "hybrid" (one alternator and one non-alternator) and 2-alternator roots, and for a mix of C₁VC₂V and VC₁C₂V, but it looks like having just one C deviate from expected is similar in acceptability to having both deviate:

Figure 19. Words containing two alternating segments



E1: C₁ is fricative or stop, as Expected from position (or underlying value, for non-alternators)

V1: C₁ Varies from what is normally expected

E2, V2: likewise for C₂

(p. 92)

20 Unique-target optionality? (hablar fisno)

- It's not clear to me that any theories predict this straightforward.
- Unless you have a constraint demanding. at least one realization and no constraint favoring additional realizations.
 - This is Bradley's approach: there's a constraint that wants an [s] to be inserted, but there's no benefit to inserting more than one.
 - MAX-CONSERVATIVE-OUTPUT-[S]: an [s] in (what the speaker believes is) the conservative output should have some correspondent in the output.
 - Presumably, if the speaker believes the conservative form has >1 [s], they can share a single correspondent.
- On the other hand, there's reason to be skeptical about this case:
 - The claim that only one *s* inserts per word is not well established
 - Bullock & Toribio 2010 : inserted s is almost always word-final, pre-{p,t,k}, or both.
 - To feel confident that the absence of multiple insertions in a word was significant, we'd need to look only at words that have more than one of the right environment, like *in.vi_.ta.do_*, *e_.tu_.pi.do_*

21 Iterative optionality? (Vata)

- Kaplan, Riggle & Wilson, MaxEnt: predict this, as long as partial application ameliorates constraint violations (e.g., ALIGN rather than AGREE, as we saw above).
- Stochastic OT, Anttilan OT: predict this shouldn't exist

22 Another case of iterative optionality, from Kaplan 2011: Shimakonde

Aka Makonde, Niger-Congo language from Mozambique & Tanzania with 980,000 speakers [Lewis 2009]. Data originally from Liphola 2001.

• A sequence of mid Vs before the penult (lengthened, probably stressed) can reduce to [a], but starting from the left:

kú-pélévélélééla 'to not reach a full size for' kú-pálévélélééla kú-pálávélélééla kú-páláválélééla kú-páláválálééla (p. 337)

o Let's try a tableau for this one—we'll have to get creative on what the constraints are

23 Conclusions

variation type	Global optionality	Local optionality	Unique-target optionality	Iterative optionality
partial ordering, stochastic OT	predict	predict doesn't exist	predict, if we have the right	predict doesn't exist
MaxEnt, Noisy HG	predict doesn't exist	predict	constraints	predict
serial variation	predicts	predicts		?
examples	 Warao: but there could be an agreement constraint Eastern Andalusian 	 English tapping? French ə-deletion Text-setting Pima reduplication Miya palat. (lexical) Maybe Hebrew 	■ hablar fisno	 Vata: but markedness constraint has to prefer partial spreading to no spreading Shimakonde

- MaxEnt (and Noisy HG) are doing well: the one problem for them is Eastern Andalusian.
- Partial ordering and Stochastic OT are doing poorly: they miss a bunch of cases.
- Serial variation: might be looking good.
- But keep in mind that predictions are sensitive to the constraint set involved.
 - The most obvious analysis might be

/atapa/	*V[-VOICE]V	IDENT(voice)
[atapa]	**	>
[adapa]	*	*
[ataba]	*	*
[adaba]	<	**

But the picture changes if there's a constraint demanding agreement:

/atapa/	CC-AGREE(voice)	*V[-VOICE]V	IDENT(voice)
[atapa]		**	>
[adapa]	*	*	*
[ataba]	*	*	*
[adaba]		(**

• Or we got one of the constraints wrong:

/atapa/	HAVEAVOICEDOBST	IDENT(voice)
[atapa]	*	
[adapa]		*
[ataba]		*
[adaba]		**

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