## Iterative Learning Distributed Model Predictive Control for Autonomous Vehicle Platoons with Applications to Repetitive Tasks

A fundamental analysis towards systems with disturbances based on this work.

Authors: Nianhua Zhang, Jicheng Chen, Fernando Viadero-Monasterio, and Hui Zhang

1. A primary analysis about the recursive feasibility considering the disturbance brought by the stochasticity and randomness from the vehicle model and traffic.

A primary analysis about the recursive feasibility considering the disturbance brought by the stochasticity and randomness from the vehicle model and traffic is conducted as follow. For system (4), a bounded disturbance  $z_i^{[j]}(k)$  is introduced to describe the affects brought by stochasticity and randomness from the vehicle model and traffic, which satisfies  $|z_i^{[j]}(k)| < \tilde{z}$  and results in X(k+1) = f(X(k), u(k), r(q(k))) + Ez(k) based on (2). The matrix E represents a disturbance matrix, which can be set as an identity matrix. The recorded sequences in  $\mathcal{SS}_i^{[j-1]}$  is extended as follow

$$\begin{split} \widetilde{\mathcal{X}}_i^{[j-1]} &= \{\widetilde{X}_i^{[j-1]}(1), \widetilde{X}_i^{[j-1]}(2), \cdots, \widetilde{X}_i^{[j-1]}(k'), \cdots \} \\ \mathcal{X}_i^{[j-1]} &= \{X_i^{[j-1]}(1), X_i^{[j-1]}(2), \cdots, X_i^{[j-1]}(k'), \cdots \} \\ \mathcal{U}_i^{[j-1]} &= \{u_i^{[j-1]}(1), u_i^{[j-1]}(2), \cdots, u_i^{[j-1]}(k'), \cdots \} \\ \mathcal{Z}_i^{[j-1]} &= \{z_i^{[j-1]}(1), z_i^{[j-1]}(2), \cdots, z_i^{[j-1]}(k'), \cdots \} \end{split}$$

where  $\widetilde{X}$  represents the nominal states calculated by (2), and satisfies  $X = \widetilde{X} + Ez$  for arbitrary i, j, k. Suppose that  $\mathbf{U}_i^{[j],*}(k)$  is the optimal solution of the ILDMPC problem (36) at instant k in the jth iteration. The corresponding predicted state sequence is generated as follow.

$$\widetilde{\mathbf{X}}_{i}^{[j],*}(k) = \{\widetilde{X}_{i}^{[j],*}(1|k), \widetilde{X}_{i}^{[j],*}(2|k), \cdots, \widetilde{X}_{i}^{[j],*}(N_{P}+1|k)\}$$

According to the terminal cost (34),  $\widetilde{X}_{i}^{[j],*}(N_{P}+1|k)=\widetilde{X}_{i}^{[j-1],\dagger}(\iota)+E_{i}z_{i}^{[j-1],\dagger}(\iota)$  holds. Supposing the bounded disturbance can always be compensated by the control input within the control space, it is noted that  $\exists u_{i}^{[j-1],\dagger}(\iota), \widetilde{u}_{i}^{[j-1],\dagger}(\iota), u_{i}^{[j],*}(N_{p}|k), \widetilde{u}_{i}^{[j],*}(N_{p}|k)$  satisfy

$$X_{i}^{[j-1],\dagger}(\iota+1) = f_{i}(X_{i}^{[j-1],\dagger}(\iota), u_{i}^{[j-1],\dagger}(\iota), r(q_{i}^{[j-1],\dagger}(\iota))) + E_{i}z_{i}^{[j-1],\dagger}(\iota)$$

$$\widetilde{X}_{i}^{[j-1],\dagger}(\iota+1) = f_{i}(\widetilde{X}_{i}^{[j-1],\dagger}(\iota), \widetilde{u}_{i}^{[j-1],\dagger}(\iota), r(q_{i}^{[j-1],\dagger}(\iota)))$$

$$X_{i}^{[j],*}(N_{P} + 2|k) = f_{i}(X_{i}^{[j],*}(N_{P} + 1|k), u_{i}^{[j],*}(N_{p}|k), r(q_{i}^{[j]}(k))) + E_{i}z_{i}^{[j]}(k)$$

$$\widetilde{X}_{i}^{[j],*}(N_{P} + 2|k) = f_{i}(\widetilde{X}_{i}^{[j],*}(N_{P} + 1|k), \widetilde{u}_{i}^{[j],*}(N_{p}|k), r(q_{i}^{[j]}(k)))$$

$$\widetilde{u}_{i}^{[j-1],\dagger}(\iota) = \widetilde{u}_{i}^{[j],*}(N_{p}|k)$$

where the nominal control input  $\widetilde{u}$  and actual control input u can be extended as follow with a generalized inverse function  $f_i^-(\cdot)$ 

$$\begin{split} u_i^{[j-1],\dagger}(\iota) &= \widetilde{u}_i^{[j-1],\dagger}(\iota) + f_i^-(\widetilde{X}_i^{[j-1],\dagger}(\iota), z_i^{[j-1],\dagger}(\iota), r(q_i^{[j-1],\dagger}(\iota))) \\ u_i^{[j],*}(N_p|k) &= \widetilde{u}_i^{[j],*}(N_p|k) + f_i^-(\widetilde{X}_i^{[j],*}(N_P+1|k), z_i^{[j]}(k), r(q_i^{[j]}(k))) \end{split}$$

At instant k+1 in the jth iteration, a feasible solution  $\mathbf{U}_{i}^{[j],\dagger}(k+1)$  and the corresponding state  $\mathbf{X}_{i}^{[j],\dagger}(k+1)$  can be generated as follows

$$\mathbf{U}_{i}^{[j],\dagger}(k+1) = \{u_{i}^{[j],*}(2|k), u_{i}^{[j],*}(3|k), \cdots, u_{i}^{[j],*}(N_{P}|k), u_{i}^{[j],\dagger}(N_{P}|k+1)\}$$

$$\mathbf{X}_{i}^{[j],\dagger}(k+1) = \{X_{i}^{[j],*}(2|k), X_{i}^{[j],*}(3|k), \cdots, X_{i}^{[j],*}(N_{P}+1|k), X_{i}^{[j-1],\dagger}(\iota+1)\}$$

where

$$u_i^{[j],\dagger}(N_P|k+1) = \widetilde{u}_i^{[j-1],\dagger}(\iota) + f_i^-(\widetilde{X}_i^{[j],*}(N_P+1|k), z_i^{[j]}(k+1), r(q_i^{[j]}(k+1)))$$

Therefore, as an extension, if the ILDMPC problem (36) is successfully optimized with the solution  $\mathbf{U}_i^{[j],*}(k)$  and the corresponding state  $\mathbf{X}_i^{[j],*}(k)$ , there will be a feasible domain containing solutions  $\mathbf{U}_i^{[j],\dagger}(k+1)$  and satisfactory states  $\mathbf{X}_i^{[j],\dagger}(k+1)$ . This conclusion holds with the assumption that the affects brought by stochasticity and randomness from the vehicle model and traffic can be described by a bounded disturbance  $z_i^{[j]}(k)$ , and the bounded disturbance can always be compensated by the control input within the control space.

2. A primary analysis about the stability considering the disturbance brought by the stochasticity and randomness from the vehicle model and traffic.

Similarly, considering the disturbance, a primary analysis is conducted as follow. With the definition of the loss function (35) and Lyapunov function (40), the difference of the Lyapunov function for the discrete system X(k+1) = f(X(k), u(k), r(q(k))) can be scaled as (43). For the discrete system X(k+1) = f(X(k), u(k), r(q(k))) + Ez(k), the difference is rewritten as follow

$$\begin{split} &J_{i}^{[j]}|_{k:\infty} - J_{i}^{[j]}|_{k+1:\infty} \\ &= \lim_{N_{p} \to \infty} \sum_{n=k}^{N_{p}+k} l_{loss}(X_{i}^{[j]}(n), u_{i}^{[j]}(n), r(q_{i}^{[j]}(n))) - \sum_{n=k+1}^{N_{p}+k+1} l_{loss}(X_{i}^{[j]}(n), u_{i}^{[j]}(n), r(q_{i}^{[j]}(n))) \\ &= l_{loss}(X_{i}^{[j]}(k), u_{i}^{[j]}(k), r(q_{i}^{[j]}(k))) \\ &- \lim_{N_{p} \to \infty} l_{loss}(X_{i}^{[j]}(k+N_{p}+1), u_{i}^{[j]}(k+N_{p}+1), r(q_{i}^{[j]}(k+N_{p}+1))) \\ &\geq l_{loss}(X_{i}^{[j]}(k), u_{i}^{[j]}(k), r(q_{i}^{[j]}(k))) \\ &- \lim_{l \to \infty} l_{loss}(X_{i}^{[j-1],\dagger}(l+2), u_{i}^{[j-1],\dagger}(l+2), r(q_{i}^{[j-1],\dagger}(l+2))) - \Delta_{i}^{\delta} \\ &= l_{loss}(X_{i}^{[j]}(k), u_{i}^{[j]}(k), r(q_{i}^{[j]}(k))) - \Delta_{i}^{\delta} \end{split}$$

where  $\Delta_i^{\delta}$  is an additional term brought by the disturbance and can be extended as

$$\Delta_{i}^{\delta} = \lim_{\iota, N_{P} \to \infty} 2 \left( C \widetilde{X}_{i}^{[j-1],\dagger}(\iota + 2) \right)^{\mathrm{T}} \mathbf{P}_{1} \left( \Delta_{i}^{[j], \text{error}} - \Delta_{i}^{[j-1], \text{error}} \right)$$

$$+ 2 \left( \widetilde{u}_{i}^{[j-1],\dagger}(\iota + 2) \right)^{\mathrm{T}} \mathbf{P}_{2} \left( \Delta_{i}^{[j], \text{input}} - \Delta_{i}^{[j-1], \text{input}} \right)$$

$$+ \|\Delta_{i}^{[j], \text{error}}\|_{\mathbf{P}_{1}} - \|\Delta_{i}^{[j-1], \text{error}}\|_{\mathbf{P}_{1}} + \|\Delta_{i}^{[j], \text{input}}\|_{\mathbf{P}_{2}} - \|\Delta_{i}^{[j-1], \text{input}}\|_{\mathbf{P}_{2}}$$

$$\Delta_{i}^{[j], \text{error}} = z_{i}^{[j]} (k + N_{P} + 1) - \widetilde{Y}_{i}^{[j]} (k + N_{P} + 1)$$

$$\Delta_{i}^{[j-1], \text{error}} = z_{i}^{[j-1],\dagger} (\iota + 2) - \widetilde{Y}_{i}^{[j-1],\dagger} (\iota + 2)$$

$$\Delta_{i}^{[j], \text{input}} = f_{i}^{-} (\widetilde{X}_{i}^{[j-1],\dagger}(\iota + 2), z_{i}^{[j]} (k + N_{P} + 1), r(q_{i}^{[j]}(k + N_{P} + 1)))$$

$$\Delta_{i}^{[j-1], \text{input}} = f_{i}^{-} (\widetilde{X}_{i}^{[j-1],\dagger}, z_{i}^{[j-1],\dagger}(\iota + 2), r(q_{i}^{[j-1],\dagger}(\iota + 2)))$$

$$C = \text{diag}(1, 1, 1, 1, 1, 1, 1, 0, 0)$$

Therefore, considering the unknown disturbance  $z_i^{[j]}(k)$ , the controlled system can be theoretically stable only if  $l_{\rm loss}(X_i^{[j]}(k),u_i^{[j]}(k),r(q_i^{[j]}(k)))-\Delta_i^\delta>0$  holds. In fact, the additional term  $\Delta_i^\delta$  is quite small because it mainly calculated from the differences of differences, which are relatively negligible in the control process. However, for a rigorous theoretical proof, there are more efforts required for terminal cost design to guarantee  $l_{\rm loss}(X_i^{[j]}(k),u_i^{[j]}(k),r(q_i^{[j]}(k)))-\Delta_i^\delta>0$ , which is planned in the future work.

## References