

# Q-Learning – How to?

Chair of Automation and Information Systems
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# **Topics**

1. Q-Learning Process Steps

2. Exercise: Grid World

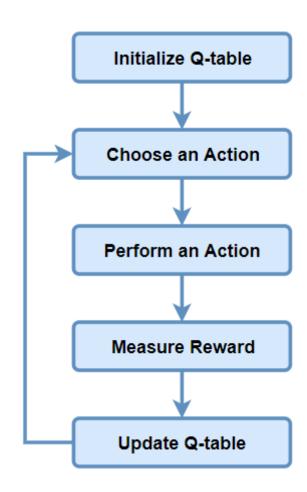
3. Exercise: Crane-Simulation



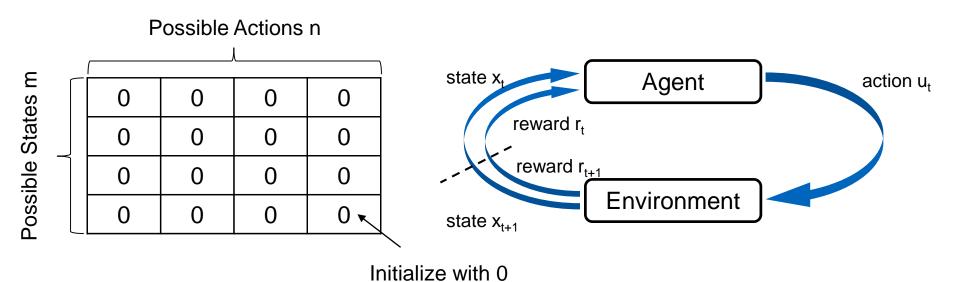
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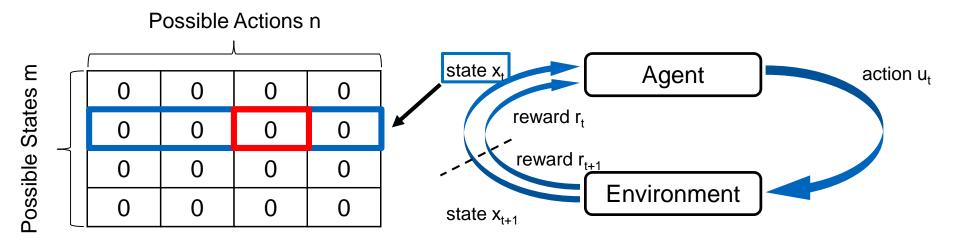




### 1. Initialize Q-Table

- Use discretization for environments with continuous state variables
- If the state is described by multiple state variables  $X_1, X_2, ..., X_k$  (such as position, velocity and acceleration) with corresponding number of discretization intervals  $m_1, m_2, ..., m_k$ , the Q-Table is of dimension  $m_1 \times m_2 \times \cdots \times m_k \times n$





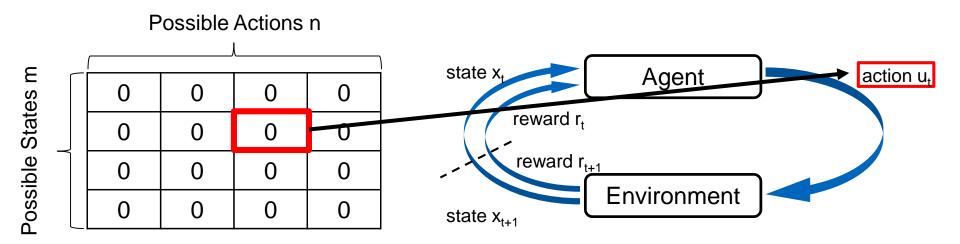
#### 2. Choose an Action:

- Get the current state x<sub>t</sub>
- Perform  $\epsilon$ -greedy strategy:

$$\text{Action} = \begin{cases} \max_{\mathbf{u}} \mathbf{Q}(\mathbf{x}, \mathbf{u}), & \mathbf{R} > \epsilon \\ \mathbf{Random} \ \mathbf{u}, & \mathbf{R} \leq \epsilon \end{cases}, \ \mathbf{R} \ \text{is uniform random value in } [0,1] \ \text{and} \ \epsilon \in [0,1]$$

→ when highest Q-Value occurs more than once, choose randomly from actions with this value

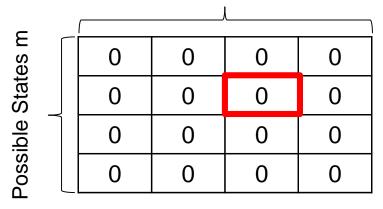


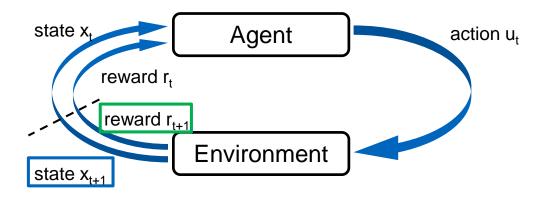


#### 3. Perform an Action



### Possible Actions n



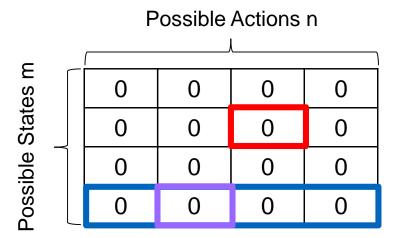


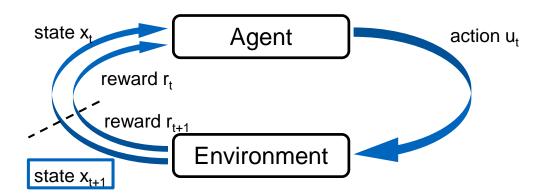
#### 4. Measure reward

And remember new state x<sub>t+1</sub>

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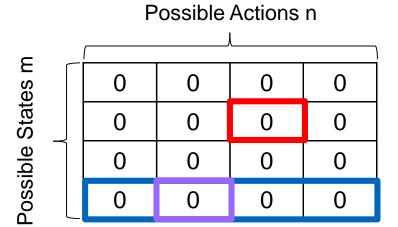


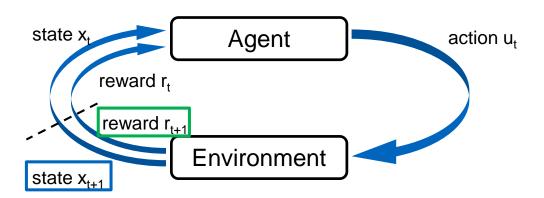


### 5. Update Q-Table

- Go to new state x<sub>t+1</sub>
- Find the highest possible Q-value of state  $x_{t+1}$  (Q-value = expected future reward)







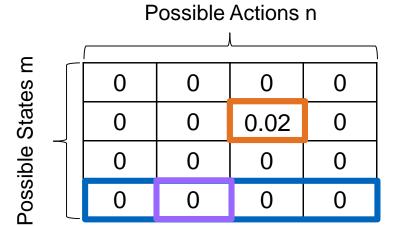
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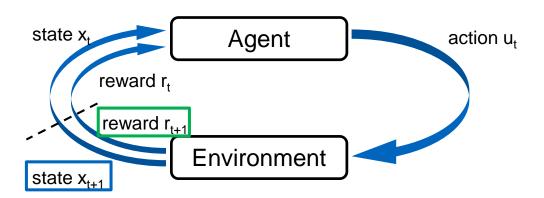
- Go to new state x<sub>t+1</sub>
- Find the highest possible Q-value of state  $x_{t+1}$  (Q-value = expected future reward)
- Update Q-value for the original state-action pair:

$$Q_{k+1}^{\pi}(x_t, u_t) = (1 - \alpha) \cdot Q_k^{\pi}(x_t, u_t) + \alpha \cdot (r_{t+1} + \gamma \cdot \underset{u}{\operatorname{argmax}} Q(x_{t+1}, u))$$

$$r_{t+1} = 20, \qquad \alpha = 10^{-3}, \qquad \gamma = 0.95$$







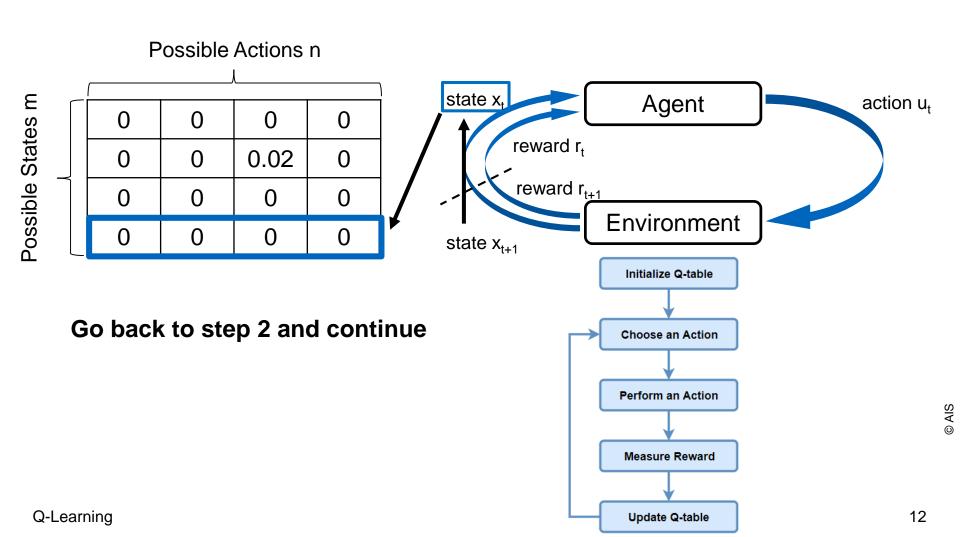
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$$r_{t+1} = 20, \qquad \alpha = 10^{-3}, \qquad \gamma = 0.95$$





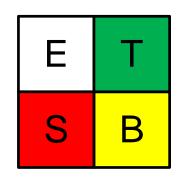


2. Exercise: Grid World

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### Grid World – Task 1



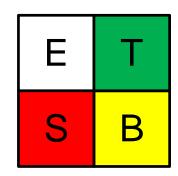
- Small Grid World: 2x2
- Go from a starting point (S) to a target location (T)
- Possible actions: up, down, left or right (crashing into the boundary → position remains the same, but counts as new step for reward calculation)
- Rewards:

$x_{t+1}$	$r_{t+1}(x_{t+1})$
E	-1
Т	+100
В	-0.5
S	-1

- Task 1:
  - Perform the first iteration of Q-Learning (Steps 1-5) by hand
  - Use learning rate  $\alpha = 0.1$  and discount factor  $\gamma = 1$
  - Neglect epsilon-greedy strategy

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### Grid World – Task 2



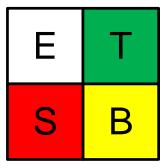
#### Task 2:

- After 5 completed episodes with epsilon-greedy strategy ( $\epsilon=0.05$ ) the Q-Table looks like shown below
- Compute the first iteration of Q-Learning for episode 6, starting again at (S) by hand
- As before: Neglect epsilon-greedy strategy

	Up	Down	Left	Right
Е	0	-0.1	-0.1	0
Т	0	0	0	0
S	-0.1	-0.1	-0.1	7.91
В	40.95	0	-0.1	0



### Grid World – Task 3

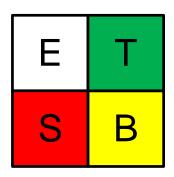


- Imagine an epsilon-greedy strategy where  $\epsilon$  is computed as a function of the current episode:
  - $\rightarrow \epsilon$ (episode) = 0.9999<sup>episode</sup>
- Epsilon gets very small over time
- Task 3: What does the Q-Table look like after an infinite amount of episodes?

$$Q_{k+1}^{\pi}(x_t, u_t) = (1 - \alpha) \cdot Q_k^{\pi}(x_t, u_t) + \alpha \cdot \left(r_{t+1} + \gamma \cdot \underset{u}{\operatorname{argmax}} Q(x_{t+1}, u)\right)$$



### Grid World - Task 4



Task 4: What happens, if we apply the following reward structure to the Grid World problem?

$x_{t+1}$	$r(x_{t+1})$
E	-1
Т	+100
В	+1
S	-1



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### **Crane Simulation**

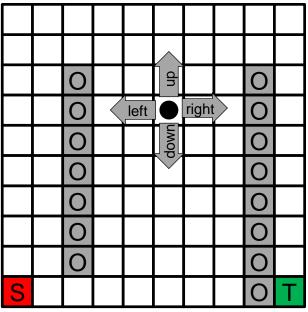
Source: Chapter Machine Learning, Lecture ISMLP, AIS 2021

- Quadratic Warehouse → discretized into a 10 x 10 grid
- A product has to be transported with a crane from a starting point (S) to a target location (T)
- When the crane crashes into an high rack storage (O), the game ends
- Possible actions: up, down, left or right (crashing into the boundary → position remains the same)
- Rewards:

$x_{t+1}$	$r_{t+1}(x_{t+1})$
White field / (S)	-1
(T)	+100
(O)	-100



#### Top view:





### Crane Simulation – Tasks

#### Tasks:

- Open crane\_simulation\_Template.py
- In class *CraneSim:* define the grid and all required variables
- In class Q\_Agent: implement the epsilon-greedy policy and the update function for the Q-Table
- In function play: implement all necessary steps to run one episode of the simulation



