

# 深度学习与视频编码

王苫社 北京大学

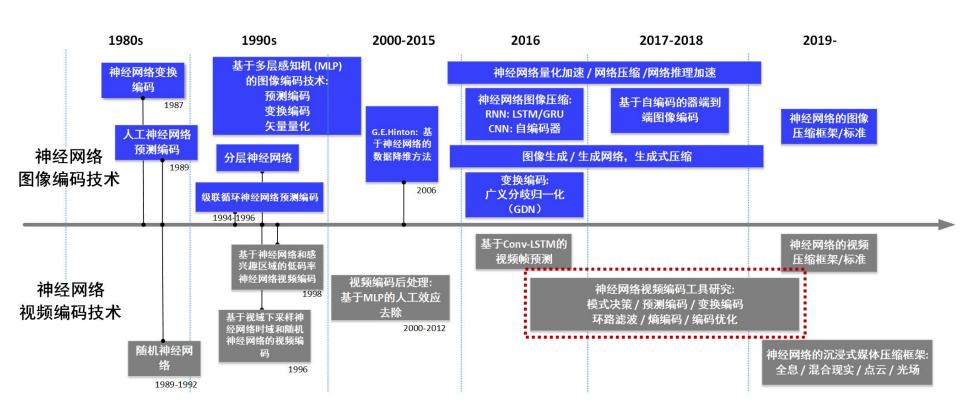
## 目录

- □ 概述
- □ 神经网络视频编码历史
- □ 基于深度学习的视频编码进展
- □ 展望

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## 神经网络视频编码简史

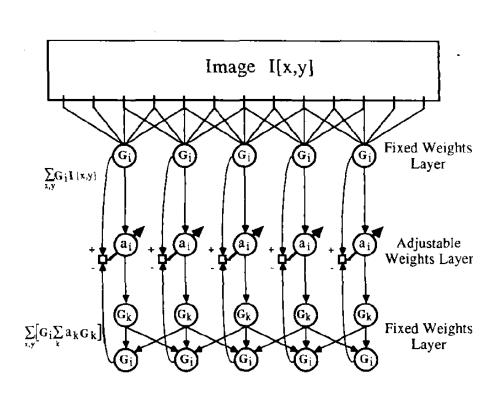


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#### 起源

#### □ 基于神经网络的编码技术源自上世纪八十年代.



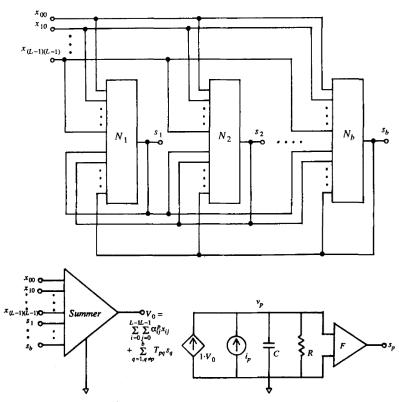


Figure 4. Schematic diagram of a neuron

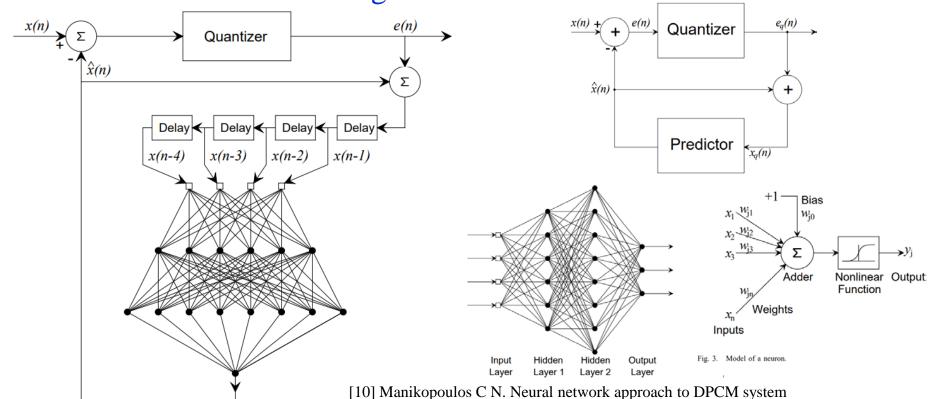
三层人工神经网络用于图像变换编码. [1, 2]

神经网络硬件电路实现[3]



## 上世纪九十年代初

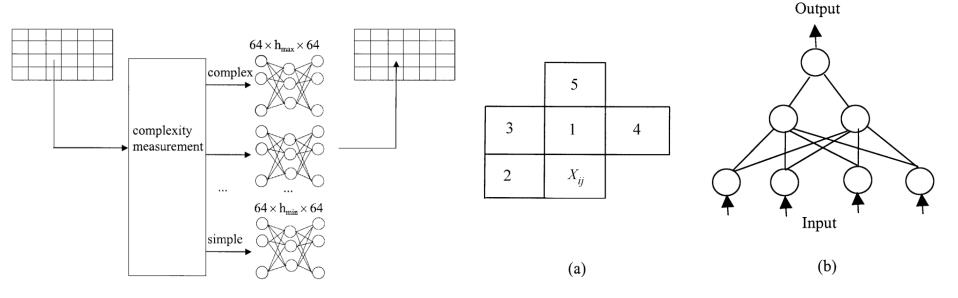
- □ 基于多层感知机的图像编码
  - DPCM using a multilayer perceptron network
  - Predictive Coding



design for image coding[J]. IEEE Proceedings I (Communications, Speech and Vision), 1992, 139(5): 501-507.

## 九十年代中期

- □ 自适应预测编码 [11-18]
  - Complexity analysis & Entropy coding

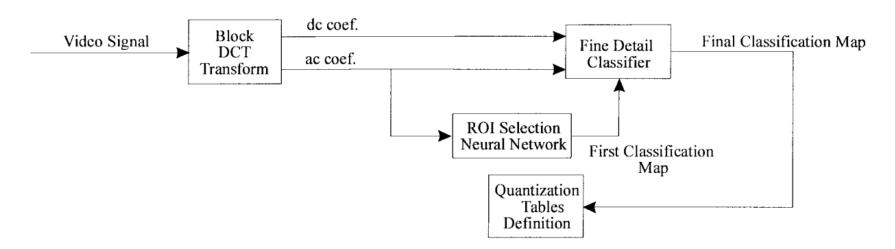


将图像划分为小块进行编码. [11]

利用空域临近像素作为辅助预测. [12, 13]

## 2000年左右

- □ 两个主要的问题[19-28]
  - 1. 端到端的多层感知机编码
  - 2. 由图像扩展到视频编码

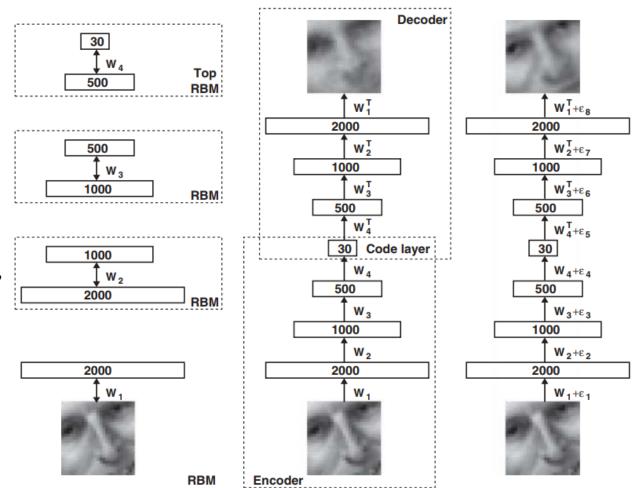


#### In 2006

#### □ 自编码器开启深度学习时代 by G.E. Hinton

**Pretraining** 

[29] Geoffrey E.et al. Reducing the dimensionality of data with neural networks. Science, 2006, 313(5786): 504-507.



Unrolling

Fine-tuning



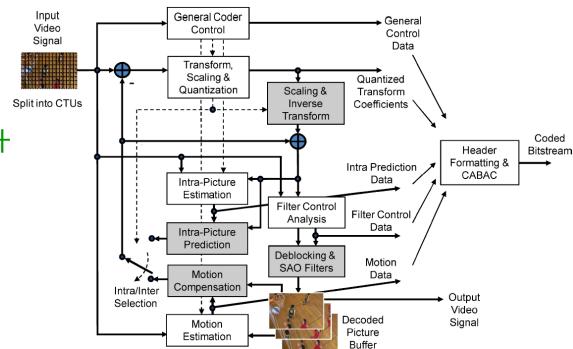
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- □ 基于深度学习的视频编码进展
  - 预测增强
  - 环路滤波
  - 深度学习与视频编码标准
- □ 展望

#### Outline

#### □ 深度学习与视频编码

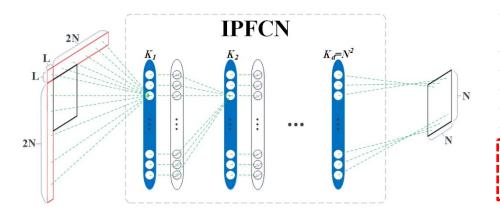
- 帧内预测
- 帧间编码
  - □ 分像素插值
  - □ 预测增强
  - □ 参考帧质量提升
- 环路滤波
- 模式决策
  - □ 编码优化



# 帧内预测 (1)

- □ 数据驱动的帧内预测方法
  - 基于全卷积网络
  - 全连接网络
    - □ 单一模型: IPFCN-S (IPFCN-S-L: 将网络参数减半)
    - □ 双模型(为DC和planar训练专门模型): IPFCN-D (IPFCN-

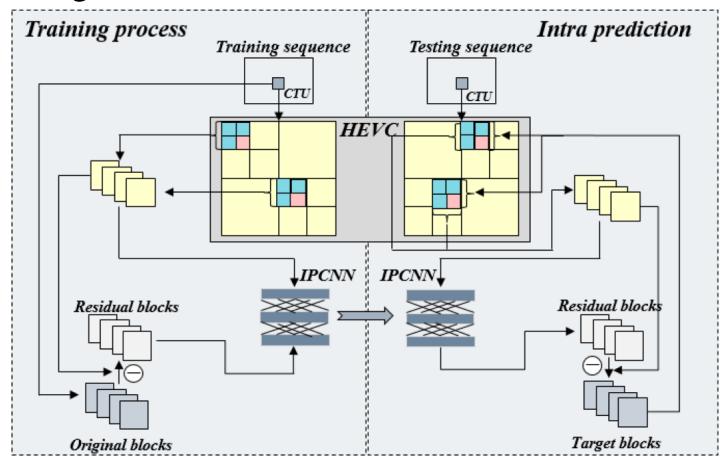
D-L: 将网络参数减半)



| Sequences   |         | IPFCN   | vs. HM-16.9 |           |
|-------------|---------|---------|-------------|-----------|
| sequences   | IPFCN-S | IPFCN-D | IPFCN-S-L   | IPFCN-D-L |
| Class A     | -3.8 %  | -4.4 %  | -3.0%       | -3.7%     |
| Class B     | -2.8 %  | -3.2 %  | -2.2%       | -2.8%     |
| Class C     | -1.9 %  | -2.1 %  | -1.6%       | -1.9%     |
| Class D     | -1.7 %  | -1.8 %  | -1.4%       | -1.7%     |
| Class E     | _39%    | -4 5 %  | -3.0%       | _3.5%     |
| Overall     | -2.6 %  | -3.0 %  | -2.1%       | -2.5%     |
| Encode Time | 4930%   | 13052%  | 285%        | 483%      |
| Decode Time | 26572%  | 28927%  | 923%        | 1141%     |

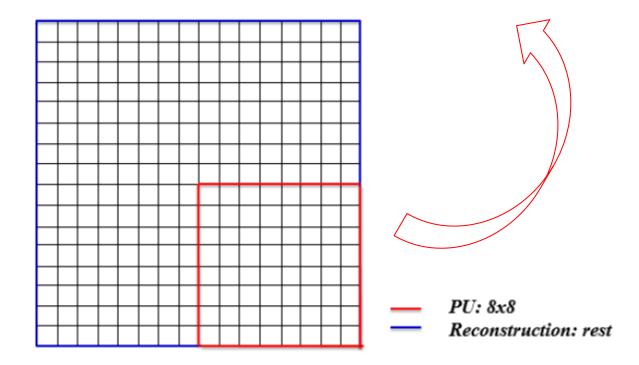
# 帧内预测 (2)

#### ☐ Design Resnet for Intra 8x8 PU



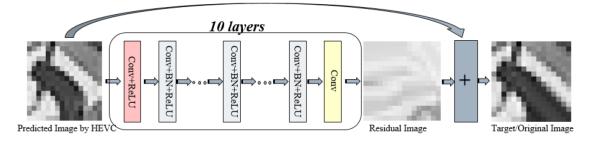
# 帧内预测(2)

- □ 预测增强
  - 训练数据生成
  - 当前PU通过HEVC得到最优intra mode



# 帧内预测(2)

#### □ 残差学习



#### QP: 22 27 32 37, HEVC Intra, DL Platform: Matcovnet

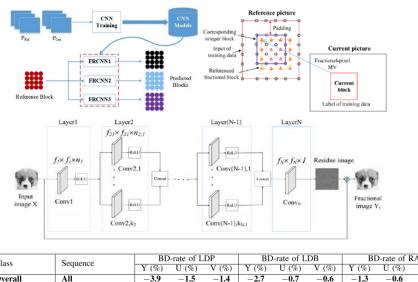
| Sequences       | BD-rate | Sequences      | BD-rate |
|-----------------|---------|----------------|---------|
| Traffic         | -0.9%   | PartyScene     | -0.5%   |
| PeopleOnStreet  | -1.2%   | RaceHorses     | -0.7%   |
| Kimono          | -0.2%   | BasketballPass | -0.4%   |
| ParkScene       | -0.8%   | BQSquare       | -0.1%   |
| Cactus          | -0.8%   | BlowingBubbles | -0.7%   |
| BasketballDrive | -0.6%   | RaceHorses     | -0.7%   |
| BQTerrace       | -0.8%   | FourPeople     | -0.3%   |
| BasketballDrill | -0.5%   | Johnny         | -1.0%   |
| BQMall          | -0.6%   | KristenAndSara | -0.8%   |
| All average     |         | -0.70%         |         |



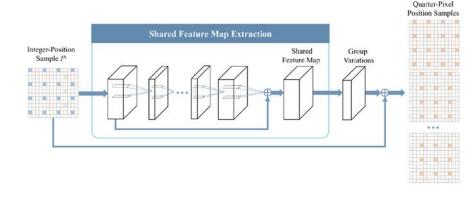


## 基于深度学习的分像素插值

- □ 针对1/2像素设计神经网络
  - 帧间预测:分像素插值+图像超分辨技术



| Class Seq   | iuence        | BD-rate of LDP |       | BD-rate of LDB |       |       | BD-rate of RA |       |       |       |
|-------------|---------------|----------------|-------|----------------|-------|-------|---------------|-------|-------|-------|
| Ciass       | ductice \( \) | Y (%)          | U (%) | V (%)          | Y (%) | U (%) | V (%)         | Y (%) | U (%) | V (%) |
| Overall All |               | -3.9           | -1.5  | -1.4           | -2.7  | -0.7  | -0.6          | -1.3  | -0.6  | -0.7  |



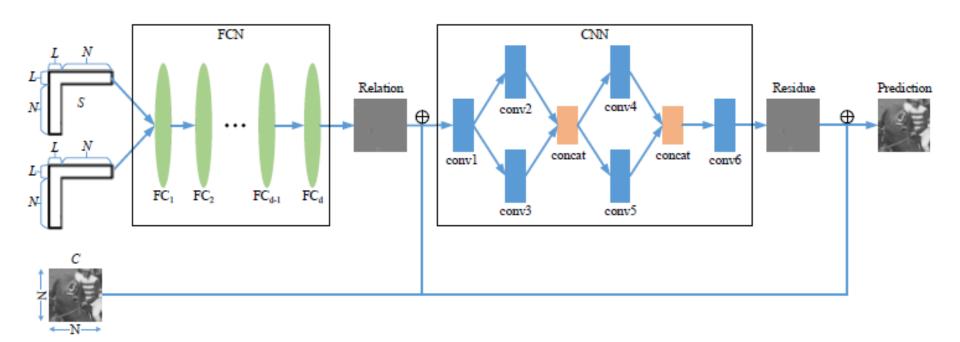
| Class         | Sequence | BD    | rate of I | .DP   | BD    | rate of I | .DB   | BE    | rate of l | RA    |
|---------------|----------|-------|-----------|-------|-------|-----------|-------|-------|-----------|-------|
| Class         | Sequence | Y     | U         | V     | Y     | U         | V     | Y     | U         | V     |
| All Sequences | Overall  | -2.2% | -0.6%     | -0.5% | -1.2% | 0.4%      | -0.1% | -0.9% | -0.3%     | -0.6% |

Yan, N., Liu, D., Li, H., Li, B., Li, L., Wu, F. Convolutional Neural Network-Based Fractional-Pixel Motion Compensation. IEEE Transactions on Circuits and Systems for Video Technology, 2018.

Liu, J., Xia, S., Yang, W., Li, M., Liu, D. One-for-All: **Grouped Variation Network-Based Fractional** Interpolation in Video Coding, IEEE Transactions on Image Processing, 28(5), 2140-2151, 2019.

# 帧间预测增强(1)

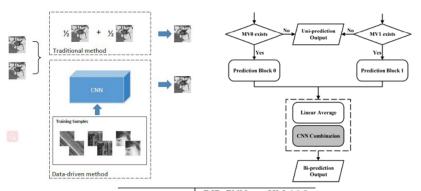
- □ 利用空域-时域联合信息
  - 空域周边重建像素
  - 时域参考像素



# 帧间预测增强(2)

#### □提升预测准确性

□ 帧间双向预测预测(BIP)

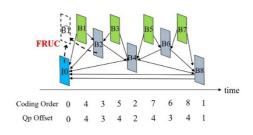


| Sequences   | BIP-CN1 | V vs. HM-16.9 |
|-------------|---------|---------------|
| Sequences   | RA      | LDB           |
| Class A     | -2.1 %  | -1.7 %        |
| Class B     | -3.2 %  | -1.9 %        |
| Class C     | -2.2 %  | -0.9 %        |
| Class D     | -3.2 %  | -1.0 %        |
| Class E     | /       | -2.8 %        |
| Overall     | -2.7 %  | -1.7 %        |
| Encode Time | 149%    | 185%          |
| Decode Time | 4259%   | 2853%         |

Zhao, Z., Wang, S., Wang, S., Zhang, X., Ma, S., Yang, J. Enhanced bi-prediction with convolutional neural network for high efficiency video coding. *IEEE Transactions on Circuits and Systems for Video Technology*, 2018.

#### □ 虚拟参考帧生成

☐ Deep Virtual Reference Frame, DVRF



| Sequences   | DV          | RF          |
|-------------|-------------|-------------|
| sequences   | RA (HM16.9) | RA (JEM7.1) |
| Class A     | -6.7%       | -1.3%       |
| Class B     | -3.5%       | -0.4%       |
| Class C     | -4.0%       | -0.8%       |
| Class D     | -5.7%       | -0.7%       |
| Class E     | /           | -0.8%       |
| Overall     | -4.6%       | -0.7%       |
| Encode Time | 135%        | 124%        |
| Decode Time | 4376%       | 1025%       |

Zhao, L., Wang, S., Zhang, X., Wang, S., Ma, S., Gao, W. Enhanced CTU-level inter prediction with deep frame rate upconversion for high efficiency video coding. *IEEE International Conference on Image Processing*, pp. 206-210, 2018.

#### □ 基于整帧的处理: SRCNN

■ In-loop

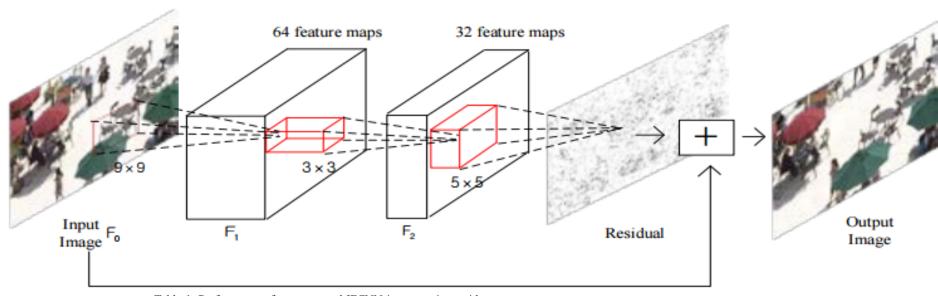
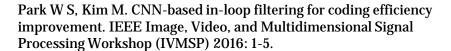


Table 1. Performance of our proposed IFCNN in comparison with SAO in terms of BD rates (BDBR).

| 5710 1     | II tolling | OI DD Tut | es (BBBR). | •        |          |          |
|------------|------------|-----------|------------|----------|----------|----------|
|            |            | All Intra | LDP-Case   | LDP-Case | RA-Case  | RA-Case  |
| Sizes Seq. | All Illua  | I         | II         | I        | II       |          |
|            |            | BDBR (%)  | BDBR (%)   | BDBR (%) | BDBR (%) | BDBR (%) |
|            | BD         | -10.1     | -5.3       | -3.0     | -6.0     | -6.7     |
| 832×       | BQM        | -3.7      | -3.0       | -2.4     | -2.4     | -2.9     |
| 480        | PS         | -2.7      | -2.0       | -1.2     | 0.0      | -1.1     |
|            | BDT        | -7.6      | -3.5       | -2.4     | -4.3     | -4.9     |
|            | BP         | -3.3      | -2.8       | -1.5     | -0.6     | -1.1     |
| 416×       | BQS        | -2.4      | -3.3       | -2.9     | 1.4      | -0.8     |
| 240        | В          | -3.4      | -2.3       | -2.6     | 0.0      | -1.4     |
|            | RH         | -4.9      | -0.4       | 0.6      | -1.2     | -1.6     |
|            | Avg.       | -4.8      | -2.8       | -1.9     | -1.6     | -2.6     |

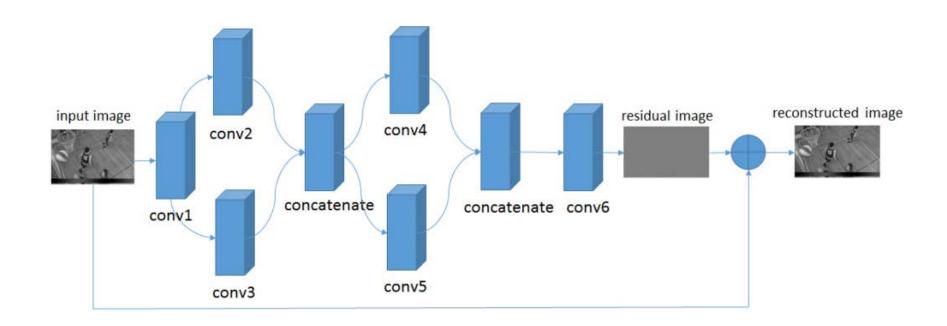




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#### □ 帧内编码后处理

- Post processing, All Intra
- QP: 22, 27, 32, 37



| Class         | Cognopas        | ]     | BD-rate | е     |
|---------------|-----------------|-------|---------|-------|
| Class         | Sequence        | Y (%) | U (%)   | V (%) |
|               | Traffic         | -5.6  | -3.5    | -4.1  |
| Class A       | PeopleOnStreet  | -5.4  | -5.9    | -5.7  |
| Class A       | Nebuta          | -0.9  | -4.9    | -4.1  |
|               | SteamLocomotive | -1.9  | -0.5    | -0.3  |
|               | Kimono          | -2.5  | -1.5    | -1.4  |
|               | ParkScene       | -4.4  | -3.3    | -2.5  |
| Class B       | Cactus          | -4.6  | -3.9    | -6.3  |
|               | BasketballDrive | -2.5  | -3.7    | -5.3  |
|               | BQTerrace       | -2.6  | -3.3    | -3.0  |
|               | BasketballDrill | -6.9  | -5.8    | -6.8  |
| Class C       | BQMall          | -5.1  | -5.3    | -5.3  |
|               | PartyScene      | -3.6  | -4.4    | -4.4  |
|               | RaceHorses      | -4.2  | -6.7    | -11.0 |
|               | BasketballPass  | -5.3  | -4.4    | -6.5  |
|               | BQSquare        | -3.8  | -4.2    | -6.4  |
| Class D       | BlowingBubbles  | -4.9  | -8.4    | -7.9  |
|               | RaceHorses      | -7.6  | -8.5    | -11.5 |
|               | FourPeople      | -7.0  | -5.3    | -5.2  |
| Class E       | Johnny          | -5.9  | -5.0    | -5.5  |
| Class E       | KristenAndSara  | -6.7  | -6.1    | -6.2  |
|               | Class A         | -3.5  | -3.7    | -3.6  |
|               | Class B         | -3.3  | -3.2    | -3.7  |
| Class Summary | Class C         | -5.0  | -5.5    | -6.9  |
|               | Class D         | -5.4  | -6.4    | -8.1  |
|               | Class E         | -6.5  | -5.5    | -5.6  |
| Overall       | All             | -4.6  | -4.7    | -5.5  |

#### **Performance**

Left: HEVC CTC,

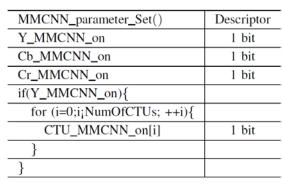
Right: Compare with other networks

| Netw   | ronle   | I     | BD-rate | e     |
|--------|---------|-------|---------|-------|
| Netw   | VOLK    | Y (%) | U (%)   | V (%) |
|        | Class A | 0.9   | 2.1     | 2.1   |
|        | Class B | 1.0   | 3.3     | 4.5   |
| AR-CNN | Class C | -0.6  | 2.6     | 4.0   |
|        | Class D | -0.8  | 1.9     | 2.0   |
|        | Class E | 0.4   | 5.5     | 6.1   |
|        | Overall | 0.2   | 3.0     | 3.7   |
|        | Class A | -2.8  | -3.2    | -3.1  |
|        | Class B | -2.7  | -2.7    | -3.3  |
| VDSR   | Class C | -4.1  | -4.8    | -5.7  |
|        | Class D | -4.4  | -5.6    | -7.3  |
|        | Class E | -5.7  | -5.7    | -6.1  |
|        | Overall | -3.8  | -4.3    | -4.9  |

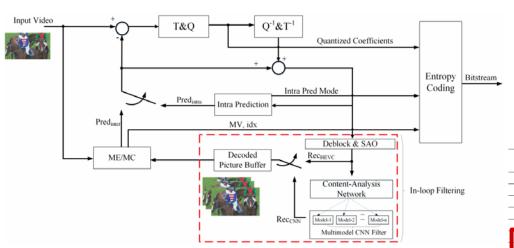
INSTITUTE OF DIGITAL MEDIA, PEKING UNIVERSITY

- □基于内容特性的神经网络环路滤波
  - □ 针对不同内容特性的视频图像训练CNN模型
  - ☐ Content analysis + CNN in-loop filter

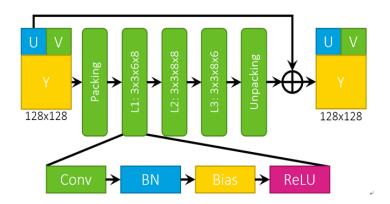
#### 设计CNN环路滤波语法元素



| Sequences | AI    |       |       |       | LDB LDP RA |       |       | RA    |       |       |       |       |
|-----------|-------|-------|-------|-------|------------|-------|-------|-------|-------|-------|-------|-------|
| Sequences | Y     | U     | V     | Y     | U          | V     | Y     | U     | V     | Y     | U     | V     |
| Class A   | -4.7% | -3.3% | -2.6% | -6.7% | -2.6%      | -1.9% | -3.5% | 0.2%  | 0.3%  | -6.6% | -3.4% | -3.0% |
| Class B   | -3.5% | -2.8% | -3.0% | -5.7% | -1.6%      | -2.2% | -4.5% | -0.5% | -1.1% | -6.5% | -2.5% | -2.7% |
| Class C   | -3.4% | -3.5% | -5.0% | -5.0% | -3.4%      | -5.0% | -4.4% | -1.9% | -3.0% | -4.5% | -3.3% | -4.5% |
| Class D   | -3.2% | -4.7% | -6.0% | -3.8% | -1.7%      | -2.6% | -3.5% | -0.8% | -0.9% | -3.3% | -2.6% | -3.6% |
| Class E   | -5.8% | -4.1% | -5.2% | -8.6% | -5.2%      | -5.6% | -7.7% | -1.7% | -0.9% | -9.0% | -4.2% | -5.3% |
| Overall   | -4.1% | -3.7% | -4.1% | -6.0% | -2.9%      | -3.5% | -4.7% | -1.0% | -1.2% | -6.0% | -3.2% | -3.8% |
|           |       |       |       |       |            |       |       |       |       |       |       |       |
|           |       |       |       |       |            |       |       |       |       |       |       |       |

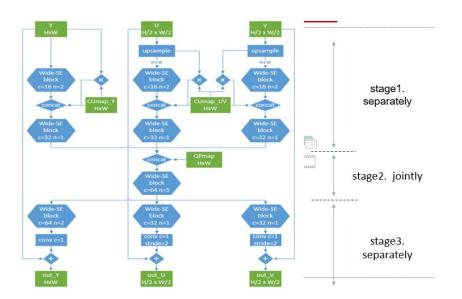


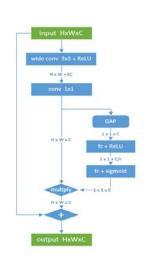
- □ 智能编码与VVC(JVET-N0110)
  - ALF之后
  - 浅层卷积神经网络
  - 亮度分量与色度分量共享网络
  - 帧级开关、CTU级开关、32x32块开关



| _         | ruore s. | resums or t   | ne proposed | CI (II (III ) |               |  |  |  |  |
|-----------|----------|---------------|-------------|---------------|---------------|--|--|--|--|
|           |          | Rando         | om access M | ain10₽        |               |  |  |  |  |
|           |          | Over VTM-4.0₽ |             |               |               |  |  |  |  |
|           | Y↩       | U₽            | V₽          | EncT₽         | <u>DecT</u> ₽ |  |  |  |  |
| Class A1₽ | -2.95%↵  | -8.57%₽       | -13.33% ₽   | 100%₽         | 189%.         |  |  |  |  |
| Class A2₽ | -1.47%∻  | -18.33%₽      | -15.72% ₽   | 100%₽         | 130%₽         |  |  |  |  |
| Class B₽  | -1.52%↩  | -23.99%₽      | -21.70% ₽   | 100%₽         | 148%₽         |  |  |  |  |
| Class C.  | 0.12%₽   | -5.94%₽       | -6.99%₽     | 99%.₽         | 116%.         |  |  |  |  |
| Class E₽  | ₽        | ₽             | ₽           | ₽             | ₽             |  |  |  |  |
| Overall∂  | -1.36%₽  | -14.96%₽      | -14.91% ₽   | 100% ₽        | 142%↵         |  |  |  |  |
| Class D.₽ | ē        | ₽             | ₽           | ė.            | ₽             |  |  |  |  |
| Class F₽  | 42       | ₽             | 43          | 42            | ₽             |  |  |  |  |

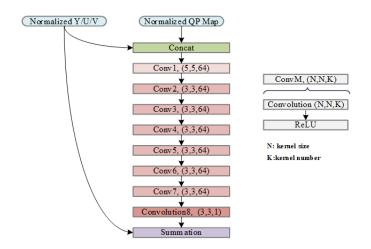
- □ 智能编码与VVC(JVET-N0133)
  - 代替Deblock, SAO, ALF
  - 辅助输入信息:块划分结构和QP
  - SE(Squeeze and Excitation) block





| ę.                    | All Intra Main10 (GPU). |                                     |          |                  |          |  |  |  |
|-----------------------|-------------------------|-------------------------------------|----------|------------------|----------|--|--|--|
| ø                     | Over VTM-4.0 💪          |                                     |          |                  |          |  |  |  |
| φ.                    | Y                       | $U_{\scriptscriptstyle\mathcal{D}}$ | V        | Encl             | DecT_    |  |  |  |
| Class A1              | #VALUE!_                | #VALUE!_                            | #VALUE!_ | #NUM!_           | #NUM!_   |  |  |  |
| Class A2              | #VALUE!_                | #VALUE!                             | #VALUE!_ | #NUM!_           | #NUM!_   |  |  |  |
| Class B <sub>23</sub> | -3.99%_                 | -10.41%                             | -10.75%  | 81%_             | 1762%_   |  |  |  |
| Class C               | -5.92%_                 | -9.56%                              | -12.46%, | 61%_             | 2847%_   |  |  |  |
| Class E               | -7.26% ್ಷ               | -6.89% ್ಷ                           | -9.10%   | 60% ا            | 2451% _  |  |  |  |
| Overall _             | -5.72%                  | -8.95%                              | -10.77%  | 67% <sub>2</sub> | 2353% _  |  |  |  |
| Class D               | -6.24%                  | -12.31%_                            | -16.61%_ | 62%_             | 4510% ے  |  |  |  |
| Class F               | 10.52%                  | 11.89%                              | 6.30% _  | 62%              | 2127% ್ವ |  |  |  |

- □ 智能编码与VVC(JVET-N0169)
  - **■** CNNLF的位置
  - 辅助输入信息: QP Map
  - 并行化:分块滤波



#### Results for CNNF before the SAO

|           | 20000000 |                    |         | _     |         |  |  |  |  |
|-----------|----------|--------------------|---------|-------|---------|--|--|--|--|
|           |          | All Intra Main10 ₽ |         |       |         |  |  |  |  |
|           |          | Over VTM-4.0 ₽     |         |       |         |  |  |  |  |
|           | Y₽       | U₽                 | V₽      | EncT. | DecT₽   |  |  |  |  |
| Overall ₽ | -3.48%₽  | -5.18%₽            | -6.77%₽ | 142%₽ | 38414%₽ |  |  |  |  |

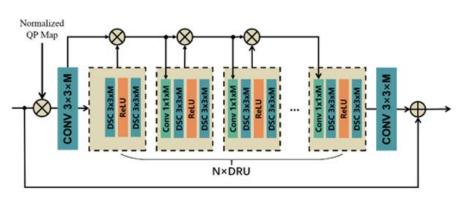
#### Results for CNNF before the ALF with DF and SAO turned off-

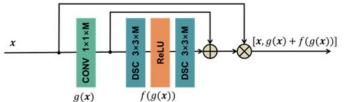
|           | All Intra Main10 ₽ |         |         |        |         |  |  |
|-----------|--------------------|---------|---------|--------|---------|--|--|
|           | Over VTM-4.0 ₽     |         |         |        |         |  |  |
|           | Y₽                 | U₽      | V₽      | EncT.₽ | DecT₽   |  |  |
| Overall ₽ | <b>-</b> 4.65%     | -6.73%₽ | -7.92%₽ | 139%₽  | 37956%₽ |  |  |

Results for CNNF without all the conventional filters

|           | TCCSCITES TO   | CITII WILL | iour un une e  | on ventiona | IIIICI 5 |  |  |
|-----------|----------------|------------|----------------|-------------|----------|--|--|
|           |                | Α          | II Intra Main1 | 0.₽         |          |  |  |
|           | Over VTM-4.0 ₽ |            |                |             |          |  |  |
|           | Y₽             | U₽         | V₽             | EncT.₽      | DecT₽    |  |  |
| Overall ₽ | -4.14%₽        | -5.49%₽    | -6.70%₽        | 140%₽       | 38411%₽  |  |  |

- □ 智能编码与VVC(JVET-N0254)
  - Dense Residual CNN
  - 深度可分离卷积(DSC)减少参数量





|           | All Intra Main10 Over VTM-4.0₽ |                 |         |       |                |  |  |
|-----------|--------------------------------|-----------------|---------|-------|----------------|--|--|
|           | Y₽                             | U₽              | V₽      | EncT₽ | <u>DecT</u> ₽  |  |  |
| Class A1₽ | -0.97%₽                        | -1.64% <i>↩</i> | -2.91%₽ | 114%₽ | 5904%₽         |  |  |
| Class A2₽ | -1.46%₽                        | -2.72%∻         | -1.77%∻ | 107%₽ | 3465%₽         |  |  |
| Class B₽  | -0.93%₽                        | -2.19%₽         | -3.08%₽ | 106%₽ | 3665%₽         |  |  |
| Class C₽  | -1.90%₽                        | -2.34%₽         | -3.33%₽ | 104%₽ | 4520% <i>₽</i> |  |  |
| Class E₽  | -2.57%₽                        | -1.57%₽         | -2.13%₽ | 108%₽ | 7759%₽         |  |  |
| Overall∂  | -1.52%₽                        | -2.12%₽         | -2.73%₽ | 107%₽ | 4667%₽         |  |  |
| Class D₽  | -2.22%₽                        | -0.92%₽         | -3.37%₽ | 103%₽ | 3955%₽         |  |  |

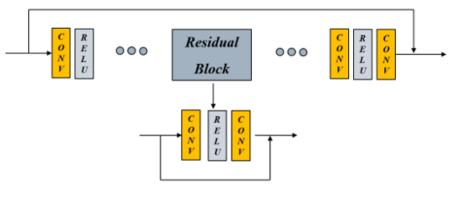
|           | Random Access Main10 Over VTM-4.0₽ |         |          |       |                |  |  |
|-----------|------------------------------------|---------|----------|-------|----------------|--|--|
|           | Y₽                                 | U₽      | V٠       | EncT₽ | DecT₽          |  |  |
| Class A1₽ | -1.27%₽                            | -3.38%₽ | -5.10%₽  | 106%₽ | 6967%₽         |  |  |
| Class A2₽ | -2.21%₽                            | -5.74%₽ | -2.88%∻  | 106%₽ | 6435%₽         |  |  |
| Class B₽  | -1.13%₽                            | -4.73%₽ | -4.55% ↔ | 106%₽ | 7011%₽         |  |  |
| Class C₽  | -1.39%₽                            | -3.63%₽ | -4.36%∻  | 106%₽ | 8110%₽         |  |  |
| Class E₽  | φ.                                 |         | ₽        | ₽     | ₽              |  |  |
| Overall∂  | -1.45%₽                            | -4.37%₽ | -4.27%₽  | 106%₽ | 7156% <i>₽</i> |  |  |
| Class D₽  | -1.39%₽                            | -1.96%₽ | -3.08%₽  | 105%₽ | 4217%₽         |  |  |

|           | Low delay B Main10 Over VTM-4.0₽ |         |         |       |        |   |  |  |
|-----------|----------------------------------|---------|---------|-------|--------|---|--|--|
|           | Y₽                               | U₽      | V       | EncT₽ | DecT₽  | 4 |  |  |
| Class A1₽ | ₽                                | ₽       | ₽       | ₽     | ₽      | 4 |  |  |
| Class A2₽ | ₽                                |         | ₽       | 47    | 4      | 4 |  |  |
| Class B₽  | -1.12%₽                          | -7.24%↔ | -7.12%₽ | 105%₽ | 8387%₽ | 4 |  |  |
| Class C₽  | -1.40%₽                          | -6.97%∻ | -6.45%₽ | 104%₽ | 9894%₽ | 4 |  |  |
| Class E₽  | -2.45%₽                          | -2.80%₽ | -2.95%₽ | 116%₽ | 9434%₽ | 4 |  |  |
| Overall₽  | -1.54%₽                          | -6.04%₽ | -5.86%₽ | 108%₽ | 9127%₽ | 4 |  |  |
| Class D₽  | -1.73%₽                          | -2.75%₽ | -4.92%₽ | 105%₽ | 6881%₽ | 4 |  |  |



- □ 智能编码与AVS3
  - QP分段训练残差网络
  - 代替Deblock, SAO, ALF
  - 帧级开关、CTU级开关

| 호자 (AV)                        | CNN Loop | Filter vs HPM- | 2.4, AI (4K) |
|--------------------------------|----------|----------------|--------------|
| 序列(4K)                         | Y        | Ü              | V            |
| Campfire_3840x2160_30          | -5.73%   | -10.29%        | -2.45%       |
| DaylightRoad2_3840x2160_<br>60 | -7.60%   | -0.67%         | -4.42%       |
| ParkRunning3                   | -3.81%   | -3.73%         | -3.42%       |
| Tango2_3840x2160_60            | -4.52%   | -3.41%         | -5.14%       |
| 平均性能                           | -5,41%   | -4.53%         | -3.85%       |



| $\Delta \mathbf{I}$   | 19.  | _1\/    | 1/2 | 73  | $\cap$ |
|-----------------------|------|---------|-----|-----|--------|
| $\boldsymbol{\Gamma}$ | , D. | - T V J |     | י ט | v      |

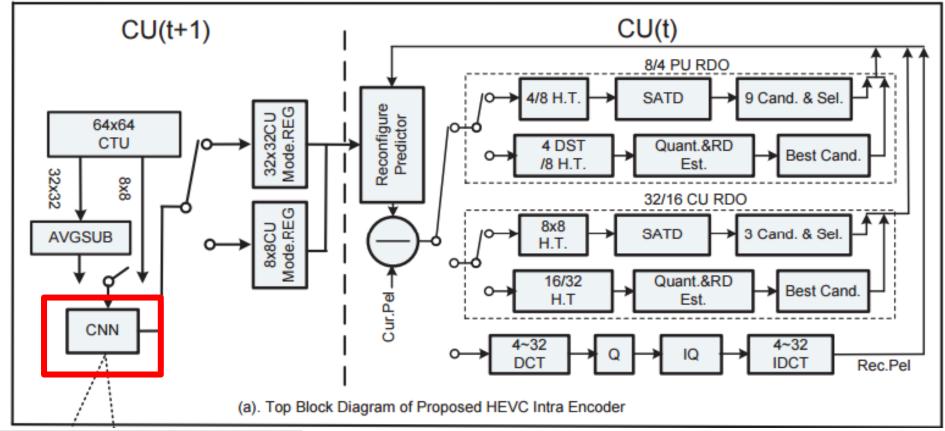
| ⇒Di (roco = zoo )                | CNN Loop Filter vs HPM-2.4, Al |         |         | CNN Loop Filter vs HPM-2.4, RA |        |        |
|----------------------------------|--------------------------------|---------|---------|--------------------------------|--------|--------|
| 序列(1080p 720p)                   | Y                              | U       | v       | Y                              | U      | v      |
| BasketballDrive_1920x1080<br>_50 | -8.03%                         | -11.57% | -15.57% | -2.51%                         | -2.59% | -0.11% |
| Cactus_1920x1080_50              | -7.69%                         | -6.37%  | -8.04%  | -6.43%                         | -6.70% | -7.93% |
| City_1280x720_60                 | -3.61%                         | -4.28%  | -3.17%  | -2.53%                         | -5.55% | -6.00% |
| Crew_1280x720_60                 | -4.12%                         | -2.67%  | -4.06%  | -3.12%                         | -6.50% | -9.10% |
| Vidyo1_1280x720_60               | -11.29%                        | -4.65%  | -8.56%  | -8.87%                         | -5.88% | -8.63% |
| Vidyo3_1280x720_60               | -8.45%                         | -3.19%  | -0.97%  | -1.53%                         | -2.94% | -6.15% |
| 平均性能                             | -7.20%                         | -5.45%  | -6.74%  | -4.17%                         | -5.03% | -6.32% |

- □ 基于CNN的CU模式决策
  - 1. 分析CU块纹理
  - 2. 减少CU模式的数目
  - 3. 引入QP作为辅助信息

- □ 实现
  - Adding FastCUMode()
  - into xCompressCU

```
function XCOMPRESSCU(*pCurCU)
    m \leftarrow \text{FastCUMode}(\text{pCurCU}, QP, QS)
    if m \neq SPLIT then
        C_{2N} \leftarrow \text{CHECKINTRA}(\text{pCurCU})
    else
        C_{2N} \leftarrow \infty
    end if
    if m \neq \text{HOMO} and curD < maxD then
        C_N \leftarrow 0
        for i = 0 to 3 do
             pSubCU_i \leftarrow pointer to SubCU_i
             C_N \leftarrow C_N + \text{XCompressCU}(\text{pSubCU}_i)
         end for
    else
        C_N \leftarrow \infty
    end if
    CheckBestMode(C_{2N}, C_N)
end function
```

- □ HEVC Intra 硬件编码器实现
  - Big / Small CU pipeline

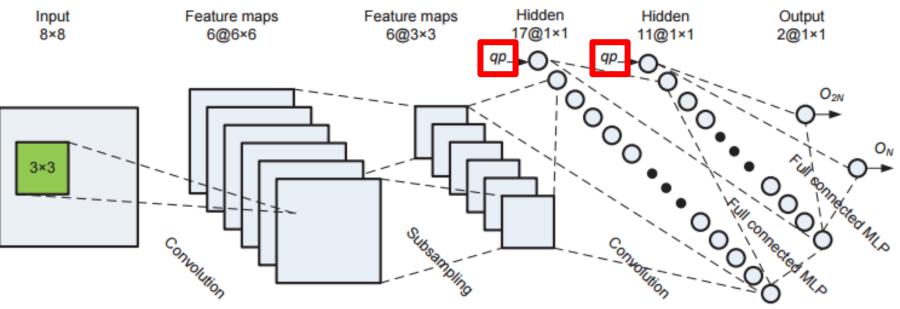




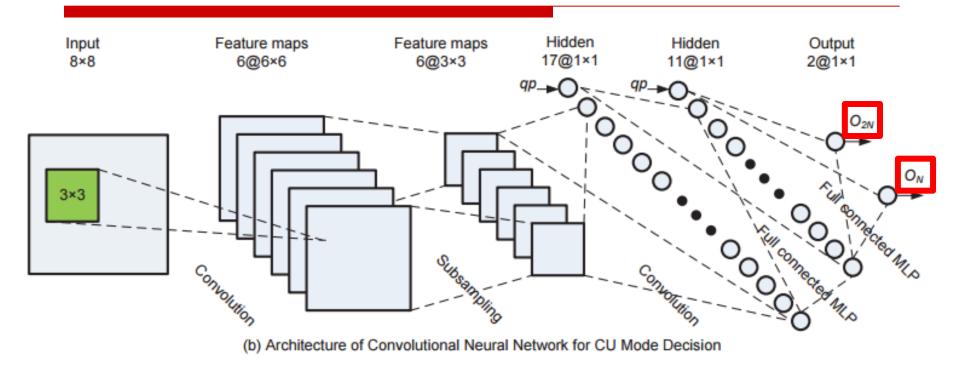
Liu Z, Yu X, Chen S, et al. CNN oriented fast HEVC intra CU mode decision. ISCAS 2016: 2270-2273.

#### □ 使用类似LeNet结构

#### Taking QP into consideration

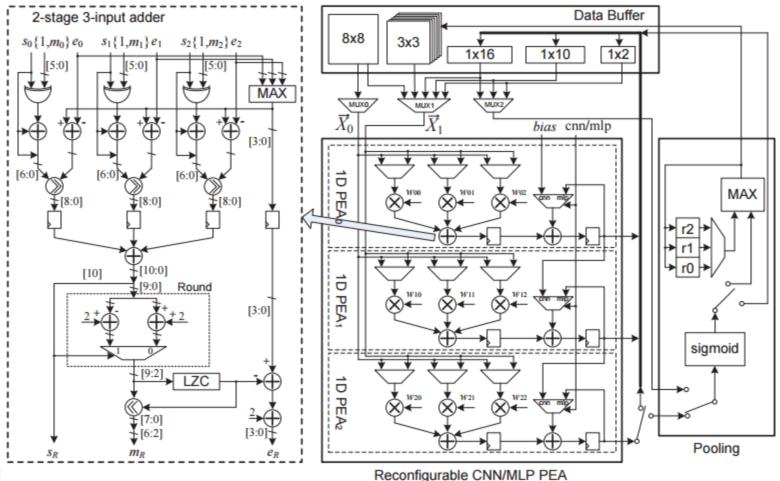


(b) Architecture of Convolutional Neural Network for CU Mode Decision



- □ 将编码模式决策建模为二分类问题
  - 预测当前编码单元是否划分

#### □ VLSI 设计CNN加速模块



#### □ 性能对比

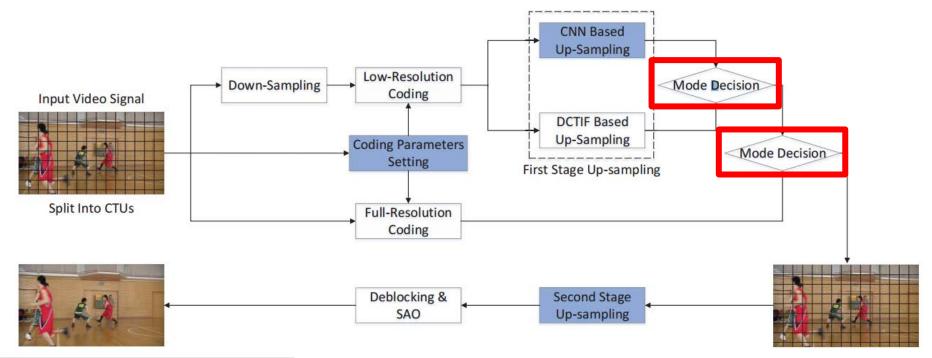
■ 63% time save with 2.7% loss in BDBR

Table III: Performance Comparison between Proposed Solution and Existing Algorithms

| Algorithm        | $\Delta T_{\rm CMD}[\%]$ | $\Delta T_{\rm PMD} [\%]$ | BDBR[%] | $\Delta T[\%]$ | VLSI |
|------------------|--------------------------|---------------------------|---------|----------------|------|
| [3]              | $50$ - $\alpha$          | $\alpha$                  | 0.7     | 50             | No   |
| [5] <sup>†</sup> | 26                       | 45                        | 1.0     | 60             | No   |
| [6]              | 52                       | 0                         | 0.8     | 52             | No   |
| [7] <sup>†</sup> | 52                       | 5                         | 5.1     | 57             | Yes  |
| [8]              | 62.                      | 0                         | 4.5     | 62.            | Yes  |
| Proposed         | 63                       | 0                         | 2.7     | 63             | Yes  |

indicates that class F sequences were not tested.

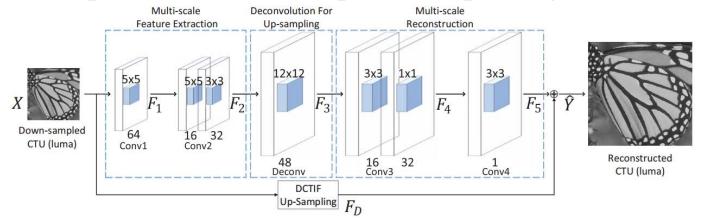
- □ CTU级处理
  - 两级RDO
    - □ 1. 是否采用变分辨率编码
    - □ 2. 上采样模块使用DCT插值或CNN



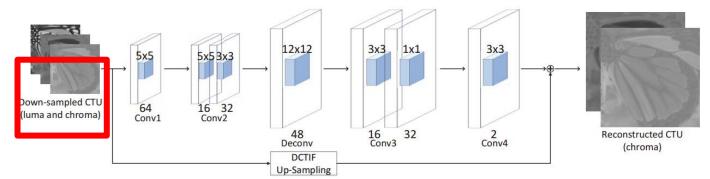


Li Y, Liu D, Li H, Li L, Wu F. Convolutional Neural Network-Based Block Up-sampling for Intra Frame Coding.

- □ 亮度分量网络
  - Input: low resolution patch, output: high resolution



□ 色度分量处理: 使用亮度作为引导

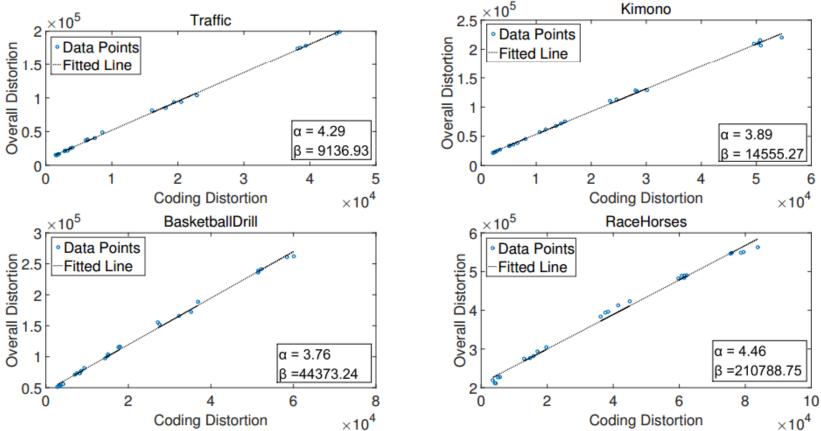




Li Y, Liu D, Li H, Li L, Wu F. Convolutional Neural Network-Based Block Up-sampling for Intra Frame Coding.

#### □ 下采样后应调整QP

■ 回归得到原始QP与下采样QP系数αβ





Li Y, Liu D, Li H, Li L, Wu F. Convolutional Neural Network-Based Block Up-sampling for Intra Frame Coding.

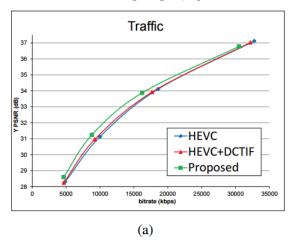
#### □ 测试条件

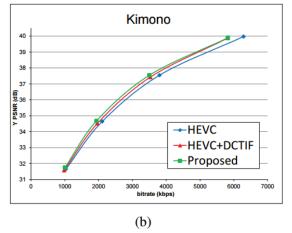
**Q**p: 32, 37, 42, 47

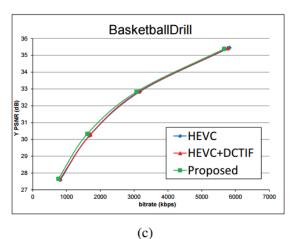
| Class Sequence |                 | BD-Rate (Anchored on HEVC) |        |        |        | BD-Rate (Anchored on HEVC+DCTIF) |        |        |        |
|----------------|-----------------|----------------------------|--------|--------|--------|----------------------------------|--------|--------|--------|
| Class          | Sequence        | Y                          | U      | V      | Y SSIM | Y                                | U      | V      | Y SSIM |
|                | Traffic         | -10.1%                     | -3.5%  | 6.0%   | -12.9% | -8.0%                            | -13.2% | -2.6%  | -7.9%  |
| Class A        | PeopleOnStreet  | -9.7%                      | -14.8% | -14.5% | -12.9% | -8.5%                            | -20.4% | -18.5% | -9.7%  |
| Class A        | Nebuta          | -2.0%                      | -22.0% | 3.1%   | -4.4%  | -1.7%                            | -22.5% | 1.6%   | -3.6%  |
|                | SteamLocomotive | -1.7%                      | -27.7% | -25.4% | -6.1%  | -1.2%                            | -34.2% | -25.6% | -2.8%  |
|                | Kimono          | -7.7%                      | -5.5%  | 18.8%  | -9.6%  | -3.4%                            | -25.9% | -4.3%  | -3.4%  |
|                | ParkScene       | -7.1%                      | -14.4% | -2.3%  | -11.3% | -5.0%                            | -25.2% | -14.6% | -6.6%  |
| Class B        | Cactus          | -6.6%                      | -2.5%  | 8.3%   | -10.0% | -5.0%                            | -6.5%  | 0.9%   | -6.7%  |
|                | BQTerrace       | -3.7%                      | -7.6%  | -9.1%  | -9.6%  | -3.1%                            | -8.2%  | -7.1%  | -6.5%  |
|                | BasketballDrive | -6.1%                      | -1.2%  | 3.2%   | -10.8% | -3.4%                            | -5.8%  | -2.5%  | -3.8%  |
|                | BasketballDrill | -4.9%                      | 4.5%   | 8.1%   | -7.9%  | -4.0%                            | 4.9%   | 2.1%   | -6.6%  |
| Class C        | BQMall          | -2.9%                      | -7.2%  | -7.2%  | -6.2%  | -2.3%                            | -10.6% | -9.1%  | -5.3%  |
| Class C        | PartyScene      | -1.0%                      | -5.1%  | -1.6%  | -4.0%  | -1.0%                            | -5.5%  | -3.2%  | -3.6%  |
|                | RaceHorsesC     | -6.7%                      | 4.6%   | 7.5%   | -10.7% | -6.0%                            | 1.9%   | 3.9%   | -8.6%  |
|                | BasketballPass  | -2.0%                      | -3.7%  | 9.2%   | -4.3%  | -2.3%                            | -7.5%  | 12.3%  | -4.4%  |
| Class D        | BQSquare        | -0.9%                      | -0.6%  | -21.1% | -1.4%  | -0.5%                            | 1.7%   | -16.7% | -1.2%  |
| Class D        | BlowingBubbles  | -3.2%                      | 3.1%   | -8.0%  | -5.3%  | -1.7%                            | 0.5%   | -9.6%  | -3.8%  |
|                | RaceHorses      | -9.9%                      | 7.5%   | 6.4%   | -12.6% | -9.6%                            | 5.0%   | 6.6%   | -11.1% |
|                | FourPeople      | -7.2%                      | -10.5% | -11.0% | -11.0% | -7.2%                            | -14.7% | -14.5% | -9.5%  |
| Class E        | Johnny          | -9.0%                      | -3.2%  | -3.2%  | -11.1% | -7.1%                            | -6.0%  | -8.3%  | -5.6%  |
|                | KristenAndSara  | -6.8%                      | -11.2% | -11.1% | -13.0% | -5.3%                            | -8.4%  | -10.6% | -8.2%  |
|                | Fountains       | -4.0%                      | -12.9% | -11.2% | -7.4%  | -2.0%                            | -16.1% | -9.2%  | -2.0%  |
|                | Runners         | -11.2%                     | 22.8%  | -0.1%  | -12.4% | -7.0%                            | 0.9%   | -13.7% | -6.0%  |
| Class UHD      | Rushhour        | -8.5%                      | 4.4%   | 1.8%   | -10.3% | -3.2%                            | -9.2%  | -9.5%  | -3.0%  |
|                | TrafficFlow     | -12.7%                     | -11.7% | -5.8%  | -12.7% | -6.9%                            | -17.3% | -11.9% | -5.6%  |
|                | CampfireParty   | -8.4%                      | -10.8% | -0.8%  | -9.5%  | -6.5%                            | -10.8% | -5.0%  | -6.4%  |
|                | of Classes A-E  | -5.5%                      | -6.0%  | -2.2%  | -8.8%  | -4.3%                            | -10.0% | -6.0%  | -5.9%  |
| Average        | of Class UHD    | -9.0%                      | -1.6%  | -3.2%  | -10.5% | -5.1%                            | -10.5% | -9.9%  | -4.6%  |

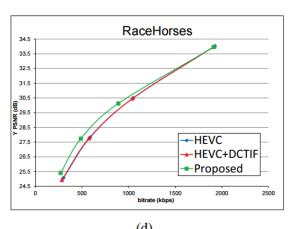


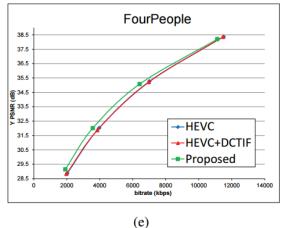
#### □ RD-曲线

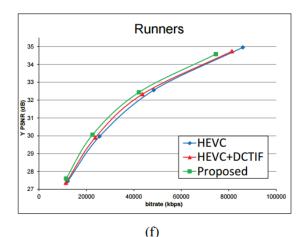






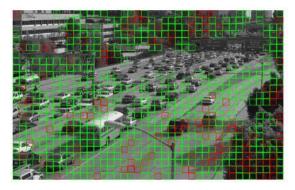


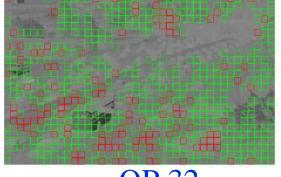


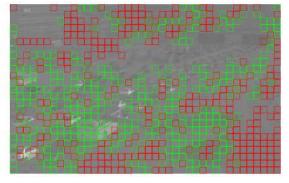


#### □ 算法命中率

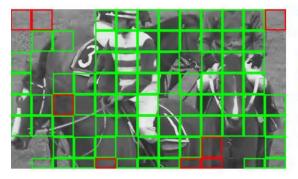
■ 绿色: 下采样编码 + CNN, 红色: 上采样编码 + DCTIF



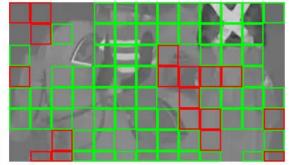




**QP 32** 







## 目录

- □ 概述
- □ 神经网络视频编码历史
- □ 深度学习视频编码进展
- □ 展望

#### **Future Works**

- □ 深度学习为视频编码性能提升提供了新的思路
  - 未来可期
  - 有"大货"
  - 为什么能带来性能的明显提升值得进行理论探索
- □ 深度学习进入视频编码标准尚需进一步探索

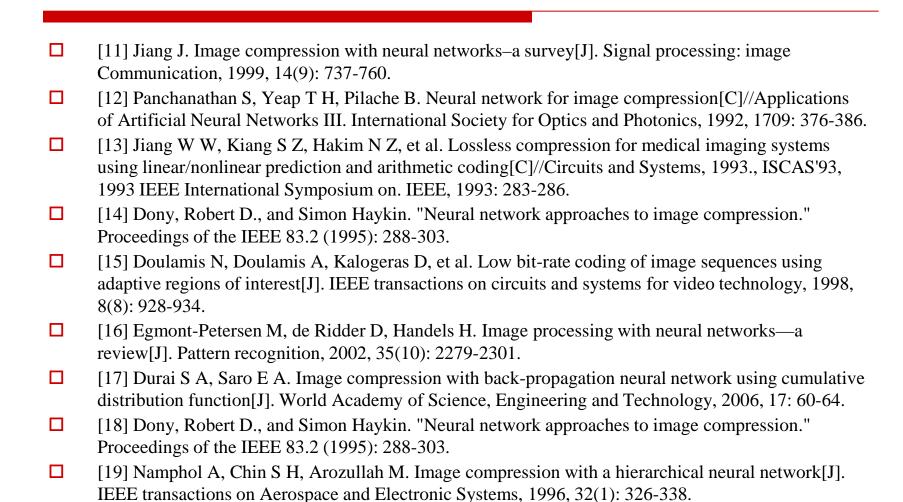
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