

# SCXML

## State Chart XML

A superset of different dialects

# State Chart XML (SCXML): State Machine Notation for Control Abstraction

W3C Recommendation 1 September 2015

**This version:**

<http://www.w3.org/TR/2015/REC-scxml-20150901/>

**Latest version:**

<http://www.w3.org/TR/scxml/>

**Previous version:**

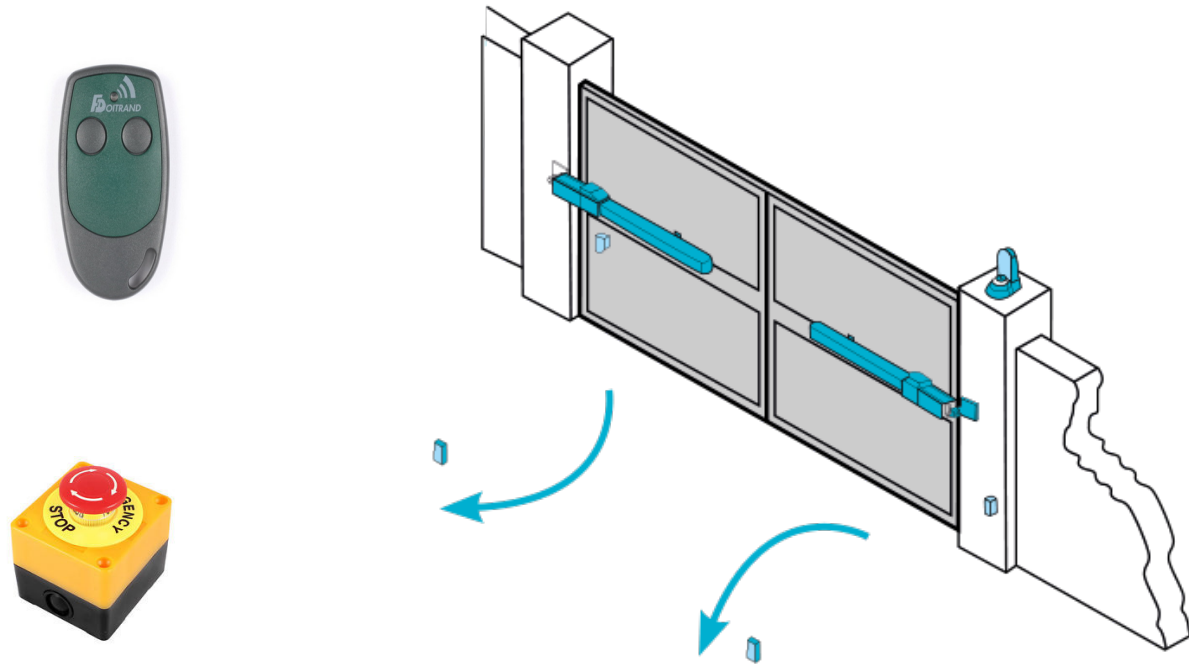
<http://www.w3.org/TR/2015/PR-scxml-20150430/>

**Editors:**

Jim Barnett, Genesys (Editor-in-Chief)  
Rahul Akolkar, IBM  
RJ Auburn, Voxeo  
Michael Bodell, (until 2012, when at Microsoft)  
Daniel C. Burnett, Voxeo  
Jerry Carter, (until 2008, when at Nuance)  
Scott McGlashan, (until 2011, when at HP)  
Torbjörn Lager, Invited Expert  
Mark Helbing, (until 2006, when at Nuance)  
Rafah Hosn, (until 2008, when at IBM)  
T.V. Raman, (until 2005, when at IBM)  
Klaus Reifenrath, (until 2006, when at Nuance)  
No'am Rosenthal, (until 2009, when at Nokia)  
Johan Roxendal, Invited Expert

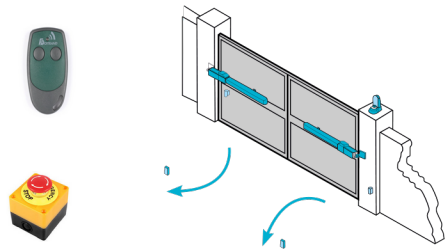
# Running Example

- We want to model the controller of an entry door by using a **FSM**.

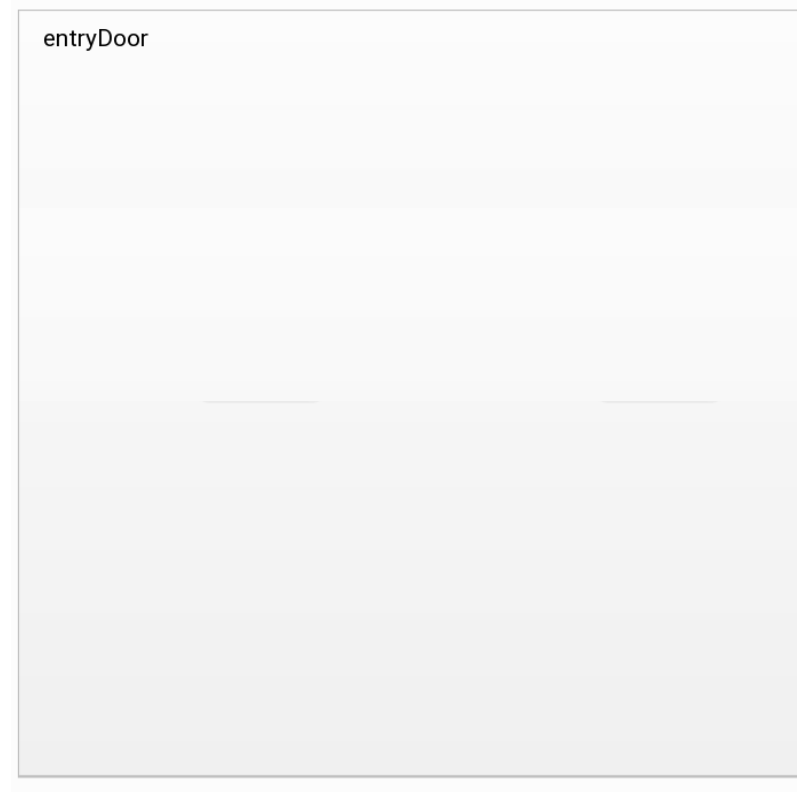


# Running Example

- We want to model the controller of an entry door by using a **FSM**.



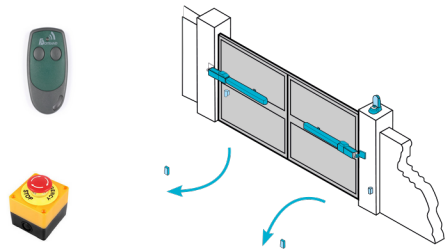
$Q$  is a set of State



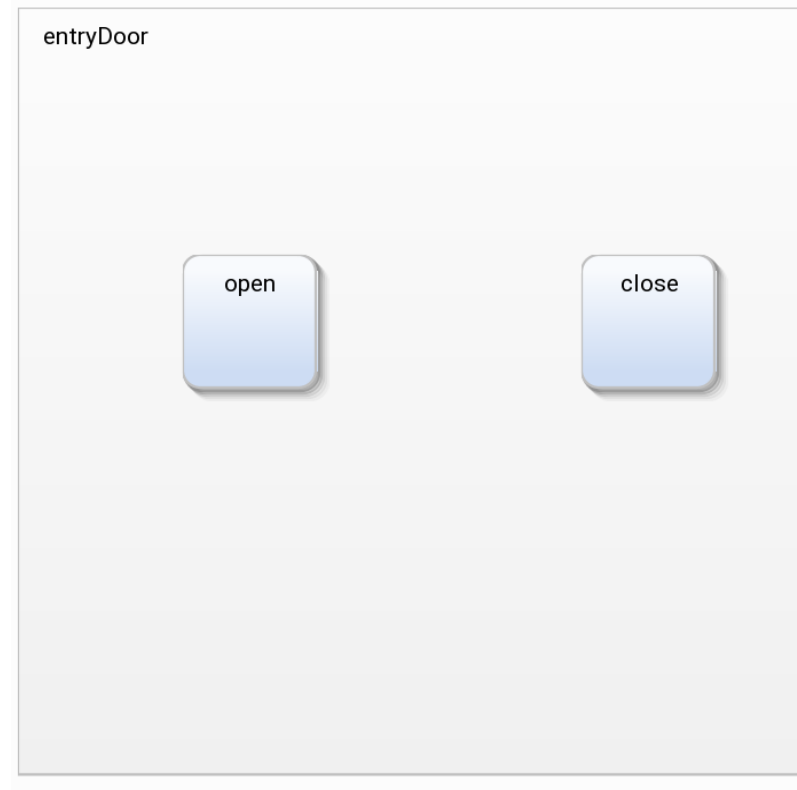
A **finite state transducer** is defined by  $\langle Q$  >

# Running Example

- We want to model the controller of an entry door by using a **FSM**.



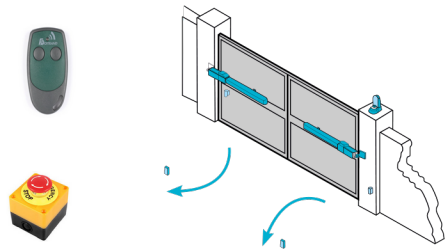
$Q$  is a set of State



A **finite state transducer** is defined by  $\langle Q \quad \quad \quad \rangle$

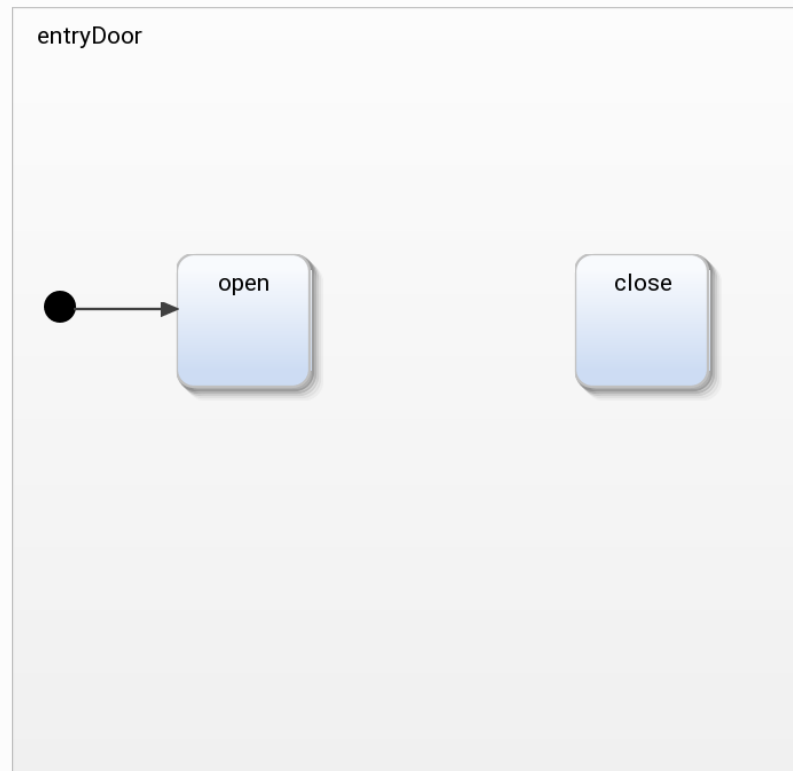
# Running Example

- We want to model the controller of an entry door by using a FSM.



$Q$  is a set of State

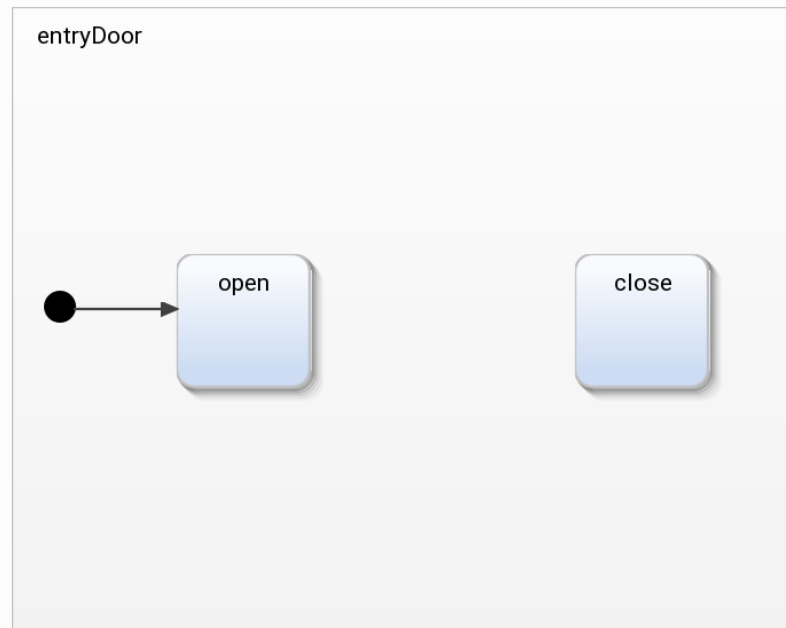
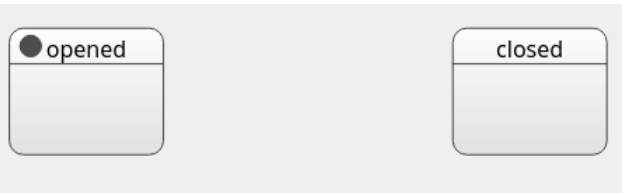
$q_0 \in Q$  is the initial state



A finite state transducer is defined by  $\langle Q, q_0, \dots \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.



$Q$  is a set of State

$q_0 \in Q$  is the initial state

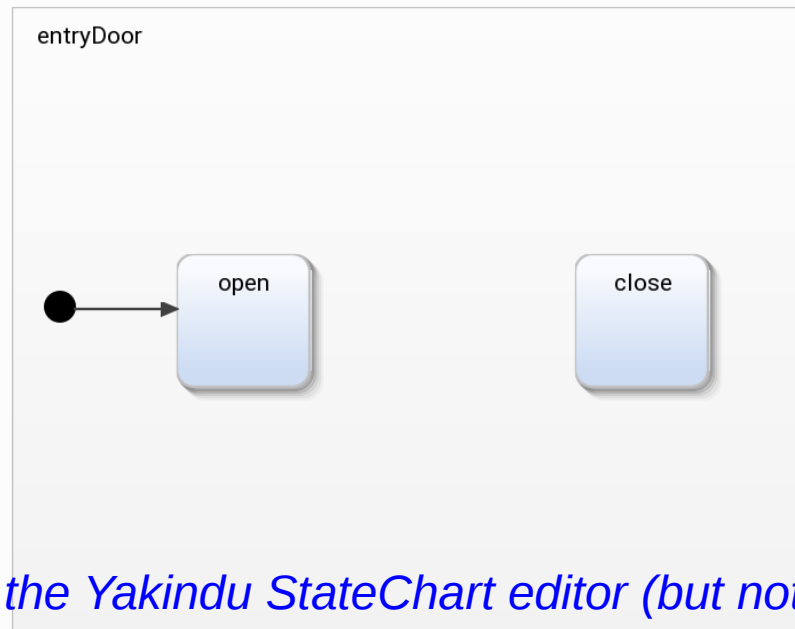
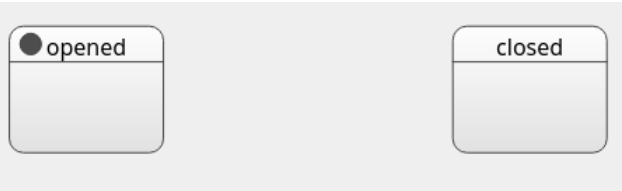
- The only difference between the `<initial>` element and the 'initial' attribute is that the `<initial>` element contains a `<transition>` element which may in turn contain executable content which will be executed before the default state is entered. If the 'initial' attribute is specified instead, the specified state will be entered, but no executable content will be executed.
- (If neither the `<initial>` child or the 'initial' element is specified, **the default initial state is the first child state in document order**)

Taken from the official standard: <https://www.w3.org/TR/scxml/>

A finite state transducer is defined by  $\langle Q, q_0, \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.



$Q$  is a set of State

$q_0 \in Q$  is the initial state

*supported in the Yakindu StateChart editor (but not in many other tools)*

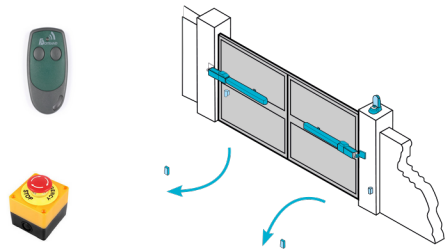
- The only difference between the `<initial>` element and the 'initial' attribute is that the `<initial>` element contains a `<transition>` element which *may in turn contain executable content which will be executed before the default state is entered*. If the 'initial' attribute is specified instead, the specified state will be entered, but no executable content will be executed.
- (If neither the `<initial>` child or the 'initial' element is specified, **the default initial state is the first child state in document order**)

A finite state transducer is defined by  $\langle Q, q_0, \rangle$



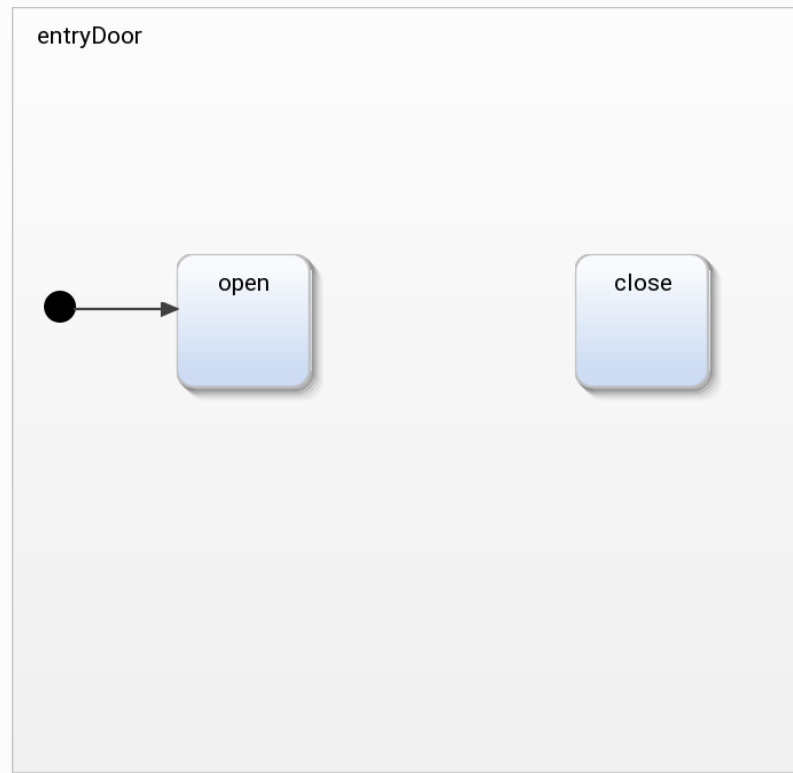
# Running Example

- We want to model the controller of an entry door by using a FSM.



$Q$  is a set of State

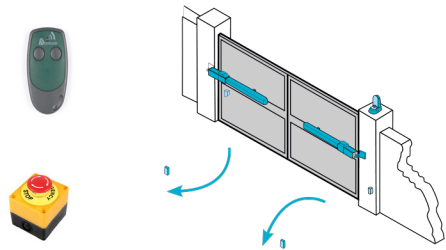
$q_0 \in Q$  is the initial state



A finite state transducer is defined by  $\langle Q, q_0, \dots \rangle$

# Running Example

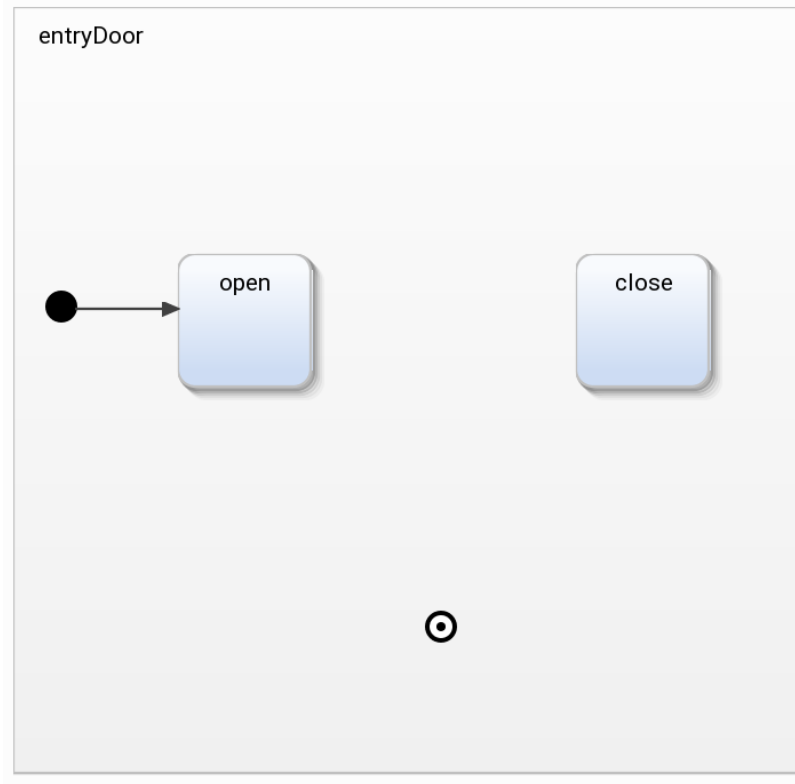
- We want to model the controller of an entry door by using a FSM.



$Q$  is a set of State

$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \quad \rangle$

# Running Example

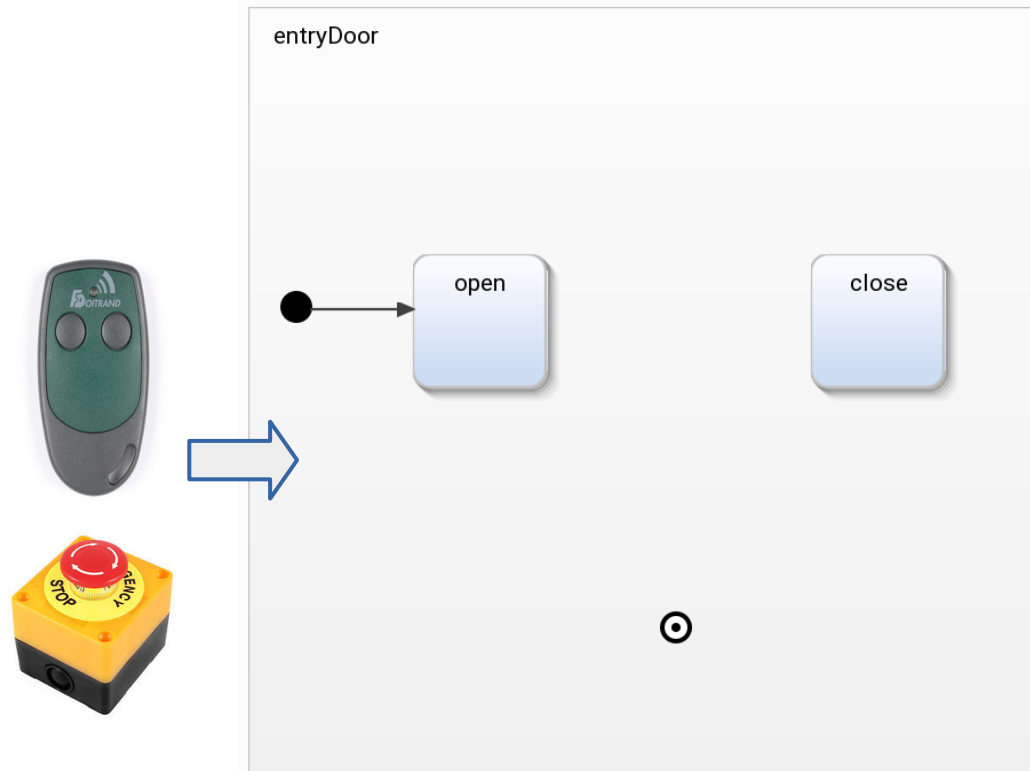
- We want to model the controller of an entry door by using a FSM.

$Q$  is a set of State

$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \delta, \lambda \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.

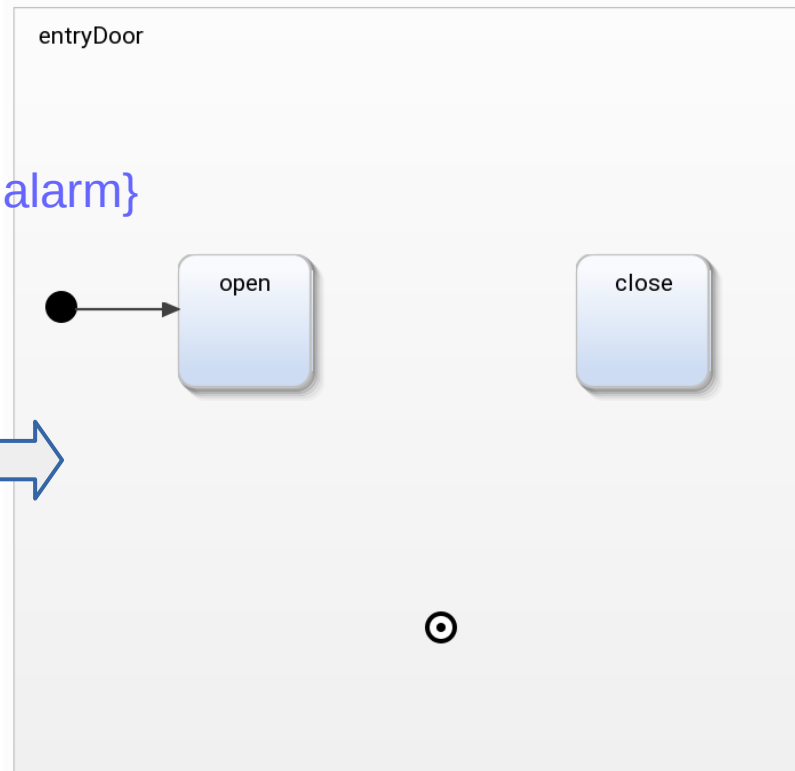
$Q$  is a set of State

$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \delta, \lambda \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

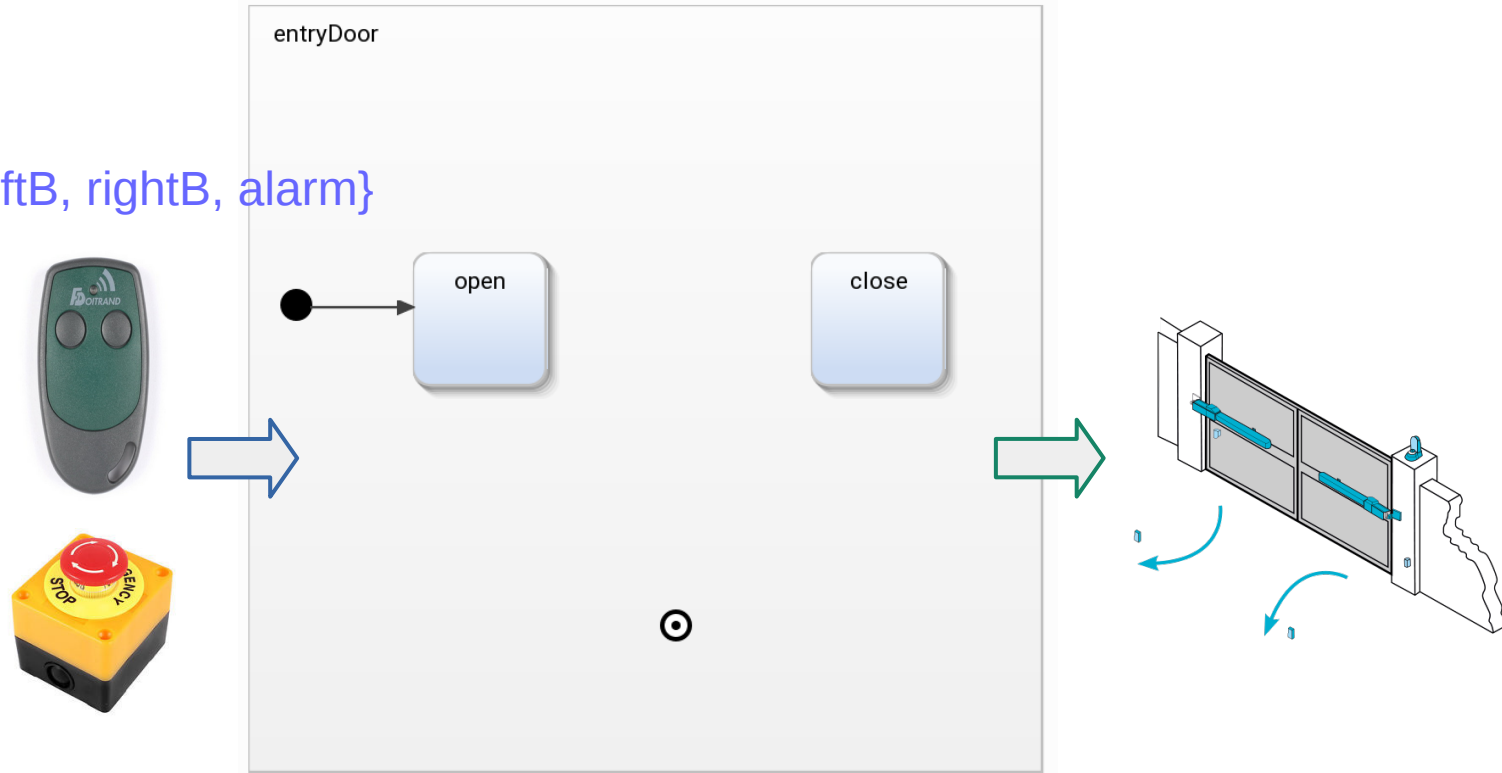
$Q$  is a set of State

$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$\Sigma_O$  is the output alphabet



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$

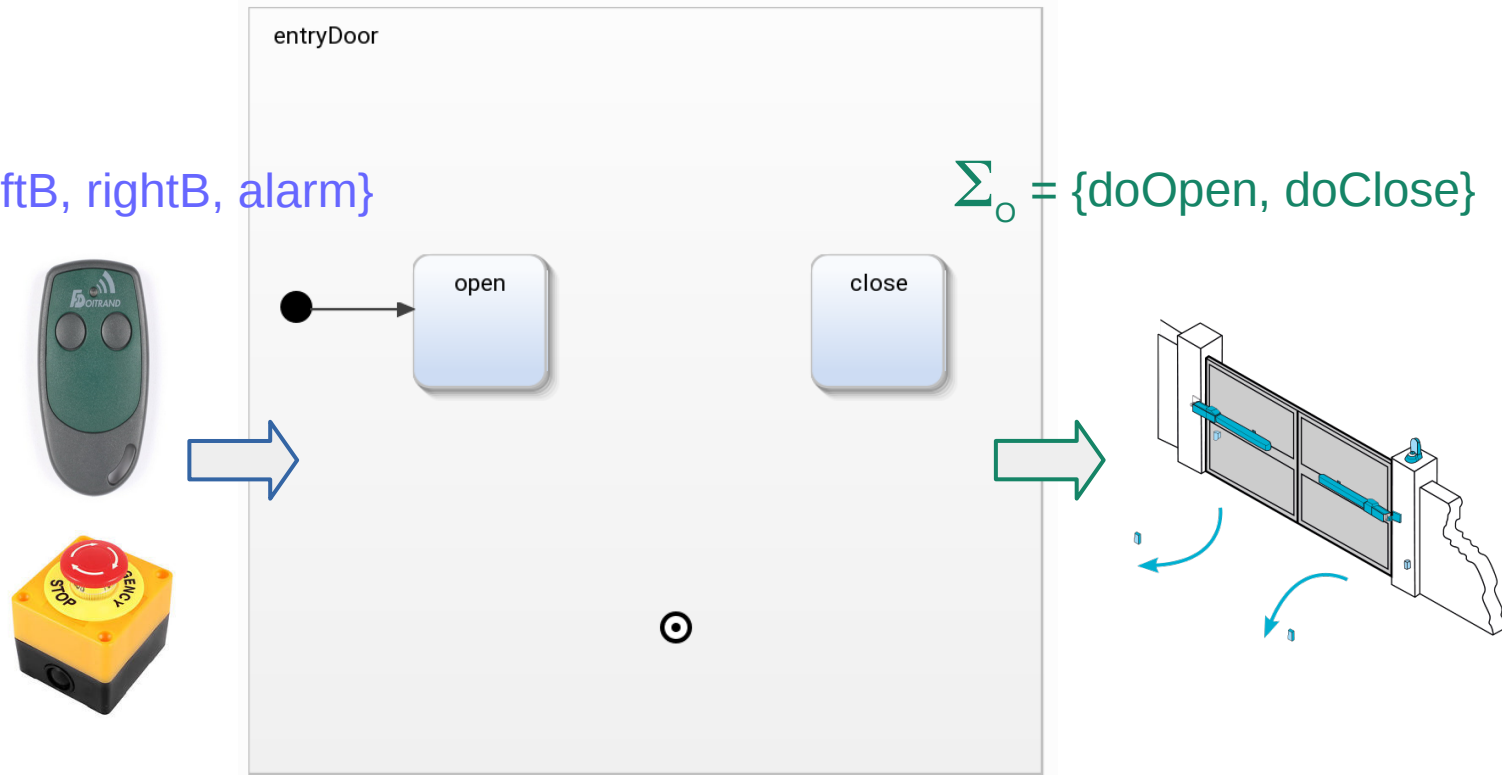
$Q$  is a set of State

$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$\Sigma_O$  is the output alphabet



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$

$Q$  is a set of State

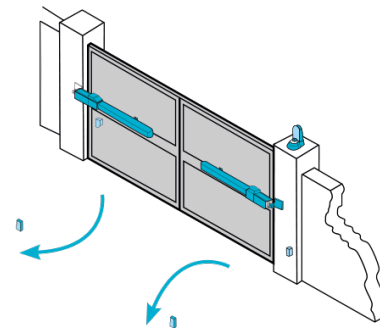
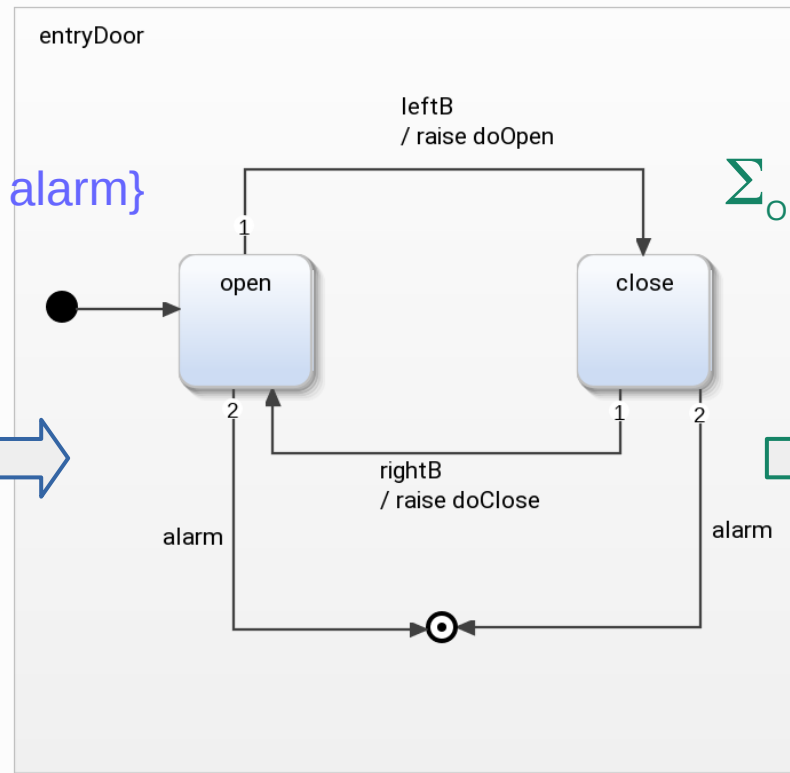
$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$\Sigma_O$  is the output alphabet

$$\delta \stackrel{\text{def}}{=} Q \times \Sigma_I \times \Sigma_O \times Q$$



$$\delta = \{ \langle \text{opened}, \text{leftB}, \text{doClose}, \text{closed} \rangle, \langle \text{closed}, \text{rightB}, \text{doOpen}, \text{opened} \rangle, \langle \text{opened}, \text{alarm}, ?, \text{final} \rangle, \langle \text{closed}, \text{alarm}, ?, \text{final} \rangle \}$$

A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$

$Q$  is a set of State

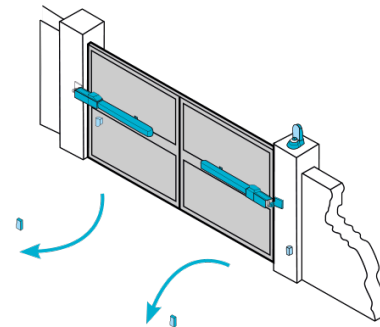
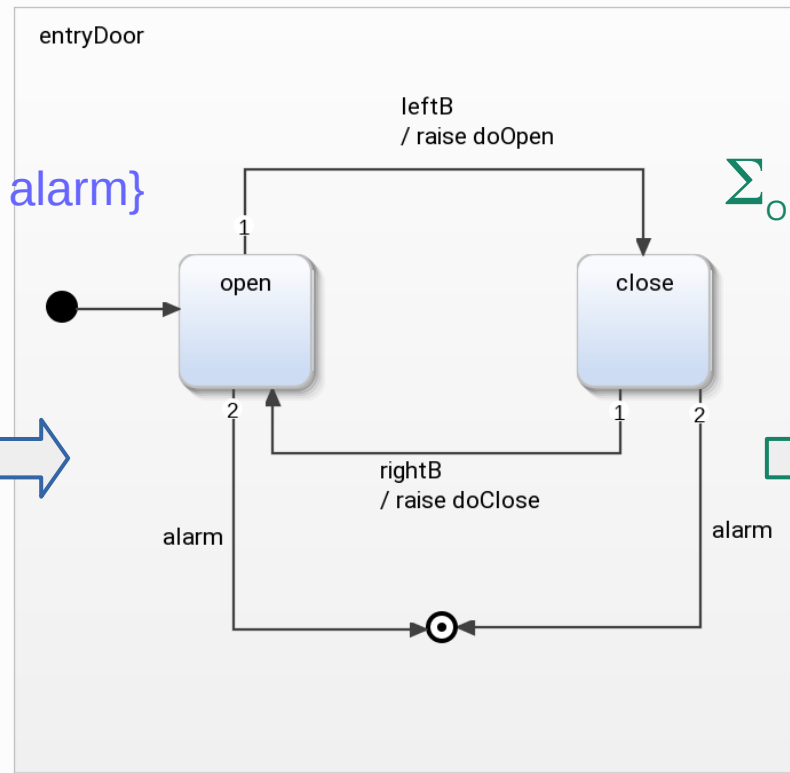
$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$\Sigma_O$  is the output alphabet

$$\delta \stackrel{\text{def}}{=} Q \times \Sigma_I \times \Sigma_O \times Q$$



$$\delta = \{ \langle \text{opened}, \text{leftB}, \text{doClose}, \text{closed} \rangle, \langle \text{closed}, \text{rightB}, \text{doOpen}, \text{opened} \rangle, \langle \text{opened}, \text{alarm}, ?, \text{final} \rangle, \langle \text{closed}, \text{alarm}, ?, \text{final} \rangle \}$$

A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$



# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$

$Q$  is a set of State

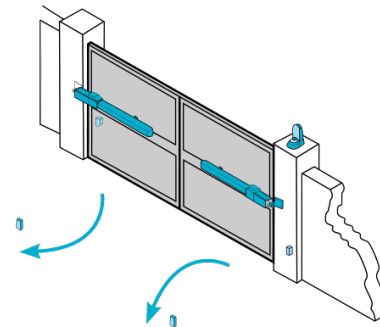
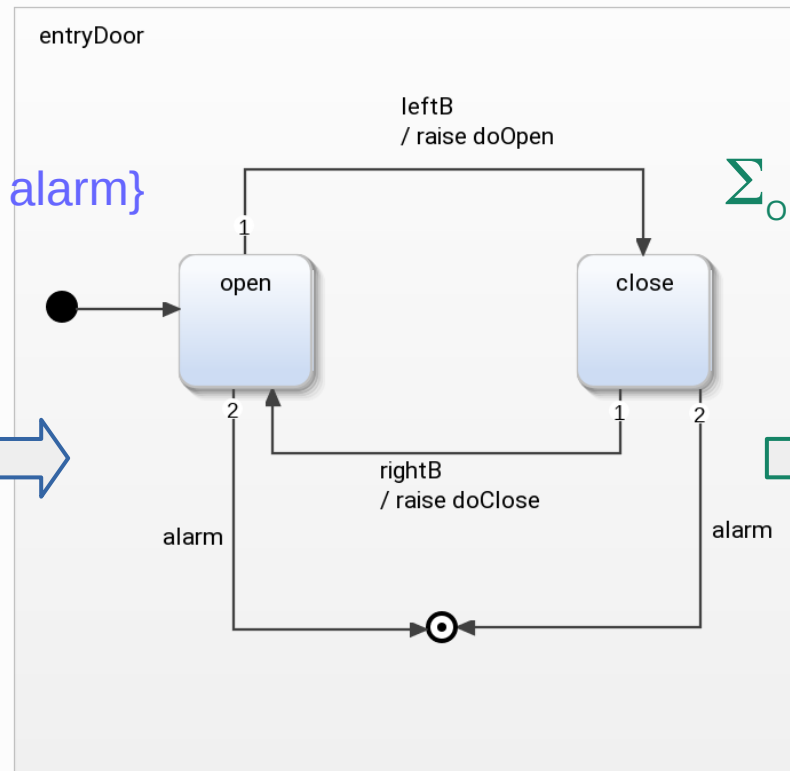
$q_0 \in Q$  is the initial state

$\mathcal{F}$  is the set of final states

$\Sigma_I$  is the input alphabet

$\Sigma_O$  is the output alphabet

$$\delta \stackrel{\text{def}}{=} Q \times (\Sigma_I \cup \{\epsilon\}) \times (\Sigma_O \cup \{\epsilon\}) \times Q$$

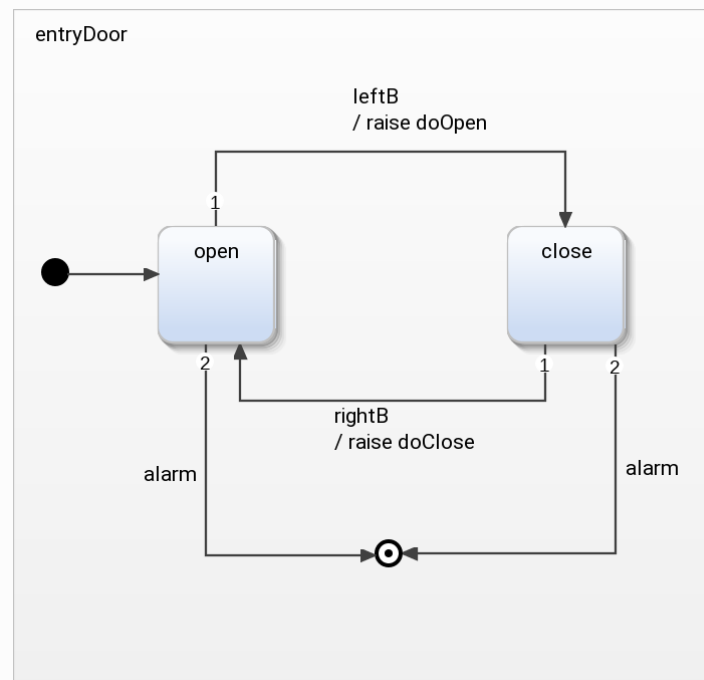


$$\delta = \{ \langle \text{opened}, \text{leftB}, \text{doClose}, \text{closed} \rangle, \langle \text{closed}, \text{rightB}, \text{doOpen}, \text{opened} \rangle, \langle \text{opened}, \text{alarm}, \epsilon, \text{final} \rangle, \langle \text{closed}, \text{alarm}, \epsilon, \text{final} \rangle \}$$

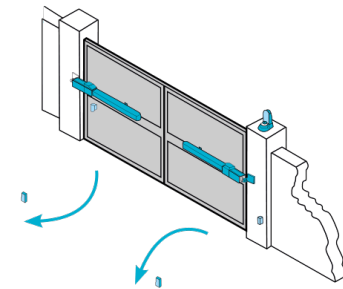
A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



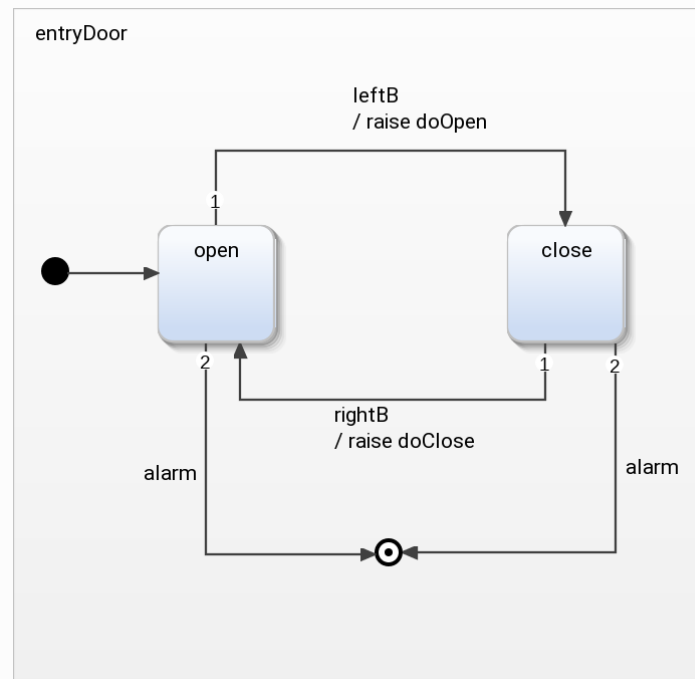
$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



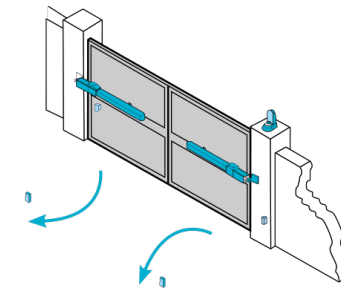
A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



Similarities with the automata studied in *LFA*:

- It is a mean to represents the, possibly infinite, set of “meaningful” words in input of the system
- It is possible to compose automaton together (we’ll see it later)

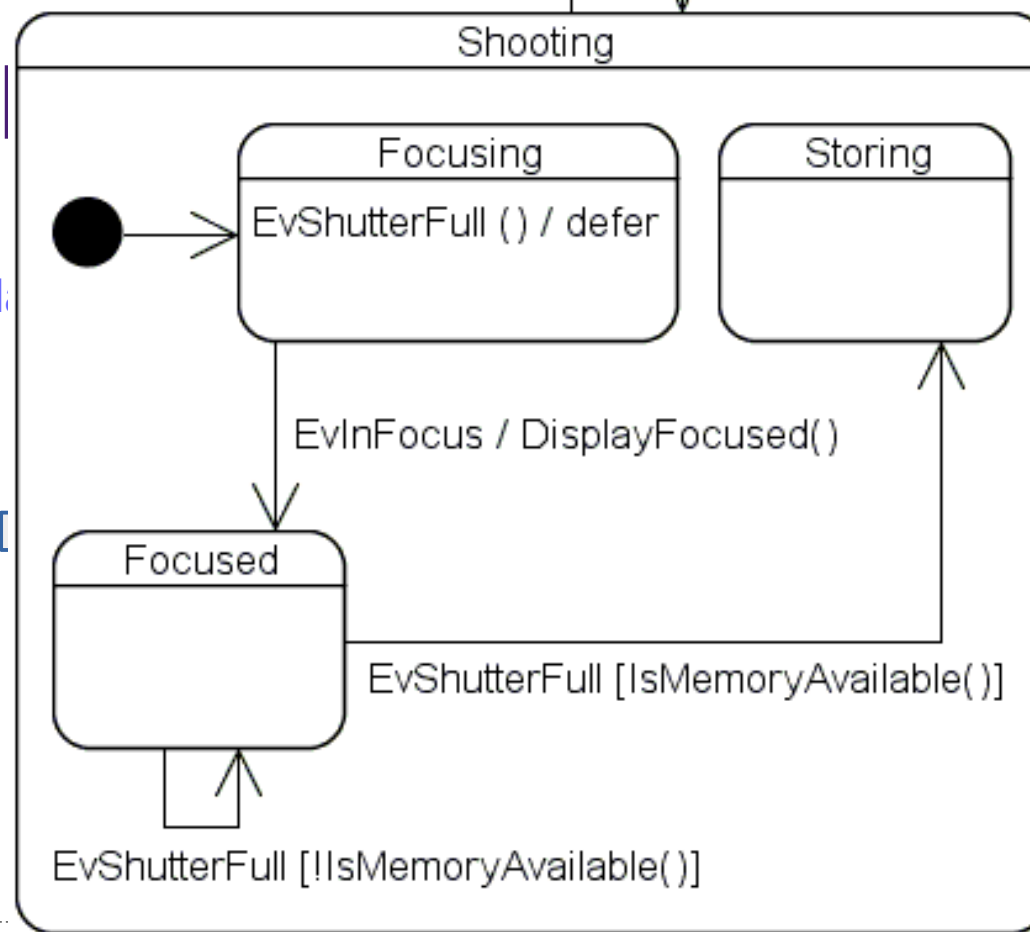
Differences with the automata studied in *LFA*:

- We distinguish the input and the output alphabets
- It is seldom used to reason on languages but rather to structure and reason on control code.

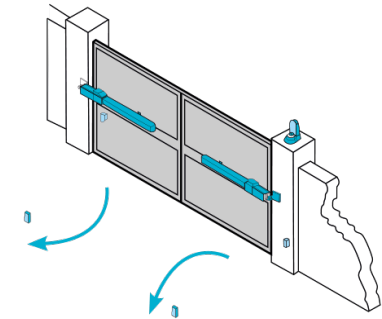
A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

# Running

$$\Sigma_I = \{\text{leftB, rightB, al}\}$$



[doOpen, doClose]

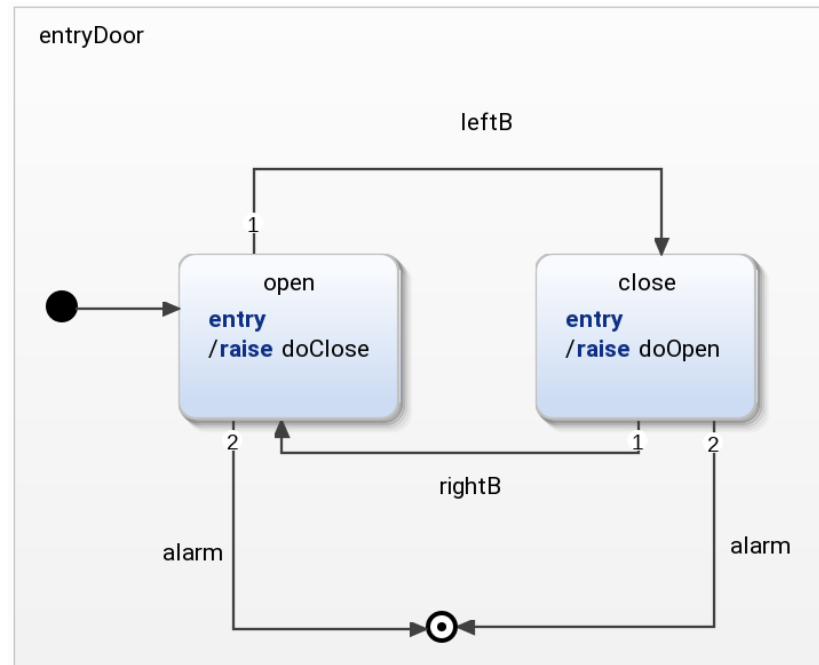


A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

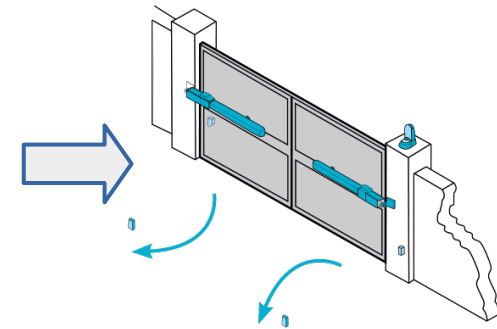
→ note 1: pragmatically in executable FSMs,  $\Sigma_I$  is often a set of **events** and  $\Sigma_O$  is a set of **Actions** (for instance the sending of an event, the call to a method, etc).

# Running Example

$$\Sigma_I = \{\text{open}, \text{close}, \text{stop}\}$$



$$\Sigma_o = \{\text{doOpen}, \text{doClose}\}$$



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_o, \delta \rangle$

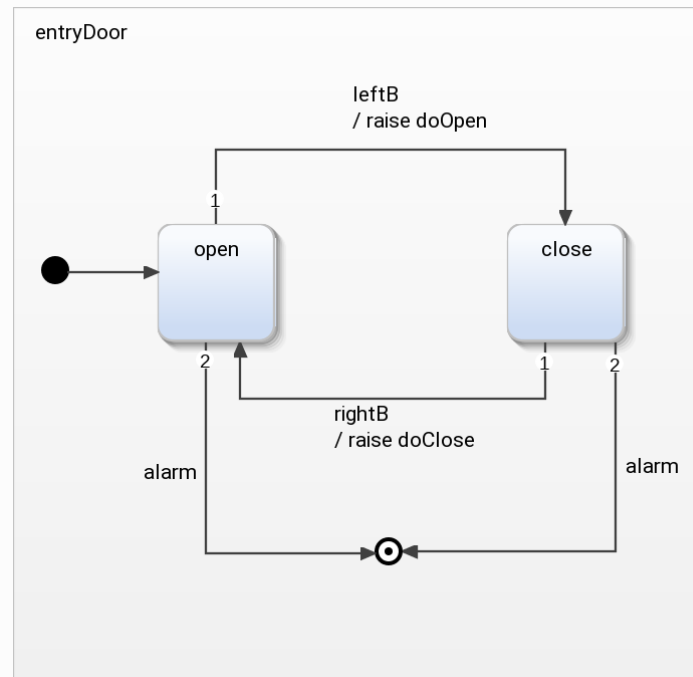
→ note 1: pragmatically in executable FSMs,  $\Sigma_I$  is often a set of events and  $\Sigma_o$  is a set of *Actions* (for instance the sending of an event, the call to a method, etc).

→ note 2: the same behavior can be encoded by a Moore machine, the difference being in the transition function ( $\delta$ ) and a new output function ( $f_o$ )

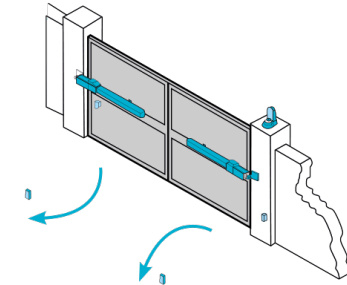
$$\delta \stackrel{\text{def}}{=} Q \times \Sigma_I \times Q \quad f_o : Q \rightarrow \Sigma_o$$

# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



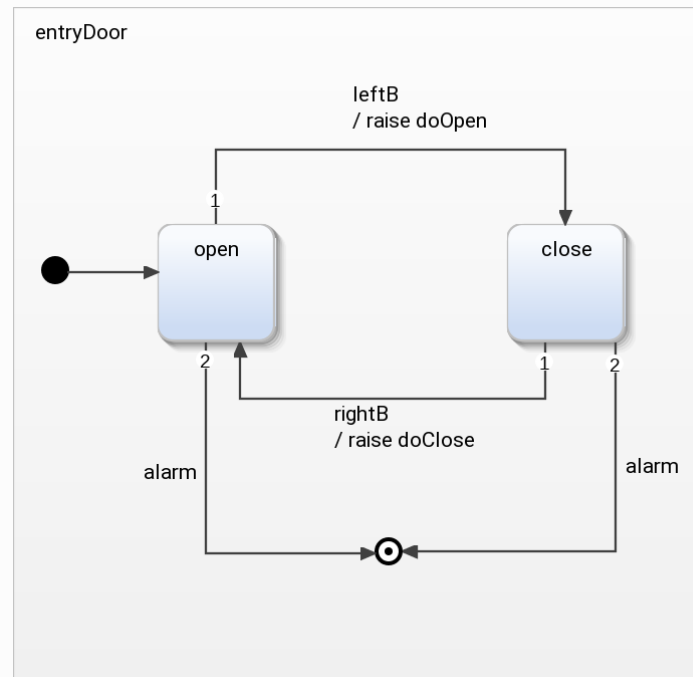
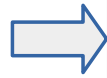
A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

→ note 1: pragmatically in executable FSM,  $\Sigma_I$  is often a set of events and  $\Sigma_O$  is a set of *Action* (for example the sending of an event, the call to a method, etc).

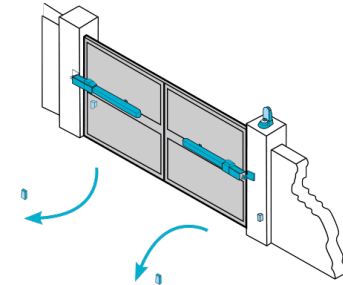
- Events are one of the basic concepts in SCXML since they drive most transitions.

# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



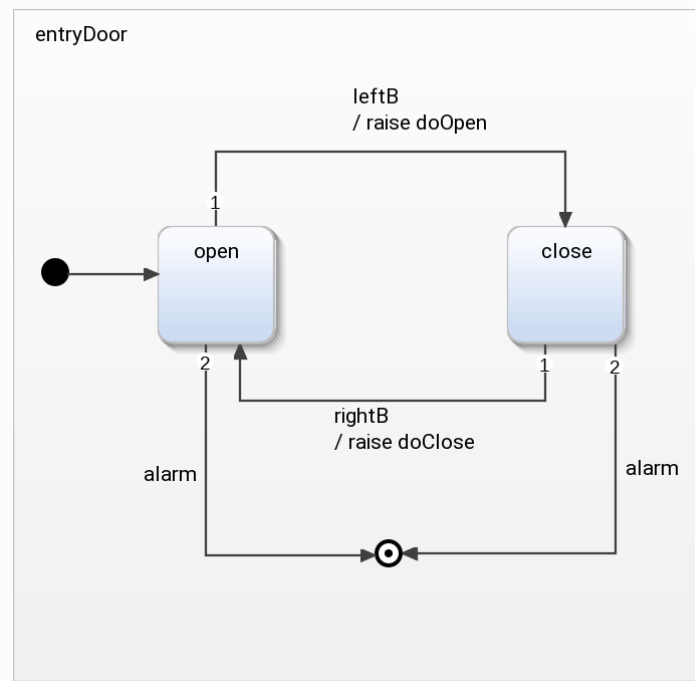
A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

→ note 1: pragmatically in executable FSM,  $\Sigma_I$  is often a set of events and  $\Sigma_O$  is a set of *Action* (for example the sending of an event, the call to a method, etc).

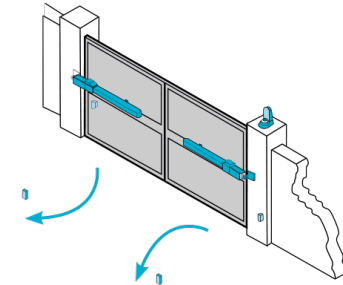
- Events are one of the basic concepts in SCXML since they drive most transitions.
- For example, a transition with an 'event' attribute of "error foo" will match event names "error", "error.send", "error.send.failed", etc. (or "foo", "foo.bar" etc.) but would not match events named "errors.my.custom", "errorhandler.mistake", "errorsend" or "foobar".
- [...] an event descriptor MAY also end with the wildcard '.\*', which matches zero or more tokens at the end of the processed event's name. Note that a transition with 'event' of "error", one with "error.", and one with "error.\*" are functionally equivalent since they are token prefixes of exactly the same set of event names.
- An event designator consisting solely of "\*" can be used as a wildcard matching any sequence of tokens, and thus any event

# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

→ it can be seen as a directed graph where  $Q$  is the set of vertices and  $\delta$  the set of “labeled” edges.

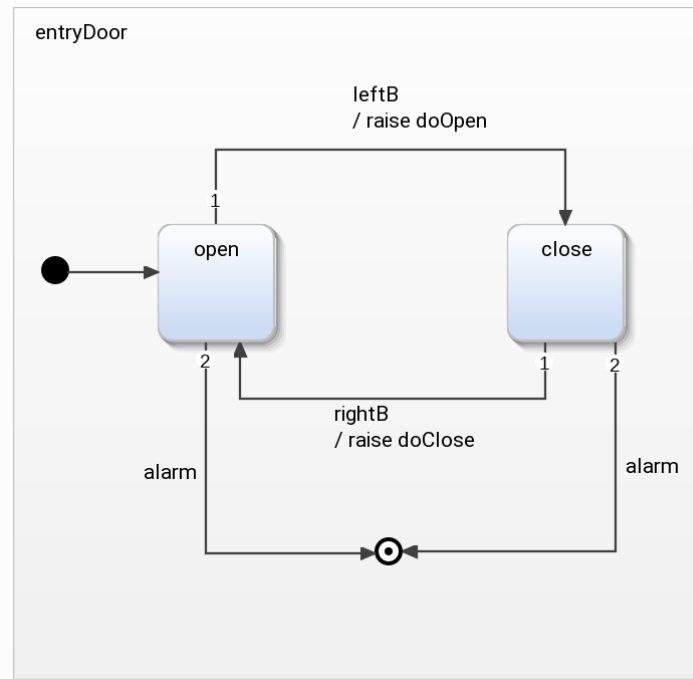
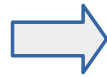
We can “ask questions” to the graph:

- *Classical ones*: Is there any cycle ? Is there a path from state X to state Y ? What is the shortest path from X to Y ? etc.
- *Temporal logic*: whenever `close` is requested, is the door eventually *closed*

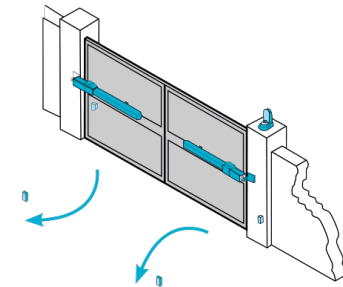


# Running Example

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



A finite state transducer is defined by  $\langle Q, q_0, \mathcal{F}, \Sigma_I, \Sigma_O, \delta \rangle$

→ it can be seen as a directed graph where  $Q$  is the set of vertices and  $\delta$  the set of “labeled” edges.

We can “ask questions” to the graph:

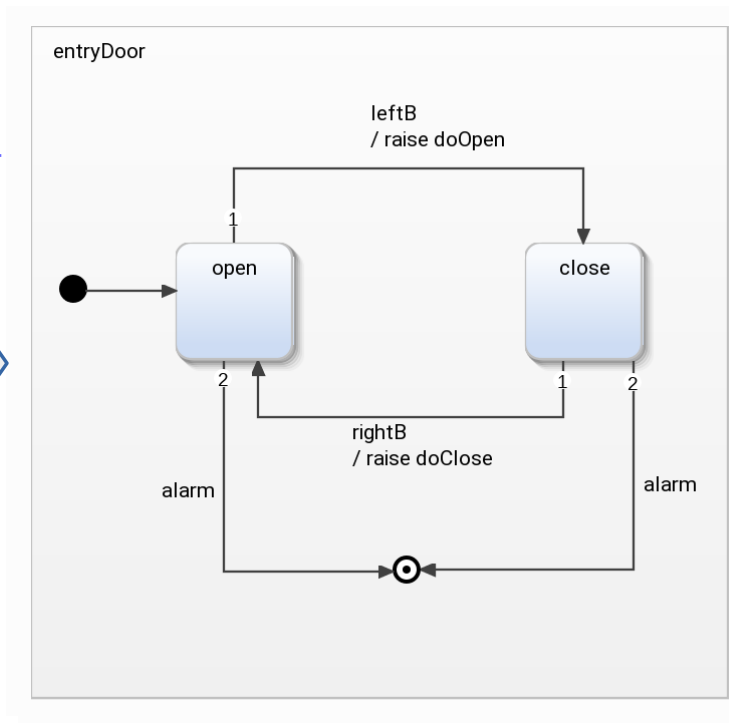
- *Classical ones*: Is there any cycle ? Is there a path from state X to state Y ? What is the shortest path from X to Y ? etc.
- *Temporal logic*: whenever `close` is requested, is the door eventually *closed*

→ **note**: if Boolean conditions are used to guard the transition, it is more difficult to “ask question” to the graph since both the conditions and the underlying action language need to be analyzed first and usually depends on arbitrary data from the environment.

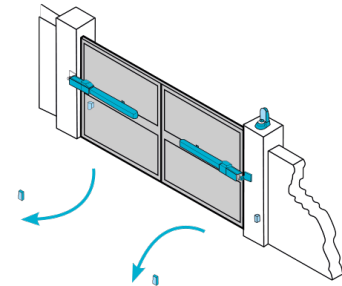
# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$



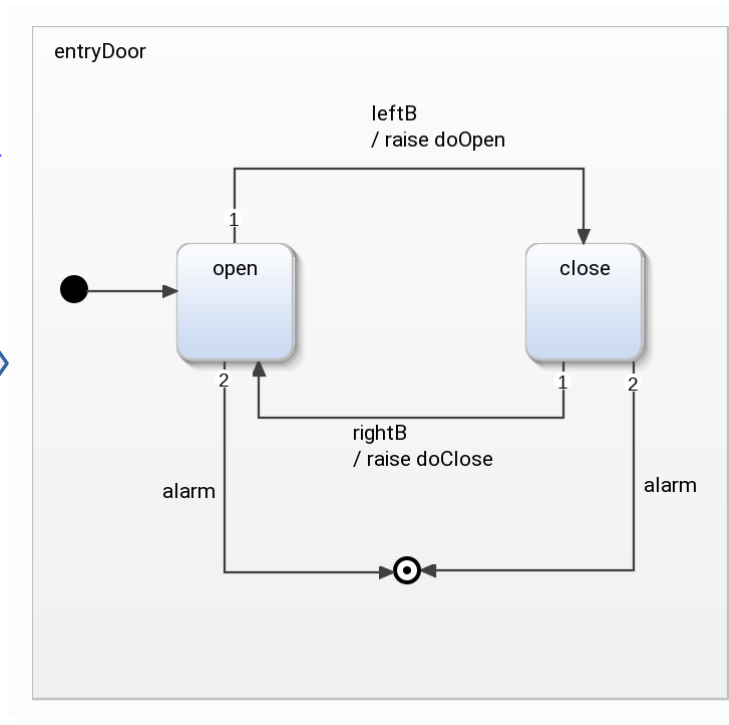
$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$



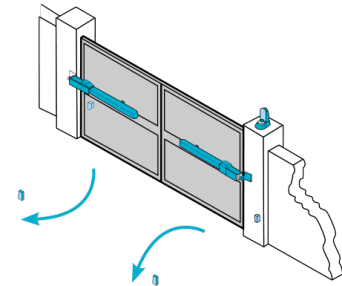
# Running Example

- We want to model the controller of an entry door by using a FSM.

$$\Sigma_I = \{\text{leftB}, \text{rightB}, \text{alarm}\}$$

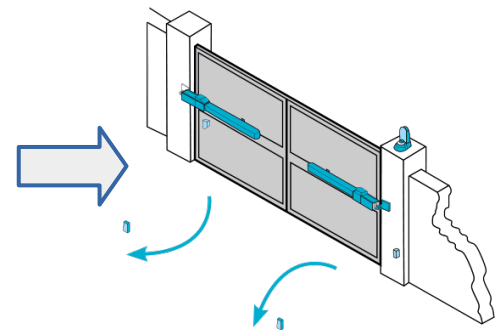
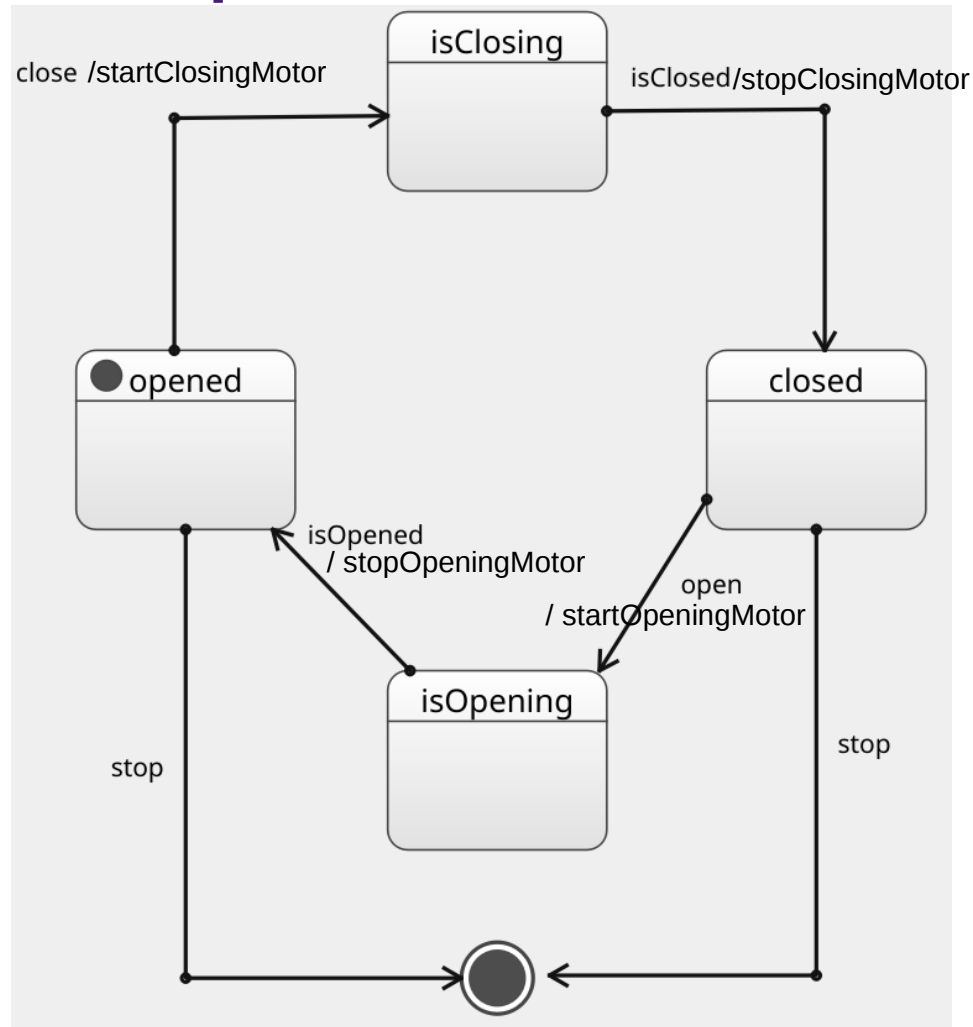


$$\Sigma_O = \{\text{doOpen}, \text{doClose}\}$$

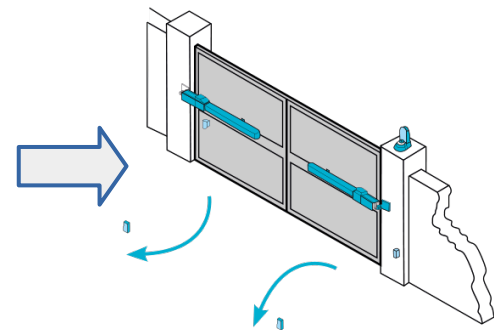
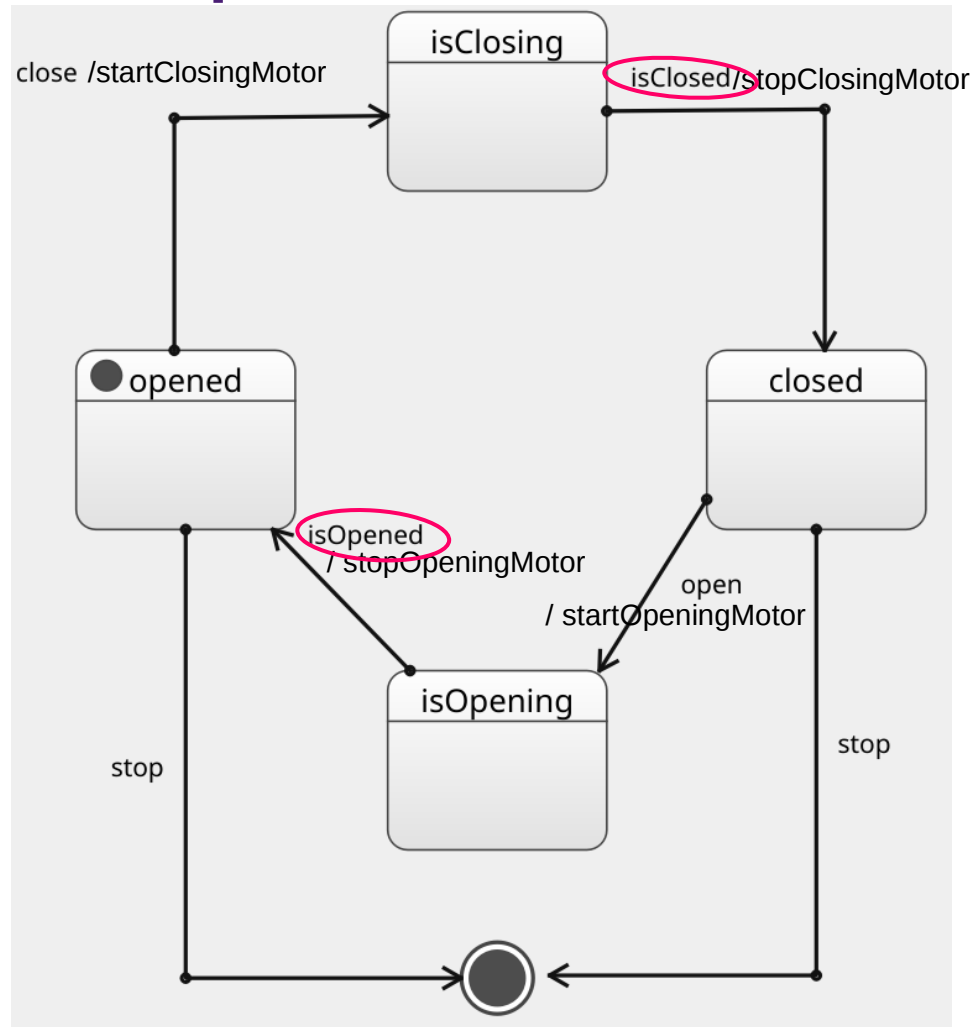


**Strong abstraction...**

# Running Example

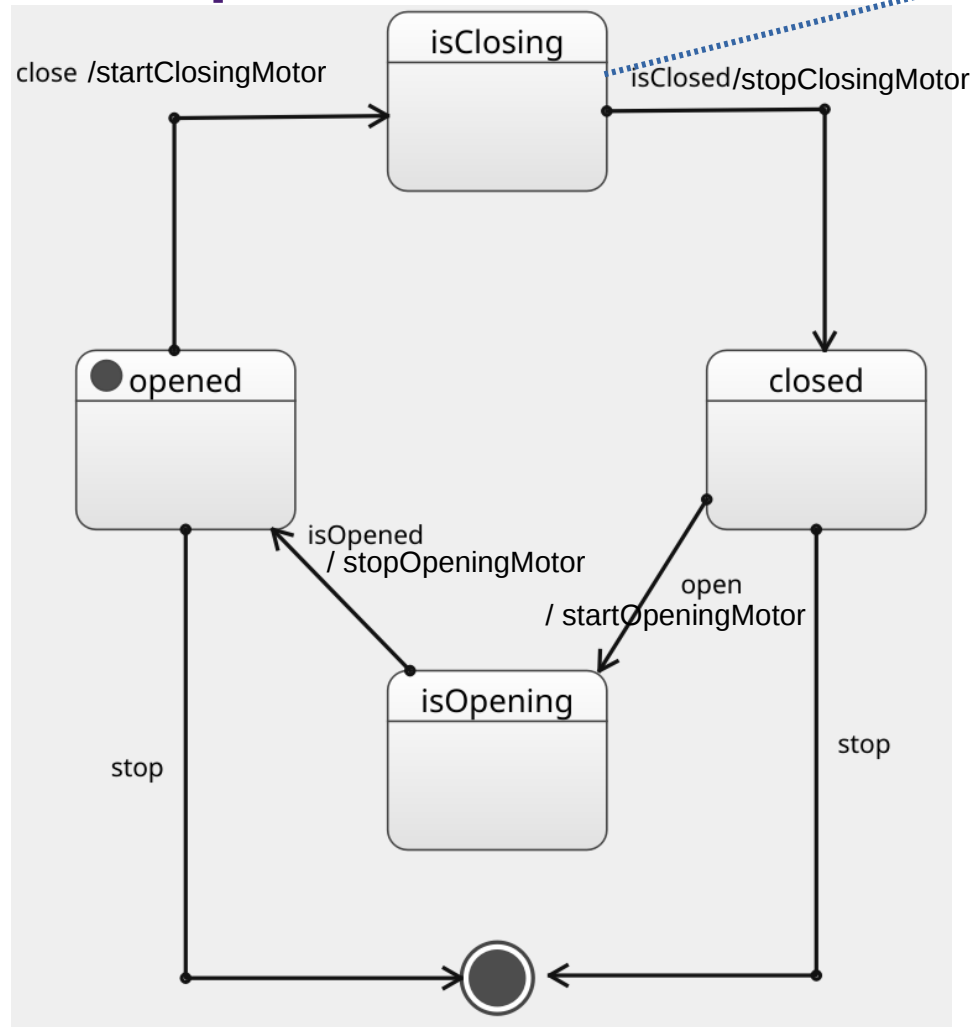


# Running Example



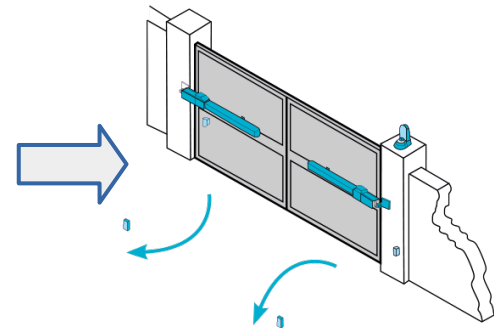
We do not know where the events `isClosed` and `isOpened` are coming from (e.g., new sensors, from “the environment”).

# Running Example



**onEntry:**  
**send** isClosed  
**after** 25s

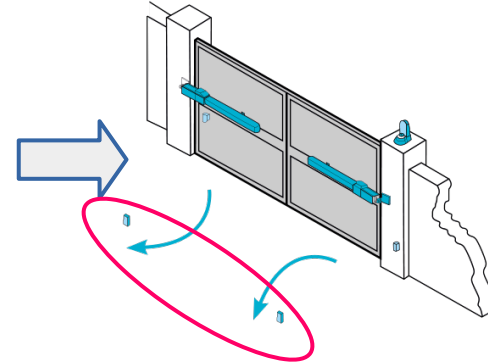
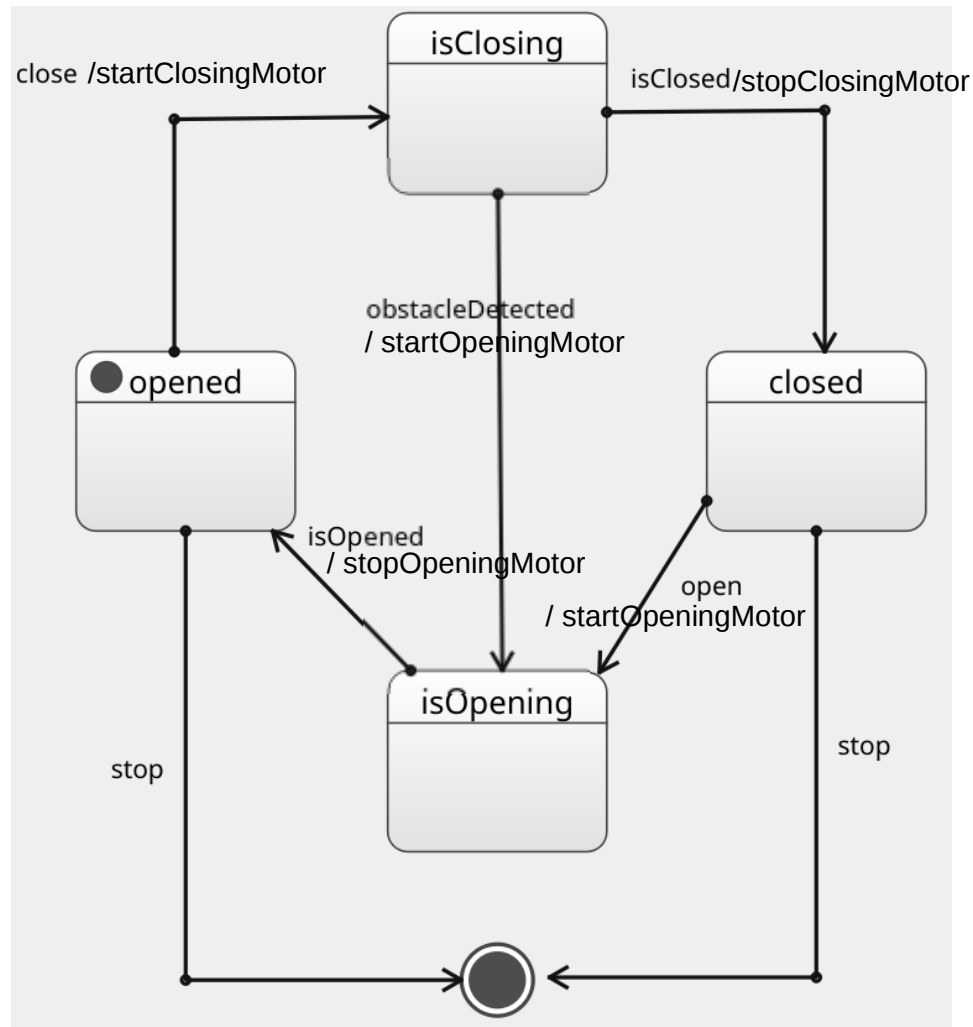
Or a self loop !



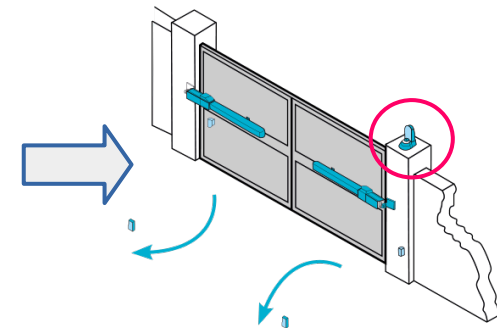
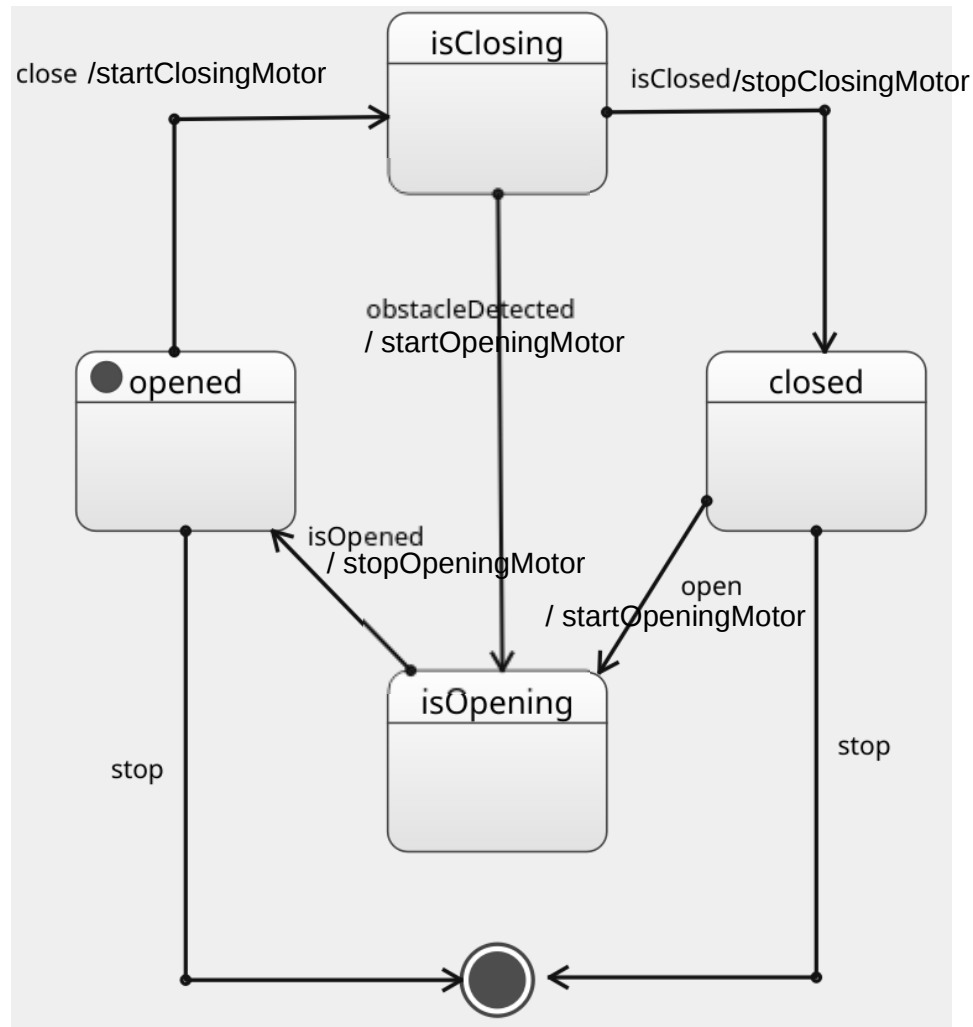
We do not know where the events isClosed and isOpened are coming from (e.g., new sensors, from “the environment”).

if we want them to occur after some **time** following the entry in the isClosing state, **it is not a traditional finite state transducer anymore but a timed automata**

# Running Example

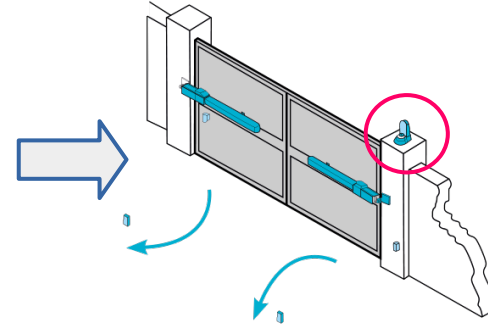
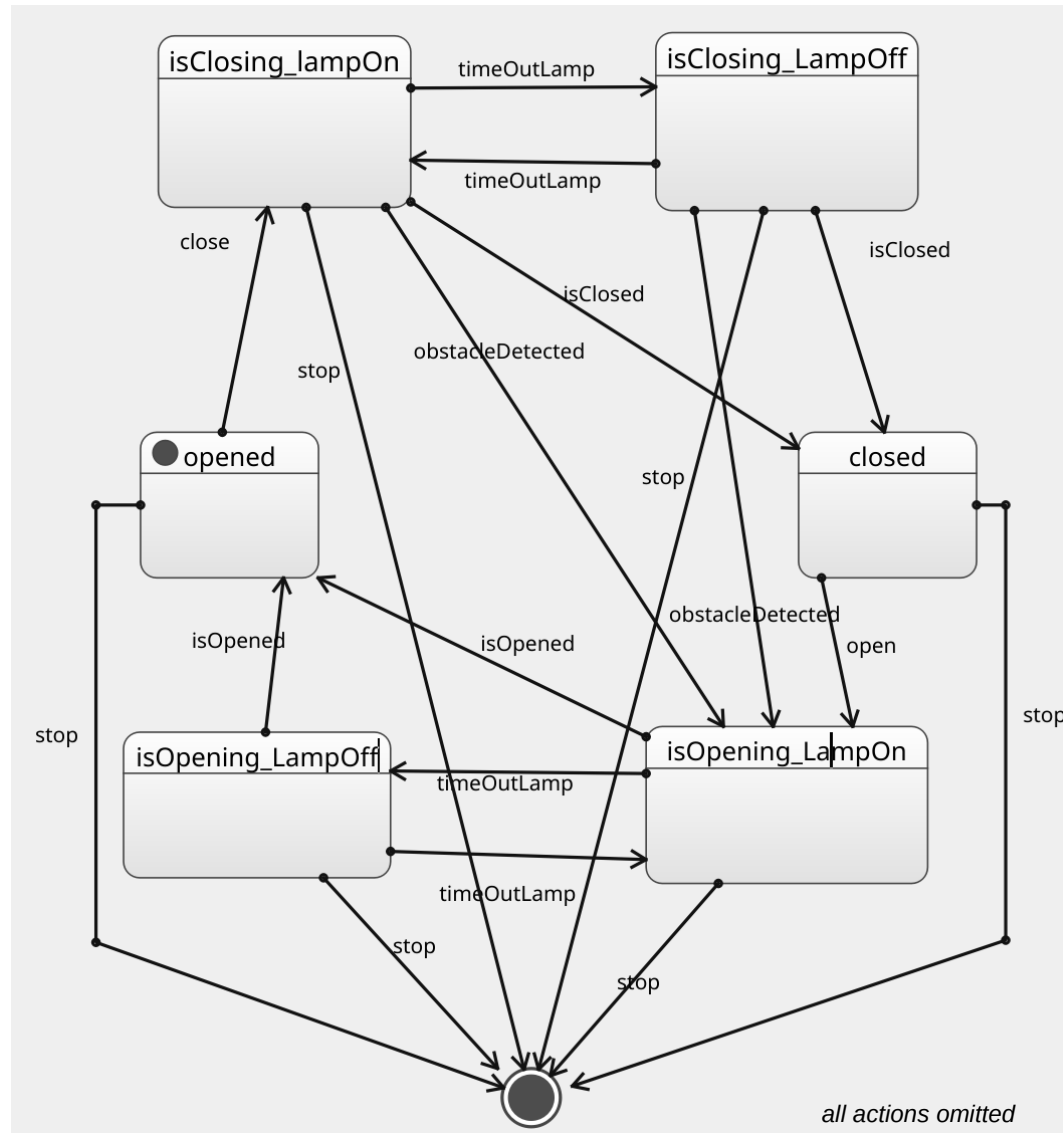


# Running Example

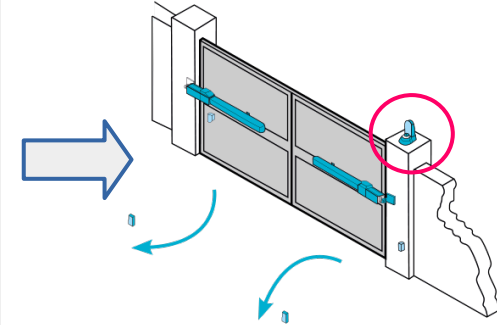
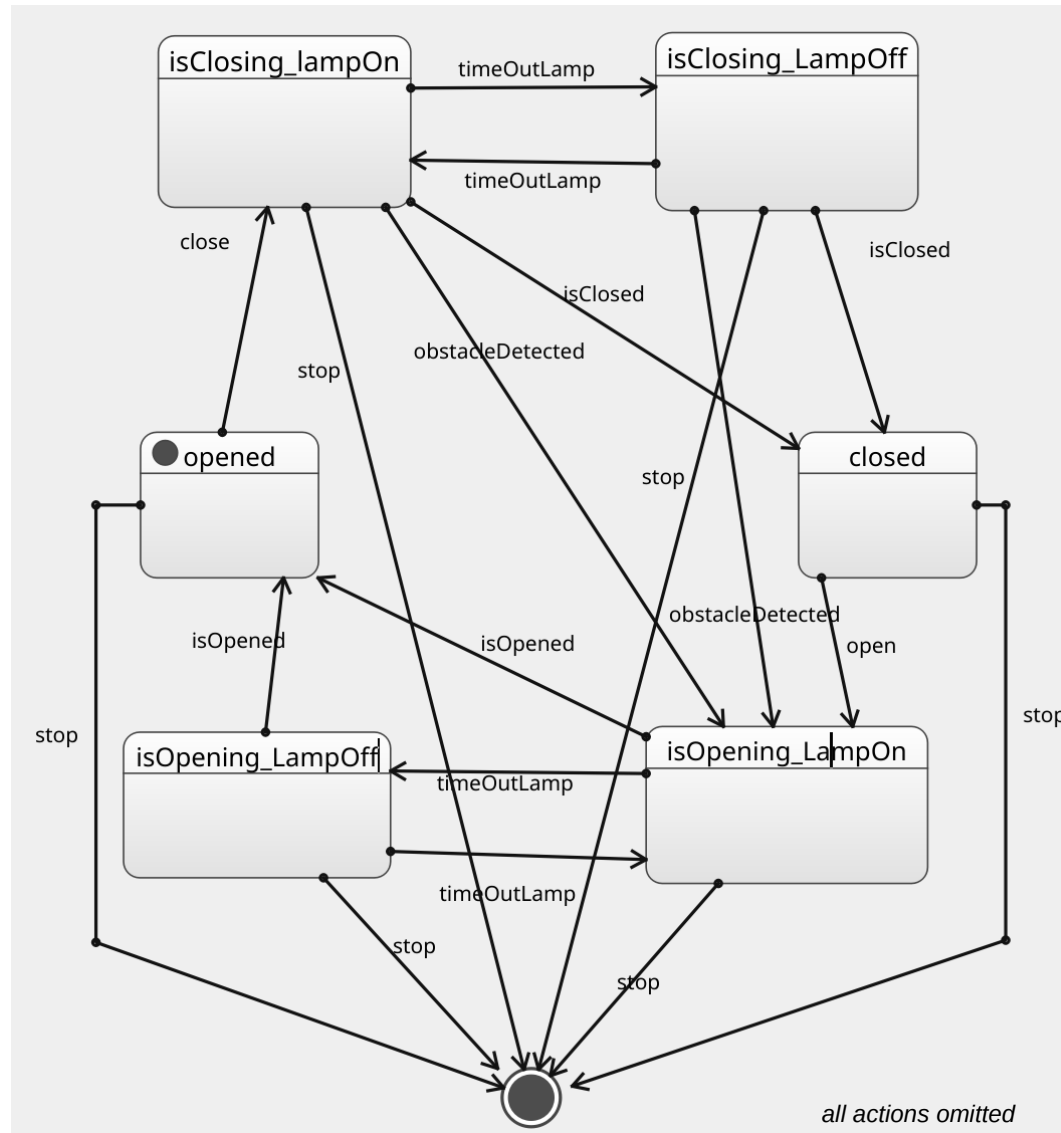




# Running Example



# Running Example



wasn't it supposed to help ?

# State Charts

**statecharts = state-diagrams + depth  
+ orthogonality + broadcast-communication.**

David Harel

Statecharts: A visual formalism for complex systems

Science of computer programming 8 (3), 231-274

1987

# State Charts

statecharts = state-diagrams + depth

+ orthogonality + broadcast-communication.

