

## Week 10: Hermitian/real symmetric and unitary/orthogonal matrices

1.

MULTI

1.0 point

0.10 penalty

Single

Shuffle

A matrix  $H$  is called *Hermitian* if  $H = H^\dagger$ . A matrix  $A$  is called *anti-Hermitian* if  $A = -A^\dagger$ . It is possible to write any matrix as a sum of a Hermitian and an anti-Hermitian matrix. Let

$$C = \begin{bmatrix} 1 & -i \\ 2i & 3 \end{bmatrix}$$

and find a Hermitian matrix  $H$  and an anti-Hermitian matrix  $A$  such that  $C = H + A$ .

$$(a) \quad H = \begin{bmatrix} 1 & -\frac{3}{2}i \\ \frac{3}{2}i & 3 \end{bmatrix} \quad A = \begin{bmatrix} 0 & \frac{i}{2} \\ \frac{i}{2} & 0 \end{bmatrix} \quad (100\%)$$

$$(b) \quad H = \begin{bmatrix} 0 & \frac{i}{2} \\ \frac{i}{2} & 0 \end{bmatrix} \quad A = \begin{bmatrix} 1 & -\frac{3}{2}i \\ \frac{3}{2}i & 3 \end{bmatrix}$$

$$(c) \quad H = \begin{bmatrix} 1 & \frac{3}{2}i \\ -\frac{3}{2}i & 3 \end{bmatrix} \quad A = \begin{bmatrix} 0 & -\frac{i}{2} \\ -\frac{i}{2} & 0 \end{bmatrix}$$

$$(d) \quad H = \begin{bmatrix} 0 & -\frac{i}{2} \\ \frac{i}{2} & 0 \end{bmatrix} \quad A = \begin{bmatrix} 1 & \frac{3}{2}i \\ -\frac{3}{2}i & 3 \end{bmatrix}$$

Note that for any matrix  $C$  the matrix  $H = \frac{1}{2}(C + C^\dagger)$  is Hermitian.

$$H^\dagger = \frac{1}{2}(C + C^\dagger)^\dagger = \frac{1}{2}(C^\dagger + (C^\dagger)^\dagger) = \frac{1}{2}(C^\dagger + C) = H.$$

Moreover the matrix  $A = \frac{1}{2}(C - C^\dagger)$  is always anti-Hermitian.

$$A^\dagger = \frac{1}{2}(C - C^\dagger)^\dagger = \frac{1}{2}(C^\dagger - (C^\dagger)^\dagger) = \frac{1}{2}(C^\dagger - C) = -A.$$

If we choose  $H$  and  $A$  as above, then

$$A + H = \frac{1}{2}C - \frac{1}{2}C^\dagger + \frac{1}{2}C + \frac{1}{2}C^\dagger = C.$$

Hence the required matrices are

$$H = \begin{bmatrix} 1 & -\frac{3}{2}i \\ \frac{3}{2}i & 3 \end{bmatrix} \quad \text{and} \quad A = \begin{bmatrix} 0 & \frac{i}{2} \\ \frac{i}{2} & 0 \end{bmatrix}.$$

2.

MULTI

1.0 point

0.10 penalty

Single

Shuffle

Find the characteristic polynomial of the matrix

$$H = \begin{bmatrix} -1 & 1-2i & 0 \\ 1+2i & 0 & -i \\ 0 & i & 1 \end{bmatrix}.$$

Find this polynomial explicitly and determine the number of real roots.

- (a) The characteristic polynomial  $-\lambda^3 + 7\lambda - 4$  has three real roots (100%)
- (b) The characteristic polynomial  $-\lambda^3 - 4$  has three real roots
- (c) The characteristic polynomial  $-\lambda^3 + 7\lambda - 4$  has two real roots
- (d) The characteristic polynomial  $-\lambda^3 + 7\lambda - 4$  has only one real root

$$\begin{aligned} \det(H - \lambda I) &= \begin{vmatrix} -1-\lambda & 1-2i & 0 \\ 1+2i & -\lambda & -i \\ 0 & i & 1-\lambda \end{vmatrix} \\ &= -(\lambda+1)(\lambda(\lambda-1)-1) - (1+2i)((1-2i)(1-\lambda)) \\ &= -\lambda^3 + 7\lambda - 4. \end{aligned}$$

*Since  $H$  is Hermitian, all its three roots must be real.*

3.

MULTI

1.0 point

0.10 penalty

Single

Shuffle

The matrix

$$A = \begin{bmatrix} i & 2+i & 3 \\ -2+i & 2i & -1 \\ -3 & 1 & 3i \end{bmatrix}$$

is

- (a) Skew-Hermitian (100%)
- (b) Hermitian
- (c) Unitary
- (d) Orthogonal

*Since  $A^* = -A$  the matrix is skew-Hermitian.*

4.

MULTI

1.0 point

0.10 penalty

Single

Shuffle

Let  $U$  be unitary, and define the matrix

$$A = U^* \begin{bmatrix} 1 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{bmatrix} U.$$

Is  $A$  positive definite?

- (a) Yes. (100%)

- (b) No.  
 (c) For some  $U$  it is, for others not.

*A is a Hermitian matrix with positive eigenvalues for any choice of unitary  $U$ , hence it is positive definite.*

5.

MULTI 1.0 point 0.10 penalty Single Shuffle

A normal matrix  $U$  is called *unitary* if  $UU^\dagger = I$ . Is the matrix

$$U = \frac{1}{2} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix}$$

normal and is it unitary?

- (a)  $U$  is not normal and  $U$  is not unitary  
 (b)  $U$  is normal but  $U$  is not unitary  
 (c)  $U$  is not normal but  $U$  is unitary  
 (d)  $U$  is normal and  $U$  is unitary (100%)

$$UU^\dagger = \frac{1}{4} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix} \begin{bmatrix} 1-i & 1+i \\ 1+i & 1-i \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$U^\dagger U = \frac{1}{4} \begin{bmatrix} 1-i & 1+i \\ 1+i & 1-i \end{bmatrix} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

*Hence  $U$  is normal and unitary.*

6.

MULTI 1.0 point 0.10 penalty Single Shuffle

A normal matrix  $U$  is called *unitary* if  $UU^\dagger = I$ . Is the matrix

$$U = \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix}$$

normal and is it unitary?

- (a)  $U$  is not normal and  $U$  is not unitary  
 (b)  $U$  is normal but  $U$  is not unitary (100%)  
 (c)  $U$  is not normal but  $U$  is unitary  
 (d)  $U$  is normal and  $U$  is unitary

$$UU^\dagger = \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix} \begin{bmatrix} 1 & -i \\ -i & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$$

$$U^\dagger U = \begin{bmatrix} 1 & -i \\ -i & 1 \end{bmatrix} \begin{bmatrix} 1 & i \\ i & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$$

*Hence  $U$  is normal but not unitary.*

7.

MULTI 1.0 point 0.10 penalty Single Shuffle

A normal matrix  $U$  is called *unitary* if  $UU^\dagger = I$ . Is the matrix

$$U = \frac{1}{2} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix}$$

normal and is it unitary?

- (a)  $U$  is not normal and  $U$  is not unitary
- (b)  $U$  is normal but  $U$  is not unitary
- (c)  $U$  is not normal but  $U$  is unitary
- (d)  $U$  is normal and  $U$  is unitary (100%)

$$UU^\dagger = \frac{1}{4} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix} \begin{bmatrix} 1-i & 1+i \\ 1+i & 1-i \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$U^\dagger U = \frac{1}{4} \begin{bmatrix} 1-i & 1+i \\ 1+i & 1-i \end{bmatrix} \begin{bmatrix} 1+i & 1-i \\ 1-i & 1+i \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

Hence  $U$  is normal and unitary.

8.

MULTI 1.0 point 0.10 penalty Single Shuffle

Consider the matrix

$$U = \begin{bmatrix} i & 0 & 0 \\ 0 & \frac{i}{\sqrt{2}} & -\frac{i}{\sqrt{2}} \\ 0 & \frac{i}{\sqrt{2}} & \frac{i}{\sqrt{2}} \end{bmatrix}$$

and the vector

$$x = \begin{bmatrix} 2 \\ 2 \\ 3 \end{bmatrix}.$$

Compute the length of  $Ux$ , i.e., compute  $|Ux|$ .

- (a)  $|Ux| = \sqrt{17}$ . (100%)
- (b)  $|Ux| = 1$ .
- (c)  $|Ux| = \sqrt{28}$ .
- (d)  $|Ux| = \sqrt{39}$ .

Since  $U$  is unitary (its columns are orthonormal), we have  $|Ux| = |x|$  and

$$|x| = \sqrt{2^2 + 2^2 + 3^2} = \sqrt{17}.$$

9.

MULTI 1.0 point 0.10 penalty Single Shuffle

Let  $U_1$  and  $U_2$  both be unitary  $n \times n$  matrices. Then the product  $U_1 U_2$  is

- (a) unitary (100%)
- (b) Hermitian
- (c) orthogonal
- (d) real symmetric

*We have*

$$(U_1 U_2)^* = U_2^* U_1^* = U_2^{-1} U_1^{-1} = (U_1 U_2)^{-1},$$

*so  $U_1 U_2$  is unitary.*

10.

MULTI

1.0 point

0.10 penalty

Single

Shuffle

Let  $Q$  be an orthogonal  $5 \times 5$  matrix. Then

- (a)  $Q$  must have an eigenvalue  $+1$  or  $-1$ . (100%)
- (b) All eigenvalues of  $Q$  are either  $+1$  or  $-1$ .
- (c) All eigenvalues of  $Q$  are real.
- (d) At least one eigenvalue must have non-zero imaginary part.

*Since  $Q$  is orthogonal,  $\det Q = \pm 1$ . Also, all eigenvalues of  $Q$  come in complex conjugate pairs, and have absolute value 1. Hence, there can be at most two complex conjugate pairs of eigenvalues, and either 1, 3, or 5 eigenvalues are real with absolute value 1, i.e., are  $\pm 1$ .*

Total of marks: 10