# Homework 4

Welcome to Homework 4! As large-scale generative models are intractable to train by yourselves, the goal of this homework is to understand how we can *sample* from pre-trained from generation models, as well as apply a number of inference-time tricks for creative controllable generation. Here, we'll use Stable Audio Open (SAO) as our case-study pre-trained model. Note that each question in the assignment builds on previous questions, so it is recommended that you complete it **in order**.

# **Setup Instructions**

To begin this homework, you'll need to set up your environment and obtain the necessary files. Follow these steps carefully. Ensure you have Git installed for cloning the repository and a Python environment manager (such as Anaconda) to manage dependencies.

# 1. Clone the Provided Repository

Use the following command in your terminal to download the homework files from the provided GitHub repository:

```
git clone https://github.com/ZacharyNovack/sat-253.git
```

This will create a folder named sat-253 containing all the necessary files, including the homework4\_stub.ipynb template for the quizzes.

#### 2. Create a New Python Environment

To avoid conflicts with other projects, create a fresh Python environment. If you're using Anaconda, run these commands:

```
conda create -n hw4
conda activate hw4
```

If you prefer virtualenv or another tool, you can use it instead (e.g., python -m venv hw4 and source hw4/bin/activate on Unix-based systems).

## 3. Install required packages

With the hw4 environment active, install the following packages using pip. These libraries are essential for completing the quizzes:

pip install torch torchaudio torchvision einops tqdm safetensors
matplotlib

```
pip install huggingface_hub einops_exts pytorch_lightning wandb k\_diffusion \ alias\_free\_torch \ transformers
```

Additionally, make sure you install the sat-253 directory directly as a package. In your terminal, if you are not already in the folder, run cd sat-253, and then run the install command:

```
pip install —e .
```

If you encounter installation errors, verify your internet connection, ensure pip is up-to-date (pip install --upgrade pip), or consult the package documentation.

# 4. Log into Hugging face

In order to access the pre-trained weights of SAO, you must make a HuggingFace account and generate a secure token. To do so, use the following command in the terminal and paste your token.

```
huggingface-cli login
```

# Quizzes

This homework consists of 5 quizzes that test your ability to implement code using the provided tools and libraries. The starting template is located in the homework4\_stub\_ipynb file within the cloned repository.

- Locate and Open: Find the homework4\_stub.ipynb file in the sat-253 directory.
- Implement Solutions: Follow the instructions to complete the code for all 5 guizzes.
- Verify: Test your code to ensure it runs correctly and meets the requirements.
- Submit: Once finished, convert your notebook file to a . py file, and submit the file according to the submission instructions.

#### Q1. Develope a Simple Diffusion Sampler

Diffusion models are unique among generative models in that training and inference look *drastically* different from an algorithmic perspective. As such, even given a pre-trained diffusion model, we can't repurpose its training code for generation, and instead need to design our inference algorithms, referred to as diffusion *samplers*, seperately. Here, you will implement a simple diffusion sampler, that will proceed in two subparts: (1) converting your diffusion output to the *score* of the diffusion process, and (2) using this in your sampler.

#### Q1-1. to\_d() function

As mentioned in lecture, though diffusion models are equivalent to the class of "score matching" models (where our model directly outputs the estimated score of the distribution), they are often trained under different parameterizations, such as predicting the clean output (x-prediction), predicting the noise (\$\epsilon\$-prediction), or something in between (v-prediction). At a high level, you can think of the score

as the *derivative* of the generation process, essentially giving you a *direction* to move your generation to make it more "data-like", and thus these gradient directions are quite important for sampling!

For our purposes, SAO uses x-prediction, which means its output is an estimate of the final denoised generation. Thus, your task is to implement the to\_d function, which converts the x-prediction SAO output to the score.

#### Inputs

- x: A PyTorch tensor representing the current noisy intermediate state of the sampling process, which is the input to SAO.
- sigma: A scalar or tensor representing the current noise level in the diffusion process.
- denoised: A PyTorch tensor of the same shape as x, representing the model's prediction of the clean (denoised) sample at the current step.

#### **Implementation Instructions**

- This function should return an estimate of the score of the distribution, which is the same shape as x and denoised.
- Hint: this function should only be a 1-liner! Think about what was discussed in lecture regarding Tweedie's formula and the connection between denoising and score matching.

### Q1-2. simple\_sample() function

Now given your to\_d function, the goal is now to implement the simplest possible sampler for diffusion models, which we'll call simple\_sample. Since we have a way to estimate the "direction" to move at any given noise level, sampling proceeds as follows:

- 1. We first start with full gaussian noise
- 2. We pass our noisy state into the diffusion model, along with the noise level and any extra conditioning arguments, which will return our estimate of the clean denoised output denoised.
- 3. Then, we use to\_d to convert this to our estimate of the score.
- 4. Next, we calculate the **amount** that we which to step given this direction, which should be based on the current noise level and the next immediate noise level.
- 5. Given this stepsize, we update our noisy state using our score scaled by this stepsize.
- 6. Repeat steps 2-5 for some prespecified number of steps to get our final output.

#### Inputs

- model: The denoiser model SAO.
- x: A PyTorch tensor consisting of full Gaussian Noise, which will be updated throughout generation.
- sigmas: A list of scalar noise levels in descending order (i.e. sigmas [i+1] < sigmas [i]).
- extra\_args: A dictionary of extra conditions and arguments to be passed to the model.

## **Implementation Instructions**

• We have given you reasonable starter code for this function, where your main task is to implement everything inside the for loop.

Note: The function signature of SAO is model(x: torch.Tensor, sigma: torch.Tensor, \*\*extra\_args), so you'll want to follow this and scale each noise level sigmas[i] by the s\_in tensor provided when passing it into the model in order to convert it from a float to a torch.Tensor.

• Like the previous question, this does not need to be particularly verbose! An efficient implementation can take as few as 4 lines of code inside the for loop.

Q2. Implement the generate inpainting mask() function.

Now that we have a our simple\_sample function, we can modify it in a number of ways for creative, training-free control. First, we'll explore **inpainting**, or the task of taking existing audio, masking out some portion of the middle, and then filling it in with our model! First off, we need to make a helper function to generate these masks.

Build the generate\_inpainting\_mask() function, which creates an inpainting mask for audio data, specifying regions of an audo waveform to be "inpainted".

#### Inputs

- reference: A PyTorch tensor representing the reference **latent** audio to be inpainted.
- mask\_start\_s: A float representing the start time of the mask in seconds
- mask\_end\_s: A float representing the end time of the mask in seconds

#### **Constants Provided**

- SAMPLE\_RATE: A global constant representing the audio sample rate
- model.pretransform.downsampling\_ratio: This is the factor that the audio is downsampled through SAOs encoder to its latent representation. We need this as all our masking occurs in the the latent space, so we need to account for the latent frame rate of SAO's autoencoder.

#### **Implementation Instructions**

- your function should return a mask mask that is the same shape as reference, where mask=1
   everywhere that we want to inpaint (i.e. from mask\_start\_s to mask\_end\_s) and mask=0
   everywhere else.
- you will need to combine mask\_start\_s/mask\_end\_s with SAMPLE\_RATE and model.pretransform.downsampling\_ratio to set the correct indices of the mask.

Q3. Implement simple\_sample\_inpaint() function.

Next, we'll implement inpainting. The idea here is that we can reuse our simple sampler with some small modifications. Notably, after we do the sampling step and update our noisy state, we want to do two things:

- 1. Make sure that we scale whatever is coming from our reference to the same noise level, which is equivalent to adding a specific amount of gaussian noise at each step
- 2. Given our synthetically noisy reference, we want to use the mask to set x such that in the inpainting range x stays unchanged, but outside the range x is set to our noisy reference.

The simple\_sample\_inpaint() function is the implementation of the simple sampling method for inpainting.

#### Inputs

- model: The stable audio open model that we're using
- x: A PyTorch tensor representing the initial noisy sample
- sigmas: A PyTorch tensor of noise levels
- reference: The encoded reference audio.
- mask: The mask that we created with the generate\_inpainting\_mask function.

### **Implementation Instructions**

- Note: this is again a small modification on your existing simple sample code, do not overthink it!
- Think about what it means to add noise at the "right" noise level, and how to do a mask operation.

# Q4. Variable Strength Impainting

We now have a working inpainting function! As we can see, when playing around with different prompts and reference audios, the outut around the boundaries of our inpainting mask can feel abrupt and unnatural. To potentially fix this, next you will modify your inpainting function to only apply the inpainting operation on a specific *range* of steps.

The simple\_sample\_variable\_inpaint() function is the implementation of the simple sampling method for inpainting, with variable inpainting amount.

#### Inputs

- model: The stable audio open model that we're using
- x: A PyTorch tensor representing the initial noisy sample
- sigmas: A PyTorch tensor of noise levels
- reference: The encoded reference audio.
- mask: The mask that we created with the generate\_inpainting\_mask function.
- paint\_start: An integer index denoting the step number to start apply the inpainting function.
- paint\_end: An integer index denoting the step number to stop apply the inpainting function.

# **Implementation Instructions**

- Note: this is again a small modification on your existing simple sample inpaint code, do not overthink it!
- This function should operate identically to your simple sample inpaint function when
   paint\_start=0 and paint\_end=len(sigmas)-1 (i.e. applying the inpainting operation for all of
   sampling).

# Q5. Style Transfer

Finally, we will explore a simple version of style transfer with diffusion models. The goal here is to "transfer" the style from one reference audio to our generation. This ends up being very similar to inpainting, with the exception that this is a *global* operation (i.e. no mask is needed), and is more concerned with the *strength* of the transfer operation. Specifically, we want to perform style transfer by taking the reference audio, adding noise to it up to some level, and then running inference from this noisy reference point as normal.

The simple\_sample\_style\_transfer() function is the implementation of the simple sampling method for style transfer.

#### Inputs

- model: The stable audio open model that we're using
- sigmas: A PyTorch tensor of noise levels
- reference: The encoded reference audio.
- transfer\_strength: A float value from 0 to 1, where 0 means no transfer occurs (i.e. the generation ignores the reference) and 1 means maximum transfer (i.e. the output should just be the reference).

# **Implementation Instructions**

- There are a couple ways to implement this, either using your simple\_sample\_variable\_inpaint or your simple\_sample function.
- You will need to conver your transfer\_strength from a 0-1 float to something that can index the sigmas tensor to map it to the right noise level.

# **Submission Instructions**

To submit your homework, first convert your homework4\_stub.ipynb file into a homework4\_stub.py file. NOTE: before converting your notebook into a python file, MAKE SURE TO COMMENT OUT ALL ipd CALLS IN YOUR FILE, as this will break the importing to the answer generation file. Additionally, it will be helpful to comment out all testing blocks in your code before you use the runner, as these will slow down the import process considerably. Then navigate to the runner.ipynb file (in the sat-253 directory) and run the whole notebook, which should import your written functions from homework4\_stub.py and generate an homework4.pkl file. Submit both this file, and your homework4\_stub.py file on gradescope.