

Summary of Chapter I

Mr. Jones, the owner of Manor Farm, stumbles drunkenly up to bed as the farm animals wait in still silence. The moment he is out of sight, they begin to bustle around, preparing themselves for the big meeting that is to take place that night. Old Major has called the meeting to discuss a strange dream he had the previous night. He is waiting for his fellow animals in the big barn.

The first animals to arrive are the three dogs, Bluebell, Jessie, and Pincher, followed by the pigs. Hens, pigeons, sheep, and cows arrive, as well as the horses, Boxer and Clover. Muriel, the white goat, and the donkey Benjamin follow. A group of motherless ducklings wanders in and Clover, being the motherly type, forms a safe place for them to sit with her leg. Mollie, the young mare, arrives just before the cat, who settles in between Boxer and Clover. The only animal missing is Moses, the raven, who is sleeping on his perch behind the barn door.

Old Major addresses the animals, calling them, “Comrades.” He explains that, because he is getting old and may die soon, he wishes to impart his wisdom. Over his lifetime, he has come to the conclusions that “No animal in England is free” and “The life of an animal is misery and slavery” (28).

Old Major states that animals’ domination by Man is the sole reason they cannot be free, happy, and fulfilled. Man is “the only creature that consumes without producing.” His only job is to be “lord of all the animals,” which makes him “the only real enemy” animals have. Man overworks animals only to rob them of the fruits of their labor, and treats them only well enough to survive and provide more labor. When Man is done with an animal, he slaughters it cruelly.

According to Old Major, Rebellion is the path to freedom. Overthrowing the human race would make animals “rich and free” almost instantly. Old Major begs the other animals to devote the rest of their lives to the cause of Rebellion and to reject the idea that they have co-dependence with Man. Furthermore, the animals must be united in order to overthrow man: “All men are enemies. All animals are comrades” (31). Despite this saying, he is not sure whether wild animals count.

Old Major holds a vote to decide whether domesticated animals should unite with wild animals. Only the dogs and the cat vote no, although the cat is not paying attention and votes twice. After the vote, Old Major crystallizes his point, stating: “Whatever goes upon two legs is an enemy. Whatever goes upon four legs, or has wings, is a friend.” He adds the additional point that, once they have achieved victory, animals must not emulate Man. They must not wear clothing, live in houses, or copy any of Man’s other “evil” habits.

Finally, Old Major relates his dream to the animals. His dream was about the state of happiness that will exist once Man is eliminated. In the dream, a tune his mother and the other sows sang to him in his childhood returned to him, and new words accompanied the tune. Old Major is sure that he has, in his dream life, uncovered an old animal anthem that has lain dormant for generations. It is called “Beasts of England,” and he sings it to the other animals. Orwell describes the song as “a stirring tune, something between Clementine and La Cucaracha” (32). The song glorifies the freedom and joy that will follow “Tyrant Man’s” overthrow, and he urges all animals to “toil for freedom’s sake,” even if they die before the cause is won.

The song rouses the animals, even the dullest of whom learn it in minutes. In fact, the animals are so taken with the song that they sing it five times in unison. The ruckus awakes Mr. Jones, who fires several bullets

from his shotgun into the barn wall. The animals rush to their sleeping places, and the farm is silent once again.

Analysis

Chapter I introduces us to the idealism upon which Animal Farm and Animalism will later be built. In explicating Animal Farm, some critics stress Orwell's broad focus on totalitarianism over his specific criticism of Stalinism. After all, Orwell saw the threat of totalitarianism (and elitism) manifested not only in Soviet Russia but also in places such as Spain and colonial Britain. However, despite Animal Farm's far political reach, Orwell did write it as a cautionary tale about Stalinism specifically and, as we shall see, matched its plot quite closely with Russian history. We can read the novel as both a specific and a general allegory.

Old Major assumes the role of philosopher, creating a detailed model for a utopian society. His role is also that of visionary or prophet because, smart as he is, part of Major's vision of the future came to him in a dream. In his roles of philosopher and visionary, Major represents the political theorist Karl Marx. Old Major is older and wiser than the other animals, a fact that mirrors history. Marx and his theories predated (and therefore influenced) the ideas of Lenin, Trotsky, and Stalin. All three men were still children at the time of Marx's death.

Major's vision of mankind's problems and his plan for a utopian society closely match the tenets of Marxism as expressed in The Communist Manifesto. Major's ideas of the animal and Man correspond with Marx's views of the common man and the elite. We should bear this symbolism in mind as we examine Major's speech. First, Old Major focuses on the exploitation of the animal by Man, who is concerned only with making a profit. Although the animal does all the work, it gets no stake in what it produces because man controls not only the means of production but also the means of distribution. Marxism argues that the common man becomes confused by the elite's self-serving ideology and becomes separated from its true nature. In the same way, Major says that Man keeps animals in submission only because he is the one creating the ideology and the rules. In order to claim their destiny of being "rich and free," the animals must overthrow Man.

Major also represents Vladimir Lenin, the foremost author of the Russian Revolution and of the formation of the Soviet Union. If historically Marx played the role of grandfather theorist, then Lenin played that of young interpreter and motivator. Old Major not only bestows his theory upon the animals, he awakens them from the dreamtime of Man's ideology and rouses them to action. He does so with the help of "Beasts of England," a revolutionary song that helps the animals envision the "golden future time" when they will live free of man's (literal and metaphorical) yoke. Orwell also connects Major to Lenin by his use of the word "comrade," which is associated with communism.

If Major represents Marx and Lenin, two revolutionary forces, then Jones represents the existing totalitarian regime. He symbolizes imperial Russia and the ineffective Czar Nicholas II. Jones stands for an ideology and methodology that have been in practice for a very long time. In all the history of Manor Farm, the animals have never risen up against him nor thought of doing so. Though they are superior in numbers and strength, they cannot match his intellectual capabilities (or at least think they cannot). We should also note that Moses the raven is Jones's "especial pet." Moses represents the religion that, in the Russian Empire, was connected closely with the throne. Jones feeds Moses bread soaked in beer to keep him tame, just as the Russian throne cooperated with the Church but kept it on a tight leash. Under Marxism-Leninism, religion is one of the things that appeases the common man and makes him easier to

subjugate; as Marx famously stated, “religion is the opiate of the masses.” It has no value in a truly utopian society, such theorists believe, because people are satisfied in reality and no longer feel the need to rely on faith or the promise of heaven. It follows that Moses is conspicuously absent from Major’s big meeting.

Summary and Analysis of Chapter II

Old Major dies three nights after the meeting that united the animals. Over the next three months, the more intelligent animals begin to approach life differently. They now anticipate the Rebellion, for which they assume the task of preparing. The pigs take on the task of organizing and teaching the other animals because they are “generally recognized as being the cleverest of the animals” (35).

Snowball, Napoleon, and Squealer have taken charge especially, and they have expanded Old Major’s concept into a “complete system of thought” called Animalism. They hold frequent meetings in the big barn to espouse the views of Animalism to the other farm animals. At first, the animals are not convinced that they should follow Animalism. Some feel loyalty to Mr. Jones, some worry that they cannot be self-sufficient, and others, such as Mollie, worry about losing treats such as sugar and ribbons. Snowball contradicts Mollie, saying that the ribbons are “the badge of slavery” and that “liberty is worth more than ribbons” (37).

Moses causes trouble for the pigs by inventing an animal heaven called Sugarcandy Mountain., a utopia for another time. In contrast, Clover and Boxer are some of the pigs’ strongest collaborators. Not being very intelligent themselves, Clover and Boxer memorize simple pro-Animalism arguments that they pass on to the others.

Monetary troubles plague Mr. Jones, leading him to drink excessively. The farmhands are lazy and fail to tend the farm well, yet hard times for Mr. Jones mean a leg up for the animals. In fact, Mr. Jones’s misfortune makes the Rebellion come earlier than expected. On Midsummer’s Eve in June, Mr. Jones gets so drunk that he passes out and neglects to feed the animals. Having gone unfed for hours, the animals break into the store-shed and eat. Mr. Jones and the farmhands rush in and begin whipping the animals indiscriminately, and the animals respond by attacking them in unison. The men are frightened and forced to flee the farm.

After a disbelieving calm, the animals barge into the harness-room and drown or burn all the implements of their former bondage. Snowball makes sure to burn the ribbons, which he calls tantamount to clothing, and states, “All animals should go naked” (40). The animals then help themselves to double servings of food and sleep better than they ever have. When they awake the next morning, they survey the farm with new eyes, absorbing the fact that it is now their own. Finally, they tour the farmhouse, seeing in disbelief the “unbelievable luxury” in which the Joneses had lived. Then the animals agree to leave the farmhouse intact as a museum. They confiscate a few hams for burial and leave.

The pigs reveal that they have taught themselves to read and write from an old children’s book, which they burned in the bonfire of human belongings. Snowball uses paint to replace the title “Manor Farm” with “Animal Farm” on the farm gate. Back in the big barn, they reveal that they have reduced Animalism to Seven Commandments. The animals must live by these commandments “for ever after.” The commandments, which Snowball writes on the wall with some typographical errors, are:

1. Whatever goes upon two legs is an enemy.
2. Whatever goes upon four legs, or has wings, is a friend.
3. No animal shall wear clothes.
4. No animal shall sleep in a bed.
5. No animal shall drink alcohol.
6. No animal shall kill any other animal.
7. All animals are equal.

After reading the Seven Commandments out loud, Snowball declares that the animals must begin the hay harvest. Three cows interrupt his thought by lowing in pain, since their udders are full to bursting. Some pigs milk the cows, producing “five buckets of frothing creamy milk” (44). The animals wonder what to

do with the milk, but Napoleon puts off that decision for a later time. The animals begin the harvest in the fields, and when they return the milk is gone.

Analysis

Old Major's death represents Lenin's death in 1924, which left Stalin (Napoleon) and Trotsky (Snowball) to vie for the leadership position. Major's meeting changes the animals' outlook on life, but Orwell is careful to mention that not all the animals quite grasp Major's idea of a utopian society. All the animals can learn "Beasts of England," but only those smart enough can truly assume the revolutionary spirit and the task of preparing for the Rebellion. The pigs become the organizers very quickly. It is important to note two things about their rise to power. First, the pigs have not always been in charge of the other animals, though later in the book when the pigs are so thoroughly demonized, Orwell makes it hard for the animals—and the reader—to remember that. But they are superior by nature—or at least by tradition—when it comes to intelligence. Second, the pigs' intentions are not necessarily bad at first. They take on the task of organization because of their reputed superiority rather than a desire to take control for themselves. Just as Boxer is best suited for hard manual labor, the pigs take their place for organizational work in the animals' division of labor.

Snowball, Napoleon, and Squealer organize Major's ideas into the theory of Animalism, which can stand for any "complete system of thought" but is meant to evoke Soviet Communism. If Snowball and Napoleon represent the organizers of Communism, then the other pigs represent those of the Russian intelligentsia who became involved in the revolutionary cause. The Seven Commandments represent Communism in its theoretical, idealized form. In writing, the Seven Commandments look fair and hold true to Major's stipulation that the animals not emulate humans. Though the animals intend to live by the Seven Commandments "for ever after," we will learn quickly that the tenets of Animalism do not translate perfectly into reality, especially not with the seeds of elitism already planted among the pigs.

Like any new theory, Animalism is met with doubt and opposition. The most notable objection comes from Mollie, the fickle mare that represents Russia's elite. Although the common animals also doubt Animalism, Mollie is spoiled by the special treatment she received under Jones's rule (mirroring the czar's rule). She also, despite being superficial and fickle, has the intelligence and the resources to get herself out of Animal Farm, which the "peasant" animals lack. Historically, many of the Russian elite were unwilling to give up their privileges, just as Mollie is loath to give up ribbons, sugar, or being petted. Like Mollie, they became expatriates in capitalist societies where they could retain their advantages (this was a particularly wise move, considering what had happened to the nobility during the French Revolution). Moses also presents a challenge to Animalism, just as religion presented a challenge to Communism. Historically, Stalin used intimidation and force to crush religion and promote atheism in the Soviet Union. However, despite their efforts to promote their ideas over those of Moses, the leadership of Animal Farm allows Moses to come and go as he pleases. The struggles and inconsistencies of Animalism as practiced can be made softer by belief in an animal heaven to be enjoyed later.

Mr. Jones's monetary troubles mirror the Russian throne's ineffectiveness and dwindling power on the eve of the Revolution. The air is ripe for revolution, and the animals seize the opportunity to run Jones off his own land. The animals are kinder to Jones than the revolutionaries were to Czar Nicholas II, who was executed on Lenin's orders along with his family.

With Jones gone, the animals begin to realize Major's vision of a utopian, animal-run society that operates under its own ideology. The Rebellion could represent the February Revolution (though it happens on Midsummer's Eve) or the Russian Revolution as a whole. The February Revolution did result in Czar Nicholas II's abdication, which Jones's expulsion mirrors neatly. The story, however, does not need a one-to-one correspondence with history, and Orwell can make his points more crisply by adapting the history to his carefully crafted allegory.

Although the animals live happily for a while, it is important to note that the pigs have begun their clandestine and elitist activities already. For example, they order that all artifacts of the animals'

oppression be burned. The pigs thus burn a children's book they used to teach themselves to read and write, but the resource is no longer available after the book-burning. Throughout the novel, Orwell emphasizes the other animals' lack of intelligence, but we can never be sure that the animals' ignorance and illiteracy is due to lack of intelligence rather than an oppressive environment, generation after generation, that has made their lower status and ability seem natural. When the pigs take the milk for themselves, the reader knows that this is the beginning of a new round of subjugation and oppression by an elite.

Summary and Analysis of Chapter III

The harvest is more of a success than Mr. Jones and his men ever accomplished, despite the fact that the tools are not well suited for animals to use, especially without the animals rearing up on their hind legs. The pigs supervise the others but do not participate in the manual labor. With the "parasitical human beings" out of the way, the animals enjoy a feeling of abundance for the first time. They have more leisure, and their food tastes all the better for their having gathered and portioned it out themselves.

On Animal Farm, everyone works "according to his capacity." Boxer is invigorated and pushes himself to work harder than ever; because he is strong and big, he contributes to the most strenuous labor. In contrast, the hens and ducks work at gathering small bits of corn that the bigger animals would not be able to gather. The system of Animalism is working well: every animal is satisfied with his share of the labor and its fruits. No one steals or argues, and very few shirk their responsibilities, with the exception of the cat and frivolous Mollie.

Every Sunday is a day of rest and devotion to Animalism on Animal Farm. The animals hold an hour-long ceremony at which they raise their new flag. The flag is green to represent England's pastures and features a hoof and horn that "represent the future Republic of the Animals" that will exist "when the human race [has] finally been overthrown" (48). A gathering called Meeting follows the flag raising, in which the animals plan the coming week and the pigs present resolutions for debate (none of the other animals are intelligent enough to think up resolutions). Snowball and Napoleon tend to debate the most and take opposite sides. The animals end each meeting by singing "Beasts of England."

The pigs set up a study center for themselves in the harness-room, where they study trades using Mr. Jones's books. Snowball begins organizing the animals into Animal Committees, including the Egg Production Committee, the Clean Tails League, the Wild Comrades' Re-education Committee (to tame rats and rabbits), and the Whiter Wool Movement. These committees generally fail to produce results or remain cohesive. Snowball does succeed in teaching some of the animals to read, although most of them lack the intelligence needed for literacy. In fact, many of the animals lack the intelligence needed to memorize the Seven Commandments, so Snowball reduces Animalism's tenets to one simple saying: "Four legs good, two legs bad" (50).

As time goes by, the pigs begin to increase their control over the other animals. For example, when Jessie and Bluebell give birth to puppies, Napoleon takes them to an isolated loft where he can teach them. Napoleon believes that educating young, impressionable animals is more important than trying to re-educate older ones. It turns out that the pigs are mixing the cows' milk with their food. When the wind knocks ripe apples out of the orchard trees, the pigs claim the right to take them all, as well as the bulk of the coming apple harvest. The pigs claim that they need milk and apples in order to power their "brainwork." Squealer says that, were the pigs to stop eating milk and apples, they could lose their powers of organization and Mr. Jones could come back. The threat of Mr. Jones's return is enough to quell the other animals' doubts and questions.

Analysis

At first, the animals seem to be living in the utopia Major had imagined for them. Now that they have their own ideology and own the means of production, they feel "rich and free," just as Major predicted. They enjoy a temporary calm as well as a sense of invigoration after years of discontent, now assume Man's position of control over themselves and nature. In doing organizational work, the pigs are working in accordance with their capacity. But at the same time, the pigs are fairly large and strong animals that could surely contribute to the farm's manual labor force. They are slowly assuming Man's competitive advantage and becoming "the only creature that consumes without producing."

From the very beginning of the Animal Farm era, Boxer assumes the majority of the burden of labor. Now that he is working for the animals' benefit and not Jones's, he feels enlivened and adopts the first of his two personal maxims, "I will work harder." In his heartiness, usefulness, and relative dullness, Boxer represents the faithful peasant. Some critics have pointed out the similarity of this motto to that of the main character in Upton Sinclair's The Jungle. Indeed, Orwell was certainly familiar with Sinclair's writings. While Sinclair's novel criticized capitalism, Orwell's focuses on Communism. Either way, the point expands the reader's consciousness to see how elitism can result in willing subjugation in very different regimes. Boxer is not pugnacious despite his name, but he is as strong as his name implies. In this way, Boxer is painfully ironic. He is strong enough to kill another animal, even a human, with a single blow from his hoof, and the dogs will not be able to overpower him in Chapter VII. Still, Boxer lacks the intelligence and the nerve to sense that he is being misled and mistreated. He knows how to use his brawn only in submission to his leaders and not against them.

Chapter III marks the beginning of the dispute between Snowball and Napoleon, which evokes the power struggle between Trotsky and Stalin. After Trotsky's fashion, Snowball is a progressive, eloquent, and public politician. He not only creates countless plans for reform, but he also dominates the Sunday meetings with his skillful and rabble-rousing orations. Snowball has the capacity to inspire the animals just as Major did in his big meeting. After Stalin's fashion, Napoleon conducts his politics clandestinely. His public statements are generally limited to rebuttals of Snowball's ideas; he keeps his own plans to himself. For example, Napoleon secrets the puppies away to a loft and, by keeping out of the public eye, manages to rear them into fierce, blood-hungry, creatures submissive to him. Napoleon's collaboration with and control of the dogs evokes Stalin's focus on quietly gaining support from powerful allies.

Chapter III also introduces the idea of propaganda. "Stirring" as it may be, "Beasts of England" is more of a revolutionary anthem than a piece of propaganda. It is meant to unite the animals in the cause of the Rebellion and help them to envision the utopia for which they must strive. But most of the animals are not intelligent enough to let the song do more than vaguely inspire their hopes. Without even being able to remember the Seven Commandments, most of the animals rely merely on the propagandist refrain, "Four legs good, two legs bad." Snowball reduces the Seven Commandments into this single maxim, vastly oversimplifying the full system of Animalism into a catchphrase. As the animals adopt the phrase, they begin to forget the Seven Commandments, which gives the pigs the opportunity to change them. In fact, the pigs manage to break every one of the other commandments without arousing much suspicion. Clover and Muriel, who periodically think about the Seven Commandments, are easily duped in this regard. Having memorized the simple maxim in their place, they are easily convinced that their doubts about the original content of the commandments are unfounded.

Squealer, who represents the propaganda machine, introduces fear tactics in this chapter. After convincing the animals that the pigs have a right to milk and apples, he threatens the animals with Jones's return for the first time. The pigs have created an environment where their rules must be followed out of fear of the return of the old order. It is an easy, winning response to animals that see only the two alternatives and cannot see a way back to the utopian principles that inspired their rebellion.

Summary and Analysis of Chapter IV

It is late summer. News of the Rebellion has spread to many other farms, thanks to Snowball's and Napoleon's pigeon messengers. Meanwhile, in the human world, Mr. Jones tells other farmers about the Rebellion. The fear of similar revolutions unites the owners of the farms adjacent to Animal Farm, even though they dislike one another. Easy-going Mr. Pilkington (of large, neglected Foxwood) and hard-nosed Mr. Frederick (of small, better-kept Pinchfield) spread rumors to discourage their animals from turning against them. They say that the animals on Manor Farm are starving. When this claim turns out to be clearly untrue, they claim that the animals are cannibals who practice all kinds of wickedness.

Despite the farmers' efforts to subdue ideas of rebellion, their animals begin lashing out against them. The animals resist the farmers' orders. They also adopt the infuriating habit of singing "Beasts of England."

In October, accompanied by several other farmers, Mr. Jones tries to recapture Animal Farm. Snowball has already trained the animals for war, however, and they take their defensive positions. The smaller animals attack the men and then pretend to retreat into the yard in defeat. Once the men follow, the larger

animals ambush them. Mr. Jones kills one sheep and wounds Snowball several times with his gun, but the animals manage to overpower the humans. Boxer is thought to have killed a stable-lad, which upsets the stalwart horse. But it turns out that the boy is only injured, and he flees with the other men. The only animal who does not fight is Mollie, whom the animals discover cowering in her stall.

After the battle, the animals sing “Beasts of England” yet again. They invent a military honor called “Animal Hero, First Class,” which they bestow upon Snowball and Boxer. Then they bury the fallen sheep and confer upon him posthumously the title of “Animal Hero, Second Class.” The animals decide to call this conflict the Battle of the Cowshed. They agree to fire Mr. Jones’s gun into the air twice a year, on the anniversaries of the battle (October 12) and of the Rebellion (Midsummer’s Eve).

Analysis

The first part of Chapter IV mirrors the international reaction to the young Soviet Union. For centuries, other nations had been able to write off Russia as a backwards and disorganized country, despite the size of its territory and population. There had been socialist uprisings elsewhere, and efforts like the French Revolution had not brought the workers’ utopia that had been dreamed of. But after the Russian Revolution, and armed with a new ideology and power structure, the Soviet Union began to garner international interest due to its prospects for success. Communism thus re-entered the realm of international politics as a possibly viable alternative to fascism and capitalism, and workers around the world were hopeful that the promises of the Soviets would come to fruition everywhere. We see this history reflected in the farmers’ growing awareness of the happenings on Animal Farm and in the animals’ rebelliousness on their own farms.

Part of Trotsky’s politics (called Trotskyism) was the belief that the Revolution should be encouraged in other countries, leading to an international revolution of the proletariat. Orwell mirrors this view in Snowball’s pigeon-messenger missions; he enlists the birds to spread news of the Rebellion to farms across England. Thus, Animal Farm is not just an example of change but an agent of the new solidarity of the animals.

Snowball’s efforts work to an extent, since animals on other farms not only start disobeying their owners but also agitate the owners--as Trotsky’s ideas agitated foreign nations. At once fascinated and threatened by the Soviets’ increasing power, some foreign leaders found the need to suppress the seeds of revolution in their own countries. Thus, when Pilkington and Frederick spread lies about Animal Farm, they represent the Western vilification of Communism. Although the farmers and capitalists to some degree were just protecting their own investments, it turns out that the villains really are the pigs and the Stalinists after all.

Jones’s attempt to recapture Animal Farm strengthens the bonds between the animals. The animals, small and large, work together to successfully overthrow the humans once more. Of course, the animals do not like the war. At the same time, it strengthens their determination to maintain their freedom and work for the greater good.

The Battle of the Cowshed also creates a legend about Snowball’s heroism that will become subject to revisionism throughout the book. In truth, Snowball leads the charge against Jones and his men, being shot several times in the process. Over time, memories will fade and the battle will be reinterpreted by those in power.

According to some critics, the Battle of the Cowshed represents the October Revolution, in which the Bolsheviks replaced the provisional government. This idea is supported by the battle’s date (October 12) and the animals’ post-battle resolution to fire the gun on the anniversaries of the Rebellion and the Battle of the Cowshed; in that resolution, Orwell seems to liken the two events to two main turns in the Russian Revolution. But Orwell does not give us a neat parallel with history. Russia was disorganized and dissatisfied under the provisional government, whereas Animal Farm is already prospering in Chapter IV. Also, the animals are already living by the Seven Commandments, which symbolize the Soviet decrees

passed after the October Revolution. As we will see in the refiguring of the Red Terror, Orwell does not adhere tightly to historical progression in the novel, letting his own message take precedence.

Summary and Analysis of Chapter V

As winter approaches, Mollie's behavior becomes increasingly perturbed. She is late for work and feigns injury in order to shirk her duties. More seriously, Clover has spotted Mollie at the border of Foxwood, allowing Mr. Pilkington to stroke her nose and talk to her. Mollie denies the accusation, but her embarrassment confirms that she is lying. On a hunch, Clover goes to Mollie's stall and finds a hidden stash of sugar and ribbons. Mollie disappears soon after. She is seen in a painted cart, gussied up and taking sugar from a man who appears to be some kind of manager. The other animals never mention her again.

January brings bitterly cold weather. Since conditions are too harsh for farming, the animals hold many meetings. They have agreed that the pigs should make all policy decisions, which the other animals are to ratify. Snowball and Napoleon are in constant disagreement, and the other animals begin to take sides. The sheep support Napoleon and interrupt Snowball's speeches by bleating, "Four legs good, two legs bad." Snowball is the more progressive politician, promoting innovations to make the farm run more efficiently. Napoleon makes sure to oppose all of Snowball's ideas.

After some time, Snowball and Napoleon come into bitter conflict over a windmill. Snowball designates a piece of land for a windmill, which will provide electricity for the heretofore-primitive farm. He uses Mr. Jones's books to draft a detailed chalk blueprint, which fascinates the other animals. One day, Napoleon urinates on the blueprint to show his disdain.

Snowball estimates that the animals can complete the windmill with a year of hard labor, after which the time saving machine will shorten their workweek to three days. Napoleon counters with the idea that they will all starve to death in that time, and that the farm's primary concern should be increasing food production. The animals split into two groups, one called "Vote for Snowball and the three-day week," the other called "Vote for Napoleon and the full manger" (65). The only animal not to take a side is Benjamin, who is pessimistic about both plans.

Snowball and Napoleon engage in another major debate about how best to prepare for another human attack. Napoleon advocates the procurement of firearms as well as firearms training. Snowball advocates sending pigeons to rally the other animals; if rebellions occur everywhere, then the humans will stay at bay. The other animals do not divide over this issue because they cannot decide who is right.

Finally, Snowball completes his blueprint for the windmill. The animals hold a meeting at which Snowball wins over the majority with his descriptions of the leisurely life that the windmill will allow. Suddenly, Napoleon signals "nine enormous dogs wearing brass-studded collars," which barge into the barn and chase Snowball out. Snowball manages to escape through a hedge. The frightened animals gather once more in the barn. As it turns out, the nine dogs are Jessie's and Bluebell's puppies. They seem to consider Napoleon their master. Napoleon takes the stage and announces that Sunday meetings with all their accompanying debates will cease, and he will lead a small committee of pigs in making decisions. This mandate disturbs the other animals, but most of them are too dull to argue and too afraid of the dogs to show their disapproval. Four pigs protest briefly.

After the meeting, Squealer explains the new arrangement to the other animals. Just as in the case of the milk and apples, Squealer claims that taking on leadership responsibilities is a burden for Napoleon and his committee; they do it only for the general welfare. If left to make their own decisions, he explains, the animals might make a wrong decision. He also calls Snowball a criminal; even if he was brave in the Battle of the Cowshed (an idea that Squealer also questions), "loyalty and obedience are more important." Squealer tells the animals, "Discipline, comrades, iron discipline! That is the watchword for today." Again as in the case of the milk and apples, Squealer ensures the animals' compliance by threatening Mr. Jones's return. Of all the animals, Boxer takes obedience to the pigs to heart most. He now has two personal maxims: "Napoleon is always right" and "I will work harder" (70).

Winter turns into spring. The pigs disinter Old Major's skull and place it at the base of the flagpole beside the gun. When they meet to receive their orders for the week, the animals no longer sit all together. Rather, the dogs and other pigs gather around Napoleon, Squealer, and another pig named Minimus. Only three

days after Snowball's removal, Napoleon announces plans to build the windmill and make similar improvements to the farm. Squealer explains to the animals that Napoleon had never really opposed the windmill—in fact, it was “his own creation,” which Snowball had copied. With evident pride, Squealer explains that Napoleon's feigned opposition to the windmill was simply a “maneuver” in his plan to expel Snowball for disobedience; it was a brilliant example of “tactics” (72).

Analysis

In Chapter IV, we saw conflicting evidence concerning the relationship between the Battle of the Cowshed and the historical October Revolution. Mollie's desertion in the beginning of Chapter V makes a case for the Battle of the Cowshed's representing the October Revolution. Once both parts of the Russian Revolution were completed (insofar as these were two touchstones of the revolution), Lenin could begin making major social and economic changes. Again, many improvements have already been instated on Animal Farm by the time of the Battle of the Cowshed, which would be too early for consistency with history—but not necessarily out of order for Marxist theory. If the trend toward collectivization after the Rebellion ruffled Mollie, the second revolutionary struggle, the Battle of the Cowshed, incites her to action. Just as many of Russia's former elite emigrated after the Russian Revolution because they refused to live under Communism, Mollie “emigrates” in order to avoid living under Animalism. The fact that Mollie leaves only after the Battle of the Cowshed supports its representing the October Revolution.

After the Battle of the Cowshed, the pigs award themselves the task or “burden” of making all policy decisions. This fact also supports the idea that the Battle of the Cowshed represents the October Revolution because, although the Seven Commandments are already in place, the pigs tighten their control over the populace just as the Bolsheviks did once the Revolution was complete. In general, Chapter V corresponds to the mid-to-late 1920s, when Trotsky and Stalin's power struggle came to a head. Historically, Trotsky was a brilliant orator, so he was good at inspiring the public on a large scale. Orwell mirrors this in the faction called “Vote for Napoleon and the three-day week.” However, Stalin easily outdid Trotsky in his ability to garner not just a wash of support, but deep-seated and influential support. Snowball may dominate the stage at meetings, but Napoleon gets the sheep to heckle Snowball by interrupting his speeches by chanting, “Four legs good, two legs bad!” In their heckling, the sheep represent those of Stalin's supporters who took to disrupting Trotsky's speeches at Party meetings.

Orwell does not have a literary reason to follow the details of history and character because he is doing much more than retell a story in his own way; he chooses his details and his symbols in order to make his own points. The windmill is at the center of Snowball's and Napoleon's fiercest debate. Rather than representing a specific point of debate between Trotsky and Stalin, the windmill symbolizes Soviet industry, both agricultural and factory. The narrator tells us that, up until the building of the windmill, Manor Farm has been stuck in the past. It is not technologically advanced, though other farms are. This mirrors the fact that, coming into the Soviet Era, Russia's agriculture and city industry lagged behind other civilized countries. All of the three original Soviet leaders, Lenin, Trotsky, and Stalin, recognized the need for industrial progress and had varying ideas about how to pursue it. In his conception and promotion of the windmill, Snowball can be seen to take a turn as Lenin. Lenin's New Economic Policy (NEP) was an attempt to stimulate Russian productivity, one that Stalin ceased and replaced with his own “windmills,” the Five Year Plans. On a broader scale, the windmill represents the abstract Soviet cause toward the common good.

Over the years, the animals will work tirelessly to build the windmill, sacrificing everything from their rest days to their rations in order that it might be completed. In the same way, Soviet citizens labored for an abstract “common good,” the fruits of which they never saw. Each time the windmill is destroyed, Napoleon gives the animals new hope that, next time around, they will build it and reap its benefits. In the same way, Stalin kept the Soviet people trained on a good that, time after time, slipped from their grasp.

In Chapter V, Orwell also brings up the central difference between Trotskyism and Stalinism. As we have discussed previously, Trotsky advocated the extension of the Revolution on an international scale. In contrast, Stalin advanced the idea of Socialism in One Country, in which he stated that, considering the

failure of communism in other nations, the Soviet Union should focus its energy internally. Stalin's Socialism in One Country was a revision of Marxism-Leninism. Orwell mirrors these events in Snowball's and Napoleon's debate over how best to protect Animal Farm against another human attack. Snowball wants to send messengers to spread the message of the Rebellion. Napoleon wants to stockpile weapons and train the animals to use them. Just as Stalin revised Marxism-Leninism with Socialism in One Country, Napoleon has begun to hijack Animalism to serve his own ideals.

In 1929, Stalin expelled Trotsky from the Soviet Union. In a similar move, Napoleon ousts Snowball from Animal Farm. Snowball's rabble-rousing cannot protect him against Napoleon's dogs, just as Trotsky's oration skills were no match for the power that Stalin was slowly and steadily cultivating. The revelation of the attack dogs is the first sign of the new violence between animals on Animal Farm. It is a kind of coup.

Under Napoleon, as under Stalin, propaganda takes on a much-expanded and more powerful role. Specifically, Squealer comes to represent Stalin's revisionist propaganda machine. No sooner than Snowball is gone, Squealer is already questioning Snowball's bravery in the Battle of the Cowshed. Notably, Squealer claims that the windmill was Napoleon's idea all along. Whether this is true or not, it certainly seems like revisionist history.

With the exhumation of old Major's skull, Orwell makes the point that propaganda is often effective not simply for its message but for the atmosphere of domination it creates. Napoleon is changing Major's ideas in order to create his own personal regime in the same way that Stalin changed Marxism-Leninism. Still, he makes the animals march past Major's skull as though they are still adhering to the old boar's exhortations.

Summary and Analysis of Chapter VI

The animals work sixty-hour weeks all spring and summer in order to build the windmill, but none begrudges the extra labor. In August, Napoleon instates "strictly voluntary" labor on Sundays: animals may choose not to come, but they will have their rations reduced by half. There are plenty of building materials on the premises, and the animals discover that they can break limestone into pieces by using the force of gravity. However, the process of dragging boulders to the top of the quarry and throwing them down is very taxing. Boxer compensates by picking up the other animals' slack, for which they admire him.

Shortages begin to occur. The animals require things, such as iron for horseshoes and machinery for the windmill, that they cannot produce on the farm. To provide a solution, Napoleon opens trade with the neighboring farms and says that the animals may need to sell some of the hens' eggs in the nearby town of Willingdon. He makes sure to stress the fact that the windmill should be the animals' first priority. The other animals are "conscious of a vague uneasiness" because the Seven Commandments forbid trade with humans and the use of money. Napoleon assures the animals that they, at least, will not have to make contact with human beings. He has already set up an agreement with a solicitor in town named Mr. Whymper, who will act as their intermediary to the human world.

After the meeting, Squealer assures the animals that trade and the use of money are allowed after all—that no resolution against those activities has ever been passed. He convinces them that their memory of such a resolution is mistaken. Mr. Whymper visits the farm every Monday to get his orders. Meanwhile, in the human world, humans are more opposed than ever to Animal Farm's existence. They hope that the windmill will fail and the farm will go bankrupt. Still, they secretly admire Animal Farm's efficiency, which they have begun to call by its new name. They even stop valorizing Mr. Jones, who has moved away.

One day, the pigs move into the farmhouse. The other animals again feel uneasy, remembering faintly a resolution that forbade such an action. Again, Squealer convinces them that they are mistaken. Napoleon, whom Squealer now calls "The Leader," should be granted the honor of living in a house. Furthermore, the pigs need a quiet workplace. Squealer's lies satisfy some of the animals. But Clover decides to investigate when she learns that the pigs have taken to sleeping in beds. She tries to read the Seven Commandments on the barn wall, but she cannot. Muriel is able to read it for her. One resolution has been

changed to: “No animal shall sleep in a bed with sheets” (79). At this point, Squealer approaches and denies that there was ever a rule against beds—only sheets. As usual, he justifies the pigs’ actions by threatening Mr. Jones’s return. Soon after, the pigs award themselves the additional privilege of waking up an hour late.

By autumn, the windmill is half finished. One night in November, violent winds ravage the farm and destroy the windmill. Napoleon quickly blames the destruction on Snowball. He sentences Snowball to death and offers half a bushel of apples and the title of “Animal Hero, Second Class” to any animal that detains him. There is a track of pig footprints leading to the hedge, which Napoleon attributes to Snowball. Then Napoleon rouses the animals to action, saying, “Forward, comrades! Long live the windmill! Long live Animal Farm!” (83).

Analysis

In Chapter VI, the animals begin working tirelessly to complete the windmill. In this case, we can see the windmill as the first of Stalin’s Five Year Plans. The Five Year Plans had the same aim as Lenin’s New Economic Policy, which was to stimulate Russian industry and help bring it into the 20th century. Unlike the NEP, which left some control of industry in the people’s hands, Stalin’s Five Year Plans brought Russian industry under complete government control. Orwell mirrors this pattern in Napoleon’s tightening of the reigns on the animal workforce. Napoleon’s supposedly “voluntary” but actually compulsory Sunday labor sets him even farther apart from Snowball, who advocated a shorter workweek.

This episode also reflects Stalin’s reliance on tactics of deception. Although Stalin was clear with industry leaders about the goals of the Five Year Plans, he continued manipulating the public to foster increased—albeit successful—labor. As in history, the animals of Animal Farm are able to achieve great productivity but do not benefit personally from their efforts. They suffer shortages because for all their work, the windmill (like the heavy industry on which Stalin focused Soviet efforts) cannot yet provide them with energy, much less the basic things they need.

Unlike Napoleon, who opens trade relations with neighboring farms, Stalin was conservative about foreign trade. Rather than representing a specific event in history, Napoleon’s decision to conduct business with other farms is another opportunity for Orwell to point out Stalin’s hypocrisy and revisionism by means of the pigs’ rejection of the original principles of the Rebellion. The very basis for Animalism is the idea that humans are the enemy and not to be trusted—“four legs good, two legs bad.” By negotiating with humans, Napoleon undermines Animalism completely at the same time he is reminding the animals that the windmill should be their first priority. By having Napoleon show such disregard for Animalism’s tenets, Orwell suggests that Stalin was more a proponent of his personal interests than he was of the cause of Communism. Like Napoleon, Stalin did not seem to believe in the greater good for which he forced his people to work so tirelessly.

Orwell mirrors Stalin’s caution in dealing with foreign nations in Napoleon’s procurement of an intermediary, Mr. Whymper. Additionally, Whymper represents those countries that traded with the Soviet Union while turning a blind eye to Stalin’s abuses. Whymper (whose name suggests whimpering or docility) works purely for profit and never interferes in Animal Farm’s affairs.

Orwell also expands his critique of Stalin’s revisionist propaganda. The pigs break another of the Seven Commandments when they begin living in the farmhouse and sleeping in beds. Clover and Muriel investigate, only to discover that the commandment has been changed to suit the pigs’ desires. Through his smooth talking, Squealer convinces Clover and Muriel that the commandment has always concerned the use of sheets and not beds. In this revision, the allegory serves Orwell particularly well. Stalin and his propagandists plastered the Soviet Union with propaganda in the form of posters, songs, art, and countless other media. Squealer’s version of this pattern is to continually re-paint the Seven Commandments to reflect Napoleon’s changes in policy. Orwell humorously suggests a Soviet agent going around the Soviet Union, personally scratching out and rewriting the slogans on posters. The point is that the propaganda changes to suit those in power and to keep a controlled acquiescence among the rest.

Chapter VI also continues Orwell's critique of the tactic of intimidation. When Clover and Muriel question the Seven Commandments' accuracy, Squealer threatens them (as usual) with Jones's return. In this chapter, Napoleon's fear tactics culminate with the windmill's destruction. Though natural forces are to blame, Napoleon blames the disaster on Snowball in the same way Stalin considered Trotsky a threat even in exile. In the novel, Napoleon sentences Snowball to death, but we never find out whether his orders are carried out, or if Snowball is even still alive at the time of his sentencing. In history, Stalin eventually did have Trotsky assassinated by a Soviet agent in 1940. Whether Snowball is a true threat to Animal Farm or not, Napoleon makes sure the animals believe Snowball is. In this sense, Snowball represents the nebulous foreign threat of which Stalin kept his people wary. There are now two terrorist enemies to fear, Mr. Jones (even if he has left town, other men remain to be afraid of) and Snowball.

Orwell makes the connection between fear tactics and economic strategy very clear at the end of Chapter VI. Napoleon moves directly from accusing Snowball of destroying the windmill to urging the animals, "Forward, comrades! Long live the windmill! Long live Animal Farm" (83). Napoleon remains a leader the animals are willing to follow—they cannot see another choice, anyway, especially with Mr. Jones and Snowball cast as enemies—but the legitimacy of Napoleon's authority is becoming more and more suspect to the reader.

Summary and Analysis of Chapter VII

The animals work to rebuild the windmill through a bitter, stormy winter, well aware that the human world is watching and hoping for their failure. Because of increasing food shortages, the animals begin to go hungry. Knowing that the humans must not hear of Animal Farm's hardships, Napoleon enlists the sheep to comment about their increasing rations when within earshot of Mr. Whymper. He also has someone lead Mr. Whymper past the food bins, which are filled with sand and topped with grain to look full.

Napoleon appears in public less and less, and when he does, six fierce dogs act as his guards. As there is need for more grain, he has Mr. Whymper arrange a contract to sell four hundred eggs per week. The hens rebel by laying their eggs in the rafters so that the eggs smash on the floor. Napoleon stops the hens' rations and makes feeding a hen punishable by death. Nine hens die, supposedly of coccidiosis, during the five-day strike, after which the hens surrender.

Napoleon negotiates with Mr. Frederick and Mr. Pilkington, who wish to buy Animal Farm's supply of timber. A rumor begins circulating among the animals that Snowball is sneaking into the farm at night and causing mischief. From then on, the animals attribute any misfortune to Snowball's interference. Napoleon arranges a public investigation of Snowball's activities. He surveys the farm and claims to smell Snowball's scent everywhere. The animals are terrified. That evening, Squealer tells the animals that Snowball now belongs to Mr. Frederick, with whom he is plotting to overtake Animal Farm. He claims that Snowball was collaborating with Mr. Jones from the very beginning and claims to have supporting evidence. Squealer also claims that Snowball intended to get the animals killed in the Battle of the Cowshed. When the animals, including Boxer, protest, Squealer invents yet another lie. He claims that Napoleon cried, "Death to Humanity!" during the battle and bit Mr. Jones in the leg. Boxer continues to protest until Squealer tells him that the news is directly from Napoleon. Boxer replies, "If Comrade Napoleon says it, it must be right" (91). Before leaving, Squealer gives Boxer a nasty look and warns the animals that Snowball's secret agents are lurking among them.

Four days later, Napoleon calls an assembly. He wears the medals of "Animal Hero, First Class" and "Animal Hero, Second Class," which he has awarded himself. Napoleon's dogs drag out of the crowd the four pigs that had opposed the cessation of Sunday meetings. The dogs try to drag Boxer out as well, but he deflects them. The pigs confess that they collaborated with Snowball in destroying the windmill and were planning to help Mr. Frederick overtake Animal Farm. They also confess to knowing of Snowball's partnership with Mr. Jones for years. Then the dogs tear out the four pigs' throats. Napoleon asks whether any other animal wishes to confess. Three hens, which had led the hen rebellion, confess that Snowball incited them to revolt in a dream vision. After this, several other animals confess to crimes both great (murder) and small (stealing). Napoleon has them all murdered.

After the public executions, the horrified animals slink away. Boxer blames the evil among them to some “fault” in themselves and suggests that the way to quash it is to work harder. As Clover sits on the knoll with the other animals, she considers how different their current situation is than the ideal Old Major put forth. The animals were supposed to create a society of equality and freedom, not one of “terror and slaughter” (95). Still, Clover thinks Animal Farm is better than it was in the days of Mr. Jones’s rule, and her heart remains faithful to it. Unable to put her thoughts into words, Clover leads the animals in singing “Beasts of England.”

Suddenly, however, Squealer arrives with a dog escort and forbids the animals from singing the anthem, for Napoleon has abolished it. He explains that the Rebellion has now ended with the slaughter of the unfaithful and that, being a song of the Rebellion, “Beasts of England” has no further purpose. The animals are now to sing Minimus’s anthem, which begins with the lyrics: “Animal Farm, Animal Farm, / Never through me shalt thou come to harm!”

Analysis

In Chapter VII, Orwell focuses again on the gap between the tirelessness of the animals’ efforts and the benefits they receive. We discussed earlier that, because Stalin focused more on heavy industry than on consumer products, the Soviet people faced shortages of the things they needed the most. Because of chronic shortages in the Soviet Union, there arose the cliché of standing in line for most anything one wanted, including food and toilet paper. When one wanted to purchase a bigger item, such as a car, one was put on the end of a seemingly interminable list. On a side note, Stalin’s focus on heavy industry corresponded with his name—meaning steel—which he chose for himself (Stalin was born with the much more ethnically-specific surname Dzhugashvili).

Napoleon begins to shelter himself from public scrutiny and makes Squealer and the dogs do his dirty work. This corresponds with Stalin’s habit of being a figure in the shadows. Stalin gave orders from the comfort of his office, while the propagandists and secret police meted out his demands and punishments. The negotiations over the timber represent Stalin’s export of the products of heavy industry. Napoleon’s waffling between Pilkington and Frederick also mirrors Stalin’s caution in dealing with foreign nations.

Meanwhile, in order to distract the animals from their hardships and frustrations, Napoleon increases the amount of propaganda on Animal Farm. Squealer, his agent as usual, cultivates the idea that Snowball is lurking on the perimeter of Animal Farm and plotting mischief against the animals. Napoleon also makes a personal and very public show of claiming to smell Snowball’s scent all around the farm. By giving the impression that Snowball is everywhere, Napoleon at once makes Snowball, a concrete entity, into a nebulous threat and creates an atmosphere of almost palpable fear: “The animals were thoroughly frightened. It seemed to them as though Snowball were some kind of invisible influence, pervading the air about them and menacing them with all kinds of dangers” (89). By personally investigating Snowball’s whereabouts, Napoleon keeps himself tied to the greater good in the public’s eyes. At once, they feel frightened and also cared for, but they attribute the former feeling to Snowball and the latter to Napoleon.

Soon enough, Napoleon turns Snowball from an outside threat into a pervasive internal threat. Boxer unwittingly gives Squealer the idea when he protests Squealer’s revision of Snowball’s heroism. Only after Boxer challenges him does Squealer first warn the animals that Snowball’s secret agents have infiltrated their ranks. Here, Orwell satirizes Stalin’s intensification of fear tactics. In Stalin’s Soviet Union, people of every gender, age, and profession were suspected of treachery. Many were forced to confess to things they did not do, all in the name of keeping the public subdued by fear. At this point, we should recall that the Red Terror, the first organized attempt to stamp out anti-Communist sentiment in the Soviet Union, was Lenin’s prerogative. Therefore it predated Trotsky and Stalin’s debates as well as Trotsky’s expulsion. It stands to reason that Orwell skips over the Red Terror in order to assign all terrorist tactics to Napoleon (as opposed to including Snowball). Orwell’s experiences in the Spanish Civil War, in which he fought on the side of Trotskyists, may have informed this omission as well.

In any case, Napoleon’s execution assembly represents the Moscow Trials and the Great Purge, Stalin’s widespread campaign to suppress any and all dissent in the Soviet Union. Indeed, this was a far cry from the cooperation and good cheer with which the Animalism revolution began. In the Soviet Union, it began

as a “cleansing” of the Communist Party and was expanded to one of the entire, vast Soviet population, among which tens of millions were killed or deported. In the Moscow Trials of 1936-1938, Stalin incriminated many party leaders, charging them with crimes ranging from conspiracy to attempted assassination. The accused gave their confessions, seemingly freely in front of a general assembly, just as Napoleon’s accused give theirs in front of all the other animals. This gave lookers-on a reason to believe that the traitors were rightfully accused, another belief we see repeated in *Animal Farm*. As Orwell suggests in the text, Stalin (and Napoleon) staged the confessions by using violence and fear tactics to coerce the accused. Witnesses at the trials also gave scripted testimony in order to force guilt upon the accused. Stalin had the accused traitors executed (or, if they were lucky, expelled) just as Napoleon has the dogs rip out the throats of the supposed traitors. Despite the publicity of the Moscow Trials, Stalin often had torture and executions performed in secrecy. Orwell makes Napoleon’s purge not only public but especially cruel in order to shed light on the magnitude and barbarism of Stalin’s purges. It is one thing to hear of an execution by humans against humans for political reasons, quite another to contemplate the image of fierce dogs tearing out traitors’ throats.

The Soviet population became terrified of execution and internment in forced labor camps called Gulags. In the novel, the animals’ immediate response to the purge is fear and disillusionment. Shaken, Clover and the other animals try to take comfort in “Beasts of England”—they know that something has gone terribly, terribly wrong but cannot quite describe what or how. They want to focus on the positive ideas of freedom and abundance. Squealer shatters even that comfort when he announces that the song is obsolete and therefore forbidden. We can assume that the real reason Napoleon abolishes it is that, since the animals have committed it to memory, he cannot revise it like the Seven Commandments. Therefore, he forces the animals to forget it, along with the tenets of their beloved Animalism, to be replaced with a new song and new values that are looking more and more like the values under which Mr. Jones ran the farm.

Summary and Analysis of Chapter VIII

Once the terror abates, some of the animals recall the Sixth Commandment, “No animal shall kill any other animal.” Clover again asks Muriel to read to her from the wall, only to find that the Sixth Commandment has been changed to: “No animal shall kill any other animal without cause” (98). Clover and Muriel convince themselves that the commandment has always been that way and decide that treachery justifies murder after all. The animals work even harder than in the previous year. On Sundays Squealer assures them, by reading statistics from a sheet of paper, that their efforts are increasing production many times over. The animals can do nothing but believe Squealer. They can scarcely remember life before the Rebellion.

Napoleon restricts his public appearances further to about once a month. He is said to eat separately from the other pigs, using the fine china. He also decrees that the gun be fired every year on his birthday. The animals now call Napoleon “our Leader, Comrade Napoleon.” Just as the animals attribute all misfortunes to Snowball, they now attribute all success and luck to Napoleon. Minimus composes a poem called “Comrade Napoleon,” which Napoleon has inscribed on the wall across from the Seven Commandments, where Squealer also paints his portrait.

Napoleon continues to negotiate with Mr. Frederick and Mr. Pilkington, though the timber remains unsold. Rumors of Mr. Frederick’s plans to overthrow the farm continue. In the summer, three hens confess to plotting against Napoleon’s life and are executed instantly. After that, Napoleon increases his security even more and enlists a pig named Pinkeye to be his taster, lest someone attempt to poison him. Napoleon finally agrees to sell the timber to Pilkington, as well as to engage in regular trade with Foxwood. Meanwhile, rumors about Frederick’s coming invasion, as well as his cruel practices at Pinchfield, begin to circulate. One day, Napoleon announces that he never planned to do business with Frederick at all. He makes the messenger pigeons change their slogan from “Death to Humanity” to “Death to Frederick” (103). He also, strangely, forbids them from going to Foxwood.

The wheat fields turn out to be filled with weeds, a misfortune that the animals blame promptly on Snowball. A gander confesses to knowing about the plot to mix weed seeds with the wheat seeds and commits suicide. To bring further ignominy upon Snowball’s memory, Squealer disseminates a rumor that Snowball never received the title of “Animal Hero, First Class” at all. As usual, he is able to quell any questions that arise from his rewriting of history.

At last the windmill is finished, with walls twice as thick as before. The animals are very proud of their achievement. Napoleon names the windmill "Napoleon Mill." Two days later, Napoleon calls a meeting to announce that he has sold the timber to Frederick, not Pilkington. He denounces Foxwood and makes the pigeons change their slogan to "Death to Pilkington." Napoleon claims that Frederick had never planned to invade Animal Farm and that he was not as cruel as rumored. Moreover, Snowball has never been at Foxwood or been Frederick's collaborator; in reality, he has been Pilkington's longtime collaborator. The pigs are proud of Napoleon's shrewdness. They believe Napoleon's claim that his relationship with Pilkington was just a pretense to get Frederick to raise his bid. Even cleverer, Napoleon refused to let Frederick pay for the timber with a check, instead demanding cash that he will use to buy the windmill machinery. Napoleon goes so far as to hold a special meeting where the animals can inspect the banknotes. Three days later, Whymper informs Napoleon that the banknotes are forgeries. Napoleon sentences Frederick to death by boiling alive and tries to reconcile with Pilkington. The next morning, Frederick and his armed men overtake the farm. Napoleon considers calling Pilkington for help, but Pilkington sends a note that says, "Serves you right." As the animals watch helplessly, Frederick and his men blow up the windmill. After that, the animals put up a fight and manage to chase the men off. Squealer, who was not in the battle, has the gun fired as a sign of victory. For the first time, Boxer's faith in the value of hard work begins to flag. However, Napoleon devotes two days to celebrating the victory at the newly named Battle of the Windmill and burying the slain. He also gives himself the title, "Order of the Green Banner."

A few days later, the pigs discover a store of whisky, which they begin consuming. The morning after, the pigs do not show up for work. Squealer finally emerges to inform the animals that Napoleon is dying, a fact that the animals blame on Snowball. He announces Napoleon's final declaration: drinking alcohol should be punishable by death. However, Napoleon recovers and, soon after, asks Whymper to procure information on how to brew alcohol. He also designates a field for the propagation of barley. Soon after, a strange episode occurs. One midnight, a crash in the barn awakens the animals. They rush there only to discover Squealer with a broken ladder and a can of paint. Benjamin seems to understand what is happening but declines to share his insight with the others. However, a few days later, Muriel notices that the Fifth Commandment has been changed from "No animal shall drink alcohol" to "No animal shall drink alcohol to excess" (113).

Analysis

Napoleon's revisionism continues with the alterations of the commandments. Worst of all is the reversal from "No animal shall kill any other animal" to "No animal shall kill any other animal *without cause*." This particular revision may strike a particularly deep chord with readers on the parallel between the original Commandment and the Biblical commandment "Thou shalt not kill." On that note, we should notice that by this point, Moses is absent from Animal Farm along with the morality he represents and his vision of Sugarcandy Mountain, which could help the animals through their terror. Napoleon adds to his array of propaganda the reading of optimistic statistics. Stalin's Five Year Plans were successful, especially considering how much catching up Russia had to do, but they did not meet up to his exceptionally high projections. Maintaining appearances was deemed vital to the regime's international reputation.

At this point, Napoleon can trust that his terrorist tactics have made the animals submissive. They cannot believe in their own safety, so they embrace any good news they can get, and good news arrives to them almost exclusively in the form of propaganda. They have lost the ability to judge their success or their quality of life because they cannot remember what life was like before or just after the Rebellion. The animals have also become immune to the type of outrage that their leaders' deceit might arouse in someone with a democratic education and mindset. Even when they catch Squealer in the act of revising the Seven Commandments, they are too subdued to protest. The animals have taken on Benjamin's quality of apathy, not because they are naturally apathetic like him, but because Napoleon has molded and terrorized them to be that way. In the same way, the Soviet populace adjusted to Stalin's tactics of fear and manipulation. Powerless to change anything, they grew to accept it. In psychology this might be called a denial, a defense mechanism, or a coping mechanism. Again, the nobles, who tended to have better educations than the working class, had fled.

As the animals are forced to live an increasingly restricted lifestyle, Napoleon and the pigs are continually awarding themselves privileges and taking an unfair share of the rations. Historically, this corresponds to

Stalin's privileging of the Communist elite. While the typical Soviet citizen worked hard and gained little, the typical member of the Communist elite had access to everything from fancy consumer goods to summer houses in the country. During the 1930s, it became increasingly difficult for people to join the ranks of the Communist elite. Orwell reflects this in *Animal Farm*, where there is absolutely no social mobility. Pigs alone have access to privileges such as sleeping in beds and drinking alcohol. We should recall that the seeds of this extreme class stratification, contrary to the tenets of Animalism and to Marxism-Leninism, began very early on when the pigs appropriated the milk supply. Orwell introduces the pigs' privileges early and increases them gradually to show how insidious and therefore successful Stalin's policies could be. People can be subjugated severely when the subjugation is enacted by degrees.

The events of Chapter VIII cover the historical events of: Hitler's ascension to power in Germany, the Nazi-Soviet non-aggression pact, and Germany's invasion of the Soviet Union. Napoleon continues to be suspicious of Frederick just as Stalin kept one eye open as Hitler ascended to power in Germany. The stories of animal torture on Frederick's farm are meant to symbolize the reports of atrocities coming out of Nazi Germany. The rumors are not substantiated in *Animal Farm*, presumably because the truth about the scale and severity of Hitler's atrocities did not emerge fully until after World War II. Napoleon's tightening leash on *Animal Farm*'s consciousness is reflected in his interactions with the messenger pigeons. The pigeons, which were formerly his mouthpieces, are now forbidden from flying over the neighboring farms. Presumably, Napoleon does not want them to undermine his ever-changing opinions about Frederick and Pilkington.

In 1939, the Soviet Union and Nazi Germany signed a non-aggression pact that promised neutrality and cooperation between the two nations. In *Animal Farm*, Napoleon's trade agreement with Frederick symbolizes this pact. Napoleon does not trust Frederick completely, as shown in his unwillingness to accept a check. In the same way Stalin was wary of Hitler and his goals, perhaps seeing some of his own ruthlessness and ambition in Hitler's eyes. Napoleon's distrust of Frederick soon turns out to be true, just as Stalin was right not to trust Hitler completely. Hitler's forces invaded the Soviet Union in 1941, an event that Orwell mirrors in Frederick's attack on *Animal Farm*. He summarizes the incredible damage that the Nazis did before their defeat in the destruction of the windmill.

Pilkington's neutrality during the conflict and his not-so-neutral message, "Serves you right," satirize the Allies' initial hesitance to respond during World War II. World War II devastated the Soviet population, which lost over twenty million people. Orwell reflects the magnitude of the Soviet Union's loss in Boxer's flagging enthusiasm. Even he, the bastion of positive thinking, finds it difficult to recoup after the Battle of the Windmill. With *Animal Farm* so isolationist and duplicitous toward the human world (compare modern-day North Korea), it is no wonder that it faces withering shortages, demoralization, and tyranny within and hostility everywhere without.

Summary and Analysis of Chapter IX

Boxer's split hoof, an injury from the battle, taxes him; still he will not let it deter him from rebuilding the windmill before he reaches retirement age. When they first formed Animal Farm, the animals had agreed on fixed retirement ages and pensions. The winter is bitter again. Rations, save the pigs' and dogs', are reduced--"readjusted," as Squealer says. To appease the animals, Squealer reads the animals more statistics to make them believe that their lives are better than in the days of Mr. Jones's rule. The animals are overworked, underfed, and cold, but they are happy to believe Squealer.

Thirty-one young pigs now live on the farm, all of them parented by Napoleon. He makes plans to build them a schoolhouse and discourages them from interacting with other types of animals. He also institutes two rules of pig superiority: other animals must stand aside on the path to let pigs pass, and pigs are allowed to wear green ribbons on their tails on Sundays. Napoleon also awards himself the privilege of eating sugar. Still, times are hard on the farm, and the animals struggle to make ends meet. The chickens are forced to lay six hundred eggs per week to sell in town and can barely keep any for hatching. Rations are reduced again, and the animals are not allowed lanterns in their stalls anymore in order to save oil. Meanwhile, the pigs seem to be flourishing.

Towards the end of winter, the animals smell a new scent in the wind, which they discover is from the barley Napoleon has begun to cook. Soon after, the pigs announce that all barley is reserved for them. Each pig gets a pint of beer added to his rations, with Napoleon getting half a gallon. To distract the animals from their hardship, Napoleon increases the amount of propaganda on the farm. This includes songs, speeches, poems, statistics, marches, and his newly created Spontaneous Demonstrations, in which the animals celebrate their victories. The animals enjoy the Spontaneous Demonstrations, which remind them of their freedom and self-sufficiency.

In April, Napoleon declares Animal Farm a Republic, and the animals elect Napoleon unanimously as president. His new propaganda claims Snowball was not a covert human collaborator, but an open one who charged into battle on the human side yelling, "Long Live Humanity!" (119). In mid-summer, Moses returns from a long absence. His stories of Sugarcandy Mountain return with him. The other animals enjoy the stories, with the exception of the pigs. Boxer and the other animals work feverishly to complete their tasks, which now include building the schoolhouse for the young pigs. One day, Boxer overworks himself so much that he collapses, unable to get up. In his sickly state, he expresses a wish to retire early along with Benjamin. The animals fetch Squealer, who relays Napoleon's decision to send Boxer to the veterinary hospital in Willingdon.

Over the next two days, Boxer lies in his stall and takes doses from "a large bottle of pink medicine" that the pigs send from the farmhouse. He expresses his wish to spend his final years learning the rest of the alphabet. One afternoon, a van comes to take Boxer away. It has "lettering on its side and a sly-looking man in a low-crowned bowler hat sitting on the driver's seat." The hopeful animals wish Boxer goodbye, but Benjamin breaks their revelry by reading the lettering on the side of the van: "Alfred Simmons, Horse Slaughterer and Glue Boiler, Willingdon. Dealer in Hides and Bone-Meat. Kennels Supplied" (123). The animals panic and try to get Boxer to escape. He tries to get out of the van, but he has grown too weak to break the door. The animals try to appeal to the horses drawing the van, but they do not understand the situation.

Boxer never returns, but three days later the pigs announce that he died in the hospital despite receiving the best care. Squealer claims to have been present at Boxer's death, a tale he relates emotionally to the other animals. He claims that Boxer's last words were, "Forward, Comrades! ... Forward in the name of the Rebellion" and "Long live Animal Farm! Long live Comrade Napoleon! Napoleon is always right" (125). Squealer also claims that the van belongs to the veterinarian, who had recently bought it from the horse slaughterer and had not yet managed to paint over the lettering. These stories satisfy the animals. The next Sunday, Napoleon promises to honor Boxer with a special wreath and a memorial banquet. On the day the banquet is to be held, a large crate arrives at Animal Farm. That night, the pigs are rowdy inside the farmhouse and do not wake up until noon the next day. The animals hear a rumor that the pigs had bought a case of whisky.

Analysis

World War II devastated not only the Soviet Union's populace but also its economy. Agriculture and factory production, which the people had worked so tirelessly to fortify in previous decades, were left in shambles. In *Animal Farm*, the windmill represents the Soviet people's economic progress. Frederick and his men ruin the windmill in one fell swoop just as the Nazis destroyed the Soviet Union's hard-earned progress. In the Five Year Plans after World War II, Stalin had no choice but to focus on recouping the Soviet Union's losses. In the same vein, Napoleon rededicates the animals to the windmill's construction. Boxer's attitude after the war represents the toll that the war took on the Soviet people's morale. Still, they managed to rally just as Boxer does, despite even harsher shortages than ever.

Despite harsh conditions for the rest of the animals, the pigs are flourishing. Napoleon has managed to parent thirty-one new pigs, which he plans to make disciples of his theories by building a schoolhouse. (This may be a reference to the Thirty Tyrants of ancient Greece, only a little worse.) As usual, Napoleon makes the animals complicit in their own oppression, this time by forcing them to build the schoolhouse on top of their reconstructive and regular workload. Napoleon's abuses become even more blatant and more reminiscent of Jones's behavior when he awards pigs the right of way on the path. The other animals must stand aside in deference to the pigs, which is the sort of behavior a peasant under the feudal system

would have to display in his master's presence. The pigs even assume Mollie's two favorite habits: eating sugar and wearing ribbons in their tails. If we recall that Mollie represents the imperial elite, we can see how far Animal Farm has regressed.

By making Napoleon's abuses so blatant, Orwell exposes the fact that stratification is inevitable in the hands of corrupt leaders and that power and greed are cyclical. The pigs begin the book by carrying out Old Major's ideals of a working-class rebellion just as the Bolsheviks overthrew the czar with Marxism-Leninism in mind. Then, just as Stalin and the Soviet elite came to resemble the imperialists they so despised, Napoleon and the pigs take on human characteristics. This—emulating humans—is the very thing against which Major warned the animals in his meeting. Readers are progressively horrified by the new outrages and betrayals committed by the pigs.

Orwell focuses on propaganda again in Chapter IX. This time he focuses less on the manipulative nature of propaganda and more on its grandeur. Napoleon's Spontaneous Demonstrations are especially pompous and gay, with a cockerel marching in front of the procession. The Spontaneous Demonstrations also involve the animals directly in the propaganda machine. More than singing songs or chanting maxims, they are now marching around the farm to celebrate Animal Farm's glory. The gun, originally intended to solemnly mark the anniversaries of battles, is now used liberally to stir the animals' loyalties. (See the Related Links for a clip from a Soviet propaganda film, keeping the Spontaneous Demonstrations in mind.) Further proving their submission, the animals find the increase in propaganda uplifting: "But if there were hardships to be borne, they were partly offset by the fact that life nowadays had a greater dignity than it had had before. There were more songs, more speeches, more processions ... They found it comforting to be reminded that all the work they did was for their own benefit. ... They were able to forget that their bellies were empty, at least part of the time" (117-118). Orwell comes close to sympathy for the animals in this passage, where he seems to suggest that in addition to the animals' gullibility, they have a desperate need to be uplifted, even by means as false and ridiculous as the Spontaneous Demonstrations. Moses' return also supplies them with much-needed inspiration, although the pigs are wary of his competing influence.

Orwell breaks such reverie with the story of Boxer's illness and murder. By this point, Orwell has repeated the pigs' abuses so many times that the reader may be as desperate as the animals for some relief. But Orwell wastes no time in reminding us that propaganda is just the totalitarian government's machine of deception. Napoleon feels no affinity for Boxer, despite that animal's years of tireless work on Animal Farm's behalf. This is because Napoleon feels entitled to the animals' hard work just as Stalin was more concerned with his own goals than with his people's well being. Napoleon sends Boxer off to the slaughter for profit without seeming to have any second thoughts except for finding a way to explain the betrayal to the other animals. Adding insult to injury, he uses Boxer's murder as an opportunity for more propaganda, having Squealer relate to the animals Boxer's supposed patriotic last words. Then he and the pigs celebrate their latest feat of deception and violence by drinking the case of whisky. While the pigs are becoming more like humans, they are becoming the kind of humans whom others would call animals for their cruelty and irrationality.

Summary and Analysis of Chapter X

After a few years, the only animals that even remember the Rebellion are Clover, Benjamin, Moses, and some of the pigs. Muriel, Bluebell, Jessie, and Pincher have died. Mr. Jones has died in a home for alcoholics. Still, no animal has retired, and no pasture has been put aside for retired animals. Napoleon and Squealer have both become very fat. The farm is bigger, thanks to land purchased from Mr. Pilkington, and now features a threshing machine and hay elevator. The windmill is finished, but the animals use it to mill corn for a profit instead of to generate electricity as planned. Napoleon puts the animals to work building an additional windmill, which he promises will supply electricity. However, he discourages the animals from dreaming of luxury, saying, "The truest happiness ... [lies] in working hard and living frugally" (129).

The pigs and dogs continue to do no manual labor, instead devoting themselves to organizational work that the other animals are "too ignorant to understand" (130). This includes writing up notes and burning them promptly after. Propaganda and pride in living on the only animal-owned farm in England continue to distract the animals from their hardships. One day, Squealer takes all the sheep out to an overgrown

patch of land on the far side of the farm. Over the next week, he claims to be teaching them a song, and no one sees them. On the day the sheep return, Clover alerts the other animals to a disturbing fact: Squealer and the other pigs are walking two-footed, on their hind legs. The sheep break into a chorus of, "Four legs good, two legs better!" Benjamin accompanies Clover to the barn wall, where he deigns to read to her for the first time. In place of the Seven Commandments there is now a single maxim: "All animals are equal / But some animals are more equal than others" (133).

The animals discover that the pigs are buying a telephone and have subscribed to several magazines. Napoleon takes to smoking Mr. Jones's pipe, and the other pigs take to wearing Mrs. Jones's clothes. Napoleon begins wearing Mr. Jones's dress clothes and awards "his favorite sow" the privilege of wearing Mrs. Jones's Sunday dress. One day, Napoleon invites human visitors to tour the farm. That night, the animals spy into the farmhouse and see the pigs dining with the humans. According to Mr. Pilkington's toast, they are celebrating the end of their bad relations. Touring *Animal Farm* has impressed him and the other farmers to follow Animal Farm's example and give their animals more work and less food. Napoleon says he wants to cooperate with the other farms and confirms that he and the pigs co-own the farm's title-deeds. He states that the animals will no longer be calling each other "Comrade" or marching past *Old Major's* skull (a practice he denies understanding anyway). In addition, the flag has been changed to a plain green without the symbols of the Rebellion. Even further, Animal Farm shall again be referred to as "The Manor Farm." The pigs and humans begin to play poker, and a fight erupts when Napoleon and Pilkington both put down the Ace of Spades at the same time. As the animals witness the pigs and humans quarreling over their poker game, they cannot distinguish between them.

Analysis

Orwell fast-forwards to a time when Animal Farm has undergone a great deal of turnover. Only a few animals that remember the Rebellion remain, and their memories of it are faint. Napoleon has rewritten the animals' history to the extent that they feel they no longer have one. He has also manipulated language to the extent that it is meaningless. We see this reflected in the maxim, "All animals are equal / But some animals are more equal than others." The concept of "more equal" is mathematically impossible, but the animals are too disillusioned and brainwashed to notice. In all the years since the Rebellion, not a single animal has gotten the rewards that he was promised or that was experienced so briefly in the days immediately following the Rebellion. In history, Chapter X corresponds to a time somewhere in the distant future, beyond the realm of Orwell's own experience. It is, therefore, the manifestation of his pessimistic conjectures about the future of totalitarianism. In this chapter, Orwell slowly and firmly crushes our hopes along with the animals'. In the end, the pigs have all the tangible fruits of Animal Farm's labor while the animals are left with only empty promises. The windmill, the cause for which countless animals labored and died, has been diverted from its original purpose of supplying electricity. Not even Clover and Benjamin, who are by this time very old, have been allowed to retire. While wearing clothing, smoking pipes, and eating sugar, Napoleon still has the nerve to tell the animals, "The truest happiness ... [lies] in working hard and living frugally" (129). It is a harrowing, dystopic future.

In the pessimistic vein for which he became known, Orwell imagines a future in which not only the Soviet Union, but also the Allies, become totalitarian. We see this reflected in Pilkington's speech at the banquet. He not only agrees to collaborate with Napoleon, but vows to emulate Napoleon's harsh standards of labor and living on his own farm. In his own toast, Napoleon seals the door on Animal Farm's history and breaks the last ties with its original tenets. He changes the farm's name back to "Manor Farm," as though the trials, triumphs, and abuses of the past many years never happened. It is clear that he intends to erase the memory of Animal Farm from history. Stalin and Hitler were both known to do this in educating the youth in their countries. Most likely, the textbooks in Napoleon's schoolhouse will severely skew the truth about Animal Farm, if they mention the name "Animal Farm" at all. Napoleon breaks the final tie with Major when he denies knowing why the animals march past his skull in ceremonious fashion. He is erasing knowledge not just of the ideas that Major stood for, but also all the things he himself authored.

The poker game is multiply symbolic. First, it represents the carelessness with which totalitarian leaders treat their people. The animals are like cards in the gambler's hands, subject to whim and chance. When Napoleon and Pilkington fight over the Ace of Spades (which proves that at least one of them had a card

up his sleeve), they foreshadow the international disagreements and struggles that are sure to follow the temporary postwar peace. In this symbolic meaning, Orwell foreshadows the Cold War even though it did not begin in earnest until after the book was published. Pigs and humans are equals at the table, more or less, and rivals once the game is over.

Orwell demonstrates the fact that oppression is cyclical and the oppressed becomes the oppressor when given the chance. By the novel's end, the pigs are indistinguishable from the humans not only in behavior but also in appearance. Their transformation is complete when they adopt two-legged walking. They treat the animals in the autocratic manner of Jones. In this sense, the story has come full circle.

The future Orwell creates for Animal Farm does not correspond neatly with Imperial Russia. Before the Rebellion, the animals lived under Jones's total control but had the advantage, the bliss, of ignorance. Now they are living under Napoleon's total control, having been enlightened to the possibility of freedom and, it seems, still under the impression that they are free but no longer understanding what true freedom would be. This is consistent with Orwell's belief that 20th-century autocrats such as Hitler and Stalin were of a new and more dangerous kind than the dictators of the past.

Animal Farm is a warning about autocrats who take over socialist ideals for their own aggrandizement. Is there any chance for socialism if human nature is such that the lust for greed and power brings forth leaders who take control and betray its ideals, over against passive and uneducated populations? The capitalist, democratic alternative is to channel that lust into productive work and to limit the power of government to control the freedoms of the people. This alternative creates or aggravates inequalities—one might say that there will always be pigs, dogs, horses, cats, and the rest—but is far preferable to totalitarian control. The challenge for Orwell or for anyone who promotes socialist ideals is to find a practical way to circumvent the abuses that the pigs of Animal Farm so easily commit. But since the novel is a reflection of the challenges of the 1940s rather than a political treatise, Orwell has done quite enough in demonstrating, clearly and horrifyingly, the nature and scope of the challenges to be faced.