# Multimode add/drop multiplexer Based on Dense Waveguide Array

1st Ruoran Liu
State Key Laboratory for Modern
Optical Instrumentation College of
Optical Science and Engineering,
Zhejiang University
Hangzhou, China
liuruoran@zju.edu.cn

2nd Weike Zhao
State Key Laboratory for Modern
Optical Instrumentation College of
Optical Science and Engineering,
Zhejiang University
Hangzhou, China
wkzha@zju.edu.cn

3rd Daoxin Dai\*
State Key Laboratory for Modern
Optical Instrumentation College of
Optical Science and Engineering,
Zhejiang University
Hangzhou, China
\*dxdai@zju.edu.cn

Abstract—Reconfigurable multimode multiplexers are important devices in multimode division multiplexing systems. In this paper, we proposed and demonstrated a multimode add/drop multiplexer based on a dense waveguide array. The proposed structure is very flexible in mode (de)multiplexing and add/drop, and it could find applications in a reconfigurable multimode system.

## Keywords—multimode, add/drop, dense waveguide array

#### I. INTRODUCTION

In recent years, multimode silicon photonics has attracted more and more attention because of its unique advantages[1]. On the one hand, the optical link capacity of a single wavelength can be enhanced heavily by introducing higher-order modes as independent transmission channels. On the other hand, some devices with special features can be achieved with the assistance of higher-order modes. As an essential element of the mode-division multiplexing(MDM) system, mode (de)multiplexers have been investigated extensively. Various structures have been proposed to achieve mode multiplexers, such as multimode multiplexers based on asymmetric directional couplers(ADCs)[2], Y-branch[3], and dual-core adiabatic tapers[4]. These mode multiplexers are placed in the transmitting and receiving terminal of an MDM system, and prohibit adding/dropping a desired mode to the multimode bus waveguide. Flexible multimode add/drop is significant for a reconfigurable MDM system. Some structures have been developed to construct multimode add/drop multiplexers, such as Mach-zehnder interferometer  $(MZI)[\bar{5}],$ micro-ring[6], subwavelength and grating(SWG)[7]. Multimode add/drop multiplexers based on MZI and micro-ring involve the processes of mode multiplexing and de-multiplexing, thus usually having a large footprint. Recently, an SWG-based multimode add/drop multiplexer was proposed, and the SWG is used to redistribute the mode fields, thus one can add/drop arbitrary supporting modes of the multimode bus waveguide by matching its' effective mode index  $(N_{eff})$  with that of drop waveguide[7]. Although this scheme is very compact and flexible, the SWG structure is strict on fabrication. It's worth noting that most of the aforementioned mode (de)multiplexers do not support the flexible add/drop of the desired mode without influencing the transmission of other modes.

In this paper, we propose a multimode (de)multiplexer that can flexibly add/drop all three TE modes without influencing other transmission modes. The dense waveguide array(DWGA) is used as the multimode bus waveguide thus manipulating the mode distribution of the bus waveguide. At the same time, the mode matching between the coupling

waveguide and the bus waveguide is realized to add or drop arbitrary mode. Compared with the previous mode add/drop multiplexers [7], our scheme is more simple in principle and easy to fabricate. The preliminary results show our scheme is advantageous.

## II. PRINCINPLE, STRUCTURE AND DESIGN

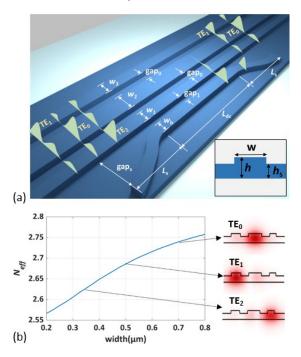


Fig 1 (a) Schematic configuration of the proposed multimode add-drop multiplexer; (b) Effective index of the single-mode as the width varies.

Fig.1(a) shows the 3D schematic diagram of the proposed multimode add/drop multiplexer, and the inset shows the waveguide cross-section. The demonstrated device is designed based on the SOI platform with a h=220-nm-thick top-silicon layer ( $n_{Si}=3.45$ ), a 2-µm-thick silica buffer layer  $(n_{SiO2}=1.444)$ , and a 2-µm-thick silica upper cladding. Shallow-etched waveguide with a slab thickness  $h_s$  of 150 nm is chosen to enhance the mode coupling efficiency, thus making the overall structure more compact. On the other hand, shallow-etched waveguides can obtain lower transmission loss than full etching. As an example, a device supporting three modes is designed here. As shown in Fig.1(a), when the TE<sub>0</sub>~TE<sub>2</sub> modes are launched from the left port of the device, the mode to be dropped (Here, TE<sub>2</sub> as an example) will be coupled to a narrow waveguide close to the DWGA. Meanwhile, the other modes (TE<sub>0</sub> and TE<sub>1</sub>) pass

through the coupling region directly. It should be noted that the order of the dropping mode needn't obey the rules from higher to lower, which is different from the ordinary designs. Fig.1(b) shows the dispersion curve of the shallowly etched waveguide. The bus waveguide comprises three such adjacent single-mode waveguides with different widths, thus localizing the modes in corresponding waveguides. The mode field can be redistributed by adjusting the width and the gap of the dense waveguide array. The mode field distribution of TE<sub>0</sub>, TE<sub>1</sub> and TE<sub>2</sub> modes are shown in Fig. 1 (b). It can be seen that the most energy of TE<sub>0</sub> mode is localized in the widest waveguide, and few of them is distributed in the adjacent waveguide. While the highest-order TE2 is mainly localized in the narrowest waveguide. Any mode in the bus waveguide can be dropped/added arbitrarily by adjusting the width  $w_b$  of the coupling waveguide, thus the effective mode index  $(N_{eff})$  of the coupling waveguide and the bus waveguide get phase-matched. The mode coupling coefficient between the drop waveguide and its' nearest waveguide of DWGA is the largest. Therefore, when we need to drop the mode with energy distribution in the middle waveguide, we need to exchange it with the mode at the lateral waveguide, thus making sure the largest coupling efficiency. To implement such a mode exchange process, one just needs to exchange the widths of these two waveguides adiabatically.

# III. FABRICATION AND CHARACTERIZATION

Fig. 2(a) shows the fabricated SOI sample, it consists of two multimode add-drop multiplexers connected back to back. The enlarged view of the coupling region for the  $TE_2$  mode is shown in Fig. 2(b).

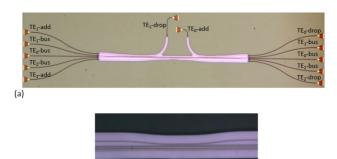


Fig 2 Schematic configuration of (a) the fabricated sample and (b) the coupling region for  $\text{TE}_2$  mode.

To characterize the device, the light is launched into  $TE_0 \sim TE_2$  bus ports on the left panel of Fig. 2(a), respectively, and the output light of the  $TE_0 \sim TE_2$  bus ports and drop port on the right panel of Fig. 2(a) is received with an optical spectrum analyzer (OSA). The normalized results are shown in Fig. 3. The extinction ratios of the  $TE_1$  and  $TE_2$  modes are about 10 dB. While the extinction ratio of the  $TE_0$  mode is not good enough, it is supposed to be aroused by the fabrication error.

## IV. CONCLUSION

In this paper, we proposed and demonstrated a multimode add/drop multiplexer based on the dense waveguide array. The measurement results show that the fabricated device has a 10 dB extinction ratio for  $TE_1$  and  $TE_2$  modes. The proposed

scheme has a flexible (de)multiplexing function and add/drop function for all three modes, which is very important for the construction of reconfigurable multimode systems. For example, one can realize a reconfigurable hybrid multiplexing system by combining this structure with micro-ring or array waveguide grating. In addition, the proposed scheme is also very scalable, and the mode channels can be easily expanded by increasing the number of the dense waveguide array.

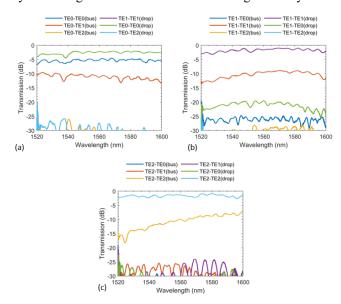


Fig 3 Transmission of the fabricated device for (a) the  $TE_0$ , (b) the  $TE_1$  and (c) the  $TE_2$  modes.

#### ACKNOWLEDGMENT

This work was supported by National Major Research and Development Program (No. 2018YFB2200200/2018YFB2200201), National Science Fund for Distinguished Young Scholars (61725503), National Natural Science Foundation of China (NSFC) (91950205, 61961146003, 62005238, Zhejiang Provincial Natural Science Foundation (LZ18F050001, LD19F050001), Zhejiang Provincial Major Research and Development Program (No. 2021C01199), and the Fundamental Research Funds for the Central Universities.

# REFERENCES

- C. Li, D. Liu, and D. Dai, "Multimode silicon photonics," Nanophotonics, vol. 8, no. 2, pp. 227-247, November 2019.
- [2] J. Wang, S. He, and D. Dai, "On-chip silicon 8-channel hybrid (de)multiplexer enabling simultaneous mode- and polarizationdivision-multiplexing," Laser & Photonics Reviews, vol. 8, no. 2, pp. L18-L22, 2014.
- [3] W. Chen et al., "Silicon three-mode (de)multiplexer based on cascaded asymmetric Y junctions," Optics Letters, vol. 41, no. 12, pp. 2851-2854, June 2016.
- [4] D. Dai et al., "10-Channel Mode (de)multiplexer with Dual Polarizations," Laser & Photonics Reviews, vol. 12, no. 1, January 2018.
- [5] S. Wang, H. Wu, H. K. Tsang, and D. Dai, "Monolithically integrated reconfigurable add-drop multiplexer for mode-division-multiplexing systems," Optics Letters, vol. 41, no. 22, pp. 5298-5301, November 2016.
- [6] S. Wang et al., "On-chip reconfigurable optical add-drop multiplexer for hybrid wavelength/mode-division-multiplexing systems," Optics Letters, vol. 42, no. 14, pp. 2802-2805, July 2017.
- [7] X. Yi, W. Zhao, C. Li, C. Ye, and D. Dai, "Subwavelength-structureassisted multimode add-drop multiplexer," in Asia Communications and Photonics Conference 2021: Optica Publishing Group, Technical Digest Series Published,2021, p. M5D.6