

# Chapter 1

## Scattering on graphs

Scattering has a long history of study in the physics literature. Ranging from the classical study of colliding objects to the analysis of high energy collisions of protons, studying the interactions of particles can be very interesting.

### 1.1 Introduction and motivation

Let us first take motivation from one of the most simple quantum systems: a free particle in one dimension. Without any potential or interactions, we have that the time independent Schrödinger equation reads

$$\frac{\partial^2}{\partial x^2}\psi(x) = -\frac{2m}{\hbar^2}E\psi(x) = -k^2\psi(x), \quad (1.1)$$

which requires the (unnormalizable) solutions,

$$\psi(x) = \exp(-ikx) \quad (1.2)$$

for real  $k$ . These *momentum states* correspond to particles travelling with momentum  $k$  along the real line, and form a basis for the possible states of the system.

If we now also include some finite-range potential, or a potential  $V$  that is non-zero only for  $|x| < d$  for some range  $d$ , then outside this range the eigenstates remain unchanged. The only difference is that we will deal with a superposition of states for each energy instead of the pure momentum states. In particular, the scattering eigenbasis for this system will become

$$\psi(x) = \begin{cases} \exp(-ikx) + R(k)\exp(ikx) & x \leq -d \\ T(k)\exp(-ikx) & x \geq d \\ \phi(x, k) & |x| \leq d \end{cases} \quad (1.3)$$

for some functions  $R(k)$ ,  $T(k)$ , and  $\phi(x, k)$ .

In addition to these scattering states, it is possible for bound states to exist. These states are only nonzero for  $|x| < d$ , as the potential allows for the particles to simply sit at a particular location. One of the canonical examples is a finite well in one dimension, in which depending on the depth of the well, any number of bound states can exist.

### 1.1.1 Infinite path

With this motivation in mind, let us now look at the discretized system corresponding to a graph. In particular, instead of a continuum of positions states in one dimension, we restrict the position states to integer values, with transport only between neighboring integers. Explicitly, the position basis for this Hilbert space can be labeled by  $n \in \mathbb{N}$ . In this basis, the discretized second derivative takes the form

$$\Delta^2 = \sum_{x=-\infty}^{\infty} (|x+1\rangle - 2|x\rangle + |x-1\rangle)\langle x| = \sum_{x=-\infty}^{\infty} (|x+1\rangle\langle x| + |x-1\rangle\langle x|) - 2\mathbb{I}. \quad (1.4)$$

If we then rescale the energy levels, we have that the identity term in the right hand side of (1.4) can be removed, so that  $\Delta^2$  on this discretized one-dimensional system is proportional to the adjacency matrix of an infinite path.

With this representation of the second derivative operator, we can then see that the time-independent Schrödinger equation then becomes

$$\Delta^2|\psi\rangle = \left( \sum_{x=-\infty}^{\infty} (|x+1\rangle\langle x| + |x-1\rangle\langle x|) - 2\mathbb{I} \right) |\psi\rangle = E'_\psi |\psi\rangle. \quad (1.5)$$

If we rescale the energy term, and then break the vector equation into its components, we find that

$$\langle x+1|\psi\rangle + \langle x-1|\psi\rangle = E_\psi \langle x|\psi\rangle \quad (1.6)$$

for all  $x \in \mathbb{Z}$ . If we then make the ansatz that  $\langle x|\psi\rangle = e^{ikx}$  for some  $k$ , we find that

$$\langle x+1|\psi\rangle + \langle x-1|\psi\rangle = e^{ik}e^{ikx} + e^{-ik}e^{ikx} = E_\psi e^{ikx} = E_\psi \langle x|\psi\rangle \quad \Rightarrow \quad (1.7)$$

$$E_\psi = e^{ik} + e^{-ik} = 2\cos(k). \quad (1.8)$$

If we then use the fact that  $E_\psi$  must be real, and that the amplitudes should not diverge to infinity as  $x \rightarrow \pm\infty$ , we find that the only possible values of  $k$  are between  $[-\pi, \pi]$ . Hence, in analogy with the continuous case, the eigenbasis of the Hamiltonian corresponds to momentum states, but where the possible momenta only range over  $[-\pi, \pi]$ . We represent this momentum state with momenta  $k$  as  $|\tilde{k}\rangle$ .

We can then talk about the “speed” of these states, which is given by

$$s = \left| \frac{dE_k}{dk} \right| = 2\sin(|k|). \quad (1.9)$$

Note that in the case of small  $k$ , we recover the linear relationship between speed and momentum. In this way, as the distance between the vertices grows smaller, we recover the continuum case.

One slight problem with this, however, is that these momentum states are not normalizable, and thus technically are not states in the Hilbert space. Additionally, there are an uncountable number of states, while the position basis contains only a countable number of basis states. It turns out that these basis elements are  $\delta$ -function orthogonal, in that

$$\langle \tilde{k}|\tilde{p}\rangle = \sum_{x=-\infty}^{\infty} e^{-ikx} e^{ikp} = \sum_{x=-\infty}^{\infty} e^{i(p-k)x} = 2\pi\delta(p-k), \quad (1.10)$$

so that we can decompose the identity on this space as

$$\mathbb{I} = \sum_{x=-\infty}^{\infty} |x\rangle\langle x| = \frac{1}{2\pi} \int_{-\pi}^{\pi} dk |\tilde{k}\rangle\langle \tilde{k}|. \quad (1.11)$$

## 1.2 Scattering off of a graph

Now that we understand the eigenstates of a discretized, one-dimensional, free particle, we should attempt to understand what happens when we include a finite potential. One method to do this is to add a potential function, with explicit potential energies at various vertices of the infinite path. However, if we wish to examine scattering only on unweighted graphs, we need to be a little more clever. As such, we will connect graphs in such a way that far from our changes the graph will look identical to that of an infinite path. In particular, we will eventually connect semi-infinite (i.e., infinite in only one direction) paths to a finite graph.

With this construction, the eigenvalue equation must still be satisfied along the semi-infinite paths, and thus the form of the eigenstates along the paths must still be of the form  $e^{ikx}$  for some  $k$  and  $x$ . However, we can no longer assume that  $k$  is real, as the fact that the attached semi-infinite paths are only infinite in one direction allow for an exponentially decaying amplitudes along the paths. Additionally, we can have nontrivial correlations between the amplitudes among the different paths.

Note that much of this discussion can be found in [?].

### 1.2.1 Infinite path and a Graph

In the most simple example, let us attached a graph  $\tilde{G}$  to an infinite path. In particular, we assume that  $\tilde{G}$  is attached to a single vertex of the infinite path, and that the graph is attached by adding an edge from each vertex in  $S \subset V(\tilde{G})$  to one specific vertex of the infinite path, which we label 0. Calling this new graph  $G$ , the adjacency matrix of  $G$  is then

$$A(G) = A(\tilde{G}) + \sum_{v \in S \subset V(\tilde{G})} |v\rangle\langle 0| + |0\rangle\langle v| + \sum_{x=-\infty}^{\infty} |x\rangle\langle x+1| + |x+1\rangle\langle x|. \quad (1.12)$$

If we then want to inspect the eigenvectors of this Hamiltonian, we find that the eigenvalue equation on the infinite path is identical to that of an infinite path without the graph attached. Hence, we can see that any eigenstate of the Hamiltonian must take the form  $A_k e^{ikx} + B_{-k} e^{-ikx}$  for some  $k$  along the infinite paths.

With this assumption, we can see that there are three distinct cases for the form of the eigenstates. In particular, the eigenstate could have no amplitude along the infinite paths, being confined to the finite graph  $\tilde{G}$ . It could also be a normalizable state not confined to the finite graph  $\tilde{G}$ , in that the amplitude along the infinite paths decays exponentially. Finally, the eigenstate could be an unnormalizable state, in which case we will call the state a scattering state.

Let us assume that the state is a scattering state. Note that the eigenvalue of the state must be between  $[-2, 2]$ , and that the form of the eigenstate along the paths must be scalar

multiples of  $e^{ikx}$  and  $e^{-ikx}$ . Explicitly, the state must be of the form

$$\langle x | \psi \rangle = \begin{cases} A_k e^{ikx} + B_k e^{-ikx} & x \leq 0 \\ C_k e^{ikx} + D_k e^{-ikx} & x \geq 0 \end{cases} \quad (1.13)$$

where we note that the amplitude can change at  $x = 0$  since we have attached the graph  $\tilde{G}$ . However, we do have that  $A_k + B_k = C_k + D_k$ , since the amplitude at 0 is single valued. Additionally, we have that the eigenvalue of this state is given by  $2 \cos(k)$ . Note that we have not yet determined the form of the eigenstate inside the graph  $\tilde{G}$ , but if we define  $|\phi\rangle$  to be the restriction of  $|\psi\rangle$  to the finite graph  $\tilde{G}$ , then  $|\phi\rangle$  must satisfy the equation

$$A(G)|\phi\rangle + (A_k + B_k) \sum_{v \in S} |v\rangle \langle v | \phi \rangle = 2 \cos(k) |\phi\rangle, \quad (1.14)$$

where the additional term arises from the fact that the vertices in  $S$  are connected to the vertex 0. Finally, we have that

$$2 \cos(k) \langle 0 | \psi \rangle = A e^{-ik} + B e^{ik} + C e^{ik} + D e^{-ik} + \sum_{v \in S} \langle v | \phi \rangle, \quad (1.15)$$

since the eigenvalue equation must be satisfied at 0.

While all of this seems rather complicated, we can focus on the case where  $A_k = 1$  and  $D_k = 0$  and the case where  $A_k = 0$  and  $D_k = 1$  individually, so that along one of the semi-infinite paths (corresponding to  $x > 0$  or  $x < 0$ ), the amplitude is given by  $e^{ikx}$  or  $e^{-ikx}$ . These two states correspond to the eigenstates of the infinite path with the amplitudes given by  $e^{-ikx}$  and  $e^{ikx}$ , with changes representing how adding the graph  $\tilde{G}$  affect the eigenstates.

With this assumption, let us first look at the case where  $A_k = 1$  and  $D_k = 0$ . We then have that the eigenstates take the form

$$\langle x | \psi \rangle = \begin{cases} e^{-ikx} + B_k e^{ikx} & x \leq 0 \\ C_k e^{-ikx} & x \geq 0 \end{cases} \quad (1.16)$$

so that  $1 + B_k = C_k$ . Note that this is reminiscent of a scattering state, with reflection amplitude  $B_k$  and transmission amplitude  $C_k$ , so that we take this intuition.

## 1.2.2 General graphs

More generally, let  $\hat{G}$  be any finite graph, with  $n + m$  vertices and an adjacency matrix given by the block matrix

$$A(\hat{G}) = \begin{pmatrix} A & B^\dagger \\ B & D \end{pmatrix}, \quad (1.17)$$

where  $A$  is an  $N \times N$  matrix,  $B$  is an  $m \times N$  matrix, and  $D$  is an  $m \times m$  matrix. When examining graph scattering, we will be interested in the graph  $G$  given by the graph-join of  $\hat{G}$  and  $N$  semi-infinite paths, with an additional edge between each of the first  $N$  vertices of  $\hat{G}$  and the first vertex of one semi-infinite path.

We shall label the first  $N$  vertices of the graph  $\widehat{G}$  as  $(1, i)$ , where  $i \in [N]$ , as these correspond to the first vertex in each semi-infinite path, and we will call these the *terminal vertices*. We refer to the other  $m$  vertices of  $\widehat{G}$  as the *internal vertices* of  $\widehat{G}$ , and label them as  $w \in [m]$ , and we refer to the vertices on the  $N$  semi-infinite paths as  $(x, i)$ , where  $i \in [N]$  labels which infinite path the vertex is located on (corresponding to which vertex  $(1, i)$  the path is attached to), while  $x \in \mathbb{N}$  and  $x \geq 2$  labels the distance along the semi-infinite path the vertex is located. With this labeling of the vertices of  $G$ , the adjacency matrix of  $G$  is then given by

$$A(G) = A(\widehat{G}) + \sum_{j=1}^N \sum_{x=1}^{\infty} (|x, j\rangle\langle x+1, j| + |x+1, j\rangle\langle x, j|). \quad (1.18)$$

At this point, we want to examine the possible eigenstates of the matrix  $A(G)$ . It turns out that there are 3 different kinds of eigenstates, corresponding to the different qualitative properties of the eigenstate along the semi-infinite paths.

While we will mostly be interested in the third such type, it is important to understand the other kinds of eigenstates.

### 1.2.2.1 Confined bound states

The easiest states to analyze are the confined bound states, which are eigenstates in which the only nonzero amplitudes are on vertices inside the finite graph  $\widehat{G}$ . If any vertex on the semi-infinite paths has nonzero amplitude for some eigenstate of the Hamiltonian, then the form of the Hamiltonian forces all vertices on that path to have nonzero amplitude, and thus these confined bound states are exactly those states that have finite support in the basis of vertex states.

To find these confined bound states, we restrict our Hilbert space to the space spanned by the internal vertices of  $\widehat{G}$ . The states of interest then correspond to the eigenstates of  $D$  (the induced adjacency matrix of  $A(G)$  when restricted to the internal vertices of  $\widehat{G}$ ) with the additional restriction that the state lies in the nullspace of  $B^\dagger$ , so that we can extend this state to the full Hilbert space by simply assuming all other amplitudes are zero.

As we originally assumed that there are only  $m$  internal vertices of  $\widehat{G}$ , there are at most  $m$  such confined bound states. Additionally, note that there are no restrictions on the eigenvalues of these states, other than those that are inherited from any restrictions placed on it by  $D$  (such as the energy being bounded by the maximum degree of  $\widehat{D}$ ).

### 1.2.2.2 Unconfined bound states

The next possible type of eigenstates are those that are not confined to the finite graph  $\widehat{G}$  but are still normalizable. Since these states still have amplitude along the semi-infinite paths, we know that they must be of the form  $Ae^{(ikx)}$ , for some  $A$  and  $k$ . However, when  $k$  is not real (corresponding to a decaying amplitude along the paths), we have that

$$2 \cos(k) = 2 \cos(k_r + ik_i) = 2 \cos(k_r) \cosh(k_i) - i \sin(k_r) \sinh(k_i). \quad (1.19)$$

Hence, if we assume that the state is normalizable, then  $k_i \neq 0$ , and as the adjacency matrix is Hermitian, we must have that the eigenvalue is real, forcing  $k_r = \pi n$  for some  $n \in \mathbb{N}$ . Note

that this then implies that  $e^{ik} = z$ , for some  $z \in (-1, 1) \setminus \{0\}$  (where 0 corresponds to the confined bound states).

**[TO DO: Finish this section, and determine whether this is finite or infinite.]**

### 1.2.2.3 Half-bound states

The half-bound states are the limit of the states as  $\kappa \rightarrow 0$ . In particular, they are those states where the amplitude along the infinite paths take the form  $(\pm 1)^x$ . These states aren't quite bound, in that they are not normalizable, but they are also not quite scattering states, as they correspond to non-moving scattering states. They won't play much of a role in this paper, but I did want to mention them.

### 1.2.2.4 Scattering states

We finally reach the point of scattering states, or those states we can use for computational tasks. We first assume that we are orthogonal to all bound states, and in particular that we are orthogonal to all confined bound states. This allows us to uniquely construct the scattering states (without this assumption, if there existed a confined bound state at the appropriate energy, then we could simply add any multiple of the confined bound state to get a different scattering state).

Taking some intuition from the classical case, we will construct a set of states that correspond to sending a particle in towards the graph  $\widehat{G}$  along one of the semi-infinite paths and understanding how it scatters off of the graph. Namely, for each  $i \in [N]$  we will assume that there exists a state with amplitude along the  $i$ -th path of the form  $e^{ikx} + S_{i,i}(k)e^{-ikx}$  for  $k \in (-\pi, 0)$ , and that the rest of the paths have amplitudes given by  $S_{i,q}(k)e^{ikx}$ . More concretely, we assume that the form of the states is given on the infinite paths by

$$\langle x, q | \text{sc}_j(k) \rangle = \delta_{j,q} e^{-ikx} + S_{qj} e^{ikx}. \quad (1.20)$$

We then need to see whether such an eigenstate exists. In this case, note that  $S_{qj}$  corresponds to the transmitted amplitude along the  $q$ -th path if the particle was incident along the  $j$ -th path.

If we continue to make the assumption that these states exist, we can also write the amplitudes of the  $m$  interval vertices as a column vector, as  $\vec{\psi}_i(k)$ , in which  $\vec{\psi}_i(k)$  is the projection of  $|\text{sc}_j(k)\rangle$  onto the internal vertices of  $\widehat{G}$ . We can then collect these vectors into an  $N \times m$  matrix, namely

$$\Psi(k) := \begin{pmatrix} \vec{\psi}_1(k) & \vec{\psi}_2(k) & \cdots & \vec{\psi}_N(k) \end{pmatrix} \quad (1.21)$$

**[TO DO: check if this is correct  $N \times m$  or  $m \times N$ ]**

Noting that the amplitudes for  $\text{sc}_j(k)$  on the terminal vertices is given by  $e^{-ik}|1, j\rangle + S_j(k)e^{ik}$  (thinking of  $S_j(k)$  as a vector), we can then collect all of the eigenvalue equations for the vertices in  $\widehat{G}$  (both internal and terminal) as

$$\begin{pmatrix} A & B^\dagger \\ B & D \end{pmatrix} \begin{pmatrix} e^{-ik}\mathbb{I} + S(k)e^{ik} \\ \Psi(k) \end{pmatrix} + \begin{pmatrix} e^{-2ik}\mathbb{I} + e^{2ik}S(k) \\ 0 \end{pmatrix} = 2 \cos(k) \begin{pmatrix} e^{-ik}\mathbb{I} + S(k)e^{ik} \\ \Psi(k) \end{pmatrix}. \quad (1.22)$$

By examining the lower half of this matrix equation, we can see that

$$\Psi(z) = \frac{1}{2 \cos(k) \mathbb{I} - D} (e^{-ik} B + e^{ik} B S(z)), \quad (1.23)$$

which gives the amplitudes of the internal vertices in terms of the scattering matrix.

If we then examine the upper half of the matrix equation, we find that

$$A(e^{-ik} \mathbb{I} + e^{ik} S(k)) + B^\dagger \Psi(k) + (e^{-2ik} \mathbb{I} + e^{2ik} S(z)) = 2 \cos(k) (e^{-ik} \mathbb{I} + e^{ik} S(k)) \Rightarrow \quad (1.24)$$

$$-\left( \mathbb{I} - e^{ik} \left( A + B^\dagger \frac{1}{2 \cos(k) - D} B \right) \right) S(k) = \mathbb{I} - e^{-ik} \left( A + B^\dagger \frac{1}{2 \cos(k) - D} B \right). \quad (1.25)$$

Hence, if we define

$$Q(k) = \mathbb{I} - e^{ik} \left( A + B^\dagger \frac{1}{2 \cos(k) - D} B \right), \quad (1.26)$$

we find that

$$S(k) = -Q(k)^{-1} Q(-k). \quad (1.27)$$

Putting this all together, we then have that the states  $|\text{sc}_j(k)\rangle$  exist for all  $k$  in which the matrix operations defining  $S(k)$  are well defined for all **[TO DO: make this coherent]**

### 1.2.2.5 Easier calculation of $S$ -matrix

**[TO DO: come up with a better name of this cycle]**

While the above is useful for most values of  $k \in (-\pi, 0)$ , unfortunately there are specific values of  $k$  (such as those for which  $D$  has eigenvalue  $2 \cos(k)$ ) in which the above analysis doesn't hold due to the singularity of some particular matrices. If we want to show that these scattering states exist for all  $k \in (-\pi, 0)$ , we need to somehow show that these singularities are just a problem of the analysis and are not intrinsic barriers to existence.

Along these lines, let us extend our analysis to complex  $z$ , instead of only focusing on the real line. As such, let us define the matrix

$$\gamma(z) := \begin{pmatrix} zA - \mathbb{I} & zB^\dagger \\ zB & zD - (1 + z^2)\mathbb{I} \end{pmatrix}. \quad (1.28)$$

Note that

$$\begin{pmatrix} \mathbb{I} & zB^\dagger \\ 0 & zD - (1 + z^2)\mathbb{I} \end{pmatrix} \begin{pmatrix} -Q(z) & 0 \\ \frac{z}{zD - (1 + z^2)} B & \mathbb{I} \end{pmatrix} = \begin{pmatrix} -Q(z) + zB^\dagger \frac{1}{D - (z + z^{-1})} B & zB^\dagger \\ zB & zD - (1 + z^2)\mathbb{I} \end{pmatrix} \quad (1.29)$$

$$= \gamma(z) \quad (1.30)$$

Additionally, if we note that the inverse of a block diagonal matrix can be written as

$$\begin{pmatrix} X & Y \\ Z & W \end{pmatrix}^{-1} = \begin{pmatrix} (X - YW^{-1}Z)^{-1} & -X^{-1}Y(W - ZX^{-1}Y)^{-1} \\ -W^{-1}Z(X - YW^{-1}Z)^{-1} & (W - ZX^{-1}Y)^{-1} \end{pmatrix}, \quad (1.31)$$

then we can see that

$$\gamma(z)^{-1} = \begin{pmatrix} -Q(z) & 0 \\ \frac{z}{zD-(1+z^2)\mathbb{I}}B & \mathbb{I} \end{pmatrix}^{-1} \begin{pmatrix} \mathbb{I} & zB^\dagger \\ 0 & zD - (1+z^2)\mathbb{I} \end{pmatrix}^{-1} \quad (1.32)$$

$$= \begin{pmatrix} -Q(z)^{-1} & 0 \\ \frac{z}{zD-(1+z^2)\mathbb{I}}BQ(z)^{-1} & \mathbb{I} \end{pmatrix} \begin{pmatrix} \mathbb{I} & -B^\dagger \frac{z}{zD-(1+z^2)\mathbb{I}} \\ 0 & \frac{1}{zD-(1+z^2)\mathbb{I}} \end{pmatrix}. \quad (1.33)$$

We can then use this to see that

$$\gamma(z)^{-1}\gamma(z^{-1}) = \begin{pmatrix} -Q(z)^{-1} & 0 \\ \frac{z}{zD-(1+z^2)\mathbb{I}}BQ(z)^{-1} & \mathbb{I} \end{pmatrix} \begin{pmatrix} \mathbb{I} & -B^\dagger \frac{z}{zD-(1+z^2)\mathbb{I}} \\ 0 & \frac{1}{zD-(1+z^2)\mathbb{I}} \end{pmatrix} \begin{pmatrix} \mathbb{I} & z^{-1}B^\dagger \\ 0 & z^{-1}D - (1+z^{-2})\mathbb{I} \end{pmatrix} \begin{pmatrix} -Q(z^{-1}) \\ \frac{z^{-1}}{z^{-1}D-(1+z^{-2})\mathbb{I}}B \end{pmatrix} \quad (1.34)$$

$$= \begin{pmatrix} -Q(z)^{-1} & 0 \\ \frac{z}{zD-(1+z^2)\mathbb{I}}BQ(z)^{-1} & \mathbb{I} \end{pmatrix} \begin{pmatrix} \mathbb{I} & 0 \\ 0 & z^{-2}\mathbb{I} \end{pmatrix} \begin{pmatrix} -Q(z^{-1}) & 0 \\ \frac{z^{-1}}{z^{-1}D-(1+z^{-2})\mathbb{I}}B & \mathbb{I} \end{pmatrix} \quad (1.35)$$

$$= \begin{pmatrix} -Q(z)^{-1} & 0 \\ \frac{z}{zD-(1+z^2)\mathbb{I}}BQ(z)^{-1} & z^{-2}\mathbb{I} \end{pmatrix} \begin{pmatrix} -Q(z^{-1}) & 0 \\ \frac{z^{-1}}{z^{-1}D-(1+z^{-2})\mathbb{I}}B & \mathbb{I} \end{pmatrix} \quad (1.36)$$

$$= \begin{pmatrix} Q(z)^{-1}Q(z^{-1}) & 0 \\ \frac{1}{D-(z+z^{-1})\mathbb{I}}B(z^{-2}\mathbb{I} - Q(z)^{-1}Q(z^{-1})) & z^{-2}\mathbb{I} \end{pmatrix} \quad (1.37)$$

$$= - \begin{pmatrix} S(z) & 0 \\ z^{-1}\Psi(z) & -z^{-2}\mathbb{I} \end{pmatrix} \quad (1.38)$$

As such, we can write we have a nice representation of the scattering matrix and the interior amplitudes in terms of the matrix  $\gamma$ . If we then note that

$$\gamma(z)^{-1} = \frac{1}{\det \gamma(z)} \text{adj } \gamma(z) \quad (1.39)$$

where  $\text{adj } \gamma(z)$  is the adjugate matrix of  $\gamma(z)$ , then by (1.38) we can then see that the entries of  $S(z)$  are rational functions of  $z$ .

**[TO DO: finish section]**

### 1.2.3 Scattering matrix properties

While the use of the  $\gamma$  matrix gives an explicit construction of the form of the eigenstates on the internal vertices, it is also useful to note that the scattering matrix at a particular momentum  $k$  can be expressed as

$$S(k) = -Q(z)^{-1}Q(z^{-1}), \quad (1.40)$$

where  $z = e^{ik}$ , and the matrices  $Q(z)$  are given by

$$Q(z) = \mathbb{I} - z \left( A + B^\dagger \frac{1}{\frac{1}{z} + z - D} B \right). \quad (1.41)$$



Note that  $Q(z)$  and  $Q(z^{-1})$  commute for all  $z \in \mathbb{C}$ , as they can both be written as  $\mathbb{I} + zH(z + z^{-1})$ .

Using this expression for the scattering matrix, it is easy to see that  $S(k)$  is a unitary matrix, as

$$S(k)^\dagger = -Q(z^{-1})^\dagger(Q(z)^{-1})^\dagger \quad (1.42)$$

and that

$$Q(z)^\dagger = \mathbb{I}^\dagger - z^\dagger \left( A^\dagger + B^\dagger \left( \frac{1}{\frac{1}{z} + z - D} \right)^\dagger (B^\dagger)^\dagger \right) = \mathbb{I} - z^\dagger \left( A + B^\dagger \frac{1}{\frac{1}{z^\dagger} + z^\dagger - D} B \right) = Q(z^\dagger) \quad (1.43)$$

and thus

$$S(k)^\dagger = -Q(z^{-1})^\dagger(Q(z)^{-1})^\dagger = -Q(z)Q(z^{-1})^{-1} = Q(z^{-1})^{-1}Q(z) = S(k)^{-1} \quad (1.44)$$

where we used the fact that  $z = e^{ik}$  so that  $z^\dagger = z^{-1}$ , and the fact that  $Q(z)$  and  $Q(z^{-1})$  commute.

Additionally, we can make use of the fact that  $S$  is derived from an unweighted graph to show that the scattering matrices are symmetric. In particular, note that  $Q(z)$  is symmetric for all  $z$ , since  $D$  is symmetric, symmetric matrices are closed under inversion,  $A$  is symmetric and  $B$  is a 0-1 matrix. As such, we have that

$$S(k)^T = -(Q(z)^{-1}Q(z^{-1}))^T = -Q(z^{-1})^T(Q(z)^{-1})^T \quad (1.45)$$

$$= -Q(z^{-1})Q(z)^{-1} = -Q(z)^{-1}Q(z^{-1}) = S(k) \quad (1.46)$$

where we used the fact that  $Q(z)$  and  $Q(z^{-1})$  commute.

Putting this together, we have that  $S(k)$  is a symmetric, unitary matrix for all  $k$ .

### 1.2.4 Orthonormality of the scattering states

We now establish the delta-function normalization of the scattering states. Let

$$\Pi_1 = \sum_{x=1}^{\infty} \sum_{q=1}^N |x, q\rangle \langle x, q|$$

$$\Pi_2 = \mathbb{I} - \sum_{x=2}^{\infty} \sum_{q=1}^N |x, q\rangle \langle x, q|$$

$$\Pi_3 = \sum_{q=1}^N |1, q\rangle \langle 1, q|.$$

We show that, for  $k \in (-\pi, 0)$ ,  $p \in (-\pi, 0)$ , and  $i, j \in \{1, \dots, N\}$ ,

$$\langle \text{sc}_i(p) | \text{sc}_j(k) \rangle = \langle \text{sc}_i(p) | \Pi_1 + \Pi_2 - \Pi_3 | \text{sc}_j(k) \rangle = 2\pi \delta_{ij} \delta(k - p). \quad (1.47)$$

First write

$$\begin{aligned}
\langle \text{sc}_i(p) | \Pi_1 | \text{sc}_j(k) \rangle &= \sum_{x=1}^{\infty} \sum_{q=1}^N (\delta_{iq} e^{ipx} + S_{qi}^*(p) e^{-ipx}) (\delta_{jq} e^{-ikx} + S_{qj}(k) e^{ikx}) \\
&= \frac{1}{2} \left( \delta_{ij} + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \right) \left( \sum_{x=1}^{\infty} e^{i(p-k)x} + \sum_{x=1}^{\infty} e^{-i(p-k)x} \right) \\
&\quad + \frac{1}{2} \left( \delta_{ij} - \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \right) \left( \sum_{x=1}^{\infty} e^{i(p-k)x} - \sum_{x=1}^{\infty} e^{-i(p-k)x} \right) \\
&\quad + \frac{1}{2} (S_{ji}^*(p) + S_{ij}(k)) \left( \sum_{x=1}^{\infty} e^{-i(p+k)x} + \sum_{x=1}^{\infty} e^{i(p+k)x} \right) \\
&\quad + \frac{1}{2} (S_{ji}^*(p) - S_{ij}(k)) \left( \sum_{x=1}^{\infty} e^{-i(p+k)x} - \sum_{x=1}^{\infty} e^{i(p+k)x} \right).
\end{aligned}$$

We use the following identities for  $p, k \in (-\pi, 0)$ :

$$\begin{aligned}
\sum_{x=1}^{\infty} e^{i(p-k)x} + \sum_{x=1}^{\infty} e^{-i(p-k)x} &= 2\pi\delta(p-k) - 1 \\
\sum_{x=1}^{\infty} e^{i(p+k)x} + \sum_{x=1}^{\infty} e^{-i(p+k)x} &= -1 \\
\sum_{x=1}^{\infty} e^{i(p-k)x} - \sum_{x=1}^{\infty} e^{-i(p-k)x} &= i \cot\left(\frac{p-k}{2}\right) \\
\sum_{x=1}^{\infty} e^{i(p+k)x} - \sum_{x=1}^{\infty} e^{-i(p+k)x} &= i \cot\left(\frac{p+k}{2}\right).
\end{aligned}$$

These identities hold when both sides are integrated against a smooth function of  $p$  and  $k$ . Substituting, we get

$$\begin{aligned}
\langle \text{sc}_i(p) | \Pi_1 | \text{sc}_j(k) \rangle &= 2\pi\delta_{ij}\delta(p-k) + \delta_{ij} \left( \frac{i}{2} \cot\left(\frac{p-k}{2}\right) - \frac{1}{2} \right) \\
&\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left( -\frac{i}{2} \cot\left(\frac{p-k}{2}\right) - \frac{1}{2} \right) \\
&\quad + S_{ji}^*(p) \left( -\frac{1}{2} - \frac{i}{2} \cot\left(\frac{p+k}{2}\right) \right) \\
&\quad + S_{ij}(k) \left( -\frac{1}{2} + \frac{i}{2} \cot\left(\frac{p+k}{2}\right) \right)
\end{aligned} \tag{1.48}$$

where we used unitarity of the  $S$ -matrix to simplify the first term. Now turning to  $\Pi_2$  we have

$$\langle \text{sc}_i(p) | H \Pi_2 | \text{sc}_j(k) \rangle = 2 \cos(p) \langle \text{sc}_i(p) | \Pi_2 | \text{sc}_j(k) \rangle$$

and

$$\begin{aligned} \langle \text{sc}_i(p) | H \Pi_2 | \text{sc}_j(k) \rangle &= \langle \text{sc}_i(p) | \left( 2 \cos(k) \Pi_2 | \text{sc}_j(k) \rangle + \sum_{q=1}^N (e^{-ik} \delta_{qj} + S_{qj}(k) e^{ik}) | 2, q \rangle \right. \\ &\quad \left. - \sum_{q=1}^N (e^{-2ik} \delta_{qj} + S_{qj}(k) e^{2ik}) | 1, q \rangle \right). \end{aligned}$$

Using these two equations we get

$$\begin{aligned} (2 \cos(p) - 2 \cos(k)) \langle \text{sc}_i(p) | \Pi_2 | \text{sc}_j(k) \rangle &= \delta_{ij} (e^{2ip-ik} - e^{-2ik+ip}) + S_{ji}^*(p) (e^{-2ip-ik} - e^{-2ik-ip}) \\ &\quad + S_{ij}(k) (e^{2ip+ik} - e^{2ik+ip}) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) (e^{-2ip+ik} - e^{2ik-ip}). \end{aligned}$$

Noting that

$$\langle \text{sc}_i(p) | \Pi_3 | \text{sc}_j(k) \rangle = \sum_{q=1}^N (\delta_{iq} e^{ip} + S_{qi}^*(p) e^{-ip}) (\delta_{jq} e^{-ik} + S_{qj}(k) e^{ik}),$$

we have

$$\begin{aligned} \langle \text{sc}_i(p) | \Pi_2 - \Pi_3 | \text{sc}_j(k) \rangle &= \delta_{ij} \left( \frac{e^{2ip-ik} - e^{-2ik+ip}}{2 \cos(p) - 2 \cos(k)} - e^{ip-ik} \right) \\ &\quad + S_{ji}^*(p) \left( \frac{e^{-2ip-ik} - e^{-2ik-ip}}{2 \cos(p) - 2 \cos(k)} - e^{-ip-ik} \right) \\ &\quad + S_{ij}(k) \left( \frac{e^{2ip+ik} - e^{2ik+ip}}{2 \cos(p) - 2 \cos(k)} - e^{ip+ik} \right) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left( \frac{e^{-2ip+ik} - e^{2ik-ip}}{2 \cos(p) - 2 \cos(k)} - e^{-ip+ik} \right) \\ &= \delta_{ij} \left( \frac{1}{2} - \frac{i}{2} \cot \left( \frac{p-k}{2} \right) \right) + S_{ji}^*(p) \left( \frac{1}{2} + \frac{i}{2} \cot \left( \frac{p+k}{2} \right) \right) \\ &\quad + S_{ij}(k) \left( \frac{1}{2} - \frac{i}{2} \cot \left( \frac{p+k}{2} \right) \right) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left( \frac{1}{2} + \frac{i}{2} \cot \left( \frac{p-k}{2} \right) \right). \end{aligned} \tag{1.49}$$

Adding equation (1.48) to equation (1.49) gives equation (1.47).

**[TO DO:** These form an orthonormal basis if you also include the bound states, but this is Theorem 1 from Andrew and David's Levison's Theorem II paper. I'm not sure if I should include it.]

## 1.3 Applications of graph scattering

### 1.3.1 NAND Trees

[TO DO: *I need to give an explanation of this*]

### 1.3.2 Momentum dependent actions

While the NAND trees gives a good example of how the process works, we can generalize the idea to work at momenta other than [TO DO: *what momenta*]. In particular, we can attempt to find graph gadgets such that the scattering behaviour at some particular momenta is fixed.

#### 1.3.2.1 R/T gadgets

The easiest thing we could hope for are exactly similar to the NAND trees experiment, in that if there are only two attached semi-infinte paths, then at some fixed momenta it either completely transmits, or it completely reflects.

#### 1.3.2.2 Momentum Switches

We can generalize this idea of complete reflection or transmission to something called a momentum switch, in that with three inputs/outputs, for some chosen semi-infinite path, all incoming wavepackets at some momenta completely transmit to a second path, while all incoming wavepackets at some other particular momenta transmit to the third.

### 1.3.3 Encoded unitary

4 input/output, must go from in to out.

This is as very particular behavior.

## 1.4 Construction of graphs with particular scattering behavior

Note that while these scattering behaviors at particular momenta are easy to calculate, no efficient way currently exists to find a graph with a given scattering matrix, or even to tell whether or not such a graph exists. However, there are some special types of graphs that allow us to do this.

### 1.4.1 R/T gadgets

[TO DO: *Go over this section, and revise*]

Perhaps the most simple behavior will be two-terminal gadgets that either perfectly reflect at some particular momenta, or perfectly transmit. While this is still a rather complicated problem when the terminals can be any vertices of the graph, things become tractable when

we want to only attach a graph to a single vertex of an infinite path. In this case, everything works out as expected.

We refer to the graph shown in Figure ?? as  $\hat{G}$ , and we write  $G$  for the full graph obtained by attaching two semi-infinite paths to terminals  $(1, 1)$  and  $(1, 2)$ . As shown in the Figure, the graph  $\hat{G}$  for a type 1 gadget is determined by a finite graph  $G_0$  and a subset  $P = \{p_1, \dots, p_n\} \subseteq V(G_0)$  of its vertices, called the *periphery*. Each vertex in the periphery is connected to a vertex denoted  $a$ , and  $a$  is also connected to two terminals  $(1, 1)$  and  $(1, 2)$ . A type 1 R/T gadget with  $n = 1$  has only one edge between  $G_0$  and  $a$ ; in this special case we also call it a *type 2 R/T gadget* (see Figure ??).

Looking at the eigenvalue equation for the scattering state  $|_1(k)\rangle$  at vertices  $(1, 1)$  and  $(1, 2)$ , we see that the amplitude at vertex  $a$  satisfies

$$\langle a |_1(k) \rangle = 1 + R(k) = T(k).$$

Thus perfect reflection at momentum  $k$  occurs if and only if  $R(k) = -1$  and  $\langle a |_1(k) \rangle = 0$ , while perfect transmission occurs if and only if  $T(k) = 1$  and  $\langle a |_1(k) \rangle = 1$ . Using this fact, we now derive conditions on the graph  $G_0$  that determine when perfect transmission and reflection occur.

For type 1 gadgets, we give a necessary and sufficient condition for perfect reflection:  $G_0$  should have an eigenvector for which the sum of amplitudes on the periphery is nonzero.

**Lemma 1.** *Let  $\hat{G}$  be a type 1 R/T gadget. A momentum  $k \in (-\pi, 0)$  is in the reflection set  $\mathcal{R}$  if and only if  $G_0$  has an eigenvector  $|\chi_k\rangle$  with eigenvalue  $2\cos(k)$  satisfying*

$$\sum_{i=1}^n \langle p_i | \chi_k \rangle \neq 0. \quad (1.50)$$

*Proof.* First suppose that  $\hat{G}$  has perfect reflection at momentum  $k$ , i.e.,  $R(k) = -1$  and  $\langle a |_1(k) \rangle = 0$ . Since  $\langle (1, 1) |_1(k) \rangle = e^{-ik} - e^{ik} \neq 0$  and  $\langle (1, 2) |_1(k) \rangle = 0$ , to satisfy the eigenvalue equation at vertex  $a$ , we have

$$\sum_{j=1}^n \langle p_j |_1(k) \rangle = e^{ik} - e^{-ik} \neq 0.$$

Further, since  $G_0$  only connects to vertex  $a$  and the amplitude at this vertex is zero, the restriction of  $|_1(k)\rangle$  to  $G_0$  must be an eigenvector of  $G_0$  with eigenvalue  $2\cos(k)$ . Hence the condition is necessary for perfect reflection.

Next suppose that  $G_0$  has an eigenvector  $|\chi_k\rangle$  with eigenvalue  $2\cos(k)$  satisfying (1.50), with the sum equal to some nonzero constant  $c$ . Define a scattering state  $|\psi_k\rangle$  on the Hilbert space of the full graph  $G$  with amplitudes

$$\langle v | \psi_k \rangle = \frac{e^{ik} - e^{-ik}}{c} \langle v | \chi_k \rangle$$

for all  $v \in V(G_0)$ ,  $\langle a | \psi_k \rangle = 0$ , and

$$\langle (x, j) | \psi_k \rangle = \begin{cases} e^{-ikx} - e^{ikx} & j = 1 \\ 0 & j = 2 \end{cases}$$

for all  $x \in \mathbb{Z}^+$ .

We claim that  $|\psi_k\rangle$  is an eigenvector of  $G$  with eigenvalue  $2\cos(k)$ . The state clearly satisfies the eigenvalue equation on the semi-infinite paths since it is a linear combination of states with momentum  $\pm k$ . At vertices of  $G_0$ , the state is proportional to an eigenvector of  $G_0$ , and since the state has no amplitude at  $a$ , the eigenvalue equation is also satisfied at these vertices. It remains to see that the eigenvalue equation is satisfied at  $a$ , but this follows immediately by a simple calculation.

Since  $|\psi_k\rangle$  has the form of a scattering state with perfect reflection, we see that  $R(k) = -1$  and  $T(k) = 0$  as claimed.  $\square$

The following Lemma gives a sufficient condition for perfect transmission (which is also necessary for type 2 gadgets). Let  $g_0$  denote the induced subgraph on  $V(G_0) \setminus P$  where  $P = \{p_i : i \in [n]\}$  is the periphery.

**Lemma 2.** *Let  $\hat{G}$  be a type 1 R/T gadget and let  $k \in (-\pi, 0)$ . Suppose  $|\xi_k\rangle$  is an eigenvector of  $g_0$  with eigenvalue  $2\cos k$  and with the additional property that, for all  $i \in [n]$ ,*

$$\sum_{\substack{v \in V(g_0): \\ (v, p_i) \in E(G_0)}} \langle v | \xi_k \rangle = c \neq 0 \quad (1.51)$$

*for some constant  $c$  that does not depend on  $i$ . Then  $k$  is in the transmission set  $\mathcal{T}$ . If  $\hat{G}$  is a type 2 R/T gadget, then this condition is also necessary.*

*Proof.* If  $g_0$  has a suitable eigenvector  $|\xi_k\rangle$  satisfying (1.51), define a scattering state  $|\psi_k\rangle$  on the full graph  $G$ , with amplitudes  $\langle a | \psi_k \rangle = 1$ ,

$$\langle v | \psi_k \rangle = \begin{cases} -\frac{1}{c} \langle v | \xi_k \rangle & v \in V(g_0) \\ 0 & v \in P \end{cases} \quad (1.52)$$

in the graph  $G_0$ , and

$$\langle (x, j) | \psi_k \rangle = \begin{cases} e^{-ikx} & j = 1 \\ e^{ikx} & j = 2 \end{cases}$$

for  $x \in \mathbb{Z}^+$ . As in the proof of Lemma 1, the state  $|\psi_k\rangle$  is clearly satisfies the eigenvalue equation (with eigenvalue  $2\cos(k)$ ) at vertices on the semi-infinite paths and vertices of  $g_0$ . The factor of  $-\frac{1}{c}$  in (1.52) is chosen so that the eigenvalue condition is satisfied at vertices in  $P$ . It is easy to see that the eigenvalue condition is also satisfied at  $a$ .

Since  $|\psi_k\rangle$  is a scattering eigenvector of  $G$  with eigenvalue  $2\cos(k)$  and perfect transmission, we have  $T(k) = 1$ .

Now suppose  $\hat{G}$  is a type 2 R/T gadget (as shown in Figure ??), with  $P = \{p\}$ . Perfect transmission along with the eigenvalue equation at vertex  $a$  implies

$$\langle p |_1(k) \rangle = 0,$$

so the restriction of  $|_1(k)\rangle$  to  $g_0$  must be an eigenvector (since  $p$  is the only vertex connected to  $g_0$ ). The eigenvalue equation at  $p$  gives

$$\langle a |_1(k) \rangle + \sum_{w: (w, p) \in E(G_0)} \langle w |_1(k) \rangle = 0 \implies \sum_{w: (w, p) \in E(G_0)} \langle w |_1(k) \rangle = -1.$$

Hence the restriction of  $|_1(k)\rangle$  to  $V(g_0)$  is an eigenvector of the induced subgraph, with the additional property that the sum of the amplitudes at vertices connected to  $p$  is nonzero.  $\square$

### 1.4.2 Momentum switches

**[TO DO: Go over this section, and revise/make it fit]**

To construct momentum switches between pairs of momentum, it will be worthwhile to first construct two R/T gadgets between the two momenta, with the two gadgets having swapped reflection and transmission sets. We will then construct something like a railroad switch, by placing the two gadgets immediately after a 3-claw; this construction will then be that the wavepacket will only see one of the two outgoing paths, and function exactly how we want it to.

We now construct a momentum switch between the reflection and transmission sets  $\mathcal{R}$  and  $\mathcal{T}$  of a type 2 R/T gadget. We attach the gadget and its reversal (defined in Section ??) to the leaves of a claw, as shown in Figure ??. Specifically, given a type 2 R/T gadget  $\hat{G}$ , the corresponding momentum switch  $\hat{G}^{\prec}$  consists of a copy of  $G_0$ , a copy of  $G_0^{\leftrightarrow}$ , and a claw. The three leaves of the claw are the terminals. Vertex  $p$  of  $G_0$  is connected to leaf 2 of the claw, and vertices  $w_1^{(1)}, \dots, w_r^{(1)}$  of  $G_0^{\leftrightarrow}$  are each connected to leaf 3 of the claw.

The high-level idea of the switch construction is as follows. For momenta in the transmission set, the gadget perfectly transmits while its reversal perfectly reflects, so the claw is effectively a path connecting terminals 1 and 2. For momenta in the reflection set, the roles of transmission and reflection are reversed, so the claw is effectively a path connecting terminals 1 and 3.

**Lemma 3.** *Let  $\hat{G}$  be a type 2 R/T gadget with reflection set  $\mathcal{R}$  and transmission set  $\mathcal{T}$ . The gadget  $\hat{G}^{\prec}$  described above is a momentum switch between  $\mathcal{R}$  and  $\mathcal{T}$ .*

*Proof.* We construct a scattering eigenstate for each momentum  $k \in \mathcal{T}$  with perfect transmission from path 1 to path 2, and similarly construct a scattering eigenstate for each momentum  $k' \in \mathcal{R}$  with perfect transmission from 1 to 3. These eigenstates show that  $S_{2,1}(k) = 1$  and  $S_{3,1}(k') = 1$ . Since the S-matrix is symmetric and unitary, this gives the complete form of the S-matrix for all momenta in  $\mathcal{R} \cup \mathcal{T}$ . In particular, this shows that  $\hat{G}^{\prec}$  is a momentum switch between  $\mathcal{R}$  and  $\mathcal{T}$ .

We first construct the scattering states for momenta  $k \in \mathcal{T}$ . Lemma 2 shows that the graph  $g_0$  has a  $2\cos(k)$ -eigenvector  $|\xi_k\rangle$  satisfying equation (1.51) with some nonzero constant  $c$ . We define a state  $|\mu_k\rangle$  on  $G^{\prec}$  and we show that it is a scattering eigenstate with perfect transmission between paths 1 and 2. The amplitudes of  $|\mu_k\rangle$  on the semi-infinite paths and the claw are

$$\langle(x, 1)|\mu_k\rangle = e^{-ikx} \quad \langle 0|\mu_k\rangle = 1 \quad \langle(x, 2)|\mu_k\rangle = e^{ikx} \quad \langle(x, 3)|\mu_k\rangle = 0.$$

The rest of the graph consists of the three copies of the subgraph  $g_0$  and the vertices  $p$  and

$u_{\leftrightarrow}$ . The corresponding amplitudes are

$$\langle v | \mu_k \rangle = \begin{cases} -\frac{1}{c} \langle v | \xi_k \rangle & v \in V(g_0^{(1)}) \\ \frac{1}{c} \langle v | \xi_k \rangle & v \in V(g_0^{(2)}) \\ -\frac{e^{ik}}{c} \langle v | \xi_k \rangle & v \in V(g_0^{(3)}) \\ 0 & v = p \text{ or } v = u_{\leftrightarrow}. \end{cases}$$

We claim that  $|\mu_k\rangle$  is an eigenstate of the Hamiltonian with eigenvalue  $2\cos(k)$ . As in previous proofs, the state clearly satisfies the eigenvalue condition on the semi-infinite paths and at the vertices of  $G_0$  and  $G_0^{\leftrightarrow}$ , and the factors of  $\frac{1}{c}$  in the above equation are chosen so that it also satisfies the eigenvalue condition at vertices  $p$  and  $u_{\leftrightarrow}$ . Since  $|\mu_k\rangle$  is a scattering state with perfect transmission from path 1 to path 2, we see that  $S_{2,1}(k) = 1$ .

Finally, we construct an eigenstate  $|\nu_{k'}\rangle$  with perfect transmission from path 1 to path 3 for each momentum  $k' \in \mathcal{R}$ . This state has the form

$$\langle (x, 1) | \nu_{k'} \rangle = e^{-ik'x} \quad \langle 0 | \nu_{k'} \rangle = 1 \quad \langle (x, 2) | \nu_{k'} \rangle = 0 \quad \langle (x, 3) | \nu_{k'} \rangle = e^{ik'x}$$

on the semi-infinite paths and the claw. [Lemma 1](#) shows that  $G_0$  has a  $2\cos(k')$ -eigenstate  $|\chi_{k'}\rangle$  with  $\langle p | \chi_{k'} \rangle \neq 0$ , which determines the form of  $|\nu_{k'}\rangle$  on the remaining vertices:

$$\langle v | \nu_{k'} \rangle = \begin{cases} -\frac{1}{\langle p | \chi_{k'} \rangle} \langle v | \chi_{k'} \rangle & v \in V(G_0) \\ -\frac{e^{ik'}}{\langle p | \chi_{k'} \rangle} \langle v | \chi_{k'} \rangle & v \in V(g_0^{(2)}) \\ -e^{ik'} & v = u_{\leftrightarrow} \\ 0 & \text{otherwise.} \end{cases}$$

As before, it is easy to check that this a momentum- $k'$  scattering state with perfect transmission from path 1 to path 3, so  $S_{3,1}(k') = 1$ .

Thus the gadget from Figure ?? is a momentum switch between  $\mathcal{R}$  and  $\mathcal{T}$ .  $\square$

### 1.4.3 Encoded unitaries

While there is no efficient method to find graphs that apply some fixed encoded unitary, it is possible to search over all small graphs that have some particular implementation.

**[CITE: Find this small graphs thing]**

In particular, we have that these graphs have nice scattering behaviors, and will be useful in the long run.

Additionally, it is possible to combine some graphs in a manner that can be used

## 1.5 Various facts about scattering

These are facts that will be of use to us.



### 1.5.1 Degree-3 graphs are sufficient

Replace each vertex by a path of fixed length. I should turn this into a lemma.

**Lemma 4.** *Let  $\hat{G}$  be a finite graph, and let  $M$  be a finite set of rational multiples of  $\pi$ . There exists a degree 3 graph  $\hat{H}$  such that the scattering matrix arising from  $\hat{H}$  is equal to the scattering matrix arising from  $\hat{G}$  for each  $k \in M$ .*

*Proof.* The graph  $\hat{H}$  is constructed from  $\hat{G}$  by replacing each vertex with large degree by a path of some length related to the momenta in  $M$ . If the path is taken to be the correct size, by inspecting the resulting eigenvectors we can show that the scattering amplitudes of the new graph are equal to the scattering graphs of the old graph.

In particular, let  $\hat{G}$  be as described, and let  $w \in V(G)$  be any vertex of degree  $d > 3$ . Additionally, let  $L$  be the least integer such that  $Lk/\pi \in \mathbb{Z}$  for each  $k \in M$  (i.e., the least common multiple of the denominators of each  $k \in M$ ). Let  $\tilde{H}$  be the graph obtained by

**[TO DO: Finish this proof]** □

### 1.5.2 Not all momenta can be split

In addition, it might be useful to see when particular scattering behavior is possible or not. As such, we will show that no momentum switch can exist between the pairs of momenta  $-\frac{\pi}{4}$  and  $-\frac{3\pi}{4}$ . The proof will actually show that no R/T gadget exists between these two momenta, but as any momentum switch can be turned into an R/T gadget, this will be sufficient.

**[TO DO: Go over this section, and revise]**

#### 1.5.2.1 Basis vectors with entries in $\mathbb{Q}(\sqrt{2})$

Recall the general setup shown in Figure ??:  $N$  semi-infinite paths are attached to a finite graph  $\hat{G}$ . Consider an eigenvector  $|\tau_k\rangle$  of the adjacency matrix of  $G$  with eigenvalue  $2\cos(k)$  for  $k \in (-\pi, 0)$ . In general this eigenspace is spanned by incoming scattering states with momentum  $k$  and confined bound states [?] (which have zero amplitude on the semi-infinite paths). We can thus write the amplitudes of  $|\tau_k\rangle$  on the semi-infinite paths as

$$\langle (x, j) | \tau_k \rangle = \kappa_j \cos(k(x-1)) + \sigma_j \sin(k(x-1))$$

for  $x \in \mathbb{Z}^+$ ,  $j \in [N]$ , and  $\kappa_j, \sigma_j \in \mathbb{C}$ , and the amplitudes on the internal vertices as

$$\langle w | \tau_k \rangle = \iota_w$$

for  $\iota_w \in \mathbb{C}$ , where  $w$  indexes the internal vertices. We write the adjacency matrix of  $\hat{G}$  as a block matrix as in (??). Since the state  $|\tau_k\rangle$  satisfies the eigenvalue equation on the semi-infinite paths, it remains to satisfy the conditions specified by the block matrix equation

$$\begin{pmatrix} A & B^\dagger \\ B & D \end{pmatrix} \begin{pmatrix} \kappa \\ \iota \end{pmatrix} + \cos(k) \begin{pmatrix} \kappa \\ 0 \end{pmatrix} + \sin(k) \begin{pmatrix} \sigma \\ 0 \end{pmatrix} = 2\cos(k) \begin{pmatrix} \kappa \\ \iota \end{pmatrix}.$$

Hence, the nullspace of the matrix

$$M = \begin{pmatrix} A - \cos(k)\mathbb{I} & \sin(k)\mathbb{I} & B^\dagger \\ 0 & 0 & 0 \\ B & 0 & D - 2\cos(k)\mathbb{I} \end{pmatrix}$$

is in one-to-one correspondence with the  $2\cos(k)$ -eigenspace of the infinite matrix (here the first block corresponds to  $\kappa$ , the second to  $\sigma$ , and the third to  $\iota$ ). Further,  $M$  only has entries in  $\mathbb{Q}(\cos(k), \sin(k))$ , so its nullspace has a basis with amplitudes in  $\mathbb{Q}(\cos(k), \sin(k))$ , as can be seen using Gaussian elimination.

We are interested in the specific cases  $2\cos(k) = \pm\sqrt{2}$  corresponding to  $k = -\frac{\pi}{4}$  or  $k = -\frac{3\pi}{4}$ . In these cases  $\mathbb{Q}(\cos(k), \sin(k)) = \mathbb{Q}(\sqrt{2})$ , and we may choose a basis for the nullspace of  $M$  with amplitudes from  $\mathbb{Q}(\sqrt{2})$ . Furthermore,  $\cos(kx), \sin(kx) \in \mathbb{Q}(\sqrt{2})$  for all  $x \in \mathbb{Z}^+$ , so with such a choice of basis, each amplitude of  $|\tau_k\rangle$  is also an element of  $\mathbb{Q}(\sqrt{2})$ .

As noted above, the spectrum of  $G$  may include confined bound states [?] with eigenvalue  $\pm\sqrt{2}$ . However, any such states are eigenstates of the adjacency matrix of  $\hat{G}$  subject to the additional (rational) constraints that the amplitudes on the terminals are zero. As such, the confined bound states have a basis over  $\mathbb{Q}(\sqrt{2})$ . We can use this basis to restrict attention to those states orthogonal to confined bound states using only constraints over  $\mathbb{Q}(\sqrt{2})$ , so there exists a basis over  $\mathbb{Q}(\sqrt{2})$  for the  $N$ -dimensional subspace of scattering states with energy  $\pm\sqrt{2}$  that are orthogonal to the confined bound states. Finally, since  $\mathbb{Q}(\sqrt{2})$  can be seen as a two-dimensional vector space over  $\mathbb{Q}$ , note that for any member of this basis  $|\tau_k\rangle$  there exist rational vectors  $|u_k\rangle, |w_k\rangle$  such that  $|\tau_k\rangle = |u_k\rangle + \sqrt{2}|w_k\rangle$ . Since  $H^2|\tau_k\rangle = 2|\tau_k\rangle$ , we have  $H|u_k\rangle = \pm 2|w_k\rangle$  and  $H|w_k\rangle = \pm|u_k\rangle$ , so

$$|\tau_k\rangle = (H \pm \sqrt{2}\mathbb{I})|w_k\rangle. \quad (1.53)$$

### 1.5.2.2 No R/T gadget and hence no momentum switch

Recall from Section ?? that a momentum switch between two momenta  $k$  and  $p$  can always be converted into an R/T gadget between  $k$  and  $p$ . Here we show that if an R/T gadget perfectly reflects at momentum  $-\frac{\pi}{4}$ , then it must also perfectly reflect at momentum  $-\frac{3\pi}{4}$ . This implies that no R/T gadget exists between these two momenta, and thus no momentum switch exists.

We use the following basic fact about two-terminal gadgets several times:

**Fact 1.** *If a two-terminal gadget has a momentum- $k$  scattering state  $|\phi\rangle$  with zero amplitude along path 2, then the gadget perfectly reflects at momentum  $k$ .*

*Proof.* Without loss of generality, we may assume that  $|\phi\rangle$  is orthogonal to all confined bound states. If  $|\phi\rangle$  has zero amplitude along path 2, then there exist some  $\mu, \nu \in \mathbb{C}$  such that

$$\langle (x, 2) | \phi \rangle = \mu \langle (x, 2) | {}_2(k) \rangle + \nu \langle (x, 2) | {}_1(k) \rangle = \mu e^{-ikx} + \mu R e^{ikx} + \nu T e^{ikx} = 0$$

for all  $x \in \mathbb{Z}^+$ . Since this holds for all  $x$ , we have  $\mu = \mu R + \nu T = 0$ . Since  $\mu$  and  $\nu$  cannot both be zero, we have  $T = 0$ .  $\square$

For an R/T gadget, the scattering states (at some fixed momentum) that are orthogonal to the confined bound states span a two-dimensional space. As shown in [Section 1.5.2.1](#), we can expand each scattering eigenstate at momentum  $k = -\frac{\pi}{4}$  in a basis with entries in  $\mathbb{Q}(\sqrt{2})$ , where each basis vector takes the form [\(1.53\)](#). This gives

$$|_1(-\frac{\pi}{4})\rangle = (H + \sqrt{2}\mathbb{I})(\alpha|\mathcal{D}\rangle + \beta| \rangle)$$

where  $\alpha, \beta \in \mathbb{C}$ ,  $\alpha \neq 0$ , and  $|a\rangle$  and  $|b\rangle$  are rational 2-eigenvectors of  $H^2$ .

If  $T(-\frac{\pi}{4}) = 0$ , then for all  $x \geq 0$ ,

$$\langle x, 2|_1(-\frac{\pi}{4})\rangle = 0 = \langle x, 2|(H + \sqrt{2}\mathbb{I})(\alpha|\mathcal{D}\rangle + \beta| \rangle).$$

Dividing through by  $\alpha$  and rearranging, we get that for all  $x \geq 0$ ,

$$\frac{\beta}{\alpha}(\langle x, 2|H|b\rangle + \sqrt{2}\langle x, 2|b\rangle) = -\langle x, 2|H|a\rangle - \sqrt{2}\langle x, 2|a\rangle.$$

If the left-hand side is not zero, then  $\beta/\alpha \in \mathbb{Q}(\sqrt{2})$  since  $H$ ,  $|a\rangle$ , and  $|b\rangle$  are rational. If the left-hand side is zero, then  $(H + \sqrt{2}\mathbb{I})|a\rangle$  is an eigenstate at energy  $2\cos(k)$  with no amplitude along path 2, so  $\beta = 0$  (using [Fact 1](#)), and again  $\beta/\alpha \in \mathbb{Q}(\sqrt{2})$ .

Now write  $\beta/\alpha = r + s\sqrt{2}$  with  $r, s \in \mathbb{Q}$ , and consider the rational 2-eigenvector of  $H^2$

$$|c\rangle := |a\rangle + (r + sH)|b\rangle.$$

Note that

$$\alpha(H + \sqrt{2}\mathbb{I})|c\rangle = \alpha(H + \sqrt{2}\mathbb{I})|a\rangle + \alpha(rH + r\sqrt{2} + sH^2 + sH\sqrt{2})|b\rangle.$$

Since  $|b\rangle$  is a 2-eigenvector of  $H^2$  and  $\beta/\alpha = r + s\sqrt{2}$ , this simplifies to

$$\alpha(H + \sqrt{2}\mathbb{I})|c\rangle = \alpha(H + \sqrt{2}\mathbb{I})|a\rangle + \beta(H + \sqrt{2}\mathbb{I})|b\rangle = |_1(-\frac{\pi}{4})\rangle, \quad (1.54)$$

so  $|_1(-\frac{\pi}{4})\rangle$  can be written as  $\alpha(H + \sqrt{2}\mathbb{I})$  times a rational 2-eigenvector of  $H^2$ .

Since  $\langle x, 2|_1(-\frac{\pi}{4})\rangle = 0$  for all  $x \geq 1$  (and  $\alpha \neq 0$ ), we have

$$\langle x, 2|(H + \sqrt{2}\mathbb{I})|c\rangle = \langle x, 2|H|c\rangle + \sqrt{2}\langle x, 2|c\rangle = 0.$$

As  $H$  is a rational matrix and  $|c\rangle$  is a rational vector, the rational and irrational components must both be zero, implying  $\langle x, 2|c\rangle = \langle x, 2|H|c\rangle = 0$  for all  $x \geq 1$ . Furthermore, since  $|_1(-\frac{\pi}{4})\rangle$  is a scattering state with zero amplitude on path 2, it must have some nonzero amplitude on path 1 and thus there is some  $x_0 \in \mathbb{Z}^+$  for which  $\langle x_0, 1|c\rangle \neq 0$  or  $\langle x_0, 1|H|c\rangle \neq 0$ .

Now consider the state obtained by replacing  $\sqrt{2}$  with  $-\sqrt{2}$ :

$$|_1(-\frac{\pi}{4})\rangle := \alpha(H - \sqrt{2}\mathbb{I})|c\rangle.$$

This is a  $-\sqrt{2}$ -eigenvector of  $H$ , which can be confirmed using the fact that  $|c\rangle$  is a 2-eigenvector of  $H^2$ . As  $\langle x, 2|H|c\rangle = \langle x, 2|c\rangle = 0$  for all  $x \geq 1$ ,  $\langle x, 2|_1(-\frac{\pi}{4})\rangle = 0$  for all

$x \geq 1$ . Furthermore the amplitude at vertex  $(x_0, 1)$  is nonzero, i.e.,  $\langle x_0, 1 |_1(-\frac{\pi}{4}) \rangle \neq 0$ , and hence  $|_1(-\frac{\pi}{4}) \rangle$  has a component orthogonal to the space of confined bound states (which have zero amplitude on both semi-infinite paths). Hence, there exists a scattering state with eigenvalue  $-\sqrt{2}$  with no amplitude on path 2. By [Fact 1](#), the gadget perfectly reflects at momentum  $-\frac{3\pi}{4}$ . It follows that no perfect R/T gadget (and hence no perfect momentum switch) exists between these momenta.

This proof technique can also establish non-existence of momentum switches between other pairs of momenta  $k$  and  $p$ . For example, a slight modification of the above proof shows that no momentum switch exists between  $k = -\frac{\pi}{6}$  and  $p = -\frac{5\pi}{6}$ .