Chapter 1

Ground energy of multi-particle quantum walk

1.1 Introduction

- 1.1.1 Containment in QMA
- 1.1.2 Reduction to frustration-free case

1.2 Constructing the underlying graph for QMA-hardness

1.2.1 Gate graphs

In this Section we define a class of graphs (*gate graphs*) and a diagrammatic notation for them (*gate diagrams*). We also discuss the Bose-Hubbard model on these graphs.

Every gate graph is constructed using a specific 128-vertex graph g_0 as a building block. This graph is shown in Figure ?? and discussed in Section ??. In Section ?? we define gate graphs and gate diagrams. A gate graph is obtained by adding edges and self-loops (in a prescribed way) to a collection of disjoint copies of g_0 .

In Section ?? we discuss the ground states of the Bose-Hubbard model on gate graphs. For any gate graph G, the smallest eigenvalue $\mu(G)$ of the adjacency matrix A(G) satisfies $\mu(G) \geq -1 - 3\sqrt{2}$. It is convenient to define the constant

$$e_1 = -1 - 3\sqrt{2}. (1.1)$$

When $\mu(G) = e_1$ we say G is an e_1 -gate graph. We focus on the frustration-free states of e_1 -gate graphs (recall from Definition ?? that $|\phi\rangle \in \mathcal{Z}_N(G)$ is frustration free iff $H(G, N)|\phi\rangle = 0$). We show that all such states live in a convenient subspace (called $\mathcal{I}(G, N)$) of the N-particle Hilbert space. This subspace has the property that no two (or more) particles ever occupy vertices of the same copy of g_0 . The restriction to this subspace makes it easier to analyze the ground space.

In Section ?? we consider a class of subspaces that, like $\mathcal{I}(G, N)$, are defined by a set of constraints on the locations of N particles in an e_1 -gate graph G. We state an "Occupancy

Constraints Lemma" (proven in Appendix ??) that relates a subspace of this form to the ground space of the Bose-Hubbard model on a graph derived from G.

1.2.1.1 The graph g_0

The graph g_0 shown in Figure ?? is closely related to a single-qubit circuit C_0 with eight gates U_j for $j \in [8]$, where

$$U_1 = U_2 = U_7 = U_8 = H$$
 $U_3 = U_5 = HT$ $U_4 = U_6 = (HT)^{\dagger}$

with

$$H = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \qquad T = \begin{pmatrix} 1 & 0 \\ 0 & e^{i\frac{\pi}{4}} \end{pmatrix}.$$

In this section we map this circuit to the graph g_0 . The mapping we use can be generalized to map an arbitrary quantum circuit with any number of qubits to a graph, but for simplicity we focus here on g_0 . In Appendix ?? we discuss the more general mapping and use it to prove that computing (in a certain precise sense specified in the Appendix) the smallest eigenvalue of a sparse, efficiently row-computable symmetric 0-1 matrix is QMA-complete.

Starting with the circuit C_0 , we apply the Feynman-Kitaev circuit-to-Hamiltonian mapping [?, ?] (up to a constant term and overall multiplicative factor) to get the Hamiltonian

$$-\sqrt{2}\sum_{t=1}^{8} \left(U_t^{\dagger} \otimes |t\rangle\langle t+1| + U_t \otimes |t+1\rangle\langle t| \right). \tag{1.2}$$

This Hamiltonian acts on the Hilbert space $\mathbb{C}^2 \otimes \mathbb{C}^8$, where the second register (the "clock register") has periodic boundary conditions (i.e., we let $|8+1\rangle = |1\rangle$). The ground space of (??) is spanned by so-called history states

$$|\phi_z\rangle = \frac{1}{\sqrt{8}} (|z\rangle(|1\rangle + |3\rangle + |5\rangle + |7\rangle) + H|z\rangle(|2\rangle + |8\rangle) + HT|z\rangle(|4\rangle + |6\rangle), \quad z \in \{0, 1\},$$

that encode the history of the computation where the circuit C_0 is applied to $|z\rangle$. One can easily check that $|\phi_z\rangle$ is an eigenstate of the Hamiltonian with eigenvalue $-2\sqrt{2}$.

Now we modify (??) to give a symmetric 0-1 matrix. The trick we use is a variant of one used in references [?, ?] for similar purposes.

The nonzero standard basis matrix elements of (??) are integer powers of $\omega = e^{i\frac{\pi}{4}}$. Note that ω is an eigenvalue of the 8×8 shift operator

$$S = \sum_{j=0}^{7} |j+1 \bmod 8\rangle\langle j|$$

with eigenvector

$$|\omega\rangle = \frac{1}{\sqrt{8}} \sum_{j=0}^{7} \omega^{-j} |j\rangle.$$

For each operator $-\sqrt{2}H$, $-\sqrt{2}HT$, or $-\sqrt{2}(HT)^{\dagger}$ appearing in equation (??), define another operator acting on $\mathbb{C}^2 \otimes \mathbb{C}^8$ by replacing nonzero matrix elements with powers of the operator

S, namely $\omega^k \mapsto S^k$. Write B(U) for the operator obtained by making this replacement in U, e.g.,

$$-\sqrt{2}HT = \begin{pmatrix} \omega^4 & \omega^5 \\ \omega^4 & \omega \end{pmatrix} \mapsto B(HT) = \begin{pmatrix} S^4 & S^5 \\ S^4 & S \end{pmatrix}.$$

We adjoin an 8-level ancilla and we make this replacement in equation (??). This gives

$$H_{\text{prop}} = \sum_{t=1}^{8} \left(B(U_t)_{13}^{\dagger} \otimes |t\rangle\langle t+1|_2 + B(U_t)_{13} \otimes |t+1\rangle\langle t|_2 \right), \tag{1.3}$$

a symmetric 0-1 matrix acting on $\mathbb{C}^2 \otimes \mathbb{C}^8 \otimes \mathbb{C}^8$, where the second register is the clock register and the third register is the ancilla register on which the S operators act (the subscripts indicate which registers are acted upon). It is an insignificant coincidence that the clock and ancilla registers have the same dimension.

Note that H_{prop} commutes with S (acting on the 8-level ancilla) and therefore is block diagonal with eight sectors. In the sector where S has eigenvalue ω , it is identical to the Hamiltonian we started with, equation (??). There is also a sector (where S has eigenvalue ω^*) where the Hamiltonian is the entrywise complex conjugate of the one we started with. We add a term to H_{prop} that assigns an energy penalty to states in any of the other six sectors, ensuring that none of these states lie in the ground space of the resulting operator.

Now we can define the graph g_0 . Each vertex in g_0 corresponds to a standard basis vector in the Hilbert space $\mathbb{C}^2 \otimes \mathbb{C}^8 \otimes \mathbb{C}^8$. We label the vertices (z, t, j) with $z \in \{0, 1\}$ describing the state of the computational qubit, $t \in [8]$ giving the state of the clock, and $j \in \{0, \ldots, 7\}$ describing the state of the ancilla. The adjacency matrix is

$$A(g_0) = H_{\text{prop}} + H_{\text{penalty}}$$

where the penalty term

$$H_{\text{penalty}} = \mathbb{I} \otimes \mathbb{I} \otimes (S^3 + S^4 + S^5)$$

acts nontrivially on the third register. The graph g_0 is shown in Figure ??.

Now consider the ground space of $A(g_0)$. Note that H_{prop} and H_{penalty} commute, so they can be simultaneously diagonalized. Furthermore, H_{penalty} has smallest eigenvalue $-1 - \sqrt{2}$ (with eigenspace spanned by $|\omega\rangle$ and $|\omega^*\rangle$) and first excited energy -1. The norm of H_{prop} satisfies $||H_{\text{prop}}|| \leq 4$, which follows from the fact that H_{prop} has four ones in each row and column (with the remaining entries all zero).

The smallest eigenvalue of $A(g_0)$ lives in the sector where H_{penalty} has eigenvalue $-1 - \sqrt{2}$ and is equal to

$$-2\sqrt{2} + (-1 - \sqrt{2}) = -1 - 3\sqrt{2} = -5.24...$$
(1.4)

This is the constant e_1 from equation (??). To see this, note that in any other sector H_{penalty} has eigenvalue at least -1 and every eigenvalue of $A(g_0)$ is at least -5 (using the fact that $H_{\text{prop}} \geq -4$). An orthonormal basis for the ground space of $A(g_0)$ is furnished by the states

$$|\psi_{z,0}\rangle = \frac{1}{\sqrt{8}} (|z\rangle(|1\rangle + |3\rangle + |5\rangle + |7\rangle) + H|z\rangle(|2\rangle + |8\rangle) + HT|z\rangle(|4\rangle + |6\rangle))|\omega\rangle \tag{1.5}$$

$$|\psi_{z,1}\rangle = |\psi_{z,0}\rangle^* \tag{1.6}$$

where $z \in \{0, 1\}$.

Note that the amplitudes of $|\psi_{z,0}\rangle$ in the above basis contain the result of computing either the identity, Hadamard, or HT gate acting on the "input" state $|z\rangle$.

1.2.1.2 Gate graphs

We use three different schematic representations of the graph g_0 (defined in Section ??), as depicted in Figure ??. We call these Figures $diagram\ elements$; they are also the simplest examples of $gate\ diagrams$, which we define shortly.

The black and grey circles in a diagram element are called "nodes." Each node has a label (z,t). The only difference between the three diagram elements is the labeling of their nodes. In particular, the nodes in the diagram element $U \in \{\mathbb{I}, H, HT\}$ correspond to values of $t \in [8]$ where the first register in equation (??) is either $|z\rangle$ or $U|z\rangle$. For example, the nodes for the H diagram element have labels with $t \in \{1,3\}$ (where $|\psi_{z,0}\rangle$ contains the "input" $|z\rangle$) or $t = \{2,8\}$ (where $|\psi_{z,0}\rangle$ contains the "output" $H|z\rangle$). We draw the input nodes in black and the output nodes in grey.

The rules for constructing gate diagrams are simple. A gate diagram consists of some number $R \in \{1, 2, ...\}$ of diagram elements, with self-loops attached to a subset \mathcal{S} of the nodes and edges connecting a set \mathcal{E} of pairs of nodes. A node may have a single edge or a single self-loop attached to it, but never more than one edge or self-loop and never both an edge and a self-loop. Each node in a gate diagram has a label (q, z, t) where $q \in [R]$ indicates the diagram element it belongs to. An example is shown in Figure ??. Sometimes it is convenient to draw the input nodes on the right-hand side of a diagram element; e.g., in Figure ?? the node closest to the top left corner is labeled (q, z, t) = (3, 0, 2).

To every gate diagram we associate a gate graph G with vertex set

$$\{(q, z, t, j) : q \in [R], z \in \{0, 1\}, t \in [8], j \in \{0, \dots, 7\}\}$$

and adjacency matrix

$$A(G) = \mathbb{I}_q \otimes A(g_0) + h_{\mathcal{S}} + h_{\mathcal{E}} \tag{1.7}$$

$$h_{\mathcal{S}} = \sum_{\mathcal{S}} |q, z, t\rangle \langle q, z, t| \otimes \mathbb{I}_{j}$$
(1.8)

$$h_{\mathcal{E}} = \sum_{\mathcal{E}} (|q, z, t\rangle + |q', z', t'\rangle) (\langle q, z, t| + \langle q', z', t'|) \otimes \mathbb{I}_{j}.$$
 (1.9)

The sums in equations (??) and (??) run over the set of nodes with self-loops $(q, z, t) \in \mathcal{S}$ and the set of pairs of nodes connected by edges $\{(q, z, t), (q', z', t')\} \in \mathcal{E}$, respectively. We write \mathbb{I}_q and \mathbb{I}_j for the identity operator on the registers with variables q and j, respectively. We see from the above expression that each self-loop in the gate diagram corresponds to 8 self-loops in the graph G, and an edge in the gate diagram corresponds to 8 edges and 16 self-loops in G.

Since a node in a gate graph never has more than one edge or self-loop attached to it, equations (??) and (??) are sums of orthogonal Hermitian operators. Therefore

$$||h_{\mathcal{S}}|| = \max_{\mathcal{S}} |||q, z, t\rangle\langle q, z, t| \otimes \mathbb{I}_{j}|| = 1 \quad \text{if } \mathcal{S} \neq \emptyset$$
 (1.10)

$$||h_{\mathcal{E}}|| = \max_{\mathcal{E}} ||(|q, z, t\rangle + |q', z', t'\rangle) \left(\langle q, z, t| + \langle q', z', t'|\right) \otimes \mathbb{I}_{j}|| = 2 \quad \text{if } \mathcal{E} \neq \emptyset$$
 (1.11)

for any gate graph. (Of course, this also shows that $||h_{\mathcal{S}'}|| = 1$ and $||h_{\mathcal{E}'}|| = 2$ for any nonempty subsets $\mathcal{S}' \subseteq \mathcal{S}$ and $\mathcal{E}' \subseteq \mathcal{E}$.)

[TO DO: Change this to the updated types with every vertex having a self-loop]

1.2.1.3 Frustration-free states for a given interaction range

Consider the adjacency matrix A(G) of a gate graph G, and note (from equation (??) that its smallest eigenvalue $\mu(G)$ satisfies

$$\mu(G) \ge e_1$$

since $h_{\mathcal{S}}$ and $h_{\mathcal{E}}$ are positive semidefinite and $A(g_0)$ has smallest eigenvalue e_1 . In the special case where $\mu(G) = e_1$, we say G is an e_1 -gate graph.

Definition 1. An e_1 -gate graph is a gate graph G such that the smallest eigenvalue of its adjacency matrix is $e_1 = -1 - 3\sqrt{2}$.

When G is an e_1 -gate graph, a single-particle ground state $|\Gamma\rangle$ of A(G) satisfies

$$(\mathbb{I} \otimes A(g_0)) | \Gamma \rangle = e_1 | \Gamma \rangle \tag{1.12}$$

$$h_{\mathcal{S}}|\Gamma\rangle = 0 \tag{1.13}$$

$$h_{\mathcal{E}}|\Gamma\rangle = 0. \tag{1.14}$$

Indeed, to show that a given gate graph G is an e_1 -gate graph, it suffices to find a state $|\Gamma\rangle$ satisfying these conditions. Note that equation (??) implies that $|\Gamma\rangle$ can be written as a superposition of the states

$$|\psi_{z,a}^q\rangle = |q\rangle|\psi_{z,a}\rangle, \quad z, a \in \{0,1\}, \ q \in [R]$$

where $|\psi_{z,a}\rangle$ is given by equations (??) and (??). The coefficients in the superposition are then constrained by equations (??) and (??).

Example 1. As an example, we show the gate graph in Figure ?? is an e_1 -gate graph. As noted above, equation (??) lets us restrict our attention to the space spanned by the eight states $|\psi_{z,a}^q\rangle$ with $z, a \in \{0,1\}$ and $q \in \{1,2\}$. In this basis, the operators $h_{\mathcal{S}}$ and $h_{\mathcal{E}}$ only have nonzero matrix elements between states with the same value of $a \in \{0,1\}$. We therefore solve for the e_1 energy ground states with a = 0 and those with a = 1 separately. Consider a ground state of the form

$$(\tau_1|\psi_{0,a}^1\rangle + \nu_1|\psi_{1,a}^1\rangle) + (\tau_2|\psi_{0,a}^2\rangle + \nu_2|\psi_{1,a}^2\rangle)$$

and note that in this case (??) implies $\tau_1 = 0$. Equation (??) gives

$$\begin{pmatrix} \tau_2 \\ \nu_2 \end{pmatrix} = \begin{cases} HT \begin{pmatrix} -\tau_1 \\ -\nu_1 \end{pmatrix} & a = 0 \\ (HT)^* \begin{pmatrix} -\tau_1 \\ -\nu_1 \end{pmatrix} & a = 1. \end{cases}$$

We find two orthogonal e_1 -energy states, which are (up to normalization)

$$|\psi_{1,0}^1\rangle - \frac{e^{i\frac{\pi}{4}}}{\sqrt{2}} \left(|\psi_{0,0}^2\rangle - |\psi_{1,0}^2\rangle \right)$$
 (1.15)

$$|\psi_{1,1}^1\rangle - \frac{e^{-i\frac{\pi}{4}}}{\sqrt{2}} \left(|\psi_{0,1}^2\rangle - |\psi_{1,1}^2\rangle\right).$$
 (1.16)

We interpret each of these states as encoding a qubit that is transformed at each set of input/output nodes in the gate diagram in Figure ??. The encoded qubit begins on the input nodes of the first diagram element in the state

$$\begin{pmatrix} \tau_1 \\ \nu_1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

because the self-loop penalizes the basis vectors $|\psi_{0,a}^1\rangle$. On the output nodes of diagram element 1, the encoded qubit is in the state where either HT (if a=0) or its complex conjugate (if a=1) has been applied. The edges in the gate diagram ensure that the encoded qubit on the input nodes of diagram element 2 is minus the state on the output nodes of diagram element 1.

In this example, each single-particle ground state encodes a single-qubit computation. Later we show how N-particle frustration-free states on e_1 -gate graphs can encode computations on N qubits. Recall from Definition ?? that a state $|\Gamma\rangle \in \mathcal{Z}_N(G)$ is said to be frustration free iff $H(G,N)|\Gamma\rangle = 0$. Note that $H(G,N) \geq 0$, so an N-particle frustration-free state is necessarily a ground state. Putting this together with Lemma ??, we see that the existence of an N-particle frustration-free state implies

$$\lambda_N^1(G) = \lambda_{N-1}^1(G) = \dots = \lambda_1^1(G) = 0,$$

i.e., there are N'-particle frustration-free states for all $N' \leq N$.

We prove that the graph g_0 has no two-particle frustration-free states. By Lemma ??, it follows that g_0 has no N-particle frustration-free states for $N \geq 2$.

Lemma 1. $\lambda_2^1(g_0) > 0$.

Proof. Suppose (for a contradiction) that $|Q\rangle \in \mathcal{Z}_2(g_0)$ is a nonzero vector in the nullspace of $H(g_0, 2)$, so

$$H_{g_0}^2|Q\rangle = \left(A(g_0)\otimes \mathbb{I} + \mathbb{I}\otimes A(g_0) + 2\sum_{v\in g_0}|v\rangle\langle v|\otimes |v\rangle\langle v|\right)|Q\rangle = 2e_1|Q\rangle.$$

This implies

$$A(g_0) \otimes \mathbb{I}|Q\rangle = \mathbb{I} \otimes A(g_0)|Q\rangle = e_1|Q\rangle$$

since $A(g_0)$ has smallest eigenvalue e_1 and the interaction term is positive semidefinite. We can therefore write

$$|Q\rangle = \sum_{z,a,x,y \in \{0,1\}} Q_{za,xy} |\psi_{z,a}\rangle |\psi_{x,y}\rangle$$

with $Q_{za,xy} = Q_{xy,za}$ (since $|Q\rangle \in \mathcal{Z}_2(g_0)$) and

$$(|v\rangle\langle v|\otimes|v\rangle\langle v|)|Q\rangle = 0 (1.17)$$

for all vertices $v = (z, t, j) \in g_0$. Using this equation with $|v\rangle = |0, 1, j\rangle$ gives

$$Q_{00,00}\langle 0, 1, j | \psi_{0,0} \rangle^2 + 2Q_{01,00}\langle 0, 1, j | \psi_{0,1} \rangle \langle 0, 1, j | \psi_{0,0} \rangle + Q_{01,01}\langle 0, 1, j | \psi_{0,1} \rangle^2$$

$$= \frac{1}{64} \left(Q_{00,00} i^{-j} + 2Q_{01,00} + Q_{01,01} i^j \right)$$

$$= 0$$

for each $j \in \{0, ..., 7\}$. The only solution to this set of equations is $Q_{00,00} = Q_{01,00} = Q_{01,01} = 0$. The same analysis, now using $|v\rangle = |1, 1, j\rangle$, gives $Q_{10,10} = Q_{11,10} = Q_{11,11} = 0$. Finally, using equation (??) with $|v\rangle = |0, 2, j\rangle$ gives

$$\frac{1}{64} \langle 0|H|1\rangle \langle 0|H|0\rangle \left(2Q_{10,00}i^{-j} + 2Q_{10,01} + 2Q_{11,00} + 2Q_{11,01}i^{j}\right)
= \frac{1}{64} \left(Q_{10,00}i^{-j} + Q_{10,01} + Q_{11,00} + Q_{11,01}i^{j}\right)
= 0$$

for all $j \in \{0, ..., 7\}$, which implies that $Q_{10,00} = Q_{11,01} = 0$ and $Q_{11,00} = -Q_{10,01}$. Thus, up to normalization,

$$|Q\rangle = |\psi_{1,0}\rangle|\psi_{0,1}\rangle + |\psi_{0,1}\rangle|\psi_{1,0}\rangle - |\psi_{11}\rangle|\psi_{00}\rangle - |\psi_{00}\rangle|\psi_{11}\rangle.$$

Now applying equation (??) with $|v\rangle = |0,4,j\rangle$, we see that the quantity

$$\frac{1}{64} \left(2 \langle 0 | HT | 1 \rangle \langle 0 | (HT)^* | 0 \rangle - 2 \langle 0 | (HT)^* | 1 \rangle \langle 0 | HT | 0 \rangle \right) = \frac{1}{64} \left(e^{i \frac{\pi}{4}} - e^{-i \frac{\pi}{4}} \right)$$

must be zero, which is a contradiction. Hence we conclude that the nullspace of $H(g_0, 2)$ is empty.

We now characterize the space of N-particle frustration-free states on an e_1 -gate graph G. Define the subspace $\mathcal{I}(G,N) \subset \mathcal{Z}_N(G)$ where each particle is in a ground state of $A(g_0)$ and no two particles are located within the same diagram element:

$$\mathcal{I}(G, N) = \operatorname{span}\{\operatorname{Sym}(|\psi_{z_1, a_1}^{q_1}\rangle \dots |\psi_{z_N, a_N}^{q_N}\rangle) \colon z_i, a_i \in \{0, 1\}, \ q_i \in [R], \ q_i \neq q_j \text{ whenever } i \neq j\}.$$
(1.18)

Lemma 2. Let G be an e_1 -gate graph. A state $|\Gamma\rangle \in \mathcal{Z}_N(G)$ is frustration free if and only if

$$(A(G) - e_1)^{(w)} |\Gamma\rangle = 0 \text{ for all } w \in [N]$$

$$(1.19)$$

$$|\Gamma\rangle \in \mathcal{I}(G, N).$$
 (1.20)

Proof. First suppose that equations (??) and (??) hold. From (??) we see that $|\Gamma\rangle$ has no support on states where two or more particles are located at the same vertex. Hence

$$\sum_{k \in V} \hat{n}_k \left(\hat{n}_k - 1 \right) | \Gamma \rangle = 0. \tag{1.21}$$

Putting together equations (??) and (??), we get

$$H(G,N)|\Gamma\rangle = (H_G^N - Ne_1)|\Gamma\rangle = 0,$$

so $|\Gamma\rangle$ is frustration free.

To complete the proof, we show that if $|\Gamma\rangle$ is frustration free, then conditions (??) and (??) hold. By definition, a frustration-free state $|\Gamma\rangle$ satisfies

$$H(G,N)|\Gamma\rangle = \left(\sum_{w=1}^{N} (A(G) - e_1)^{(w)} + \sum_{k \in V} \hat{n}_k (\hat{n}_k - 1)\right) |\Gamma\rangle = 0.$$
 (1.22)

Since both terms in the large parentheses are positive semidefinite, they must both annihilate $|\Gamma\rangle$ (similarly, each term in the first summation must be zero). Hence equation (??) holds. Let G_{rem} be the graph obtained from G by removing all of the edges and self-loops in the gate diagram of G. In other words,

$$A(G_{\mathrm{rem}}) = \sum_{q=1}^{R} |q\rangle\langle q| \otimes A(g_0) = \mathbb{I} \otimes A(g_0).$$

Noting that

$$H(G, N) \ge H(G_{\text{rem}}, N) \ge 0,$$

we see that equation (??) also implies

$$H(G_{\text{rem}}, N)|\Gamma\rangle = 0.$$
 (1.23)

Since each of the R components of G_{rem} is an identical copy of g_0 , the eigenvalues and eigenvectors of $H(G_{\text{rem}}, N)$ are characterized by Lemma ?? (along with knowledge of the eigenvalues and eigenvectors of g_0). By Lemma ?? and Lemma ??, no component has a two- (or more) particle frustration-free state. Combining these two facts, we see that in an N-particle frustration-free state, every component of G_{rem} must contain either 0 or 1 particles, and the nullspace of $H(G_{\text{rem}}, N)$ is the space $\mathcal{I}(G, N)$. From equation (??) we get $|\Gamma\rangle \in \mathcal{I}(G, N)$.

Note that if $\mathcal{I}(G, N)$ is empty then Lemma ?? says that G has no N-particle frustration-free states. For example, this holds for any e_1 -gate graph G whose gate diagram has R < N diagram elements.

A useful consequence of Lemma ?? is the fact that every k-particle reduced density matrix of an N-particle frustration-free state $|\Gamma\rangle$ on an e_1 -gate graph G (with $k \leq N$) has all of its support on k-particle frustration-free states. To see this, note that for any partition of the N registers into subsets A (of size k) and B (of size N - k), we have

$$\mathcal{I}(G,N) \subseteq \mathcal{I}(G,k)_A \otimes \mathcal{Z}_{N-k}(G)_B$$
.

Thus, if condition (??) holds, then all k-particle reduced density matrices of $|\Gamma\rangle$ are contained in $\mathcal{I}(G,k)$. Furthermore, (??) is a statement about the single-particle reduced density matrices, so it also holds for each k-particle reduced density matrix. From this we see that each reduced density matrix of $|\Gamma\rangle$ is frustration free.

1.2.2 Gadgets

In Example ?? we saw how a single-particle ground state can encode a single-qubit computation. In this Section we see how a two-particle frustration-free state on a suitably designed e_1 -gate graph can encode a two-qubit computation. We design specific e_1 -gate graphs (called gadgets) that we use in Section ?? to prove that Bose-Hubbard Hamiltonian is QMA-hard. For each gate graph we discuss, we show that the smallest eigenvalue of its adjacency matrix is e_1 and we solve for all of the frustration-free states.

We first design a gate graph where, in any two-particle frustration-free state, the locations of the particles are synchronized. This "move-together" gadget is presented in Section ??. In Section ??, we design gadgets for two-qubit gates using four move-together gadgets, one for each two-qubit computational basis state. Finally, in Section ?? we describe a small modification of a two-qubit gate gadget called the "boundary gadget."

The circuit-to-gate graph mapping described in Section ?? uses a two-qubit gate gadget for each gate in the circuit, together with boundary gadgets in parts of the graph corresponding to the beginning and end of the computation.

The gate diagram for the move-together gadget is shown in Figure ??. Using equation (??), we write the adjacency matrix of the corresponding gate graph G_W as

$$A(G_W) = \sum_{q=1}^{6} |q\rangle\langle q| \otimes A(g_0) + h_{\mathcal{E}}$$
(1.24)

where $h_{\mathcal{E}}$ is given by (??) and \mathcal{E} is the set of edges in the gate diagram (in this case $h_{\mathcal{S}} = 0$ as there are no self-loops).

We begin by solving for the single-particle ground states, i.e., the eigenvectors of (??) with eigenvalue $e_1 = -1 - 3\sqrt{2}$. As in Example ??, we can solve for the states with a = 0 and a = 1 separately, since

$$\langle \psi_{x,1}^j | h_{\mathcal{E}} | \psi_{z,0}^i \rangle = 0$$

for all $i, j \in \{1, ..., 6\}$ and $x, z \in \{0, 1\}$. We write a single-particle ground state as

$$\sum_{i=1}^{6} \left(\tau_i | \psi_{0,a}^i \rangle + \nu_i | \psi_{1,a}^i \rangle \right)$$

and solve for the coefficients τ_i and ν_i using equation (??) (in this case equation (??) is automatically satisfied since $h_{\mathcal{S}} = 0$). Enforcing (??) gives eight equations, one for each

edge in the gate diagram:

$$\tau_{3} = -\tau_{1} \qquad \frac{1}{\sqrt{2}}(\tau_{1} + \nu_{1}) = -\tau_{6}$$

$$\tau_{4} = -\nu_{1} \qquad \frac{1}{\sqrt{2}}(\tau_{1} - \nu_{1}) = -\tau_{5}$$

$$\nu_{3} = -\tau_{2} \qquad \frac{1}{\sqrt{2}}(\tau_{2} + \nu_{2}) = -\nu_{5}$$

$$\nu_{4} = -\nu_{2} \qquad \frac{1}{\sqrt{2}}(\tau_{2} - \nu_{2}) = -\nu_{6}.$$

There are four linearly independent solutions to this set of equations, given by

Solution 1:
$$\tau_1 = 1$$
 $\tau_3 = -1$ $\tau_5 = -\frac{1}{\sqrt{2}}$ $\tau_6 = -\frac{1}{\sqrt{2}}$ all other coefficients 0
Solution 2: $\nu_1 = 1$ $\tau_4 = -1$ $\tau_5 = \frac{1}{\sqrt{2}}$ $\tau_6 = -\frac{1}{\sqrt{2}}$ all other coefficients 0
Solution 3: $\nu_2 = 1$ $\nu_4 = -1$ $\nu_5 = -\frac{1}{\sqrt{2}}$ $\nu_6 = \frac{1}{\sqrt{2}}$ all other coefficients 0
Solution 4: $\tau_2 = 1$ $\nu_3 = -1$ $\nu_5 = -\frac{1}{\sqrt{2}}$ $\nu_6 = -\frac{1}{\sqrt{2}}$ all other coefficients 0.

For each of these solutions, and for each $a \in \{0, 1\}$, we find a single-particle state with energy e_1 . This result is summarized in the following Lemma.

Lemma 3. G_W is an e_1 -gate graph. A basis for the eigenspace of $A(G_W)$ with eigenvalue e_1 is

$$|\chi_{1,a}\rangle = \frac{1}{\sqrt{3}}|\psi_{0,a}^1\rangle - \frac{1}{\sqrt{3}}|\psi_{0,a}^3\rangle - \frac{1}{\sqrt{6}}|\psi_{0,a}^5\rangle - \frac{1}{\sqrt{6}}|\psi_{0,a}^6\rangle$$
(1.25)

$$|\chi_{2,a}\rangle = \frac{1}{\sqrt{3}}|\psi_{1,a}^1\rangle - \frac{1}{\sqrt{3}}|\psi_{0,a}^4\rangle + \frac{1}{\sqrt{6}}|\psi_{0,a}^5\rangle - \frac{1}{\sqrt{6}}|\psi_{0,a}^6\rangle$$
(1.26)

$$|\chi_{3,a}\rangle = \frac{1}{\sqrt{3}}|\psi_{1,a}^2\rangle - \frac{1}{\sqrt{3}}|\psi_{1,a}^4\rangle - \frac{1}{\sqrt{6}}|\psi_{1,a}^5\rangle + \frac{1}{\sqrt{6}}|\psi_{1,a}^6\rangle$$
(1.27)

$$|\chi_{4,a}\rangle = \frac{1}{\sqrt{3}}|\psi_{0,a}^2\rangle - \frac{1}{\sqrt{3}}|\psi_{1,a}^3\rangle - \frac{1}{\sqrt{6}}|\psi_{1,a}^5\rangle - \frac{1}{\sqrt{6}}|\psi_{1,a}^6\rangle$$
(1.28)

where $a \in \{0, 1\}$.

In Figure ?? we have used a shorthand $\alpha, \beta, \gamma, \delta$ to identify four nodes of the move-together gadget; these are the nodes with labels (q, z, t) = (1, 0, 1), (1, 1, 1), (2, 1, 1), (2, 0, 1), respectively. We view α and γ as "input" nodes and β and δ as "output" nodes for this gate diagram. It is natural to associate each single-particle state $|\chi_{i,a}\rangle$ with one of these four nodes. We also associate the set of 8 vertices represented by the node with the corresponding node, e.g.,

$$S_{\alpha} = \{(1, 0, 1, j) : j \in \{0, \dots, 7\}\}.$$

Looking at equation (??) (and perhaps referring back to equation (??)) we see that $|\chi_{1,a}\rangle$ has support on vertices in S_{α} but not on vertices in S_{β} , S_{γ} , or S_{δ} . Looking at the picture on the right-hand side of the equality sign in Figure ??, we think of $|\chi_{1,a}\rangle$ as localized at the node α , with no support on the other three nodes. The states $|\chi_{2,a}\rangle, |\chi_{3,a}\rangle, |\chi_{4,a}\rangle$ are similarly localized at nodes β, γ, δ . We view $|\chi_{1,a}\rangle$ and $|\chi_{3,a}\rangle$ as input states and $|\chi_{2,a}\rangle$ and $|\chi_{4,a}\rangle$ as output states.

Now we turn our attention to the two-particle frustration-free states of the move-together gadget, i.e., the states $|\Phi\rangle \in \mathcal{Z}_2(G_W)$ in the nullspace of $H(G_W, 2)$. Using Lemma ?? we can write

$$|\Phi\rangle = \sum_{a,b \in \{0,1\}, I,J \in [4]} C_{(I,a),(J,b)} |\chi_{I,a}\rangle |\chi_{J,b}\rangle$$
 (1.29)

where the coefficients are symmetric, i.e.,

$$C_{(I,a),(J,b)} = C_{(J,b),(I,a)},$$
 (1.30)

and where

$$\langle \psi_{z,a}^q | \langle \psi_{x,b}^q | \Phi \rangle = 0 \tag{1.31}$$

for all $z, a, x, b \in \{0, 1\}$ and $q \in [6]$.

The move-together gadget is designed so that each solution $|\Phi\rangle$ to these equations is a superposition of a term where both particles are in input states and a term where both particles are in output states. The particles move from input nodes to output nodes together. We now solve equations (??)–(??) and prove the following.

Lemma 4. A basis for the nullspace of $H(G_W, 2)$ is

$$|\Phi_{a,b}\rangle = \operatorname{Sym}\left(\frac{1}{\sqrt{2}}|\chi_{1,a}\rangle|\chi_{3,b}\rangle + \frac{1}{\sqrt{2}}|\chi_{2,a}\rangle|\chi_{4,b}\rangle\right), \quad a, b \in \{0, 1\}.$$
 (1.32)

There are no N-particle frustration-free states on G_W for $N \geq 3$, i.e.,

$$\lambda_N^1(G_W) > 0 \quad \text{for } N \ge 3.$$

Proof. The states $|\Phi_{a,b}\rangle$ manifestly satisfy equations (??) and (??), and one can directly verify that they also satisfy (??) (the nontrivial cases to check are q = 5 and q = 6).

To complete the proof that (??) is a basis for the nullspace of $H(G_W, 2)$, we verify that any state satisfying these conditions must be a linear combination of these four states. Applying equation (??) gives

$$\begin{split} \langle \psi_{0,a}^1 | \langle \psi_{0,b}^1 | \Phi \rangle &= \frac{1}{3} C_{(1,a),(1,b)} = 0 \\ \langle \psi_{1,a}^2 | \langle \psi_{1,b}^2 | \Phi \rangle &= \frac{1}{3} C_{(2,a),(2,b)} = 0 \\ \langle \psi_{1,a}^2 | \langle \psi_{1,b}^2 | \Phi \rangle &= \frac{1}{3} C_{(3,a),(3,b)} = 0 \\ \langle \psi_{0,a}^1 | \langle \psi_{1,b}^1 | \Phi \rangle &= \frac{1}{3} C_{(1,a),(2,b)} = 0 \\ \langle \psi_{0,a}^3 | \langle \psi_{1,b}^3 | \Phi \rangle &= \frac{1}{3} C_{(1,a),(4,b)} = 0 \\ \langle \psi_{0,a}^3 | \langle \psi_{1,b}^3 | \Phi \rangle &= \frac{1}{3} C_{(1,a),(4,b)} = 0 \\ \langle \psi_{0,a}^4 | \langle \psi_{1,b}^4 | \Phi \rangle &= \frac{1}{3} C_{(2,a),(3,b)} = 0 \\ \langle \psi_{0,a}^4 | \langle \psi_{1,b}^4 | \Phi \rangle &= \frac{1}{3} C_{(2,a),(3,b)} = 0 \\ \end{split}$$

for all $a, b \in \{0, 1\}$. Using the fact that all of these coefficients are zero, and using equation (??), we get

$$|\Phi\rangle = \sum_{a,b \in \{0,1\}} \left(C_{(1,a),(3,b)} \left(|\chi_{1,a}\rangle |\chi_{3,b}\rangle + |\chi_{3,b}\rangle |\chi_{1,a}\rangle \right) + C_{(2,a),(4,b)} \left(|\chi_{2,a}\rangle |\chi_{4,b}\rangle + |\chi_{4,b}\rangle |\chi_{2,a}\rangle \right) \right).$$

Finally, applying equation (??) again gives

$$\langle \psi_{0,a}^6 | \langle \psi_{1,b}^6 | \Phi \rangle = \frac{1}{6} C_{(2,a),(4,b)} - \frac{1}{6} C_{(1,a),(3,b)} = 0.$$

Hence

$$|\Phi\rangle = \sum_{a,b \in \{0,1\}} C_{(1,a),(3,b)} (|\chi_{1,a}\rangle|\chi_{3,b}\rangle + |\chi_{3,b}\rangle|\chi_{1,a}\rangle + |\chi_{2,a}\rangle|\chi_{4,b}\rangle + |\chi_{4,b}\rangle|\chi_{2,a}\rangle),$$

which is a superposition of the states $|\Phi_{a,b}\rangle$.

Finally, we prove that there are no frustration-free ground states of the Bose-Hubbard model on G_W with more than two particles. By Lemma ??, it suffices to prove that there are no frustration-free three-particle states.

Suppose (for a contradiction) that $|\Gamma\rangle \in \mathcal{Z}_3(G_W)$ is a normalized three-particle frustration-free state. Write

$$|\Gamma\rangle = \sum D_{(i,a),(j,b),(k,c)}|\chi_{i,a}\rangle|\chi_{j,b}\rangle|\chi_{k,c}\rangle.$$

Note that each reduced density matrix of $|\Gamma\rangle$ on two of the three subsystems must have all of its support on two-particle frustration-free states (see the remark following Lemma ??), i.e., on the states $|\Phi_{a,b}\rangle$. Using this fact for the subsystem consisting of the first two particles, we see in particular that

$$(i,j) \notin \{(1,3),(3,1),(2,4),(4,2)\} \implies D_{(i,a),(j,b),(k,c)} = 0$$
 (1.33)

(since $|\Phi_{a_1,a_2}\rangle$ only has support on vectors $|\chi_{i,a}\rangle|\chi_{j,b}\rangle$ with $i,j\in\{(1,3),(3,1),(2,4),(4,2)\}$). Using this fact for subsystems consisting of particles 2, 3 and 1, 3, respectively, gives

$$(j,k) \notin \{(1,3),(3,1),(2,4),(4,2)\} \implies D_{(i,a),(j,b),(k,c)} = 0$$
 (1.34)

$$(i,k) \notin \{(1,3),(3,1),(2,4),(4,2)\} \implies D_{(i,a),(j,b),(k,c)} = 0.$$
 (1.35)

Putting together equations (??), (??), and (??), we see that $|\Gamma\rangle = 0$. This is a contradiction, so no three-particle frustration-free states exist.

Next we show how this gadget can be used to build gadgets the implement two-qubit gates.

1.2.2.1 Two-qubit gate gadget

In this Section we define a gate graph for each of the two-qubit unitaries

$$\{CNOT_{12}, CNOT_{21}, CNOT_{12} (H \otimes \mathbb{I}), CNOT_{12} (HT \otimes \mathbb{I})\}.$$

Here $CNOT_{12}$ is the standard controlled-not gate with the second qubit as a target, whereas $CNOT_{21}$ has the first qubit as target.

We define the gate graphs by exhibiting their gate diagrams. For the three cases

$$U = \text{CNOT}_{12}(\tilde{U} \otimes \mathbb{I})$$

with $\tilde{U} \in \{\mathbb{I}, H, HT\}$, we associate U with the gate diagram shown in Figure ??. In the Figure we also indicate a shorthand used to represent this gate diagram. As one might expect, for the case $U = \text{CNOT}_{21}$, we use the same gate diagram as for $U = \text{CNOT}_{12}$; however, we use the slightly different shorthand shown in Figure ??.

Roughly speaking, the two-qubit gate gadgets work as follows. In Figure ?? there are four move-together gadgets, one for each two-qubit basis state $|00\rangle, |01\rangle, |10\rangle, |11\rangle$. These enforce the constraint that two particles must move through the graph together. The connections between the four diagram elements labeled 1, 2, 3, 4 and the move-together gadgets ensure that certain frustration-free two-particle states encode two-qubit computations, while the connections between diagram elements 1, 2, 3, 4 and 5, 6, 7, 8 ensure that there are no additional frustration-free two-particle states (i.e., states that do not encode computations).

To describe the frustration-free states of the gate graph depicted in Figure ??, first recall the definition of the states $|\chi_{1,a}\rangle, |\chi_{2,a}\rangle, |\chi_{3,a}\rangle, |\chi_{4,a}\rangle$ from equations (??)–(??). For each of the move-together gadgets $xy \in \{00, 01, 10, 11\}$ in Figure ??, write

$$|\chi_{L,a}^{xy}\rangle$$

for the state $|\chi_{L,a}\rangle$ with support (only) on the gadget labeled xy. Write

$$U(a) = \begin{cases} U & \text{if } a = 0 \\ U^* & \text{if } a = 1 \end{cases}$$

and similarly for \tilde{U} (we use this notation throughout the paper to indicate a unitary or its elementwise complex conjugate).

In Appendix ?? we prove the following Lemma, which shows that G_U is an e_1 -gate graph and solves for its frustration-free states.

Lemma 5. Let $U = \text{CNOT}_{12}(\tilde{U} \otimes \mathbb{I})$ where $\tilde{U} \in \{\mathbb{I}, H, HT\}$. The corresponding gate graph G_U is defined by its gate diagram shown in Figure ??. The adjacency matrix $A(G_U)$ has ground energy e_1 ; a basis for the corresponding eigenspace is

$$|\rho_{z,a}^{1,U}\rangle = \frac{1}{\sqrt{8}}|\psi_{z,a}^{1}\rangle - \frac{1}{\sqrt{8}}|\psi_{z,a}^{5+z}\rangle - \sqrt{\frac{3}{8}}\sum_{x,y=0}^{1}\tilde{U}(a)_{yz}|\chi_{1,a}^{yx}\rangle \quad |\rho_{z,a}^{2,U}\rangle = \frac{1}{\sqrt{8}}|\psi_{z,a}^{2}\rangle - \frac{1}{\sqrt{8}}|\psi_{z,a}^{6-z}\rangle - \sqrt{\frac{3}{8}}\sum_{x=0}^{1}|\chi_{2,a}^{zx}\rangle$$

$$(1.36)$$

$$|\rho_{z,a}^{3,U}\rangle = \frac{1}{\sqrt{8}}|\psi_{z,a}^{3}\rangle - \frac{1}{\sqrt{8}}|\psi_{z,a}^{7}\rangle - \sqrt{\frac{3}{8}}\sum_{x=0}^{1}|\chi_{3,a}^{xz}\rangle \qquad |\rho_{z,a}^{4,U}\rangle = \frac{1}{\sqrt{8}}|\psi_{z,a}^{4}\rangle - \frac{1}{\sqrt{8}}|\psi_{z,a}^{8}\rangle - \sqrt{\frac{3}{8}}\sum_{x=0}^{1}|\chi_{4,a}^{x(z\oplus x)}\rangle$$

$$(1.37)$$

where $z, a \in \{0, 1\}$. A basis for the nullspace of $H(G_U, 2)$ is

$$Sym(|T_{z_1,a,z_2,b}^U\rangle), \quad z_1, z_2, a, b \in \{0,1\}$$
(1.38)

where

$$|T_{z_1,a,z_2,b}^U\rangle = \frac{1}{\sqrt{2}}|\rho_{z_1,a}^{1,U}\rangle|\rho_{z_2,b}^{3,U}\rangle + \frac{1}{\sqrt{2}}\sum_{x_1,x_2=0}^1 U(a)_{x_1x_2,z_1z_2}|\rho_{x_1,a}^{2,U}\rangle|\rho_{x_2,b}^{4,U}\rangle$$
(1.39)

for $z_1, z_2, a, b \in \{0, 1\}$. There are no N-particle frustration-free states on G_U for $N \geq 3$, i.e.,

$$\lambda_N^1(G_U) > 0 \quad \text{for } N \ge 3.$$

We view the nodes labeled $\alpha, \beta, \gamma, \delta$ in Figure ?? as "input" nodes and those labeled $\epsilon, \zeta, \eta, \theta$ as "output nodes". Each of the states $|\rho_{x,y}^{i,U}\rangle$ is associated with one of the nodes, depending on the values of $i \in \{1, 2, 3, 4\}$ and $x \in \{0, 1\}$. For example, the states $|\rho_{0,0}^{1,U}\rangle$ and $|\rho_{0,1}^{1,U}\rangle$ are associated with input node α since they both have nonzero amplitude on vertices of the gate graph that are associated with α (and zero amplitude on vertices associated with other labeled nodes).

The two-particle state $\mathrm{Sym}(|T^U_{z_1,a,z_2,b}\rangle)$ is a superposition of a term

$$\operatorname{Sym}\left(\frac{1}{\sqrt{2}}|\rho_{z_1,a}^{1,U}\rangle|\rho_{z_2,b}^{3,U}\rangle\right)$$

with both particles located on vertices corresponding to input nodes and a term

$$\operatorname{Sym}\left(\frac{1}{\sqrt{2}}\sum_{x_1,x_2\in\{0,1\}} U(a)_{x_1x_2,z_1z_2} |\rho_{x_1,a}^{2,U}\rangle |\rho_{x_2,b}^{4,U}\rangle\right)$$

with both particles on vertices corresponding to output nodes. The two-qubit gate U(a) is applied as the particles move from input nodes to output nodes.

1.2.2.2 Boundary gadget

The boundary gadget is shown in Figure ??. This gate diagram is obtained from Figure ?? (with $\tilde{U} = \mathbb{I}$) by adding self-loops. The adjacency matrix is

$$A(G_{\text{bnd}}) = A(G_{\text{CNOT}_{12}}) + h_{\mathcal{S}}$$

where

$$h_{\mathcal{S}} = \sum_{z=0}^{1} (|1,z,1\rangle\langle 1,z,1| \otimes \mathbb{I}_j + |2,z,5\rangle\langle 2,z,5| \otimes \mathbb{I}_j + |3,z,1\rangle\langle 3,z,1| \otimes \mathbb{I}_j).$$

The single-particle ground states (with energy e_1) are superpositions of the states $|\rho_{z,a}^{i,U}\rangle$ from Lemma ?? that are in the nullspace of $h_{\mathcal{S}}$. Note that

$$\langle \rho_{x,b}^{j,U} | h_{\mathcal{S}} | \rho_{z,a}^{i,U} \rangle = \delta_{a,b} \delta_{x,z} \left(\delta_{i,1} \delta_{j,1} + \delta_{i,2} \delta_{j,2} + \delta_{i,3} \delta_{j,3} \right) \frac{1}{8} \cdot \frac{1}{8}$$

(one factor of $\frac{1}{8}$ comes from the normalization in equations (??)–(??) and the other factor comes from the normalization in equation (??)), so the only single-particle ground states are

$$|\rho_{z,a}^{\mathrm{bnd}}\rangle = |\rho_{z,a}^{4,U}\rangle$$

with $z, a \in \{0, 1\}$. Thus there are no two- (or more) particle frustration-free states, because no superposition of the states (??) lies in the subspace

$$\operatorname{span}\{\operatorname{Sym}(|\rho_{z,a}^{4,U}\rangle|\rho_{x,b}^{4,U}\rangle)\colon z,a,x,b\in\{0,1\}\}$$

of states with single-particle reduced density matrices in the ground space of $A(G_{bnd})$. We summarize these results as follows.

Lemma 6. The smallest eigenvalue of $A(G_{bnd})$ is e_1 , with corresponding eigenvectors

$$|\rho_{z,a}^{bnd}\rangle = \frac{1}{\sqrt{8}}|\psi_{z,a}^4\rangle - \frac{1}{\sqrt{8}}|\psi_{z,a}^8\rangle - \sqrt{\frac{3}{8}}\sum_{r=0,1}|\chi_{4,a}^{x(z\oplus x)}\rangle.$$
 (1.40)

There are no frustration-free states with two or more particles, i.e., $\lambda_N^1(G_{bnd}) > 0$ for $N \geq 2$.

- 1.2.3 Gate graph for a given circuit
- 1.2.3.1 Occupancy constraints graph
- 1.3 Proof of QMA-hardness for MPQW ground energy
- 1.3.1 Overview
- 1.3.2 Configurations
- 1.3.2.1 Legal configurations
- 1.3.3 The occupancy constraints lemma
- 1.3.4 Completeness and Soundness
- 1.4 Open questions