

Chapter 1

Scattering on graphs

Scattering has a long history of study in the physics literature. Ranging from the classical study of colliding objects to the analysis of high energy collisions of protons, studying the interactions of particles can be very interesting.

1.1 Introduction and motivation

Let us first take motivation from one of the most simple quantum systems: a free particle in one dimension. Without any potential or interactions, we have that the time independent Schrödinger equation reads

$$\frac{\partial^2}{\partial x^2}\psi(x) = -\frac{2m}{\hbar^2}E\psi(x) = -k^2\psi(x),$$

which requires the (unnormalizable) solutions,

$$\psi(x) = \exp(-ikx)$$

for real k . These *momentum states* correspond to particles travelling with momentum k along the real line, and form a basis for the possible states of the system.

If we now also include some finite-range potential, or a potential V that is non-zero only for $|x| < d$ for some range d , then outside this range the eigenstates remain unchanged. The only difference is that we will deal with a superposition of states for each energy instead of the pure momentum states. In particular, the scattering eigenbasis for this system will become

$$\psi(x) = \begin{cases} \exp(-ikx) + R(k)\exp(ikx) & x \leq -d \\ T(k)\exp(-ikx) & x \geq d \\ \phi(x, k) & |x| \leq d \end{cases}$$

for some functions $R(k)$, $T(k)$, and $\phi(x, k)$.

In addition to these scattering states, it is possible for bound states to exist. These states are only nonzero for $|x| < d$, as the potential allows for the particles to simply sit at a particular location. One of the canonical examples is a finite well in one dimension, in which depending on the depth of the well, any number of bound states can exist.

1.1.1 Infinite path

With this motivation in mind, let us now look at the discretized system corresponding to a graph. In particular, instead of a continuum of positions states in one dimension, we restrict the position states to integer values, with transport only between neighboring integers. Explicitly, the Hilbert space of such a system corresponds to $n \in \mathbb{N}$, with the discretized second derivative taking the form

$$\sum_{x=-\infty}^{\infty} (|x+1\rangle - 2|x\rangle + |x-1\rangle)\langle x| = 2 \sum_{x=-\infty}^{\infty} |x+1\rangle\langle x| - 2\mathbb{I}.$$

If we then rescale the energy levels, we have that the second ????

Altogether, we end up with the equation

$$\left(\sum_{x=-\infty}^{\infty} |x+1\rangle\langle x| + |x\rangle\langle x+1| \right) |\psi\rangle = E_\psi |\psi\rangle. \quad (1.1)$$

We can then break this vector equation into an equation for each basis vector $|x\rangle$, to get

$$\langle x+1|\psi\rangle + \langle x-1|\psi\rangle = E_\psi \langle x|\psi\rangle. \quad (1.2)$$

for all $x \in \mathbb{Z}$. If we then make the ansatz that $\langle x|\psi\rangle = e^{ikx}$ for some k , we find that

$$\langle x+1|\psi\rangle + \langle x-1|\psi\rangle = e^{ik}e^{ikx} + e^{-ik}e^{ikx} = E_\psi e^{ikx} = E_\psi \langle x|\psi\rangle \quad \Rightarrow \quad E_\psi = e^{ik} + e^{-ik} = 2\cos(k). \quad (1.3)$$

If we then use the fact that E_ψ must be real, and that the amplitudes should not diverge to infinity as $x \rightarrow \pm\infty$, we find that the only possible values of k are between $[-\pi, \pi)$.

Now show how these form a basis for the states.

Hence, in analogy with the continuous case, our basis of states corresponds to momentum states, but where the possible momenta only range over $[-\pi, \pi)$. (As an aside, this maximum momenta is commonly known as a Leib-Robinson bound, and corresponds to a maximum speed of information propagation.)

We can then talk about the “speed” of these states, which is given by

$$s = \left| \frac{dE_k}{dk} \right| = 2\sin(|k|). \quad (1.4)$$

Note that in the case of small k , we recover the linear relationship between speed and momentum. In this way, as the distance between the vertices grows smaller, we recover the continuum case.

1.2 Scattering off of a graph

Now that we have an example based on a free particle, we should examine how to generalize potentials. One method to do this is to add a potential function, with explicit potential energies at various vertices of the infinite path, but if we wish to only examine scattering on

unweighted graphs, we need to be a little more clever. The interesting way to do this is to take a finite graph \hat{G} , and attach two semi-infinite paths to this graph. In this way, we get something that is similar to a finite-range potential.

With this construction, the eigenvalue equation must still be satisfied along the semi-infinite paths, and thus the form of the eigenstates along the paths must still be of the form e^{ikx} for some k and x . However, we can no longer assume that k is real, as the fact that the attached semi-infinite paths are only infinite in one direction allow for an exponentially decaying amplitudes along the paths.

1.2.1 Infinite path and a Graph

In the most simple example, let us attached a graph \tilde{G} to an infinite path. In particular, we assume that \tilde{G} is attached to a single vertex of the infinite path, and that the graph is attached by adding an edge from each vertex in $S \subset V(\tilde{G})$ to one specific vertex of the infinite path, which we label 0. With this, the adjacency matrix of the graph G is then

$$A(G) = A(\tilde{G}) + \sum_{v \in S \subset V(\tilde{G})} |v\rangle\langle 0| + |0\rangle\langle v| + \sum_{x=-\infty}^{\infty} |x\rangle\langle x+1| + |x+1\rangle\langle x|. \quad (1.5)$$

If we then want to inspect the eigenvectors of this Hamiltonian, we find that the eigenvalue equation on the infinite path is identical to that of an infinite path without the graph attached. Hence, we can see that any eigenstate of the Hamiltonian must take the form $A_k e^{ikx} + B_{-k} e^{-ikx}$ for some k along the infinite paths.

With this assumption, we can see that there are three distinct cases for the form of the eigenstates. In particular, the eigenstate could have no amplitude along the infinite paths, being confined to the finite graph \tilde{G} . It could also be a normalizable state not confined to the finite graph \tilde{G} , in that the amplitude along the infinite paths decays exponentially. Finally, the eigenstate could be an unnormalizable state, in which case we will call the state a scattering state.

Let us assume that the state is a scattering state. Note that the eigenvalue of the state must be between $[-2, 2]$, and that the form of the eigenstate along the paths must be scalar multiples of e^{ikx} and e^{-ikx} . Explicitly, the state must be of the form

$$\langle x | \psi \rangle = \begin{cases} A_k e^{ikx} + B_k e^{ikx} & x \leq 0 \\ C_k e^{ikx} + D_k e^{ikx} & x \geq 0 \end{cases} \quad (1.6)$$

where we note that the amplitude can change at $x = 0$ since we have attached the graph \tilde{G} . However, we do have that $A_k + B_k = C_k + D_k$, since the amplitude at 0 is single valued. Additionally, we have that the eigenvalue of this state is given by $2 \cos(k)$. Note that we have not yet determined the form of the eigenstate inside the graph \tilde{G} , but if we define $|\phi\rangle$ to be the restriction of $|\psi\rangle$ to the finite graph \tilde{G} , then $|\phi\rangle$ must satisfy the equation

$$A(G)|\phi\rangle + (A_k + B_k) \sum_{v \in S} |v\rangle\langle v|\phi\rangle = 2 \cos(k)|\phi\rangle, \quad (1.7)$$

where the additional term arises from the fact that the vertices in S are connected to the vertex 0. Finally, we have that

$$2 \cos(k) \langle 0 | \psi \rangle = A e^{-ik} + B_k e^{ik} + C_k e^{ik} + D_k e^{-ik} + \sum_{v \in S} \langle v | \phi \rangle, \quad (1.8)$$

since the eigenvalue equation must be satisfied at 0.

1.2.2 General graphs

More concretely, let \widehat{G} be any finite graph, with $n + m$ vertices and an adjacency matrix

$$A(\widehat{G}) = \begin{pmatrix} A & B^\dagger \\ B & D \end{pmatrix}, \quad (1.9)$$

where A is an $N \times N$ matrix, B is an $m \times N$ matrix, and D is an $m \times m$ matrix. When examining graph scattering, we will be interested in the graph G given by the graph-join of \widehat{G} and N semi-infinite paths, with an additional edge between each of the first N vertices of \widehat{G} and the first vertex of one semi-infinite path. If each semi-infinite path is labeled as (x, i) , where $x \geq 2$ is an integer and $i \in [N]$, then the adjacency matrix for G will be

$$A(G) = A(\widehat{G}) + \sum_{j=1}^N \sum_{x=1}^{\infty} (|x, j\rangle \langle x+1, j| + |x+1, j\rangle \langle x, j|). \quad (1.10)$$

At this point, we want to examine the possible eigenstates of the matrix $A(G)$. It turns out that there are 3 different kinds of eigenstates, corresponding to the different forms of the state on the infinite path. We can easily see that the eigenstates along the path must have amplitude of the form $e^{\kappa x}$ for some κ , but the form of the κ determines these form.

1.2.2.1 Confined bound states

The easiest states to analyze are the confined bound states, which are eigenstates in which the only nonzero amplitudes are on vertices inside the finite graph \widehat{G} . In particular, we have that these states are eigenstates of the matrix D , with the further restriction that they are in the null space of the matrix B^\dagger .

Note that there are no restrictions on the eigenvalues of these states, other than those that are inherited from any restrictions placed on it by D .

1.2.2.2 Unconfined bound states

The next interesting states are those that are not confined to the finite graph \widehat{G} , and thus they must take the form e^{ikx} along the semi-infinite paths. However, as we assume that the state is normalizable, we have to assume that $k \notin \mathbb{R}$, and further that $\Im(k) < 0$.

With this assumption, we have that the amplitudes along the paths decay exponentially, so that the state is bound to the graph \widehat{G} .

Note that the energy of the eigenstate is given by

$$E = e^{ik} + e^{-ik} \quad (1.11)$$

and as E must be real, we have that $k = i\kappa + n\pi$ for $\kappa < 0$. We can assume that n is either 0 or 1, as well.

[TO DO: Finish this section, and figure out what values of κ are possible]

[TO DO: Are there only finitely many such κ , or is there a range of values?]

1.2.2.3 Half-bound states

The half-bound states are the limit of the states as $\kappa \rightarrow 0$. In particular, they are those states where the amplitude along the infinite paths take the form $(\pm 1)^x$. I don't really know much about them.

[TO DO: Finish this section, make it important]

1.2.2.4 Scattering states

We finally reach the point of scattering states, or those states we can use for computational tasks. We first assume that we are orthogonal to all bound states, and in particular that we are orthogonal to all confined bound states. This allows us to uniquely construct the scattering states (without this assumption, if there existed a confined bound state at the appropriate energy, then we could simply add any multiple of the confined bound state to get a different scattering state).

Taking some intuition from the classical case, we will construct a state corresponding to sending a particle in along one of the semi-infinite paths. Namely, we will assume that one of the paths has a portion of its amplitude of the form $e^{ikx} + S_{i,i}(k)e^{-ikx}$ for $k \in (-\pi, 0)$, and that the rest of the paths have amplitudes given by $S_{i,q}(k)e^{ikx}$. More concretely, we assume that the form of the states is given on the infinite paths by

$$\langle x, q | \text{sc}_j(k) \rangle = \delta_{j,q} e^{ikx} + S_{qj} e^{ikx}. \quad (1.12)$$

We then need to see whether such an eigenstate exists.

In particular, if we assume that such an eigenstate exists, and that *[TO DO: Finish this section]*

1.2.3 Scattering matrix properties

While the use of the γ matrix gives an explicit construction of the form of the eigenstates on the internal vertices, it is also useful to note that the scattering matrix at a particular momentum k can be expressed as

$$S(k) = -Q(z)^{-1}Q(z^{-1}), \quad (1.13)$$

where $z = e^{ik}$, and the matrices $Q(z)$ are given by

$$Q(z) = \mathbb{I} - z \left(A + B^\dagger \frac{1}{\frac{1}{z} + z - D} B \right). \quad (1.14)$$

Note that $Q(z)$ and $Q(z^{-1})$ commute for all $z \in \mathbb{C}$, as they can both be written as $\mathbb{I} + zH(z + z^{-1})$.

Using this expression for the scattering matrix, it is easy to see that $S(k)$ is a unitary matrix, as

$$S(k)^\dagger = -Q(z^{-1})^\dagger(Q(z)^{-1})^\dagger \quad (1.15)$$

and that

$$Q(z)^\dagger = \mathbb{I} - z^\dagger \left(A^\dagger + B^\dagger \left(\frac{1}{\frac{1}{z} + z - D} \right)^\dagger (B^\dagger)^\dagger \right) = \mathbb{I} - z^\dagger \left(A + B^\dagger \frac{1}{\frac{1}{z^\dagger} + z^\dagger - D} B \right) = Q(z^\dagger) \quad (1.16)$$

and thus

$$S(k)^\dagger = -Q(z^{-1})^\dagger(Q(z)^{-1})^\dagger = -Q(z)Q(z^{-1})^{-1} = Q(z^{-1})^{-1}Q(z) = S(k)^{-1} \quad (1.17)$$

where we used the fact that $z = e^{ik}$ so that $z^\dagger = z^{-1}$, and the fact that $Q(z)$ and $Q(z^{-1})$ commute.

Additionally, we can make use of the fact that S is derived from an unweighted graph to show that the scattering matrices are symmetric. In particular, note that $Q(z)$ is symmetric for all z , since D is symmetric, symmetric matrices are closed under inversion, A is symmetric and B is a 0-1 matrix. As such, we have that

$$S(k)^T = -(Q(z)^{-1}Q(z^{-1}))^T = -Q(z^{-1})^T(Q(z)^{-1})^T \quad (1.18)$$

$$= -Q(z^{-1})Q(z)^{-1} = -Q(z)^{-1}Q(z^{-1}) = S(k) \quad (1.19)$$

where we used the fact that $Q(z)$ and $Q(z^{-1})$ commute.

Putting this together, we have that $S(k)$ is a symmetric, unitary matrix for all k .

1.2.4 Orthonormality of the scattering states

We now establish the delta-function normalization of the scattering states. Let

$$\begin{aligned} \Pi_1 &= \sum_{x=1}^{\infty} \sum_{q=1}^N |x, q\rangle \langle x, q| \\ \Pi_2 &= \mathbb{I} - \sum_{x=2}^{\infty} \sum_{q=1}^N |x, q\rangle \langle x, q| \\ \Pi_3 &= \sum_{q=1}^N |1, q\rangle \langle 1, q|. \end{aligned}$$

We show that, for $k \in (-\pi, 0)$, $p \in (-\pi, 0)$, and $i, j \in \{1, \dots, N\}$,

$$\langle \text{sc}_i(p) | \text{sc}_j(k) \rangle = \langle \text{sc}_i(p) | \Pi_1 + \Pi_2 - \Pi_3 | \text{sc}_j(k) \rangle = 2\pi \delta_{ij} \delta(k - p). \quad (1.20)$$

First write

$$\begin{aligned}
\langle \text{sc}_i(p) | \Pi_1 | \text{sc}_j(k) \rangle &= \sum_{x=1}^{\infty} \sum_{q=1}^N (\delta_{iq} e^{ipx} + S_{qi}^*(p) e^{-ipx}) (\delta_{jq} e^{-ikx} + S_{qj}(k) e^{ikx}) \\
&= \frac{1}{2} \left(\delta_{ij} + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \right) \left(\sum_{x=1}^{\infty} e^{i(p-k)x} + \sum_{x=1}^{\infty} e^{-i(p-k)x} \right) \\
&\quad + \frac{1}{2} \left(\delta_{ij} - \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \right) \left(\sum_{x=1}^{\infty} e^{i(p-k)x} - \sum_{x=1}^{\infty} e^{-i(p-k)x} \right) \\
&\quad + \frac{1}{2} (S_{ji}^*(p) + S_{ij}(k)) \left(\sum_{x=1}^{\infty} e^{-i(p+k)x} + \sum_{x=1}^{\infty} e^{i(p+k)x} \right) \\
&\quad + \frac{1}{2} (S_{ji}^*(p) - S_{ij}(k)) \left(\sum_{x=1}^{\infty} e^{-i(p+k)x} - \sum_{x=1}^{\infty} e^{i(p+k)x} \right).
\end{aligned}$$

We use the following identities for $p, k \in (-\pi, 0)$:

$$\begin{aligned}
\sum_{x=1}^{\infty} e^{i(p-k)x} + \sum_{x=1}^{\infty} e^{-i(p-k)x} &= 2\pi\delta(p-k) - 1 \\
\sum_{x=1}^{\infty} e^{i(p+k)x} + \sum_{x=1}^{\infty} e^{-i(p+k)x} &= -1 \\
\sum_{x=1}^{\infty} e^{i(p-k)x} - \sum_{x=1}^{\infty} e^{-i(p-k)x} &= i \cot\left(\frac{p-k}{2}\right) \\
\sum_{x=1}^{\infty} e^{i(p+k)x} - \sum_{x=1}^{\infty} e^{-i(p+k)x} &= i \cot\left(\frac{p+k}{2}\right).
\end{aligned}$$

These identities hold when both sides are integrated against a smooth function of p and k . Substituting, we get

$$\begin{aligned}
\langle \text{sc}_i(p) | \Pi_1 | \text{sc}_j(k) \rangle &= 2\pi\delta_{ij}\delta(p-k) + \delta_{ij} \left(\frac{i}{2} \cot\left(\frac{p-k}{2}\right) - \frac{1}{2} \right) \\
&\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left(-\frac{i}{2} \cot\left(\frac{p-k}{2}\right) - \frac{1}{2} \right) \\
&\quad + S_{ji}^*(p) \left(-\frac{1}{2} - \frac{i}{2} \cot\left(\frac{p+k}{2}\right) \right) \\
&\quad + S_{ij}(k) \left(-\frac{1}{2} + \frac{i}{2} \cot\left(\frac{p+k}{2}\right) \right) \tag{1.21}
\end{aligned}$$

where we used unitarity of the S -matrix to simplify the first term. Now turning to Π_2 we have

$$\langle \text{sc}_i(p) | H \Pi_2 | \text{sc}_j(k) \rangle = 2 \cos(p) \langle \text{sc}_i(p) | \Pi_2 | \text{sc}_j(k) \rangle$$

and

$$\begin{aligned} \langle \text{sc}_i(p) | H \Pi_2 | \text{sc}_j(k) \rangle &= \langle \text{sc}_i(p) | \left(2 \cos(k) \Pi_2 | \text{sc}_j(k) \rangle + \sum_{q=1}^N (e^{-ik} \delta_{qj} + S_{qj}(k) e^{ik}) | 2, q \rangle \right. \\ &\quad \left. - \sum_{q=1}^N (e^{-2ik} \delta_{qj} + S_{qj}(k) e^{2ik}) | 1, q \rangle \right). \end{aligned}$$

Using these two equations we get

$$\begin{aligned} (2 \cos(p) - 2 \cos(k)) \langle \text{sc}_i(p) | \Pi_2 | \text{sc}_j(k) \rangle &= \delta_{ij} (e^{2ip-ik} - e^{-2ik+ip}) + S_{ji}^*(p) (e^{-2ip-ik} - e^{-2ik-ip}) \\ &\quad + S_{ij}(k) (e^{2ip+ik} - e^{2ik+ip}) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) (e^{-2ip+ik} - e^{2ik-ip}). \end{aligned}$$

Noting that

$$\langle \text{sc}_i(p) | \Pi_3 | \text{sc}_j(k) \rangle = \sum_{q=1}^N (\delta_{iq} e^{ip} + S_{qi}^*(p) e^{-ip}) (\delta_{jq} e^{-ik} + S_{qj}(k) e^{ik}),$$

we have

$$\begin{aligned} \langle \text{sc}_i(p) | \Pi_2 - \Pi_3 | \text{sc}_j(k) \rangle &= \delta_{ij} \left(\frac{e^{2ip-ik} - e^{-2ik+ip}}{2 \cos(p) - 2 \cos(k)} - e^{ip-ik} \right) \\ &\quad + S_{ji}^*(p) \left(\frac{e^{-2ip-ik} - e^{-2ik-ip}}{2 \cos(p) - 2 \cos(k)} - e^{-ip-ik} \right) \\ &\quad + S_{ij}(k) \left(\frac{e^{2ip+ik} - e^{2ik+ip}}{2 \cos(p) - 2 \cos(k)} - e^{ip+ik} \right) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left(\frac{e^{-2ip+ik} - e^{2ik-ip}}{2 \cos(p) - 2 \cos(k)} - e^{-ip+ik} \right) \\ &= \delta_{ij} \left(\frac{1}{2} - \frac{i}{2} \cot \left(\frac{p-k}{2} \right) \right) + S_{ji}^*(p) \left(\frac{1}{2} + \frac{i}{2} \cot \left(\frac{p+k}{2} \right) \right) \\ &\quad + S_{ij}(k) \left(\frac{1}{2} - \frac{i}{2} \cot \left(\frac{p+k}{2} \right) \right) \\ &\quad + \sum_{q=1}^N S_{qi}^*(p) S_{qj}(k) \left(\frac{1}{2} + \frac{i}{2} \cot \left(\frac{p-k}{2} \right) \right). \end{aligned} \tag{1.22}$$

Adding equation (1.21) to equation (1.22) gives equation (1.20).

[TO DO: Do they span the space of states, if you also include the bound states?]

1.3 Applications of graph scattering

1.3.1 NAND Trees

[TO DO: I need to give an explanation of this]

1.3.2 Momentum dependent actions

While the NAND trees gives a good example of how the process works, we can generalize the idea to work at momenta other than **[TO DO: *what momenta*]** . In particular, we can attempt to find graph gadgets such that the scattering behaviour at some particular momenta is fixed.

1.3.2.1 R/T gadets

The easiest thing we could hope for are exactly similar to the NAND trees experiment, in that if there are only two attached semi-infite paths, then at some fixed momenta it either completely transmits, or it completely reflects.

1.3.2.2 Momentum Switches

We can generalize this idea of complete reflection or transmission to something called a momentum switch, in that with three inputs/outputs, for some chosen semi-infinite path, all incoming wavepackets at some momenta completely transmit to a second path, while all incoming wavepackets at some other particular momenta transmit to the third.

1.3.3 Encoded unitary

4 input/output, must go from in to out.

This is as very particular behavior.

1.4 Construction of graphs with particular scattering behavior

Note that while these scattering behaviors at particular momenta are easy to calculate, no efficient way currently exists to find a graph with a given scattering matrix, or even to tell whether or not such a graph exists. However, there are some special types of graphs that allow us to do this.

1.4.1 R/T gadgets

1.4.2 Momentum switches

1.4.3 Encoded unitaries

While there is no efficient method to find graphs that apply some fixed encoded unitary, it is possible to search over all small graphs that have some particular implementation.

[CITE: *Find this small graphs thing*]

In particular, we have that these graphs have nice scattering behaviors, and will be useful in the long run.

Additionally, it is possible to combine some graphs in a manner that can be used

1.5 Various facts about scattering

These are facts that will be of use to us.

1.5.1 Degree-3 graphs are sufficient

Replace each vertex by a path of fixed length.

1.5.2 Not all momenta can be split

My interesting result.