

# Solutions to Linear Algebra Done Right

Third Edition

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## Home

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### My favorite Linear Algebra textbooks

- Linear Algebra Done Right 3rd ed. 2015 Edition by Sheldon Axler
- Linear Algebra 2nd Edition by Kenneth M Hoffman, Ray Kunze

### Good Linear Algebra textbooks (not complete)

- Introduction to Linear Algebra, Fifth Edition by Gilbert Strang, Solution Manual
- Linear Algebra and Its Applications (5th Edition) by David C. Lay, Steven R. Lay, Judi J. McDonald
- Linear Algebra with Applications 9th Edition by Steven J. Leon
- Linear Algebra 3rd Edition by Serge Lang, Solution Manual
- Linear Algebra Done Wrong by Sergei Treil

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essay writer reviews · 3 years ago

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Nadim Farhat · 3 years ago

Thank for your sharing the solutions.

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jackkinsella · 3 years ago

By releasing these solutions, you've greatly enhanced an already strong book's educational value. Thanks so much :)

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Nuno Alvaes Pereira · 3 years ago

Thanks. I'm going to use this for self-study. I'm no longer having classes at univ, so it will be nice to have some help for learning this out of curiosity. Thanks once again. ;)

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Josué Nazul · 2 years ago

Gracias :)

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Fred · a year ago

I must be very tired, but how does 1.A:2 work? Not even Wolfram Alpha agrees. Nor to the square computation or cube=1...

What am I missing?  
<https://www.wolframalpha.com...>

I got of to a horrible start trying to dust off my linear algebra skills, haha!

^ ▼ · Reply · Share ·



Fred → Fred · a year ago

Wait a minute... That i is not under the radical sign! xD

^ ▼ · Reply · Share ·



Robert Browning · 2 years ago

First of all, thank you. What a wonderful resource.

Second, I am studying for my qualifying exam and I am using Axler's book. Do you have a TeX file or pdf of all the solutions compiled?

Thanks again.

^ ▼ · Reply · Share ·



Wu Jinyang · 2 years ago

The proof of 7.36 is awful. Took me quite a while to get the point.

In fact, It can be easier once you show that the eigenvectors of R (the square root) is also the eigenvectors of T (the eigenvalue of T is the square of the eigenvalue of R with respect to the same eigenvector), which is quite obvious.

^ ▼ · Reply · Share ·



Mohammad Rashidi Mod → Wu Jinyang · 2 years ago

Sorry, I don't quite understand your logic. The problem asks to show the square root is unique. Hence there might have many different choices of square roots. For different square roots, the eigenvectors may also be different.

The logic of the proof from the book is quite clear to me.

^ ▼ · Reply · Share ·



Wu Jinyang → Mohammad Rashidi · 2 years ago

Let R be the positive square root of T.

Then from the definition of positive operators, R is self-adjoint. Therefore, V can have an orthonormal basis consisting of eigenvectors of R. let them be  $e_1, \dots, e_n$ .

let  $a_1, \dots, a_n$  be the according eigenvalues.

Thus  $R e_i = a_i e_i$  for all  $i = 1, \dots, n$

then  $R R e_i = a_i^2 e_i$  since R is a square root of T.

$T e_i = a_i^2 e_i$  which shows that  $e_1, \dots, e_n$  are also eigenvectors of T.

Then T have  $e_1, \dots, e_n$  as eigenvectors (orthonormal and serve as a basis at the same time), with  $a_1^2, \dots, a_n^2$  as eigenvalues.

Assume that there exist another positive square root, let's call it  $R_1$ . let  $v_1, \dots, v_n$  be a orthonormal basis consisting of eigenvectors, and  $b_1, \dots, b_n$  be the according eigenvalues. By the same logic  $b_1^2, \dots, b_n^2$  are eigenvalues of T.

Thus we know that R and  $R_1$  are identical, only their presentation in the matrix form may be slightly different.

What do you think?

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mhmd fthy · 2 years ago

god bless you man , you are awesome

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Ross · 2 years ago

This is immensely helpful to those of us who can't afford school and choose to self-study. Thank you SO MUCH.

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Chapter 1 Exercise B →

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## Chapter 1 Exercise A

Posted on January 1, 2016 by Linearity

1. Solution: Because  $(a+bi)(a-bi) = a^2 + b^2$ , one has

$$\frac{1}{a+bi} = \frac{a-bi}{a^2+b^2}.$$

Hence

$$c = \frac{a}{a^2+b^2}, d = -\frac{b}{a^2+b^2}.$$

2. Solution1: From direct computation, we have

$$\left(\frac{-1+\sqrt{3}i}{2}\right)^2 = \frac{-1-\sqrt{3}i}{2},$$

hence

$$\left(\frac{-1+\sqrt{3}i}{2}\right)^3 = \frac{-1-\sqrt{3}i}{2} \cdot \frac{-1+\sqrt{3}i}{2} = 1.$$

This means  $\frac{-1+\sqrt{3}i}{2}$  is a cube root of 1.

Solution2: Note that

$$(a+bi)+(a-bi)=2a$$

and

$$(a+bi)(a-bi)=a^2+b^2,$$

it follows that  $\frac{-1+\sqrt{3}i}{2}$  is a root of the quadratic equation  $x^2+x+1=0$ .  
For

$$\frac{-1+\sqrt{3}i}{2} + \frac{-1-\sqrt{3}i}{2} = -1$$

and

$$\frac{-1+\sqrt{3}i}{2} \cdot \frac{-1-\sqrt{3}i}{2} = 1.$$

Because  $x^3 - 1 = (x-1)(x^2+x+1)$ , we obtain the conclusion.

3. Solution: If we know that  $i = e^{\pi i/2}$ , then the square roots are

$$e^{i\pi/4} \quad \text{and} \quad e^{(i\pi/2+2\pi)i/4} = e^{5\pi i/4}.$$

Note that for any  $x \in \mathbb{R}$ , one has  $e^{xi} = \cos x + i \sin x$ . Then

$$e^{i\pi/4} = \cos \frac{\pi}{4} + i \sin \frac{\pi}{4} = \frac{\sqrt{2}(1+i)}{2}$$

and

$$e^{5\pi i/4} = \cos \frac{5\pi}{4} + i \sin \frac{5\pi}{4} = \frac{-\sqrt{2}(1+i)}{2}.$$

Hence the roots are  $\frac{\sqrt{2}(1+i)}{2}$  and  $\frac{-\sqrt{2}(1+i)}{2}$ .

**Remark:** If we don't know this fact, then we should recall how to solve the roots of  $x^8 - 1 = 0$  or  $x^4 + 1 = 0$  since  $x^2 + i = 0$  means  $x^4 + 1 = 0$ .

4. Solution: Let  $\alpha = x + yi$  and  $\beta = z + wi$ , where  $x, y, z, w \in \mathbb{R}$ , then

$$\alpha + \beta = (x + yi) + (z + wi) = (x + z) + (y + w)i.$$

Similarly,

$$\beta + \alpha = (z + wi) + (x + yi) = (z + x) + (w + y)i.$$

Because  $x + z = z + x$  and  $y + w = w + y$ , we obtain that  $\alpha + \beta = \beta + \alpha$ .

5. Solution: Let  $\alpha = x_1 + y_1i$ ,  $\beta = x_2 + y_2i$ ,  $\lambda = x_3 + y_3i$ , where  $x_1, x_2, x_3$  and  $y_1, y_2, y_3$  are real numbers. Then

$$\begin{aligned} (\alpha + \beta) + \lambda &= ((x_1 + x_2) + (y_1 + y_2)i) + (x_3 + y_3)i \\ &= ((x_1 + x_2) + x_3) + ((y_1 + y_2) + y_3)i. \end{aligned}$$

Similarly,  $\alpha + (\beta + \lambda) = (x_1 + (x_2 + x_3)) + (y_1 + (y_2 + y_3))i$ . Note that

$$(x_1 + x_2) + x_3 = x_1 + (x_2 + x_3) \quad \text{and} \quad (y_1 + y_2) + y_3 = y_1 + (y_2 + y_3),$$

it follows that  $(\alpha + \beta) + \lambda = \alpha + (\beta + \lambda)$ .

6. Solution: Let  $\alpha = x_1 + y_1i$ ,  $\beta = x_2 + y_2i$ ,  $\lambda = x_3 + y_3i$ , where  $x_1, x_2, x_3$  and  $y_1, y_2, y_3$  are real numbers. Then

$$\begin{aligned} (\alpha\beta)\lambda &= ((x_1x_2 - y_1y_2) + (x_1y_2 + y_1x_2)i)(x_3 + y_3i) \\ &= ((x_1x_2 - y_1y_2)x_3 - (x_1y_2 + y_1x_2)y_3) \\ &\quad + ((x_1x_2 - y_1y_2)x_3 + (x_1y_2 + y_1x_2)y_3)i. \end{aligned}$$

Similarly, one has

$$\begin{aligned} \alpha(\beta\lambda) &= (x_1 + y_1i)((x_2x_3 - y_2y_3) + (x_2y_3 + y_2x_3)i) \\ &= (x_1(x_2x_3 - y_2y_3) - y_1(x_2y_3 + y_2x_3)) \\ &\quad + (x_1(x_2y_3 - y_2x_3) + y_1(x_2x_3 + y_2y_3))i. \end{aligned}$$

It is easy to see

$$(x_1x_2 - y_1y_2)x_3 - (x_1y_2 + y_1x_2)y_3 = x_1(x_2x_3 - y_2y_3) - y_1(x_2y_3 + y_2x_3)$$

and

$$(x_1x_2 - y_1y_2)x_3 + (x_1y_2 + y_1x_2)y_3 = x_1(x_2x_3 - y_2y_3) - y_1(x_2y_3 + y_2x_3),$$

hence we deduce that  $(\alpha\beta)\lambda = \alpha(\beta\lambda)$ .

7. Solution: Let  $\alpha = x_1 + y_1i$ ,  $\beta = x_2 + y_2i$ , where  $x_1, x_2$  and  $y_1, y_2$  are real numbers. If  $\alpha + \beta = 0$ , then

$$0 = \alpha + \beta = (x_1 + x_2) + (y_1 + y_2)i.$$

This means  $x_2 = -x_1$  and  $y_2 = -y_1$ , which implied uniqueness. If  $\beta = -x_1 - y_1i$ , we also have  $\alpha + \beta = 0$ , which implies existence.

8. Solution: We already know the existence in Problem 1. Now let us show the uniqueness, if  $\alpha\beta = 1$ , then

$$\beta = 1 \cdot \beta = \left(\frac{1}{\alpha} \cdot \alpha\right) \cdot \beta = \frac{1}{\alpha} \cdot (\alpha \cdot \beta) = \frac{1}{\alpha} \cdot 1 = \frac{1}{\alpha}.$$

Here the third equality follows from Problem 6.

9. Solution: Suppose  $\alpha = x_1 + y_1i$ ,  $\beta = x_2 + y_2i$ ,  $\lambda = a + bi$ , where  $x_1, x_2, a$  and  $y_1, y_2, b$  are real numbers. Then

$$\begin{aligned} \lambda(\alpha + \beta) &= (a + bi)((x_1 + x_2) + (y_1 + y_2)i) \\ &= ((ax_1 + bx_2) + (ay_1 + by_2) + b(x_1 + x_2))i \\ &= [(ax_1 - by_1) + (ay_1 + bx_1)i] + [(ax_2 - by_2) + (ay_2 + bx_2)i] \\ &= \lambda\alpha + \lambda\beta. \end{aligned}$$

10. Solution: Because  $(-4, -3, 1, 7) + 2x = (5, 9, -6, 8)$ , one has

$$2x = (5, 9, -6, 8) - (-4, -3, 1, 7) = (1, 12, -7, 1),$$

hence

$$x = \frac{1}{2}(1, 12, -7, 1) = \left(\frac{1}{2}, 6, \frac{-7}{2}, \frac{1}{2}\right).$$

11. Solution: If such  $\lambda \in \mathbb{C}$  exists, then we have

$$\lambda(2 - 3i) = 12 - 5i \quad \text{and} \quad \lambda(-6 + 7i) = -32 - 9i.$$

It follows that

$$(2 - 3i)(-6 + 7i) = (-6 + 7i)(12 - 5i),$$

this means

$$-9i + 78i = -37 + 114i,$$

which is impossible. Hence such  $\lambda \in \mathbb{C}$  does not exist.

12. Solution: Suppose  $x = (x_1, \dots, x_n)$ ,  $y = (y_1, \dots, y_n)$  and  $z = (z_1, \dots, z_n)$ . Then

$$\begin{aligned} (x+y)+z &= ((x_1, \dots, x_n) + (y_1, \dots, y_n)) + (z_1, \dots, z_n) \\ &= ((x_1 + y_1, \dots, x_n + y_n) + (z_1, \dots, z_n)) \\ &= ((x_1 + (y_1 + z_1), \dots, x_n + (y_n + z_n))) \\ &= (x_1, \dots, x_n) + (y_1 + z_1, \dots, y_n + z_n) \\ &= (x_1, \dots, x_n) + ((y_1, \dots, y_n) + (z_1, \dots, z_n)) \\ &= x + (y + z). \end{aligned}$$

13. Solution: Suppose  $x = (x_1, \dots, x_n)$ . Then

$$\begin{aligned} (ab)x &= ab(x_1, \dots, x_n) = ((ab)x_1, \dots, (ab)x_n) \\ &= (a(bx_1), \dots, a(bx_n)) = a(bx_1, \dots, bx_n) \\ &= a(bx). \end{aligned}$$

14. Solution: Suppose  $x = (x_1, \dots, x_n)$ . Then

$$1x = 1(x_1, \dots, x_n) = (1 \cdot x_1, \dots, 1 \cdot x_n) = (x_1, \dots, x_n) = x.$$

15. Solution: Suppose  $x = (x_1, \dots, x_n)$  and  $y = (y_1, \dots, y_n)$ . Then

$$\begin{aligned} \lambda(x+y) &= \lambda((x_1, \dots, x_n) + (y_1, \dots, y_n)) \\ &= \lambda(x_1 + y_1, \dots, x_n + y_n) = (\lambda x_1 + y_1, \dots, \lambda x_n + y_n) \\ &= (\lambda x_1 + \lambda y_1) + (\lambda y_1, \dots, \lambda y_n) \\ &= \lambda x + \lambda y. \end{aligned}$$

16. Solution: Suppose  $x = (x_1, \dots, x_n)$ . Then

$$\begin{aligned} (a+b)x &= (a+b)(x_1, \dots, x_n) = ((a+b)x_1, \dots, (a+b)x_n) \\ &= (ax_1 + bx_1, \dots, ax_n + bx_n) \\ &= (ax_1, \dots, ax_n) + (bx_1, \dots, bx_n) \\ &= a(x_1, \dots, x_n) + b(x_1, \dots, x_n) \\ &= ax + bx. \end{aligned}$$



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Chapter 1 Exercise B →



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## Chapter 1 Exercise B

Posted on January 2, 2016 by Linearity

1. Solution: By definition, we have

$$(-v) + (-(-v)) = 0 \quad \text{and} \quad v + (-v) = 0.$$

This implies both  $v$  and  $-(-v)$  are additive inverses of  $-v$ , by the uniqueness of additive inverse, it follows that  $-(-v) = v$ .

2. Solution: If  $a \neq 0$ , then  $a$  has inverse  $a^{-1}$  such that  $a^{-1}a = 1$ . Hence

$$v = 1 \cdot v = (a^{-1}a)v = a^{-1}(av) = a^{-1} \cdot 0 = 0.$$

Here we use associativity in 1.19 and 1.30.

3. Solution: Let  $x = \frac{1}{3}(w - v)$ , then

$$v + 3x = v + 3 \cdot \frac{1}{3}(w - v) = v + (w - v) = w.$$

This shows existence. Now we show uniqueness. Suppose, we have another vector  $x'$  such that  $v + 3x' = w$ . Then  $v + 3x' = w$  implies  $3x' = w - v$ . Similarly,  $3x = w - v$ . Hence

$$3(x - x') = 3x - 3x' = (w - v) - (w - v) = 0.$$

By Problem 2, it follows that  $x - x' = 0$ . This shows uniqueness.4. Solution: Additive identity: there exists an element  $0 \in V$  such that  $v + 0 = v$  for all  $v \in V$ ; This means  $V$  cannot be empty.5. Solution: If we assume the additive inverse condition, we already showed  $0v = 0$  for all  $v \in V$  in 1.29. Now we assume  $0v = 0$  for all  $v \in V$  and then show additive inverse condition. Since we have  $0v = 0$  for all  $v \in V$ , we have

$$v + ((-1)v) = 1v + ((-1)v) = (1 + (-1))v = 0v = 0,$$

this means the existence of additive inverse, i.e. the additive inverse condition.

6. Solution: This is not a vector space over  $\mathbb{R}$ . Consider the distributive properties in 1.19. If this is a vector space over  $\mathbb{R}$ , we will have

$$\infty = (2 + (-1))\infty = 2\infty + (-1)\infty = \infty + (-\infty) = 0.$$

Hence for any  $t \in \mathbb{R}$ , one has

$$t = 0 + t = \infty + t = \infty = 0.$$

We get a contradiction since zero vector is unique.



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MASHIAT MUTMAINNAH · 3 years ago

Hi! Thank you so much for all the solutions, I really appreciate your hard work. Can someone please explain to me the contradiction in the solution Ex 1B question 6?  
I just can't seem to understand it. Thank you!

2 ▲ ▼ Reply Share



Mohammad Rashidi Mod → MASHIAT MUTMAINNAH · 2 years ago

Since zero vector should be unique.

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Abu-Yakitori → Mohammad Rashidi · 2 years ago

Why  $0 + t = \infty + t$ ?

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stan\_comp\_neuro · 3 months ago

I think here gives a better answer for question 2, here you did not address why the  $a \neq 0$  and  $V \neq 0$  does not exist <https://math.stackexchange.com/>

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Pawel Pod · 10 months ago

An another argument:

$8 = 8 + 0 = 8 + (8 + (-8)) = (8 + 8) + (-8) = 8 + (-8) = 8 + ((-8) + (-8)) = (8 + (-8)) + (-8) = 0 + (-8) = -8$ , this is the contradiction with the assumption that  $8$  and  $(-8)$  denote distinct objects.

^ v Reply Share



Maxis Jaisi · 2 years ago

Alternatively, we could just observe that  $t + \infty = \infty$  for every  $t \in \mathbb{R}$  which automatically violates uniqueness of additive identity.

^ v Reply Share



Rong Ou · 2 years ago

For 6 it also violates associativity:

$$(\infty + \infty) + (-\infty) = \infty + (-\infty) = 0$$

$$\infty + (\infty + (-\infty)) = \infty + 0 = \infty$$

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-- Chapter 1 Exercise B

Chapter 2 Exercise A --

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## Chapter 1 Exercise C

Posted on January 3, 2016 by Linearity

1. Solution:  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}$  is a subspace of  $\mathbb{F}^3$ . By 1.34, to show a subset is a subspace, we just need to check Additive identity, Closed under addition and Closed under scalar multiplication.

Additive identity: it is clear that the additive identity  $(0, 0, 0)$  of  $\mathbb{F}^3$  is contained in  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}$ .

Closed under addition: if  $(a_1, a_2, a_3), (b_1, b_2, b_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}$ , then

$$a_1 + 2a_2 + 3a_3 = 0 \quad \text{and} \quad b_1 + 2b_2 + 3b_3 = 0.$$

Hence

$$(a_1 + b_1) + 2(a_2 + b_2) + 3(a_3 + b_3) = (a_1 + 2a_2 + 3a_3) + (b_1 + 2b_2 + 3b_3) = 0,$$

this means  $(a_1 + b_1, a_2 + b_2, a_3 + b_3) = (a_1, a_2, a_3) + (b_1, b_2, b_3)$  is also in  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}$ .

Closed under scalar multiplication: if  $(a_1, a_2, a_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}$ , then  $a_1 + 2a_2 + 3a_3 = 0$ . For any  $\lambda \in \mathbb{F}$ , we have

$$\lambda a_1 + 2(\lambda a_2) + 3(\lambda a_3) = \lambda(a_1 + 2a_2 + 3a_3) = 0.$$

This means

$$\lambda(a_1, a_2, a_3) = (\lambda a_1, \lambda a_2, \lambda a_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 0\}.$$

(b)  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 + 2x_2 + 3x_3 = 4\}$  is not a subspace of  $\mathbb{F}^3$  since  $(0, 0, 0)$  is not in it.

(c)  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1, x_2, x_3 = 0\}$  is not a subspace of  $\mathbb{F}^3$  since  $(1, 1, 0)$  and  $(0, 1, 1)$  are in it, but the sum  $(1, 2, 1) = (1, 1, 0) + (0, 1, 1)$  is not in it.

(d)  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}$  is a subspace of  $\mathbb{F}^3$ .

Additive identity: it is clear that the additive identity  $(0, 0, 0)$  of  $\mathbb{F}^3$  is contained in  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}$ .

Closed under addition: if  $(a_1, a_2, a_3), (b_1, b_2, b_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}$ , then  $a_1 = 5a_3$  and  $b_1 = 5b_3$ . Hence

$$a_1 + b_1 = 5a_3 + 5b_3 = 5(a_3 + b_3),$$

this means  $(a_1 + b_1, a_2 + b_2, a_3 + b_3) = (a_1, a_2, a_3) + (b_1, b_2, b_3)$  is also in  $\{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}$ .

Closed under scalar multiplication: if  $(a_1, a_2, a_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}$ , then  $a_1 = 5a_3$ . For any  $\lambda \in \mathbb{F}$ , we have  $\lambda a_1 = 5(\lambda a_3) = 5(a_3)$ . This means

$$\lambda(a_1, a_2, a_3) = (\lambda a_1, \lambda a_2, \lambda a_3) \in \{(x_1, x_2, x_3) \in \mathbb{F}^3 : x_1 = 5x_3\}.$$

2. Solution: (a) If this set is a subspace of  $\mathbb{F}^4$ , then  $(0, 0, 0, 0) \in \mathbb{F}^4$ , then  $0 = 5 \cdot 0 + b$ . Hence  $b = 0$ . Follow the same steps of Problem 1(d), we will see the set is a subspace of  $\mathbb{F}^4$  if  $b = 0$ .

(b) (c) and (d) is similar to Problem 3 and 4.

Now let us consider (e). Denote the set of all sequences of complex numbers with limit 0 by  $A$ .

Additive identity: it is clear that  $(0, 0, \dots) \in A$ .

Closed under addition: if  $(a_1, a_2, \dots), (b_1, b_2, \dots) \in A$ , then

$$\lim_{n \rightarrow \infty} a_n = 0 \quad \text{and} \quad \lim_{n \rightarrow \infty} b_n = 0.$$

It is easy to see

$$\lim_{n \rightarrow \infty} (\lambda a_n) = \lambda \lim_{n \rightarrow \infty} a_n = \lambda 0 = 0.$$

This means  $(a_1 + b_1, a_2 + b_2, \dots) = (a_1, a_2, \dots) + (b_1, b_2, \dots) \in A$ .

Closed under scalar multiplication: if  $(a_1, a_2, \dots) \in A$ , then

$$\lim_{n \rightarrow \infty} \lambda a_n = 0.$$

For any  $\lambda \in \mathbb{C}$ , it is easy to see

$$\lim_{n \rightarrow \infty} (\lambda a_n) = \lambda \lim_{n \rightarrow \infty} a_n = \lambda 0 = 0.$$

This means  $\lambda(a_1, a_2, \dots) = (\lambda a_1, \lambda a_2, \dots) \in A$ .

3. Solution: Denote the set of differentiable real-valued functions  $f$  on the interval  $(-4, 4)$  such that  $f'(-1) = 3f(2)$  by  $V$ .

Additive identity: it is clear that the constant function  $f \equiv 0$  is contained in  $V$ .

Closed under addition: if  $f, g \in V$ , then  $f$  and  $g$  are differentiable real-valued functions. So is  $f + g$ .

Moreover,

$$(f + g)'(-1) = f'(-1) + g'(-1) = 3f(2) + 3g(2) = 3(f(2) + g(2)) = 3(f + g)(2).$$

This concludes  $V$  is closed under addition.

Closed under scalar multiplication: if  $f \in V$ , for any  $\lambda \in \mathbb{R}$ , then  $f$  is differentiable real-valued functions. So is  $\lambda f$ . Moreover,

$$(\lambda f)'(-1) = \lambda f'(-1) = \lambda(3f(2)) = 3(\lambda f)(2) = 3(\lambda f)'(2).$$

This deduces  $V$  is closed under scalar multiplication.

4. Solution: Denote the set of continuous real-valued functions  $f$  on the interval  $[0, 1]$  such that  $\int_0^1 f = b$  by  $V_b$ .

If  $V_b$  is a subspace of  $\mathbb{R}^{[0,1]}$ , then for any  $f \in V_b$ , we have  $\int_0^1 f = b$ . Because  $V_b$  is a subspace of  $\mathbb{R}^n$ , it follows that  $kf \in V_b$  for any  $k \in \mathbb{R}$ . Hence

$$b = \int_0^1 (kf) = k \int_0^1 f = kb, \quad \text{for all } k \in \mathbb{R},$$

this happens if and only if  $b = 0$ .

Now if  $b = 0$ , then for any  $f, g \in V_0$  and  $\lambda \in \mathbb{R}$ , we have

$$\int_0^1 (f + g) = \int_0^1 f + \int_0^1 g = 0 + 0 = 0$$

and  $f + g$  is continuous real-valued functions since  $f$  and  $g$  are. This implies  $\lambda f \in V_0$ , i.e.  $V_0$  is closed under scalar multiplication. On the other hand, the constant function  $f \equiv 0 \in V_0$ , which is also the additive identity in  $\mathbb{R}^{[0,1]}$ . Hence  $V_0$  is a subspace of  $\mathbb{R}^{[0,1]}$ .

5. Solution: Note that we consider complex vector space, so if  $\mathbb{R}^2$  is a subspace of the complex vector space  $\mathbb{C}^2$ , then

$$(1, 1) = (i, i) \in \mathbb{C}^2,$$

we get a contradiction. Hence  $\mathbb{R}^2$  is not a subspace of the complex vector space  $\mathbb{C}^2$ .

6. Solution: (a) Because  $a^3 = b^3$  if and only if  $a = b$  in  $\mathbb{R}$ , hence  $a = b$ .

$$\{(a, b, c) \in \mathbb{R}^3 : a^3 = b^3\} = \{(a, b, c) \in \mathbb{R}^3 : a = b\}$$

is obviously a subspace of  $\mathbb{R}^3$  by the similar arguments in Problem 1 and Problem 2.

(b) Note that

$$x = \left(1, -\frac{1+\sqrt{3}i}{2}, 0\right) \in \{(a, b, c) \in \mathbb{C}^3 : a^3 = b^3\}$$

and

$$y = \left(1, -\frac{1-\sqrt{3}i}{2}, 0\right) \in \{(a, b, c) \in \mathbb{C}^3 : a^3 = b^3\}.$$

However,

$$x + y = (2, -1, 0) \notin \{(a, b, c) \in \mathbb{C}^3 : a^3 = b^3\}.$$

This implies  $(a, b, c) \in \mathbb{C}^3 : a^3 = b^3$  is not closed under addition, hence not a subspace of  $\mathbb{C}^3$ .

7. Solution: Denote the set of differentiable real-valued functions  $f$  on the interval  $(-4, 4)$  such that  $f'(-1) = 3f(2)$  by  $U$ .

Additive identity: it is clear that the constant function  $f \equiv 0$  is contained in  $U$ .

Closed under addition: if  $f, g \in U$ , then  $f$  and  $g$  are differentiable real-valued functions. So is  $f + g$ .

Moreover,

$$(f + g)'(-1) = f'(-1) + g'(-1) = 3f(2) + 3g(2) = 3(f(2) + g(2)) = 3(f + g)(2).$$

This concludes  $U$  is closed under addition.

Closed under scalar multiplication: if  $f \in U$ , for any  $\lambda \in \mathbb{R}$ , then  $f$  is differentiable real-valued functions. So is  $\lambda f$ . Moreover,

$$(\lambda f)'(-1) = \lambda f'(-1) = \lambda(3f(2)) = 3(\lambda f)(2) = 3(\lambda f)'(2).$$

This deduces  $U$  is closed under scalar multiplication.

8. Solution: Denote  $\{(x, y) \in \mathbb{R}^2 : x = 0 \text{ or } y = 0\}$  by  $U$ , then  $U$  is not empty. If  $(x, 0) \in U$ , then for any  $\lambda \in \mathbb{R}$ ,

$$\lambda(x, 0) = (\lambda x, 0) \in U.$$

Similarly,  $\lambda(0, y) \in U$ , hence  $U$  is closed under scalar multiplication. However,  $(1, 0), (0, 1) \in U$  while  $(1, 1) = (1, 0) + (0, 1) \notin U$ . This implies  $U$  is not closed under addition, hence not a subspace of  $\mathbb{R}^2$ .

9. Solution: Denote the set of periodic functions from  $\mathbb{R}$  to  $\mathbb{R}$  by  $S$ . Then  $S$  is not a subspace of  $\mathbb{R}^{\mathbb{R}}$ .

Otherwise, we have  $h(x) = \sin \sqrt{2}x + \cos x$  since both  $f(x) = \sin \sqrt{2}x$  and  $g(x) = \cos x$  are periodic functions from  $\mathbb{R}$  to  $\mathbb{R}$ . Assume there exists a positive number  $p$  such that  $h(x) = h(x+p)$  for all  $x \in \mathbb{R}$ , then

$1 = h(0) = h(p) = h(-p)$ . It is equivalent to

$$1 = \cos p + \sin \sqrt{2}p = \cos p - \sin \sqrt{2}p,$$

this implies  $\sqrt{2}p = 0$  and  $\cos p = 1$ . cos  $p = 1$  deduces that  $p = 2\pi k$  for some  $k \in \mathbb{Z}$ . However  $\sin \sqrt{2}p = 0$  implies  $\sqrt{2}p = 2\pi l$  where  $l \in \mathbb{Z}$ . Hence

$$\sqrt{2}p = 2\pi l \Rightarrow p = \frac{2\pi l}{\sqrt{2}} = \frac{l}{k},$$

which is impossible. Therefore we get the conclusion.

10. Solution: Additive identity: by definition  $0 \in U_1$  and  $0 \in U_2$ , hence  $0 \in U_1 \cap U_2$ .

Closed under addition: if  $x \in U_1 \cap U_2$  and  $y \in U_1 \cap U_2$ , then  $x \in U_1$  and  $y \in U_2$ , hence  $x + y \in U_1$  for  $U_1$  is closed under addition. Similarly,  $x + y \in U_2$  for  $U_2$ . Therefore  $x + y \in U_1 \cap U_2$ .

Closed under scalar multiplication: if  $x \in U_1 \cap U_2$ , then  $x \in U_$

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## Chapter 2 Exercise A

Posted on February 1, 2016 by Linearity

1. Suppose  $v_1, v_2, v_3, v_4$  spans  $V$ . Prove that the list

$$v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4$$

also spans  $V$ .

**Solution:** We just need to show that  $v_1, v_2, v_3, v_4$  can be expressed as linear combination of  $v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4$ . Note that

$$v_1 = (v_1 - v_2) + (v_2 - v_3) + (v_3 - v_4) + v_4,$$

$$v_2 = (v_2 - v_3) + (v_3 - v_4) + v_4,$$

$$v_3 = (v_3 - v_4) + v_4, \quad v_4 = v_4,$$

we will get the conclusion by definition 2.17.

2. Verify the assertions in Example 2.18.

**Solution:** (a) If  $v \neq 0$ , then  $av = 0$  means  $a = 0$  by problem 2 in exercise 1B, hence  $v \in V$  is linearly independent. Conversely, if  $v \in V$  is linearly independent, then  $v \neq 0$ . Otherwise, we have  $1v = v = 0$ , i.e.  $v$  is linearly dependent. This is a contradiction.

(b) If  $v_1, v_2 \in V$  is linearly independent, then neither vector is a scalar multiple of the other. Otherwise, without loss of generality, we can assume  $v_1 = cv_2$ , then  $v_1 + (-c)v_2 = 0$ . It follows that  $v_1 \in V, v_2 \in V$  is linearly dependent. We get a contradiction. Conversely, if  $v_1, v_2 \in V$  is linearly dependent, then there exist  $a$  and  $b$  such that  $av_1 + bv_2 = 0$  and  $a$  and  $b$  are not both zero. Without loss of generality, we can assume  $a \neq 0$ , then  $av_1 + bv_2 = 0$  means  $v_1 = -\frac{b}{a}v_2$ . We also get a contradiction.

(c) If there exist  $x, y, z, w \in F$  such that

$$x(1, 0, 0, 0) + y(0, 1, 0, 0) + z(0, 0, 1, 0) = 0,$$

then it means  $(x, y, z, w) = (0, 0, 0, 0)$ . Hence  $x = y = z = 0$ , it follows that the list is linearly independent in  $F^4$ .

(d) We just need the sentence before definition 2.12, that is, "Conclusion: the coefficients of a polynomial are uniquely determined by the polynomial". Then use definition 2.17 and the similar method as (c), we can prove this case.

3. Find a number  $t$  such that

$$(3, 1, 4), (2, -3, 5), (5, 9, t)$$

is not linearly independent in  $F^3$ .

**Solution:** Let us consider the following equations

$$3x + 2y = 5, \quad x - 3y = 9. \quad (1)$$

We can get a solution  $x = 3, y = -2$ . Hence let

$$t = 3 \cdot 4 + (-2) \cdot 5 = 2.$$

Then we have

$$3(3, 1, 4) + (-2)(2, -3, 5) = (5, 9, 2), \quad (2)$$

this means  $t = 2$  is the desired solution.

*Remark: From (2), one can know why we get these equations in (1).*

4. Verify the assertion in the second bullet point in Example 2.20.

**Solution:** We already see that if  $c = 8$ , the list is linearly dependent. Now we show that if the list is linearly dependent, then  $c = 8$ . Suppose there are exist  $x, y$  and  $z$  such that they are not all zero and

$$x(1+i) + y(1-i) + z(7, c) = 0. \quad (3)$$

Then we have

$$2x + y + 7z = 0 \quad \text{and} \quad 3x - y + 3z = 0.$$

From these equations, by solving in  $x$  and  $y$ , we get  $x = -2z$  and  $y = -3z$ . Since  $x, y, z$  are not all zero,  $z$  is not zero. However, (3) also means  $x + 2y + cz = 0$ , plugging  $x = -2z$  and  $y = -3z$ , we get

$$-2z + 2(-3)z + cz = 0 \iff (c-8)z = 0.$$

Hence we deduce that  $c = 8$  since  $z \neq 0$ .

*Using this method, we can also solve Problem 3.*

5. (a) Show that if we think of  $C$  as a vector space over  $\mathbb{R}$ , then the list  $(1+i, 1-i)$  is linearly independent.  
(b) Show that if we think of  $C$  as a vector space over  $\mathbb{C}$ , then the list  $(1+i, 1-i)$  is linearly dependent.

**Solution:** (a) Let  $x$  and  $y$  be in  $\mathbb{R}$ , then if  $x(1+i) + y(1-i) = 0$ , we have

$$0 = x(1+i) + y(1-i) = (x+y) + (x-y)i.$$

Hence  $x + y = 0$  and  $x - y = 0$ , it follows that  $x = 0$  and  $y = 0$ . Thus the list  $(1+i, 1-i)$  is linearly independent over  $\mathbb{R}$ .

(b) For this case, note that

$$i(1+i) + 1(1-i) = (i-1) + (1-i) = 0,$$

we conclude the list  $(1+i, 1-i)$  is linearly dependent over  $\mathbb{C}$ .

6. Suppose  $v_1, v_2, v_3, v_4$  is linearly independent in  $V$ . Prove that the list

$$v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4$$

is also linearly independent in  $V$ .

**Solution:** Suppose there exist numbers  $x, y, z, w$  in the field such that

$$x(v_1 - v_2) + y(v_2 - v_3) + z(v_3 - v_4) + wv_4 = 0,$$

then

$$xv_1 + (y-x)v_2 + (z-y)v_3 + (w-z)v_4 = 0.$$

Because  $v_1, v_2, v_3, v_4$  is linearly independent in  $V$ , it follows that

$$x = 0, \quad y = x = 0, \quad z = y = 0, \quad w = z = 0.$$

Hence we get  $x = y = z = w = 0$ . This means the list

$$v_1 - v_2, v_2 - v_3, v_3 - v_4, v_4$$

is also linearly independent in  $V$ .

7. Prove or give a counterexample: If  $v_1, v_2, \dots, v_m$  is a linearly independent list of vectors in  $V$ , then

$$5v_1 - 4v_2, v_2, v_3, \dots, v_m$$

is linearly independent.

**Solution:** This is true. For if there exist  $a_1, \dots, a_m \in F$  such that

$$a_1(5v_1 - 4v_2) + a_2v_2 + \dots + a_mv_m = 0,$$

we have

$$5a_1v_1 + (a_2 - 4a_1)v_2 + a_3v_3 + \dots + a_mv_m = 0.$$

Because  $v_1, v_2, \dots, v_m$  is a linearly independent, it follows that

$$5a_1 = 0, a_2 - 4a_1 = 0, a_3 = \dots = a_m = 0.$$

We get  $a_1 = a_2 = \dots = a_m = 0$ , hence the list

$$5v_1 - 4v_2, v_2, v_3, \dots, v_m$$

is linearly independent by Definition 2.17.

8. Prove or give a counterexample: If  $v_1, v_2, \dots, v_m$  is a linearly independent list of vectors in  $V$  and  $\lambda \in F$  with  $\lambda \neq 0$ , then  $\lambda v_1, \lambda v_2, \dots, \lambda v_m$  is linearly independent.

**Solution:** This is true. For if there exist  $a_1, \dots, a_m \in F$  such that

$$a_1(\lambda v_1) + a_2(\lambda v_2) + \dots + a_m(\lambda v_m) = 0,$$

we have

$$(a_1\lambda)v_1 + (a_2\lambda)v_2 + \dots + (a_m\lambda)v_m = 0.$$

Because  $v_1, v_2, \dots, v_m$  is a linearly independent, it follows that

$$a_1\lambda = a_2\lambda = \dots = a_m\lambda = 0.$$

Hence we deduce that  $a_1 = a_2 = \dots = a_m = 0$ , hence  $\lambda v_1, \lambda v_2, \dots, \lambda v_m$  is linearly independent.

9. Prove or give a counterexample: If  $v_1, v_2, \dots, v_m, w_1, w_2, \dots, w_m$  are linearly independent lists of vectors in  $V$ , then  $v_1 + w_1, v_2 + w_2, \dots, v_m + w_m$  is linearly independent.

**Solution:** Counterexample: let  $w_i = -v_i$ , then if  $v_1, v_2, \dots, v_m$  is linearly independent, we have  $w_1, w_2, \dots, w_m$  is linearly independent by Problem 8. However,  $v_1 + w_1, v_2 + w_2, \dots, v_m + w_m = 0$  is linearly dependent.

10. Suppose  $v_1, v_2, \dots, v_m$  is linearly independent in  $V$  and  $w \in V$ . Prove that  $v_1, v_2, \dots, v_m, w$  is linearly independent if and only if  $w \notin \text{span}(v_1, \dots, v_m)$ .

**Solution:** Since  $v_1, v_2, \dots, v_m + w$  is linearly dependent, there exist  $a_1, \dots, a_m \in F$ , not all 0, such that

$$a_1(v_1 + w) + a_2(v_2 + w) + \dots + a_m(v_m + w) = 0.$$

Hence we have

$$a_1v_1 + (a_2 + a_1)w + a_3v_3 + \dots + a_mv_m + (a_m + a_{m-1})w = 0.$$

If  $a_1 + \dots + a_m = 0$ , we get  $a_1v_1 + \dots + a_mv_m = 0$ , which will deduce that  $a_i \equiv 0$ . Hence  $a_1 + \dots + a_m \neq 0$ , it follows that

$$w = -\frac{1}{a_1 + \dots + a_m}(a_1v_1 + \dots + a_mv_m) \in \text{span}(v_1, \dots, v_m).$$

11. Suppose  $v_1, v_2, \dots, v_m$  is linearly independent in  $V$  and  $w \in V$ . Show that  $v_1, v_2, \dots, v_m, w$  is linearly independent if and only if  $w \notin \text{span}(v_1, \dots, v_m)$ .

**Solution:** It is equivalent to show  $v_1, v_2, \dots, v_m, w$  is linearly dependent if and only if  $w \in \text{span}(v_1, \dots, v_m)$ .

12. Explain why there does not exist a list of six polynomials that is linearly independent in  $P_4(F)$ .

**Solution:** Note that  $1, z, z^2, z^3, z^4$  spans  $P_4(F)$ , hence any linearly independent list of  $P_4(F)$  has at most 5 elements.

13. Explain why no list of four polynomials spans  $P_4(F)$ .

**Solution:** By the similar process of Problem 2, we can show that  $1, z, z^2, z^3, z^4$  is a linearly independent list of  $P_4(F)$ . Due to 2.23, no list of four polynomials spans  $P_4(F)$ . Otherwise, the length of every linearly independent list of vectors on the interval  $[0, 1]$  is 5 while the length of some spanning list of vectors is 4.

14. Prove that  $V$  is infinite-dimensional if and only if there is a sequence  $v_1, v_2, \dots$  of vectors in  $V$  such that  $v_1, v_2, \dots, v_m$  is linearly independent for every positive integer  $m$ .

**Solution:** If there is a sequence  $v_1, v_2, \dots, v_m$  of vectors in  $V$  such that  $v_1, v_2, \dots, v_m$  is linearly independent for every positive integer  $m$ , then  $V$  is obviously infinite-dimensional.

If  $V$  is infinite-dimensional, then  $V$  cannot be spanned by finitely many vectors. Now we obtain such a sequence  $v_1, v_2, \dots$  of vectors in  $V$  by induction. Let  $v_1 \neq 0$  is a vector in  $V$ . Since  $V$  is infinite-dimensional, there must exist some  $v_2 \in V$  such that  $v_2 \notin \text{span}(v_1)$ . Similarly, if  $v_1, v_2, \dots, v_m$  is linearly independent, then there must exist some  $v_{m+1} \in V$  such that  $v_{m+1} \notin \text{span}(v_1, v_2, \dots, v_m)$ . Since  $V$  is infinite-dimensional, we can always do this process. Hence we will get a sequence  $v_1, v_2, \dots$  of vectors in  $V$ . By 2.21, we deduce that  $v_1, v_2, \dots, v_m$  is linearly independent for every positive integer  $m$ .

15. Prove that  $F^\infty$  is infinite-dimensional.

**Solution:** Let  $e_i = (0, \dots, 0, 1, 0, \dots)$  be the vector that has 1 in the  $i$ -th component and 0 in other components. Then we can easily check that  $e_1, e_2, \dots, e_m$  is linearly independent for every positive integer  $m$ . Now by Problem 14, we conclude that  $F^\infty</$

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## Chapter 2 Exercise B

Posted on February 2, 2016 by Linearity

- Find all vector spaces that have exactly one basis.

Solution: The only vector spaces is  $\{0\}$ . For if there is a nonzero vector  $v$  in a basis, then we can get a new basis by changing  $v$  to  $2v$ .

*Here, we just consider the fields  $\mathbb{R}$  and  $\mathbb{C}$ , hence  $v \neq 2v$ . For finite fields such as  $\mathbb{F}_2$ , there may be other solutions.*

- Verify all the assertions in Example 2.28.

We have already known how to check linear independence. So, we just verify they are a spanning list. The process is easy and tedious, so I omit them.

- Let  $U$  be the subspace of  $\mathbb{R}^5$  defined by

$$U = \{(x_1, x_2, x_3, x_4, x_5) \in \mathbb{R}^5 : x_1 = 3x_2 \text{ and } x_3 = 7x_4\}.$$

Find a basis of  $U$ . (b) Extend the basis in part (a) to a basis of  $\mathbb{R}^5$ . (c) Find a subspace  $W$  of  $\mathbb{R}^5$  such that  $\mathbb{R}^5 = U \oplus W$ .

Solution: (a)  $(3, 1, 0, 0, 0), (0, 0, 7, 1, 0)$  and  $(0, 0, 0, 0, 1)$ . (b)  $(3, 1, 0, 0, 0), (0, 0, 7, 1, 0), (0, 0, 0, 0, 1), (1, 0, 0, 0, 0)$  and  $(0, 0, 1, 0, 0)$ . (c)  $W = \text{span}((1, 0, 0, 0, 0), (0, 0, 1, 0, 0))$  by (b).

- Let  $U$  be the subspace of  $\mathbb{C}^5$  defined by

$$U = \{(z_1, z_2, z_3, z_4, z_5) \in \mathbb{C}^5 : 6z_1 = z_2 \text{ and } z_3 + 2z_4 + 3z_5 = 0\}.$$

Find a basis of  $U$ . (b) Extend the basis in part (a) to a basis of  $\mathbb{C}^5$ . (c) Find a subspace  $W$  of  $\mathbb{C}^5$  such that  $\mathbb{C}^5 = U \oplus W$ .

Solution: (a)  $(1, 6, 0, 0, 0), (0, 0, 2, -1, 0)$  and  $(0, 0, 3, 0, -1)$ . (b)  $(1, 6, 0, 0, 0), (0, 0, 2, -1, 0), (0, 0, 3, 0, -1), (1, 0, 0, 0, 0)$  and  $(0, 0, 1, 0, 0)$ . (c)  $W = \text{span}((1, 0, 0, 0, 0), (0, 0, 1, 0, 0))$  by (b).

*Here I consider the vector space is over  $\mathbb{C}$ .*

- Prove or disprove: there exists a basis  $p_0, p_1, p_2, p_3$  of  $\mathcal{P}_3(F)$  such that none of the polynomials  $p_0, p_1, p_2, p_3$  has degree 2.

Solution: Because  $1, x, x^2, x^3$  is a basis of  $\mathcal{P}_3(F)$ , hence

$$1 + x^3, x + x^3, x^2 + x^3, x^3$$

is also a basis of  $\mathcal{P}_3(F)$ . However none of the polynomials  $1 + x^3, x + x^3, x^2 + x^3, x^3$  has degree 2.

*Here I use a fact that  $v_1, v_2, v_3, v_4$  is a basis of  $V$ , then*

$$v_1 + v_4, v_2 + v_4, v_3 + v_4, v_4$$

*is also a basis of  $V$ . Proof of this is similar to Problem 6.*

- Suppose  $v_1, v_2, v_3, v_4$  is a basis of  $V$ . Prove that

$$v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$$

is also a basis of  $V$ .

Solution: First, we need show that  $v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$  is linear independent. Assume that

$$0 = a(v_1 + v_2) + b(v_2 + v_3) + c(v_3 + v_4) + d(v_4),$$

then  $av_1 + (a+b)v_2 + (b+c)v_3 + (c+d)v_4 = 0$ . Note that  $v_1, v_2, v_3, v_4$  is a basis of  $V$ , it follows that  $a = 0, a + b = 0, b + c = 0$  and  $c + d = 0$ . Then  $a = b = c = d = 0$ , this means  $v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$  is linear independent.

Now, note that

$$v_3 = (v_3 + v_4) - v_4, \quad v_2 = (v_2 + v_3) - (v_3 + v_4) + v_4$$

and

$$v_1 = (v_1 + v_2) - (v_2 + v_3) + (v_3 + v_4) - v_4,$$

we can conclude that  $v_1, v_2, v_3, v_4$  can be expressed as linear combinations of  $v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$ . Hence all vectors that can be expressed as linear combinations of  $v_1, v_2, v_3, v_4$  can also be linearly expressed by  $v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$ , i.e.  $v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$  spans  $V$ .

Above all,

$$v_1 + v_2, v_2 + v_3, v_3 + v_4, v_4$$

is also a basis of  $V$ .

- Prove or give a counterexample: If  $v_1, v_2, v_3, v_4$  is a basis of  $V$  and  $U$  is a subspace of  $V$  such that  $v_1, v_2 \in U$  and  $v_3 \notin U$  and  $v_4 \notin U$ , then  $v_1, v_2$  is a basis of  $U$ .

Solution: Counterexample: let  $V = \mathbb{R}^4$ ,  $v_1 = (1, 0, 0, 0)$ ,  $v_2 = (0, 1, 0, 0)$ ,  $v_3 = (0, 0, 1, 1)$ ,  $v_4 = (0, 0, 0, 1)$  and

$$U = \{(x, y, z, 0) | x, y, z \in \mathbb{R}\}.$$

Then all the conditions are satisfied, but  $v_1, v_2$  is not a basis of  $U$  since  $(0, 0, 1, 0)$  can not be linearly expressed by  $v_1, v_2$ .

- Suppose  $U$  and  $W$  are subspaces of  $V$  such that  $V = U \oplus W$ . Suppose also that  $u_1, \dots, u_m$  is a basis of  $U$  and  $w_1, \dots, w_n$  is a basis of  $W$ . Prove that

$$u_1, \dots, u_m, w_1, \dots, w_n$$

is a basis of  $V$ .

Solution: First, we show that  $u_1, \dots, u_m, w_1, \dots, w_n$  is linearly independent. If there exist  $a_1, \dots, a_m \in \mathbb{F}$  and  $b_1, \dots, b_n \in \mathbb{F}$  such that

$$a_1u_1 + \dots + a_mu_m + b_1w_1 + \dots + b_nw_n = 0.$$

Then

$$a_1u_1 + \dots + a_mu_m = -(b_1w_1 + \dots + b_nw_n) \in U \cap W,$$

it follows that

$$a_1u_1 + \dots + a_mu_m = 0, \quad b_1w_1 + \dots + b_nw_n = 0$$

since  $V = U \oplus W$  implies  $U \cap W = \{0\}$ . However, note that  $u_1, \dots, u_m$  is a basis of  $U$  and  $w_1, \dots, w_n$  is a basis of  $W$ , it follows that  $a_1 = \dots = a_m = 0$  and  $b_1 = \dots = b_n = 0$ . Hence  $u_1, \dots, u_m, w_1, \dots, w_n$  is linearly independent.

Now, it suffices to verify that  $u_1, \dots, u_m, w_1, \dots, w_n$  spans  $V$ . For any  $v \in V$ , there exist  $u \in U$  and  $w \in W$  such that  $v = u + w$  since  $V = U \oplus W$ . Note that  $u_1, \dots, u_m$  is a basis of  $U$  and  $w_1, \dots, w_n$  is a basis of  $W$ , it follows that there exist  $a_1, \dots, a_m \in \mathbb{F}$  and  $b_1, \dots, b_n \in \mathbb{F}$  such that

$$u = a_1u_1 + \dots + a_mu_m,$$

$$w = b_1w_1 + \dots + b_nw_n.$$

Hence

$$v = u + w = a_1u_1 + \dots + a_mu_m + b_1w_1 + \dots + b_nw_n,$$

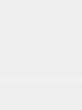
which means  $u_1, \dots, u_m, w_1, \dots, w_n$  spans  $V$ .

Above all,

$$u_1, \dots, u_m, w_1, \dots, w_n$$

is also a basis of  $V$ .

*Remark: For any  $v \in U \cap W$ , if I can show that  $v = 0$ . Then  $U \cap W = 0$ , since we choose  $v$  arbitrarily. I have always skipped this step. For instance, for any  $v \in V$ , if I can show that  $v \in W$ , then  $V \subset W$ . The argument is similar.*



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samrao1997 · 3 years ago

In 5 I think the last vector of the basis should be  $x^3$  not  $x^2$  because that has degree 2....

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# Solutions to Linear Algebra Done Right

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## Chapter 2 Exercise C

Posted on February 3, 2016 by Linearity

1. Solution: Let  $u_1, u_2, \dots, u_n$  be a basis of  $U$ . Thus  $n = \dim U = \dim V$ . Hence  $u_1, u_2, \dots, u_n$  is a linearly independent list of vectors in  $V$  with length  $\dim V$ . By 2.39,  $u_1, u_2, \dots, u_n$  is a basis of  $V$ . In particular, any vector in  $V$  can be written as a linear combination of  $u_1, u_2, \dots, u_n$ . As  $u_i \in U$ , it follows that  $V \subset U$ . This means that  $U = V$ .

2. Solution: The dimension of a subspace  $U$  of  $\mathbb{R}^2$  can only be 0, 1, 2. If  $\dim U = 0$ , then  $U = \{0\}$ . If  $\dim U = 2$ , then  $U = \mathbb{R}^2$  by problem 1. If  $\dim U = 1$ , then for any nonzero  $x \in U$ , it follows that

$$U = \{kx : k \in \mathbb{R}\},$$

which it is the line through  $x$  and the origin.

3. Solution: It is similar to Problem 2. If  $\dim U = 2$ , there exist two linearly independent  $x, y \in \mathbb{R}^3$ . Then

$$U = \{k_1x + k_2y : k_1 \in \mathbb{R}, k_2 \in \mathbb{R}\},$$

which it is the plane through  $x, y$  and the origin.

4. Solution: (a) A basis of  $U$  is  $x - 6, x^2 - 6x, x^3 - 6x^2$  and  $x^4 - 6x^3$ . Of course,  $x - 6, x^2 - 6x, x^3 - 6x^2$  and  $x^4 - 6x^3$  is linearly independent since they has different degrees (It is easy to check). Moreover, if  $p(6) = 0$ , then  $p(x)$  is divided by  $x - 6$ , hence

$$\begin{aligned} p(x) &= (x - 6)(k_3x^3 + k_2x^2 + k_1x + k_0) \\ &= k_3(x^4 - 6x^3) + k_2(x^3 - 6x^2) + k_1(x^2 - 6x) + k_0(x - 6) \end{aligned}$$

is a linear combination of  $x - 6, x^2 - 6x, x^3 - 6x^2$  and  $x^4 - 6x^3$ .

(b) Of course,  $1, x - 6, x^2 - 6x, x^3 - 6x^2$  and  $x^4 - 6x^3$  is a basis of  $P_4(\mathbb{F})$ .

(c) Let  $W = \{c : c \in \mathbb{F}\}$ , then  $P_4(\mathbb{F}) = U \oplus W$  by (b).

5. Solution: (a) For polynomial  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , consider the condition when  $f''(6) = 0$ . Then you will get a linear equation about  $a, b, c, d, e$ . Find a basis of the solution space of this linear equation. Then substitute it into  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , you will get a basis of  $U$  (why?). I skip the details and give a example of basis.  $1, x, x^2 - 18x^2, x^4 - 12x^3$  is a basis of  $U$ .

(b) Of course,  $1, x, x^2 - 18x^2$  and  $x^4 - 12x^3$  is a basis of  $P_4(\mathbb{R})$ .

(c) Let  $W = \{cx^2 : c \in \mathbb{R}\}$ , then  $P_4(\mathbb{R}) = U \oplus W$  by (b).

6. Solution: (a) For polynomial  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , consider the condition when  $f(2) = f(5)$ . Then you will get a linear equation about  $a, b, c, d, e$ . The dimension of the solution space of this linear equation is 4, so is  $U$  (why?). Thus we only have to give 4 linearly independent polynomials in  $P_4(\mathbb{F})$  such that each of them attain the same value at  $x = 2$  and  $x = 5$ . A good example is  $1, x^2 - 7x + 10, x(x^2 - 7x + 10)$  and  $x^2(x^2 - 7x + 10)$ .

(b) Of course,  $1, x, x^2 - 7x + 10, x(x^2 - 7x + 10)$  and  $x^2(x^2 - 7x + 10)$  is a basis of  $P_4(\mathbb{F})$ .

(c) Let  $W = \{cx : c \in \mathbb{F}\}$ , then  $P_4(\mathbb{F}) = U \oplus W$  by (b).

7. Solution: (a) For polynomial  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , consider the condition when  $f(2) = f(5) = f(6)$ . Then you will get 2 linear equations about  $a, b, c, d, e$ . The dimension of the solution space of this linear equation is 3, so is  $U$  (why?). Thus we only have to give 3 linearly independent polynomials in  $P_4(\mathbb{F})$  such that each of them attain the same value at  $x = 2, x = 5$  and  $x = 6$ . A good example is  $1, (x - 2)(x - 5)(x - 6)$  and  $x(x - 2)(x - 5)(x - 6)$ .

(b) Of course,  $1, x, x^2 - 7x + 10, x(x^2 - 7x + 10)$  and  $x^2(x^2 - 7x + 10)$  is a basis of  $P_4(\mathbb{F})$ .

(c) Let  $W = \{cx + dx^2 : c \in \mathbb{F}, d \in \mathbb{F}\}$ , then  $P_4(\mathbb{F}) = U \oplus W$  by (b).

8. Solution: (a) For polynomial  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , consider the condition when  $\int_{-1}^1 f = 0$ . Then you will get a linear equation about  $a, b, c, d, e$ , which is  $a/5 + d/3 + e = 0$ . Find a basis of the solution space of this linear equation. Then substitute it into  $f(x) = ax^4 + bx^3 + cx^2 + dx + e$ , you will get a basis of  $U$  (why?). I skip the details and give a example of basis.  $x, 3x^2 - 1, x^3$  and  $5x^4 - 1$  is a basis of  $U$ .

(b) Of course,  $1, x, 3x^2 - 1, x^3$  and  $5x^4 - 1$  is a basis of  $P_4(\mathbb{R})$ .

(c) Let  $W = \{c : c \in \mathbb{R}\}$ , then  $P_4(\mathbb{R}) = U \oplus W$  by (b).

9. Solution: Note that

$$v_2 - v_1 = (v_2 + w) - (v_1 + w),$$

it follows that  $v_2 - v_1 \in \text{span}(v_1 + w, \dots, v_m + w)$ . Similarly,  $v_i - v_1 \in \text{span}(v_1 + w, \dots, v_m + w)$  for all  $2 \leq i \leq m$ .

Actually,  $v_2 - v_1, \dots, v_m - v_1$  is linearly independent since  $v_1, \dots, v_m$  is linearly independent in  $V$ . (It is easy to prove, see examples in Exercise 2.A and 2.B.) By 2.33, it follows that

$$\dim \text{span}(v_1 + w, \dots, v_m + w) \geq m - 1.$$

10. Solution: Because  $p_0$  has degree 0, we have  $\text{span}(p_0) = \text{span}(1)$ . If we assume that

$$\text{span}(p_0, p_1, \dots, p_i) = \text{span}(1, x, \dots, x^i).$$

Then by assumption, it is trivial that

$$\text{span}(p_0, p_1, \dots, p_i, p_{i+1}) \subset \text{span}(1, x, \dots, x^i, x^{i+1}).$$

where  $a_{i+1} \neq 0$  and  $\deg f_{i+1}(x) \leq i$ . Then

$$x^{i+1} = a_{i+1}x^{i+1} + f_{i+1}(x) \in \text{span}(1, x, \dots, x^i, p_{i+1}).$$

Note that  $\text{span}(p_0, p_1, \dots, p_i) = \text{span}(1, x, \dots, x^i)$ , we conclude

$$\text{span}(1, x, \dots, x^i, p_{i+1}) = \text{span}(p_0, p_1, \dots, p_i, p_{i+1}).$$

Thus

$$x^{i+1} \in \text{span}(p_0, p_1, \dots, p_i, p_{i+1}),$$

then

$$\text{span}(1, x, \dots, x^i, x^{i+1}) \subset \text{span}(p_0, p_1, \dots, p_i, p_{i+1}).$$

By induction, we have

$$\text{span}(p_0, p_1, \dots, p_i) = \text{span}(1, x, \dots, x^i).$$

for all  $0 \leq i \leq m$ . In particular,

$$\text{span}(p_0, p_1, \dots, p_m) = \text{span}(1, x, \dots, x^m)$$

means  $p_0, p_1, \dots, p_m$  is a basis of  $P(\mathbb{F})$ . Because  $p_0, p_1, \dots, p_m$  is a spanning list of  $P(\mathbb{F})$  with the same length as the dimension of  $P_m(\mathbb{F})$  (2.42).

11. Solution: By 2.43, we have

$$\dim(U \cap W) = \dim U + \dim W - \dim(U + W) = 8 - \dim(\mathbb{R}^8) = 0.$$

Hence  $U \cap W = \{0\}$ , combining with  $U + W = \mathbb{R}^8$ , it follows that  $\mathbb{R}^8 = U \oplus W$ .

12. Solution: By 2.43, we have

$$\dim(U \cap W) = \dim U + \dim W - \dim(U + W) = 10 - \dim(U + W).$$

Note that  $U + W$  is a subspace of  $\mathbb{R}^9$ , it follows that  $\dim(U + W) \leq 9$  (by 2.38). Hence  $\dim(U \cap W) \geq 1$ , i.e.  $U \cap W \neq \{0\}$ .

13. Solution: By 2.43, we have

$$\dim(U \cap W) = \dim U + \dim W - \dim(U + W) = 8 - \dim(U + W) \geq 8 - \dim(C^6) = 2.$$

Hence there exists  $e_1, e_2 \in U \cap W$  such that  $e_1$  and  $e_2$  are linearly independent. Then neither of  $e_1$  or  $e_2$  is a scalar multiple of the other.

14. Solution: Choose a basis  $\mathcal{W}_i$  of  $U_i$ , then by definition of direct sum,  $U_1 + \dots + U_m$  can be spanned by the union of  $\mathcal{W}_1, \dots, \mathcal{W}_m$ . From 2.31, we conclude

$$\dim(U_1 + \dots + U_m) \leq \dim U_1 + \dots + \dim U_m.$$

since the cardinality of the gather of  $\mathcal{W}_1, \dots, \mathcal{W}_m$  is no more than  $\dim U_1 + \dots + \dim U_m$ . In particular,  $U_1 + \dots + U_m$  is finite-dimensional.

15. Solution: Let  $(v_1, \dots, v_n)$  be a basis of  $V$ . For each  $j$ , let  $U_j$  equal  $\text{span}(v_j)$ ; in other words,  $U_j = \{av_j : a \in \mathbb{F}\}$ . It is easy to see that  $\dim U_j = 1$  for all  $j = 1, \dots, n$ . Because  $(v_1, \dots, v_n)$  is a basis of  $V$ , each vector in  $V$  can be written uniquely in the form

$$a_1v_1 + \dots + a_nv_n,$$

where  $a_1, \dots, a_n \in \mathbb{F}$ . By definition of direct sum, this means that  $V = U_1 \oplus \dots \oplus U_n$ .

16. Solution: Since  $U_1 + \dots + U_m$  is a direct sum, it follows that

$$U_1 \oplus \dots \oplus U_m = U_1 + \dots + U_m.$$

Hence  $U_1 \oplus \dots \oplus U_m$  is finite dimensional by Problem 14. Now we use induction on  $m$  to show

$$\dim U_1 \oplus \dots \oplus U_m = \dim U_1 + \dots + \dim U_m.$$

By 2.43, for  $m = 2$ , we have

$$\dim(U_1 + U_2) = \dim U_1 + \dim U_2 - \dim(U_1 \cap U_2) = \dim U_1 + \dim U_2$$

since  $U_1 \cap U_2 = 0$  as  $U_1 + U_2$  is a direct sum.

Suppose the equality is true for  $m - 1$ . Now consider the case  $m$ , if  $U_1 + \dots + U_m$  is a direct sum, then the only way to write 0 as a sum  $u_1 + \dots + u_m$ , where each  $u_j$  is in  $U_j$ , is by taking each  $u_j$  equal to 0. Therefore the only way to write 0 as a sum  $u_1 + \dots + u_{m-1}$ , where each  $u_j$  is in  $U_j$ , is by taking each  $u_j$  equal to 0. It follows that  $U_1 + \dots + U_{m-1}$  is a direct sum, hence

$$\dim U_1 \oplus \dots \oplus U_{m-1} = \dim U_1 + \dots + \dim U_{m-1}.$$

On the other hand, let  $W = U_1 \oplus \dots \oplus U_{m-1}$ , then  $U_1 \oplus \dots \oplus U_m = W + U_m$ . Suppose  $0 = x + y$ , where  $x = x_1 + \dots + x_{m-1} \in W$  and  $y \in U_m$ , where each  $x_j$  is in  $U_j$ , it follows from 1.44 that  $x_i = 0$  and  $y = 0$ . Hence  $W + U_m$  is a direct sum again by 1.44. Therefore by the inductive assumption, we have

$$\dim U_1 \oplus \dots \oplus U_m = \dim(W + U_m) = \dim W + \dim U_m = \dim U_1 + \dots + \dim U_{m-1} + \dim U_m.$$

Repeat this process until you have  $U_1 + \dots + U_{m-1} + U_m$  will be our  $U_n$ .

$$\dim U_1 \oplus \dots \oplus U_m = \dim U_1 + \dots + \dim U_m.$$

It's only true if the following holds:

$$(U_1 + U_2) \cap U_3 = (U_1 \cap U_2) + (U_1 \cap U_3)$$

which is true iff  $U_1 \subseteq U_3$  and  $U_2 \subseteq U_3$

$\wedge \quad \vee \quad \neg$  Reply



# Solutions to Linear Algebra Done Right

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-- Chapter 3 Exercise A

Chapter 3 Exercise C --

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## Chapter 3 Exercise B

Posted on March 2, 2016 by Linxetary

1. Give an example of a linear map  $T$  such that  $\dim \text{null}T = 3$  and  $\dim \text{range}T = 2$ .

Solution: Assume  $V$  is 5-dimensional vector space with a basis  $e_1, \dots, e_5$ . Define  $T \in \mathcal{L}(V, V)$  by

$$Te_1 = e_1, Te_2 = e_2, Te_3 = e_4, Te_4 = e_5, Te_5 = 0.$$

Then  $\text{null}T = \text{span}(e_3, e_4, e_5)$ , hence  $\dim \text{null}T = 3$ . Similarly,  $\text{range}T = \text{span}(e_1, e_2)$ , hence  $\dim \text{range}T = 2$ .

2. Suppose  $V$  is a vector space and  $S, T \in \mathcal{L}(V, V)$  are such that

$$\text{range}S \subset \text{null}T.$$

Prove that  $(ST)^2 = 0$ .

Solution: Since  $\text{range}S \subset \text{null}T$ , it follows that  $TSv = 0$  for any  $v \in V$ . Hence for any  $u \in V$ ,

$$(ST)^2u = S(TSu) = Su = 0,$$

i.e.  $(ST)^2 = 0$ .

3. Suppose  $v_1, \dots, v_m$  is a list of vectors in  $V$ . Define  $T \in \mathcal{L}(F^m, V)$  by

$$T(z_1, \dots, z_m) = z_1v_1 + \dots + z_mv_m.$$

(a) What property of  $T$  corresponds to  $v_1, \dots, v_m$  spanning  $V$ ? (b) What property of  $T$  corresponds to  $v_1, \dots, v_m$  being linearly independent?

Solution: (a) Note that  $\text{range}T = \text{span}(v_1, \dots, v_m)$ , hence if  $v_1, \dots, v_m$  spans  $V$ , it follows that  $T$  is surjective.

(b) Note that  $z_1v_1 + \dots + z_mv_m = 0$  if and only if  $(z_1, \dots, z_m) = (0, \dots, 0)$  since  $v_1, \dots, v_m$  is linearly independent. Hence  $\text{null}T = \{(0, \dots, 0)\}$ , then  $T$  is injective.

4. Show that

$$\{T \in \mathcal{L}(R^5, R^4) : \dim \text{null}T > 2\}$$

is not a subspace of  $\mathcal{L}(R^5, R^4)$ .

Solution: Let  $e_1, \dots, e_5$  be a basis of  $R^5$  and  $f_1, f_2, f_3, f_4$  be a basis of  $R^4$ . Define  $S_1$  and  $S_2$  by

$$S_1e_i = f_1, \quad S_1e_4 = f_2, \quad S_1e_5 = f_3, \quad \text{for } i = 1, 2, 3;$$

$$S_2e_2 = 0, \quad S_2e_3 = f_1, \quad S_2e_5 = f_4, \quad \text{for } i = 1, 2, 4.$$

Then it is obvious that  $S_1, S_2 \in \{T \in \mathcal{L}(R^5, R^4) : \dim \text{null}T > 2\}$ . However,

$$(S_1 + S_2)e_1 = 0, \quad (S_1 + S_2)e_2 = 0$$

and

$$(S_1 + S_2)e_3 = f_1, \quad (S_1 + S_2)e_4 = f_1, \quad (S_1 + S_2)e_5 = f_2 + f_4.$$

Then you can check that  $\dim(S_1 + S_2) = 2$ . Hence  $\{T \in \mathcal{L}(R^5, R^4) : \dim \text{null}T > 2\}$  is not closed under addition, this implies it is not a subspace of  $\mathcal{L}(R^5, R^4)$ .

5. Give an example of a linear map  $T : R^4 \rightarrow R^4$  such that

$$\text{range}T = \text{null}T.$$

Solution: Let  $e_1, e_2, e_3, e_4$  be a basis of  $R^4$ . Define  $T \in \mathcal{L}(R^4, R^4)$  by

$$Te_1 = e_3, Te_2 = e_4, Te_3 = e_1, Te_4 = 0.$$

Then  $\text{null}T = \text{span}(e_3, e_4)$ , and  $\text{range}T = \text{span}(e_1, e_2)$ . Hence  $\text{range}T = \text{null}T$ .

6. Prove that there does not exist a linear map  $T : R^5 \rightarrow R^3$  such that

$$\text{range}T = \text{null}T.$$

Solution: By 3.22, we know that

$$\dim \text{range}T + \dim \text{null}T = \dim(R^5) = 5.$$

If  $\text{range}T = \text{null}T$ , we will get that  $\text{range}T = \text{null}T = 2.5$ . This is impossible since dimension is an integer.

7. Suppose  $V$  and  $W$  are finite-dimensional with  $2 \leq \dim V \leq \dim W$ . Show that  $\{T \in \mathcal{L}(V, W) : T \text{ is not injective}\}$  is not a subspace of  $\mathcal{L}(V, W)$ .

Solution: Let  $v_1, \dots, v_n$  be a basis of  $V$  and  $w_1, \dots, w_m$  be a basis of  $W$ , then we have  $2 \leq n \leq m$ . Define  $T_1, T_2 \in \mathcal{L}(V, W)$  by

$$T_1v_1 = 0, T_1v_i = w_i, i = 2, \dots, n$$

and

$$T_2v_1 = w_1, T_2v_2 = 0, T_2v_i = w_i, i = 3, \dots, n.$$

Then  $T_1, T_2 \in \{T \in \mathcal{L}(V, W) : T \text{ is not injective}\}$ . However, we have

$$(T_1 + T_2)v_1 = w_1, (T_1 + T_2)v_2 = w_2, (T_1 + T_2)v_i = 2w_i, i = 3, \dots, n.$$

Then by Problem 3 (b), it follows that  $T_1 + T_2$  is injective. Hence  $\{T \in \mathcal{L}(V, W) : T \text{ is not injective}\}$  is not closed under addition, which implies it is not a subspace of  $\mathcal{L}(V, W)$ .

8. Suppose  $V$  and  $W$  are finite-dimensional with  $\dim V \geq \dim W \geq 2$ . Show that  $\{T \in \mathcal{L}(V, W) : T \text{ is not surjective}\}$  is not a subspace of  $\mathcal{L}(V, W)$ .

Solution: Let  $v_1, \dots, v_n$  be a basis of  $V$  and  $w_1, \dots, w_m$  be a basis of  $W$ , then we have  $n \geq m \geq 2$ . Define  $T_1, T_2 \in \mathcal{L}(V, W)$  by

$$T_1v_1 = 0, T_1v_i = w_i, i = 2, \dots, m; T_2v_j = 0, j = m+1, \dots, n$$

and

$$T_2v_1 = w_1, T_2v_2 = 0, T_2v_i = w_i, i = 3, \dots, m.$$

Then by Problem 3 (a), it follows that  $T_1 + T_2$  is surjective. Hence  $\{T \in \mathcal{L}(V, W) : T \text{ is not surjective}\}$  is not closed under addition, which implies it is not a subspace of  $\mathcal{L}(V, W)$ .

9. Suppose  $T \in \mathcal{L}(V, W)$  is injective and  $v_1, \dots, v_n$  is linearly independent in  $V$ . Prove that  $Tv_1, \dots, Tvn$  is linearly independent in  $W$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 5](#).

10. Suppose  $v_1, \dots, v_n$  spans  $V$  and  $T \in \mathcal{L}(V, W)$ . Prove that the list  $Tv_1, \dots, Tvn$  spans  $\text{range}T$ .

Solution: Note that  $v_1, \dots, v_n$  spans  $V$ , any  $v \in V$  can be written as a linear combination of  $v_1, \dots, v_n$ . That is there are  $a_1, \dots, a_n \in F$  such that

$$v = a_1v_1 + \dots + a_nv_n.$$

Since  $T \in \mathcal{L}(V, W)$ , it follows that

$$Tv = a_1Tv_1 + \dots + a_nTv_n.$$

Hence  $\text{range}ST \subset \text{span}(Tv_1, \dots, Tvn)$ . On the other hand  $Tv_1, \dots, Tvn$  are contained in  $\text{range}T$ . By the definition of span, we conclude that  $Tv_1, \dots, Tvn$  spans  $\text{range}T$ .

*See a similar problem in [Linear Algebra Done Right Solution Manual Chapter 3 Problem 7](#).*

11. Suppose  $S_1, \dots, S_n$  are injective linear maps such that  $S_1S_2 \dots S_n$  makes sense. Prove that  $S_1S_2 \dots S_n$  is injective.

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 6](#).

12. Suppose that  $V$  is finite-dimensional and that  $T \in \mathcal{L}(V, W)$ . Prove that there exists a subspace  $U$  of  $V$  such that  $U \cap \text{null}T = \{0\}$  and  $\text{range}T = \{Tu : u \in U\}$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 8](#).

13. Suppose  $T$  is a linear map from  $F^4$  to  $F^2$  such that

$$\text{null}T = \{(x_1, x_2, x_3, x_4) \in F^4 : x_1 = 5x_2 \text{ and } x_3 = 7x_4\}.$$

Prove that  $T$  is surjective.

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 9](#).

14. Suppose  $U$  is a 3-dimensional subspace of  $R^8$  and that  $T$  is a linear map from  $R^8$  to  $R^5$  such that  $\text{null}T = U$ . Prove that  $T$  is surjective.

Solution: By 3.22, we have

$$\dim \text{null}T + \dim \text{range}T = \dim(R^8) = 8.$$

Note that  $\text{null}T = U$  and  $\dim U = 3$ , it follows that

$$\dim \text{range}T = 8 - \dim \text{null}T = 8 - 3 = 5 = \dim(R^5).$$

Therefore  $T$  is surjective by Problem 1 of Exercises 2.C.

15. Prove that there does not exist a linear map from  $F^5$  to  $F^3$  whose null space equals

$$\{(x_1, x_2, x_3, x_4, x_5) \in F^5 : x_1 = 3x_2 \text{ and } x_3 = x_4\}.$$

Solution: Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 10](#).

16. Suppose there exists a linear map on  $V$  whose null space and range are both finite-dimensional. Prove that  $V$  is finite-dimensional.

Solution: Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 11](#).

17. Suppose  $V$  and  $W$  are both finite-dimensional. Prove that there exists an injective linear map from  $V$  to  $W$  if and only if  $\dim V \leq \dim W$ .

Solution: By 3.22, it follows that for any injective  $T \in \mathcal{L}(V, W)$ , we have

$$\dim V = \dim \text{null}T + \dim \text{range}T = \dim \text{range}T \leq \dim W.$$

Hence there exists an injective linear map from  $V$  to  $W$ , then  $\dim V \leq \dim W$ .

If  $n = \dim V \leq \dim W = m$ , then let  $v_1, \dots, v_n$  and  $w_1, \dots, w_m$  be the bases of  $V$  and  $W$ , respectively. Define  $T \in \mathcal{L}(V, W)$  such that

$$Tv_i = w_i, i = 1, \dots, n.$$

Here we use  $n \leq m$ . Similar to Problem 3(b), we can show that  $T$  is injective.

18. Suppose  $V$  and  $W$  are both finite-dimensional. Prove that there exists an surjective linear map from  $V$  to  $W$  if and only if  $\dim V \geq \dim W$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 12](#). It is similar to Problem 17.

19. Suppose  $V$  and  $W$  are finite-dimensional and that  $U$  is a subspace of  $V$ . Prove that there exists  $T \in \mathcal{L}(V, W)$  such that  $\text{null}T = U$  if and only if  $\dim U \geq \dim V - \dim W$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 13](#).

20. Suppose  $W$  is finite-dimensional and  $T \in \mathcal{L}(V, W)$ . Prove that  $T$  is injective if and only if there exists  $S \in \mathcal{L}(W, V)$  such that  $TS$  is the identity map on  $V$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 14](#).

21. Suppose  $W$  is finite-dimensional and  $T \in \mathcal{L}(V, W)$ . Prove that  $T$  is surjective if and only if there exists  $S \in \mathcal{L}(W, V)$  such

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## Chapter 3 Exercise C

Posted on March 3, 2016 by Linearity

1. Solution: Suppose for some basis  $v_1, \dots, v_n$  of  $V$  and some basis  $w_1, \dots, w_m$  of  $W$ , the matrix of  $T$  has at most  $\dim \text{range } T - 1$  nonzero entries. Then there are at most  $\dim \text{range } T - 1$  nonzero vectors in  $Tv_1, \dots, Tv_n$ . Note that  $\text{range } T = \text{span}(Tv_1, \dots, Tv_n)$ , it follows that

$$\dim \text{range } T \leq \dim \text{range } T - 1.$$

We get a contradiction, hence completing the proof.

2. Solution: The basis of  $P(\mathbb{R}^3)$  is  $x^3, x^2, x, 1$ . The corresponding basis of  $P(\mathbb{R}^2)$  is  $3x^2, 2x, 1$  (with the indicated order). (Check it!)

3. Solution: We use the notation and the proof of 3.22. Extend  $Tv_1, \dots, Tv_n$  to a basis of  $W$  as  $Tv_1, \dots, Tv_n, \mu_1, \dots, \mu_s$ . Then with respect to the basis  $v_1, \dots, v_n, u_1, \dots, u_m$  of  $V$  and the basis  $Tv_1, \dots, Tv_n, \mu_1, \dots, \mu_s$  of  $W$ , all entries of  $\mathcal{M}(T)$  are 0 except that the entries in row  $j$ , column  $j$ , equal 1 for  $1 \leq j \leq \dim \text{range } T$ .

4. Solution: If  $Tv_1 = 0$ , then any basis  $w_1, \dots, w_n$  of  $W$  will satisfy the desired conditions. If  $Tv_1 \neq 0$ , then any basis  $w_1, \dots, w_n$  of  $W$  such that  $w_1 = Tv_1$  will satisfy the desired conditions.

5. Solution: Let  $\nu_1, \dots, \nu_m$  be a basis of  $V$ , denote the first row of  $\mathcal{M}(T)$  with respect to the bases  $\nu_1, \dots, \nu_m$  and  $w_1, \dots, w_n$  by  $(a_1, \dots, a_m)$ . If  $(a_1, \dots, a_m) = 0$ , then we can choose  $v_i = \nu_i, i = 1, \dots, m$ . If  $(a_1, \dots, a_m) \neq 0$ , suppose  $a_i \neq 0$ . Then let

$$v_1 = \frac{\nu_i}{a_i}, v_j = \nu_{j-1} - a_{j-1}\nu_1, v_k = \nu_k - a_k\nu_1$$

for  $j = 2, \dots, i, k = i+1, \dots, m$ . Then you can check that  $v_1, \dots, v_m$  satisfies the desired conditions.

6. Solution: Suppose there exist a basis  $v_1, \dots, v_m$  of  $V$  and a basis  $w_1, \dots, w_n$  of  $W$  such that with respect to these bases, all entries of  $\mathcal{M}(T)$  equal 1. Then

$$Tv_i = w_1 + \dots + w_n, \quad i = 1, \dots, m.$$

Hence  $\text{range } T = \text{span}(w_1 + \dots + w_n)$ , it follows that  $\dim \text{range } T = 1$ .

Conversely, if  $\dim \text{range } T = 1$ , then  $\dim \text{null } T = \dim V - 1$ . Let  $\nu_1, \nu_2, \dots, \nu_m$  be a basis of  $V$  such that  $\nu_2, \dots, \nu_m \in \text{null } T$ . Note that  $T\nu_1 \neq 0$ , hence we can extend it to a basis of  $W$  as  $T\nu_1, w_2, \dots, w_n$ . Let  $w_1 = T\nu_1 - w_2 - \dots - w_n$  and  $v_i = \nu_i, v_i = \nu_i + \nu_1$  for  $i = 2, \dots, m$ .

$$T(v_1) = T(v_i) = w_1 + w_2 + \dots + w_n, \quad i = 2, \dots, m.$$

It is obvious that  $v_1, \dots, v_m$  is a basis of  $V$  and  $w_1, \dots, w_n$  is a basis of  $W$ . Now we can directly check that all entries of  $\mathcal{M}(T)$  with respect to these bases equal 1.

7. Solution: Given a basis  $v_1, \dots, v_m$  of  $V$  and a basis  $w_1, \dots, w_n$  of  $W$ , denote  $v$  and  $\mathcal{M}(S)$  with respect to these bases by  $A$  and  $B$ , respectively. Then we have

$$Tv_j = \sum_{k=1}^n A_{k,j}w_k$$

and

$$Sv_j = \sum_{k=1}^n B_{k,j}w_k.$$

Hence

$$(T+S)v_j = Tv_j + Sv_j = \sum_{k=1}^n (A_{k,j} + B_{k,j})w_k,$$

it follows that the entries in row  $k$ , column  $j$  of  $\mathcal{M}(T+S)$  with respect to these bases are  $A_{k,j} + B_{k,j}$ . By 3.35, we deduce that  $\mathcal{M}(T+S) = \mathcal{M}(T) + \mathcal{M}(S)$ .

8. Verify 3.38.

Solution: It is almost the same as the previous Exercise.

9. Prove 3.52.

Solution: It is almost the same as Problem 11. Just consider the entries.

10. Suppose  $A$  is an  $m$ -by- $n$  matrix and  $C$  is an  $n$ -by- $p$  matrix. Prove that

$$(AC)_{j,i} = A_{j,i}C$$

for  $1 \leq j \leq m$ . In other words, show that row  $j$  of  $AC$  equals (row  $j$  of  $A$ ) times  $C$ .

Solution: It is almost the same as Problem 11. Just consider the entries.

*These exercises are tedious. I prefer solving other interesting exercises... If you have problems regarding to them, please make a comment.*

11. Solution: By 3.41, we have

$$(aC)_{1,k} = \sum_{i=1}^n a_i C_{i,k}.$$

It is obvious that  $(a_i C_{i,k})_{1,k} = a_i C_{i,k}$ . Hence

$$(aC)_{1,k} = (a_1 C_{1,k})_{1,k} + \dots + (a_n C_{n,k})_{1,k} = (a_1 C_{1,k} + \dots + a_n C_{n,k})_{1,k}$$

by 3.35. Thus we deduce that  $aC = a_1 C_{1,k} + \dots + a_n C_{n,k}$ .

12. Solution: Let  $A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  and  $C = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$ , then we have

$$AC = \begin{pmatrix} 0 & 2 \\ 1 & 0 \end{pmatrix}$$

and

$$CA = \begin{pmatrix} 0 & 1 \\ 2 & 0 \end{pmatrix}.$$

Hence  $AC \neq CA$ .

13. Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 17](#).

14. Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 18](#).

15. Solution: Note that  $AAA = (AA)A$ , denote  $AA = B$ , then by definition (3.41)

$$B_{j,r} = \sum_{p=1}^n A_{j,p}A_{p,r}. \quad (1)$$

Similarly, the entry in row  $j$ , column  $k$ , of  $A^3$  is

$$\sum_{r=1}^n B_{j,r}A_{r,k}.$$

Hence by (1), we have

$$\sum_{r=1}^n B_{j,r}A_{r,k} = \sum_{r=1}^n \sum_{p=1}^n A_{j,p}A_{p,r}A_{r,k} = \sum_{p=1}^n \sum_{r=1}^n A_{j,p}A_{p,r}A_{r,k}.$$



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AliD · 9 months ago

Hello,

I think there is a minor issue in your solution to 3.C.3 since  $T(v_1), \dots, T(v_n)$  is not guaranteed to be linearly independent (since  $T$  might not be injective). I think you should instead find a basis of  $\text{null } T$ , then extend this to a basis of  $V$ . Then  $T$  is injective on the basis vectors not in  $\text{null } T$ , so use your solution on these vectors.

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Mohammad Rashidi · Mod · AliD · 9 months ago

There are no issues. It's said we are using the notation as in the proof of 3.22.

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Student · a year ago

Hi Mohammad,

I'm having a little trouble understanding the solution of 3.C.1. Could you enlighten me on why the matrix of  $T$  has at most  $\dim \text{Range } T - 1$  nonzero entries?

^ ^ ^ Reply Share



Math student · 2 years ago

Hi Mohammad,

Thank you for your work, I'm a big fan of this page. I'm stuck as to solve for 3.C.10; would appreciate your insight.

^ ^ ^ Reply Share



Mohammad Rashidi · Mod · Math student · 2 years ago

See the following picture. If you have trouble with that, just write down the matrix explicitly. Then just write down all matrices explicitly and compare them. However, this is not a good solution. It might be better to use another solution for which one can see the structure behind it. I don't know how to make this clear in English.

let  $A = (a_{i,j})_{i=1, \dots, n}^{j=1, \dots, m}$ ,  $C = (c_{s,t})_{s=1, \dots, n}^{t=1, \dots, p}$ , then

$$(AC)_{j,k} = \sum_{i=1}^n a_{j,i}c_{i,k}.$$

Note that

$$A_{j,:} = (a_{j,1}, \dots, a_{j,n})$$

Hence  $A_{j,:} \cdot C = A_{j,:} \cdot (c_{1,1}, \dots, c_{1,p})$

$$= A_{j,:} \cdot C = (a_{j,1}, \dots, a_{j,n}) \begin{pmatrix} c_{1,1} & \dots & c_{1,p} \\ c_{2,1} & \dots & c_{2,p} \\ \vdots & \ddots & \vdots \\ c_{n,1} & \dots & c_{n,p} \end{pmatrix}$$

$$= \begin{pmatrix} a_{j,1} & \dots & a_{j,n} \end{pmatrix} \begin{pmatrix} c_{1,1} & \dots & c_{1,p} \\ c_{2,1} & \dots & c_{2,p} \\ \vdots & \ddots & \vdots \\ c_{n,1} & \dots & c_{n,p} \end{pmatrix}$$

see more

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### Chapter 3 Exercise D

Posted on March 4, 2016 by Linearity

1. Suppose  $T \in \mathcal{L}(U, V)$  and  $S \in \mathcal{L}(V, W)$  are both invertible linear maps. Prove that  $ST \in \mathcal{L}(U, W)$  is invertible and that  $(ST)^{-1} = T^{-1}S^{-1}$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 22](#). It is almost the same.

2. Suppose  $V$  is finite-dimensional and  $\dim V > 1$ . Prove that the set of noninvertible operators on  $V$  is not a subspace of  $\mathcal{L}(V)$ .

Solution: See [Linear Algebra Done Right Solution Manual Chapter 3 Problem 25](#).

3. Suppose  $V$  is finite-dimensional,  $U$  is a subspace of  $V$ , and  $S \in \mathcal{L}(U, V)$ . Prove there exists an invertible operator  $T \in \mathcal{L}(V)$  such that  $Tu = Su$  for every  $u \in U$  if and only if  $S$  is injective.

Solution: If there exists an invertible operator  $T \in \mathcal{L}(V)$  such that  $Tu = Su$  for every  $u \in U$ , then  $S$  is injective since  $T$  is injective.

If  $S$  is injective. Assume  $u_1, \dots, u_m$  is a basis of  $U$ , we can extend it to a basis of  $V$  as  $u_1, \dots, u_m, v_{m+1}, \dots, v_n$ . Since  $S$  is injective, by [Problem 9 of Exercises 3B](#), we have  $Su_1, \dots, Su_m$  is linearly independent in  $V$ . Hence we can extend it to a basis of  $V$  as  $Su_1, \dots, Su_m, w_{m+1}, \dots, w_n$ . Define  $T \in \mathcal{L}(V)$  as below

$$Tu = S(u_1 + \dots + u_m) \quad Tu_j = w_j, \quad 1 \leq j \leq m, m+1 \leq j \leq n.$$

The existence of  $T$  is guaranteed by 3.5(unique). Then for any  $v = a_1u_1 + \dots + a_mu_m, a_i \in \mathbb{F}$ , we have

$$\begin{aligned} Tu &= T(a_1u_1 + \dots + a_mu_m) \\ &= a_1Tu_1 + \dots + a_mu_m \\ &= a_1Su_1 + \dots + a_mu_m \\ &= Su(a_1u_1 + \dots + a_mu_m) = Su. \end{aligned}$$

Moreover,  $T$  is surjective by [Problem 10 of Exercises 3B](#) hence invertible by 3.69.

*Compare this problem with Problem 11 of Exercises 3A.*

4. Suppose  $W$  is finite-dimensional and  $T_1, T_2 \in \mathcal{L}(V, W)$ . Prove that  $\text{null } T_1 = \text{null } T_2$  if and only if there exists an invertible operator  $S \in \mathcal{L}(W)$  such that  $T_1 = ST_2$ .

Solution: If we assume  $\text{null } T_1 = \text{null } T_2$ . Since  $W$  is finite-dimensional, so is  $\text{range } T_2$ . Let  $w_1, \dots, w_n$  be a basis of  $\text{range } T_2$ , then there exist  $v_1, \dots, v_n \in V$  such that

$$T_2v_i = w_i, \quad i = 1, \dots, n.$$

Now we will show that  $V = \text{null } T_2 \oplus \text{span}(v_1, \dots, v_n)$ . For any  $v \in V$ , we

$$T_2v = a_1w_1 + \dots + a_nw_n$$

for some  $a_1, \dots, a_n \in \mathbb{F}$ . Hence

$$T_2(v - a_1v_1 - \dots - a_nv_n) = 0,$$

namely

$$v = (v - a_1v_1 - \dots - a_nv_n) + (a_1v_1 + \dots + a_nv_n).$$

this implies  $V = \text{null } T_2 + \text{span}(v_1, \dots, v_n)$ . Moreover, if  $a_1v_1 + \dots + a_nv_n \in \text{null } T_2$ , then we have

$$T_2(a_1v_1 + \dots + a_nv_n) = a_1w_1 + \dots + a_nw_n = 0.$$

Note that  $w_1, \dots, w_n$  is linearly independent, it follows  $a_1 = \dots = a_n = 0$ . Thus we have

$$V = \text{null } T_2 \oplus \text{span}(v_1, \dots, v_n).$$

Similarly,  $T_1v_1, \dots, T_1v_n$  is linearly independent. For if  $a_1T_1v_1 + \dots + a_nT_1v_n = 0$ , we have

$$T_1(a_1v_1 + \dots + a_nv_n) = 0.$$

Note that  $\text{null } T_1 = \text{null } T_2$ , it follows that

$$0 = T_2(a_1v_1 + \dots + a_nv_n) = a_1w_1 + \dots + a_nw_n.$$

Thus  $a_1 = \dots = a_n = 0$ . Now extend  $w_1, \dots, w_n$  to a basis of  $W$  as  $w_1, \dots, w_n, e_1, \dots, e_m$  and  $T_1v_1, \dots, T_1v_n$  to a basis of  $W$  as  $T_1v_1, \dots, T_1v_n, f_1, \dots, f_m$ . Define  $S \in \mathcal{L}(W)$  by

$$Sw_i = T_1v_i, Se_j = f_j, i = 1, \dots, n; j = 1, \dots, m.$$

Note that

$$V = \text{null } T_2 \oplus \text{span}(v_1, \dots, v_n),$$

any  $v \in V$  can be expressed as

$$v = v_{\text{null}} + a_1v_1 + \dots + a_nv_n,$$

where  $v_{\text{null}} \in \text{null } T_2$  and  $a_1, \dots, a_n \in \mathbb{F}$ . Hence we have

$$\begin{aligned} ST_2(v) &= ST_2(v_{\text{null}} + a_1v_1 + \dots + a_nv_n) \\ &= ST_2(v_{\text{null}}) + a_1T_2v_1 + \dots + a_nT_2v_n \\ &= a_1Sw_1 + \dots + a_nSw_n \\ &= a_1w_1 + \dots + a_nw_n \\ &= a_1w_1 + \dots + a_nw_n \\ &= T_2(a_1v_1 + \dots + a_nv_n) = T_2(v) \end{aligned}$$

namely  $ST_2 = T_1$ . Moreover,  $S$  is surjective by [Problem 10 of Exercises 3B](#) hence invertible by 3.69.

If there exists an invertible operator  $S \in \mathcal{L}(W)$  such that  $ST_2 = T_1$ , then for any  $\mu \in \text{null } T_1$ , we have

$$ST_2\mu = T_1\mu = 0.$$

As  $S$  is invertible, we have  $T_2\mu = 0$ . Hence  $\mu \in \text{null } T_2$ , it follows that  $\text{null } T_1 \subset \text{null } T_2$ . Similarly, consider  $T_2 = S^{-1}T_1$ ,  $\text{null } T_2 \subset \text{null } T_1$ . Thus we conclude  $\text{null } T_1 = \text{null } T_2$ .

*Compare this problem with Problem 24 of Exercises 3B.*

5. Suppose  $V$  is finite-dimensional and  $T_1, T_2 \in \mathcal{L}(V, W)$ . Prove that  $\text{range } T_1 = \text{range } T_2$  if and only if there exists an invertible operator  $S \in \mathcal{L}(V)$  such that  $T_1 = ST_2$ .

Solution: If we assume  $\text{range } T_1 = \text{range } T_2$ . Let  $v_1, \dots, v_m$  be a basis of  $V$  as  $v_1, \dots, v_m, w_1, \dots, w_n$  and  $T_1w_1, \dots, T_1w_n$  is linearly independent. There exist  $v_1, \dots, v_n \in V$  such that  $T_1v_i = T_2v_i$  for  $i = 1, \dots, n$  since  $\text{range } T_1 = \text{range } T_2$ . As  $T_1v_1, \dots, T_1v_n$  is linearly independent, it follows that  $v_1, \dots, v_n$  is linearly independent by [Problem 4 of Exercises 3A](#). Note that  $\text{range } T_1 = \text{range } T_2$  implies  $\text{null } T_1$  and  $\text{null } T_2$  have the same dimension. Let  $\zeta_1, \dots, \zeta_m$  be a basis of  $\text{null } T_2$ , then  $\zeta_1, \dots, \zeta_m, v_1, \dots, v_n$  is a basis of  $V$  by the proof of 3.22. Define  $S \in \mathcal{L}(V)$  by  $Su_i = \zeta_i$  and  $Sw_j = v_j$ , then we have

$$T_1w_j = T_2v_j = T_2Sw_j, \quad j = 1, \dots, n.$$

and

$$T_1u_i = 0 = T_2\zeta_i = T_2Su_i, \quad i = 1, \dots, m.$$

hence  $T_1 = T_2S$  by uniqueness in 3.5. Moreover,  $S$  is surjective by [Problem 10 of Exercises 3B](#) hence invertible by 3.69.

If there exists an invertible operator  $S \in \mathcal{L}(V)$  such that  $T_1 = T_2S$ , then for any  $\mu \in V$ , we have

$$T_1\mu = T_2S\mu \in \text{range } T_2.$$

Hence  $\text{range } T_1 \subset \text{range } T_2$ . As  $S$  is invertible, we have  $T_2 = T_1S^{-1}$ . Similarly, we conclude  $\text{range } T_1 \subset \text{range } T_2$ . Thus  $\text{range } T_1 = \text{range } T_2$ .

*Compare this problem with Problem 24 of Exercises 3B.*

6. Suppose  $V$  and  $W$  are finite-dimensional and  $T_1, T_2 \in \mathcal{L}(V, W)$ . Prove that there exist invertible operators  $R \in \mathcal{L}(V)$  and  $S \in \mathcal{L}(W)$  such that  $T_1 = ST_2R$  if and only if  $\dim \text{null } T_1 = \dim \text{null } T_2$ .

Solution: If there exist invertible operators  $R \in \mathcal{L}(V)$  and  $S \in \mathcal{L}(W)$  such that  $T_1 = ST_2R$ , then  $S^{-1}T_1 = R^{-1}T_2$ . Hence  $\dim \text{null } T_1 = \dim \text{null } T_2$ .

Conversely, if  $\dim \text{null } T_1 = \dim \text{null } T_2$ . Let  $u_1, \dots, u_m$  be a basis of  $\text{null } T_1$ , then we can extend it to a basis of  $V$  as  $u_1, \dots, u_m, w_1, \dots, w_n$ . Then  $\text{range } T_1$  is  $\text{span}(T_1w_1, \dots, T_1w_n)$  and  $T_1w_1, \dots, T_1w_n$  is linearly independent. There exist  $v_1, \dots, v_n \in V$  such that  $T_1w_i = T_2v_i$  for  $i = 1, \dots, n$  since  $\text{range } T_1 = \text{range } T_2$ . As  $T_1w_1, \dots, T_1w_n$  is linearly independent, it follows that  $v_1, \dots, v_n$  is linearly independent by [Problem 4 of Exercises 3A](#). Note that  $\text{range } T_1 = \text{range } T_2$  implies  $\text{null } T_1$  and  $\text{null } T_2$  have the same dimension. Let  $\zeta_1, \dots, \zeta_m$  be a basis of  $\text{null } T_2$ , then  $\zeta_1, \dots, \zeta_m, v_1, \dots, v_n$  is a basis of  $V$  by the proof of 3.22. Define  $S \in \mathcal{L}(V)$  by  $Su_i = \zeta_i$  and  $Sw_j = v_j$ , then we have

$$T_1w_j = T_2v_j = T_2Sw_j, \quad j = 1, \dots, n.$$

and

$$T_1u_i = 0 = T_2\zeta_i = T_2Su_i, \quad i = 1, \dots, m.$$

hence  $T_1 = T_2S$  by uniqueness in 3.5. Moreover,  $S$  is surjective by [Problem 10 of Exercises 3B](#) hence invertible by 3.69.

If there exists an invertible operator  $S \in \mathcal{L}(V)$  such that  $T_1 = T_2S$ , then for any  $\mu \in V$ , we have

$$T_1\mu = T_2S\mu \in \text{range } T_2.$$

Hence  $\text{range } T_1 \subset \text{range } T_2$ . As  $S$  is invertible, we have  $T_2 = T_1S^{-1}$ . Similarly, we conclude  $\text{range } T_1 \subset \text{range } T_2$ . Thus  $\text{range } T_1 = \text{range } T_2$ .

*Compare this problem with Problem 25 of Exercises 3B.*

7. Suppose  $V$  and  $W$  are finite-dimensional and  $T_1, T_2 \in \mathcal{L}(V, W)$ . Prove that  $\text{range } T_1 = \text{range } T_2$  if and only if there exists an invertible operator  $S \in \mathcal{L}(V)$  such that  $T_1 = ST_2$ .

Solution: If we assume  $\text{range } T_1 = \text{range } T_2$ . Let  $v_1, \dots, v_m$  be a basis of  $V$  as  $v_1, \dots, v_m, w_1, \dots, w_n$  and  $T_1w_1, \dots, T_1w_n$  is linearly independent. Then  $\text{range } T_1$  is  $\text{span}(T_1w_1, \dots, T_1w_n)$  and  $T_1w_1, \dots, T_1w_n$  is linearly independent. There exist  $v_1, \dots, v_n \in V$  such that  $T_1w_i = T_2v_i$  for  $i = 1, \dots, n$  since  $\text{range } T_1 = \text{range } T_2$ . As  $T_1w_1, \dots, T_1w_n$  is linearly independent, it follows that  $v_1, \dots, v_n$  is linearly independent by [Problem 4 of Exercises 3A](#). Note that  $\text{range } T_1 = \text{range } T_2$  implies  $\text{null } T_1$  and  $\text{null } T_2$  have the same dimension. Let  $\zeta_1, \dots, \zeta_m$  be a basis of  $\text{null } T_2$ , then  $\zeta_1, \dots, \zeta_m, v_1, \dots, v_n$  is a basis of  $V$  by the proof of 3.22. Define  $S \in \mathcal{L}(V)$  by  $Su_i = \zeta_i$  and  $Sw_j = v_j$ , then we have

$$T_1w_j = T_2v_j = T_2Sw_j, \quad j = 1, \dots, n.$$

and

$$T_1u_i = 0 = T_2\zeta_i = T_2Su_i, \quad i = 1, \dots, m.$$

hence  $T_1 = T_2S$  by uniqueness in 3.5. Moreover,  $S$  is surjective by [Problem 10 of Exercises 3B](#) hence invertible by 3.69.

If there exists an invertible operator  $S \in \mathcal{L}(V)$  such that  $T_1 = T_2S$ , then for any  $\mu \in V$ , we have

$$T_1\mu = T_2S\mu \in \text{range } T_2.$$

&lt;p

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### Chapter 3 Exercise E

Posted on March 5, 2016 by Linearity

Exercises 1,2 and 4. For Problem 2, please also see Carson Rogers's comment.

4. Solution: For any  $f \in \mathcal{L}(V_1 \times \dots \times V_m, W)$  and given  $i \in \{1, \dots, m\}$ , define  $f_i : V_i \rightarrow W$  by the rule:

$$f_i(v_i) = f(0, \dots, 0, v_i, 0, \dots, 0),$$

where  $v_i$  sits in the  $i$ -th slot. One can check that  $f_i \in \mathcal{L}(V_i, W)$ .

Define  $\varphi : \mathcal{L}(V_1 \times \dots \times V_m, W) \rightarrow \mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W)$  by

$$\varphi(f) = (f_1, \dots, f_m).$$

where  $f_1, \dots, f_m$  are defined in the previous paragraph. Now we are going to check that  $\varphi$  is an isomorphism between  $\mathcal{L}(V_1 \times \dots \times V_m, W)$  and  $\mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W)$  by construct an inverse map.

Define  $\psi : \mathcal{L}(V_1, W) \times \dots \times \mathcal{L}(V_m, W) \rightarrow \mathcal{L}(V_1 \times \dots \times V_m, W)$  by

$$\psi(f_1, \dots, f_m)(v_1, \dots, v_m) = f_1(v_1) + \dots + f_m(v_m).$$

Please check that  $\psi$  and  $\varphi$  are linear. It is also not hard to see that  $\psi \circ \varphi = Id$ , and  $\varphi \circ \psi = Id$ . Hence we are done.

7. Solution: Note that  $v + U = x + W$ , hence  $v = x + w_1$ , where  $w_1 \in W$ . It follows that  $v - x \in W$ . Hence for any  $u \in U$ , we have

$$v + u = x + w_2$$

for some  $w_2 \in W$  since  $v + U = x + W$ . Hence we conclude that

$$u = (x - v) + w_2 = -w_1 + w_2 \in W,$$

it follows that  $U \subset W$  for  $u$  is chosen arbitrarily. Similarly, we deduce that  $W \subset U$ . Thus  $U = W$ .

8. Solution: If  $A$  is an affine subset of  $V$ , then there exist a vector  $a \in V$  and a subspace  $U$  of  $V$  such that  $A = a + U$ . Then any  $v, w \in A$  can be written as  $v = a + u_1$  and  $w = a + u_2$  for some  $u_1, u_2 \in U$ . Hence

$$\lambda v + (1 - \lambda)w = a + [\lambda u_1 + (1 - \lambda)u_2] \in a + U = A.$$

Conversely, since  $A$  is nonempty, let  $a \in A$ . We will show that

$$A - a = \{x - a : x \in A\}$$

is a subspace of  $V$ . For  $x - a \in A - a$  and  $\lambda \in F$  where  $x \in A$ , then

$$\lambda x + (1 - \lambda)x \in A \Rightarrow \lambda(x - a) = \lambda x + (1 - \lambda)a - a \in A - a.$$

This implies  $A - a$  is closed under scalar multiplication. For  $x - a$  and  $y - a \in A - a$ , where  $x, y \in A$ . We have

$$\frac{x}{2} + \frac{y}{2} - a \in A - a.$$

Note that  $A - a$  is closed under scalar multiplication, it follows that

$$(x - a) + (y - a) = 2\left(\frac{x}{2} + \frac{y}{2} - a\right) \in A - a.$$

That is  $A - a$  is closed under addition. Hence  $A - a$  is a subspace of  $V$ . Note that  $A = a + (A - a)$ , it follows that  $A$  is an affine subset of  $V$ .

9. Solution: Suppose  $A_1 \cap A_2 \neq \emptyset$ , then for any  $x, y \in A_1 \cap A_2$  and  $\lambda \in F$ , we have

$$\lambda x + (1 - \lambda)y \in A_1$$

and

$$\lambda x + (1 - \lambda)y \in A_2$$

by Problem 8 since  $A_1$  and  $A_2$  are affine subsets of  $V$ . Hence

$$\lambda x + (1 - \lambda)y \in A_1 \cap A_2.$$

Again by Problem 8, we deduce that  $A_1 \cap A_2$  is an affine subset of  $V$ .

10. Solution: It is the same as Problem 9.

11. Solution: (a) For  $v = \lambda_1 v_1 + \dots + \lambda_m v_m \in A$  and  $w = \eta_1 v_1 + \dots + \eta_m v_m \in A$ , where  $\lambda_1, \dots, \lambda_m \in F$ ,  $\lambda_1 + \dots + \lambda_m = 1$  and  $\eta_1, \dots, \eta_m \in F$ ,  $\eta_1 + \dots + \eta_m = 1$ . For any  $\lambda \in F$ , we have

$$\lambda v + (1 - \lambda)w = \sum_{i=1}^m (\lambda \lambda_i + (1 - \lambda)\eta_i) v_i.$$

Note that

$$\sum_{i=1}^m (\lambda \lambda_i + (1 - \lambda)\eta_i) = \lambda \sum_{i=1}^m \lambda_i + (1 - \lambda) \sum_{i=1}^m \eta_i = \lambda + (1 - \lambda) = 1,$$

we deduce that  $\lambda v + (1 - \lambda)w \in A$ . Hence  $A$  is an affine subset of  $V$  by Problem 8.

(b) We will use induction to show that for any affine subset  $W$  of  $V$  that contains  $v_1, \dots, v_m \in W$ , then if  $\lambda_1 + \dots + \lambda_k = 1$ , we have

$$\sum_{j=1}^k \lambda_j v_j \in W.$$

It is obvious for  $k = 1$  and  $k = 2$  by Problem 8. Suppose this is true for  $k$ , then we will show it for  $k + 1$  ( $k + 1 \leq m$ ). Assume  $\lambda_1 + \dots + \lambda_{k+1} = 1$ . If  $\lambda_{k+1} = 1$ , then

$$\sum_{j=1}^{k+1} \lambda_j v_j = v_{k+1} \in W.$$

If  $\lambda_{k+1} \neq 1$ , then

$$\frac{1}{1 - \lambda_{k+1}}(\lambda_1 v_1 + \dots + \lambda_k v_k) = 1.$$

Hence by assumption, we deduce that

$$\frac{1}{1 - \lambda_{k+1}}(\lambda_1 v_1 + \dots + \lambda_k v_k) \in A.$$

By Problem 8, we have

$$(1 - \lambda_{k+1}) \left( \frac{1}{1 - \lambda_{k+1}}(\lambda_1 v_1 + \dots + \lambda_k v_k) + a_{k+1} v_{k+1} \right) \in W.$$

namely

$$\lambda_1 v_1 + \dots + \lambda_{k+1} v_{k+1} \in W.$$

Hence  $A \subset v_1 + \text{span}(v_2 - v_1, \dots, v_m - v_1)$ . Similarly, for any

$$v \in v_1 + \text{span}(v_2 - v_1, \dots, v_m - v_1),$$

$v$  can be written as

$$v_1 + \sum_{i=2}^m \lambda_i(v_i - v_1) = (1 - \lambda_2 - \dots - \lambda_m)v_1 + \sum_{i=2}^m \lambda_i v_i$$

for some  $\lambda_2, \dots, \lambda_m \in F$ . Note that

$$(1 - \lambda_2 - \dots - \lambda_m) = \sum_{i=2}^m \lambda_i = 1,$$

we deduce that  $v_1 + \text{span}(v_2 - v_1, \dots, v_m - v_1) \subset A$ . Hence

$$A = v_1 + \text{span}(v_2 - v_1, \dots, v_m - v_1).$$

Let  $v = v_1$  and  $U = \text{span}(v_2 - v_1, \dots, v_m - v_1)$ , then  $\dim U \leq m - 1$ .

12. Solution: Since  $V/U$  is finite-dimensional, we can suppose  $v_1 + U, \dots, v_n + U$  is a basis of  $V/U$ . Then for any  $v \in V$ , there exist a unique list of  $\lambda_1, \dots, \lambda_n \in F$  such that

$$v + U = \sum_{i=1}^n \lambda_i(v_i + U).$$

Then

$$v - \sum_{i=1}^n \lambda_i v_i \in U.$$

Define  $\varphi : V \rightarrow U \times V/U$  by

$$\varphi(v) = \left( v - \sum_{i=1}^n \lambda_i v_i, \sum_{i=1}^n \lambda_i(v_i + U) \right).$$

We first check that  $\varphi$  is linear. For any  $v, w \in V$ , we have

$$v + U = \sum_{i=1}^n \lambda_i(v_i + U)$$

and

$$w + U = \sum_{i=1}^n \eta_i(w_i + U).$$

for some  $\lambda_1, \dots, \lambda_n, \eta_1, \dots, \eta_n \in F$ . Then for any  $\lambda \in F$ , we have

$$\lambda v + (1 - \lambda)w = \sum_{i=1}^n (\lambda \lambda_i + (1 - \lambda)\eta_i) v_i + U.$$

Therefore  $\varphi(v + \lambda w) = \varphi(v) + \lambda \varphi(w)$ . Hence  $\varphi$  is linear.

Injectivity: if  $\varphi(v) = 0$ , then  $\lambda_1 = \dots = \lambda_n = 0$  (defined as above) and

$$0 = v - \sum_{i=1}^n \lambda_i v_i = v.$$

Surjectivity: For  $(u, \sum_{i=1}^n \xi(v_i + U)) \in U \times V/U$ , it is easy to see

$$\varphi(u + \sum_{i=1}^n \xi_i v_i) = (u, \sum_{i=1}^n \xi_i(v_i + U)).$$

Hence  $\varphi$  is an isomorphism, namely  $V$  is isomorphic to  $U \times V/U$ .

13. Solution: For any  $v \in V$ , since  $v_1 + U, \dots, v_n + U$  is a basis of  $V/U$ , there exist  $\lambda_1, \dots, \lambda_n \in F$  such that

$$v + U = \sum_{i=1}^n \lambda_i(v_i + U).$$

Then

$$v - \sum_{i=1}^n \lambda_i v_i \in U.$$

For some  $\eta_1, \dots, \eta_n \in F$ . Hence

$$v = \sum_{i=1}^n \lambda_i v_i + \sum_{i=1}^n \eta_i u_i.$$

this implies  $V = \text{span}(v_1, \dots, v_n, u_1, \dots, u_m)$ , since  $v$  is chosen arbitrarily. Hence it suffices to show that  $v_1, \dots, v_n, u_1, \dots, u_m$  is linearly independent. Suppose for some  $\lambda_1, \dots, \lambda_m \in F$  and  $\eta_1, \dots, \eta_n \in F$ , we have

$$\sum_{i=1}^n \lambda_i v_i + \sum_{j=1}^m \eta_j u_j = 0.$$

Then

$$\sum_{i=1}^n \lambda_i v_i = 0,$$

hence  $\lambda_1 = \dots = \lambda_m = 0$  since  $v_1 + U, \dots, v_n + U$  is a basis of  $V/U$ . It follows that

$$\sum_{j=1}^m \eta_j u_j = 0.$$

Note that  $u_1, \dots, u_m$  is a basis of  $U$ , we obtain that  $\eta_1 = \dots = \eta_m = 0$ . Hence  $v_1, \dots, v_n, u_1, \dots, u_m$  is linearly independent.

15. Solution: Note that  $\varphi : V \rightarrow F$  and  $\varphi \neq 0$ , there exists  $v \in V$  such that  $\varphi(v) \neq 0$ . Then for any  $a \in F$ , we have

$$\varphi(a) = \frac{\partial \varphi}{\partial v}(v) = \frac{a}{\varphi(v)} \varphi(v) = a.$$

Therefore  $\text{range } \varphi = F$ .

By 3.9(d), we have  $V/\text{null } \varphi \cong F$ . Hence

$$\dim V/\text{null } \varphi = \dim F = 1.$$

16. Solution: Since  $\dim V/U = 1$ , there exists a vector  $v \notin U$  such that  $v + U$  is a basis of  $V/U$ . Define  $\theta : V/U \rightarrow F$  by

$$\theta(kv + U) = k.$$

Let  $\pi : V \rightarrow V/U$  be the quotient map  $\pi : v \mapsto v + U$ . Now we check that  $\text{null } \theta = U$ .

On the other hand, let  $u \in U$ , then  $\pi(u) = u + U = 0 + U$ . Hence  $\theta(u) = 0$ , which implies that  $U \subset \text{null } \theta$ .

Therefore  $\text{null } \theta = U$ . (Please note that such  $\theta$  is not unique.)

17. Solution: Since  $V/U</$

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## Chapter 3 Exercise F

Posted on March 6, 2016 by Linearity

1. Solution: For any  $\varphi \in \mathcal{L}(V, \mathbb{F})$ , if  $\dim \text{range } \varphi = 0$ , then  $\varphi$  is the zero map. If  $\dim \text{range } \varphi = 1$ , then  $\varphi$  is surjective since  $\dim \mathbb{F} = 1$ . Moreover,  $\dim \text{range } \varphi \leq \dim \mathbb{F} = 1$ . Hence, that is all the possible cases.

2. Solution: Let  $\varphi_1, \varphi_2, \varphi_3 \in \mathcal{L}(\mathbb{R}^{[0,1]}, \mathbb{F})$  defined by

$$\varphi_1(f) = f(0), \quad \varphi_2(f) = f(0.5), \quad \varphi_3(f) = f(1).$$

Please check that  $\varphi_1, \varphi_2, \varphi_3 \in \mathcal{L}(\mathbb{R}^{[0,1]}, \mathbb{F})$  and they are different from each other.

3. Solution: Extend  $v$  to a basis of  $V$  and use 3.96.

4. Solution: Let  $u_1, \dots, u_m$  be a basis of  $U$ , since  $U \neq V$  we can extend it to a basis of  $V$  as  $u_1, \dots, u_m, u_{m+1}, \dots, u_{m+n}$ , where  $n \geq 1$ . Hence we can define  $\varphi \in V'$  by

$$\varphi(u_i) = \begin{cases} 0, & \text{if } i \neq m+1; \\ 1, & \text{if } i = m+1. \end{cases}$$

Then  $\varphi \in V'$  and  $\varphi(u) = 0$  for every  $u \in U$  but  $\varphi \neq 0$ .

5. Solution: Define  $P_i \in \mathcal{L}(V, V_1 \times \dots \times V_m)$  by

$$P_i(x) = (0, \dots, 0, x, 0, \dots, 0)$$

with  $x$  in the  $i$ -th component. Define  $\varphi \in \mathcal{L}((V_1 \times \dots \times V_m)', V_1' \times \dots \times V_m')$  by

$$\varphi(f) = (P'_1 f, \dots, P'_m f).$$

Now let us check that  $\varphi$  is an isomorphism.

Injectivity: suppose  $(P'_1 f, \dots, P'_m f) = 0$ , that is for any  $(x_1, \dots, x_m) \in V_1 \times \dots \times V_m$ , we have

$$P'_i f(x_i) = 0 \implies f(0, \dots, x_i, \dots, 0) = 0$$

by the definition of  $P_i$  and dual map. This implies

$$f(x_1, \dots, x_m) = \sum_{i=1}^m f(0, \dots, x_i, \dots, 0) = 0,$$

namely  $f = 0$ . Thus  $\varphi$  is injective. Here  $(0, \dots, x_i, \dots, 0)$  means the  $i$ -th component is  $x_i$  and all other components are zero.

Surjectivity: for any  $(f_1, \dots, f_m) \in V_1' \times \dots \times V_m'$ , define  $f \in (V_1 \times \dots \times V_m)'$  by

$$f(x_1, \dots, x_m) = \sum_{i=1}^m f_i(x_i).$$

Then we can easily check that  $\varphi(f) = (f_1, \dots, f_m)$ .

By the arguments above, it follows that  $(V_1 \times \dots \times V_m)'$  and  $V_1' \times \dots \times V_m'$  are isomorphic.

6. Solution: (a) If  $v_1, \dots, v_m$  spans  $V$ , then  $\Gamma(\varphi) = 0$  implies

$$\varphi(v_1) = \dots = \varphi(v_m) = 0.$$

Hence  $\varphi = 0$  since  $v_1, \dots, v_m$  spans  $V$ . Specifically, for any  $v \in V$ , we can write

$$v = \sum_{i=1}^m k_i v_i, \quad k_i \in \mathbb{F}.$$

Thus

$$\varphi(v) = \varphi\left(\sum_{i=1}^m k_i v_i\right) = \sum_{i=1}^m k_i \varphi(v_i) = 0.$$

This implies  $\varphi = 0$ . We conclude  $\Gamma$  is injective.

If  $\Gamma$  is injective and  $\text{span}(v_1, \dots, v_m) \neq V$ , then by Problem 4, there exists a  $\varphi \in V'$  such that

$$\varphi(\text{span}(v_1, \dots, v_m)) = 0$$

and  $\varphi \neq 0$ . This implies  $\Gamma$  is not injective. We get a contradiction. Hence  $v_1, \dots, v_m$  spans  $V$ .

(b) If  $v_1, \dots, v_m$  is linearly independent, then for any  $(f_1, \dots, f_m) \in \mathbb{F}^m$ , there exists a  $\varphi \in V'$  such that

$$\varphi(v_i) = f_i, \quad i = 1, \dots, m.$$

This is easy to show by extending  $v_1, \dots, v_m$  to a basis of  $V$  and using 3.5. Then by definition of  $\Gamma$ , we have

$$\Gamma(\varphi) = (f_1, \dots, f_m).$$

This implies  $\Gamma$  is surjective.

If  $\Gamma$  is surjective, suppose  $v_1, \dots, v_m$  is linearly dependent. Then there exist  $k_1, \dots, k_m \in \mathbb{F}$  such that

$$k_1 v_1 + \dots + k_m v_m = 0$$

and some  $k_i$  is nonzero. Let  $k_i \neq 0$ , then  $v_i$  can be written as a linear combination of  $v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_m$ . Hence,  $(0, \dots, 0, 1, 0, \dots, 0)$  is not in  $\text{range } \Gamma$ , where 1 is the  $i$ -th component. Otherwise, we have  $\varphi \in V'$  such that  $\Gamma(\varphi) = (0, \dots, 0, 1, 0, \dots, 0)$ . Then

$$\varphi(v_j) = 0, \quad \varphi(v_i) = 1, \quad j = 1, \dots, i-1, i+1, \dots, m.$$

This implies  $\varphi(v) = 0$  if  $v$  is a linear combination of  $v_1, \dots, v_{i-1}, v_{i+1}, \dots, v_m$ . Thus  $\varphi(v_i) = 0$  by our previous argument. However, we also have  $\varphi(v_i) = 1$ . Therefore this can not happen, namely  $\Gamma$  is not surjective. That means that the assumption that  $v_1, \dots, v_m$  is linearly dependent can never happen. Hence  $v_1, \dots, v_m$  is linearly independent.

7. Solution: By calculating them directly, we have

$$\varphi(x') = \delta_{ij},$$

where  $\delta_{ij} = 1$  if  $i = j$  and  $\delta_{ij} = 0$  if  $i \neq j$ . Note that the dual basis of one given basis is unique(if exist). Hence we have the dual basis of the basis  $1, x, \dots, x_m$  of  $P_m(\mathbb{R})$  is  $\varphi_0, \varphi_1, \dots, \varphi_m$ .

8. Solution: (a) This is easy, see Problem 10 of Exercise 2C.

(b) The dual basis of the basis  $1, x - 5, \dots, (x - 5)_m$  of  $P_m(\mathbb{R})$  is  $\varphi_0, \varphi_1, \dots, \varphi_m$ , where  $\varphi_j(p) = \frac{p^{(j)}(0)}{j!}$ . Here  $p^{(j)}$  denotes the  $j$ -th derivative of  $p$ , with the understanding that the  $0$ -th derivative of  $p$  is  $p$ . The proof is similar to Problem 7.

9. Solution: Note  $v_1, \dots, v_n$  is a basis of  $V$  and  $\varphi_1, \dots, \varphi_n$  is the corresponding dual basis of  $V'$ , we have

$$(\psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n)(v_1) = \psi(v_1).$$

Similarly, we also have

$$(\psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n)(v_i) = \psi(v_i).$$

Hence

$$\psi = \psi(v_1)\varphi_1 + \dots + \psi(v_n)\varphi_n,$$

as they coincide at a basis of  $V$ .

10. Solution: (a)  $(S + T)' = S' + T'$  for all  $S, T \in \mathcal{L}(V, W)$ . For each  $\varphi \in W'$ , we have

$$(S + T)'(\varphi)(x) = \varphi(Sx + Tx) = \varphi(Sx) + \varphi(Tx)$$

$$= S'(x) + T'(x) = (S' + T')(\varphi)(x)$$

for all  $x \in W$ . The first and forth equality hold by the definition of dual map (3.99). The other ones hold by 3.6. Hence  $(S + T)'(\varphi) = (S' + T')(\varphi)$  for each  $\varphi \in W'$ , namely  $(S + T)' = S' + T'$ .

(b)  $(\lambda T)' = \lambda T'$  for all  $\lambda \in \mathbb{F}$  and all  $T \in \mathcal{L}(V, W)$ . For each  $\varphi \in W'$ , we have

$$(\lambda T)'(\varphi)(x) = \varphi(\lambda T x) = \varphi(\lambda Sx) = \lambda \varphi(Sx)$$

$$= \lambda T'(x) = (\lambda T')(\varphi)(x)$$

for all  $x \in W$ . Here we also use 3.6 and 3.99. Similarly, we conclude  $(\lambda T)' = \lambda T'$ .

15. Solution: If  $T = 0$ , then for any  $f \in W'$  and any  $v \in V$ , we have

$$(T'f)v = f(Tv) = f(0) = 0.$$

Therefore  $T'f = 0$  for all  $f \in W'$  and hence  $T' = 0$ .

Conversely, suppose  $T' = 0$ , we are going to show that  $T = 0$  by contradiction. We assume that  $T \neq 0$ , then there exists  $v \in V$  such that  $Tv \neq 0$ . Since  $W$  is finite, it follows from Problem 3 that there exists  $\varphi \in W'$  such that  $\varphi(Tv) \neq 0$ . Note that  $(T'\varphi)v = \varphi(Tv) \neq 0$ , which contradicts with the assumption that  $T' = 0$ . Hence  $T = 0$ .

16. Solution: Let  $\Gamma : \mathcal{L}(V, W) \rightarrow \mathcal{L}(W', V')$  defined by

$$\Gamma(\varphi) = T'.$$

By 3.60, we have  $\dim \mathcal{L}(V, W) = \dim \mathcal{L}(W', V')$ . Hence, by 3.69, it suffices to show  $\Gamma$  is injective. Suppose  $\Gamma(S) = 0$  for some  $S \in \mathcal{L}(V, W)$ , that is  $S' = 0$ . Hence for any  $\varphi \in W'$  and  $v \in V$ , we have

$$S'(\varphi)(v) = \varphi(Sv) = 0.$$

By Problem 3, this can only happen when  $Sv = 0$ . Hence  $Sv = 0$  for all  $v \in V$ . Thus  $S = 0$ . We conclude  $\Gamma$  is injective.

17. Solution: Note that

$$\varphi(u) = 0 \text{ for all } u \in U \iff U \subset \text{null } \varphi.$$

18. Solution: By Problem 17,  $U^0 = V'$  if and only if  $U \subset \text{null } \varphi$  for all  $\varphi \in V'$ . Note that by Problem 3,  $v \in \text{null } \varphi$  for all  $\varphi \in V'$  if and only if  $v = 0$ . This implies  $U^0 = V'$  if and only if  $U = \{0\}$ .

Other solution: by 3.106, we have

$$\dim \text{span}(U) + \dim U^0 = \dim V.$$

Hence

$$\dim U^0 = \dim V' \iff \dim \text{span}(U) = 0$$

since  $\dim V' = \dim V$ .

19. Solution: By 3.106, we have

$$\dim U + \dim U^0 = \dim V.$$

Hence

$$\dim U = \dim V \iff \dim U^0 = 0.$$

That is  $U = V$  if and only if  $U^0 = \{0\}$ .

20. Solution: If  $\varphi \in W^0$ , then  $\varphi(w) = 0$  for all  $w \in W$ . As  $U \subset W$ , we also have  $\varphi(u) = 0$  for all  $u \in W$ , hence  $\varphi \in U^0$ . Since  $\varphi$  is chosen arbitrarily, we deduce that  $W^0 \subset U^0$ .

21. Solution: Since  $W^0 \subset U^0$ , it follows from Problem 22 that

$$(U + W)^0 = U^0 \cap W^0 = W^0.$$

Note that  $V$  is finite-dimensional, by 3.106 we have

$$\dim(U + W)^0 = \dim V - \dim(U + W) = \dim V - \dim W.$$

Therefore, we have  $\dim(U + W)^0 = \dim V - \dim W$ . As  $W \subset U + W$  and  $\dim(U + W) = \dim W$ , we conclude that  $U + W = W$ , which implies  $U \subset W$ .

22. Solution: Note that  $U \subset W + W$  and  $W \subset U + W$ , it follows from Problem 20 that  $(U + W)^0 \subset U^0$  and  $(U + W)^0 \subset W^0$ . Therefore,  $(U + W)^0 \subset U^0 \cap W^0$ .

On the other hand, for any given  $f \in U^0 \cap W^0$ , we have  $f(u) = 0$  and  $f(w) = 0$  for any  $u \in U$  and any  $w \in W$ . Therefore,  $f(u + w) = f(u) + f(w) = 0$ .

# Solutions to Linear Algebra Done Right

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## Chapter 4 Exercise

Posted on April 1, 2016 by Linearity

1. Empty

2. Solution: False. Consider  $1 = (z^m + 1) + (-z^m) \notin \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p = m\}$ . Note that

$$(z^m + 1) \in \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p = m\}$$

and

$$-z^m \in \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p = m\},$$

it follows that  $\{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p = m\}$  is not closed under addition. Hence it is not a subspace of  $\mathcal{P}(\mathbb{F})$ .

3. Solution: False. Consider  $z = (z^2 + z) + (-z^2) \notin \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p \text{-is even}\}$ . Note that

$$(z^2 + z) \in \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p \text{-is even}\}$$

and

$$-z^2 \in \{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p \text{-is even}\},$$

it follows that  $\{0\} \cup \{p \in \mathcal{P}(\mathbb{F}) : \deg p \text{-is even}\}$  is not closed under addition. Hence it is not a subspace of  $\mathcal{P}(\mathbb{F})$ .

4. Solution: Define  $p \in \mathcal{P}(\mathbb{F})$  by

$$p(z) = (z - \lambda_1)^{n-m+1}(z - \lambda_2) \cdots (z - \lambda_m).$$

Then  $p$  is a polynomial of degree  $n$  such that  $0 = p(\lambda_1) = \cdots = p(\lambda_m)$  and such that  $p$  has no other zeros.

5. Solution: See [Linear Algebra Done Right Solution Manual Chapter 4 Problem 2](#).

6. Solution: See [Linear Algebra Done Right Solution Manual Chapter 4 Problem 4](#).

7. Solution: See [Linear Algebra Done Right Solution Manual Chapter 4 Problem 5](#).

8. Solution: First we show that  $T$  is a linear map. Then we show  $Tp \in \mathcal{P}(\mathbb{R})$  for a basis of  $p \in \mathcal{P}(\mathbb{R})$ , then by linearity of  $T$ , we have  $Tp \in \mathcal{P}(\mathbb{R})$  for every polynomial  $p \in \mathcal{P}(\mathbb{R})$ . For any  $\lambda \in \mathbb{R}$  and  $p, q \in \mathcal{P}(\mathbb{R})$ , we have

$$\begin{aligned} T(p+q) &= \frac{(p+q)-(p+q)(3)}{x-3} = \frac{(p+q)-p(3)-q(3)}{x-3} \\ &= \frac{p-p(3)}{x-3} + \frac{q-q(3)}{x-3} = Tp + Tq, \end{aligned}$$

if  $x \neq 3$ . Similarly,

$$T(\lambda p) = \frac{(\lambda p) - (\lambda p)(3)}{x-3} = \frac{\lambda p - \lambda p(3)}{x-3} = \lambda \frac{p - p(3)}{x-3} = \lambda Tp.$$

If  $x = 3$ , then  $T$  is a composition of the differentiation map and evaluation map. Both of them are linear, hence  $T$  is also linear. We can show it directly

$$T(\lambda p + q) = (\lambda p + q)'(3) = (\lambda p' + q')(3) = \lambda p'(3) + q'(3) = \lambda Tp + Tq.$$

Therefore  $T$  is a linear map. Let us consider  $Tx^n$  for  $n \in \mathbb{N}^+$ , if  $x \neq 3$ ,

$$Tx^n = \frac{x^n - 3^n}{x-3} = x^{n-1} + 3x^{n-2} + \cdots + 3^k x^{n-1-k} + \cdots + 3^{n-1} \in \mathcal{P}(\mathbb{R}).$$

Moreover, if  $x = 3$ , we have  $T(x^n) = 3^{n-1}n$ . Note that when  $x = 3$ , it is true that

$$x^{n-1} + 3x^{n-2} + \cdots + 3^k x^{n-1-k} + \cdots + 3^{n-1} = 3^{n-1}n.$$

We get

$$Tx^n = x^{n-1} + 3x^{n-2} + \cdots + 3^k x^{n-1-k} + \cdots + 3^{n-1} \in \mathcal{P}(\mathbb{R})$$

for  $x \in \mathbb{R}$ . Similarly, we can show  $T(1) = 0 \in \mathcal{P}(\mathbb{R})$ .

Since any polynomial of  $\mathcal{P}(\mathbb{R})$  is a linear combination of  $1, x, x^2, \dots$ , it follows that  $Tp \in \mathcal{P}(\mathbb{R})$  for every polynomial  $p \in \mathcal{P}(\mathbb{R})$ .

*I am not sure the textbook indicates that  $1, x, x^2, \dots$  is a basis of  $\mathcal{P}(\mathbb{R})$ , so I use some easier arguments such as any polynomial of  $\mathcal{P}(\mathbb{R})$  is a linear combination of  $1, x, x^2, \dots$ .*

9. Solution: If  $f(z) = a_n z^n + \cdots + a_1 z + a_0$ , where  $a_n, \dots, a_0 \in \mathbb{C}$ , then

$$\overline{f(z)} = \overline{a_n z^n} + \cdots + \overline{a_1 z} + \overline{a_0}.$$

That implies  $\overline{f(z)}$  is a polynomial. As the product of polynomials is a polynomial as well, we conclude  $q$  is a polynomial.

Now let us show  $q$  has only real coefficients. Denote  $q(z)$  by

$$q(z) = \mu_{2n} z^{2n} + \cdots + \mu_1 z + \mu_0.$$

Note that  $\overline{q(z)} = \overline{f(z)\overline{f(z)}} = \overline{f(z)f(z)} = q(z)$ , it follows

$$\mu_{2n} \overline{z^{2n}} + \cdots + \mu_1 \overline{z} + \overline{\mu_0} = \mu_{2n} z^{2n} + \cdots + \mu_1 z + \mu_0.$$

Hence  $\overline{\mu_k} = \mu_k$ , i.e.  $\mu_k \in \mathbb{R}$ , for  $k = 0, \dots, 2n$ .

*Here you can also compute the coefficients  $\mu_k$  in terms of  $a_i$  and show  $\mu_k = \overline{\mu_k}$  or use some calculus methods.*

10. Note that  $x_0, x_1, \dots, x_m$  are distinct, we can define the polynomial

$$f(x) = \sum_{j=0}^m \frac{(x-x_0)(x-x_1) \cdots (x-x_{j-1})(x-x_{j+1}) \cdots (x-x_m)}{(x_j-x_0)(x_j-x_1) \cdots (x_j-x_{j-1})(x_j-x_{j+1}) \cdots (x_j-x_m)} p(x_j).$$

Then it is obvious that  $f(x) \in \mathcal{P}_m(\mathbb{C})$ . Moreover, since  $x_j$  and  $p(x_j)$ ,  $j = 0, 1, \dots, m$ , are real, it follows that the coefficients of  $f(x)$  are real. Hence it suffices to show that  $p(x) = f(x)$ .

By plugging  $x = x_i$  into  $f(x)$ , we have  $f(x_i) = p(x_i)$  since all summands except one are zero (see the link in the remark below),

$$\frac{(x_i-x_0)(x_i-x_1) \cdots (x_i-x_{j-1})(x_i-x_{j+1}) \cdots (x_i-x_m)}{(x_j-x_0)(x_j-x_1) \cdots (x_j-x_{j-1})(x_j-x_{j+1}) \cdots (x_j-x_m)} = \delta_{ij},$$

where  $\delta_{ij} = 0$  if  $i \neq j$  and  $\delta_{ii} = 1$  if  $i = j$ .

This implies that  $f - p$  has  $m+1$  distinct zeros. Since  $f - p \in \mathcal{P}_m(\mathbb{C})$ , it follows from 4.12 that the degree of  $f - p$  can not be nonnegative. Hence  $f - p$  is the zero polynomial, thus completing the proof.

*The polynomial used here is called the Lagrange Interpolating Polynomial. Please see the following link for more detail.*

11. By the division algorithm of polynomials in 4.8, we know that for every polynomial  $f \in \mathcal{P}(\mathbb{F})$  there exist unique polynomials  $q$  and  $r$  such that

$$f = pq + r, \quad \text{and} \quad \deg r < \deg p.$$

This implies that  $\mathcal{P}(\mathbb{F}) = U \oplus \mathcal{P}_{\deg p-1}(\mathbb{F})$ .

Therefore

$$\mathcal{P}(\mathbb{F})/U \cong \mathcal{P}_{\deg p-1}(\mathbb{F}).$$

It follows that

$$\dim \mathcal{P}(\mathbb{F})/U = \dim \mathcal{P}_{\deg p-1}(\mathbb{F}) = \deg p.$$

Moreover, a basis of  $\mathcal{P}(\mathbb{F})/U$  is  $1, x, x^2, \dots, x^{\deg p-1}$ .

*Here I used the fact that if  $V = U \oplus W$ , then  $V/U \cong W$ . Please try to prove as the following alternative solution.*

Another solution (explains the solution above more explicitly).

For any given polynomial  $f \in \mathcal{P}(\mathbb{F})$ , let  $r(f)$  be the remainder of  $f$  divided by  $q$ . Note that  $\deg r(f) < \deg q$  we have a map  $r : \mathcal{P}(\mathbb{F}) \rightarrow \mathcal{P}_{\deg p-1}(\mathbb{F})$ . One can check this is a linear map. Moreover, Null  $r = U$ . By taking polynomials in  $\mathcal{P}_{\deg p-1}(\mathbb{F})$ , we have that range  $r = \mathcal{P}_{\deg p-1}(\mathbb{F})$ .

By 3.91(d), we have that

$$\mathcal{P}(\mathbb{F})/U = \mathcal{P}(\mathbb{F})/\text{Null } r \cong \text{range } r = \mathcal{P}_{\deg p-1}(\mathbb{F}).$$

Now the problem is solved similarly.



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Michael D. Nguyen

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The another alternative solution for 10 is by deriving the result of 5.

There is a polynomial  $p$  of real coefficients with  $m+1$  distinct values in  $P_m(\mathbb{R})$  and  $p$  must also be in  $P_m(\mathbb{C})$ . And since  $p$  must be uniquely defined in  $P_m(\mathbb{C})$ , we have arrived at what is needed to be proven.

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Did you choose not to provide a solution to #10? I found one, though it involved proving  $P(\mathbb{F})/U$  isomorphic to  $P_{\deg p-1}(\mathbb{F})$  by defining a linear transformation  $T(p) = r$  where  $r$  is the remainder defined by the division algorithm. I was wondering if there was a simpler method.

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That is the essential part of this problem.

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Chapter 5 Exercise B →

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### Chapter 5 Exercise A

Posted on May 1, 2016 by Linearity

1. Solution: (a) For any  $u \in U$ , then  $Tu = 0 \in U$  since  $U \subset \text{null } T$ , hence  $U$  is invariant under  $T$ .

(b) For any  $u \in U$ , then  $Tu \in \text{range } T \subset U$ , hence  $U$  is invariant under  $T$ .

2. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 4. Let  $\lambda = 0$ .

3. Solution: For any  $u \in \text{range } S$ , there exists  $v \in V$  such that  $Sv = u$ , hence

$$Tu = TSv = STv \in \text{range } S.$$

Therefore  $\text{range } S$  is invariant under  $T$ .

4. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 1.

5. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 2.

6. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 3.

7. Solution: Let  $(x, y)$  be an eigenvector of  $T$  corresponding to eigenvalue  $\lambda$ , then we have

$$T(x, y) = \lambda(x, y).$$

i.e.,  $(\lambda x, \lambda y) = (-3y, x)$ . Hence we have  $\lambda x = -3y$  and  $\lambda y = x$ , it follows that  $\lambda^2 xy = -3xy$ . If  $xy \neq 0$ , then  $\lambda^2 = -3$ , this is impossible.

If  $x = 0$ , then  $y = 0$  by  $\lambda x = -3y$ . However  $(x, y)$  is an eigenvector, hence  $(x, y) \neq (0, 0)$ . We get a contradiction.

If  $y = 0$ , then  $x = 0$  by  $\lambda y = x$ . Similarly, we get a contradiction.

Hence no such eigenvectors exist, namely  $T$  has no eigenvalues.

8. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 5.

9. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 6.

10. Solution: (a) Suppose  $v = (v_1, \dots, v_n)$  is a eigenvector of  $T$  corresponding to eigenvalue  $\lambda$ . Then we have  $Tv = \lambda v$ , hence

$$(v_1, 2v_2, \dots, v_n) = (\lambda v_1, \lambda v_2, \dots, \lambda v_n). \quad (1)$$

As  $v \neq 0$  by definition of eigenvectors, there is some  $i \in \{1, 2, \dots, n\}$  such that  $v_i \neq 0$ . Note that we have  $iv_i = \lambda v_i$  by (1), it implies  $\lambda = i$ . For  $\lambda = i$ , it is easy to solve (1). We can conclude the corresponding eigenvectors are of the form  $(0, \dots, 0, a, 0, \dots, 0)$ ,  $a \in \mathbb{F}$  with  $a$  in  $i$ -th component. Similarly, all eigenvalues of  $T$  are  $1, 2, \dots, n$ . All eigenvectors with respect to  $i$  are of the form  $(0, \dots, 0, a, 0, \dots, 0)$ ,  $a \in \mathbb{F}$  with  $a$  in  $i$ -th component.

(b) Suppose  $W$  is an invariant subspace of  $T$ . Assume  $e_i = (0, \dots, 0, 1, 0, \dots, 0)$  with 1 in  $i$ -th component. Then  $e_1, \dots, e_n$  is a basis of  $\mathbb{F}^n$  and  $e_i$  is an eigenvector of  $T$  corresponding to  $i$ . If  $a_1e_1 + \dots + a_ne_n \in W$  with  $a_1 \dots a_n \neq 0$ , we will show  $\text{span}(e_1, \dots, e_n) \subset W$ . Note that  $a_1e_1 + \dots + a_ne_n \in W$  and  $W$  is invariant with respect to  $T$ , it follows that

$$T(a_1e_1 + \dots + a_ne_n) = a_1e_1 + \dots + ka_ne_n \in W.$$

Hence

$$k_1e_1 + \dots + k_ne_n - (a_1e_1 + \dots + a_ne_n) = (k-1)a_1e_1 + \dots + a_{n-1}e_{n-1} \in W,$$

and the coefficients are nonzero. Inductively, we will get some

$$\lambda_1e_1 + \dots + \lambda_ne_n \in W$$

for  $\lambda_1 \dots \lambda_i \neq 0$  for any  $i \leq k$ ,  $\lambda_i$  change as  $i$  changes. In particular,  $\mu_i e_1 \in W$  and  $\mu_i \neq 0$ . Hence  $e_1 \in W$ , then, consider  $\eta_1e_1 + \eta_2e_2 \in W$  where  $\eta_1\eta_2 \neq 0$ , we will get  $e_2 \in W$ . Inductively, we can show that  $\{e_1, e_2, \dots, e_n\} \subset W$ . Hence  $\text{span}(e_1, e_2, \dots, e_n) \subset W$ . Similarly, if  $a_1e_1 + \dots + a_ne_n \in W$  with  $a_1 \dots a_n \neq 0$  and all  $a_i$  distinct, then  $\text{span}(e_1, \dots, e_n) \subset W$ . Now let us consider the general form of  $W$ . Suppose  $W \cap \{e_1, \dots, e_n\} = \{e_1, \dots, e_k\}$ , then we will show  $\text{span}(e_1, \dots, e_k) = W$ . It is obvious that  $\text{span}(e_1, \dots, e_k) \subset W$ . If there some  $w \in W$  but  $w \notin \text{span}(e_1, \dots, e_k)$ . Then  $w$  will be in  $\text{span}(e_{k+1}, \dots, e_n)$ . Then  $w$  is in  $\text{span}(e_{k+1}, \dots, e_n)$ .

$$w = b_1e_1 + \dots + b_ne_n, \quad b_1, \dots, b_n \in \mathbb{F}$$

such that there is some  $s \notin \{i_1, \dots, i_k\}$  and  $b_s \neq 0$ . By previous argument, we have  $e_s \in W$ . This contradicts with  $W \cap \{e_1, \dots, e_n\} = \{e_1, \dots, e_k\}$ . Hence we show that  $\text{span}(e_1, \dots, e_k) = W$ . Moreover, all invariant subspaces of  $T$  have this form.

I am not satisfied with this solution. !

11. Solution: Suppose  $\lambda$  is an eigenvalue of  $T$  with an eigenvector  $q$ , then

$$q' = Tq = \lambda q.$$

Note that in general  $\deg p' < \deg p$  (because we consider  $\deg 0 = -\infty$ ). If  $\lambda \neq 0$ , then  $\deg \lambda q > \deg q'$ . We get a contradiction. If  $\lambda = 0$ , then  $q = c$  for nonzero  $c \in \mathbb{R}$ . Hence the only eigenvalue of  $T$  is zero with nonzero constant polynomials as eigenvectors.

12. Solution: Suppose  $\lambda$  is an eigenvalue of  $T$  with an eigenvector  $q$ . Let  $q = a_nx^n + \dots + a_1x + a_0$  such that  $a_n \neq 0$ , then

$$\lambda q = Tq = xq',$$

namely

$$\lambda a_nx^n + \dots + \lambda a_1x + \lambda a_0 = na_nx^n + \dots + 2a_2x^2 + a_1x.$$

Since  $a_n \neq 0$ , it follows that  $\lambda = n$  by considering the leading coefficient. Then we have

$a_0 = a_1 = \dots = a_{n-1} = 0$ , hence  $q = a_nx^n$ . Hence all eigenvalues of  $T$  are  $0, 1, 2, \dots$  and all eigenvectors correspond to  $m$  is  $\lambda x^m$  such that  $n \in \mathbb{N}$ ,  $\lambda \neq 0$  and  $\lambda \in \mathbb{R}$ .

13. Solution: Let  $a_i \in \mathbb{F}$  such that

$$|a_i - \lambda| = \frac{1}{1000 + i}, \quad i = 1, \dots, \dim V + 1.$$

These  $a_i$  exist and are different from each other since  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{C}$ . Note that each operator on  $V$  has at most  $\dim V$  distinct eigenvalues by 5.13. Hence there exists some  $i \in \{1, 2, \dots, \dim V + 1\}$  such that  $a_i$  is not an eigenvalue of  $T$ . Then by 5.6,  $T - a_iI$  is invertible.

14. Solution: Note that any  $v \in V$  can be written uniquely as  $u + w$  for  $u \in U$  and  $w \in W$  since  $V = U \oplus W$ . It follow that this  $P$  is well-defined. Maybe you also need to check  $P \in \mathcal{L}(V)$ . Now let us consider the eigenvalues of  $P$ . By consider  $v \neq 0$ , if there exists  $\lambda \in \mathbb{F}$  such that  $Pv = \lambda v$ . Write  $v = u + w$  for  $u \in U$  and  $w \in W$ , then  $u$  and  $w$  can not be both zero. Hence by definition of  $P$ , we have

$$Pu = u, \quad \lambda v = \lambda u + \lambda w.$$

It follows that  $u = \lambda u + \lambda w$ , namely  $(\lambda - 1)u + \lambda w = 0$ . Note that  $V = U \oplus W$ , it follows that  $(\lambda - 1)u = \lambda w = 0$ . If  $u \neq 0$ , then  $\lambda = 1$ . Hence  $v = 0$  and the corresponding eigenvectors are nonzero vectors  $v \in U$ . If  $w \neq 0$ , then  $\lambda = 0$ . Hence  $u = 0$  and the corresponding eigenvectors are nonzero vectors  $v \in W$ .

15. Solution: (a) Suppose  $\lambda$  is an eigenvalue of  $T$ , then there exists a nonzero vector  $v \in V$  such that  $Tv = \lambda v$ . Hence

$$S^{-1}TS(S^{-1}v) = S^{-1}Tv = S^{-1}(\lambda v) = \lambda S^{-1}v.$$

Note that  $S^{-1}v \neq 0$  as  $S^{-1}$  is invertible, hence  $\lambda$  is an eigenvalue of  $S^{-1}TS$ , namely every eigenvalue of  $T$  is an eigenvalue of  $S^{-1}TS$ . Similarly, note that  $S(S^{-1}TS)S^{-1} = T$ , we have every eigenvalue of  $S^{-1}TS$  is an eigenvalue of  $T$ . Hence  $T$  and  $S^{-1}TS$  have the same eigenvalues.

(b) From the process of (a), one can easily deduce that  $v$  is an eigenvector of  $T$  if and only if  $S^{-1}v$  is an eigenvector of  $S^{-1}TS$ .

16. Solution: Although this problem is true for infinite-dimensional vector space, I will just consider finite-dimension case since we are considering the matrix of  $T$  otherwise, it would be a infinite matrix. Suppose the matrix of  $T$  with respect to basis  $e_1, \dots, e_n$  of  $V$  contains only real entries. Then

$$Te_j = A_{1,j}e_1 + \dots + A_{n,j}e_n,$$

where  $A_{i,j} \in \mathbb{R}$  for all  $i, j = 1, 2, \dots, n$ . Let

$$v = k_1e_1 + \dots + k_ne_n$$

be a eigenvector with respect to  $\lambda$ , where  $k_i \in \mathbb{C}$ ,  $i = 1, \dots, n$ . Then we have

$$Tv = \lambda v,$$

namely

$$\lambda \sum_{i=1}^n k_i e_i = \sum_{i=1}^n k_i T e_i = \sum_{i=1}^n \sum_{j=1}^n k_i A_{j,i} e_j. \quad (2)$$

Consider the complex conjugation of (2), we have

$$\bar{\lambda} \sum_{i=1}^n \bar{k}_i e_i = \sum_{i=1}^n \bar{k}_i T e_i = \sum_{i=1}^n \sum_{j=1}^n \bar{k}_i \bar{A}_{j,i} e_j. \quad (3)$$

since  $A_{i,j} \in \mathbb{R}$  for all  $i, j = 1, 2, \dots, n$ , (why? consider components) Note that (3) implies

$$T(\bar{k}_1e_1 + \dots + \bar{k}_ne_n) = \bar{\lambda}(\bar{k}_1e_1 + \dots + \bar{k}_ne_n). \quad (4)$$

Since  $v = k_1e_1 + \dots + k_ne_n \neq 0$ , it follows that not all  $k_i$  is zero, so is  $\bar{k}_i$ . Hence  $\bar{k}_1e_1 + \dots + \bar{k}_ne_n \neq 0$ , hence (4) tell us  $\bar{\lambda}$  is an eigenvalue of  $T$ .

17. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 23.

18. Solution: Suppose  $\lambda$  is an eigenvalue of  $T$  and one corresponding eigenvector is  $(w_1, w_2, \dots)$ . Then not all  $w_i$  is zero. Moreover, we have

$$(0, w_1, w_2, \dots) = T(w_1, w_2, \dots) = \lambda(w_1, w_2, \dots).$$

If  $\lambda = 0$ , then

$$(0, w_1, w_2, \dots) = 0$$

implies  $w_i \equiv 0$  for any  $i \in \mathbb{N}^*$ . We get a contradiction. If  $\lambda \neq 0$ . Consider the first component, we have  $0 = \lambda w_1$ , hence  $w_1 = 0$ . Then consider the second component, we have  $\lambda w_2 = w_1 = 0$ , hence  $w_2 = 0$ . By induction, one can easily deduce that  $w_i \equiv 0$  for any  $i \in \mathbb{N}^*$ . We get a contradiction as well. Hence  $T$  has no eigenvalues.

19. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 7.

20. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 8.

21. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 10. (b) is almost proved there.

22. Solution: Note that we have

$$Tv + Tw = Ty + Tw = 3v + 3w = 3(v + w),$$

and

$$Tv - Tw = Ty - Tw = 3v - 3w = -3(v - w).$$

If  $v - w$  or  $v + w$  is nonzero, then 3 or  $-3$  is an eigenvalue of  $T$ . In fact if  $v - w = 0$  and  $v + w = 0$ , it is easy to see  $v = w = 0$ . It contradicts with  $v \neq 0$  and  $w \neq 0$ .

23. Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 11.

24. Solution: (a) If the sum of the entries in each row of  $A$  equals 1, then one can easily deduce that

$$T \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ \vdots \\ 1 \end{pmatrix}.$$

Hence 1 is an eigenvalue of  $T$  with

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## Chapter 5 Exercise B

Posted on May 2, 2016 by Linearity

1. Suppose  $T \in \mathcal{L}(V)$  and there exists a positive integer  $n$  such that  $T^n = 0$ .

(a) Prove that  $I - T$  is invertible and that

$$(I - T)^{-1} = I + T + \dots + T^{n-1}.$$

(b) Explain how you would guess the formula above.

Solution: (a) Note that

$$(I - T)(I + T + \dots + T^{n-1}) = I - T^n = I$$

and

$$(I + T + \dots + T^{n-1})(I - T) = I - T^n = I,$$

(in fact we just need to check only one) it follows that  $I - T$  is invertible and

$$(I - T)^{-1} = I + T + \dots + T^{n-1}.$$

(b) From the familiar formula

$$1 - x^n = (1 - x)(1 + x + \dots + x^{n-1}).$$

2. Suppose  $T \in \mathcal{L}(V)$  and  $(T - 2I)(T - 3I)(T - 4I) = 0$ . Suppose  $\lambda$  is an eigenvalue of  $T$ . Prove that  $\lambda = 2$  or  $\lambda = 3$  or  $\lambda = 4$ .

Solution: Let  $v$  be an eigenvector of  $T$  corresponding to  $\lambda$ , then we have  $Tv = \lambda v$ . Similarly, we have

$$T^2v = T(\lambda v) = \lambda^2 v \quad T^3v = T(\lambda^2 v) = \lambda^3 v,$$

and  $T^4v = \lambda^4 v$  for  $n \in \mathbb{N}^+$ . This implies for any polynomial  $p$ , we have  $p(T)v = p(\lambda)v$ . Hence

$$0 = (T - 2I)(T - 3I)(T - 4I)v = (\lambda - 2)(\lambda - 3)(\lambda - 4)v.$$

As  $v \neq 0$ , it follows that

$$(\lambda - 2)(\lambda - 3)(\lambda - 4) = 0.$$

Thus  $\lambda = 2$  or  $\lambda = 3$  or  $\lambda = 4$ .

3. Suppose  $T \in \mathcal{L}(V)$  and  $T^2 = I$  and  $-1$  is not an eigenvalue of  $T$ . Prove that  $T = I$ .

Solution: Note that for any  $v \in V$ , we have

$$v = \frac{1}{2}(v - Tv) + \frac{1}{2}(v + Tv). \quad (1)$$

Since  $T^2 = I$ , it follows that

$$(T + I)\left(\frac{1}{2}(v - Tv)\right) = \frac{1}{2}(I - T^2)v = 0.$$

Hence  $\frac{1}{2}(v - Tv) \in \text{null}(T + I)$ . Similarly we have

$$\frac{1}{2}(v + Tv) \in \text{null}(T - I).$$

By (1), it follows that  $V = \text{null}(T - I) + \text{null}(T + I)$ . However,  $-1$  is not an eigenvalue of  $T$ . Hence  $\text{null}(T + I) = \{0\}$ . Thus  $V = \text{null}(T - I)$ . This implies  $T = I$ , since for any  $v \in V$  we have  $Tv - v = (T - I)v = 0$ .

4. Suppose  $T \in \mathcal{L}(V)$  and  $P^2 = P$ . Prove that  $V = \text{null}P \oplus \text{range}P$ .

Solution: Note that for any  $v \in V$ , we have

$$v = Pv + (v - Pv). \quad (2)$$

It is clear that  $Pv \in \text{range}P$ . Since  $P^2 = P$ , it follows that  $P(v - Pv) = (P - P^2)v = 0$ . Thus  $v - Pv \in \text{null}P$ . By (2), we have  $V = \text{null}P + \text{range}P$ . Suppose  $v \in \text{null}P \cap \text{range}P$ , then there exists  $u \in V$  such that  $Pu = v$ . Moreover  $Pv = 0$ . Hence

$$0 = Pv = P(Pu) = P^2u = Pu = v.$$

This implies  $\text{null}P \cap \text{range}P = \{0\}$ . Therefore  $V = \text{null}P \oplus \text{range}P$ .

5. Suppose  $S, T \in \mathcal{L}(V)$  and  $S$  is invertible. Suppose  $p \in \mathcal{P}(\mathbb{F})$  is a polynomial. Prove that

$$p(STS^{-1}) = Sp(T)S^{-1}.$$

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 14.

6. Suppose  $T \in \mathcal{L}(V)$  and  $U$  is a subspace of  $V$  invariant under  $T$ . Prove that  $U$  is invariant under  $p(T)$  for every polynomial  $p \in \mathcal{P}(\mathbb{F})$ .

Solution: Note that  $TU \subset U$ , one can easily deduce  $T^nU \subset U$  for any  $n \in \mathbb{N}^+$  by induction. Hence  $\lambda T^nU \subset U$  for any  $\lambda \in \mathbb{F}$  since  $U$  is a vector space. If we assume for any  $p \in \mathcal{P}(\mathbb{F})$  with  $\deg p \leq n - 1$ ,  $U$  is invariant under  $p(T)$ . Then we will show  $U$  is invariant under  $q(T)$  for every polynomial  $q \in \mathcal{P}(\mathbb{F})$  with  $\deg q = n$ . Let  $q = \sum_{k=0}^n a_kx^k$ , then

$$q(T)U = \left( \sum_{k=0}^n a_kT^k \right)U = \left( \sum_{k=0}^{n-1} a_kT^k \right)U + a_nT^nU \\ \subset U + U = U.$$

Here  $U + U = U$  by Problem 15 of Exercise 1C. Hence  $U$  is invariant under  $q(T)$  for every polynomial  $q \in \mathcal{P}(\mathbb{F})$  with  $\deg q = n$ . By induction, we conclude  $U$  is invariant under  $p(T)$  for every polynomial  $p \in \mathcal{P}(\mathbb{F})$ .

7. Suppose  $T \in \mathcal{L}(V)$ . Prove that  $T$  is an eigenvalue of  $T^2$  if and only if  $3$  or  $-3$  is an eigenvalue of  $T$ .

Solution: By problem 2, we have  $T^2v = \lambda^2v$  if  $v$  is an eigenvector of  $T$  corresponding to  $\lambda$ . Hence  $3$  or  $-3$  is an eigenvalue of  $T$ , then  $9$  is an eigenvalue of  $T^2$  since  $3^2 = 9$  and  $(-3)^2 = 9$ . Conversely, if  $9$  is an eigenvalue of  $T^2$ . It follows that  $T^2 - 9I$  is not injective, namely  $(T - 3I)(T + 3I)$  is not injective. By Problem 11 of Exercise 3B, we have  $T - 3I$  or  $T + 3I$  is not injective. Hence we conclude  $3$  or  $-3$  is an eigenvalue of  $T$ . (My apologies for using 5.6, it is only true for finite-dimensional  $V$ .)

8. Give an example of  $T \in \mathcal{L}(\mathbb{R}^2)$  such that  $T^4 = -I$ .

Solution: Denote  $T \in \mathcal{L}(\mathbb{R}^2)$  by

$$T(x, y) = \left( \begin{array}{cc} \frac{\sqrt{2}}{2}x - \frac{\sqrt{2}}{2}y & \frac{\sqrt{2}}{2}x + \frac{\sqrt{2}}{2}y \end{array} \right).$$

You can directly check that  $T^4 = -I$ .

Here I use a fact that

$$\begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}^n = \begin{pmatrix} \cos n\theta & \sin n\theta \\ -\sin n\theta & \cos n\theta \end{pmatrix}$$

9. Suppose  $V$  is finite-dimensional,  $T \in \mathcal{L}(V)$ , and  $v \in V$  with  $v \neq 0$ . Let  $p$  be a nonzero polynomial of smallest degree such that  $p(T)v = 0$ . Prove that every zero of  $p$  is an eigenvalue of  $T$ .

Solution: By definition, an eigenvalue of  $T$  must be contained in  $\mathbb{F}$ , hence we should assume that every zero of  $p$  is in  $\mathbb{F}$ . Let  $\lambda$  be a zero of  $p$ , then by 4.11 we have  $p(z) = (z - \lambda)q(z)$ , where  $q(z) \in \mathcal{P}(\mathbb{F})$ . Suppose  $\lambda$  is not an eigenvalue of  $T$ , then  $T - \lambda I$  is injective. Hence

$$0 = p(T)v = (T - \lambda I)q(T)v$$

implies  $q(T)v = 0$ . However  $\deg q < \deg p$  and  $q$  is nonzero (otherwise  $p$  is zero). This contradicts with the choice of  $p$ . Thus every zero of  $p$  is an eigenvalue of  $T$ .

10. Suppose  $T \in \mathcal{L}(V)$  and  $v$  is an eigenvector of  $T$  with eigenvalue  $\lambda$ . Suppose  $p \in \mathcal{P}(\mathbb{F})$ . Prove that  $p(T)v = p(\lambda)v$ .

Solution: By the proof of Problem 2, it follows that  $T^n v = \lambda^n v$ . Hence for  $p \in \mathcal{P}(\mathbb{F})$ , suppose

$$p = \sum_{n=0}^k a_n x^n.$$

Then

$$p(T)v = \left( \sum_{n=0}^k a_n T^n \right)v = \sum_{n=0}^k a_n T^n v = \sum_{n=0}^k a_n \lambda^n v = p(\lambda)v.$$

11. Suppose  $\mathbb{F} = \mathbb{C}$ ,  $T \in \mathcal{L}(V)$ ,  $p \in \mathcal{P}(\mathbb{C})$  is a polynomial, and  $\alpha \in \mathbb{C}$ . Prove that  $\alpha$  is an eigenvalue of  $p(T)$  if and only if  $\alpha = p(\lambda)$  for some eigenvalue  $\lambda$  of  $T$ .

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 15.

12. Show that the result in the previous exercise does not hold if  $\mathbb{C}$  is replaced with  $\mathbb{R}$ .

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 16.

13. Suppose  $W$  is a complex vector space and  $T \in \mathcal{L}(W)$  has no eigenvalues. Prove that every subspace of  $W$  invariant under  $T$  is either  $\{0\}$  or infinite-dimensional.

Solution: Suppose  $U$  is a subspace of  $W$  invariant under  $T$  and  $\dim U < \infty$ . If  $U \neq \{0\}$ , then by 5.21,  $T|_U$  has an eigenvalue with an eigenvector  $v \neq 0$ . That is  $T|_U(v) = \lambda v$ , namely  $Tv = \lambda v$ . Note that  $v \neq 0$ , we conclude  $T$  has an eigenvalue  $\lambda$ . We get a contradiction since  $T \in \mathcal{L}(W)$  has no eigenvalues. Hence every subspace of  $W$  invariant under  $T$  is either  $\{0\}$  or infinite-dimensional.

14. Give an example of an operator whose matrix with respect to some basis contains only 0's on the diagonal, but the operator is invertible. [The exercise above and the exercise below show that 5.30 fails without the hypothesis that an upper-triangular matrix is under consideration.]

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 18.

15. Give an example of an operator whose matrix with respect to some basis contains only nonzero numbers on the diagonal, but the operator is not invertible.

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 19.

16. Rewrite the proof of 5.21 using the linear map that sends  $p \in \mathcal{P}_n(\mathbb{C})$  to  $p(T)v \in V$  (and use 3.23).

Solution: Define  $\varphi : \mathcal{P}_n(\mathbb{C}) \rightarrow \mathcal{L}(V)$  by  $\varphi(p) = p(T)v$ , then  $\varphi$  is a linear map (check it). Note that  $\dim \mathcal{P}_n(\mathbb{C}) = n + 1$  and  $\dim V = n$ , it follows that  $\varphi$  is not injective by 3.23. Hence there exists a nonzero  $p \in \mathcal{P}_n(\mathbb{C})$  such that  $p(T)v = 0$ . The remained is the same as 5.21.

17. Rewrite the proof of 5.21 using the linear map that sends  $p \in \mathcal{P}_n(\mathbb{C})$  to  $p(T) \in \mathcal{L}(V)$  (and use 3.23).

Solution: Define  $\varphi : \mathcal{P}_n(\mathbb{C}) \rightarrow \mathcal{L}(V)$  by  $\varphi(p) = p(T)$ , then  $\varphi$  is a linear map (check it). Note that  $\dim \mathcal{P}_n(\mathbb{C}) = n^2 + 1$  and  $\dim \mathcal{L}(V) = n^2$ , it follows that  $\varphi$  is not injective by 3.23. Hence there exists a nonzero  $p \in \mathcal{P}_n(\mathbb{C})$  such that  $p(T) = 0$ . This implies  $p(T)v = 0$ . The remained is the same as 5.21.

18. Suppose  $V$  is a finite-dimensional complex vector space and  $T \in \mathcal{L}(V)$ . Define a function  $f : \mathbb{C} \rightarrow \mathbb{R}$  by

$$f(\lambda) = \dim \text{range}(T - \lambda I).$$

Prove that  $f$  is not a continuous function.

Solution: Let  $\lambda_0$  be an eigenvalue of  $T$ , then  $T - \lambda_0 I$  is not surjective by 5.6. Hence  $\dim \text{range}(T - \lambda_0 I) < \dim V$ .

$$\lim_{n \rightarrow \infty} \lambda_n = \lambda_0$$

and  $\lambda_n$  are not eigenvalues of  $T$ . Then by 5.6,  $T - \lambda_n I$  is surjective. Hence

$$f(\lambda_0) \neq \lim_{n \rightarrow \infty} f(\lambda_n).$$

This implies  $f$  is not continuous at  $\lambda_0$ .

19. Suppose  $V$  is finite-dimensional with  $\dim V > 1$  and  $T \in \mathcal{L}(V)$ . Prove that  $T$  has an invariant subspace of dimension  $k$  for each  $k = 1, \dots, \dim V$ .

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 15.

20. Suppose  $V$  is a finite-dimensional complex vector space and  $T \in \mathcal{L}(V)$ . Prove that  $T$  has an invariant subspace of dimension  $k$  for each  $k = 1, \dots, \dim V$ .

Solution: See [Linear Algebra Done Right Solution Manual](#) Chapter 5 Problem 16.

21. Suppose  $W$  is

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## Chapter 5 Exercise C

Posted on May 3, 2016 by Linearity

1. Solution: It is not said  $V$  is finite-dimensional, but I will do it by assuming  $\dim V < \infty$ .

If  $T$  is invertible, then  $\text{null}T = 0$  and  $\text{range}T = V$  since  $T$  is bijective and surjective. Hence  $V = \text{null}T \oplus \text{range}T$ .

If  $T$  is not invertible, let  $0, \lambda_1, \dots, \lambda_m$  be all eigenvalues of  $T$ , where  $\lambda_i \neq 0$  for  $i = 1, \dots, m$ . Then by 5.41(d), we have

$$V = E(0, T) \oplus E(\lambda_1, T) \oplus \dots \oplus E(\lambda_m, T). \quad (1)$$

By definition, it follows  $E(0, T) = \text{null}T$ . Moreover, for any  $v_i \in E(\lambda_i, T)$ ,

$$T\left(\frac{1}{\lambda_i}v_i\right) = \frac{1}{\lambda_i}Tv_i = v_i.$$

This implies  $E(\lambda_i, T) \subset \text{range}T$ . Therefore

$$E(\lambda_1, T) \oplus \dots \oplus E(\lambda_m, T) \subset \text{range}T. \quad (2)$$

On the other hand, any  $v \in V$  can be written as

$$v = v_0 + v_1 + \dots + v_m,$$

where  $v_0 \in E(0, T)$  and  $v_i \in E(\lambda_i, T)$  for  $i = 1, \dots, m$ . Hence

$$T(v) = T(v_0 + v_1 + \dots + v_m) = \lambda_1v_1 + \dots + \lambda_mv_m \in E(\lambda_1, T) \oplus \dots \oplus E(\lambda_m, T).$$

This implies

$$\text{range}T \subset E(\lambda_1, T) \oplus \dots \oplus E(\lambda_m, T). \quad (3)$$

By (2) and (3), we have

$$E(\lambda_1, T) \oplus \dots \oplus E(\lambda_m, T) = \text{range}T. \quad (4)$$

Combining (1) and (4), it follows that  $V = \text{null}T \oplus \text{range}T$ .

If we can show something like (1) for infinite-dimensional vector spaces, then we can deduce this problem for infinite-dimensional case by using similar arguments.

3. Solution: (a)  $\Rightarrow$  (b): It is obvious.

(b)  $\Rightarrow$  (c): By 3.22, we have

$$\dim V = \dim \text{null}T + \dim \text{range}T. \quad (5)$$

Note that  $V = \text{null}T + \text{range}T$  and 2.43, we have

$$\dim V = \dim \text{null}T + \dim \text{range}T - \dim(\text{null}T \cap \text{range}T). \quad (6)$$

By (5) and (6), we have  $\dim(\text{null}T \cap \text{range}T) = 0$ . Hence  $\text{null}T \cap \text{range}T = \{0\}$ .

(c)  $\Rightarrow$  (a): Again by 2.43 and 3.22, we have

$$\dim(\text{null}T + \text{range}T) = \dim \text{null}T + \dim \text{range}T - \dim(\text{null}T \cap \text{range}T).$$

and

$$\dim V = \dim \text{null}T + \dim \text{range}T.$$

As  $\dim(\text{null}T \cap \text{range}T) = 0$ , it follows that

$$\dim(\text{null}T + \text{range}T) = \dim V.$$

Hence  $\text{null}T + \text{range}T = V$ , thus  $\text{null}T \oplus \text{range}T = V$  since  $\text{null}T \cap \text{range}T = \{0\}$ .

5. Solution: If  $T$  is diagonalizable, so is  $T - \lambda I$ . Hence by problem 1, we have

$$V = \text{null}(T - \lambda I) \oplus \text{range}(T - \lambda I)$$

for every  $\lambda \in \mathbb{C}$ .

**Lemma 1:** Let  $U, W, S$  be subspaces of  $V$ , if  $V = U \oplus W$  and  $U \subset S$ , then  $S = U \oplus (W \cap S)$ .

**Proof of Lemma 1:** For any  $s \in S$ ,  $s$  can be written as  $u + w$ , where  $u \in U$  and  $w \in W$ , since  $V = U \oplus W$ . As  $U \subset S$ , it follows that  $u \in S$ . Hence  $w = s - u \in S$ , namely  $w \in S \cap W$ . This implies  $S = U + (W \cap S)$ . Note that  $U \cap W = \{0\}$ , we have  $S = U \oplus (W \cap S)$ .

**Lemma 2:** For  $a, b \in \mathbb{C}$  such that  $a \neq b$ , we have  $\text{null}(T - abI) \subset \text{range}(T - biI)$ .

**Proof of Lemma 2:** For any  $v \in \text{null}(T - abI)$ , we have  $Tv = av$ . Hence

$$(T - biI)\left(\frac{1}{a - b}v\right) = v,$$

namely  $v \in \text{range}(T - biI)$ . Thus  $\text{null}(T - abI) \subset \text{range}(T - biI)$ .

Proof of Problem: Conversely, since  $V$  is finite-dimensional,  $T$  has only finitely many eigenvalues. Suppose  $\lambda_1, \dots, \lambda_m$  are all distinct eigenvalues of  $T$ . Note that we have

$$V = \text{null}(T - \lambda_1 I) \oplus \text{range}(T - \lambda_1 I)$$

and  $\text{null}(T - \lambda_2 I) \subset \text{range}(T - \lambda_1 I)$  (by Lemma 2), we have

$$\text{range}(T - \lambda_1 I) = \text{null}(T - \lambda_2 I) \oplus \text{range}(T - \lambda_1 I) \cap \text{range}(T - \lambda_2 I)$$

by Lemma 1. Similarly, we also have

$$\text{null}(T - \lambda_3 I) \subset \text{range}(T - \lambda_1 I) \cap \text{range}(T - \lambda_2 I).$$

By using Lemma 1 and Lemma 2 inductively, we have

$$V = \text{null}(T - \lambda_1 I) \oplus \dots \oplus \text{null}(T - \lambda_m I) \oplus (\text{range}(T - \lambda_1 I) \cap \dots \cap \text{range}(T - \lambda_m I)).$$

If  $\text{range}(T - \lambda_1 I) \cap \dots \cap \text{range}(T - \lambda_m I) = \{0\}$ , we showed

$$V = \text{null}(T - \lambda_1 I) \oplus \dots \oplus \text{null}(T - \lambda_m I).$$

Hence  $T$  is diagonalizable. If  $\Gamma = \text{range}(T - \lambda_1 I) \cap \dots \cap \text{range}(T - \lambda_m I) \neq \{0\}$ , then note that  $(T - \lambda_i I)T = T(T - \lambda_i I)$ , we have  $\text{range}(T - \lambda_i I)$  is invariant under  $T$  for all  $i = 1, \dots, m$  by Problem 3 of Exercises 5A. Hence  $\Gamma$  is invariant under  $T$  by Problem 5 of Exercises 5A. Consider  $T|_{\Gamma}$ , it has an eigenvalue  $\lambda \in \mathbb{C}$  with a corresponding eigenvector  $a$  by 5.21. Hence  $\lambda$  is also an eigenvalue of  $T$ . Suppose  $\lambda = \mu$  for some  $i \in \{1, \dots, m\}$ . Then  $\mu \in \text{null}(T - \lambda_i I)$ ,  $\mu \in \Gamma$  and  $\mu \neq 0$ , this contradicts with

$$V = \text{null}(T - \lambda_1 I) \oplus \dots \oplus \text{null}(T - \lambda_m I) \oplus (\text{range}(T - \lambda_1 I) \cap \dots \cap \text{range}(T - \lambda_m I)).$$

Hence  $\Gamma = 0$  and therefore  $T$  is diagonalizable.

6. Solution: Since  $T \in \mathcal{L}(V)$  has dim  $V$  distinct eigenvalues, then  $T$  is diagonalizable by 5.44. Let  $v_1, \dots, v_{\dim V}$  be the basis of  $V$  defined in the proof of 5.44, then  $v_1, \dots, v_{\dim V}$  are eigenvectors of  $T$ . As  $S \in \mathcal{L}(V)$  has the same eigenvalues as  $T$ ,  $v_1, \dots, v_{\dim V}$  are eigenvectors of  $S$ . Hence there exists  $\lambda_1, \dots, \lambda_{\dim V} \in \mathbb{F}$  and  $\theta_1, \dots, \theta_{\dim V} \in \mathbb{F}$  such that

$$Tv_i = \lambda_i v_i \text{ and } Sv_i = \theta_i v_i, \quad i = 1, \dots, \dim V.$$

Hence we have

$$STv_i = S(\theta_i v_i) = \lambda_i S v_i = \theta_i \lambda_i v_i, \quad i = 1, \dots, \dim V$$

and

$$TSv_i = T(\theta_i v_i) = \theta_i T v_i = \theta_i \lambda_i v_i, \quad i = 1, \dots, \dim V.$$

It follows that  $STv_i = TSv_i$  for  $i = 1, \dots, \dim V$ . Note that  $v_1, \dots, v_{\dim V}$  is a basis of  $V$ , we deduce that  $ST = TS$ .

8. Solution: Suppose  $T - 2I$  and  $T - 6I$  are not invertible, then 2 and 6 are eigenvalues of  $T$ . Note that  $\lambda$  is an eigenvalue of  $T$  if and only if  $E(\lambda, T) \neq \{0\}$ . Hence  $\dim E(2, T) \geq 1$  and  $\dim E(6, T) \geq 1$ . By 5.38, we have

$$4 + 1 + 1 \leq \dim E(8, T) + \dim E(2, T) + \dim E(6, T) \leq \dim(\mathbb{F}^5) = 5.$$

This is impossible. Hence  $T - 2I$  or  $T - 6I$  is invertible.

9. Solution: For every  $\lambda \in \mathbb{F}$  with  $\lambda \neq 0$ , let  $v \in E(\lambda, T)$ . Then we have  $Tv = \lambda v$ . Note that  $T$  is invertible and  $\lambda \neq 0$ , it follows that  $\frac{1}{\lambda}v = T^{-1}v$ . Hence  $v \in E(\frac{1}{\lambda}, T^{-1})$ , we conclude  $E(\lambda, T) = E(\frac{1}{\lambda}, T^{-1})$ . By symmetry, we also have  $E(\frac{1}{\lambda}, T^{-1}) \subset E(\lambda, T)$ . To sum up, we deduce  $E(\lambda, T) = E(\frac{1}{\lambda}, T^{-1})$  for every  $\lambda \in \mathbb{F}$  with  $\lambda \neq 0$ .

12. Solution: Note that  $R$  and  $T$  has three eigenvalues and  $\dim(\mathbb{F}^3) = 3$ . By 5.44, we have  $R$  and  $T$  are diagonalizable. Hence there exist bases  $e_1, e_2, e_3$  and  $\xi_1, \xi_2, \xi_3$  such that

$$Te_1 = 2e_1, Te_2 = 6e_2, Te_3 = 7e_3$$

and

$$R\xi_1 = 2\xi_1, R\xi_2 = 6\xi_2, R\xi_3 = 7\xi_3.$$

Define  $S \in \mathcal{L}(\mathbb{F}^3)$  by

$$S\xi_i = e_i, \quad i = 1, 2, 3.$$

Then we have  $S$  is invertible and  $S^{-1}e_i = \xi_i$ . Moreover,

$$S^{-1}TS\xi_1 = S^{-1}Te_1 = S^{-1}(2e_1) = 2\xi_1 = R\xi_1.$$

Similarly  $S^{-1}TS\xi_2 = R\xi_2$  and  $S^{-1}TS\xi_3 = R\xi_3$ . Hence  $R = S^{-1}TS$  as they coincide in the basis  $\xi_1, \xi_2, \xi_3$ .

13. Solution: Let  $e_1, e_2, e_3, e_4$  be a basis of  $\mathbb{F}^4$ , define  $R, T \in \mathcal{L}(\mathbb{F}^4)$  by

$$Re_1 = 2e_1, Re_2 = 2e_2, Re_3 = 6e_3, Re_4 = 7e_4$$

and

$$Te_1 = 2e_1, Te_2 = 2e_2 + e_1, Te_3 = 6e_3, Te_4 = 7e_4.$$

Then  $R$  is diagonalizable. In fact  $T$  is not diagonalizable since  $\dim E(2, T) = 1$ ,  $\dim(6, T) = 1$  and  $\dim(7, T) = 1$  imply

$$\dim(2, T) + \dim(6, T) + \dim(7, T) < \dim(\mathbb{F}^4).$$

If there exist an invertible operator  $S \in \mathcal{L}(\mathbb{F}^4)$  such that  $R = S^{-1}TS \iff SRS^{-1} = T$ , then  $Se_1, Se_2, Se_3, Se_4$  is a basis of  $\mathbb{F}^4$ . Moreover, note that

$$T(Se_1) = SRS^{-1}(Se_1) = SRe_1 = S(2e_1) = 2Se_1.$$

Similarly,

$$T(Se_2) = 2Se_2, T(Se_3) = 6Se_3, T(Se_4) = 7Se_4.$$

This implies  $T$  is diagonalizable. Thus we get a contradiction. Hence there does not exist an invertible operator  $S \in \mathcal{L}(\mathbb{F}^4)$  such that  $R = S^{-1}TS$ .

14. Solution: Let  $T \in \mathcal{L}(\mathbb{C})$  defined by

$$Te_1 = 6e_1, Te_2 = 6e_2 + e_1, Te_3 = 7e_3,$$

where  $e_1, e_2, e_3$  is a basis of  $\mathbb{C}^3$ . Then for any nonzero  $a \in \mathbb{C}^3$ , write  $a$  by  $k_1e_1 + k_2e_2 + k_3e_3$ , if there exists <math

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## Chapter 6 Exercise A

Posted on June 1, 2016 by Linearity

2. Solution: It does not satisfy definiteness. For the function takes  $(0, 1, 0), (0, 1, 0)$  to 0, but  $(0, 1, 0) \neq 0$ .

4. Solution: (a) Note that  $V$  is a real inner product space, we have  $\langle u, v \rangle = \langle v, u \rangle$ . Hence

$$\begin{aligned} \langle u + v, u - v \rangle &= \langle u, u \rangle - \langle u, v \rangle + \langle v, u \rangle - \langle v, v \rangle \\ &= \langle u, u \rangle - \langle v, v \rangle = \|u\|^2 - \|v\|^2. \end{aligned}$$

(b) By (a).

(c) See the picture in Page 174 and note  $\|u\| = \|v\|$  for a rhombus, then use (b).

5. Solution: Suppose  $V$  is finite-dimensional here (I am not sure whether it is true for infinite-dimensional case). Hence we just need to show  $T - \sqrt{2}I$  is injective. Suppose  $u \in \text{null}(T - \sqrt{2}I)$ , then

$$Tu = \sqrt{2}u \implies \|Tu\| = \sqrt{2}\|u\|.$$

As  $\|Tv\| \leq \|v\|$  for every  $v \in V$ , it follows that  $\|u\| = 0$ , hence  $u = 0$ . That implies  $T - \sqrt{2}I$  is injective.

6. Solution: If  $\langle u, v \rangle = 0$ , then

$$\|u + av\|^2 = \|u\|^2 + \|av\|^2 \geq \|u\|^2$$

by 6.13.

If  $\|u\| \leq \|u + av\|$  for all  $a \in \mathbb{F}$ , this implies

$$\|u + av\|^2 - \|u\| = |a|^2\|v\| + a\langle v, u \rangle + \bar{a}\langle u, v \rangle \geq 0.$$

If  $v = 0$ , then  $\langle u, v \rangle = 0$ . If  $v \neq 0$ , plug  $a = -\langle u, v \rangle/\|v\|^2$  into the previous equation, we obtain

$$-\frac{|\langle u, v \rangle|^2}{\|v\|^2} \geq 0.$$

Hence  $\langle u, v \rangle = 0$ .

7. Solution: If  $\|av + bu\| = \|au + bv\|$  for all  $a, b \in \mathbb{R}$ , by setting  $a = 1$  and  $b = 0$ , we have  $\|u\| = \|v\|$ .

Conversely, suppose  $\|u\| = \|v\|$ . For all  $a, b \in \mathbb{R}$ , we have

$$\begin{aligned} \|av + bu\|^2 &= \langle av + bu, av + bu \rangle \\ &= a^2\|u\|^2 + ab(\langle u, v \rangle + \langle v, u \rangle) + b^2\|v\|^2 \end{aligned}$$

and

$$\begin{aligned} \|au + bv\|^2 &= \langle au + bv, au + bv \rangle \\ &= a^2\|v\|^2 + ab(\langle u, v \rangle + \langle v, u \rangle) + b^2\|u\|^2. \end{aligned}$$

Hence if  $\|v\| = \|u\|$ , we have

$$a^2\|u\|^2 + b^2\|v\|^2 = a^2\|v\|^2 + b^2\|u\|^2.$$

Therefore  $\|av + bu\|^2 = \|au + bv\|^2$ , i.e.  $\|av + bu\| = \|au + bv\|$ .

8. Solution: Consider  $\|u - v\|^2$ , we have

$$\begin{aligned} \|u - v\|^2 &= \langle u - v, u - v \rangle = \langle u, u \rangle - \langle u, v \rangle - \langle v, u \rangle + \langle v, v \rangle \\ &= \|u\|^2 - \langle u, v \rangle - \langle u, v \rangle + \|v\|^2 = 0, \end{aligned}$$

hence  $u - v = 0$  by definiteness. That is  $u = v$ .

9. Solution: By 6.15, we have  $|\langle u, v \rangle| \leq \|u\|\|v\|$ . Since  $\|u\| \leq$  and  $\|v\| \leq$ , we also have

$$0 \leq 1 - \|u\|\|v\| \leq 1 - |\langle u, v \rangle|.$$

To show  $\sqrt{1 - \|u\|^2}\sqrt{1 - \|v\|^2} \leq 1 - |\langle u, v \rangle|$ , it suffices to show that

$$\sqrt{1 - \|u\|^2}\sqrt{1 - \|v\|^2} \leq 1 - \|u\|\|v\|.$$

Since  $0 \leq 1 - \|u\|\|v\|$ , by squaring both sides, we only need to show

$$(1 - \|u\|^2)(1 - \|v\|^2) \leq (1 - \|u\|\|v\|)^2,$$

which amounts to show

$$(\|u\| - \|v\|)^2 \geq 0.$$

This completes the proof.

10. Solution: Let  $v = (x, y)$  and  $u = z(1, 3)$ , where  $x, y, z \in \mathbb{R}$ . Note that  $v$  is orthogonal to  $(1, 3)$ , we have

$$(x, y) \cdot (1, 3) = x + 3y = 0.$$

It follows that  $v = x(-3, 1)$ . Since  $(1, 2) = u + v$ , we obtain

$$x(-3, 1) + z(1, 3) = (z - 3x, x + 3z) = (1, 2).$$

We can solve this equation and get  $x = -1/10$  and  $z = 7/10$ . Hence  $u = (7/10, 21/10)$  and  $v = (3/10, -1/10)$ .

11. Solution: Consider Example 6.17 (a), we have

$$\begin{aligned} (a + b + c + d)\left(\frac{1}{a} + \frac{1}{b} + \frac{1}{c} + \frac{1}{d}\right) \\ \geq \left(\sqrt{a \times \frac{1}{a}} + \sqrt{b \times \frac{1}{b}} + \sqrt{c \times \frac{1}{c}} + \sqrt{d \times \frac{1}{d}}\right)^2 \\ = 4^2 = 16. \end{aligned}$$

12. Solution: In Example 6.17 a), let  $y_i = 1$ .

15. Solution: Consider Example 6.17 (a). Let  $x_j = \sqrt{|a_j|}$  and  $y_j = \sqrt{\frac{|b_j|}{j}}$  and note that

$$|a_1b_1| + \dots + |a_nb_n| \leq \sum_{j=1}^n |a_jb_j|.$$

16. Solution: Note that (....After I finished this, I found that is exactly 6.22...)

$$\|u + v\|^2 + \|u - v\|^2 = \langle u + v, u + v \rangle + \langle u - v, u - v \rangle$$

$$= \langle u, u \rangle + \langle u, v \rangle + \langle v, u \rangle + \langle v, v \rangle - \langle u, u \rangle - \langle u, v \rangle - \langle v, u \rangle + \langle v, v \rangle$$

$$= 2\langle u, u \rangle + 2\langle v, v \rangle = 2\|u\|^2 + 2\|v\|^2,$$

it follows that

$$2 \times 3^2 + 2\|v\|^2 = 4^2 + 6^2.$$

Hence  $\|v\| = \sqrt{17}$ .

17. Solution: By 6.22, if there is such an inner product on  $\mathbb{R}^2$ , then we must have

$$\|\alpha - \beta\|^2 + \|\alpha + \beta\|^2 = 2(\|\alpha\|^2 + \|\beta\|^2).$$

Let  $\alpha = (1, 0)$  and  $\beta = (0, 1)$ , we will get a counterexample.

19. Solution: See it here [Exercise 1](#) or See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 6](#).

20. Solution: See it here [Exercise 1](#) or See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 7](#).

21. Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 8](#).

22. Solution: It follows directly from Problem 12.

24. Solution: Positivity:  $\langle u, u \rangle_1 = \langle Su, Su \rangle \geq 0$  for all  $u \in V$ .

Definiteness:  $0 = \langle u, u \rangle_1 = \langle Su, Su \rangle$ , hence  $Su = 0$ . As  $S$  is injective, it follows that  $u = 0$ .

Additivity in first slot:

$$\langle u + v, w \rangle_1 = \langle S(u + v), Sw \rangle = \langle Su + Sv, Sw \rangle$$

$$= \langle Su, Sw \rangle + \langle Sv, Sw \rangle = \langle u, w \rangle_1 + \langle v, w \rangle_1.$$

Homogeneity in first slot:

$$\langle \lambda u, w \rangle_1 = \langle S(\lambda u), Sw \rangle = \langle \lambda Su, Sw \rangle$$

$$= \lambda \langle Su, Sw \rangle = \lambda \langle u, w \rangle_1.$$

Conjugate symmetry:  $\langle u, v \rangle_1 = \langle Su, Sv \rangle = \overline{\langle Sv, Su \rangle} = \overline{\langle v, u \rangle_1}$ .

25. Solution: Note that  $S \in \mathcal{L}(V)$  is not injective, there exists a nonzero  $u \in V$  such that  $Su = 0$ . Now we have  $\langle u, u \rangle_1 = \langle Su, Su \rangle = 0$ , this implies that  $\langle u, v \rangle_1$  do not satisfy definiteness.

27. Solution: Let  $a = (w - u)/2$  and  $b = (w + v)/2$ , by 6.22, we have

$$\|a - b\|^2 + \|a + b\|^2 = 2\|a\|^2 + 2\|b\|^2.$$

Plug  $a = (w - u)/2$  and  $b = (w + v)/2$  into the expression above, we get

$$\left\|w - \frac{1}{2}(u + v)\right\|^2 = \frac{\|w - u\|^2 + \|w - v\|^2}{2} - \frac{\|u - v\|^2}{4}.$$

12. Solution: In Example 6.17 a), let  $y_i = 1$ .

15. Solution: Consider Example 6.17 (a). Let  $x_j = \sqrt{|a_j|}$  and  $y_j = \sqrt{\frac{|b_j|}{j}}$  and note that

$$|a_1b_1| + \dots + |a_nb_n| \leq \sum_{j=1}^n |a_jb_j|.$$

16. Solution: Note that (....After I finished this, I found that is exactly 6.22...)

$$\|u + v\|^2 + \|u - v\|^2 = \langle u + v, u + v \rangle + \langle u - v, u - v \rangle$$

$$= \langle u, u \rangle + \langle u, v \rangle + \langle v, u \rangle + \langle v, v \rangle - \langle u, u \rangle - \langle u, v \rangle - \langle v, u \rangle + \langle v, v \rangle$$

$$= 2\langle u, u \rangle + 2\langle v, v \rangle = 2\|u\|^2 + 2\|v\|^2,$$

it follows that

$$2 \times 3^2 + 2\|v\|^2 = 4^2 + 6^2.$$

Hence  $\|v\| = \sqrt{17}$ .

17. Solution: By 6.22, if there is such an inner product on  $\mathbb{R}^2$ , then we must have

$$\|\alpha - \beta\|^2 + \|\alpha + \beta\|^2 = 2(\|\alpha\|^2 + \|\beta\|^2).$$

Let  $\alpha = (1, 0)$  and  $\beta = (0, 1)$ , we will get a counterexample.

19. Solution: See it here [Exercise 1](#) or See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 6](#).

20. Solution: See it here [Exercise 1](#) or See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 7](#).

21. Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 8](#).

22. Solution: It follows directly from Problem 12.

24. Solution: Positivity:  $\langle u, u \rangle_1 = \langle Su, Su \rangle \geq 0$  for all  $u \in V$ .

Definiteness:  $0 = \langle u, u \rangle_1 = \langle Su, Su \rangle$ , hence  $Su = 0$ . As  $S$  is injective, it follows that  $u = 0$ .

Additivity in first slot:

$$\langle u + v, w \rangle_1 = \langle S(u + v), Sw \rangle = \langle Su + Sv, Sw \rangle$$

$$= \langle Su, Sw \rangle + \langle Sv, Sw \rangle = \langle u, w \rangle_1 + \langle v, w \rangle_1.$$

Homogeneity in first slot:

$$\langle \lambda u, w \rangle_1 = \langle S(\lambda u), Sw \rangle = \langle \lambda Su, Sw \rangle$$

$$= \lambda \langle Su, Sw \rangle = \lambda \langle u, w \rangle_1.$$

Conjugate symmetry:  $\langle u, v \rangle_1 = \langle Su, Sv \rangle = \overline{\langle Sv, Su \rangle} = \overline{\langle v, u \rangle_1}$ .

25. Solution: Note that  $S \in \math$

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## Chapter 6 Exercise B

Posted on June 2, 2016 by Linearity

1. Solution:

(a) One can easily check that each of the four vectors has norm  $\sin^2 \theta + \cos^2 \theta$ , which equals 1. Moreover, we have

$$\begin{aligned} \langle (\cos \theta, \sin \theta), (-\sin \theta, \cos \theta) \rangle &= -\cos \theta \sin \theta + \sin \theta \cos \theta = 0 \\ \langle (\cos \theta, \sin \theta), (\sin \theta, -\cos \theta) \rangle &= \cos \theta \sin \theta - \sin \theta \cos \theta = 0, \end{aligned}$$

which shows that they are orthogonal.

(b) Clearly, for any  $v$  and  $u$  in  $\mathbb{R}^2$  with  $\|v\| = \|u\| = 1$ , we can write  $v = (\cos \theta, \sin \theta)$  and  $u = (\cos \alpha, \sin \alpha)$  for some angles  $\theta$  and  $\alpha$ . If  $v, u$  is an orthonormal basis, then we must have

$$\langle v, u \rangle = \langle (\cos \theta, \sin \theta), (\cos \alpha, \sin \alpha) \rangle = \cos \theta \cos \alpha + \sin \theta \sin \alpha = \cos(\theta - \alpha).$$

One solution is to take choose  $\theta$  and  $\alpha$  such that  $\theta - \alpha = \frac{\pi}{2}$ . Then

$$\begin{aligned} \langle \cos \theta, \sin \theta \rangle &= \langle \cos(\theta + \frac{\pi}{2}), \sin(\theta + \frac{\pi}{2}) \rangle \\ &= \langle \cos \theta \cos \frac{\pi}{2} - \sin \theta \sin \frac{\pi}{2}, \sin \theta \cos \frac{\pi}{2} + \sin \frac{\pi}{2} \cos \theta \rangle \\ &= \langle -\sin \theta, \cos \theta \rangle. \end{aligned}$$

Which shows that  $v, u$  is of the first form given in part (a).

2. Solution: If  $v \in \text{span}(e_1, \dots, e_m)$ , then  $e_1, \dots, e_m$  is an orthonormal basis of  $\text{span}(e_1, \dots, e_m)$  by 6.26. By 6.30, it follows that

$$\|v\|^2 = |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2.$$

If  $\|v\|^2 = |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2$ , we denote

$$\xi = v - (\langle v, e_1 \rangle e_1 + \dots + \langle v, e_m \rangle e_m).$$

It is easily seen that

$$\langle \xi, e_i \rangle = \langle v, e_i \rangle - \langle v, e_i \rangle = 0$$

for  $i = 1, \dots, m$ . This implies

$$\langle \xi, e_1 \rangle e_1 + \dots + \langle v, e_m \rangle e_m = 0.$$

By 6.13, we have

$$\begin{aligned} \|v\|^2 &= \|\xi\|^2 + \|\langle v, e_1 \rangle e_1 + \dots + \langle v, e_m \rangle e_m\|^2 \\ &= \|\xi\|^2 + |\langle v, e_1 \rangle|^2 + \dots + |\langle v, e_m \rangle|^2. \end{aligned}$$

It follows that  $\|\xi\|^2 = 0$ , hence  $\xi = 0$ . Thus  $v = \langle v, e_1 \rangle e_1 + \dots + \langle v, e_m \rangle e_m$ , namely  $v \in \text{span}(e_1, \dots, e_m)$ .

3. Solution: Applying the Gram-Schmidt Procedure to the given basis, we get the following basis

$$(1, 0, 0), \frac{1}{\sqrt{2}}(0, 1, 1), \frac{1}{\sqrt{2}}(0, -1, 1).$$

As in the proof of 6.37, we see that the matrix of  $T$  with respect to this basis is upper triangular.

4. Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 9](#).

5. Solution: Applying the Gram-Schmidt Procedure, we get the following basis

$$1, 2\sqrt{3}(x - \frac{1}{2}), 6\sqrt{5}(x^2 - x + \frac{1}{6}).$$

6. Solution: Let  $D$  denote the differential operator. Note that  $D$  is already upper-triangular with respect to the standard basis of  $P_2\mathbb{R}$ . Therefore, by the same reasoning used in the proof of 6.37,  $\mathcal{M}(D)$  is upper-triangular with respect to the basis found in Exercise 5.

7. Solution: Defining  $\varphi(p) = p(\frac{1}{2})$  and  $\langle p, q \rangle = \int_0^1 p(x)q(x) dx$  and using the formula from 6.43 together with the basis found in Exercise 5, we find that

$$q(x) = -15x^2 + 15x - \frac{3}{2}.$$

8. Solution: Using the orthonormal basis found in Exercise 5 and the formula in 6.43, we get

$$q(x) = \frac{-24}{\pi^2} \left( x - \frac{1}{2} \right).$$

9. Solution: Suppose  $v_1, \dots, v_m$  is a linearly dependent list in  $V$ . Let  $k$  be the smallest integer such that  $v_k \in \text{span}(v_1, \dots, v_{k-1})$ . Then  $v_1, \dots, v_{k-1}$  is linearly independent and we can apply the Gram-Schmidt Procedure to produce an orthonormal list  $e_1, \dots, e_{k-1}$  whose span is the same. Therefore  $v_k \in \text{span}(e_1, \dots, e_{k-1})$  and, by 6.30,

$$v_k = \langle v_k, e_1 \rangle e_1 + \dots + \langle v_k, e_{k-1} \rangle e_{k-1}.$$

But the right hand side is exactly what we subtract from  $v_k$  when calculating  $e_k$ , hence the Gram-Schmidt Procedure cannot continue because we can't divide by 0. If, however, you discard  $v_k$  (and every other vector to which happens the same thing), you end up producing an orthonormal basis whose span equals  $\text{span}(v_1, \dots, v_m)$ .

10. Solution: Just apply the Gram-Schmidt Procedure once on  $v_1, \dots, v_m$  to get the orthonormal basis  $e_1, \dots, e_m$ . Note that, if we switch the order of this list without relabeling the vectors, we still have  $\text{span}(v_1, \dots, v_j) = \text{span}(e_1, \dots, e_j)$  for all  $j \in \{1, \dots, m\}$ , because the vectors themselves remain the same. There  $2^m$  such permutations.

11. Solution: Let  $w \in V$ . Define  $\varphi(v) = \langle v, w \rangle_1$  and  $\psi(v) = \langle v, w \rangle_2$ . Since  $\varphi(v) = 0$  if and only if  $\psi(v) = 0$ , it follows that  $\text{null } \varphi = \text{null } \psi$ . By Theorem 1 in Chapter 3 notes we have

$$\text{span}(\varphi) = (\text{null } \varphi)^0 = (\text{null } \psi)^0 = \text{span}(\psi).$$

Thus  $\varphi = cw$  for some  $c \in \mathbb{F}$ . Hence, for each fixed  $w$  we have  $\langle v, w \rangle_1 = c \langle v, w \rangle_2$  for every  $v \in V$ . Choosing  $v = w$  now implies that  $c$  is real and positive. Fix  $w_1, w_2 \in V$  and let  $c_1, c_2 \in \mathbb{F}$  such that

$$\langle v, w_1 \rangle_1 = c_1 \langle v, w_1 \rangle_2$$

$$\langle v, w_2 \rangle_1 = c_2 \langle v, w_2 \rangle_2.$$

Plugging  $v = w_2$  in the first equation and  $v = w_1$  in the second yields

$$\langle w_2, w_1 \rangle_1 = c_1 \langle w_2, w_1 \rangle_2$$

$$\langle w_1, w_2 \rangle_1 = c_2 \langle w_1, w_2 \rangle_2.$$

Then

$$c_1 \langle w_2, w_1 \rangle_2 = \langle w_2, w_1 \rangle_1 = \overline{\langle w_1, w_2 \rangle_1} = \overline{c_2 \langle w_1, w_2 \rangle_2} = \bar{c}_2 \langle w_2, w_1 \rangle_2.$$

Hence  $c_1 = \bar{c}_2$ . Because both are real, it follows that  $c_1 = c_2$ . Therefore, the constant is the same for all  $v, w \in V$ .

14. Solution: Since  $e_1, \dots, e_n$  is an orthonormal basis of  $V$ , we have  $\dim V = n$ . To show that  $v_1, \dots, v_n$  is a basis of  $V$ , it suffices to show that  $v_1, \dots, v_n$  is linearly independent. We prove it by contradiction.

Suppose  $v_1, \dots, v_n$  is linearly dependent, then there exist  $a_1, \dots, a_n \in \mathbb{F}$  such that  $a_k \neq 0$  for some  $k \in \{1, \dots, n\}$  and

$$\sum_{i=1}^n a_i v_i = 0.$$

On one hand, by 6.25, we have

$$\left\| \sum_{i=1}^n a_i (e_i - v_i) \right\|^2 = \left\| \sum_{i=1}^n a_i e_i \right\|^2 = \sum_{i=1}^n |a_i|^2.$$

On the other hand, we also have

$$\left\| \sum_{i=1}^n a_i (e_i - v_i) \right\|^2 = \left\langle \sum_{i=1}^n a_i (e_i - v_i), \sum_{j=1}^n a_j (e_j - v_j) \right\rangle$$

$$= \left| \sum_{i=1}^n \sum_{j=1}^n \langle a_i (e_i - v_i), a_j (e_j - v_j) \rangle \right|$$

$$\leq \sum_{i=1}^n \sum_{j=1}^n |a_i| |a_j| \langle e_i - v_i, e_j - v_j \rangle$$

$$\stackrel{\text{by 6.15}}{\leq} \sum_{i=1}^n \sum_{j=1}^n |a_i| |a_j| \|e_i - v_i\| \|e_j - v_j\|$$

$$\stackrel{\text{by assumption and } a_k \neq 0}{<} \sum_{i=1}^n \sum_{j=1}^n \frac{1}{n} |a_i| |a_j| \|e_i - v_i\| \|e_j - v_j\|$$

$$\stackrel{\text{by Problem 6.A.12}}{\leq} \sum_{i=1}^n |a_i|^2.$$

Hence we get

$$\sum_{i=1}^n |a_i|^2 < \sum_{i=1}^n |a_i|^2.$$

which is impossible, hence completing the proof.

15. Solution: Suppose there exists  $g$  such that  $\varphi(f) = \langle f, g \rangle$  for all  $f \in C_{\mathbb{R}}[-1, 1]$ . We would like to show a contradiction.

For any positive integer  $n$  and integer  $-n \leq i \leq n-1$ , define

$$f_{n,i}(x) = \begin{cases} 4n^2(x - i/n), & \text{if } x \in [i/n, i/n + 1/(2n)] \\ 4n^2((i+1)/n - x), & \text{if } x \in [i/n + 1/(2n), (i+1)/n] \\ 0, & \text{otherwise,} \end{cases}$$

then  $f_{n,i}(x) \in C_{\mathbb{R}}[-1, 1]$  and  $f_{n,i}(0) = 0$ .

Given any  $c > 0$ , since  $g \in C_{\mathbb{R}}[-1, 1]$ , by the fact that a continuous function on a closed interval is uniformly continuous, there exists  $N$  such that for any  $n \geq N$ , we have

$$|g(x) - g(y)| \leq 1/n. \quad (1)$$

if  $|x - y| \leq 1/n$ .

Note that

$$\int_{-1}^1 f_{n,i}(x) dx = \int_{i/n}^{(i+1)/n} f_{n,i}(x) dx = 1, \quad (2)$$

for any  $y \in [i/n, (i+1)/n]$  we have

$$\left| g(y) - \int_{-1}^1 f_{n,i}(x) g(x) dx \right|$$

$$= \left| \int_{i/n}^{(i+1)/n} f_{n,i}(x) (g(y) - g(x)) dx \right|$$

$$\leq \int_{i/n}^{(i+1)/n} |f_{n,i}(x)| |g(y) - g(x)| dx$$

$$\leq \int_{i/n}^{(i+1)/n} f_{n,i}(x) |g(y) - g(x)| dx$$

$$\stackrel{\text{by (1) and (2)}}{\leq} \int_{i/n}^{(i+1)/n} f_{n,i}(x) dx = \epsilon.$$

On the other hand, we also have

$$0 = f_{n,i}(0) = \varphi(f_{n,i}) = \langle f_{n,i}, g \rangle = \int_{-1}^1 f_{n,i}(x) g(x) dx.$$

Hence we have

$$|g(y)| = |g(y) - f_{n,i}(y)| \leq \epsilon$$

for any  $y \in [i/n, (i+1)/n]$ . Thus  $|g(x)| \leq \epsilon$  by taking all  $-n \leq i \leq n-1$  with  $n \geq N$ .

Since  $\epsilon$  is chosen arbitrarily, we have  $g(x) \equiv 0$ . Hence  $\varphi f \equiv 0$  for all  $f \in C_{\mathbb{R}}[-1, 1]$ , which is impossible.

Therefore the proof is complete.

17. Solution:

(a) For additivity, suppose  $u_1, u_2 \in V$ . Then, for  $v \in V$ , we have

$$(\Phi(u_1 + u_2))(v) = \langle v, u_1 + u_2 \rangle = \langle v, u_1 \rangle + \langle v, u_2 \rangle = (\Phi u_1)(v) + (\Phi u_2)(v).$$

For homogeneity, suppose  $u \in V$  and  $c \in \mathbb{R}$ . Then, for  $v \in V$ , we have

$$(\Phi(cu))(v) = \langle v, cu \rangle = c \langle v, u \rangle = c(\Phi u)(v).$$

(c) This is the same as the second part in the proof of 6.42. Suppose there are  $u_1, u_2 \in V$  such



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Chapter 7 Exercise B →

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## Chapter 7 Exercise A

Posted on July 1, 2016 by Linearity

1. Solution: By definition, we have

$$\begin{aligned}\langle z_1, \dots, z_n \rangle, T^*(w_1, \dots, w_n) &= \langle T(z_1, \dots, z_n), (w_1, \dots, w_n) \rangle \\ &= z_1 w_2 + \dots + z_{n-1} w_n = \langle z_1, \dots, z_n \rangle, (w_2, \dots, w_n, 0).\end{aligned}$$

Therefore  $T^*(w_1, \dots, w_n) = (w_2, \dots, w_n, 0)$  or  $T^*(z_1, \dots, z_n) = (z_2, \dots, z_n, 0)$ .

See also [Linear Algebra Done Right Solution Manual Chapter 6 Problem 27](#).

2. Solution: (This solution works for  $\dim V < \infty$ , I am not sure whether  $V$  is finite dimensional or not) Note that  $(T^*)^* = T$ ; it suffices to show  $\lambda$  is an eigenvalue of  $T$  then  $\bar{\lambda}$  is an eigenvalue of  $T^*$ . Let  $v$  be a eigenvectors of  $T$  corresponding to  $\lambda$ , then  $Tv = \lambda v$ . We have

$$0 = ((T - \bar{\lambda}I)v, w) = (v, (T^* - \bar{\lambda}I)w) \quad (1)$$

for all  $w \in V$ . If  $\bar{\lambda}$  is not an eigenvalue of  $T^*$ , then  $T^* - \bar{\lambda}I$  is surjective by 5.6. It follows that there exists some  $\xi \in V$  such that  $(T^* - \bar{\lambda}I)\xi = v$ . By (1), we have

$$0 = (v, (T^* - \bar{\lambda}I)\xi) = (v, v).$$

But  $v \neq 0$  since  $v$  is a eigenvectors, we get a contradiction. Hence we get the conclusion.

Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 28](#).

3. Solution: Let  $u \in U$  and  $w \in U^\perp$ , then we have

$$\langle Tu, w \rangle = \langle u, T^*w \rangle. \quad (2)$$

If  $U$  is invariant under  $T$ , then  $Tu \in U$  for all  $u \in U$ . Hence for a fixed  $w \in U^\perp$ , we have

$$0 = \langle Tu, w \rangle = \langle u, T^*w \rangle$$

for all  $u \in U$ . This implies  $T^*w \in U^\perp$ . As  $w$  is chosen arbitrarily, we conclude  $U^\perp$  is invariant under  $T^*$ . The other direction is similar.

Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 29](#).

4. Solution: See [Linear Algebra Done Right Solution Manual Chapter 6 Problem 30](#).

5. Solution: We have

$$\begin{aligned}\dim \text{null } T^* &= \dim(\text{range } T)^\perp \\ &= \dim W - \dim \text{range } T \\ &= \dim W + \dim \text{null } T - \dim V\end{aligned}$$

where the first line follows from 7.7(a), the second from 6.50 and the third from 3.22. We also have

$$\begin{aligned}\dim \text{range } T^* &= \dim(\text{null } T)^\perp \\ &= \dim V - \dim \text{null } T \\ &= \dim \text{range } T\end{aligned}$$

where the first line follows from 7.7(b), the second from 6.50 and the third from 3.22.

6. Solution: (a) If  $T$  were self-adjoint, we would have

$$\langle Tp, q \rangle = \langle p, T^*q \rangle = \langle p, Tq \rangle.$$

However, let  $p(x) = a_0 + a_1x + a_2x^2$  and  $q(x) = b_0 + b_1x + b_2x^2$ . We have

$$\begin{aligned}\langle Tp, q \rangle &= \langle a_1x, q \rangle \\ &= a_1 \int_0^1 b_0 x + b_1 x^2 + b_2 x^3 dx \\ &= a_1 \left( \frac{b_0}{2} x^2 + \frac{b_1}{3} x^3 + \frac{b_2}{4} x^4 \right) \Big|_0^1 \\ &= a_1 \left( \frac{b_0}{2} + \frac{b_1}{3} + \frac{b_2}{4} \right).\end{aligned}$$

Similarly

$$\langle p, Tq \rangle = \langle p, b_1x \rangle = b_1 \left( \frac{a_0}{2} + \frac{a_1}{3} + \frac{a_2}{4} \right).$$

Thus, taking  $a_1 = 0$  and  $b_1, a_0, a_2 > 0$  clearly shows  $\langle Tp, q \rangle \neq \langle p, Tq \rangle$ .

(b) 7.10 requires the chosen basis to be orthonormal.

7. Solution: We have  $(ST)^* = T^*S^*$  by 7.6(e). Hence  $ST$  is self-adjoint if and only if

$$T^*S^* = ST.$$

Note that  $S, T \in \mathcal{L}(V)$  are self-adjoint, we have  $S^* = S$  and  $T^* = T$ . Therefore  $ST$  is self-adjoint if and only if  $T^*S^* = ST$ , which is equivalent to  $ST = T^*$ .

8. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 3\(a\)](#).

9. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 3\(b\)](#).

10. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 5](#).

11. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 4](#).

12. Solution: Let  $u$  be a unit eigenvector (i.e.  $\|u\| = 1$ ) of  $T$  corresponding to eigenvalue 3, then  $Tu = 3u$ . Let  $w$  be a unit eigenvector (i.e.  $\|w\| = 1$ ) of  $T$  corresponding to eigenvalue 4, then  $Tw = 4w$ . By 7.22, we have  $\langle u, w \rangle = 0$ . Let  $v = au + bw$ , then it follows from 6.25 that

$$\|v\|^2 = \|au + bw\|^2 = |a|^2 + |b|^2$$

and

$$\|Tv\|^2 = \|Tau + Tw\|^2 = \|3au + 4bw\|^2 = 9|a|^2 + 16|b|^2.$$

Hence we need to choose  $a$  and  $b$  such that

$$|a|^2 + |b|^2 = 2, \quad 9|a|^2 + 16|b|^2 = 25.$$

A simple solution is  $a = 1$  and  $b = 1$ . Hence  $v = u + w$  satisfies the requirement.

13. Solution: Define  $T \in L(C^4)$  by

$$T(z_1, z_2, z_3, z_4) = (z_4, z_1, z_2, z_3).$$

We have

$$\begin{aligned}\langle (z_1, z_2, z_3, z_4), T^*(x_1, x_2, x_3, x_4) \rangle &= \langle T(z_1, z_2, z_3, z_4), (x_1, x_2, x_3, x_4) \rangle \\ &= \langle (z_4, z_1, z_2, z_3), (x_1, x_2, x_3, x_4) \rangle \\ &= z_4 x_1 + z_1 x_2 + z_2 x_3 + z_3 x_4 \\ &= \langle (z_1, z_2, z_3, z_4), (x_2, x_3, x_4, x_1) \rangle.\end{aligned}$$

Thus  $T^*(z_1, z_2, z_3, z_4) = (z_2, z_3, z_4, z_1)$ . Note that  $T$  is normal ( $T^*T$  and  $TT^*$  equal the identity), however  $T \neq T^*$ .

14. Solution: Since  $v$  and  $w$  are eigenvectors corresponding to distinct eigenvalues, by 7.22 they are orthogonal. Thus

$$\begin{aligned}\|T(v + w)\|^2 &= \|Tv + Tw\|^2 \\ &= ||3v + 4w||^2 \\ &= ||3v||^2 + ||4w||^2 \\ &= 9||v||^2 + 16||w||^2 \\ &= 100,\end{aligned}$$

where the third line follows from the Pythagorean Theorem.

15. Solution: Let  $w_1, w_2 \in V$ . We have

$$\begin{aligned}\langle w_1, T^*w_2 \rangle &= \langle Tw_1, w_2 \rangle \\ &= \langle \langle w_1, u \rangle x, w_2 \rangle \\ &= \langle w_1, \langle x, w_2 \rangle u \rangle \\ &= \langle w_1, \langle w_2, x \rangle u \rangle \\ &= \langle w_1, \langle w_2, \langle x, u \rangle \rangle u \rangle\end{aligned}$$

Hence  $T^*v = \langle v, x \rangle u$ .

(a) Suppose  $T$  is self-adjoint. Then

$$\langle v, u \rangle x - \langle v, x \rangle u = Tv - T^*v = 0,$$

for all  $v \in V$ . We can assume  $u$  and  $x$  are non-zero (otherwise there is nothing to prove). Taking  $v = u$  forces  $\langle v, u \rangle \neq 0$ , showing that  $x$  and  $u$  are linearly dependent.

Conversely, suppose  $x$  and  $u$  are linearly dependent. We can assume  $x$  and  $u$  are non-zero, otherwise  $T$  would equal 0, which already is self-adjoint. Then  $u = cx$ , for some non-zero  $c \in \mathbb{R}$ . Thus

$$\begin{aligned}Tv &= \langle v, u \rangle x \\ &= \langle v, cx \rangle \frac{1}{c} u \\ &= \langle v, x \rangle u \\ &= T^*v.\end{aligned}$$

Therefore  $T = T^*$ .

(b) Again, we can assume  $u$  and  $x$  are both non-zero in both directions of the proof.

We have

$$\begin{aligned}\langle (v, u)x, x \rangle u &= T^*((v, u)x) \\ &= T^*Tv \\ &= TT^*v \\ &= T(\langle v, x \rangle u) \\ &= \langle (v, x)u, x \rangle u.\end{aligned}$$

Taking  $v = u$  ensures  $\langle (v, u)x, x \rangle \neq 0$ , showing that  $u$  and  $x$  are linearly dependent.

Conversely, suppose  $x$  and  $u$  are linearly dependent. Then  $u = cx$  for some non-zero  $c \in \mathbb{F}$ . Then

$$\begin{aligned}TT^*v &= T(T^*v) \\ &= T(Tv) \\ &= T^*v \\ &= \langle (v, x)u, x \rangle u \\ &= \langle (v, x)u, cx \rangle u \\ &= c \langle (v, x)u, x \rangle u \\ &= cT^*v \\ &= cTv \\ &= T(cv) \\ &= T^*(cv) \\ &= T^*T^*v \\ &= T^*v.\end{aligned}$$

Hence  $TT^* = T^*T$ .

16. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 6](#).

17. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 7](#).

18. Solution: We give a counterexample. Let  $V = \mathbb{R}^2$  and  $T$  defined by

$$Te_1 = e_1 - e_2, Te_2 = e_1 - e_2$$

where  $e_1, e_2$  is the standard basis of  $\mathbb{R}^2$ . Its matrix with respect to the same basis is

$$\begin{pmatrix} 1 & -1 \\ 1 & -1 \end{pmatrix}.$$

Taking the transpose, we see that  $T^*$  is defined by

$$T^*e_1 = e_1 - e_2, T^*e_2 = e_1 - e_2$$

Note that  $\|Te_1\| = \|T^*e_1\|$  and  $\|Te_2\| = \|T^*e_2\|$ . However  $\mathcal{M}(T)\mathcal{M}(T^*) \neq \mathcal{M}(T)\mathcal{M}(T^*)$ , thus  $T$  is not normal.

19. Solution: We saw in Exercise 16 that  $\text{null } T = \text{null } T^*$  (for  $T$  normal). Thus  $(z_1, z_2, z_3) \in \text{null } T$  and we have

$$\begin{aligned}\langle (z_1, z_2, z_3), T^*(x_1, x_2, x_3, x_4) \rangle &= \langle T(z_1, z_2, z_3), (x_1, x_2, x_3, x_4) \rangle \\ &= \langle (z_1, z_2, z_3), (x_1, x_2, x_3, x_4) \rangle \\ &= z_1 x_1 + z_2 x_2 + z_3 x_3 + z_4 x_4 \\ &= \langle (z_1, z_2, z_3, z_4), (x_1, x_2, x_3, x_4) \rangle.\end{aligned}$$

Thus  $T^*(z_1, z_2, z_3, z_4) = (z_1, z_2, z_3, z_4)$ . Note that  $T$  is normal ( $T^*T$  and  $TT^*$  equal the identity), however  $T \neq T^*$ .

20. Solution: Let  $v \in V$  and  $w \in W$ . Then

$$\begin{aligned}\langle (\Phi_V * T^*)(w)(v), v \rangle &= \langle \Phi_W(T^*w)(v), v \rangle \\ &= \langle v, T^*w \rangle \\ &= \langle T(v), w \rangle.\end{aligned}$$

On the other hand, we have

$$\begin{aligned}\langle (\Phi_V * \Phi_W)(w)(v), v \rangle &= \langle \Phi_W(\Phi_V(w))(v), v \rangle \\ &= \langle \Phi_W(w)(v), v \rangle \\ &= \langle w, v \rangle.\end{aligned}$$

Therefore  $\Phi_V * T^* = \Phi_V * \Phi_W$ .

21. Solution:

(a) Let  $e_j = \frac{\cos jx}{\sqrt{2\pi}}$  and  $f_j = \frac{\sin jx}{\sqrt{2\pi}}$ . By Exercise 4 in section 6B,  $\frac{1}{\sqrt{2\pi}}, e_1, \dots, e_n, f_1, \dots, f_n$  is an orthonormal basis of  $V$ . Note that  $Df_j = -jf_j$  and  $Df_j = jf_j$ . Then, for any  $v, w \in V$ , we have

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## Chapter 7 Exercise B

Posted on July 2, 2016 by Linearity

1. Solution: It is true. Consider the standard orthonormal basis  $e_1, e_2, e_3$  of  $\mathbb{R}^3$ .

Define  $T \in \mathcal{L}(\mathbb{R}^3)$  by the rule:

$$Te_1 = e_1, \quad Te_2 = 2e_2 + e_1, \quad Te_3 = 3e_3.$$

Since we have

$$\langle Te_1, e_2 \rangle = \langle e_1, e_2 \rangle = 0,$$

$$\langle e_1, Te_2 \rangle = \langle e_1, e_1 + 2e_2 \rangle = 1,$$

it follows that  $T$  is not self-adjoint.

On the other hand, it is easy to see that  $e_1, e_2 + e_1, e_3$  is a basis consisting eigenvectors of  $T$ .

*Actually,  $T$  is also not normal. Indeed, one can get  $T^*e_1 = e_1 + e_2$ , then*

$$\|Te_1\| = 1, \quad \|T^*e_1\| = \|e_1 + e_2\| = 2.$$

*Hence by 7.20,  $T$  is not normal.*

2. Solution: Since  $T$  is self-adjoint, it follows from 7.23 that there exists an orthonormal basis  $e_1, \dots, e_n$  of  $V$ . Note that 2 and 3 are the only eigenvalues of  $T$ , hence for any given  $i \in \{1, \dots, n\}$  we have

$$(T - 2I)e_i = 0 \quad \text{or} \quad (T - 3I)e_i = 0.$$

Note that

$$T^2 - 5T + 6I = (T - 2I)(T - 3I) = (T - 3I)(T - 2I),$$

one has

$$(T^2 - 5T + 6I)e_i = (T - 2I)(T - 3I)e_i = (T - 3I)(T - 2I)e_i.$$

Because  $(T - 2I)e_i = 0$  or  $(T - 3I)e_i = 0$  for any given  $i \in \{1, \dots, n\}$ , we have

$$(T^2 - 5T + 6I)e_i = 0$$

for any given  $i \in \{1, \dots, n\}$ . Therefore  $T^2 - 5T + 6I = 0$ .

3. Solution: Let  $e_1, e_2, e_3$  be the standard basis of  $\mathbb{C}^3$ , define  $T \in \mathcal{L}(\mathbb{C}^3)$  by the rule:

$$Te_1 = 2e_1, \quad Te_2 = 2e_2 + e_1, \quad Te_3 = 3e_3.$$

The matrix of  $T$  with respect to the basis  $e_1, e_2, e_3$  is

$$\begin{bmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{bmatrix}.$$

This is an upper-triangular matrix. By 5.32, the eigenvalues of  $T$  are 2 and 3.

On the other hand,

$$(T^2 - 5T + 6I)e_2 = (T - 3I)(T - 2I)e_2 = (T - 3I)e_1 = -e_1 \neq 0.$$

Hence  $T^2 - 5T + 6I \neq 0$ .

4. Solution: Suppose  $T$  is normal, by 7.22 we have all pairs of eigenvectors corresponding to distinct eigenvalues of  $T$  are orthogonal. By 7.24 and 5.41, we have

$$V = E(\lambda_1, T) \oplus \cdots \oplus E(\lambda_m, T),$$

where  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ .

Suppose all pairs of eigenvectors corresponding to distinct eigenvalues of  $T$  are orthogonal and

$$V = E(\lambda_1, T) \oplus \cdots \oplus E(\lambda_m, T),$$

where  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ , then  $V$  has an orthonormal basis consisting of eigenvectors of  $T$ . In fact, this basis can be chosen as the union of orthonormal basis of  $E(\lambda_i, T)$ ,  $i = 1, \dots, m$ . Again by 7.24, we have  $T$  is normal.

5. Solution: Suppose  $T$  is self-adjoint,  $v$  and  $u$  are eigenvectors of  $T$  corresponding to eigenvalues  $\lambda$  and  $\xi$  respectively, where  $\lambda \neq \xi$ . Then  $T$  is self-adjoint

$$\langle Tv, u \rangle = \langle v, Tu \rangle,$$

hence

$$\lambda \langle v, u \rangle = \xi \langle v, u \rangle.$$

This implies  $\langle v, u \rangle = 0$  since  $\lambda \neq \xi$ . By 7.29 and 5.41, we have

$$V = E(\lambda_1, T) \oplus \cdots \oplus E(\lambda_m, T),$$

where  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ .

Suppose all pairs of eigenvectors corresponding to distinct eigenvalues of  $T$  are orthogonal and

$$V = E(\lambda_1, T) \oplus \cdots \oplus E(\lambda_m, T),$$

where  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ , then  $V$  has an orthonormal basis consisting of eigenvectors of  $T$ . In fact, this basis can be chosen as the union of orthonormal basis of  $E(\lambda_i, T)$ ,  $i = 1, \dots, m$ . Again by 7.29, we have  $T$  is self-adjoint.

6. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 9](#).

7. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 10](#).

8. Solution: Consider  $T \in \mathcal{L}(\mathbb{C}^3)$ . Let  $e_1 = (1, 0, 0)$ ,  $e_2 = (0, 1, 0)$  and  $e_3 = (0, 0, 1)$ . Define  $T$  such that

$$Te_1 = 0, \quad Te_2 = e_1, \quad Te_3 = e_2.$$

Then we have  $T^3 e_1 = 0$ ,  $T^3 e_2 = T^2 e_1 = 0$  and  $T^3 e_3 = T^2 e_2 = Te_1 = 0$ , i.e.  $T^3 = 0$ . It follows that  $T^9 = T^8$ . On the other hand, we have  $T^2 e_2 = Te_1 = 0$  and  $Te_2 = e_1$ , it follows that  $T^2 \neq T$ .

9. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 11](#).

10. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 12](#).

11. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 13](#).

12. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 14](#).

14. Solution: See [Linear Algebra Done Right Solution Manual Chapter 7 Problem 15](#).

15. Solution: Let the entry be  $x$ . Then by definition we have

$$\begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & x \end{pmatrix} \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & x \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & x \end{pmatrix} \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & x \end{pmatrix}.$$

Consider the (1,3) entry of the two products, we get  $x = 1$ .



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Marcel Ackermann · 2 years ago

7B1 True. Example: Let  $(1,0,0), (1,1,0), (1,1,1)$  be a basis of  $\mathbb{R}^3$ . Let  $T$  be defined by  $T(1,0,0) = (1,0,0)$ ,  $T(1,1,0) = (2,2,0)$ ,  $T(1,1,1) = (3,3,3)$ . By construction there is a basis consisting of eigenvectors of  $T$ . And  $T$  is not self-adjoint:  $\langle T(1,0,0), (1,1,0) \rangle = 1$  while  $\langle (1,0,0), T(1,1,0) \rangle = 2$ .

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## Chapter 7 Exercise C

Posted on July 3, 2016 by Linearity

1. Solution: We give a counterexample. Define  $T \in \mathcal{L}(\mathbb{R}^2)$  by

$$Te_1 = e_1$$

$$Te_2 = -e_2$$

where  $e_1, e_2$  is the standard basis of  $\mathbb{R}^2$ . The matrix of  $T$  with respect to this same basis is

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

which equals its transpose, therefore  $T$  is self-adjoint. Moreover, the basis  $\frac{1}{\sqrt{2}}(e_1 + e_2), \frac{1}{\sqrt{2}}(e_1 - e_2)$  is orthonormal and

$$\begin{aligned} \left\langle T\left(\frac{1}{\sqrt{2}}(e_1 + e_2)\right), \frac{1}{\sqrt{2}}(e_1 + e_2) \right\rangle &= 0 \\ \left\langle T\left(\frac{1}{\sqrt{2}}(e_1 - e_2)\right), \frac{1}{\sqrt{2}}(e_1 - e_2) \right\rangle &= 0, \end{aligned}$$

but  $T$  is not positive because

$$\langle Te_2, e_2 \rangle = \langle -e_2, e_2 \rangle = -1.$$

2. Solution: Note that  $T$  is a positive operator on  $V$ , we have

$$\langle T(v - w), v - w \rangle \geq 0. \quad (1)$$

On the other hand,

$$Tv = w \quad \text{and} \quad Tw = v$$

imply that  $T(v - w) = w - v$ , hence

$$\langle T(v - w), v - w \rangle = \langle -(v - w), v - w \rangle \leq 0. \quad (2)$$

Therefore  $\langle v - w, v - w \rangle = 0$  by (1) and (2), i.e.  $v = w$ .

3. Solution: For all  $u \in U$ , we have

$$\langle T|_U u, u \rangle = \langle Tu, u \rangle = \langle u, Tu \rangle = \langle u, T|_U u \rangle.$$

Thus,  $T|_U$  is self-adjoint. Furthermore,

$$\langle T|_U u, u \rangle = \langle Tu, u \rangle \geq 0,$$

which shows that  $T|_U$  is positive.

4. Solution: By 7.6 (c) and (e), we have

$$(TT^*)^* = (T^*)^* T^* = TT^*, \quad (T^*T)^* = T^*(T^*)^* = T^*T.$$

Hence both  $TT^*$  and  $T^*T$  are self-adjoint.

On the other hand, for any  $v \in V$ , we have

$$\langle T^*Tv, v \rangle = \langle Tv, (T^*)^*v \rangle = \langle Tv, Tv \rangle \geq 0.$$

Hence  $T^*T$  is a positive operator.

Similarly, for any  $w \in W$ , we have

$$\langle TT^*v, v \rangle = \langle T^*v, T^*v \rangle \geq 0.$$

Hence  $TT^*$  is a positive operator.

5. Solution: Suppose  $T$  and  $S$  are positive operators on  $V$ , then  $T^* = T$  and  $S^* = S$ . Therefore, we have

$$(T+S)^* = T^* + S^* = T + S.$$

Hence  $T + S$  is self-adjoint.

Again since  $T$  and  $S$  are positive operators on  $V$ , for any  $v \in V$ ,  $\langle Tv, v \rangle \geq 0$  and  $\langle Sv, v \rangle \geq 0$ . Thus we have

$$\langle (T+S)v, v \rangle = \langle Tv, v \rangle + \langle Sv, v \rangle \geq 0.$$

Therefore  $T + S$  is a positive operator.

6. Solution: Since  $T$  is positive, it follows from 7.35 (a)  $\iff$  (d) that there exists a self-adjoint operator  $S$  such that  $S^2 = T$ . For any positive integer  $k$ , we have  $(S^k)^* = (S^*)^k = S^k$  by 7.6 (e) and the equality  $S = S^*$ . Hence  $S^k$  is self-adjoint.

Note that we also have  $(S^k)^2 = (S^2)^k = T^k$ , hence  $T^k$  has a self-adjoint square root. It follows from 7.35 (a)  $\iff$  (d) again that  $T^k$  is positive.

7. Solution: Suppose  $\langle Tv, v \rangle > 0$  for every  $v \in V$  with  $v \neq 0$ . If  $T$  is not invertible, there must exist a nonzero  $u \in V$  such that  $Tu = 0$ , hence  $\langle Tu, u \rangle = 0$  for  $u \neq 0$ . Therefore we get a contradiction, which in turn implies that  $T$  is invertible.

Conversely, suppose  $T$  is invertible. Since  $T$  is positive, it follows from 7.35 (a)  $\iff$  (d) that there exists a self-adjoint operator  $S$  such that  $S^2 = T$ . Because  $T$  is injective, so is  $S$ . Hence for every  $v \in V$  with  $v \neq 0$ , we have  $Sv \neq 0$ . Moreover, since  $S$  is self-adjoint (and  $Sv \neq 0$ ), we have

$$\langle Tv, v \rangle = \langle S^2v, v \rangle = \langle Sv, Sv \rangle > 0.$$

8. Solution: If  $\langle \cdot, \cdot \rangle_T$  is an inner product on  $V$ , then for any  $v \in V$  we have

$$\langle Tv, v \rangle_T = \langle v, v \rangle_T \geq 0.$$

Hence  $T$  is positive. Moreover, for any nonzero  $v \in V$  we have

$$\langle Tv, v \rangle = \langle v, v \rangle_T > 0.$$

It follows from Problem 7 that  $T$  is invertible.

Conversely, suppose that  $T$  is an invertible positive operator. We show that  $\langle \cdot, \cdot \rangle_T$  is an inner product on  $V$  by checking definition 6.3.

Positivity: Note that  $T$  is positive, we have

$$\langle v, v \rangle_T = \langle Tv, v \rangle \geq 0.$$

Definiteness: If  $v = 0$ , then

$$\langle v, v \rangle_T = \langle Tv, v \rangle \geq 0.$$

If  $\langle v, v \rangle_T = 0$ , since  $T$  is invertible positive operator on  $V$ , it follows from Problem 7 that  $v = 0$ .

Additivity, homogeneity, and conjugate symmetry can be checked directly with out any difficulties.

9. Solution: Let  $e_1, e_2$  be an orthonormal basis of  $\mathbb{R}^2$  and  $\theta \in [0, 2\pi]$ , define  $T_\theta \in \mathcal{L}(\mathbb{R}^2)$  by

$$T_\theta e_1 = \cos \theta e_1 + \sin \theta e_2, \quad T_\theta e_2 = \sin \theta e_1 - \cos \theta e_2.$$

Note that

$$\cos \theta = \langle T_\theta e_1, e_1 \rangle = \langle e_1, (T_\theta)^* e_1 \rangle,$$

$$\sin \theta = \langle T_\theta e_2, e_1 \rangle = \langle e_2, (T_\theta)^* e_1 \rangle,$$

we have  $(T_\theta)^* e_1 = \cos \theta e_1 + \sin \theta e_2$ . Similarly, note that

$$\cos \theta = \langle T_\theta e_1, e_2 \rangle = \langle e_1, (T_\theta)^* e_2 \rangle,$$

$$-\sin \theta = \langle T_\theta e_2, e_2 \rangle = \langle e_2, (T_\theta)^* e_2 \rangle,$$

we have  $(T_\theta)^* e_2 = \sin \theta e_1 - \cos \theta e_2$ . Hence  $T_\theta = (T_\theta)^*$ , which implies that  $T_\theta$  is self-adjoint. Also note that

$$\begin{aligned} (T_\theta)^2 e_1 &= T_\theta(\cos \theta e_1 + \sin \theta e_2) \\ &= \cos \theta(\cos \theta e_1 + \sin \theta e_2) + \sin \theta(\sin \theta e_1 - \cos \theta e_2) \\ &= (\cos^2 \theta + \sin^2 \theta)e_1 = e_1, \end{aligned}$$

$$\begin{aligned} (T_\theta)^2 e_2 &= T_\theta(\sin \theta e_1 - \cos \theta e_2) \\ &= \sin \theta(\cos \theta e_1 + \sin \theta e_2) - \cos \theta(\sin \theta e_1 - \cos \theta e_2) \\ &= (\cos^2 \theta + \sin^2 \theta)e_2 = e_2, \end{aligned}$$

we have  $(T_\theta)^2 = \text{id}$ . Therefore we have infinitely many self-adjoint operators as the square root of id.

The construction comes from the following idea. Let  $T$  be self-adjoint such that  $T^2 = \text{id}$ . Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  be the matrix with respect to an orthonormal basis of  $\mathbb{R}^2$ . Since  $T$  is self-adjoint, we have

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} = \begin{pmatrix} \bar{a} & \bar{b} \\ \bar{c} & \bar{d} \end{pmatrix}.$$

Hence  $a, d \in \mathbb{R}$  and  $b = \bar{c}$ . If  $T^2 = \text{id}$ , then we have  $a^2 + bc = 1, ab + bd = 0$ , and  $d^2 + bc = 1$ . Hence we can take  $a = -d$  and  $b = c$ . Then  $a^2 + b^2 = 1$ . Take  $a = \cos \theta$  and  $b = \sin \theta$ , we get the construction.

10. Solution: “(a) $\implies$ (b)” If  $S$  is an isometry, so is  $S^*$  by 7.42 (g)  $\iff$  (a). It follows from 7.42 (a)  $\iff$  (b) that

$$\langle S^*u, S^*v \rangle = \langle u, v \rangle$$

for all  $u, v \in V$ .

“(b) $\implies$ (c)” If  $e_1, \dots, e_m$  is an orthonormal basis of  $V$ , we have  $\langle e_i, e_j \rangle = \delta_{ij}$ . Since

$$\langle S^*u, S^*v \rangle = \langle u, v \rangle$$

for all  $u, v \in V$ , we have

$$\langle S^*e_i, S^*e_j \rangle = \langle e_i, e_j \rangle = \delta_{ij}.$$

Hence  $S^*e_1, \dots, S^*e_m$  is an orthonormal basis of  $V$ .

“(c) $\implies$ (a)” is trivial.

“(d) $\implies$ (a)” It follows from 7.42 (a)  $\iff$  (d) that  $S^*$  is an isometry. So is  $S$  by 7.42 (g)  $\iff$  (a) since  $(S^*)^* = S$  from 7.6 (c).

11. Solution: Let  $e_1, e_2, e_3$  and  $f_1, f_2, f_3$  be orthonormal bases of  $\mathbb{R}^3$  consisting of eigenvectors of  $T_1$  and  $T_2$ , respectively, corresponding to the eigenvalues 2, 5, 7.

Define  $S$  by

$$Se_j = f_j$$

for  $j = 1, 2, 3$ . One easily checks that  $S$  is an isometry (using the Pythagorean Theorem). Then, because  $S^{-1} = S^*$  (by 7.42), we have  $S^*f_j = e_j$ .

$$T_1e_1 = 2e_1 = S^*(2f_1) = S^*(T_2f_1) = S^*T_2Se_1.$$

Similarly  $T_1e_2 = S^*T_2Se_2$  and  $T_1e_3 = S^*T_2Se_3$ . Therefore  $T_1 = S^*T_2S$ .

12. Solution: Let  $e_1, e_2, e_3, e_4$  denote an orthonormal basis of  $\mathbb{R}^4$ . Define  $T_1, T_2 \in \mathcal{L}(\mathbb{R}^4)$  by

$$T_1e_1 = 2e_1$$

$$T_1e_2 = 2e_2$$

$$T_1e_3 = 5e_3$$

$$T_1e_4 = 7e_4$$

$$T_2e_1 = 2e_1$$

$$T_2e_2 = 2e_2$$

$$T_2e_3 = 5e_3$$

$$T_2e_4 = 7e_4$$

Then both  $T_1$  and  $T_2$  are self-adjoint (the matrices equal their transposes) and 2, 5, 7 are their eigenvalues. Suppose by contradiction that  $S$  is an isometry on  $V$  such that  $T_1 = S^*T_2S$ . Let  $v \in V$  be the vector that  $S$  maps to  $e_2$ . Then

$$T_1v = S^*T_2Sv = S^*T_2e_2 = 5S^*e_2 = 5v$$

Therefore  $v \in E(T_1, 5) = \text{span}(e_2)$ . Let also  $w \in V$  be the vector that  $S$  maps to  $e_3$ . Note that  $v, w$  is linearly independent, because  $e_2, e_3$  is linearly independent. Then

Therefore  $w \in E(T_1, 5) = \text{span}(e_3)$ . But this is a contradiction, because we can't have a linearly independent list of length 2,  $v, w$ , in a 1-dimensional vector space,  $\text{span}(e_3)$ . Hence, there does not exist such  $S$ .

Notice that it wasn't necessary to require  $S$  to be an isometry, we just needed to suppose, by contradiction, the existence of an invertible  $S$  such that  $T_1 = S^{-1}T_2S$ . This  $S$  does not exist. Since the desired isometry must satisfy the same property (because the adjoint of an isometry equals its inverse), it follows that there cannot exist such isometry. The key idea here is that the eigenspaces of  $T_1</math$

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## Chapter 7 Exercise D

Posted on July 4, 2016 by Linearity

1. Solution. A quick calculation shows that  $T^*Tv = ||v||^2(v, u)u$  for every  $v \in V$ . The map  $R \in \mathcal{L}(V)$  defined by

$$Rv = \frac{||x||}{||u||}(v, u)u$$

is a square root of  $T^*T$ . Moreover, it is easy to check that  $\langle Rv, v \rangle \geq 0$  for all  $v \in V$ . We need to prove that  $R$  is self-adjoint. Let  $e_1, \dots, e_n$  be an orthonormal basis of  $V$ . We can write

$$u = a_1e_1 + \dots + a_ne_n$$

for some  $a_1, \dots, a_n \in \mathbb{F}$ . Note that

$$Re_j = \frac{||x||}{||u||}(e_j, u)u = \frac{||x||}{||u||}(a_j\overline{a_1}e_1 + \dots + a_n\overline{a_n}e_n).$$

Therefore, the matrix of  $R$  with respect to the basis  $e_1, \dots, e_n$  has entries defined by

$$\mathcal{M}(R)_{j,k} = \frac{||x||}{||u||}a_j\overline{a_k}.$$

Thus  $\mathcal{M}(R)_{j,k} = \overline{\mathcal{M}(R)_{k,j}}$ , that is,  $\mathcal{M}(R) = \mathcal{M}(R^*)$ . Hence  $R$  is self-adjoint.

2. Solution. Define  $T \in \mathcal{L}(\mathbb{C}^2)$  by

$$Te_1 = 0 \\ Te_2 = 5e_1$$

where  $e_1, e_2$  is the standard basis of  $\mathbb{C}^2$ . Then the matrix of  $T$  with respect to this basis is

$$\mathcal{M}(T) = \begin{pmatrix} 0 & 5 \\ 0 & 0 \end{pmatrix},$$

which is upper triangular. Thus 0 is the only eigenvalue of  $T$ . We have

$$\mathcal{M}(T^*T) = \mathcal{M}(T^*)\mathcal{M}(T) = \begin{pmatrix} 0 & 0 \\ 5 & 0 \end{pmatrix} \begin{pmatrix} 0 & 5 \\ 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ 0 & 25 \end{pmatrix}.$$

Hence the eigenvalues of  $T^*T$  are 0 and 25. By 7.52, the singular values of  $T$  are 0 and 5.

3. Solution. By the Polar Decomposition (7.45), there exists an isometry  $S \in \mathcal{L}(V)$  such that  $T^* = S\sqrt{T^*T}$ .

Taking the adjoint of each side, we get

$$T = (S\sqrt{T^*T})^* = (\sqrt{T^*T})^*S^* = \sqrt{T^*T}S^*,$$

where the last equality follows because  $\sqrt{T^*T}$  is self-adjoint. This yields the desired result, because  $S^*$  is also an isometry.

4. Solution. Let  $v \in V$  be an eigenvector of  $\sqrt{T^*T}$  with  $||v|| = 1$  corresponding to  $s$  and let  $S \in \mathcal{L}(V)$  be an isometry such that  $T = S\sqrt{T^*T}$ . Then

$$||Tv|| = ||S\sqrt{T^*T}v|| = ||\sqrt{T^*T}v|| = |s| ||v|| = |s|,$$

where the last equality follows because  $\sqrt{T^*T}$  is positive.

5. Solution. We have

$$\mathcal{M}(T^*T) = \mathcal{M}(T^*)\mathcal{M}(T) = \begin{pmatrix} 0 & 1 \\ -4 & 0 \end{pmatrix} \begin{pmatrix} 0 & -4 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 16 \end{pmatrix}.$$

Therefore, the singular values of  $T$  are 1 and 4.

6. Solution. We will use the orthonormal basis  $\sqrt{\frac{1}{2}}, \sqrt{\frac{3}{2}}x, \sqrt{\frac{45}{8}}(x^2 - \frac{1}{3})$  of  $P_2(\mathbb{R})$ , which was found in the example.

We have

$$\begin{aligned} D\sqrt{\frac{1}{2}} &= 0 \\ D\sqrt{\frac{3}{2}}x &= \sqrt{3}\left(\sqrt{\frac{1}{2}}\right) \\ D\sqrt{\frac{45}{8}}\left(x^2 - \frac{1}{3}\right) &= \sqrt{15}\left(\sqrt{\frac{3}{2}}x\right). \end{aligned}$$

Therefore

$$\mathcal{M}(D) = \begin{pmatrix} 0 & \sqrt{3} & 0 \\ 0 & 0 & \sqrt{15} \\ 0 & 0 & 0 \end{pmatrix}.$$

Thus, the singular values of  $D$  are 0,  $\sqrt{3}$ ,  $\sqrt{15}$ .

7. Solution. A quick calculation, like the ones in the previous exercises, shows that

$$T^*T(z_1, z_2, z_3) = (4z_1, 9z_2, z_3).$$

Thus

$$\sqrt{T^*T}(z_1, z_2, z_3) = (2z_1, 3z_2, z_3).$$

Define  $S \in \mathcal{L}(\mathbb{F}^3)$  by

$$S(z_1, z_2, z_3) = (z_3, z_1, z_2).$$

$S$  is clearly an isometry (it maps the standard basis, which is orthonormal, to a permutation of the standard basis) and we have  $S\sqrt{T^*T} = T$ .

8. Solution. Let  $S' \in \mathcal{L}(V)$  be an isometry such that  $T = S'\sqrt{T^*T}$ . Then

$$\begin{aligned} 0 &= ||Tv|| - ||Tv|| \\ &= ||S'\sqrt{T^*T}v|| - ||S'\sqrt{T^*T}v|| \\ &= ||Rv|| - ||\sqrt{T^*T}v|| \\ &= \langle R^*Rv, v \rangle - \langle T^*Tv, v \rangle \\ &= \langle (R^*R - T^*T)v, v \rangle \\ &= \langle (R^2 - T^2)v, v \rangle \end{aligned}$$

where the last line follows because  $R$  is self-adjoint. 7.16 now implies that  $R^2 = T^*T$ . Since  $R$  is positive and the positive square root of  $T^*T$  is unique (by 7.36), it follows that  $R = \sqrt{T^*T}$ .

9. Solution. Consider the proof of 7.45.  $T$  being invertible implies  $\dim(\text{range } T)^\perp = 0$ , thus  $S_2 = 0$  and so  $S = S_1$ . Clearly  $S_1$  is unique, so  $S$  must also be unique. Conversely, if  $S$  is unique then  $S_2 = 0$ , otherwise we could set  $S = S_1 - S_2$  and it would still be an isometry satisfying  $T = S\sqrt{T^*T}$ . This implies that  $m = 0$ , because from the definition we see that  $S_2$  must be invertible for any positive integer  $m$ . Thus  $\dim(\text{range } T)^\perp = 0$  and so  $T$  is invertible.

10. Solution. Suppose  $\lambda$  is an eigenvalue of  $T$  and  $v$  a corresponding eigenvector. Then

$$T^*Tv = T^2v = \lambda^2v = |\lambda|^2v,$$

where the last equality follows because the eigenvalues of self-adjoint operators are real (see 7.13). Therefore, the eigenvectors of  $T$  are also eigenvectors of  $T^*T$  with corresponding eigenvalues squared. Since  $T$  has a basis consisting of eigenvectors, so does  $T^*T$  and thus all eigenvalues of  $T^*T$  are squares of the absolute values of eigenvalues of  $T$ . 7.52 now implies that the singular values of  $T$  are the absolute values of the eigenvalues of  $T$ .

11. Solution: It follows from 7.45 that  $T = S\sqrt{T^*T}$  for an isometry  $S \in \mathcal{L}(V)$ . Note that  $\sqrt{T^*T}$  is self-adjoint and  $S^{-1} = S^*(7.42 \text{ (e), (f)})$ , we have

$$TT^* = S\sqrt{T^*T}(S\sqrt{T^*T})^* = S\sqrt{T^*T}\sqrt{T^*T}S^* = S(T^*T)S^{-1}.$$

It follows from Problem 15 of Exercise 5A that  $TT^*$  and  $T^*T$  have the same eigenvalues. Moreover, each eigenvalue has the same multiplicity.

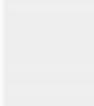
Since  $TT^*$  and  $T^*T$  are positive operators, see Problem 4 of Exercise 7C, they have nonnegative eigenvalues. Also the singular value of  $T$  are the nonnegative square roots of the eigenvalues of  $T^*T$  while the singular value of  $T$  are the nonnegative square roots of the eigenvalues of  $TT^*$ , see 7.52. We conclude that  $T$  and  $T^*$  have the same singular values. Moreover, each eigenvalue has the same multiplicity.

**See: Wu Jinyang's Comment.** Basically, matrices or operators related in the way of Problem 15 of Exercise 5A can be considered as the "same". They have almost the same structure. It is called they are similar to each other.

This entry was posted in Chapter 7 and tagged Exercise D.

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9 Comments

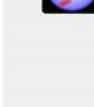
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Marcel Ackermann 2 years ago

$\gamma(D)\gamma(T^*)^2 = \mathcal{M}(T^*)^2 \mathcal{M}(T)^2 = \begin{pmatrix} -4 & 0 \\ 0 & -4 \end{pmatrix} \begin{pmatrix} -4 & 0 \\ 0 & -4 \end{pmatrix} = \begin{pmatrix} 16 & 0 \\ 0 & 16 \end{pmatrix}$ .

Therefore, the singular values of  $T^2$  are 4, 4. However, the singular values of  $T$  are 1, 4.

13. Solution. Suppose  $T$  is invertible. Then

$$\text{null } T^* = (\text{range } T)^\perp = \{0\},$$

where the first equality follows from 7.7 and the second because  $T$  is surjective. This shows that  $T^*$  is also invertible. Therefore 0 is not eigenvalue of  $T^*T$  and so, by 7.52, it cannot be a singular value of  $T$ .

Conversely, suppose 0 is not a singular value of  $T$ . Then  $T^*Tv \neq 0$  for all non-zero  $v \in V$ . This implies that  $Tv \neq 0$  for all non-zero  $v \in V$ . Thus  $T$  is invertible.

14. Solution. First we will prove that  $\text{range } T = \text{range } T^*T$ . From Exercise 5 in section 7A we see that  $\text{range } T = \text{range } T^*$ .

Suppose  $w \in \text{range } T$ . Then  $w \in \text{range } T^*$ . Thus  $w = T^*v$  for some  $v \in V$ . We can write  $v = v' + v''$  for some  $v' \in \text{null } T^*$  and  $v'' \in (\text{null } T^*)^\perp$ . But  $v'' \in \text{range } T$ . By 7.7,  $v''$  shows that  $(\text{null } T^*)^\perp = \text{range } T$ . Therefore  $w = Tv$  for some  $u \in V$  and so  $w = T^*Tu \in \text{range } T^*T$ . Hence  $\text{range } T \subset \text{range } T^*T$ . The inclusion in the other direction is easy. We have

$$\text{range } T^*T \subset \text{range } T^* = \text{range } T.$$

Therefore  $\text{range } T = \text{range } T^*T$ .

Since  $\sqrt{T^*T}$  is diagonalizable (because it is self-adjoint), it follows that the number of nonzero singular values of  $T$  equals the dimension of  $\text{range } \sqrt{T^*T}$ . Note that  $\text{range } T^*T = \text{range } \sqrt{T^*T}$ . Therefore  $\dim \text{range } \sqrt{T^*T} = \dim \text{range } T$ , completing the proof.

15. Solution. The forward direction is obvious, because if  $S$  is an isometry, then  $\sqrt{S^*S}$  equals the identity, whose eigenvalues equal 1.

Suppose all singular values of  $S$  equal 1. This implies that  $\sqrt{S^*S}$ , and therefore so does  $S^*S$ , equals the identity. 7.42 now implies that  $S$  is an isometry.

16. Solution. Let  $S_1, S_2 \in \mathcal{L}(V)$  be isometries such that  $T_1 = S_1\sqrt{T_1^*T_1}$  and  $T_2 = S_2\sqrt{T_2^*T_2}$  and let  $e_1, \dots, e_n$  and  $f_1, \dots, f_n$  be orthonormal basis of  $V$  consisting of eigenvectors of  $T_1$  and  $T_2$ , respectively, corresponding to the singular values  $s_1, \dots, s_n$ . Define  $S \in \mathcal{L}(V)$  by

$$Se_j = f_j$$

for each  $j = 1, \dots, n$ . Then  $S$  is also an isometry and we have

$$\begin{aligned} \sqrt{T_1^*T_1}e_j &= s_j e_j \\ &= S^*(s_j f_j) \\ &= S^* \sqrt{T_2^*T_2}f_j \\ &= S^* \sqrt{T_2^*T_2}Se_j. \end{aligned}$$

So  $\sqrt{T_1^*T_1} = S^* \sqrt{T_2^*T_2}S$ . Therefore

$$T_1 = S_1 \sqrt{T_1^*T_1} = S_1 S^* \sqrt{T_2^*T_2}S = S_1 S^* S_2^* T_2 S = S_2 \sqrt{T_2^*T_2}T_2.$$

where the last equality follows by multiplying both sides of the equation  $T_2 = S_$

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## Chapter 8 Exercise A

Posted on August 1, 2016 by Linearity

1. Solution: Since

$$T^2(w, z) = T(z, 0) = (0, 0),$$

it follows that  $G(0, T) = V$ . Therefore every vector in  $\mathbb{C}^2$  is a generalized eigenvector of  $T$ .

2. Solution: The eigenvalues of  $T$  are  $i$  and  $-i$ . Since  $\mathbb{C}^2$  has dimension 2, the generalized eigenspaces are the eigenspaces themselves.

3. Solution: We will prove  $\text{null}(T - \lambda I)^n = \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right)^n$  for all nonnegative integers  $n$  by induction on  $n$ .

It is easy to check that  $\text{null}(T - \lambda I) = \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right)$  (see Exercise 9 in section 5C). Let  $n > 1$  and assume the result holds for all nonnegative integers less than  $n$ .

Suppose  $v \in \text{null}(T - \lambda I)^n$ . Then

$$(T - \lambda I)v \in \text{null}(T - \lambda I)^{n-1}.$$

By the induction hypothesis

$$(T - \lambda I)v \in \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1}.$$

Thus

$$0 = \left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1} (T - \lambda I)v = (T - \lambda I) \left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1} v,$$

where the second equality follows from Theorem 1 below.

**Theorem 1.** Suppose  $T \in \mathcal{L}(V)$  is invertible and  $p, q \in P(\mathbb{F})$ . Then  $p(T^{-1})q(T) = q(T)p(T^{-1})$ .

*Proof.* The key idea here is that  $T$  commutes with  $T^{-1}$ , even when raised to different powers.

Suppose  $p(z) = \sum_{j=0}^m a_j z^j$  and  $q(z) = \sum_{k=0}^n b_k z^k$  for  $z \in \mathbb{F}$ . Then

$$\begin{aligned} p(T)q(T^{-1}) &= \left( \sum_{j=0}^m a_j T^j \right) \left( \sum_{k=0}^n b_k (T^{-1})^k \right) \\ &= \sum_{j=0}^m \sum_{k=0}^n a_j b_k T^j (T^{-1})^k \\ &= \sum_{j=0}^m \sum_{k=0}^n b_k a_j (T^{-1})^k T^j \\ &= \sum_{k=0}^n \sum_{j=0}^m b_k a_j (T^{-1})^k T^j \\ &= \left( \sum_{k=0}^n b_k (T^{-1})^k \right) \left( \sum_{j=0}^m a_j T^j \right) \\ &= q(T^{-1})p(T) \end{aligned}$$

Therefore

$$\left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1} v \in \text{null}(T - \lambda I).$$

But

$$\text{null}(T - \lambda I) = \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right).$$

Hence

$$\left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1} v \in \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right) v,$$

and so

$$0 = \left( T^{-1} - \frac{1}{\lambda} I \right) \left( T^{-1} - \frac{1}{\lambda} I \right)^{n-1} v = \left( T^{-1} - \frac{1}{\lambda} I \right)^n v,$$

which shows that  $v \in \text{null}(T^{-1} - \frac{1}{\lambda} I)$ . Therefore

$$\text{null}(T - \lambda I)^n \subset \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right)^n.$$

To prove the inclusion in the other direction, it suffices to repeat the same thing replacing  $(T - \lambda I)$  with  $(T^{-1} - \frac{1}{\lambda} I)$  and vice versa.

Now, by 8.11, we have

$$G(\lambda, T) = \text{null}(T - \lambda I)^{\dim V} = \text{null} \left( T^{-1} - \frac{1}{\lambda} I \right)^{\dim V} = G \left( \frac{1}{\lambda}, T^{-1} \right).$$

4. Solution: Suppose  $v \in G(\alpha, T) \cap G(\beta, T)$  and suppose by contradiction that  $v \neq 0$ . Then  $v, v$  are generalized eigenvectors corresponding to distinct generalized eigenvalues of  $T$ . Now 8.13 implies that  $v, v$  is linearly independent, which is clearly a contradiction. Therefore  $v$  must be 0.

5. Solution: Let  $a_0, a_1, \dots, a_{m-1} \in \mathbb{F}$  such that

$$0 = a_0 v + a_1 T v + \dots + a_{m-1} T^{m-1} v$$

Applying  $T^{m-1}$  to both sides of the equation above yields

$$0 = a_0 T^{m-1} v,$$

which shows that  $a_0 = 0$ . Therefore

$$0 = a_1 T v + \dots + a_{m-1} T^{m-1} v.$$

Applying  $T^{m-2}$  yields

$$0 = a_1 T^{m-1} v,$$

which shows that  $a_1 = 0$ . Continuing in this fashion, we see that  $a_0 = a_1 = \dots = a_m = 0$ . Thus  $v, T v, T^2 v, \dots, T^{m-1} v$  is linearly independent.

6. Solution: Suppose by contradiction that  $S \in \mathcal{L}(\mathbb{C}^3)$  is a square root of  $T$ . Note that  $V = \text{null } T^3$ . We have

$$\begin{aligned} V &= \text{null } T^3 = \text{null } T^6 \\ &= \text{null } R^3 = \text{null } RT \\ &\subset \text{null } R^2 T = \text{null } T^2, \end{aligned}$$

where the third line follows by 8.4. But this is a contradiction, since  $T^2(z_1, z_2, z_3) = (z_3, 0, 0)$ , we see that

$\text{null } T^2 = \{(0, 0, z) : z \in \mathbb{C}\}$ , then we can't have  $V \subset \text{null } T^2$ .

7. Solution: This follows directly from 8.19 and 5.32.

8. Solution: False. Let  $V = \mathbb{C}^2$ . Define  $S, T \in \mathcal{L}(\mathbb{C})$  by

$$\begin{aligned} S(z_1, z_2) &= (0, z_1) \\ T(z_1, z_2) &= (z_2, 0). \end{aligned}$$

Both  $S$  and  $T$  are nilpotent, however  $S + T$  is not (its square equals the identity).

9. Solution: We have

$$\text{null}(TS)^{\dim V} = \text{null}(TS)^{\dim V} = \text{null}(ST)^{\dim V} = V,$$

where the first equality follows from 8.4 and the third because  $(ST)^{\dim V} = 0$  (by 8.18). Thus  $(TS)^{\dim V} = 0$  and so  $TS$  is nilpotent.

10. Solution: If  $T$  is not nilpotent, then  $\dim \text{null } T^n < n$  and, by the same reasoning used in 8.4, it follows that  $\dim T^{n-1} = \dim T^n$ . Thus, by 8.5, we have

$$V = \text{null } T^{n-1} + \text{range } T^n.$$

Since  $\text{range } T^n \subset \text{range } T^{n-1}$ , we must also have

$$V = \text{null } T^{n-1} + \text{range } T^{n-1}.$$

Then, by the Fundamental Theorem of Linear Maps (3.22),

$$\dim(\text{null } T^{n-1} + \text{range } T^{n-1}) = \dim V = \dim \text{null } T^{n-1} + \dim \text{range } T^{n-1}.$$

3.78 now implies that  $\text{null } T^{n-1} + \text{range } T^{n-1}$  is a direct sum.

12. Solution: Suppose  $V_1, \dots, V_n$  is such basis. Then  $Nv_1 = 0$ , because the the first column of the matrix has 0 in all its entries. The definition of matrix of linear map shows that  $Nv_2 \in \text{span}(v_1)$ . But this implies that  $N^2v_2 = 0$ . Similarly,  $Nv_3 \in \text{span}(v_1, v_2)$ , so  $N^3v_3 = 0$ .

Continuing like this, we see that  $N^jv_j = 0$ , for each  $j = 1, \dots, n$ . Therefore  $N^n = 0$  and so  $N$  is nilpotent.

13. Solution: It is easy when  $\mathbb{F} = \mathbb{C}$ , because then  $V$  has a basis consisting of eigenvectors of  $N$  and for each vector  $v$  in this basis we have  $0 = N^{\dim V} v = \lambda^{\dim V} v$  for the corresponding eigenvalue  $\lambda$ , which implies that  $\lambda = 0$ .

More generally, without restricting  $\mathbb{F}$  to  $\mathbb{C}$ , we will prove  $N^{\dim V-1} = 0$  and this fact can be used to show  $N^{\dim V-2} = 0$ , which then can be used to show... and so on until  $N^1$ .

Let  $\mathcal{N} = N^{\dim V-1}$ . Note that  $\mathcal{N}$  is also normal and that  $\mathcal{N}^2 = 0$ . Then, for all  $v \in V$ ,

$$||\mathcal{N}v||^2 = (\mathcal{N}v, \mathcal{N}v) = \langle v, \mathcal{N}^* \mathcal{N}v \rangle = 0,$$

where the first equality comes from 7.20. Thus  $\mathcal{N}^* \mathcal{N} = 0$ . Therefore

$$||\mathcal{N}v||^2 = \langle \mathcal{N}v, \mathcal{N}v \rangle = \langle v, \mathcal{N}^* \mathcal{N}v \rangle = 0,$$

which shows that  $\mathcal{N} = 0$ .

14. Solution: This follows directly from 8.19 and 6.37.

15. Solution: By the same reasoning used in the proof of 8.4, it follows that  $\dim \text{null } N^{\dim V} \geq \dim V$ . Thus  $\dim \text{null } N^{\dim V} = \dim V$  and so  $N$  is nilpotent. We have  $\dim V + 1$  null spaces each of different dimension. Since the sequence

$$\dim \text{null } N^0, \dim \text{null } N^1, \dots, \dim \text{null } N^{\dim V}$$

must be sorted in strictly increasing order, the only way this can fit is if  $\dim \text{null } N^j = j$  for each  $j$ .

16. Solution: Obviously  $V = \text{range } T^0 = \text{range } I$ . Let  $k$  be a nonnegative integer. Suppose  $v \in \text{range } T^{k+1}$ . Then  $v = T^{k+1}u$  for some  $u \in V$ . But then  $v = T^k(Tu)$ . This implies that  $v \in \text{range } T^k$ .

17. Solution: By the Fundamental Theorem of Linear Maps (3.22), we have

$$\dim \text{null } T^m + \dim \text{range } T^m = \dim \text{null } T^{m+1} + \dim \text{range } T^{m+1},$$

which implies that  $\dim \text{null } T^m = \dim \text{null } T^{m+1}$  (because  $\dim \text{range } T^m = \dim \text{range } T^{m+1}$ ). Thus, by 8.3, for all  $k > m$ , we have

$$\dim \text{null } T^m = \dim \text{null } T^{m+k}.$$

Applying the Fundamental Theorem of Linear Maps again to  $T^m$  and  $T^{m+k}$  we see that

$$\dim \text{range } T^m = \dim \text{range } T^{m+k}.$$

Since  $\text{range } T^{m+k} \subset \text{range } T^m$ , it follows that  $\text{range } T^{m+k} = \text{range } T^m$ .

18. Solution: This follows directly from the previous exercise and 8.4.

19. Solution: This is just a matter of realizing that  $\text{null } T^m \subset \text{null } T^{m+1}$  and  $\text{range } T^{m+1} \subset \text{range } T^m$  and applying the Fundamental Theorem of Linear Maps.

20. Solution: By Exercise 19,  $\text{null } T^4 \neq \text{null } T^5$ . By Exercise 15, this implies that  $T$  is nilpotent.

21. Solution: Let  $W = \mathbb{F}^\infty \times \mathbb{F}^\infty$  and define  $T \in \mathcal{L}(W)$  by

$$T((x_1, x_2, x_3, \dots), (y_1, y_2, y_3, \dots)) = ((x_2, x_3, \dots), (0, y_1, y_2, y_3, \dots)),$$

that is,  $T$  applies the backward shift operator (call it  $B$ ) on the first slot and forward shift operator (call it  $F$ ) on the second slot. Thus, for each positive integer  $k$ , we have

$$\text{null } T^k = \{(x_1, x_2, x_3, \dots) \in \mathbb{F}^\infty : x_j = 0 \text{ for all } j > k\}$$

and

$$\text{range } T^k = \{(x, y) \in \mathbb{F}^\infty \times \mathbb{F}^\infty : y \in \text{range } T^k\}.$$

Hence  $\text{null } T^k \subsetneq \text{null } T^{k+1}$  and  $\text{range } T^k \subsetneq \text{range } T^{k+1}$ .

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Chapter 8 Exercise B →



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## Chapter 8 Exercise B

Posted on August 2, 2016 by Linearity

1. Solution: By 8.21 (a),  $V = G(0, N)$ . Since  $G(0, N) = \text{null } N^{\dim V}$  (see 8.11), it follows that  $N^{\dim V} = 0$  and so  $N$  is nilpotent.

2. Solution: Define  $T \in \mathcal{L}(\mathbb{R}^3)$  by

$$T(x, y, z) = (0, -z, x).$$

That is,  $T$  squashes vectors onto the  $yz$  plane and rotates them counterclockwise by  $\pi/2$  radians. So all eigenvectors of  $T$  are contained in the  $x$ -axis and correspond to the eigenvalue 0.  $T$  obviously is not nilpotent.

3. Solution: Suppose  $\lambda$  is an eigenvalue of  $T$  and  $v \in V$  a corresponding eigenvector.  $S$  is surject, so there exists  $u \in V$  such that  $Su = v$ . Then

$$S^{-1}TSu = S^{-1}Tv = \lambda S^{-1}v = \lambda u,$$

which shows that  $\lambda$  is an eigenvalue of  $S^{-1}TS$ . Hence every eigenvalue of  $T$  is an eigenvalue of  $S^{-1}TS$ . We will prove these eigenvalues have the same multiplicity and it will follow that  $S^{-1}TS$  cannot have other eigenvalues (by 8.26).

Suppose  $\lambda_1, \dots, \lambda_m$  are the distinct eigenvalues of  $T$ . Fix  $k \in \{1, \dots, m\}$ . Let  $v_1, \dots, v_d$  be a basis of  $G(\lambda_k, T)$ . There exist  $u_1, \dots, u_d \in V$  such that  $Su_j = v_j$  for each  $j = 1, \dots, d$ . It's easy to check that the  $u$ 's are linearly independent. We have

$$G(\lambda_k, S^{-1}TS) = \text{null}(S^{-1}TS - \lambda_k I)^{\dim V} = \text{null } S^{-1}(T - \lambda_k I)^{\dim V} S,$$

where the first equality comes from 8.11 and the second from Exercise 5 in section 5B. For each  $j$ , we have

$$S^{-1}(T - \lambda_k I)^{\dim V} Su_j = S^{-1}(T - \lambda_k I)^{\dim V} v_j = 0,$$

where the second equality follows because  $v_j \in G(\lambda_k, T)$ . This shows that  $u_1, \dots, u_d \in G(\lambda_k, S^{-1}TS)$ . Hence

$$\dim G(\lambda_k, S^{-1}TS) \geq d = \dim G(\lambda_k, T). \quad (1)$$

By 8.26, we must have

$$\dim G(\lambda_1, T) + \dots + \dim G(\lambda_m, T) = \dim V \quad (2)$$

and

$$\dim G(\lambda_1, S^{-1}TS) + \dots + \dim G(\lambda_m, S^{-1}TS) \leq \dim V. \quad (3)$$

(1) and (2) imply that (3) is only possible if

$$\dim G(\lambda_k, S^{-1}TS) = \dim G(\lambda_k, T).$$

Hence, their multiplicities are the same and  $S^{-1}TS$  cannot have other generalized eigenspaces (the ones shown here already eat up the dimension of  $V$ ).

4. Solution: By the same reasoning used in the proof of 8.4, it follows that  $\dim \text{null } T^{n-1} \geq n-1$ . But  $\text{null } T^{n-1} \subset \text{null } T^n = G(0, T)$  (see 8.2 and 8.11). Thus  $\dim G(0, T) \geq n-1$  and 0 is an eigenvalue of  $T$ .

If  $\dim G(0, T) = n$ , 8.26 shows that 0 is the only eigenvalue of  $T$ . If  $\dim G(0, T) = n-1$ , there is only space for one more eigenvalue with multiplicity 1.

5. Solution: Every eigenvector is also a generalized eigenvector, so in the forward direction we can make a similar argument to that of Exercise 3, where the dimensions only fit if each eigenspace equals its corresponding generalized one (because the former is a subset of the latter). The other direction is obvious from 8.23.

6. Solution: The formula for  $N$  doesn't really matter here. We only care about the dimension of  $\mathbb{F}^5$ , which is 5. Using the same reasoning from the proof of 8.31, and because  $N^j = 0$  for  $j \geq 5$ , we have

$$I + N = (I + a_1N + a_2N^2 + a_3N^3 + a_4N^4) \\ = I + 2a_1N + (2a_2 + a_1^2)N^2 + (2a_3 + 2a_1a_2)N^3 + (2a_4 + 2a_1a_3 + a_2^2)N^4$$

for some  $a_1, a_2, a_3, a_4 \in \mathbb{F}$ .

Choose

$$a_1 = \frac{1}{2}, \quad a_2 = -\frac{1}{8}, \quad a_3 = \frac{1}{16}, \quad a_4 = \frac{-5}{128}$$

and the terms on the second line will collapse to  $N + I$ . Hence

$$I + \frac{1}{2}N - \frac{1}{8}N^2 + \frac{1}{16}N^3 - \frac{5}{128}N^4$$

is a square root of  $N + I$ .

7. Solution: One can use the same strategy as in the proof of 8.31 to show that  $I + N$  has a cube root for any nilpotent  $N \in \mathcal{L}(V)$  and the rest of the proof will be same as the proof of 8.33.

8. Solution: If 0 is not an eigenvalue of  $T$ , then  $T^j$  is injective and surjective for all integers  $j$ , which gives the desired result (take  $j = n-2$ ).

Suppose 0 is an eigenvalue of  $T$ . Since 3 and 8 are also eigenvalues of  $T$ , by 8.26 the multiplicity of 0, namely  $\dim G(0, T)$  which equals  $\dim \text{null } T^n$ , is at most  $n-2$ . By the same reasoning used in the proof of 8.4, we have  $\text{null } T^{n-2} = \text{null } T^n$  (because the null  $T^{n-2} \subset \text{null } T^n$ ). Exercise 19 of section 8A implies that  $\text{range } T^{n-2} = \text{range } T$ . Now 8.5 completes the proof.

9. Solution: Keep in mind that when we mention the size of an  $n$ -by- $n$  matrix here we mean  $n$  and not  $n$  times  $n$ .

Let  $V$  be vector space whose dimension equals the size  $A$  (or  $B$ , since they're the same). Choose a basis of  $V$  and define  $S, T \in \mathcal{L}(V)$  such that  $\mathcal{M}(S) = A$  and  $\mathcal{M}(T) = B$ . Then  $\mathcal{M}(ST) = AB$ .

Let  $d_j$  equal the size of  $A_j$  (or  $B_j$ , because they're the same). Consider the list consisting of the first  $d_1$  vectors in the chosen basis.  $A$  and  $B$  show that the span of these vectors are invariant under  $S$  and  $T$ .

Similarly, the span of the next  $d_2$  vectors after this list is also invariant under  $T$ . Continuing in this fashion, we see that there are  $m$  distinct lists of consecutive vectors, with no intersections, in the chosen basis whose spans are invariant under  $S$  and  $T$ .

Let  $U_1, \dots, U_m$  denote such spans. Clearly  $\mathcal{M}(S|_{U_j}) = A_j$  and  $\mathcal{M}(T|_{U_j}) = B_j$  for each  $j$ . Hence  $\mathcal{M}(S|_{U_j}T|_{U_j}) = A_jB_j$  and so it's easy to see that  $\mathcal{M}(ST)$  (which equals  $AB$ ) has the desired form.

10. Solution: Let  $v_1, \dots, v_n$  denote a basis of  $V$  consisting of generalized eigenvectors of  $T$  (which exists by 8.23). Define  $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{C}$  by

$$\langle a_1v_1 + \dots + a_nv_n, b_1v_1 + \dots + b_nv_n \rangle = a_1\bar{b}_1 + \dots + a_n\bar{b}_n,$$

where the  $a$ 's and  $b$ 's are complex numbers. You can check that  $\langle \cdot, \cdot \rangle$  is a well defined inner product on  $V$ . Thus  $v_1, \dots, v_n$  is an orthonormal basis of  $V$ . Moreover, the generalized eigenspaces of  $T$  are orthogonal to each other. This implies that, if  $v \in G(\beta, T)$ , then

$$P_{G(\alpha, T)}v = \begin{cases} v, & \text{if } \alpha = \beta \\ 0, & \text{if } \alpha \neq \beta \end{cases} \quad (*)$$

where  $P_{G(\alpha, T)}$  is the orthogonal projection of  $V$  onto  $G(\alpha, T)$ .

Let  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ . We have

$$T = T|_{G(\lambda_1, T)}P_{G(\lambda_1, T)} + \dots + T|_{G(\lambda_m, T)}P_{G(\lambda_m, T)}$$

For each  $j = 1, \dots, m$ , we can write  $T|_{G(\lambda_j, T)} = \lambda_j I + N_j$  where  $N_j$  is a nilpotent operator under which  $G(\lambda_j, T)$  is invariant (see 8.21 (c)). Therefore

$$T = (\lambda_1 I + N_1)P_{G(\lambda_1, T)} + \dots + (\lambda_m I + N_m)P_{G(\lambda_m, T)} \\ = \underbrace{\lambda_1 P_{G(\lambda_1, T)} + \dots + \lambda_m P_{G(\lambda_m, T)}}_{(4)} + \underbrace{N_1 P_{G(\lambda_1, T)} + \dots + N_m P_{G(\lambda_m, T)}}_{(5)}$$

Fix  $k \in \{1, \dots, n\}$ . Then  $v_k \in G(\lambda_j, T)$  for some  $j \in \{1, \dots, m\}$ .  $(*)$  shows that (4) maps  $v_k$  to  $\lambda_j v_k$ . Hence  $v_1, \dots, v_n$  is a basis of eigenvectors of (4) and so (4) is diagonalizable.  $(*)$  also shows that (5) maps  $v_k$  to  $N_j v_k$ . But  $G(\lambda_j, T)$  is invariant under  $N_j$ , so  $(*)$  actually implies that (5) raised to the power of  $\dim V$  maps  $v_k$  to  $N_j^{\dim V} v_k$  which equals 0. Therefore (5) is nilpotent. It is easy to see that (4) and (5) commute (they map  $v_k$  to  $\lambda_j N_j v_k$ , no matter the order), which completes the proof.

11. Solution: Suppose  $T$  has an upper-triangular matrix with respect to the basis  $v_1, \dots, v_n$ . Suppose also that  $\lambda$  appears on the  $j$ -th diagonal entry of  $\mathcal{M}(T)$ . Then

$$Tv_j = a_1v_1 + \dots + a_{j-1}v_{j-1} + \lambda v_j$$

for some  $a_1, \dots, a_{j-1} \in \mathbb{F}$ , and so

$$(T - \lambda I)v_j = a_1v_1 + \dots + a_{j-1}v_{j-1} \in \text{span}(v_1, \dots, v_{j-1}).$$

We have

$$(T - \lambda I)v_{j-1} = c_1v_1 + \dots + (c_{j-1} - \lambda)v_{j-1}$$

for some  $c_1, \dots, c_{j-1} \in \mathbb{F}$ . If  $c_{j-1} - \lambda = 0$ , then  $(T - \lambda I)^2 v_j \in \text{span}(v_1, \dots, v_{j-2})$ . If  $c_{j-1} - \lambda \neq 0$ , then

$$(T - \lambda I) \left( v_j - \frac{c_{j-1}}{c_{j-1} - \lambda} v_{j-1} \right) \in \text{span}(v_1, \dots, v_{j-2}).$$

We go on, either squaring by squaring  $(T - \lambda I)$  or subtracting a vector  $u \in \text{span}(v_1, \dots, v_{j-1})$  from  $v_j$  in the argument of  $(T - \lambda I)$ , and we will have  $(T - \lambda I)^2(v_j - u)$  in the span of the first  $j-3$  vectors of the basis, then in the span of the first  $n-4$ , an so on until it will be in the span of an empty list, that is,  $\{0\}$ . This means that  $v_j - u \in G(\lambda, T)$  for some  $u \in \text{span}(v_1, \dots, v_{j-1})$ , which completes the proof.

9. Solution: Keep in mind that when we mention the size of an  $n$ -by- $n$  matrix here we mean  $n$  and not  $n$  times  $n$ .

Let  $V$  be vector space whose dimension equals the size  $A$  (or  $B$ , since they're the same). Choose a basis of  $V$  and define  $S, T \in \mathcal{L}(V)$  such that  $\mathcal{M}(S) = A$  and  $\mathcal{M}(T) = B$ . Then  $\mathcal{M}(ST) = AB$ .

Let  $d_j$  equal the size of  $A_j$  (or  $B_j$ , because they're the same). Consider the list consisting of the first  $d_1$  vectors in the chosen basis.  $A$  and  $B$  show that the span of these vectors are invariant under  $S$  and  $T$ .

Similarly, the span of the next  $d_2$  vectors after this list is also invariant under  $T$ . Continuing in this fashion, we see that there are  $m$  distinct lists of consecutive vectors, with no intersections, in the chosen basis whose spans are invariant under  $S$  and  $T$ .

Let  $U_1, \dots, U_m$  denote such spans. Clearly  $\mathcal{M}(S|_{U_j}) = A_j$  and  $\mathcal{M}(T|_{U_j}) = B_j$  for each  $j$ . Hence  $\mathcal{M}(S|_{U_j}T|_{U_j}) = A_jB_j$  and so it's easy to see that  $\mathcal{M}(ST)$  (which equals  $AB$ ) has the desired form.

10. Solution: Let  $v_1, \dots, v_n$  denote a basis of  $V$  consisting of generalized eigenvectors of  $T$  (which exists by 8.23). Define  $\langle \cdot, \cdot \rangle : V \times V \rightarrow \mathbb{C}$  by

$$\langle a_1v_1 + \dots + a_nv_n, b_1v_1 + \dots + b_nv_n \rangle = a_1\bar{b}_1 + \dots + a_n\bar{b}_n,$$

where the  $a$ 's and  $b$ 's are complex numbers. You can check that  $\langle \cdot, \cdot \rangle$  is a well defined inner product on  $V$ . Thus  $v_1, \dots, v_n$  is an orthonormal basis of  $V$ . Moreover, the generalized eigenspaces of  $T$  are orthogonal to each other. This implies that, if  $v \in G(\beta, T)$ , then

$$P_{G(\alpha, T)}v = \begin{cases} v, & \text{if } \alpha = \beta \\ 0, & \text{if } \alpha \neq \beta \end{cases} \quad (*)$$

where  $P_{G(\alpha, T)}$  is the orthogonal projection of  $V$  onto  $G(\alpha, T)$ .

Let  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ . We have

$$T = T|_{G(\lambda_1, T)}P_{G(\lambda_1, T)} + \dots + T|_{G(\lambda_m, T)}P_{G(\lambda_m, T)}$$

For each  $j = 1, \dots, m$ , we can write  $T|_{G(\lambda_j, T)} = \lambda_j I + N_j$  where  $N_j$  is a nilpotent operator under which  $G(\lambda_j, T)$  is invariant (see 8.21 (c)). Therefore

$$T = (\lambda_1 I + N_1)P_{G(\lambda_1, T)} + \dots + (\lambda_m I + N_m)P_{G(\lambda_m, T)} \\ = \underbrace{\lambda_1 P_{G(\lambda_1, T)} + \dots + \lambda_m P_{G(\lambda_m, T)}}_{(4)} + \underbrace{N_1 P_{G(\lambda_1, T)} + \dots + N_m P_{G(\lambda_m, T)}}_{(5)}$$

&lt;p

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## Chapter 8 Exercise C

Posted on August 3, 2016 by Linearity

1. Solution: Because

$$4 = \dim \mathbb{C}^4 = \dim G(3, T) + \dim G(5, T) + \dim G(8, T),$$

it follows that the multiplicities of the eigenvalues of  $T$  are at most 2. Thus  $p(z) = (z-2)^2(z-5)^2(z-8)^2$  is a polynomial multiple of the characteristic polynomial. Therefore, we must have  $p(T) = 0$ .

2. Solution: We have

$$1 \leq \dim G(5, T), \dim G(6, T) \leq n-1.$$

Hence  $p(z) = (z-5)^{n-1}(z-6)^{n-1}$  is a polynomial multiple of the characteristic polynomial. Therefore,  $p(T) = 0$ .

3. Solution: Define  $T \in \mathcal{L}(\mathbb{C}^4)$  by

$$T(z_1, z_2, z_3, z_4) = (7z_1, 7z_2, 8z_3, 8z_4).$$

Since  $E(7, T) \subset G(7, T)$ ,  $E(8, T) \subset G(8, T)$  and  $\mathbb{C}^4 = E(7, T) \oplus E(8, T)$ , it follows that  $E(7, T) = G(7, T)$  and  $E(8, T) = G(8, T)$ . We have  $\dim E(7, T) = \dim E(8, T) = 2$ . Hence, the characteristic polynomial of  $T$  is

$$(z-7)^2(z-8)^2.$$

4. Solution:

$$A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

Let

$$A = \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 5 & 1 & 0 \\ 0 & 0 & 5 & 0 \\ 0 & 0 & 0 & 5 \end{pmatrix}$$

Then

$$\begin{aligned} (A-5I)^2(A-I) &= \begin{pmatrix} -4 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}^2 \begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 4 & 1 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 4 \end{pmatrix} \\ &= \begin{pmatrix} -4 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 4 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}. \end{aligned}$$

Define  $T \in \mathcal{L}(\mathbb{C}^4)$  by

$$T(z_1, z_2, z_3, z_4) = (z_1 + z_2, 5z_2 + z_3, 5z_3, 5z_4).$$

Then  $\mathcal{M}(T) = A$  and the eigenvalues of  $T$  are thus 1 and 5 (the entries on the diagonal). Now 8.36 implies that the minimal polynomial of  $T$  is a polynomial multiple of  $(z-5)(z-1)$ . The previous work shows that  $(T-5I)(T-I) \neq 0$  and  $(T-5I)^2(T-I) = 0$ . Hence  $(z-5)^2(z-1)$  is the minimal polynomial of  $T$ . By Exercise 11 in section 8B, the multiplicity of 1 is 1 and of 5 is 3. Thereby the characteristic polynomial of  $T$  is  $(z-1)(z-5)^3$ .

5. Solution: Let

$$A = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix}$$

Then

$$\begin{aligned} (A-I)^2(A-3)A &= \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}^2 \begin{pmatrix} -3 & 0 & 0 & 0 \\ 0 & -2 & 1 & 0 \\ 0 & 0 & -2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix} \\ &= \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -2 & -1 & 0 \\ 0 & 0 & -2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix} \\ &= \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -2 & -1 & 0 \\ 0 & 0 & -2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & -2 & -1 & 0 \\ 0 & 0 & -2 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix} \\ &= \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 2 \end{pmatrix}. \end{aligned}$$

Define  $T \in \mathcal{L}(\mathbb{C}^4)$  by

$$T(z_1, z_2, z_3, z_4) = (0, z_2 + z_3, z_3, 3z_4).$$

Then  $\mathcal{M}(T) = A$ . The rest of this exercise is almost the same as the previous one.

6. Solution: Define  $T \in \mathcal{L}(\mathbb{C}^4)$  by

$$T(z_1, z_2, z_3, z_4) = (0, z_1, z_2, 3z_4).$$

Then, the standard basis of  $\mathbb{C}^4$  consists of eigenvectors of  $T$  corresponding to the eigenvalues 0, 1, 1, 3.

Applying  $T(T-I)(T-3)$  to each of these basis vectors shows that  $T(T-I)(T-3) = 0$ . Hence  $z(z-1)(z-3)$  is the minimal polynomial of  $T$ . We have

$$\mathcal{M}(T) = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix}.$$

Thus, by Exercise 11 in section 8B, the characteristic polynomial of  $T$  is  $z(z-1)^2(z-3)$ .

7. Solution: By Exercise 4 in section 5B, we have

$$V = \text{null } P \oplus \text{range } P. \quad (1)$$

It is easy to check that if  $v \in \text{range } P$  then  $Pv = v$ . Thus

$$\text{null } P \subset G(0, T) \text{ and } \text{range } P \subset G(1, T).$$

(1) and 8.26 then imply that these inclusions are actually equalities, which gives the desired result.

8. Solution: Let  $p$  denote the minimal polynomial of  $T$ . We have

$$\begin{aligned} T \text{ is invertible} &\iff 0 \text{ is not an eigenvalue of } T \\ &\iff p(0) \neq 0 \\ &\iff \text{the constant term of } p \text{ is nonzero}, \end{aligned}$$

where the equivalence between the first and second lines follows from 8.49.

9. Solution: Since

$$4 + 5T - 6T^2 - 7T^3 + 2T^4 + T^5 = 0,$$

multiplying both sides by  $T^{-5}$  we get

$$4T^{-5} + 5T^{-4} - 6T^{-3} - 7T^{-2} + 2T^{-1} + I = 0.$$

Hence  $4z^5 + 5z^4 - 6z^3 - 7z^2 + 2z + 1$  is a polynomial multiple of the minimal polynomial of  $T^{-1}$  (by 8.46). As it turns out, this is actually the minimal polynomial of  $T^{-1}$  (with the coefficients multiplied by 4). To see this, suppose by contradiction that it is not. Hence, the minimal polynomial of  $T$  has degree at most 4. This means that

$$a_0I + a_1T^{-1} + a_2T^{-2} + a_3T^{-3} + a_4T^{-4} = 0$$

for some  $a_0, a_1, a_2, a_3, a_4 \in \mathbb{F}$ , not all equal 0. Multiplying both sides of the equation above by  $T^4$ , we get

$$a_0T^4 + a_1T^3 + a_2T^2 + a_3T + a_4I = 0,$$

which is a contradiction, because the minimal polynomial of  $T$  has degree 5.

10. Solution: Let  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$  and  $d_1, \dots, d_m$  their corresponding multiplicities. Then

$$p(z) = (z-\lambda_1)^{d_1} \cdots (z-\lambda_m)^{d_m}.$$

The eigenvalues of  $T^{-1}$  are  $\frac{1}{\lambda_1}, \dots, \frac{1}{\lambda_m}$  and by Exercise 3 in section 8A they have multiplicities  $d_1, \dots, d_m$ . Thus

$$p(z) = (z-\frac{1}{\lambda_1})^{d_1} \cdots (z-\frac{1}{\lambda_m})^{d_m}.$$

$$= z^{d_1} \left( 1 - \frac{1}{\lambda_1 z} \right)^{d_1} \cdots z^{d_m} \left( 1 - \frac{1}{\lambda_m z} \right)^{d_m}$$

$$= z^{d_1 + \dots + d_m} \left( 1 - \frac{1}{\lambda_1 z} \right)^{d_1} \cdots \left( 1 - \frac{1}{\lambda_m z} \right)^{d_m}$$

$$= z^{d_1 + \dots + d_m} \frac{1}{\lambda_1^{d_1} \cdots \lambda_m^{d_m}} \left( \lambda_1 - \frac{1}{z} \right)^{d_1} \cdots \left( \lambda_m - \frac{1}{z} \right)^{d_m}$$

$$= z^{d_1 + \dots + d_m} \frac{(-1)^{d_1 + \dots + d_m}}{\lambda_1^{d_1} \cdots \lambda_m^{d_m}} \left( \frac{1}{z} - \lambda_1 \right)^{d_1} \cdots \left( \frac{1}{z} - \lambda_m \right)^{d_m}$$

$$= z^{d_1 + \dots + d_m} \frac{(-1)^{d_1 + \dots + d_m}}{\lambda_1^{d_1} \cdots \lambda_m^{d_m}} p\left(\frac{1}{z}\right)$$

$$= z^{d_1 + \dots + d_m} p\left(\frac{1}{z}\right).$$

11. Solution: Let  $z^m + \dots + a_1z + a_0$  be the minimal polynomial of  $T$ . Then

$$a_0I = -T^m - \dots - a_1T.$$

Multiplying both sides of the equation above by  $\frac{1}{a_0}T^{-1}$  gives the desired result (note that  $a_0$  is nonzero by Exercise 8).

12. Solution: Suppose  $V$  has a basis consisting of eigenvectors of  $T$ . Let  $\lambda_1, \dots, \lambda_m$  denote the distinct eigenvalues of  $T$ . Then, it is easy to see that

$$(T - \lambda_1 I) \cdots (T - \lambda_m I) = 0$$

by applying the left side of the equation to each of the basis vectors, because the parentheses commute. By 8.46,  $(z - \lambda_1) \cdots (z - \lambda_m)$  is a polynomial multiple of the minimal polynomial of  $T$ . This polynomial has no repeated zeros. Hence the minimal polynomial has no repeated zeros.

Conversely, suppose the minimal polynomial of  $T$ , call it  $p$ , has no repeated zeros. By 8.23,  $T$  has a basis of generalized eigenvectors. Let  $v$  be one vector in this basis. Then  $v \in G(\lambda, T)$  for some eigenvalue  $\lambda$  of  $T$ . By 8.49,  $\lambda$  is zero of  $p$ . Thus, we can write  $p(z) = (z-\lambda)q(z)$  for some polynomial  $q$  with  $q(\lambda) \neq 0$ . We have

$$0 = p(T)v = q(T)(T-\lambda)v.$$

Note that  $(T-\lambda)v \neq 0$  for all nonzero  $v \in G(\lambda, T)$  and all  $\alpha \in \mathbb{F}$  with  $\alpha \neq \lambda$ . This implies that  $(T-\lambda)v = 0$ , since  $G(\lambda, T)$  is invariant under every polynomial of  $T$  and so  $(T-\lambda)v \neq 0$  implies  $q(T)(T-\lambda)v \neq 0$  (because we've seen that  $q(T)v = 0$  implies  $q(T)(T-\lambda)v = 0$ ). Therefore  $v$  is an eigenvector of  $T$  and the basis of  $V$  consisting of generalized eigenvectors of  $T$  actually consists of eigenvectors of  $T$ .

13. Solution: If  $\mathbb{F} = \mathbb{C}$ , this follows directly from the previous exercise from the Complex Spectral Theorem (7.24).

14. Solution: As you can see in the solution to Exercise 10, the constant term in the characteristic polynomial, which equals  $p(0)$ , is 1 or  $-1$  times the

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## Chapter 8 Exercise D

Posted on August 4, 2016 by Linearity

1. Solution: By Exercise 11 in section 8B the characteristic polynomial is  $z^4$  and by 8.46 this is a polynomial multiple of the minimal polynomial. Since  $N^3 \neq 0$ , it follows that the minimal polynomial of  $N$  is  $z^4$ .

2. Solution: Similarly, the characteristic polynomial is  $z^6$  and a quick computation shows that the matrix of  $N$  equals 0 when raised to the third power, hence the minimal polynomial of  $N$  is  $z^3$ .

3. Solution: Let  $\mathcal{M}(N)$  denote the matrix of  $N$  with respect to some Jordan basis for  $N$ . Then  $\mathcal{M}(N)$  is a block diagonal matrix of the form

$$\mathcal{M}(N) = \begin{pmatrix} A_1 & & 0 \\ & \ddots & \\ 0 & & A_p \end{pmatrix}.$$

Because  $N$  is nilpotent, 0 is the only eigenvalue of  $N$  (see Exercise 7 in section 8A). Hence the diagonal entries of  $\mathcal{M}(N)$  are all 0 and each  $A_j$  has the following form

$$A_j = \begin{pmatrix} 0 & 1 & & 0 \\ & \ddots & \ddots & \\ & & \ddots & 1 \\ 0 & & & 0 \end{pmatrix}.$$

Thus every string of consecutive 1's corresponds to one of these blocks and its length is the same as the length of the side of the block minus 1. It is easy to see that if  $A_j$  is  $n$ -by- $n$ , then  $A_j^{n-1} \neq 0$  and  $A_j^n = 0$  (think of it as the matrix of an operator on a  $n$  dimensional vector space with respect some basis, each basis vector is mapped to the previous one, except the first one obviously, and to send the last one to 0 we have to apply the operator  $n$  times). Exercise 9 in section 8B now implies that  $\mathcal{M}(N)^m \neq 0$  and  $\mathcal{M}(N)^{m+1} = 0$ . Hence the minimal polynomial of  $N$  is  $z^{m+1}$ .

4. Solution: The difference is that the order of the blocks in the diagonal is reversed and the 1's appear on the line below the diagonal.

5. Solution: By Exercise 9 in section 8B we just need to square each block on the diagonal of the Jordan form of  $T$ . In other words, the matrix of  $T^2$  is a block diagonal matrix where each block has the following form

$$\begin{pmatrix} \lambda_j & 1 & & 0 \\ & \ddots & \ddots & \\ & & \ddots & 1 \\ 0 & & & \lambda_j \end{pmatrix}^2 = \begin{pmatrix} \lambda_j^2 & 2\lambda_j & & 0 \\ & \ddots & \ddots & \\ & & \ddots & 2\lambda_j \\ 0 & & & \lambda_j^2 \end{pmatrix}.$$

6. Solution: No vector in the span of

$$N^{m_1-1}v_1, \dots, Nv_1, v_1, \dots, N^{m_n-1}v_n, \dots, Nv_n, v_n$$

is in null  $N$ , because applying  $N$  to any such vector we get a linear combination of

$$N^{m_1}v_1, \dots, Nv_1, \dots, N^{m_n}v_n, \dots, Nv_n,$$

which is linearly independent. The vectors above are all in range  $N$ , therefore, by the Fundamental Theorem of Linear Maps (3.22), the dimension of null  $N$  is at most the dimension of  $V$  minus the dimension of the span of the vectors above, that is, at most  $n$ . Since  $N^{m_1}v_1, \dots, N^{m_n}v_n \in \text{null } N$  is linearly independent and has length  $n$ , it must be a basis of null  $N$ .

7. Solution: Let  $\lambda_1, \dots, \lambda_m$  denote the distinct zeros of  $p$  and  $q$ . Then

$$p(z) = (z - \lambda_1)^{d_1} \cdots (z - \lambda_m)^{d_m}$$

and

$$q(z) = (z - \lambda_1)^{h_1} \cdots (z - \lambda_m)^{h_m}$$

for some positive integers  $d_1, \dots, d_m, h_1, \dots, h_m$ , where  $h_j \geq d_j$  for each  $j$  (because  $q$  is a polynomial multiple of  $p$ ). Let  $A$  equal the following block diagonal matrix

$$A = \begin{pmatrix} A_1 & & 0 \\ & \ddots & \\ 0 & & A_m \end{pmatrix}$$

where each  $A_j$  is the  $h_j$ -by- $h_j$  matrix defined by

$$A_j = \begin{pmatrix} \lambda_j & 1 & & & & \\ & \ddots & \ddots & & & \\ & & \ddots & 1 & & \\ & & & \lambda_j & 0 & \\ & & & & \ddots & \\ 0 & & & & & \lambda_j \end{pmatrix}$$

where the 1's appear up to the  $d_j$ -th column and the 0's fill the rest. Note that  $A$  is a deg  $q$ -by-deg  $q$  matrix. Define  $T \in \mathcal{L}(\mathbb{C}^{\deg q})$  such that the matrix of  $T$  with respect to the standard basis is  $A$ . Then each  $\lambda_j$  is an eigenvalue of  $T$ , because  $A$  is upper triangular and  $\lambda_j$  appears on the diagonal of  $A$ . Moreover, the multiplicity of  $\lambda_j$  as an eigenvalue of  $T$  is  $h_j$ , by Exercise 11 in section 8B. Hence, the characteristic polynomial of  $T$  is  $q$ .

It is easy to see that  $(A_j - \lambda_j I)^{d_j-1} \neq 0$  but  $(A_j - \lambda_j I)^{d_j} = 0$  (we can use a reasoning similar to that of Exercise 3 to show this). This, together with Exercise 9 from section 8B, shows that the  $j$ -th block is nonzero in  $(A_j - \lambda_j I)^{d_j-1}$  and is zero in  $(A_j - \lambda_j I)^{d_j}$  (pay attention to this fact). It follows that

$$(A_j - \lambda_j I)^{d_1} \cdots (A_j - \lambda_m I)^{d_m} = 0.$$

Hence  $p(T) = 0$ . We claim now  $p$  is the minimal polynomial of  $T$ . To see this, suppose that it is not. Then we can subtract 1 from one of the exponents in the equation above and it will still hold. Thus, we can write

$$0 = p'(T)(T - \lambda_j)^{d_j-1}$$

for some  $j \in \{1, \dots, m\}$  and some polynomial  $p'$ , with  $p'(\lambda_j) \neq 0$ . Let  $v \in G(\lambda_j, T)$  such that  $(T - \lambda_j)^{d_j-1}v \neq 0$  (this  $v$  exists due to the fact we pinpointed before). We have

$$0 = p'(T)(T - \lambda_j)^{d_j-1}v,$$

but this is contradiction because  $(T - \lambda_j)^{d_j-1}v \in G(\lambda_j, T)$  and  $\lambda_j$  is not an zero of  $p'$ .

This entry was posted in Chapter 8 and tagged Exercise D.

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Marcel Ackermann



a year ago

8D3) It's sufficient to look at the blocks of the block diagonal matrix independently, because when multiplying the block don't interact with each other. We know that for nilpotent operators the eigenvalues are all 0. So lets look at only one upper-triangular matrix with the diagonal 0 and the values of the diagonal 0. What happens if you multiply that matrix with itself? The diagonal gets moved one up. Let m be the longest consecutive number of 0's, then it takes m+1 steps until all ones are "moved out". The characteristic polynomial of a nilpotent operator is  $z^{\dim V}$ , so we can confirm that the minimal polynomial is of the right form.

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a year ago

8D1) eigenvalue is 0 (with multiplicity of 4) according to 5.32: so the characteristic polynomial is  $(z-0)^4 = z^4$ . The minimal polynomial is  $z^4$ , because  $N^4 = 0$  (and no smaller multiplicity).

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## Chapter 9 Exercise A

Posted on September 1, 2016 by Linearity

1. Solution:  $V_C$  is clearly closed under addition. We can write each complex number in the form  $a + bi$  for some  $a, b \in \mathbb{R}$  and we have

$$(a + bi)(u + iv) = (au - bv) + i(av + bu) = (au - bv, av + bu) \in V \times V = V_C,$$

where the first equality follows from the definition and the second because the vectors inside both parentheses are in  $V$ . Thus  $V_C$  is closed under complex scalar multiplication. If 0 is the additive identity on  $V$ , then  $0 + i0$  is the additive identity on  $V_C$  because

$$(u + iv) + (0 + i0) = (u + 0) + i(v + 0) = u + iv.$$

One easily checks that the rest of the properties listed in 1.19 are satisfied by  $V_C$ . Therefore  $V_C$  is a complex vector space.

2. Solution: Let  $u_1, u_2, v_1, v_2 \in V$ . Then

$$\begin{aligned} T_C((u_1 + iv_1) + (u_2 + iv_2)) &= T_C((u_1 + u_2) + i(v_1 + v_2)) \\ &= T(u_1 + u_2) + iT(v_1 + v_2) \\ &= (Tu_1 + iTv_1) + (Tu_2 + iTv_2) \\ &= T_C(u_1 + iv_1) + T_C(u_2 + iv_2). \end{aligned}$$

Hence  $T_C$  satisfies the additivity property of linear maps. Now let  $u, v \in V$  and  $a, b \in \mathbb{R}$ . Then

$$\begin{aligned} T_C((a + bi)(u + iv)) &= T_C((au - bv) + i(av + bu)) \\ &= T(au - bv) + iT(av + bu) \\ &= (aTu - bTv) + i(aTv + bTu) \\ &= (a + bi)(Tu + iTv) \\ &= (a + bi)T_C(u + iv), \end{aligned}$$

where the fourth line follows from the definition of complex scalar multiplication on  $V_C$ . Hence  $T_C$  satisfies the homogeneity property of linear maps. Therefore  $T_C$  is a linear map.

3. Solution: The forward direction is obvious, because  $\mathbb{R} \subset C$ . For the other direction we can just repeat the same argument used in the proof of 9.4 to show the linear independence of  $v_1, \dots, v_n$ .

4. Solution: Suppose  $v_1, \dots, v_m$  spans  $V_C$ . Let  $v \in V$ . Then  $v + i0 \in V_C$  and we can write

$$v + i0 = \lambda_1 v_1 + \dots + \lambda_m v_m = (\operatorname{Re} \lambda_1 v_1 + \dots + \operatorname{Re} \lambda_m v_m) + i(\operatorname{Im} \lambda_1 v_1 + \dots + \operatorname{Im} \lambda_m v_m)$$

for some  $\lambda_1, \dots, \lambda_m \in \mathbb{C}$ . The equation above implies that

$$\operatorname{Re} \lambda_1 v_1 + \dots + \operatorname{Re} \lambda_m v_m = v.$$

Therefore  $v \in \operatorname{span}(v_1, \dots, v_m)$ . Hence  $v_1, \dots, v_m$  spans  $V$ .

Conversely, suppose  $v_1, \dots, v_m$  spans  $V$ . Then we can reduce this list to a basis of  $V$ . But a basis of  $V$  is also a basis  $V_C$ . Therefore we can reduce  $v_1, \dots, v_m$  to a basis of  $V_C$  and this implies that it spans  $V_C$ .

5. Solution: Suppose  $u, v \in V$ . Then

$$\begin{aligned} (S + T)_C(u + iv) &= (S + T)u + i(S + T)v \\ &= (Su + iSv) + (Tu + iTv) \\ &= S_C(u + iv) + T_C(u + iv). \end{aligned}$$

Thus  $(S + T)_C = S_C + T_C$ . Now suppose  $\lambda \in \mathbb{R}$ . Then

$$(S + T)_C(u + iv) = \lambda Tu + \lambda iTv = \lambda(Tu + iTv) = \lambda T_C(u + iv).$$

Therefore  $(\lambda T)_C = \lambda T_C$ .

6. Solution: Suppose  $T_C$  is invertible. Then, because  $T_C$  is surjective, for every  $w \in V$  there exist  $u, v \in V$  such that  $T_C(u + iv) = w + i0$ . This means that

$$Tu + iTv = w + i0.$$

Thus  $Tu = w$ . Hence  $T$  is surjective and therefore invertible.

Conversely, suppose  $T$  is invertible. Let  $u, v \in V$ . By surjectivity of  $T$ , there exist  $\hat{u}, \hat{v} \in V$  such that  $T\hat{u} = u$  and  $T\hat{v} = v$ . Thus

$$T_C(\hat{u} + i\hat{v}) = T\hat{u} + iT\hat{v} = u + iv.$$

Since  $u$  and  $v$  were arbitrary, it follows that  $T_C$  is surjective and therefore invertible.

7. Solution: Suppose  $N_C$  is nilpotent. By 8.18, for any  $v \in V$ , we have

$$0 + i0 = (N_C)^{\dim V}(v + i0) = N^{\dim V}v + i0$$

where the second equality follows from 9.9. This implies that  $N^{\dim V}v = 0$ . Thus  $N$  is nilpotent.

The other direction is obvious from 9.9 and the definitions.

8. Solution: If  $T_C$  had a nonreal eigenvalue  $\lambda$ , then by 9.11 and 9.16 it would have 4 eigenvalues, namely  $5, 7, \bar{\lambda}, \tilde{\lambda}$ , which is a contradiction because the dimension of  $(\mathbb{R}^3)_C$  equals 3 (see 9.4 (b)) and  $T_C$  has at most 3 eigenvalues (see 5.13).

9. Solution: Suppose by contradiction that  $T \in \mathcal{L}(\mathbb{R}^7)$  is such that  $T^2 + T + I$  is nilpotent. Then by Exercise 7 ( $T^2 + T + I_C$ ) is also nilpotent and its minimal polynomial is of the form  $z^j$  for some positive integer  $j$  (because 0 is its only eigenvalue, see Exercise 7 in section 8A and 8.49). We have

$$z^2 + z + 1 = \left(z - \frac{-1 + i\sqrt{3}}{2}\right) \left(z - \frac{-1 - i\sqrt{3}}{2}\right).$$

Define  $p \in \mathcal{P}(\mathbb{R})$  by

$$p(z) = (z^2 + z + 1)^j.$$

Then  $p$  has no real roots and  $p(T_C) = 0$ . This is a contradiction, because  $p$  must be a polynomial multiple of the minimal polynomial of  $T_C$  (by 8.46) and the minimal polynomial of  $T_C$  has at least one real root (see 9.19, 9.11 and 8.49).

10. Solution: Choose  $\lambda \in \mathbb{C}$  such that  $\lambda^2 + \lambda + 1 = 0$  and define  $T \in \mathcal{L}(\mathbb{C}^7)$  by

$$Tu = \lambda v$$

for all  $v \in \mathbb{C}^7$ . Then

$$(T^2 + T + I)v = T^2v + Tv + Iv = (\lambda^2 + \lambda + 1)v = 0.$$

Therefore  $T^2 + T + I$  is nilpotent.

11. Solution: From the definitions, it is easy to see that

$$(T_C^2 + bT_C + cI)^j = 0.$$

Therefore  $z^2 + bz + c$  is polynomial multiple of the minimal polynomial of  $T_C$  (see 8.46). Note that, because this polynomial has real coefficients, it either has two real roots or two nonreal roots. Thus  $T$  has an eigenvalue if and only if  $T_C$  has a real root (see 9.10), which happens if and only if  $z^2 + bz + c$  has a real root (by the previous reasoning and 8.49), which happens if and only if  $b^2 \geq 4c$ .

12. Solution: By Exercise 3 in section 8D, the minimal polynomial of  $T^2 + bT + cI$  is of the form  $z^m$  for some positive integer  $m$ . Let the  $p$  be the polynomial defined by

$$p(z) = (z^2 + bz + c)^m.$$

Then  $p$  has no real roots (because  $z^2 + bz + c$  does not) and  $p(T_C) = 0$ . Thus 8.46 and 8.49 imply that  $T_C$  has no real eigenvalues. Now 9.10 implies that  $T$  has no eigenvalues.

13. Solution: We have

$$z^2 + bz + c = (z - \lambda)(z - \bar{\lambda})$$

for some nonreal  $\lambda \in \mathbb{C}$ . Suppose  $v \in \operatorname{null}(T_C^2 + bT_C + cI)^j$ . Then

$$0 = (T_C^2 + bT_C + cI)^j v = (T_C - \lambda I)^j (T_C - \bar{\lambda} I)^j v.$$

It is easy to check that  $v$  is of the form  $v = u + w$  where  $u \in G(\lambda, T_C)$  and  $w \in G(\bar{\lambda}, T_C)$ . We have

$$0 = (T_C - \lambda I)^j (T_C - \bar{\lambda} I)^j v = (T_C - \lambda I)^j (T_C - \bar{\lambda} I)u + (T_C - \lambda I)^j (T_C - \bar{\lambda} I)w.$$

Since each generalized eigenspace is invariant under  $T_C$  and every vector in  $V$  (the left side of the equation) can be written uniquely as linear combination of generalized eigenvectors that correspond to distinct eigenvalues, the equation above implies that

$$(T_C - \lambda I)^j (T_C - \bar{\lambda} I)^j u = 0 \text{ and } (T_C - \lambda I)^j (T_C - \bar{\lambda} I)^j w = 0.$$

Hence  $u \in \operatorname{null}(T_C - \lambda I)^j$  and  $w \in \operatorname{null}(T_C - \bar{\lambda} I)^j$ . Therefore

$$\operatorname{null}(T_C^2 + bT_C + cI)^j \subset \operatorname{null}(T_C - \lambda I)^j \oplus \operatorname{null}(T_C - \bar{\lambda} I)^j.$$

The inclusion in the other direction is obvious. Thus

$$\operatorname{null}(T_C^2 + bT_C + cI)^j = \operatorname{null}(T_C - \lambda I)^j \oplus \operatorname{null}(T_C - \bar{\lambda} I)^j,$$

which gives us that

$$\dim \operatorname{null}(T_C^2 + bT_C + cI)^j = \dim \operatorname{null}(T_C - \lambda I)^j + \dim \operatorname{null}(T_C - \bar{\lambda} I)^j.$$

By 9.12, the two dimensions on the right side of the equation above are equal. Therefore the left side is even. One easily checks that

$$(\operatorname{null}(T^2 + bT + cI))_C = \operatorname{null}(T_C^2 + bT_C + cI)_C.$$

Now 9.4 (b) yields the desired result.

14. Solution: Because it is nilpotent, the minimal polynomial of  $T_C^2 + T_C + I$  is of the form  $z^m$  for some positive integer  $m$ . We have

$$z^2 + z + 1 = (z - \lambda)(z - \bar{\lambda})$$

for some nonreal  $\lambda \in \mathbb{C}$ . Thus

$$0 = (T_C^2 + T_C + I)^m = (T_C - \lambda I)^m (T_C - \bar{\lambda} I)^m.$$

This, together with 9.16, implies that the eigenvalues of  $T_C$  are  $\lambda$  and  $\bar{\lambda}$ , with equal multiplicities, namely 4.

The characteristic polynomial of  $T_C$ , and of  $T$  as well by definition, is therefore

$$(z - \lambda)^4(z - \bar{\lambda})^4.$$

which equals  $(z^2 + z + 1)^4$ . By the Cayley-Hamilton Theorem (9.24), it follows that

$$(T^2 + T + I)^4 = 0.$$

15. Solution: Suppose  $U$  is a subspace of  $V$  invariant under  $T$ . Because  $T|_U$  doesn't have an eigenvalue, 9.19 implies that  $U$  has even dimension.

16. Solution: Suppose  $T$  is such that  $T^2 = -I$ . Then clearly  $T$  does not have an eigenvalue. Then 9.19 implies that  $\dim V$  is even.

Conversely, suppose  $V$  has even dimension. Let  $v_1, \dots, v_n, u_1, \dots, u_n$  be a basis of  $V$ . Define  $T \in \mathcal{L}(V)$  by

$$Tv_j = -u_j, Tu_j = v_j$$

for each  $j = 1, \dots, n$ . We have

$$(T^2 + T + I)v = T^2v + Tv + Iv = (-I + I)v = 0.$$

Therefore  $T^2 + T + I$  is nilpotent.

17. Solution:

(a) Obviously  $V$  closed under addition and complex scalar multiplication. One can easily check that the other properties in 1.19 are satisfied.

(b) Let  $n$  be the integer such that  $\dim V = 2n$  and consider the following process.

- Step 1: Choose a nonzero  $v_1 \in V$ . Then  $v_1, T_C v_1$  is linearly independent in  $V$  as real vector space because  $v_1$  is not an eigenvector of  $T$  (because  $T$  has no eigenvectors). Set  $U_1 = \operatorname{span}(v_1, T_C v_1)$ . Then  $\dim U_1 = 2$  and  $U_1$  is invariant under  $T$ .

- Step  $j$ : If  $j = n + 1$ , stop the process. We have that

$$\dim U_{j-1} = 2(j-1) \leq 2n-2 < \dim V,$$

$U_{j-1}$  is invariant under  $T$  and

$$v_1, T_C v_1, \dots, v_{j-1}, T_C v_{j-1}$$

is a basis of  $U_{j-1}$ . Hence there exists a nonzero  $w \in V$  such that  $w \notin U_{j-1}$ . Since  $T$  is surjective, there exists  $v_j \in V$  such that  $Tv_j = w$ . Thus  $v$

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## Chapter 9 Exercise B

Posted on September 2, 2016 by Linearity

1. Solution: Choose an orthonormal basis of  $\mathbb{R}^3$  that puts the matrix of  $S$  in the form given by 9.36. Since  $M(S)$  is a 3-by-3 matrix, one of the diagonal blocks is a 1-by-1 matrix containing 1 or -1. Hence  $Sx = x$  or  $Sx = -x$  for some nonzero vector  $x$  in the chosen basis. Applying  $S$  again in the two cases gives  $S^2x = x$ , as desired.

Geometrically speaking, an isometry on  $\mathbb{R}^3$  is a rotation about an axis, perhaps with a reflexion through a plane orthogonal to the axis. Hence an isometry on  $\mathbb{R}^3$  either sends the vectors in the axis to themselves or to their reflexion. If it's the first case, we already have what we wanted to prove, if it's the second, applying the isometry again sends the reflexions of the vectors back to the vectors themselves.

2. Solution: This basically the same as the previous exercise. Every operator on an odd-dimensional vector space has  $n$ -by- $n$  matrix for some odd integer  $n$ , hence one of the diagonal blocks is a 1-by-1 matrix containing 1 or -1. The basis vector that corresponds to column where this vector appears is an eigenvector corresponding to 1 or -1.

3. Solution: For  $u_1, u_2, v_1, v_2 \in V$  we have

$$\begin{aligned} & \langle (u_1 + iv_1) + (u_1 + iv_2), x + iy \rangle \\ &= \langle (u_1 + u_2) + i(v_1 + v_2), x + iy \rangle \\ &= \langle u_1 + u_2, x \rangle + \langle v_1 + v_2, y \rangle + (\langle v_1 + v_2, x \rangle - \langle u_1 + u_2, y \rangle)i \\ &= \langle u_1, x \rangle + \langle u_2, x \rangle + \langle v_1, y \rangle + \langle v_2, x \rangle + (\langle v_1, x \rangle - \langle u_1, y \rangle)i + (\langle v_2, x \rangle - \langle u_2, y \rangle)i \\ &= \langle u_1 + iv_1, x + iy \rangle + \langle u_2 + iv_2, x + iy \rangle. \end{aligned}$$

Therefore it satisfies additivity in the first slot. For  $a, b \in \mathbb{R}$ , we have

$$\begin{aligned} \langle (a + bi)(u + iv), x + iy \rangle &= \langle (au - bv) + i(av + bu), x + iy \rangle \\ &= \langle au - bv, x \rangle + \langle av + bu, y \rangle + (\langle av + bu, x \rangle - \langle au - bv, y \rangle)i \\ &= \langle au, x \rangle - \langle bv, x \rangle + \langle av, y \rangle + \langle bu, y \rangle + (\langle av, x \rangle - \langle au, y \rangle)i + (\langle bu, x \rangle + \langle bv, y \rangle)i \\ &= \langle au, x \rangle + \langle av, y \rangle + (\langle av, x \rangle - \langle au, y \rangle)i - \langle bv, x \rangle + \langle bu, y \rangle + (\langle bu, x \rangle + \langle bv, y \rangle)i \\ &= a\langle u + iv, x + iy \rangle + bi(\langle v, x \rangle - \langle u, y \rangle) + \langle u, x \rangle + \langle v, y \rangle \\ &= a\langle u + iv, x + iy \rangle + bi\langle u + iv, x + iy \rangle \\ &= (a + bi)\langle u + iv, x + iy \rangle \end{aligned}$$

Hence homogeneity in the first slot is satisfied. For positivity, we have

$$\langle u + iv, u + iv \rangle = \langle u, u \rangle + \langle v, v \rangle + (\langle v, u \rangle - \langle u, v \rangle)i = \langle u, u \rangle + \langle v, v \rangle \geq 0,$$

where the second equality follows because  $V$  is a real inner product space. The equation above also displays definiteness, because the left side equals 0 if and only if  $u = v = 0$ , in other words, if  $u + iv = 0$ . For conjugate symmetry, we have

$$\begin{aligned} \langle u + iv, x + iy \rangle &= \langle u, x \rangle + \langle v, y \rangle - (\langle v, x \rangle - \langle u, y \rangle)i \\ &= \langle x, u \rangle + \langle y, v \rangle - (\langle x, v \rangle - \langle y, u \rangle)i \\ &= \langle x + iy, u + iv \rangle. \end{aligned}$$

4. Solution: Choose an orthonormal basis of  $V$ . Then this is also a basis of  $V_{\mathbb{C}}$ , see (9.4). We have

$$M(T_{\mathbb{C}}) = M(T) = M(T^*) = M((T^*)_{\mathbb{C}}) = M((T_{\mathbb{C}})^*),$$

where the first and third equalities follow from 9.7, the second from 7.10 and the fourth from 9.30 (c) (take  $U = V$ ). This implies that  $T_{\mathbb{C}} = (T_{\mathbb{C}})^*$ .

5. Solution: Suppose  $V$  is a real inner product space and  $T \in \mathcal{L}(V)$  is self-adjoint. Then  $T_{\mathbb{C}}$  is a self-adjoint operator on the complex inner product space  $V_{\mathbb{C}}$  defined in Exercise 3. By the Complex Spectral Theorem, there is an orthonormal basis  $e_1 + if_1, \dots, e_n + if_n$  of  $V_{\mathbb{C}}$  consisting of eigenvectors of  $T_{\mathbb{C}}$ . By 7.13, the eigenvalues of  $T_{\mathbb{C}}$  are all real. Thus, there are  $\lambda_1, \dots, \lambda_n \in \mathbb{R}$  such that

$$Te_j + iTf_j = T_{\mathbb{C}}(e_j + if_j) = \lambda_j e_j + i\lambda_j f_j$$

for each  $j$ . The equation above shows that the  $e$ 's and  $f$ 's are eigenvectors of  $T$ . Fix  $j \in \{1, \dots, n\}$ . Let  $e'_1 + if'_1, \dots, e'_{d(\lambda_j)} + if'_{d(\lambda_j)}$  denote the basis vectors that correspond to  $\lambda_j$ , where  $d(\lambda_j) = \dim E(\lambda_j, T_{\mathbb{C}})$ . Then the list

$$e'_1, f'_1, \dots, e'_{d(\lambda_j)}, f'_{d(\lambda_j)} \in E(\lambda_j, T)$$

is in  $E(\lambda_j, T)$ . Since  $E(\lambda_j, T_{\mathbb{C}})$  is contained in the complex span of the list above, it follows that the dimension of the complex span of the list above is at least  $d(\lambda_j)$ . Thus, by 9.4, the dimension of the real span of the list above is at least  $d(\lambda_j)$ . Hence  $\dim E(\lambda_j, T) \geq d(\lambda_j)$ . Because

$$\dim E(\lambda_1, T) + \dots + \dim E(\lambda_n, T) \leq \dim V$$

and

$$d(\lambda_1) + \dots + d(\lambda_n) = \dim V$$

we must have  $\dim E(\lambda_j, T) = d(\lambda_j)$  for each  $j$ . The sum of eigenspaces corresponding to different eigenvalues is a direct sum. The equation above thus shows that the sum of the eigenspaces of  $T$  has the same dimension as  $V$ . This means that

$$E(\lambda_1, T) \oplus \dots \oplus E(\lambda_n, T) = V.$$

For each eigenspace of  $T$ , we can select an orthonormal basis. Putting these bases together, we get a basis of  $V$  (by the equation above) consisting of eigenvectors of  $T$ . By 7.22, this basis is orthonormal.

6. Solution: Define  $T \in \mathcal{L}(\mathbb{R}^2)$  by

$$T(x, y) = (x + y, y).$$

Then  $\text{span}((1, 0))$  is invariant under  $T$ , however, its orthogonal complement, namely  $\text{span}((0, 1))$ , is not, because

$$T(0, 1) = (1, 1) \notin \text{span}((0, 1)).$$

7. Solution: We have

$$M(T_1 \cdots T_m) = M(T_1) \cdots M(T_m) = M(T),$$

where the second equality follows from Exercise 9 in section 8B. The left and right side of the equation above imply that  $T_1 \cdots T_m = T$ .

8. Solution: Define

$$e_j = \frac{\cos jx}{\sqrt{\pi}} \text{ and } f_j = \frac{\sin jx}{\sqrt{\pi}}$$

for each  $j = 1, \dots, n$ . By Exercise 4 in section 6B, the list  $\frac{1}{\sqrt{2\pi}}, f_1, e_1, \dots, f_n, e_n$  is an orthonormal basis of  $V$ .

Note that  $D \frac{1}{\sqrt{2\pi}} = 0$ ,  $Df_j = je_j$  and  $De_j = -if_j$ . Hence, the matrix of  $D$  with respect to this basis has the desired form, where the first block is the 1-by-1 matrix  $(0)$  and others are of the form

$$\begin{pmatrix} 0 & -j \\ j & 0 \end{pmatrix}$$

for some  $j \in \{1, \dots, n\}$ .



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## Chapter 10 Exercise A

Posted on October 1, 2016 by Linearity

1. Solution: If  $T$  is invertible, then there exists  $S \in \mathcal{L}(V)$  such that  $TS = ST = I$ . Then it follows from 10.4 that

$$\mathcal{M}(S, (v_1, \dots, v_n))\mathcal{M}(T, (v_1, \dots, v_n)) = \mathcal{M}(ST, (v_1, \dots, v_n)) = I$$

$$\mathcal{M}(T, (v_1, \dots, v_n))\mathcal{M}(S, (v_1, \dots, v_n)) = \mathcal{M}(TS, (v_1, \dots, v_n)) = I.$$

Hence  $\mathcal{M}(T, (v_1, \dots, v_n))$  is invertible.

If  $\mathcal{M}(T, (v_1, \dots, v_n))$  is invertible, then there exists  $B \in \mathbb{F}^{n,n}$  such that

$$B\mathcal{M}(T, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))B.$$

Note that by 3.60, we have an isomorphism between  $\mathcal{L}(V)$  and  $\mathbb{F}^{n,n}$  by taking  $W = V$  and  $w_i = v_i$  for all  $i$ , we can choose  $S \in \mathcal{L}(V)$  such that  $B = \mathcal{M}(S, (v_1, \dots, v_n))$ . Again by 10.4, we have

$$\mathcal{M}(ST, (v_1, \dots, v_n)) = B\mathcal{M}(T, (v_1, \dots, v_n)) = I,$$

$$\mathcal{M}(TS, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))B = I.$$

Therefore  $ST = I$  and  $TS = I$ , hence  $T$  is invertible.

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 1](#).

2. Solution: Let  $V$  be  $\mathbb{F}^n$ , where  $n$  is the number of rows of  $A$ . Fix a basis  $v_1, \dots, v_n$  of  $V$ . By 3.60, we choose  $T, S \in \mathcal{L}(V)$  such that

$$\mathcal{M}(T, (v_1, \dots, v_n)) = A \quad \text{and} \quad \mathcal{M}(S, (v_1, \dots, v_n)) = B.$$

Since  $AB = I$ , it follows from 10.4 that

$$\mathcal{M}(TS, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))\mathcal{M}(S, (v_1, \dots, v_n)) = AB = I,$$

hence  $TS = I$ . By [Problem 10 of Exercise 3D](#), we have  $ST = I$ . Again by 10.4, we have

$$BA = \mathcal{M}(S, (v_1, \dots, v_n))\mathcal{M}(T, (v_1, \dots, v_n)) = \mathcal{M}(ST, (v_1, \dots, v_n)) = I.$$

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 2](#).

3. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 3](#).

4. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 4](#).

5. Solution: Let  $V = \mathbb{C}^n$ , where  $n$  is the number of the rows of  $B$ . Choose a basis  $v_1, \dots, v_n$  of  $V$ . Let  $T \in \mathcal{L}(V)$  so that

$$\mathcal{M}(T, (v_1, \dots, v_n)) = B.$$

By 8.29, there exists another basis  $w_1, \dots, w_n$  of  $V$  such that  $\mathcal{M}(T, (w_1, \dots, w_n))$  is an upper-triangular matrix.

Let  $A = \mathcal{M}(T, (w_1, \dots, w_n), (v_1, \dots, v_n))$ , then  $A$  is invertible. It follows from 10.7 that

$$\mathcal{M}(T, (w_1, \dots, w_n)) = A^{-1}\mathcal{M}(T, (v_1, \dots, v_n))A = A^{-1}BA.$$

Since  $\mathcal{M}(T, (w_1, \dots, w_n))$  is an upper-triangular matrix, so is  $A^{-1}BA$ .

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 5](#).

6. Solution: Let  $V = \mathbb{R}^2$ , then  $e_1 = (1, 0)$ ,  $e_2 = (0, 1)$  is a basis of  $V$ . Let  $T$  be the unique operator in  $\mathcal{L}(V)$  such that  $Te_1 = e_2$  and  $Te_2 = -e_1$ . Then

$$T^2e_1 = Te_2 = -e_1 \quad \text{and} \quad T^2e_2 = -Te_1 = -e_2.$$

Hence  $\mathcal{M}(T^2, (e_1, e_2)) = -I$ . In particular,  $\text{trace } T^2 = -2 < 0$ .

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 6](#).

7. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 7](#).

8. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 8](#).

9. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 9](#).

10. Solution: Choose an orthonormal basis  $e_1, \dots, e_n$  of  $V$ . By 7.10, we have

$$\mathcal{M}(T^*, (e_1, \dots, e_n)) = \overline{\mathcal{M}(T, (e_1, \dots, e_n))^T}.$$

By the definition of the trace of a matrix, we have  $\text{trace } A^T = \text{trace } A$  for any square matrix  $A$ . Therefore, by 10.16, we have

$$\begin{aligned} \text{trace } T^* &= \text{trace}(\mathcal{M}(T^*, (e_1, \dots, e_n))) \\ &= \text{trace}(\overline{\mathcal{M}(T, (e_1, \dots, e_n))^T}) \\ &= \overline{\text{trace}(\mathcal{M}(T, (e_1, \dots, e_n)))} \\ &= \overline{\text{trace}(\mathcal{M}(T, (e_1, \dots, e_n)))} \\ &= \text{trace } T. \end{aligned}$$

*Here we use the fact that  $\text{trace } \bar{A} = \text{trace } A$  for any square matrix  $A$ . Why? Prove it.*

11. Solution: By 7.35, we know that  $T$  is self-adjoint and all the eigenvalues of  $T$  are nonnegative. By the Spectral Theorem,  $T$  has a diagonal matrix with respect to some orthonormal basis of  $V$ . Fix this orthonormal basis of  $V$ . Then  $\text{trace } T = \text{trace}(\mathcal{M}(T)) = 0$ .

Note that  $\mathcal{M}(T)$  is a diagonal matrix with the eigenvalues of  $T$  on the diagonal entries and the fact that all the eigenvalues of  $T$  are nonnegative, it follows from  $\text{trace}(\mathcal{M}(T)) = 0$  that all the eigenvalues of  $T$  are zero. Hence  $\mathcal{M}(T) = 0$ , which implies  $T = 0$ .

12. Suppose  $V$  is an inner product space and  $P, Q \in \mathcal{L}(V)$  are orthogonal projections. Prove that  $\text{trace}(PQ) \geq 0$ .

13. Solution: Since  $\text{trace}(T)$  is the sum of all eigenvalues and the sum of the diagonal entries of  $\mathcal{M}(T)$ . Hence the third eigenvalue of  $T$  is

$$51 + (-40) + 1 - (-48) - 24 = 36.$$

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 12](#).

14. Solution: Choose a basis of  $V$ . We have  $\text{trace}(cT) = \text{trace}(\mathcal{M}(cT))$  and  $\text{trace}(T) = \text{trace}(\mathcal{M}(T))$  by 10.16. Note that by 3.38, we have  $\mathcal{M}(cT) = c\mathcal{M}(T)$ . Therefore, we have

$$\text{trace}(\mathcal{M}(cT)) = \text{trace}(c\mathcal{M}(T)) = c\text{trace}(\mathcal{M}(T)).$$

Hence

$$\text{trace}(cT) = \text{trace}(\mathcal{M}(cT)) = c\text{trace}(\mathcal{M}(T)) = c\text{trace}(T).$$

15. Solution: Choose a basis of  $V$ . By 10.4, 10.14 and 10.16, we have

$$\begin{aligned} &\text{trace}(ST) \\ &\text{by 10.16} = \text{trace}(\mathcal{M}(ST)) \\ &\text{by 10.4} = \text{trace}(\mathcal{M}(S)\mathcal{M}(T)) \\ &\text{by 10.14} = \text{trace}(\mathcal{M}(T)\mathcal{M}(S)) \\ &\text{by 10.4} = \text{trace}(\mathcal{M}(ST)) \\ &\text{by 10.16} = \text{trace}(TS). \end{aligned}$$

16. Solution: Let  $V = \mathbb{F}^2$ . Fix a basis  $v_1, v_2$  of  $V$ . Let  $S = T$ , then  $\text{trace}(ST) = \text{trace}(I) = 2$  and

$$\text{trace}(S) = \text{trace}(T) = 2.$$

However

$$\text{trace}(ST) = 2 \neq 4 = \text{trace}(S)\text{trace}(T).$$

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 14](#).

17. Solution: We assume that  $\dim V = n$ . If  $T \neq 0$ , then  $\text{Ker}(T) \neq V$ . Let  $v_{m+1}, \dots, v_n$  be a basis of  $\text{Ker}(T)$ , then  $m \geq 1$ . Extend  $v_{m+1}, \dots, v_n$  to a basis  $v_1, \dots, v_n$  of  $V$ . By the proof of 3.22, we have  $Tv_1, \dots, Tv_m$  is linearly independent in  $V$ . Hence we can extend  $Tv_1, \dots, Tv_m$  to a basis  $Tv_1, \dots, Tv_m, w_{m+1}, \dots, w_n$ . Hence there exists  $S \in \mathcal{L}(V)$  such that  $S(Tv_1) = v_1$  (since  $m \geq 1$ , this is possible),  $S(Tv_i) = 0$  for all  $i = 2, \dots, m$  and  $Sw_j = 0$  for all  $j = m+1, \dots, n$ . Then we have

$$STv_1 = v_1, \quad STv_i = 0, \quad STv_j = 0, \quad i = 2, \dots, m, \quad j = m+1, \dots, n.$$

Thus 1, 0 are all the eigenvalues of  $ST$ . Moreover, the eigenvalue 1 has multiplicity one while the eigenvalue 0 has multiplicities  $n-1$ . Since trace is the sum of eigenvalues with multiplicity, we have  $\text{trace}(ST) = 1$  and we get a contradiction. Therefore  $T = 0$ .

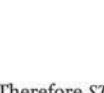
See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 15](#).

18. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 16](#).

19. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 18](#).

20. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 17](#).

21. Solution: See [Linear Algebra Done Right Solution Manual Chapter 10 Problem 19](#).



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## Chapter 10 Exercise A

Posted on October 1, 2016 by Linearity

1. Solution: If  $T$  is invertible, then there exists  $S \in \mathcal{L}(V)$  such that  $TS = ST = I$ . Then it follows from 10.4 that

$$\mathcal{M}(S, (v_1, \dots, v_n))\mathcal{M}(T, (v_1, \dots, v_n)) = \mathcal{M}(ST, (v_1, \dots, v_n)) = I$$

$$\mathcal{M}(T, (v_1, \dots, v_n))\mathcal{M}(S, (v_1, \dots, v_n)) = \mathcal{M}(TS, (v_1, \dots, v_n)) = I.$$

Hence  $\mathcal{M}(T, (v_1, \dots, v_n))$  is invertible.

If  $\mathcal{M}(T, (v_1, \dots, v_n))$  is invertible, then there exists  $B \in \mathbb{F}^{n,n}$  such that

$$B\mathcal{M}(T, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))B.$$

Note that by 3.60, we have an isomorphism between  $\mathcal{L}(V)$  and  $\mathbb{F}^{n,n}$  by taking  $W = V$  and  $w_i = v_i$  for all  $i$ , we can choose  $S \in \mathcal{L}(V)$  such that  $B = \mathcal{M}(S, (v_1, \dots, v_n))$ . Again by 10.4, we have

$$\mathcal{M}(ST, (v_1, \dots, v_n)) = B\mathcal{M}(T, (v_1, \dots, v_n)) = I,$$

$$\mathcal{M}(TS, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))B = I.$$

Therefore  $ST = I$  and  $TS = I$ , hence  $T$  is invertible.

See also [Linear Algebra Done Right Solution Manual Chapter 10 Problem 1](#).

2. Solution: Let  $V$  be  $\mathbb{F}^n$ , where  $n$  is the number of rows of  $A$ . Fix a basis  $v_1, \dots, v_n$  of  $V$ . By 3.60, we choose  $T, S \in \mathcal{L}(V)$  such that

$$\mathcal{M}(T, (v_1, \dots, v_n)) = A \quad \text{and} \quad \mathcal{M}(S, (v_1, \dots, v_n)) = B.$$

Since  $AB = I$ , it follows from 10.4 that

$$\mathcal{M}(TS, (v_1, \dots, v_n)) = \mathcal{M}(T, (v_1, \dots, v_n))\mathcal{M}(S, (v_1, \dots, v_n)) = AB = I,$$

hence  $TS = I$ . By <

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## Chapter 10 Exercise B

Posted on October 2, 2016 by Linearity

1. Solution. Because  $T_C$  has no real eigenvalues, if  $\lambda$  is an eigenvalue of  $T_C$ , then  $\bar{\lambda}$  is also an eigenvalue of  $T_C$  with equal multiplicity. The determinant of  $T_C$ , which equals the determinant of  $T$ , is thus a product of terms of the form  $\lambda\bar{\lambda} = |\lambda|^2$ , which are all greater than 0, because 0 is not eigenvalue of  $T$ . Hence  $\det T > 0$ .

2. Solution. By the previous exercise,  $T$  has at least one eigenvalue  $\alpha$ , which is negative because  $\det T < 0$ . Suppose by contradiction that  $\alpha$  is the only eigenvalue of  $T$ . Then this can't be the only eigenvalue of  $T_C$ , otherwise the  $\det T$  would equal  $\lambda^{\dim V}$  which is positive (because  $\dim V$  is even). The other eigenvalues of  $T_C$  are nonreal, so they come in pairs and won't change sign of  $\det T$ . It follows that  $\det T$  is a product of absolute values times  $\lambda$  raised to its multiplicity. Thus the multiplicity of  $\lambda$  must be odd. This is a contradiction, because the sum of the multiplicities of the other eigenvalues of  $T_C$  is even (because they come in pairs) and the sum of all multiplicities must equal  $\dim V$ , which is even. Hence, our assumption that  $\lambda$  was the only eigenvalue of  $T$  is false.

3. Solution. (a) The characteristic polynomial of  $T$  is

$$(z - \lambda_1)(z - \lambda_2) \cdots (z - \lambda_n).$$

Expanding this product we see that the coefficient of  $z^{n-2}$  is the sum of the products of all pairs of distinct eigenvalues. In other words, it equals

$$\lambda_1 \sum_{j=2}^n \lambda_j + \lambda_2 \sum_{j=3}^n \lambda_j + \cdots + \lambda_{n-1} \sum_{j=n}^n \lambda_j.$$

(b) It equals the sum of the products of the eigenvalues of  $T$  with one term missing:

$$\sum_{j=1}^n \prod_{k \neq j} (-1)^{n-1} \lambda_k.$$

More succinctly, assuming 0 is not an eigenvalue of  $T$ :

$$\sum_{j=1}^n (-1)^{n-1} \frac{\det T}{\lambda_j}.$$

4. Solution. This follows easily from the definitions. If  $\lambda$  is an eigenvalue of  $T$ , or  $T_C$ , then  $c\lambda$  is an eigenvalue of  $cT$ , or  $(cT)_C$ , with equal multiplicity. Let  $\lambda_1, \dots, \lambda_n$  denote the eigenvalues of  $T_C$ , repeated according to its multiplicity. Then  $c\lambda_1, \dots, c\lambda_n$  are the eigenvalues of  $(cT)_C$  and we have

$$\det(cT) = (c\lambda_1) \cdots (c\lambda_n) = c^{\dim V} \lambda_1 \cdots \lambda_n = c^{\dim V} \det T.$$

5. Solution We give a counterexample. Let  $I$  be the identity operator on  $\mathbb{R}^2$ . Then

$$\det(I - I) = 0 \neq 2 = \det(I) + \det(-I).$$

7. Solution. From 10.16, we have

$$\text{trace } S = \text{trace } A = \text{trace } T$$

and, from 10.42, we have

$$\det S = \det A = \det T.$$

8. Solution. Suppose  $\mathbb{F} = \mathbb{C}$ . Choose an orthonormal basis of  $V$  with respect to which the matrix of  $T$  is upper triangular. Then, by 10.35, the determinant of  $\mathcal{M}(T)$  equals the product of the entries on the diagonal. By the same reasoning used in 10.35, the determinant of  $\mathcal{M}(T^*)$  is also the product of the entries on diagonal. The diagonal entries of  $\mathcal{M}(T^*)$  are the conjugates of the diagonal entries of  $\mathcal{M}(T)$ . Hence  $\det \mathcal{M}(T^*) = \overline{\det \mathcal{M}(T)}$ . Now 10.42 implies that  $\det T^* = \overline{\det T}$ .

From 10.44, we have

$$(\det \sqrt{T^* T})^2 = \det(\sqrt{T^* T} \sqrt{T^* T}) = \det(T^* T) = \det T^* \det T = \overline{\det T} \det T = |\det T|^2.$$

Taking the square root of each side we get  $\det \sqrt{T^* T} = |\det T|$ .



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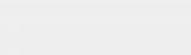
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