

Computation of the polynomial $Q(\theta)$ for a (y^2-x^3) -moment sequence with positive semidefinite $M(3)$, without a measure.

Out[]=

We do reverse engineering and generate a univariate sequence $\gamma = (\gamma_i)_{i \leq 18}$ of degree 18, such that the restriction of the Hankel matrix A_γ to columns and rows indexed by $1, X^2, X^3, \dots, X^9$ is positive definite, but the restriction to columns and rows X, X^2, \dots, X^9 is not recursively generate sequence, i.e., column X^8 is in the span of the previous ones, but X^9 is not. Then we generate a sequence $\beta = \{\beta_{\{i,j\}}\}_{i+j \leq 6}$ by $\beta_{\{i,j\}} = \gamma_{\{2i+3j\}}$. By the solution to the TMP on Y^2-X^3 (Corollary 4.3 in A. Zalar, The truncated Hamburger moment problems with gaps in the index set, Integ. Equ. Oper. Theory 93 (2021)), β will not have a (y^2-x^3) -supported representing measure.

First we generate a univariate sequence γ with 9 atoms $0, 1/2, 1/3, \dots, 1/8$.

```
In[1]:= H[γ0_, γ1_, γ2_, γ3_, γ4_, γ5_, γ6_, γ7_, γ8_, γ9_, γ10_, γ11_, γ12_, γ13_, γ14_, γ15_,
        γ16_, γ17_, γ18_] = HankelMatrix[{γ0, γ1, γ2, γ3, γ4, γ5, γ6, γ7, γ8, γ9},
        {γ9, γ10, γ11, γ12, γ13, γ14, γ15, γ16, γ17, γ18}];
```

```
In[2]:= f[x_] = H[1, x, x^(2), x^(3), x^(4), x^(5), x^(6), x^(7), x^(8), x^(9),
           x^(10), x^(11), x^(12), x^(13), x^(14), x^(15), x^(16), x^(17), x^(18)];
```

```
In[3]:= F = f[0] + f[1/2] + f[1/3] + f[1/4] + f[1/5] + f[1/6] + f[1/7] + f[1/8];
```

```
In[4]:= MatrixForm[F]
```

Out[4]//MatrixForm=

8	481	372 149	12 149 800 000 000
	280	705 600	65 856 000
481	372 149	12 852 473	40 717 994 801
280	705 600	65 856 000	497 871 360 000
372 149	12 852 473	40 717 994 801	5 141 275 445 731
705 600	65 856 000	497 871 360 000	139 403 980 800 000
12 852 473	40 717 994 801	5 141 275 445 731	6 091 026 858 664 049
65 856 000	497 871 360 000	139 403 980 800 000	351 298 031 616 000 000
40 717 994 801	5 141 275 445 731	6 091 026 858 664 049	821 221 274 202 733 619
497 871 360 000	139 403 980 800 000	351 298 031 616 000 000	98 363 448 852 480 000 000
5 141 275 445 731	6 091 026 858 664 049	821 221 274 202 733 619	1 010 667 599 322 175 009 601
139 403 980 800 000	351 298 031 616 000 000	98 363 448 852 480 000 000	247 875 891 108 249 600 000 000
6 091 026 858 664 049	821 221 274 202 733 619	1 010 667 599 322 175 009 601	15 488 077 721 039 289 426 059
351 298 031 616 000 000	98 363 448 852 480 000 000	247 875 891 108 249 600 000 000	7 711 694 390 034 432 000 000 000
821 221 274 202 733 619	1 010 667 599 322 175 009 601	15 488 077 721 039 289 426 059	173 952 332 188 994 804 190 119 249
98 363 448 852 480 000 000	247 875 891 108 249 600 000 000	7 711 694 390 034 432 000 000 000	174 901 228 765 980 917 760 000 000 000
1 010 667 599 322 175 009 601	15 488 077 721 039 289 426 059	173 952 332 188 994 804 190 119 249	48 972 344 054 474 656 972 800 000 000 000
247 875 891 108 249 600 000 000	7 711 694 390 034 432 000 000 000	174 901 228 765 980 917 760 000 000 000	123 410 307 017 276 119 800 000 000 000
15 488 077 721 039 289 426 059	173 952 332 188 994 804 190 119 249	48 972 344 054 474 656 972 800 000 000 000	
7 711 694 390 034 432 000 000 000	174 901 228 765 980 917 760 000 000 000		

We increase the moment γ_{18} so that the restriction of A_γ to columns/rows X_1, \dots, X_9 is not RG.

```
In[5]:= F[[10, 10]] = F[[10, 10]] + 1 / 10;
```

```
In[6]:= N[NullSpace[F[[{2, 3, 4, 5, 6, 7, 8, 9, 10}], {2, 3, 4, 5, 6, 7, 8, 9, 10}]]]
```

```
Out[6]= { {-0.0000248016, 0.000868056, -0.0126736,
           0.0998264, -0.456944, 1.21181, -1.71786, 1., 0.}}
```

We check that the restriction of A_γ to columns/rows $1, X^2, \dots, X^9$ is positive definite .

```
In[7]:= PositiveDefiniteMatrixQ[F[{{1, 3, 4, 5, 6, 7, 8, 9, 10}, {1, 3, 4, 5, 6, 7, 8, 9, 10}}]]
```

Out[7]= True

Now we define the sequence $\beta = \{\beta_{\{i,j\}}\}_{\{i+j \leq 6\}}$ by $\beta_{\{i,j\}} = \gamma_{\{2i+3j\}}$.

```
In[8]:= (*Degree 0*)
 $\beta_{00} = F[[1, 1]];$ 
(*Degree 1*)
 $\beta_{10} = F[[3, 1]];$ 
 $\beta_{01} = F[[4, 1]];$ 
(*Degree 2*)
 $\beta_{20} = F[[5, 1]];$ 
 $\beta_{11} = F[[6, 1]];$ 
 $\beta_{02} = F[[7, 1]];$ 
(*Degree 3*)
 $\beta_{30} = F[[7, 1]];$ 
 $\beta_{21} = F[[8, 1]];$ 
 $\beta_{12} = F[[9, 1]];$ 
 $\beta_{03} = F[[10, 1]];$ 
(*Degree 4*)
 $\beta_{40} = F[[9, 1]];$ 
 $\beta_{31} = F[[10, 1]];$ 
 $\beta_{22} = F[[10, 2]];$ 
 $\beta_{13} = F[[10, 3]];$ 
 $\beta_{04} = F[[10, 4]];$ 
(*Degree 5*)
 $\beta_{50} = F[[10, 2]];$ 
 $\beta_{41} = F[[10, 3]];$ 
 $\beta_{32} = F[[10, 4]];$ 
 $\beta_{23} = F[[10, 5]];$ 
 $\beta_{14} = F[[10, 6]];$ 
 $\beta_{05} = F[[10, 7]];$ 
(*Degree 4*)
 $\beta_{60} = F[[10, 4]];$ 
 $\beta_{51} = F[[10, 5]];$ 
 $\beta_{42} = F[[10, 6]];$ 
 $\beta_{33} = F[[10, 7]];$ 
 $\beta_{24} = F[[10, 8]];$ 
 $\beta_{15} = F[[10, 9]];$ 
 $\beta_{06} = F[[10, 10]];$ 
```

We generate the matrix $M(3)$.

```
In[36]:= M[ $\beta_{00\_}$ ,
   $\beta_{10\_}$ ,  $\beta_{01\_}$ ,
   $\beta_{20\_}$ ,  $\beta_{11\_}$ ,  $\beta_{02\_}$ ,
   $\beta_{30\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{03\_}$ ,
   $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ ,
   $\beta_{50\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{05\_}$ ,
   $\beta_{60\_}$ ,  $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ ,  $\beta_{06\_}$ ] =
{ { $\beta_{00\_}$ ,  $\beta_{10\_}$ ,  $\beta_{01\_}$ ,  $\beta_{20\_}$ ,  $\beta_{11\_}$ ,  $\beta_{02\_}$ ,  $\beta_{30\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{03\_}$ },
  { $\beta_{10\_}$ ,  $\beta_{20\_}$ ,  $\beta_{11\_}$ ,  $\beta_{30\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ },
  { $\beta_{01\_}$ ,  $\beta_{11\_}$ ,  $\beta_{02\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{03\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ },
  { $\beta_{20\_}$ ,  $\beta_{30\_}$ ,  $\beta_{21\_}$ ,  $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{50\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ },
  { $\beta_{11\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ },
  { $\beta_{02\_}$ ,  $\beta_{12\_}$ ,  $\beta_{03\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{05\_}$ },
  { $\beta_{30\_}$ ,  $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{50\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{60\_}$ ,  $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ },
  { $\beta_{21\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ },
  { $\beta_{12\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ },
  { $\beta_{03\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{05\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ ,  $\beta_{06\_}$ }};
```

We generate the block $B(4)$ of the extension $M(4)$.

```
In[37]:= B[ $\beta_{00\_}$ ,
   $\beta_{10\_}$ ,  $\beta_{01\_}$ ,
   $\beta_{20\_}$ ,  $\beta_{11\_}$ ,  $\beta_{02\_}$ ,
   $\beta_{30\_}$ ,  $\beta_{21\_}$ ,  $\beta_{12\_}$ ,  $\beta_{03\_}$ ,
   $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ ,
   $\beta_{50\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{05\_}$ ,
   $\beta_{60\_}$ ,  $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ ,  $\beta_{06\_}$ ,
   $\beta_{70\_}$ ,  $\beta_{61\_}$ ,  $\beta_{52\_}$ ,  $\beta_{43\_}$ ,  $\beta_{34\_}$ ,  $\beta_{25\_}$ ,  $\beta_{16\_}$ ,  $\beta_{07\_}$ ] =
{
  { $\beta_{40\_}$ ,  $\beta_{31\_}$ ,  $\beta_{22\_}$ ,  $\beta_{13\_}$ ,  $\beta_{04\_}$ },
  { $\beta_{50\_}$ ,  $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ },
  { $\beta_{41\_}$ ,  $\beta_{32\_}$ ,  $\beta_{23\_}$ ,  $\beta_{14\_}$ ,  $\beta_{05\_}$ },
  { $\beta_{60\_}$ ,  $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ },
  { $\beta_{51\_}$ ,  $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ },
  { $\beta_{42\_}$ ,  $\beta_{33\_}$ ,  $\beta_{24\_}$ ,  $\beta_{15\_}$ ,  $\beta_{06\_}$ },
  { $\beta_{70\_}$ ,  $\beta_{61\_}$ ,  $\beta_{52\_}$ ,  $\beta_{43\_}$ ,  $\beta_{34\_}$ },
  { $\beta_{61\_}$ ,  $\beta_{52\_}$ ,  $\beta_{43\_}$ ,  $\beta_{34\_}$ ,  $\beta_{25\_}$ },
  { $\beta_{52\_}$ ,  $\beta_{43\_}$ ,  $\beta_{34\_}$ ,  $\beta_{25\_}$ ,  $\beta_{16\_}$ },
  { $\beta_{43\_}$ ,  $\beta_{34\_}$ ,  $\beta_{25\_}$ ,  $\beta_{16\_}$ ,  $\beta_{07\_}$ }};
```

We compute the smallest $C(4)$ in the extension $M(4)$.

```
In[38]:= Cblock[θ_, φ_, ψ_] = Transpose[B[β00,
    β10, β01,
    β20, β11, β02,
    β30, β21, β12, β03,
    β40, β31, β22, β13, β04,
    β50, β41, β32, β23, β14, β05,
    β60, β51, β42, β33, β24, β15, β06,
    β42, β33, β24, β15, β06, θ, φ, ψ][{1, 2, 3, 4, 5, 6, 8, 9, 10}, {1, 2, 3, 4, 5}]].
Inverse[(M[β00,
    β10, β01,
    β20, β11, β02,
    β30, β21, β12, β03,
    β40, β31, β22, β13, β04,
    β50, β41, β32, β23, β14, β05,
    β60, β51, β42, β33, β24, β15, β06][
    {1, 2, 3, 4, 5, 6, 8, 9, 10}, {1, 2, 3, 4, 5, 6, 8, 9, 10}]]].
(B[β00,
    β10, β01,
    β20, β11, β02,
    β30, β21, β12, β03,
    β40, β31, β22, β13, β04,
    β50, β41, β32, β23, β14, β05,
    β60, β51, β42, β33, β24, β15, β06,
    β42, β33, β24, β15, β06, θ, φ, ψ][{1, 2, 3, 4, 5, 6, 8, 9, 10}, {1, 2, 3, 4, 5}]]);
```

We compute the quadratic polynomial $Q(\theta) = Q_2 \theta^2 + Q_1 \theta + Q_0$ determining the existence of a flat extension.

```
In[39]:= hatC = Simplify[Cblock[θ, φ, ψ]];
hankelEQ =
    Simplify[{hatC[[4, 2]] - hatC[[3, 3]], hatC[[5, 2]] - hatC[[4, 3]], hatC[[5, 3]] - hatC[[4, 4]]];
stheta = Simplify[Solve[{hankelEQ[[1]] == 0, hankelEQ[[2]] == 0}, {φ, ψ}, Reals]];
Length[stheta];
critpoly = Simplify[hankelEQ[[3]] /. stheta[[1]]]
```

```
Out[43]=
-16 257 024
```

The polynomial $Q(\theta)$ is a constant -16257024. Hence, a flat extension does not exist.