On Relating Indexed W-types with Ordinary Ones from the production of the production rich Ordinal Ordinal The Contained of the humber page and purposes holy the property of the humber page and purposes the property performance of the humber page and page any copyright with the Air Force Purposes in the purpose and t ear of the standard thereon. The views and conclusions, containing the process of the standard ...y of Leeds or the purpose notwither and research to the Covernmental purposes notwither and is the contract of the authors and the first of the contract of the authors and the first of the contract of the authors and the first of the contract of the authors and the contract of the contract of the contract of the authors of the contract of the co

Correction

[4] Neil Ghani, Peter Hancock, Conor McBride, and Peter Morris. Indexed containers. Journal version (submitted for publication), July 2013.

Correction

[4] Thorsten Altenkirch, Neil Ghani, Peter Hancock, Conor McBride, and Peter Morris. Indexed containers. Journal version (submitted for publication), July 2013.

Extensional Type Theory: W

Formation:

$$\frac{A:\mathcal{U} \qquad B:A\to\mathcal{U}}{W_{A,B}:\mathcal{U}}$$

Introduction, elimination, and computation rules given by semantics as initial algebra

$$W_{A,B} = \mu[\![A,B]\!]$$

for polynomial endofunctor on types:

$$\llbracket A, B \rrbracket : \mathcal{U} \to \mathcal{U}$$
$$X \mapsto \sum_{a:A} X^{B(a)}$$

Extensional Type Theory: W

Formation:

$$\frac{A:\mathcal{U} \qquad B:A\to\mathcal{U}}{W_{A,B}:\mathcal{U}}$$

Introduction, elimination, and computation rules given by semantics as initial algebra

$$W_{A,B} = \mu[\![A,B]\!]$$

for polynomial endofunctor on types:

$$\llbracket A, B
rbracket : \mathcal{U} o \mathcal{U}$$

$$X \mapsto \sum_{a:A} \prod_{b:B(a)} X$$

Formation:

$$\frac{A:\mathcal{U} \qquad B:A\to\mathcal{U}}{t:A\to I \qquad s:\Sigma_AB\to I}$$

$$\frac{\mathcal{U}}{W_{A,B,s,t}:I\to\mathcal{U}}$$

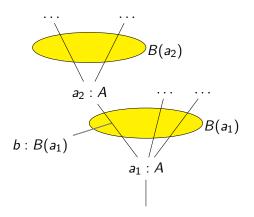
Introduction, elimination, and computation rules given by semantics as initial algebra

$$W_{A,B,s,t} = \mu[A,B,s,t]$$

for polynomial endofunctor on families over I:

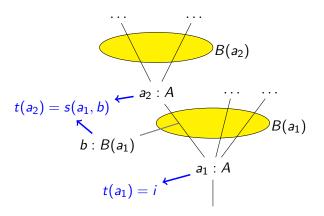
$$\llbracket A, B, s, t \rrbracket : \mathcal{U}^I \to \mathcal{U}^I$$
$$(X_i)_{i:I} \mapsto \left(\sum_{\substack{a:A, \\ t(a)=i}} \prod_{b:B(a)} X_{s(a,b)} \right)_{i:I}$$

Intuition for W



Elements of $W_{A,B}$ are well-founded trees.

Intuition for Indexed W

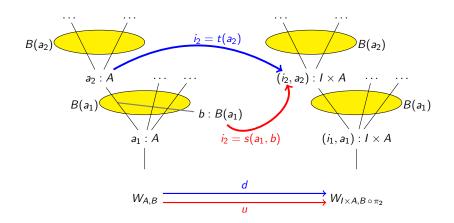


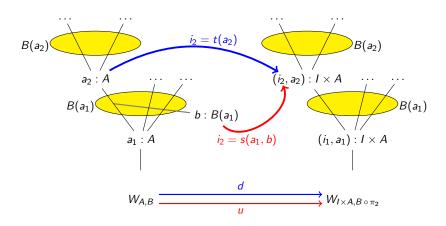
Elements of $W_{A,B,s,t}(i)$ are well-founded trees with matching source/target *I*-annotations (think of colours).

▶ Idea: carve out trees $W_{A,B,s,t}$ with matching colours from "colour-untyped" trees $W_{A,B}$.

- ▶ Idea: carve out trees $W_{A,B,s,t}$ with matching colours from "colour-untyped" trees $W_{A,B}$.
- ▶ With large elimination (not assumed): could write "colour checker" $P: W_{A,B} \to \mathcal{U}$ by recursion and define $W_{A,B,s,t} = \Sigma_{W_{A,B}} P$.

- ▶ Idea: carve out trees $W_{A,B,s,t}$ with matching colours from "colour-untyped" trees $W_{A,B}$.
- ▶ With large elimination (not assumed): could write "colour checker" $P: W_{A,B} \to \mathcal{U}$ by recursion and define $W_{A,B,s,t} = \Sigma_{W_{A,B}} P$.
- ▶ Insight of Gambino and Hyland (TYPES 2004): can define $W_{A,B,s,t}$ using identity types (equalizers) from $W_{A,B}$ and an auxilliary type $W_{I\times A,B\circ\pi_2}$.





Define (total space of) $W_{A,B,s,t}$ as equalizer of d and u:

$$W_{A,B,s,t} = \sum_{w:W_{A,B}} Id_{W_{I\times A,B\circ\pi_2}}(d(w), u(w))$$



 Now: intensional Martin-Löf type theory with function extensionality (homotopy type theory without universes).

- Now: intensional Martin-Löf type theory with function extensionality (homotopy type theory without universes).
- Homotopy (indexed) W-types have formation, introduction, and elimination rules as before, but computation rule is only up to propositional equality (cf. Sojakova).

- Now: intensional Martin-Löf type theory with function extensionality (homotopy type theory without universes).
- Homotopy (indexed) W-types have formation, introduction, and elimination rules as before, but computation rule is only up to propositional equality (cf. Sojakova).
- ► Homotopy indexed W-types from homotopy W-types?

► Taking the (homotopy) equalizer introduces extraneous equalities if *A* is not an h-set.

$$W_{A,B,s,t} \longrightarrow W_{A,B} \xrightarrow{\frac{d}{u}} W_{A,B,s,t}$$

► Taking the (homotopy) equalizer introduces extraneous equalities if A is not an h-set.

$$W_{A,B,s,t} \longrightarrow W_{A,B} \xrightarrow{\stackrel{d}{\longleftarrow} r} W_{A,B,s,t}$$

▶ Instead, take the (homotopy) coreflexive equalizer

$$W_{A,B,s,t} = \sum_{\substack{w:W_{A,B},\\p:Id(d(w),u(w))}} ap_r(p) = \alpha_w \cdot \beta_w^{-1}$$

where $\alpha : Id(r \circ d, id)$ and $\beta : Id(r \circ u, id)$.



Now everything works again.

Now everything works again. Define (by hand):

▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.

Now everything works again. Define (by hand):

- ▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.
- Structure map

Now everything works again. Define (by hand):

- ▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.
- Structure map
- Eliminator

Now everything works again. Define (by hand):

- ▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.
- Structure map
- Eliminator
- ▶ Path for computation rule

Now everything works again. Define (by hand):

- ▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.
- Structure map
- Eliminator
- Path for computation rule

Hands-on and very messy, but doable in principle following the extensional case.

Now everything works again. Define (by hand):

- ▶ Maps d and u with proofs α : $Id(r \circ d, id)$ and β : $Id(r \circ u, id)$, carrier as coreflexive equalizer.
- Structure map
- Eliminator
- Path for computation rule

Hands-on and very messy, but doable in principle following the extensional case.

But feels kind of ad-hoc.

A Conceptual Alternative

A Conceptual Alternative

(Please turn on the categorical side of your brain.)

A Conceptual Alternative

(Please turn on the categorical side of your brain.)

(Waiting...)

$$\Delta_s: \quad \mathcal{U}^I \to \mathcal{U}^{\Sigma_A B}$$

$$(X_i)_{i:I} \mapsto (X_{s(a,b)})_{(a,b):\Sigma_A B}$$

$$\Delta_s: \quad \mathcal{U}^I \to \mathcal{U}^{\Sigma_A B} \ (X_i)_{i:I} \mapsto (X_{s(a,b)})_{(a,b):\Sigma_A B}$$

$$egin{aligned} \Pi_B: & \mathcal{U}^{\Sigma_A B} &
ightarrow \mathcal{U}^A \ & (Y_{(a,b)})_{(a,b):\Sigma_A B} &\mapsto (\prod_{b:B(a)} Y_{(a,b)})_{a:A} \end{aligned}$$

$$\Delta_s: \quad \mathcal{U}^I \to \mathcal{U}^{\Sigma_A B}$$

$$(X_i)_{i:I} \mapsto (X_{s(a,b)})_{(a,b):\Sigma_A B}$$

$$\Pi_B: \qquad \mathcal{U}^{\Sigma_A B} o \mathcal{U}^A \ (Y_{(a,b)})_{(a,b):\Sigma_A B} \mapsto (\prod_{b:B(a)} Y_{(a,b)})_{a:A}$$

$$\Sigma_t: \qquad \mathcal{U}^A o \mathcal{U}^I \ (Z_a)_{a:A} \mapsto (\sum_{\substack{a:A \ t(a)=i}} Z_a)_{i:I}$$

Similarly for the endofunctors underlying $W_{A,B}$ and $W_{I\times A,B\circ\pi_2}$:

$$\begin{split} \llbracket A,B,s,t \rrbracket &= \Sigma_t \Pi_B \Delta_s \\ \llbracket A,B \rrbracket &= \Sigma_A \Pi_B \Delta_{\Sigma_A B} \\ \llbracket I \times A,B \circ \pi_2 \rrbracket &= \Sigma_{I \times A} \Pi_{B \circ \pi_2} \Delta_{I \times \Sigma_A B} \end{split}$$

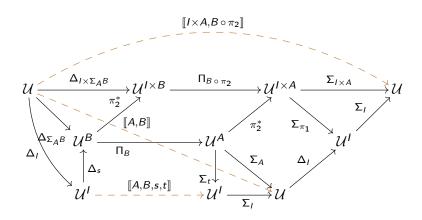
Similarly for the endofunctors underlying $W_{A,B}$ and $W_{I\times A,B\circ\pi_2}$:

$$[A, B, s, t] = \sum_{t} \Pi_{B} \Delta_{s}$$

$$[A, B] = \sum_{A} \Pi_{B} \Delta_{\Sigma_{A}B}$$

$$[I \times A, B \circ \pi_{2}] = \sum_{I \times A} \Pi_{B \circ \pi_{2}} \Delta_{I \times \Sigma_{A}B}$$

Can we relate these functors somehow?



(...)

(...)

$$\begin{split} \llbracket A,B,s,t \rrbracket &= & \Sigma_t \Pi_B \Delta_s \\ \llbracket A,B \rrbracket &= & \Sigma_A \Pi_B \Delta_{\Sigma_A B} \\ \llbracket I \times A,B \circ \pi_2 \rrbracket &= & \Sigma_{I \times A} \Pi_{B \circ \pi_2} \Delta_{I \times \Sigma_A B} \end{split}$$

(...)

$$[A, B, s, t] = \Sigma_t \Pi_B \Delta_s$$

$$[A, B] = \Sigma_I \Sigma_t \Pi_B \Delta_s \Delta_I$$

$$[I \times A, B \circ \pi_2] = \Sigma_I \Delta_I \Sigma_I \Sigma_t \Pi_B \Delta_s \Delta_I$$

(...)

$$\begin{split} \llbracket A,B,s,t \rrbracket &= & \Sigma_t \Pi_B \Delta_s \\ \llbracket A,B \rrbracket &= & \Sigma_I \llbracket A,B,s,t \rrbracket \Delta_I \\ \llbracket I \times A,B \circ \pi_2 \rrbracket &= & \Sigma_I \Delta_I \Sigma_I \llbracket A,B,s,t \rrbracket \Delta_I \end{split}$$

(...)

$$\begin{bmatrix} A, B, s, t \end{bmatrix} = \Sigma_t \Pi_B \Delta_s
 \begin{bmatrix} A, B \end{bmatrix} = \Sigma_I \llbracket A, B, s, t \rrbracket \Delta_I
 \llbracket I \times A, B \circ \pi_2 \rrbracket = \Sigma_I \Delta_I \Sigma_I \llbracket A, B, s, t \rrbracket \Delta_I$$

The Roland Rule allows us to construct $\mu(UV)$ from $\mu(VU)$.

(...)

$$\begin{bmatrix} A, B, s, t \end{bmatrix} = \Sigma_t \Pi_B \Delta_s
 \begin{bmatrix} A, B \end{bmatrix} = \Sigma_I \llbracket A, B, s, t \rrbracket \Delta_I
 \llbracket I \times A, B \circ \pi_2 \rrbracket = \Sigma_I \Delta_I \Sigma_I \llbracket A, B, s, t \rrbracket \Delta_I$$

The Rolling Rule allows us to construct $\mu(UV)$ from $\mu(VU)$.

(...)

$$\begin{split} \llbracket A,B,s,t \rrbracket = & \; \; \Sigma_t \Pi_B \Delta_s \\ & \; \; \Delta_I \Sigma_I \llbracket A,B,s,t \rrbracket \\ & \; \; \Delta_I \Sigma_I \Delta_I \Sigma_I \llbracket A,B,s,t \rrbracket \end{split}$$

The Rolling Rule allows us to construct $\mu(UV)$ from $\mu(VU)$.

(...)

$$\llbracket A, B, s, t
rbracket = F$$

$$TF$$

$$T^2F.$$

The Rolling Rule allows us to construct $\mu(UV)$ from $\mu(VU)$.

 $T = \Delta_I \Sigma_I$ is a cartesian monad on \mathcal{U}^I !

 $T = \Delta_I \Sigma_I$ is a cartesian monad on \mathcal{U}^I !

Unit and multiplication form a coreflexive equalizer:

$$1 \xrightarrow{\eta} T \xrightarrow[T\eta]{\eta T} T^2$$

Instead of defining total space of $W_{A,B,s,t}$ as coreflexive equalizer

$$W_{A,B,s,t} \longrightarrow W_{A,B} \xleftarrow{d} W_{A,B,s,t}$$

Instead of defining total space of $W_{A,B,s,t}$ as coreflexive equalizer

$$W_{A,B,s,t} \longrightarrow W_{A,B} \xleftarrow{d} W_{A,B,s,t}$$

define $W_{A,B,s,t}$ as coreflexive equalizer

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\mu(\eta TF)} \mu(T^2F)$$

Instead of defining total space of $W_{A,B,s,t}$ as coreflexive equalizer

$$W_{A,B,s,t} \longrightarrow W_{A,B} \xrightarrow{\frac{d}{c}} W_{A,B,s,t}$$

define $W_{A,B,s,t}$ as coreflexive equalizer

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\mu(\eta TF)} \mu(T^2F)$$

No manual recursion needed to define analogues of d and u!

Structure map induced by functoriality of limits:

$$F(W_{A,B,s,t}) \longrightarrow TF(\mu(TF)) \xrightarrow{\longleftarrow} T^{2}F(\mu(T^{2}F))$$

$$\downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq}$$

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\longleftarrow} \mu(T^{2}F)$$

Structure map induced by functoriality of limits:

$$F(W_{A,B,s,t}) \longrightarrow TF(\mu(TF)) \xrightarrow{\longleftarrow} T^{2}F(\mu(T^{2}F))$$

$$\downarrow \simeq \qquad \qquad \downarrow \simeq \qquad \qquad \downarrow \simeq$$

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\longleftarrow} \mu(T^{2}F)$$

(Uses cosiftedness of coreflexive equalizers.)

Structure map induced by functoriality of limits:

$$F(W_{A,B,s,t}) \longrightarrow TF(\mu(TF)) \xrightarrow{\longleftarrow} T^{2}F(\mu(T^{2}F))$$

$$\downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq}$$

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\longleftarrow} \mu(T^{2}F)$$

(Uses cosiftedness of coreflexive equalizers.)

In fact, we can take the coreflexive equalizer directly in the category of algebras over polynomial endofunctors on \mathcal{C}^{\prime} !

Structure map induced by functoriality of limits:

$$F(W_{A,B,s,t}) \longrightarrow TF(\mu(TF)) \xrightarrow{\longleftarrow} T^{2}F(\mu(T^{2}F))$$

$$\downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq} \qquad \qquad \downarrow^{\simeq}$$

$$W_{A,B,s,t} \longrightarrow \mu(TF) \xrightarrow{\longleftarrow} \mu(T^{2}F)$$

(Uses cosiftedness of coreflexive equalizers.)

In fact, we can take the coreflexive equalizer directly in the category of algebras over polynomial endofunctors on \mathcal{C}^{\prime} !

Initiality of $\mu(F)$ transfers to initiality of $(W_{A,B,s,t},\alpha)$ by an abstract fibrational argument.



Argument in sufficiently abstract shape to transfer from lcc categories to lcc *quasi*-categories (work in progress).

- Argument in sufficiently abstract shape to transfer from lcc categories to lcc *quasi*-categories (work in progress).
- ► Bonus: works for *M*-types as well.

- Argument in sufficiently abstract shape to transfer from lcc categories to lcc *quasi*-categories (work in progress).
- ▶ Bonus: works for *M*-types as well.
- Syntax of
 - ETT gives rise to lcc category.
 - ▶ ITT with FunExt gives rise to lcc *quasi*-category (cf. Szumiło and Kapulkin).

Warning: relationship is more complicated!

- Argument in sufficiently abstract shape to transfer from lcc categories to lcc *quasi*-categories (work in progress).
- ▶ Bonus: works for *M*-types as well.
- Syntax of
 - ETT gives rise to lcc category.
 - ▶ ITT with FunExt gives rise to lcc *quasi*-category (cf. Szumiło and Kapulkin).

Warning: relationship is more complicated!

 Conjecture: Proofs about Icc quasi-categories should transfer to internal statements in ITT with FunExt (needs checking that internally defined notions agree with external ones).

Why not carry out higher categorical proofs internally?

- Why not carry out higher categorical proofs internally?
- Internal notion of contractibility and homotopy initiality only need categorical structure up to level 1 and 2 to be made explicit.

One of the selling points of HoTT.

- Why not carry out higher categorical proofs internally?
- Internal notion of contractibility and homotopy initiality only need categorical structure up to level 1 and 2 to be made explicit.

One of the selling points of HoTT.

▶ Caveat (example): to talk about categorical structure on level n of $\Sigma_{X:\mathcal{U}}(F(X) \to X)$ (large type of algebras), need to talk about structure on level n+1 of \mathcal{U} .

- Why not carry out higher categorical proofs internally?
- Internal notion of contractibility and homotopy initiality only need categorical structure up to level 1 and 2 to be made explicit.

One of the selling points of HoTT.

- ▶ Caveat (example): to talk about categorical structure on level n of $\Sigma_{X:\mathcal{U}}(F(X) \to X)$ (large type of algebras), need to talk about structure on level n+1 of \mathcal{U} .
- ► Statements about categorical structure up to level 2 at the end of the proof require explication of categorical structure up to level 2 + k at the beginning.

- Why not carry out higher categorical proofs internally?
- Internal notion of contractibility and homotopy initiality only need categorical structure up to level 1 and 2 to be made explicit.

One of the selling points of HoTT.

- ▶ Caveat (example): to talk about categorical structure on level n of $\Sigma_{X:\mathcal{U}}(F(X) \to X)$ (large type of algebras), need to talk about structure on level n+1 of \mathcal{U} .
- Statements about categorical structure up to level 2 at the end of the proof require explication of categorical structure up to level 2 + k at the beginning.
- ▶ Practically infeasible already for $k \ge 2$.

The End

Thank you for your attention!