

Es)

$$\Sigma = \{a, b, c\}$$

$$L_1 = \{a^i b^j c^k \mid i, j, k \geq 1\}$$

$$\rightarrow a^* b^* c^*$$

$$S \rightarrow S_1 S_2 S_3$$

$$S_1 \rightarrow a \mid a S_1 \rightarrow \text{at least 1 } a$$

$$S_2 \rightarrow b \mid b S_2 \rightarrow \text{at least 1 } b$$

$$S_3 \rightarrow c \mid c S_3 \rightarrow \text{at least 1 } c$$

another approach:

$$S \rightarrow S_1$$

$$S_1 \rightarrow a S_1 \mid a S_2$$

$$S_2 \rightarrow b S_2 \mid b S_3$$

$$S_3 \rightarrow c S_3 \mid c$$

$$L_2 = \{ a^i b^j c^k \mid i, j, k \geq 1, j \neq k \}$$

$$S \rightarrow S_1 F$$

$$S_1 \rightarrow a \mid a S_1$$

$$F \rightarrow G \mid H$$

$$G \rightarrow b G' \mid b G c$$

$$G: \#_b = \#_c$$

$$G' \rightarrow b \mid b G'$$

$$G': \#_b > \#_c$$

$$H \rightarrow b H' c \mid b H c$$

$$H: \#_b = \#_c$$

$$H' \rightarrow c \mid c H'$$

$$H': \#_b < \#_c$$

## Sentential form

Let  $G = (V, T, P, S)$  be a CFG and let  $\alpha \in (V \cup T)^*$

- if  $S \xRightarrow{*} \alpha$  we say that  $\alpha$  is a **sentential form**
- if  $S \xRightarrow[lm]{*} \alpha$  we say that  $\alpha$  is a **left sentential form**
- if  $S \xRightarrow[rm]{*} \alpha$  we say that  $\alpha$  is a **right sentential form**

**Note :**  $L(G)$  contains the sentential forms in  $T^*$

## Examples

Consider previous CFG  $G$  for a fragment of arithmetic expressions.  
 Then  $E * (I + E)$  is a sentential form, since

$$E \Rightarrow E * E \Rightarrow E * (E) \Rightarrow E * (E + E) \Rightarrow E * (I + E)$$

This derivation is neither leftmost nor rightmost

$a * E$  is a leftmost sentential form, since

$$E \underset{lm}{\Rightarrow} E * E \underset{lm}{\Rightarrow} I * E \underset{lm}{\Rightarrow} a * E$$

$E * (E + E)$  is a rightmost sentential form, since

$$E \underset{rm}{\Rightarrow} E * E \underset{rm}{\Rightarrow} E * (E) \underset{rm}{\Rightarrow} E * (E + E)$$

# Test

Define a CFG for each of the following languages, describing for each variable the set of generated strings

- $L = \{w \mid w = x2x^R, x \in \{0,1\}^*\}$       $S \rightarrow 1S1 \mid 0S0 \mid 2$
- $L = \{w \mid w = a^i b^j c^k, i, j, k \geq 1, j \neq k\}$  (done before)

# Test

Describe in words the language generated by the following CFG

$$G = (\{S, Z\}, \{0, 1\}, P, S)$$

where

$$P = \{S \rightarrow 0S1 \mid 0Z1, Z \rightarrow 0Z \mid \epsilon\}$$



$$L = \{w \mid w = 0^i 1^j, i \geq j \geq 1\}$$

## Derivation composition

We can always compose two derivations  $A \xRightarrow{*} \alpha B \beta$  and  $B \xRightarrow{*} \gamma$  into a single derivation

$$A \xRightarrow{*} \alpha B \beta \xRightarrow{*} \alpha \gamma \beta$$

This follows from the hypothesis about rewriting being **independent** from the context (context-free)

## Example

Consider our CFG for generating arithmetic expressions. Starting with

$$\begin{aligned} E &\Rightarrow E + E \Rightarrow E + (E) \\ E &\Rightarrow I \Rightarrow Ib \Rightarrow ab \end{aligned}$$

we can compose at the rightmost occurrence of  $E$ , obtaining

$$E \Rightarrow E + E \Rightarrow E + (E) \Rightarrow E + (I) \Rightarrow E + (Ib) \Rightarrow E + (ab)$$



## Derivation factorization

Assume  $A \Rightarrow X_1 X_2 \cdots X_k \xRightarrow{*} w$ . We can **factorize**  $w$  as  $w_1 w_2 \cdots w_k$  such that  $X_i \xRightarrow{*} w_i$ ,  $1 \leq i \leq k$

As a special case, we can have  $X_i = w_i \in \Sigma \rightarrow (\tau)$

Substring  $w_i$  can be identified from derivation  $A \xRightarrow{*} w$  by considering **only** those derivation steps that rewrite  $X_i$

## Example

Consider  $E \Rightarrow E * E \stackrel{*}{\Rightarrow} a * b + a$

We have

$$\underbrace{a}_E \quad \underbrace{*}_* \quad \underbrace{b + a}_E$$

and we can write

$$E \stackrel{*}{\Rightarrow} a$$

$$* \stackrel{*}{\Rightarrow} *$$

$$E \stackrel{*}{\Rightarrow} b + a$$

# Parse trees

**Parse trees** are a graphical representation alternative to derivations

Intuitively, parse trees represent the **syntactic structure** of a sentence according to the grammar

In compilers, parse trees are the structure of choice when **translating** into executable code

# Parse trees

Let  $G = (V, T, P, S)$  be a CFG. An ordered tree is a **parse tree** of  $G$  if:

- each internal node is labeled with a variable in  $V$
- each leaf node is labeled with a symbol in  $V \cup T \cup \{\epsilon\}$ ;  
each leaf labeled with  $\epsilon$  is the only child of its parent
- if an internal node is labeled  $A$  and its children (from left to right) are labeled

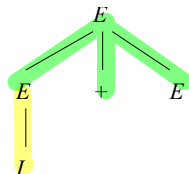
$$X_1, X_2, \dots, X_k$$

then  $A \rightarrow X_1 X_2 \dots X_k \in P$

# Example

CFG for arithmetic expressions and parse tree associated with the derivation  $E \Rightarrow E + E \Rightarrow I + E$

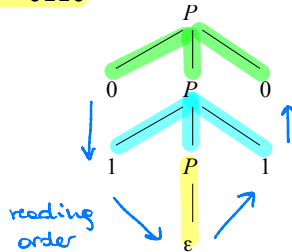
1.  $E \rightarrow I$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
- ...



## Example

CFG for palindrome strings and parse tree associated with the derivation  $P \Rightarrow 0P0 \Rightarrow 01P10 \Rightarrow 0110$

1.  $P \rightarrow \epsilon$
2.  $P \rightarrow 0$
3.  $P \rightarrow 1$
4.  $P \rightarrow 0P0$
5.  $P \rightarrow 1P1$



## Yield of a parse tree

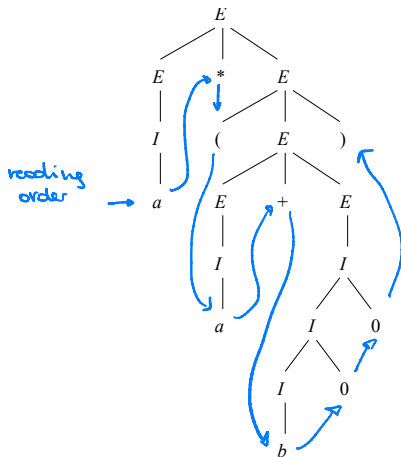
The **yield** of a parse tree is the string obtained by reading the leaves from left to right

Of special importance are the complete parse trees, where :

- the yield is a string of terminal symbols
- the root is labeled by the initial symbol

The set of yields of all complete parse trees is the language generated by the CFG

## Example



Complete parse tree. The yield is  $a * (a + b00)$



## Derivations and parse trees

Let  $G = (V, T, P, S)$  be a CFG,  $A \in V$  and  $w \in T^*$ . The following statements are equivalent (statements must all be true or must all be false) :

- $A \xRightarrow{*} w$
- $A \xRightarrow[lm]{*} w$
- $A \xRightarrow[rm]{*} w$
- there exists a parse tree for  $G$  with root label  $A$  and yield  $w$

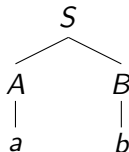
Proof not required for these theorems

Relation between derivations and parse trees **is not** one-to-one (see next slides)

## Derivations and parse trees

A parse tree can be associated with **several** derivations

**Example** : Consider the CFG with productions  $S \rightarrow AB$ ,  $A \rightarrow a$ ,  $B \rightarrow b$ . The parse tree  $\rightarrow$  Without recursion the language will be finite



$S \rightarrow AB$  |  $L = \emptyset$   
 $B \rightarrow b$  |  
↓  
because A is not a  
terminal symbol

is associated with two derivations

$$S \Rightarrow AB \Rightarrow aB \Rightarrow ab$$

$$S \Rightarrow AB \Rightarrow Ab \Rightarrow ab$$

## Derivations and parse trees

A derivation can be associated with **several** parse trees

**Example** : Consider the CFG with productions  $S \rightarrow SS \mid a$  The derivation

$$S \Rightarrow SS \Rightarrow SSS \Rightarrow aSS \Rightarrow aaS \Rightarrow aaa$$

is associated with two parse trees

