

2023 Feb 2

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# The Importance of Filters:

## Using Shapley Value Pruning to Optimize Convolutional NNs

Bachelor's Project Presentation

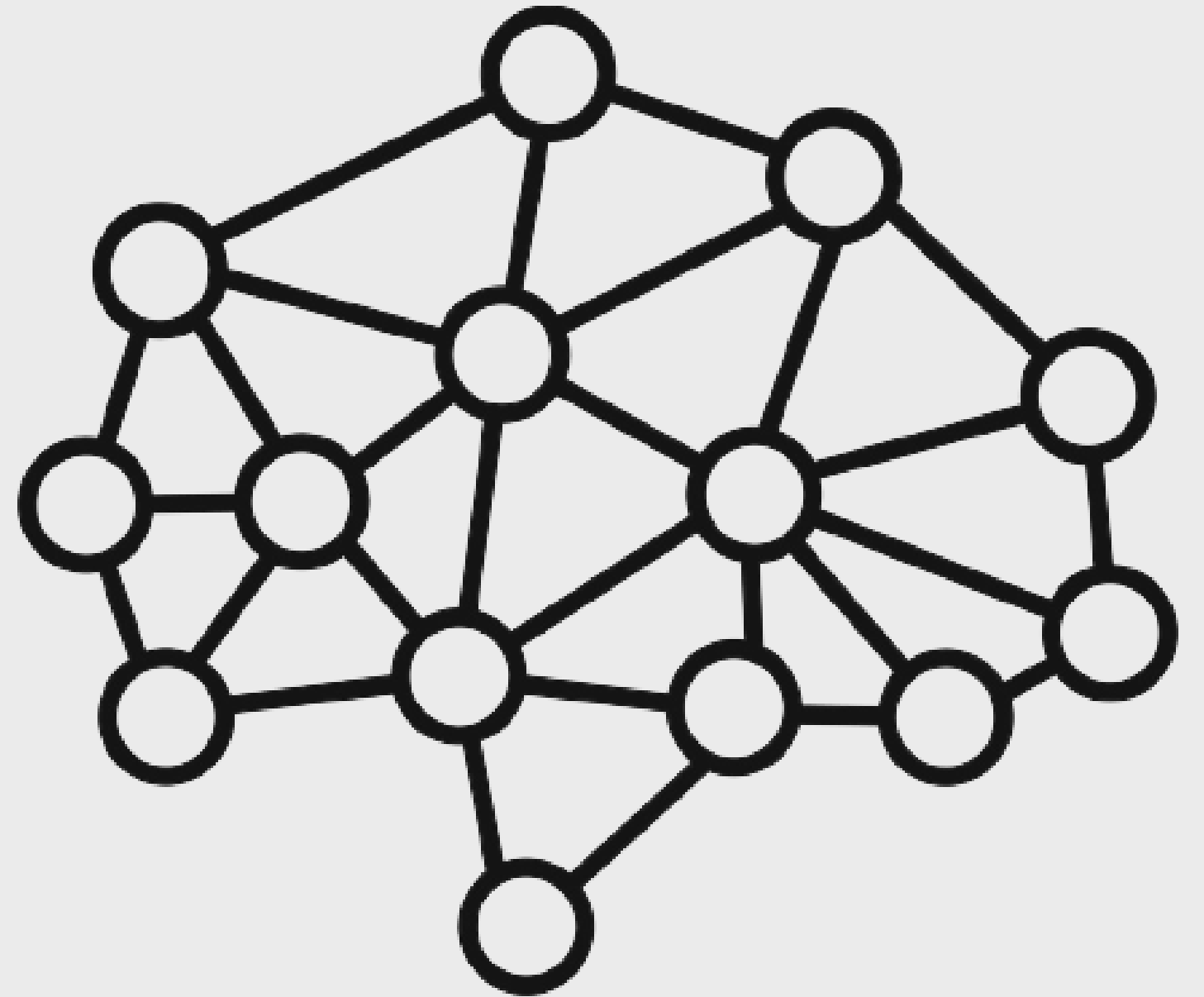


/ prun / - prune

# Prune

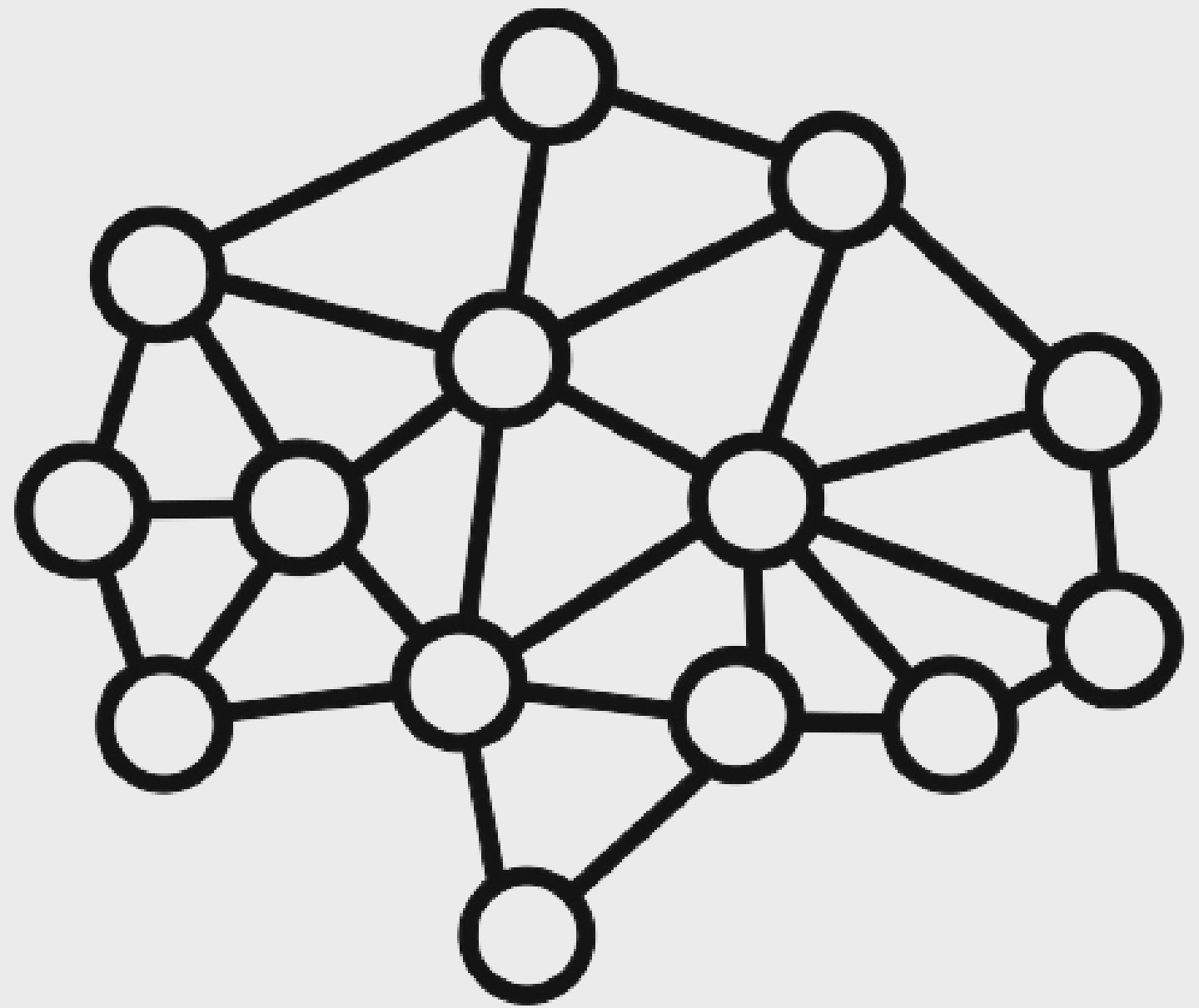
(verb) weed out unwanted or unnecessary things

**What about  
neural  
networks?**



# Neural Network Pruning

- Unstructured (weights)
- Structured (filters/layers)



# Structured Pruning

Removing **whole** filteres/layers

- Eliminates the feature maps



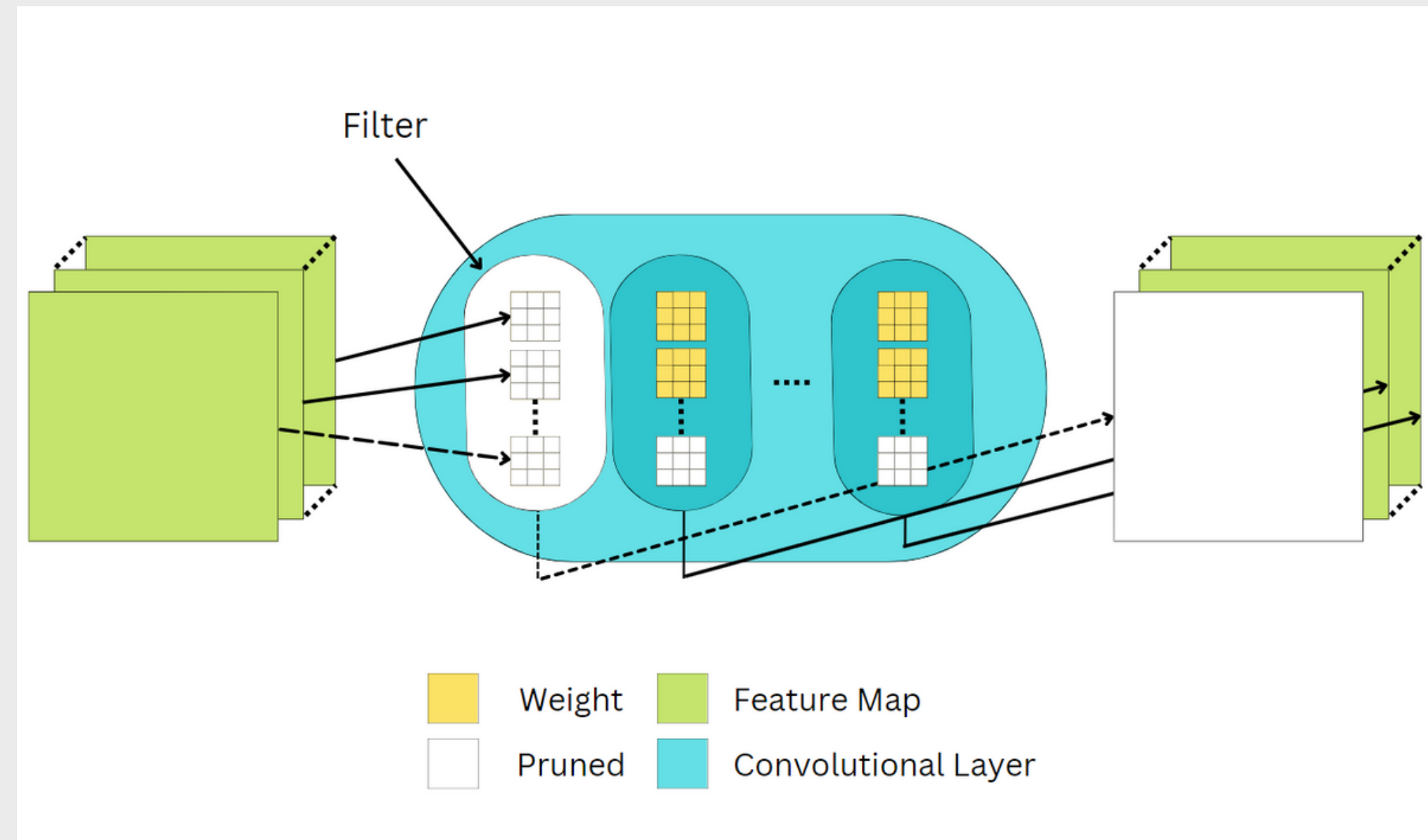
- Smaller in size
- Decrease computational costs
- Reduce inference time

## PRUNING FILTERS FOR EFFICIENT CONVNETS

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# Pruning Procedure

## Pruning Criteria

- Saliency of parameters
- Average Percentage of Zeros
- Batch normalization scaling factors
- Magnitude for each channel of filters
- Geometric mean

Train a neural network

Prune based on importance score

Fine-tune



# Studying the Plasticity in Deep Convolutional Neural Networks using Random Pruning

Deepak Mittal · Shweta Bhardwaj · Mitesh M. Khapra · Balaraman Ravindran

2018

- Pruned 25-50% of the filters randomly from deep CNNs
- Yielded same performance as state-of-art pruning methods

**Importance score - redundant metric?**



## **Shapley Values as a Principled Metric for Structured Network Pruning**

2020

- Introduces Shapley values for pruning
- Shows that Shapley criteria superior to other criteria
  - Game-theoretic foundation
  - Outperformed other pruning criteria
  - Works in low-data regimes, when fine-tuning is either unavailable or ineffective

# Shapley

Original Paper (1952)

Literature Findings

A VALUE FOR  $n$ -PERSON GAMES

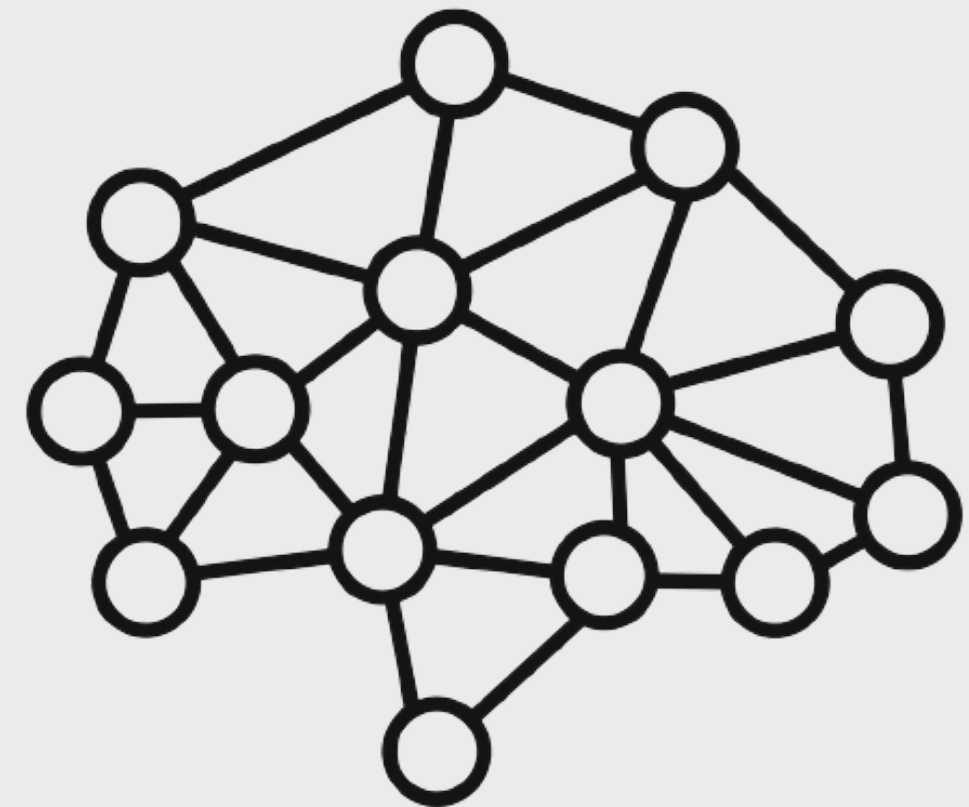
L. S. Shapley

- Proposed a way to compute the contribution of each player in a cooperative game.

# Shapley

## Neural Networks

- A CNN can be viewed as a cooperative game with the aim to minimize loss
  - The filters are the players, each contributing towards the minimization goal



# Shapley

## Marginal Contribution

- **Marginal Contribution: difference between importance of all features  $S$  with and without  $i$**

$$m_x(S, i) := v_x(S) - v_x(S \setminus \{i\})$$

$S$

Subset of all players  $S \subseteq P$

$i$

Player from subset  $S$

$v_x$

Function calculating the score when set of players  $S$  participate

# Shapley

## Shapley Value

**Shapley value: average of marginal contribution distributions**

$$\phi_x(i) := \frac{1}{n!} \sum_{S \subseteq P \setminus \{i\}} (|S|!(n - |S| - 1)!) m_x(S, i)$$

$$12! = 479001600$$

# Pruning

## Methodology

- 1. Create a set of all possible filter permutations (coalitions)**
  - a. If not possible, use Monte Carlo sampling**
- 2. Calculate the loss of a single forward pass (all filters)**
- 3. For each filter permutation, mask the given filters and calculate the marginal contributions of the masked filters.**
- 4. Shapley value for filter  $i$  is the aggregated average of all marginal contributions.**

# Setup

1

LeNet-1  
Replicated

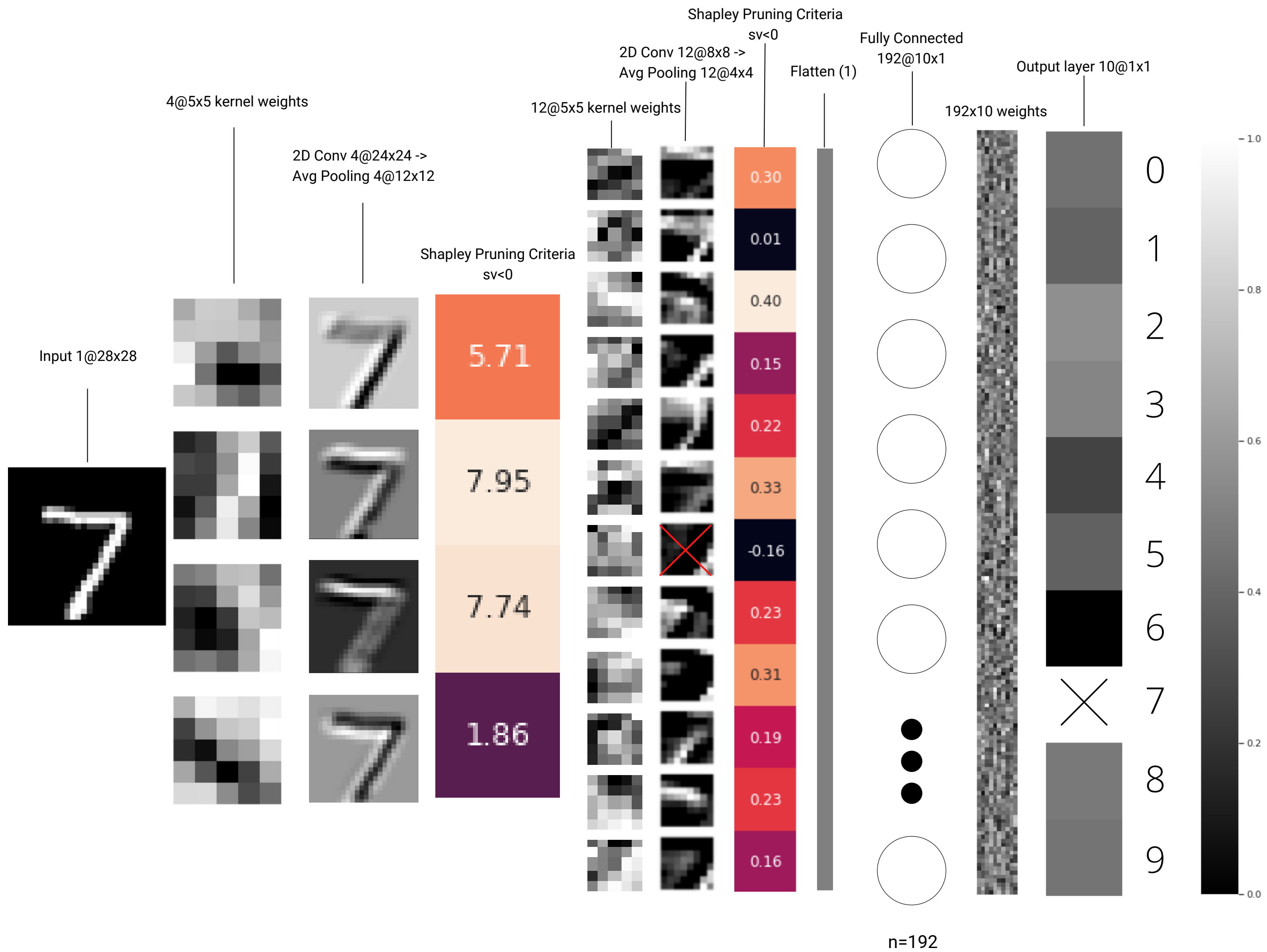
2

98.4% accuracy  
(On MNIST)

3

Cross-entropy  
Loss as the  
criterion



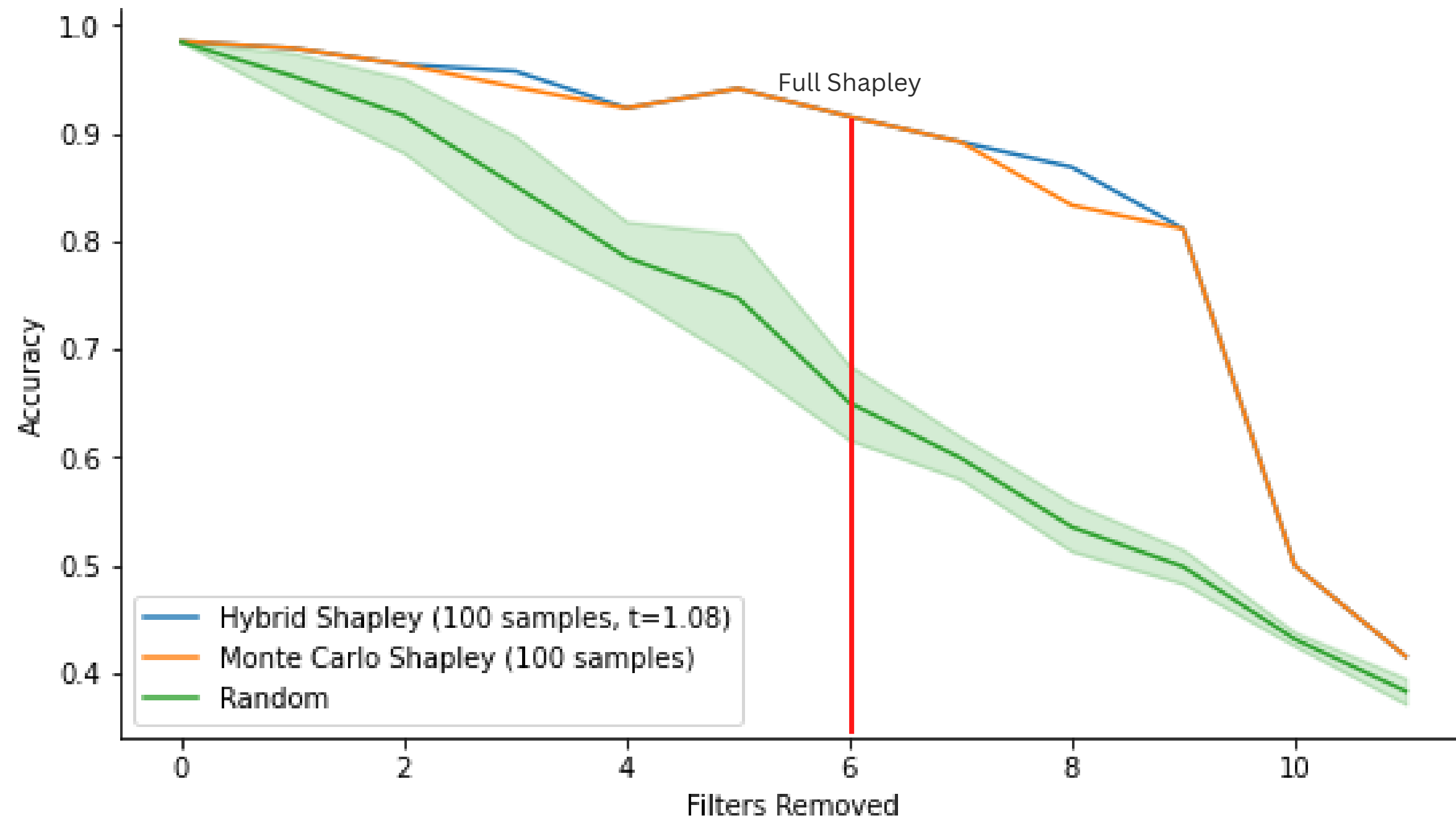


# Pruning

Quantitative Results

## Accuracy (Test Data, no fine-tuning)

- Averaged over 3 trials

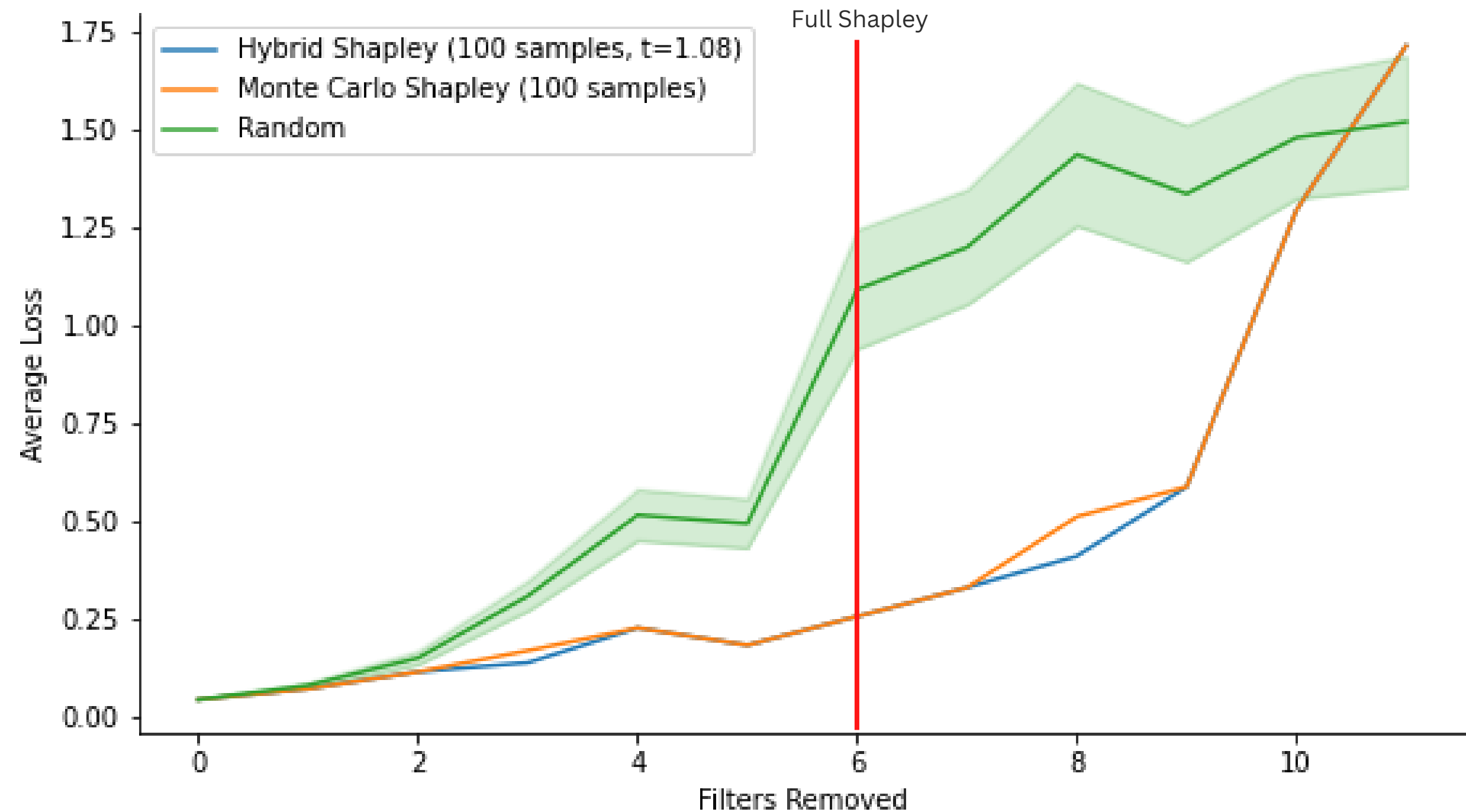


# Pruning

Quantitative Results

## Loss (Test Data, no fine-tuning)

- Averaged over 3 trials



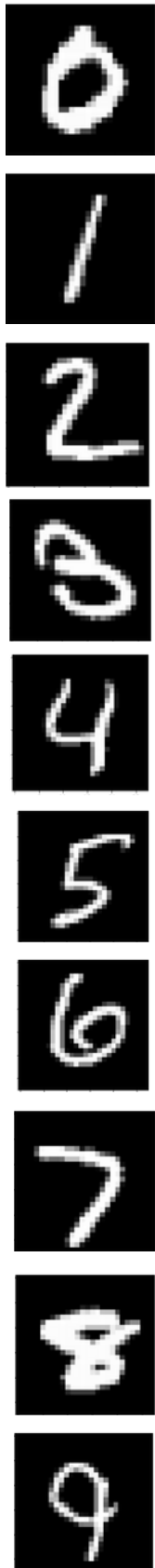
# Pruning

Quantitative Results  
(AUC) Loss

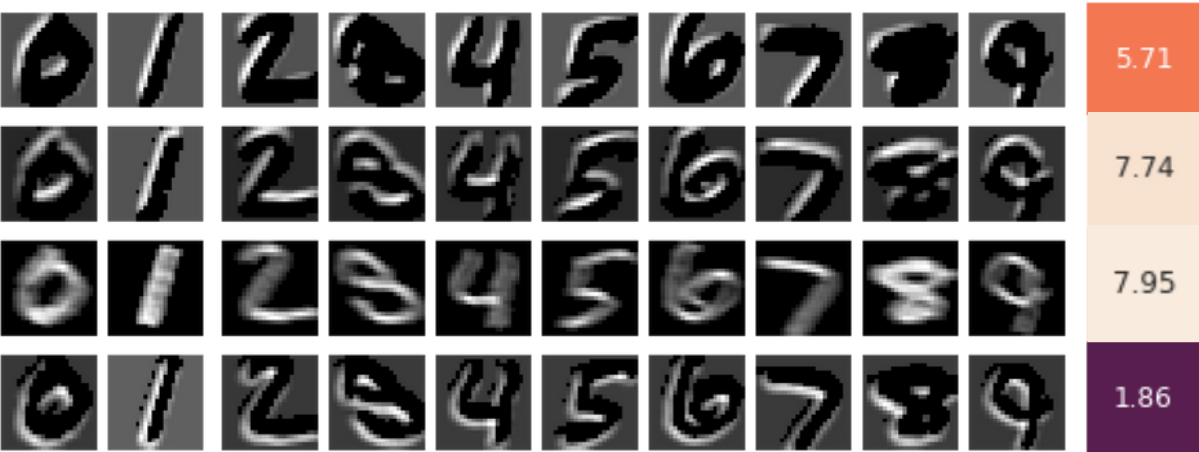
## Area Under the Curve (AUC) Loss

	With fine-tuning	Without fine-tuning
Random	0.11±0.01	<b>0.83±0.05</b>
Shapley	0.08±0.00	<b>0.41±0.00</b>

**What does the machine deem important?**

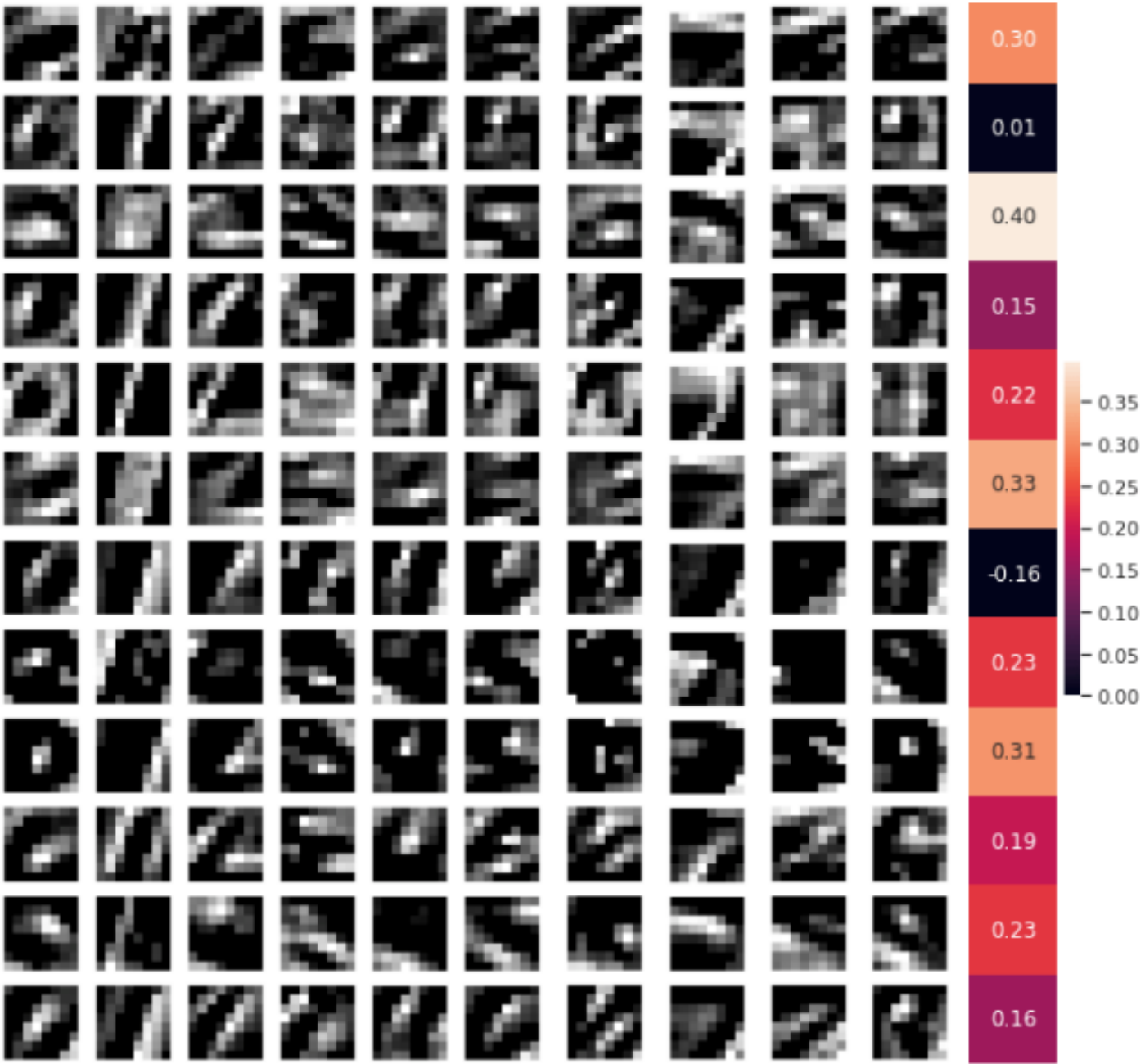


Conv2D(5x5) 4(24x24) - Layer 1



Avg Pooling (2x2)  
(12x12)

Conv2D(5x5) 12(8x8) - Layer 2



# Qualitative Results

Feature Maps (Layer 1)



- Incomplete digits
- Leads to similarities between classes

# Questions?

## Zarathustrai/Shapley-Pruning



1  
Contributor

0  
Issues

0  
Stars

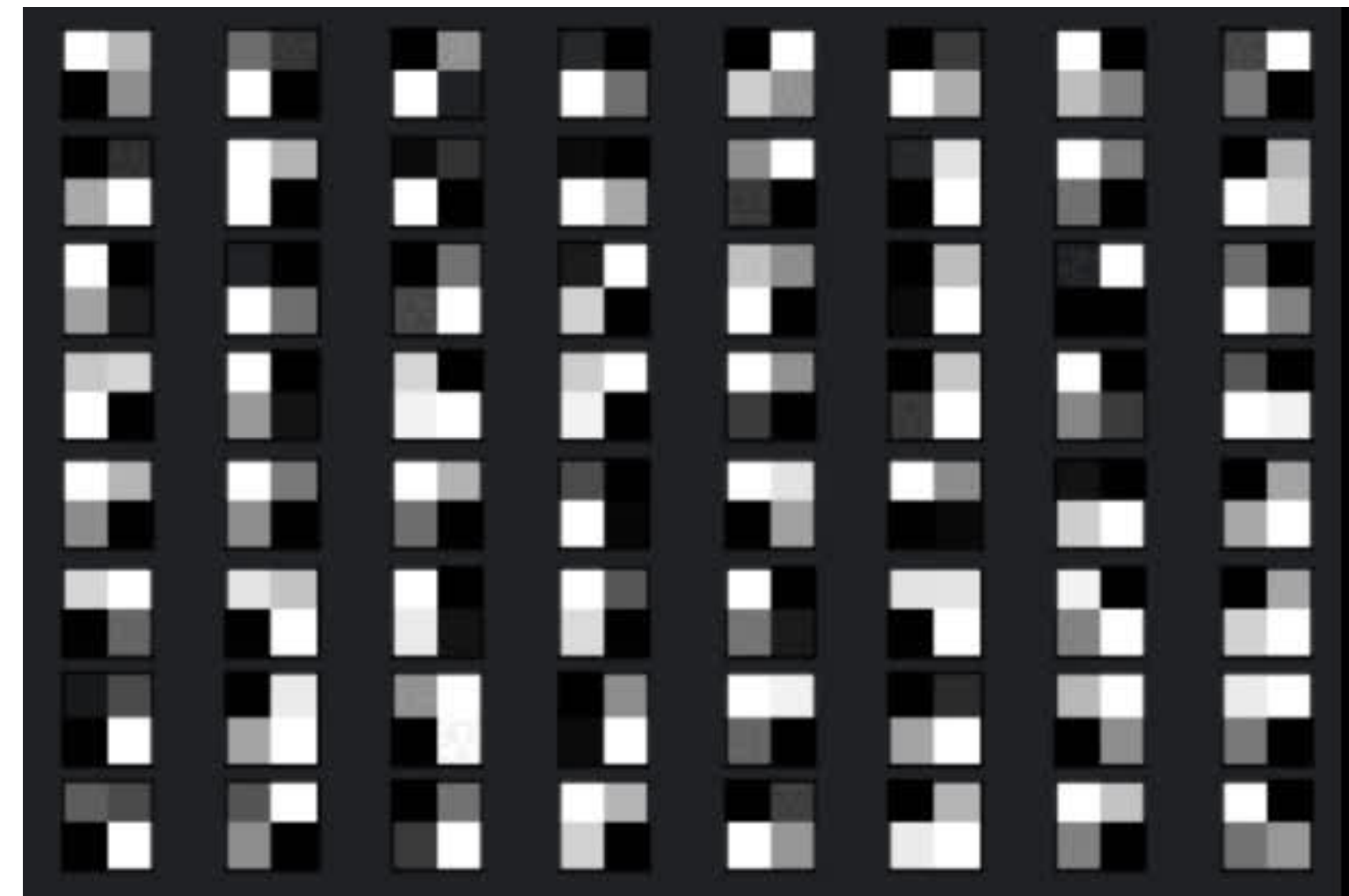
0  
Forks



### Zarathustrai/Shapley-Pruning

Contribute to Zarathustrai/Shapley-Pruning development by creating an account on GitHub.

 GitHub





**Extra**

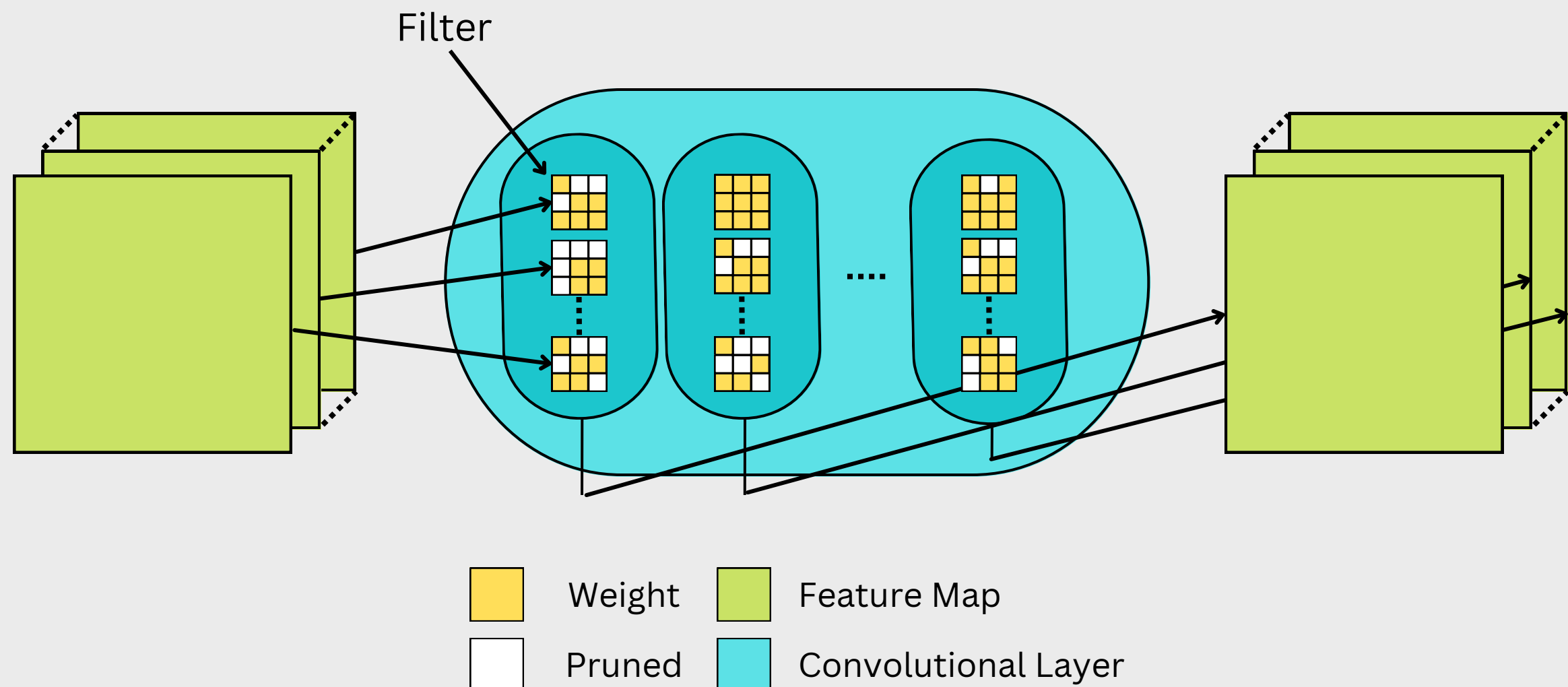
# 1989

[Unstructured] Pruning  
introduced for the first time

- Better generalization
- Fewer training examples required
- Improved speed of learning

## *Optimal Brain Damage*

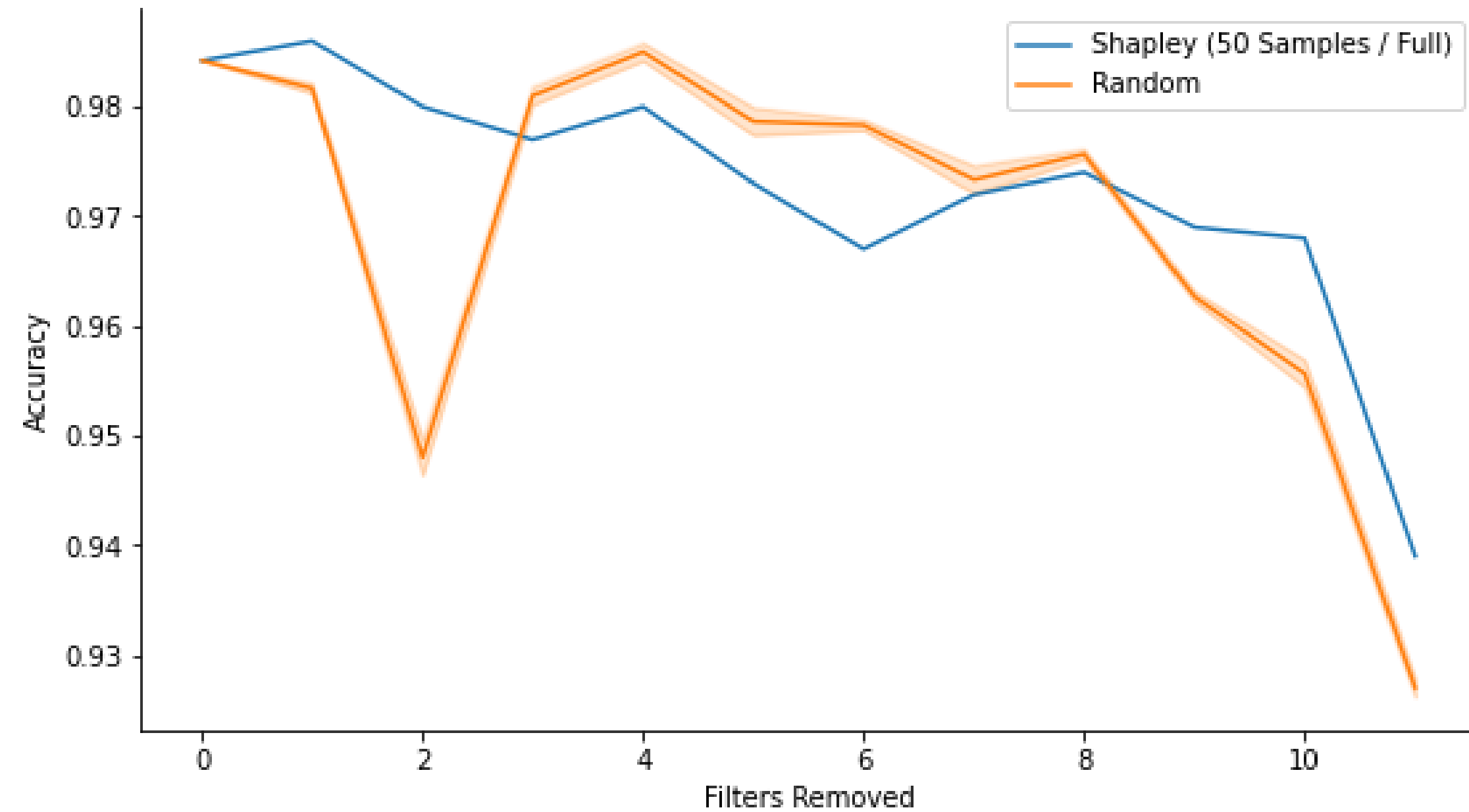
Yann Le Cun, John S. Denker and Sara A. Solla  
AT&T Bell Laboratories, Holmdel, N. J. 07733



# Pruning

Quantitative Results  
(normal-data regime)

## Accuracy



# Pruning

Quantitative Results  
(Comparison)

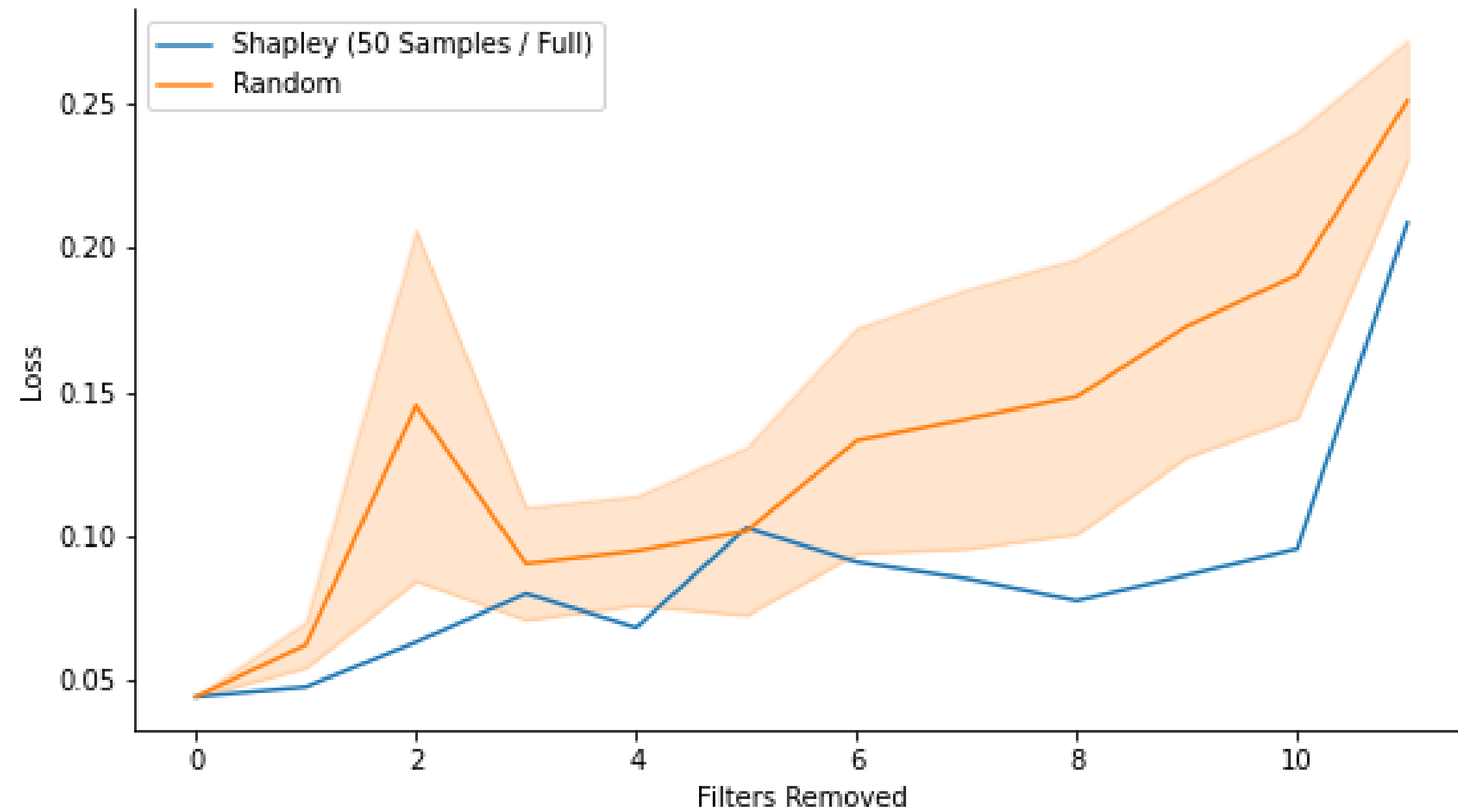
## An Improvement!

	Accuracy (%)	Parameters
Original	98.3 (%)	3246
Pruned (1)	98.6 (%)	2985 (-8%)

# Pruning

Quantitative Results  
(normal-data regime)

## Loss

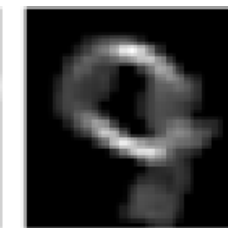
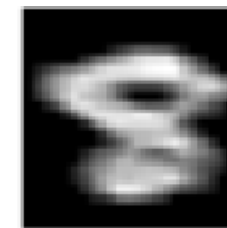
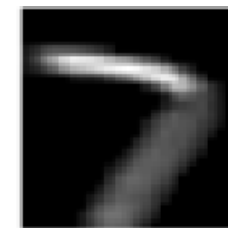
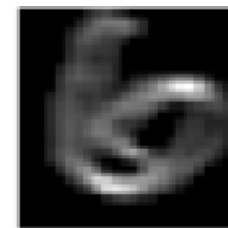
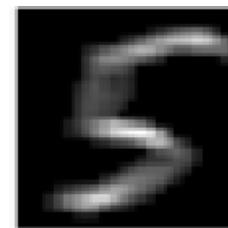
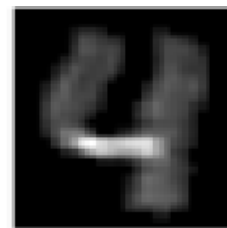
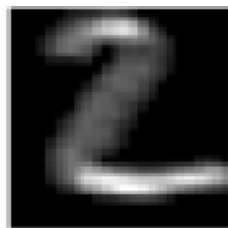
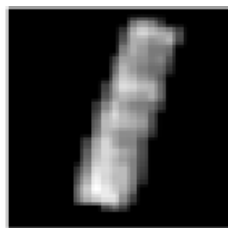
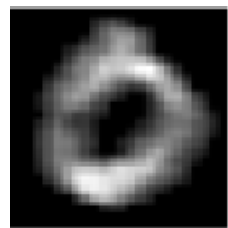


# Pruning

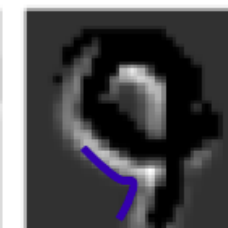
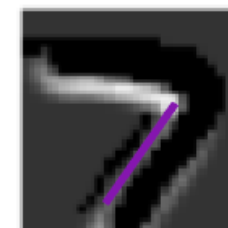
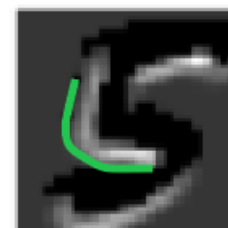
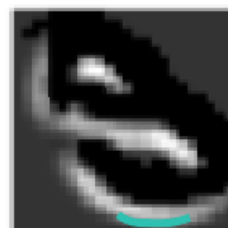
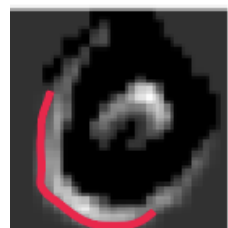
Quantitative Results  
(Comparison)

## An Improvement!

	Accuracy (%)	Parameters
Original	98.3 (%)	3246
Pruned (10)	96.8 (%)	636 (-81%)



7.95



1.86