# Introduction

This document outlines a scalable architecture to implement a real-time alert processing platform for LSST:UK capable of handling the data rates expected during the lifetime of the LSST project.

In order to make the system scalable the architecture is designed from the ground up to avoid high value single instance compute resources wherever possible.

A core concept behind this design is to take advantage of the inherently parallel aspects of the problem and to distribute the processing to small independent micro-services, mapping these onto computing resources in a cloud compute environment.

#### Tree of nodes

The system can be viewed as a tree structure, with the top level being the initial input of alert messages from the upstream source. A node in the tree represents a processing step that applies an operation to each alert message in turn. Each node can either filter the input messages, selecting and passing on those that meet a specific criteria, or generate a new output type based on the content of the input message.

Each node is a web-service component in its own right, with a REST based command and control web service API.

[..]

Nodes are connected together using Kafka streams acting as FIFO buffers to provide a loose coupling between the nodes.

Each Kafka buffer is a web-service component in its own right, with a REST based command and control web service API.

[...]

The resulting network of nodes can be deployed and managed using a client library that connects to the REST web service API on each node.

[...]

This provides a toolkit that enables users to create their own pipeline of nodes to filter and process the input data stream, connecting together existing node types or by creating their own new types of node.

### **Node templates**

In order to simplify the process of creating new types of node we would provide a template container for each programming language or environment that implements the node controller functionality and all of the Kafka consumer and producer connections.

[templates]

The end user would only need to provide the code that implemented the required algorithm. Filling in the space space labeled "your code goes here", reading input messages from a data source interface provided by the container, applying the required processing and writing the results to an output interface.

[Jigsaw]

In effect this architecture could be considered as providing a "function as a service" platform, providing all of the infrastructure for connecting components together to build a workflow.

#### Kafka services

Kafka services are used throughout the system as the data distribution layer, providing a loose coupling between nodes in the tree.

Kafka implements a rolling buffer for a stream of messages, enabling each consumer, or group of consumers, to consume data at different rates without interfering with each other.

Multiple clients can subscribe to a data stream, and Kafka will ensure that all of the messages are received by all of the clients.

[...]

If a group of clients subscribe using the same group identifier Kafka will distribute the messages between the members of the group, sending different messages to each client. Each message is processed by only one client.

Using a combination of these delivery methods enables us to deploy a tree of processing nodes with a buffer between each layer and concurrent processing within the layers.

At each branch in the tree a Kafka buffer distributes the messages to all of the child nodes. To provide the loose coupling the Kafka buffer would maintain a rolling FIFO buffer, allowing each of the children to consume the data at a different rate.

[...]

In most cases each logical processing node will be implemented as a group of concurrent processes, each operating on part of the input data to achieve the data throughput required. By subscribing to the stream with the same group identifier, the same Kafka buffer will automatically distribute the messages between the concurrent processes for that node.

[...]

Using Kafka buffers between processing stages allows us to optimize compute resources to meet the different latency requirements for each science case.

At the top of the tree is a Kafka service that provides a rolling buffer (~days) of the incoming data from the upstream source (ZTF/LSST). This service provides an buffer that insulates the rest of the system from network issues between our system and the upstream producer.

Below the top node multiple child nodes can subscribe to data stream, all processing data at

different data rates depending on their requirements.

For example, a watch list component designed to trigger automated follow-up observations by a robotic platform may need to have as low a latency as possible, evaluating the criteria and issuing the follow-up observation alert soon as possible (~sec) after the input data arrives.

At the other end of the scale, producing the thumbnail images for the website is not a time critical process, and can accommodate a fairly long delay (~hrs) between the input data arriving and the thumbnail image available on website.

Kafka uses a simple offset marker for each client to keep track of which messages that client has seen. When a client acknowledges receipt of a block of messages, Kafka advances the marker to record that that block of messages have been seen by that client. When the client requests the next set of messages, Kafka uses the stored offset to select the next block of messages.

By storing a separate offset for each group of clients, the same Kafka service can accommodate widely different data rates for different groups of clients. Providing data to both the rapid response trigger and the thumbnail generator at the appropriate rate for their use case.

The proposed architecture repeats this pattern throughout the system, using Kafka services as flexible buffers between each stage of the data processing. This provides a simple way to implement a loose coupling between each stage and gives us much more flexibility in how the individual layers are implemented.

If, for example, a user wanted to combine the output of a fast filter component and the thumbnail images into a new type of alert message, but they found that the delay in generating the thumbnail images was too long, then we could increase the response speed of the thumbnail generator simply by allocating more compute resources to it.

Allocating more compute resources to the thumbnail generator would reduce the delay, without requiring any reconfiguration of the other components in the tree. The Kafka buffer would automatically distribute the incoming data between the compute resources for that node.

# Kafka components

A common pattern in Kafka deployments is to have a single instance of Kafka with all of the data analysis processes transferring data to and from the same Kafka service.

[..]

However, based on our experience with using Kafka to handle ZTF alert data, the performance of our Kafka services is limited by the I/O bandwidth of network and disc access. This becomes even more significant if the services are deployed on a cloud compute system with network based storage, in which case network bandwidth of the physical system becomes the limiting factor for both data transfer and storage access.

Many of our use cases form a simple directed graph, without loops or changes in direction. In which

case it may make sense to deploy more than one Kafka system, corresponding roughly to layers or stages in the processing pipeline, and to control the placement of these so that we optimize the network connections between the individual Kafka instances and the data processing nodes.

As an example, consider a single Kafka service, comprised of four Kafka server instances, and two stages of data processing, each comprised of four data processing nodes.

In this deployment, the first set of data processing nodes would read their input from the initial Kafka topic, process the data in some way and then push their results back into Kafka as a new topic. The second set of data processing nodes would read their input from this intermediary topic, process the data and again push their results back into Kafka servers as a third topic.

[..]

If all three topics are handled by the same logical Kafka services, and hence by the same set of Kafka server instances, then there is a danger that the data transfers to and from the worker nodes will traverse the same set of physical network connections multiple times. In which case we are building in a potential bottle neck in the design.

[..]

To avoid this kind of problem it is better to base our design on having multiple logical Kafka services from the beginning. The first step towards this is to define a Kafka 'service' as a logical component containing the Kafka servers and the associated Zookeeper service, managed by a orchestration tool such as Docker compose or Kubernetes.

The data processing pipeline can then be implemented as a series of processing nodes linked by a Kafka buffer components between each stage. The details of how the components are distributed can be dealt with at a lower level as part of the orchestration layer.

# Kafka partitions

The degree of parallelism available for each stage in the pipeline is determined by the number of partitions configured for the Kafka topic providing the data input.

Kafka stores the data for a topic in a set of partitions, defined when the topic is created. The Kafka client and server components distribute the data between themselves based on the number of partitions.

Consider a simple example of a single server hosting a topic configured with two partitions. The server will store data for the topic in two directories on the filesystem, one for each partition, distributing the data evenly between the two partitions.

If a single client connects to the server and subscribes to the topic, the client will receive all the data from both partitions, alternating between blocks of data from the two partitions.

If a second client subscribes to the same topic using the same group identifier, then Kafka will spread the data across the two clients, sending data from one partition to each client.

If a third client subscribes to the same topic using the same group identifier, it will not receive any data. The limiting factor is the number of partitions defined for the topic. By itself the Kafka service

will not split data from a partition to distribute parts of it to different clients.

If we increase the number of partitions for the topic to four, then the server will spread the data between the available clients, with two of the clients receiving data from a single partition, and one of the clients receiving data from two partitions. Again, Kafka will not split a partition to send fractions of a partition to different clients.

If we increase the number of partitions further, to eight, then the data will be spread more evenly between the three clients, two of them receiving data from three partitions, and one receiving data from two partitions.

The same distribution rules apply to the data distribution between Kafka servers. If we keep the number of partitions set at eight, but we add a second server to the system, then the data for the topic will be distributed evenly between the two servers, each handling data for four of the eight partitions.

The distribution to the three clients remains the same, two of them receiving data from three partitions, and one receiving data from just two partitions.

It should be clear from this (simplified) description that the more partitions defined for a topic the more configurable the parallelism and data distribution is possible.

The current set of evaluation tests have been configured to distribute the data as sixteen partitions distributed across four servers and each test has been configured to use four clients with four threads running in each instance. Further testing is planned to explore the overhead of increasing the number of partitions to 32, 64 or 128 partitions per topic.

Note that the mapping between which client gets data from which server is not fixed, the Kafka clients and servers will act together as a group to balance the data throughput of the system. Which of the three clients receives which partitions may change over time as the clients and servers work together to balance the system.

This allows Kafka to cope with different clients operating at different speeds, and in the worst case, if one of the clients were to fail or drop out of the group then the system would automatically rebalance the distribution between the two remaining clients.

#### Node containers

The architecture refers to containerized components for both the data processing nodes and the Kafka buffers. The most obvious technology choice is to use Docker containers orchestrated by a container management platform such as Kubernetes.

However, the architecture is not necessarily linked to any particular technology for implementing these components. An important aspect of the architecture is to abstract the details of the containerization layer behind the web service interfaces, enabling the platform to change the underlying containerization technology with minimal impact on the rest of the system, including end user code in the processing nodes.

#### **Future directions**

Many of the ideas in this architecture design are based on our experience of working with the OGSA-DAI distributed data access and management platform developed by EPCC at the University of Edinburgh.

In particular the concepts of using REST web-service control interfaces to connect together data processing components (Activities) to build an interconnected pipeline (workflow) that operates on a stream of data rows (tuples) are core parts of the OGSA-DAI architecture.

The design outlined in this document uses current technologies to implement a similar architecture, taking advantage of recent developments in cloud compute platforms to enable greater use of concurrent execution on multiple machines.

The architecture described in this document describes a way to implement the LSST:UK platform by developing the components from the ground up.

However, we are aware that recent developments in a number of key technologies in particular Structured Streaming on the Apache Spark platform that may already provide much of the functionality described in this document.

In which case it may be more efficient use of resources to start by adopting the Apache Spark Structured Streaming platform as the basis for the LSST:UK platform and then concentrate on developing extensions to fill in any additional functionality needed to meet the LSST:UK requirements.

We are further influenced by the example of the AXS team, who leveraged the existing functionality of the Spark platform to provide the basis of their science platform implementation and concentrated on developing extensions to the platform to meet their science requirements.

Another advantage of adopting a popular platform such as Apache Spark is the wide range of documentation and tutorials already available.

We are working in a rapidly evolving and changing ecosystem of tools and platforms. Adopting a platform that is being developed by a large number of active contributors is both an advantage and a disadvantage. We would benefit from the wide range of new features contributed by an active community of developers. On the other hand, basing our implementation on an unstable and actively changing platform may cause problems.