

## Multi-Objective Particle Swarm Optimization and Simulated Annealing in Practice

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### **Abstract**

Several automatic clustering multi-objective algorithms have been proposed in literature to solve the clustering problem. Recently, Multi-Objective Particle Swarm Optimization and Simulated Annealing Algorithm (MOPSOSA) has been proposed. The aim of (MOPSOSA) is to estimate the appropriate number of clusters and appropriately partition a data set into these clusters without the need to know the actual number of clusters. In this work, the efficiency of the MOPSOSA algorithm is studied, which is based on parameters of particles' velocity. Some of the artificial and real-life datasets are used to illustrate the impact of velocity parameters in the efficiency of MOPSOSA algorithm. The

results show that the suitable values of velocity parameters have almost the same range for the datasets used during the experiments.

**Keywords:** Automatic clustering, Particle swarm optimization, Simulated annealing, Multi-objective optimization

## 1 Introduction

Clustering [1] is a data mining technique in the field of the unsupervised datasets that is used to explore and understand large collections of data. Clustering unsupervised datasets implies that the structural characteristics of data are unknown and unlabeled. As a data processing technique, clustering is utilized in various fields by dividing and restructuring data to acquire significant and useful knowledge. The clustering process involves grouping the dataset of  $m$  objects into  $k$  meaningful clusters. Currently, clustering is open research problem. To solve a clustering problem, the number of clusters that fit a dataset must be determined. The objects for these clusters must then be assigned appropriately. The clustering problem can be defined as follows [2]: considering a dataset  $P = \{p_1, p_2, \dots, p_m\}$  with  $m$  objects, the clustering of dataset  $P$  is the distribution of objects that exists in  $P$  into  $k$  clusters  $C = \{C_1, C_2, \dots, C_k\}$ .  $C$  is called the clustering solution, which has the following properties:

1.  $\bigcup_{i=1}^k C_i = P$ ,
2.  $C_i \cap C_j = \phi$ ,  $i \neq j$ ,  $i = 1, \dots, k$ ,  $j = 1, \dots, k$ ,
3.  $C_i \neq \phi$ ,  $i = 1, \dots, k$ .

Several automatic clustering algorithms have been proposed in literature. These algorithms [3, 4, 5, 6, 7, 8, 9] can be used in many fields additionally to used in solving clustering problems. Although the clustering of a dataset is the main objective of this study, determining the appropriate number of clusters and conducting an accurate and proper partition of various datasets within these clusters is more important. Abubaker et al. [2] recently proposed a new automatic multi-objective clustering algorithm that can cluster various shapes, sizes, and overlapping datasets; this algorithm is called hybrid multi-objective particle swarm optimization with simulated annealing (MOPSOSA). In solving clustering problems, velocity parameters are the most important parameters in controlling the efficiency and accuracy of the MOPSOSA algorithm.

The ability to obtain highly accurate solutions is the most important objective for any clustering process. This study discusses the efficiency of the MOPSOSA algorithm on the basis of the change in velocity parameters of the

particles. Furthermore, this study discusses the suitable range of these parameters. These parameters control on the movement of particles in three directions, namely, current, particle, and swarm directions. Artificial and real-life datasets are used to illustrate the effect of velocity parameters in the efficiency of the MOPSOSA algorithm, while trying to determine the suitable choice of these parameters to obtain the best performance in clustering.

This paper is organized as follows; In Section 2, we present the steps of the MOPSOSA algorithm; Section 3 presents the probability velocity parameters; In Section 4, we discuss the efficiency of the new proposed algorithm based on the change in the three important probability velocity parameters; Finally, concluding remarks are given in Section 5.

## 2 MOPSOSA Algorithm

The MOPSOSA algorithm [2] integrates the advantages of fast calculation and convergence in particle swarm optimization with the capability to evade local solutions in simulated annealing. The MOPSOSA algorithm starts with the K-means technique [10] to create the initial particle positions (swarm). Then, the multi-objective particle swarm optimization (MPSO) is implemented, where all particles in the swarm are launched through the search space by following the current optimum particles to search for the best solution. During the search, the multi-objective simulated annealing (MOSA) is used if no change occurs in the position of a particle, or if the particle moves to a bad position. Each iteration, uses the idea of sharing fitness [11] to update the repository that contains Pareto optimal solutions. Figure 1 shows the conceptual diagram of the mechanism of the MOPSOSA algorithm with input and output datasets. The MOPSOSA algorithm performs automatic clustering according to three cluster validity indices (objective functions): the DaviesBouldin index (DB-index) [12], which is based on Euclidean distance; the symmetry-based index (Sym-index) [6], which is based on point symmetry distance; the connectivity-based index (Conn-index) [13], which is based on short distance.

In the MOPSOSA algorithm, each particle position is a clustering solution. The particle position  $X_i^t$  and velocity  $V_i^t$  are presented as vectors with  $m$  components  $X_i^t = (X_{i1}^t, X_{i2}^t, \dots, X_{im}^t)$  and  $V_i^t = (V_{i1}^t, V_{i2}^t, \dots, V_{im}^t)$  respectively at iteration  $t$ ,  $i = 1, \dots, n$ , where  $m$  is the number of data objects, and  $n$  is the number of particles (swarm size). The position particle  $X_i$  represents a clustering solution that is described using label-based integer encoding [14]. The position component  $X_{ij}^t \in \{1, \dots, k_i^t\}$  represents the cluster number of the  $j^{th}$  object in the  $i^{th}$  particle and  $V_{ij}^t \in \{0, \dots, k_i^t\}$  represents the motion of the  $j^{th}$  object in the  $i^{th}$  particle, where  $k_i^t \in \{k_{min}, \dots, k_{max}\}$  is the number of clusters related to particle  $i$  at iteration  $t$ , where  $k_{min}$  and  $k_{max}$  are the minimum and the maximum number of clusters respectively, the default value

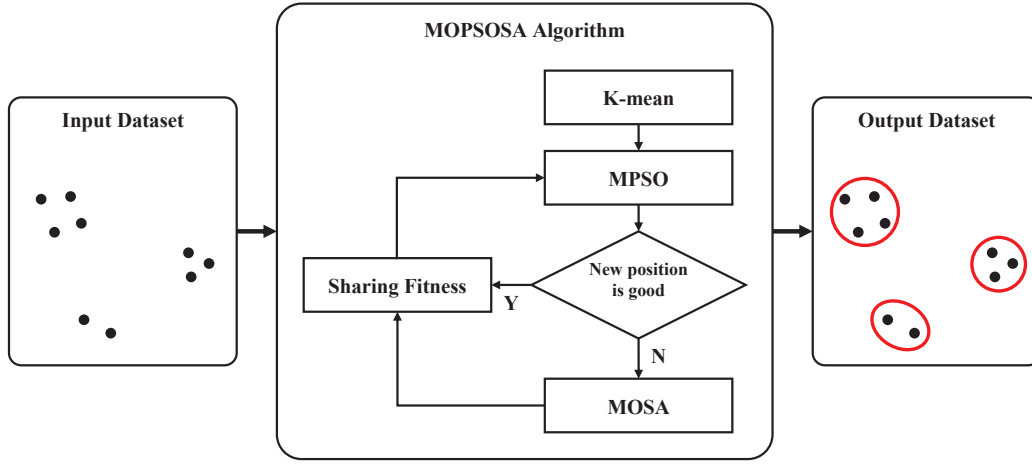


Figure 1: Diagram of the mechanism of MOPSOSA algorithm.

of  $k_{min}$  is 2, and  $k_{max}$  is  $\sqrt{m} + 1$  unless manually specified [15]. The best previous position of the  $i^{th}$  particle at iteration  $t$  is represented as  $XP_i^t = (XP_{i1}^t, XP_{i2}^t, \dots, XP_{im}^t)$ . In each iteration  $t$  and for each particle  $i$ ,  $XP_i^t$  is updated by non-dominant criteria. This update has three cases. If  $XP_i^t$  is dominated by  $X_i^{t+1}$ , then  $XP_i^{t+1} = X_i^{t+1}$ . If  $X_i^{t+1}$  is dominated by  $XP_i^t$ , then  $XP_i^{t+1} = XP_i^t$ . If neither  $XP_i^t$  nor  $X_i^{t+1}$  dominates the other, then one of them is chosen randomly as  $XP_i^{t+1}$ . The leader position that is chosen from the repository of Pareto set for the  $i^{th}$  particle at iteration  $t$  is represented by  $XG_i^t = (XG_{i1}^t, XG_{i2}^t, \dots, XG_{im}^t)$ . A leader  $XG_i^t$  is selected from the repository according to the distance between  $XG_i^t$  and  $X_i^t$ , where the particle in the repository that are nearest to  $X_i^t$  is selected as the leader. For the particle  $i$  at iteration  $t$ , the new velocity  $V_i^{t+1}$  is calculated as follows:

$$V_i^{t+1} = (W \otimes V_i^t) \oplus [(R_1 \otimes (XP_i^t \ominus X_i^t)) \oplus (R_2 \otimes (XG_i^t \ominus X_i^t))] \quad (1)$$

where  $W$ ,  $R_1$ , and  $R_2$  are vectors (velocity parameters) of  $m$ -dimension with values of either 0 or 1 that are randomly generated with probabilities of  $w$ ,  $r_1$ , and  $r_2$ , respectively. The difference operation  $\ominus$  is defined as follows;  $(XP_i^t \ominus x_i^t) = (\lambda p_{i1}^t, \dots, \lambda p_{im}^t)$  and  $(XG_i^t \ominus X_i^t) = (\lambda g_{i1}^t, \dots, \lambda g_{im}^t)$ ,  $\lambda p_{ij}^t$  and  $\lambda g_{ij}^t$  are defined as follows;

$$\lambda p_{ij}^t = \begin{cases} XP_{ij}^t & \text{if } X_{ij}^t \neq XP_{ij}^t \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

and,

$$\lambda g_{ij}^t = \begin{cases} XG_{ij}^t & \text{if } X_{ij}^t \neq XG_{ij}^t \\ 0 & \text{otherwise} \end{cases} \quad (3)$$

The multiplication operator  $\otimes$  of the two vectors  $A = (a_1, \dots, a_m)$  and  $B = (b_1, \dots, b_m)$  is defined as  $A \otimes B = (a_1 b_1, \dots, a_m b_m)$ . The merging operator  $\oplus$  of the two vectors  $A$  and  $B$  is defined as  $A \oplus B = (c_1, \dots, c_m)$ ,  $c_i$  is computed as follows:

$$c_i = \begin{cases} a_i & \text{if } a_i \neq 0 \text{ and } b_i = 0 \\ b_i & \text{if } a_i = 0 \text{ and } b_i \neq 0 \\ a_i \text{ or } b_i \text{ randomly} & \text{if } a_i \neq 0 \text{ and } b_i \neq 0 \\ 0 & \text{otherwise} \end{cases} \quad (4)$$

For particle  $i$  at iteration  $t$ , the new position  $X_i^{t+1}$  is generated from the new velocity as follows:

$$X_i^{t+1} = \begin{cases} V_i^{t+1} & \text{if } V_i^{t+1} \neq 0 \\ rand & \text{otherwise} \end{cases} \quad (5)$$

where  $rand$  is an integer random number in  $[1, k_i^t + 1]$ , and  $k_i^t + 1 \leq k_{max}$ .

### MOPSOSA Algorithm:

- Step 1:** Initialize swarm size  $n$ , number of iteration  $iter$ ,  $k_{min}$ ,  $k_{max}$ ,  $T_0$ , and  $t = 0$ .
- Step 2:** Use the K-means method to generate the initial  $X_i^t$ ,  $V_i^t = 0$ , and  $XP_i^t = x_i^t$ ,  $i = 1, \dots, n$ .
- Step 3:** Compute  $f_1(X_i^t), \dots, f_S(X_i^t)$ . Then fill the repository of non-dominated  $XP_i^t$ ,  $i = 1, \dots, n$ .
- Step 4:** Select the leader  $XG_i^t$  from the repository. Renumber  $XP_i^t$  and  $XG_i^t$  based on  $X_i^t$ ,  $i = 1, \dots, n$ .
- Step 5:** Compute  $X_i^{new}$  and  $V_i^{new}$ ,  $i = 1, \dots, n$  by using Equations (1) and (5).
- Step 6:** The validity of  $X_i^{new}$ ,  $i = 1, \dots, n$  is checked, and the position validation process is applied if it is not valid.
- Step 7:** Compute  $f_1(X_i^{new}), \dots, f_S(X_i^{new})$  for the candidate next position  $X_i^{new}$ , and  $f_1(X_i^t), \dots, f_S(X_i^t)$  for the current position  $X_i^t$ ,  $i = 1, \dots, n$ .
- Step 8:** Implement a dominance check for  $X_i^{new}$ ,  $i = 1, \dots, n$ . If  $X_i^{new}$  is non-dominated by  $X_i^t$  then  $X_i^{t+1} = X_i^{new}$  and  $V_i^{t+1} = V_i^{new}$ . Otherwise  $X_i^{t+1} = X_i^{MOSA}$  and  $V_i^{t+1} = V_i^{MOSA}$ ,  $i = 1, \dots, n$ , where  $X_i^{MOSA}$  is the position and  $V_i^{MOSA}$  the velocity which are returns from the MOSA technique.

**Step 9:** Find the new  $XP_i^{t+1}$ ,  $i = 1, \dots, n$ .

**Step 10:** Update the repository.

**Step 11:** Set  $t = t + 1$ , if  $t > iter$  then the algorithm is stopped, and the repository contains the Pareto solutions, otherwise go to step 4.

### 3 Velocity Parameters

In solving clustering problems, the velocity parameters are the most important factors that control the efficiency and accuracy of the MOPSOSA algorithm. In the MOPSOSA algorithm, the velocity of each particle was modified by Equation (1). The velocity parameters  $W$ ,  $R_1$ , and  $R_2$  are generated randomly with probabilities  $w$ ,  $r_1$ , and  $r_2$ , respectively. No clear formulation is available to define the probability velocity parameters  $w$ ,  $r_1$ , and  $r_2$ . Moreover,  $w$  controls the motion of particles in the current direction.  $r_1$  controls the motion of particles in the direction of the best position of the same particle, and  $r_2$  controls the motion of particles in the direction of the best position obtained by swarm particles. As shown in Figure 2, these directions affect the movement of particles. Thus, these directions affect both the movement of particles and the performance of the MOPSOSA algorithm. Given these observations, this study investigates the effect of these parameters on one another and on the algorithm. For this purpose, the MOPSOSA algorithm was implemented with different values of the velocity parameters by using several datasets. The probability velocity parameters  $w$ ,  $r_1$ , and  $r_2$  are discussed below.

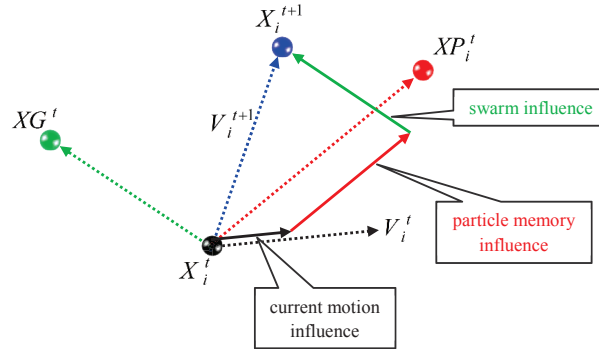


Figure 2: Influences on the motion of particle  $i$  at iteration  $t + 1$

1. The probability velocity parameter  $w$  (inertia weight) generates the vector  $W$ , which contributes to the generation of the subsequent velocity of the particle on the basis of the current velocity. Therefore, this parameter

plays an important role in the performance of the MOPSOSA algorithm. A small  $w$  value facilitates searching in new areas, that is global exploration. By contrast, a large  $w$  value tends to facilitate searching in a current area, that is local exploration. The use of an appropriate  $w$  value ensures balance between global and local exploration capabilities. Thus, we can obtain convergence to the optimal solution with high accuracy in few iterations.

Shi and Eberhart [16] have introduced the concept of inertia weight in the original particle swarm optimization (PSO), they have also illustrated the impact of this parameter on the performance of PSO. There are some of the strategies in literature that can be utilized to adjust the inertia weight. Shi and Eberhart [16] have shown that the inertia weight which is linearly decreases during run time provides a good result. In another strategy, the inertia weight was randomly set between 0.5 and 1 [17]. Also increased the inertia weight during run time to improve the performance of the PSO algorithm [18]. However, the optimal value of inertia weight varies from case to case [19].

2. The probability velocity parameter  $r_1$  generates the vector  $R_1$ , which controls the quantity of the cognitive component. The quantitative component is the individual memory of the best position of particles. Therefore, a large  $r_1$  value affects the particle's movement, which is attracted to the best position of a particle. Thus, the particle tends to return to the best positions or situations. This finding indicates that a large  $r_1$  increases the possibility of local exploration of particles, whereas a small  $r_1$  value weakens the particle's ability for local exploration.
3. The Probability velocity parameter  $r_2$  generates the vector  $R_2$ , which controls the quantity of the social component. Conceptually, the social component is a criterion that particles seek to attain. Therefore, a large  $r_2$  value implies that each particle is attracted to the position that is best for all particles. This situation increases the particle's ability for global exploration, but weakens the efficiency for local exploration. By contrast, a small  $r_2$  value weakens the particle's efficiency for global exploration.

## 4 Experimental Study

This experimental study presents the effects of changes in velocity parameters on the performance of the MOPSOSA algorithm. The effects of velocity parameters on the MOPSOSA algorithm were examined using the 6 artificial and 3 real-life datasets presented in Table 1 [2]. We used the MOPSOSA algorithm with one parameter of  $w$ ,  $r_1$ , and  $r_2$  within the range  $[0.01, 1]$  at 0.01

increment, whereas the other parameters are fixed. In addition, the swarm was formed from 50 particles, the number of iterations was 100, and the minimum and maximum numbers of clusters were 2 and  $\sqrt{m} + 1$ , respectively, as shown in Table 2. To evaluate the clustering quality, we employed an external criterion called F-measure [20], which takes two clustering solutions i.e., one is the known true clustering and the other is the final solution obtained from the algorithm, and provides the value of the similarity (within interval [0,1]) between them. Let  $T$  and  $C$  be two clustering solutions,  $T = \{T_1, \dots, T_{k_T}\}$  be the true solution, and  $C = \{C_1, \dots, C_{k_C}\}$  be the solution to be measured, where  $k_T$  and  $k_C$  are the number of clusters for the solutions  $T$  and  $C$ , respectively. The F-measure of class  $T_i$  and cluster  $C_j$  are defined as:

$$F(T_i, C_j) = \frac{2 * P(T_i, C_j) * R(T_i, C_j)}{P(T_i, C_j) + R(T_i, C_j)} \quad (6)$$

where  $P(T_i, C_j)$  is called a Precision, which is the ratio of the points in cluster  $C_j$  that exist in class  $T_i$ , and is defined as  $P(T_i, C_j) = n_{ij}/|C_j|$ , where  $n_{ij}$  is the number of points that exist in both  $T_i$  and  $C_j$ , and  $|C_j|$  is the number of points in cluster  $C_j$ ,  $R(T_i, C_j)$  is called a Recall, which is the ratio of the points in class  $T_i$  that are in cluster  $C_j$ , denoted by  $R(T_i, C_j) = n_{ij}/|T_i|$ . Meanwhile, the F-measure of solutions  $T$  and  $C$  are constructed as follows:

$$F(T, C) = \sum_{i=1}^{k_T} \frac{|T_i|}{m} \max_{C_j \in C} \{F(T_i, C_j)\} \quad (7)$$

The higher values of  $F(T, C)$  are the better values and the optimal value of  $F(T, C)$  is 1.

Table 1: Description of the artificial and real-life data sets.

Dataset	Points	Dimension	Clusters
Sph_4.3	400	3	4
Sph_9.2	900	2	9
Pat2	417	2	2
Sizes5	1000	2	4
Spiral	1000	2	2
Fourty	1000	2	40
Iris	150	4	3
Cancer	683	9	2
LiverDisorder	345	6	2



Table 2: Parameter used in the MOPSOSA algorithm to obtain the best values for  $w$ ,  $r_1$ , and  $r_2$ .

Description	Parameters	Value
Swarm size	$n$	50
Number of iteration	$iter$	100
Minimum number of clusters	$k_{min}$	2
Maximum number of clusters	$k_{max}$	$\sqrt{m} + 1$

#### 4.1 The Probability Velocity Parameter $w$

To study the effect of the probability velocity parameter  $w$  on the MOPSOSA algorithm, we selected 100 different values for  $w$ , that are 0.01, 0.02, 0.03,  $\dots$ , 1 when clustering 9 real life and artificial datasets by using the MOPSOSA algorithm. These values were used to obtain the appropriate number of clusters and appropriately partitioned the datasets into such clusters. Moreover, we determined the proper interval for  $w$ , which achieved high values for the F-measure criterion for each dataset used in this experiment. We also determined the best interval for  $w$  for all datasets. Assuming that the other velocity parameters are fixed, we considered  $r_1 = 0.90$  and  $r_2 = 0.90$ .

Figures 3 show the relationship between  $w$  and the F-measure criterion by implementing the MOPSOSA algorithm on 6 artificial and 3 real life datasets. When  $w$  is increased to a certain value, the value of the F-measure criterion increases and then decreases thereafter, which means as  $w$  increases, the clustering accuracy of the MOPSOSA algorithm improves (although this phenomenon occurs up to a certain point only); then, an adverse relationship is formed between  $w$  and the F-measure. A small  $w$  value slightly maintains the momentum of the previous velocity. This phenomenon indicates a large chance for the particle to move into a new search area as well as a decrease in its chance of staying in the current search area. As  $w$  approaches to 0, there is no relation between previous velocity and next velocity of the particle over time, resulting in the movement of particle into a new search area; thus, the particle loses its ability to search in the current area. The particles cannot move back to the optimum, and the swarm diverges. In addition, when  $w$  approaches to 1, the particles' velocity evanesce in each step, and all particles move regardless of the previous velocity, leading to limited searching; thus, the MOPSOSA algorithm requires a large number of iterations to reach the optimal solutions or the particle becomes trapped in the local search area.

In this study, we have considered the best values of the F-measure (BVF) for clustering a dataset as the interval between the maximum value of the F-measure (MVF) minus 0.01 and the MVF, i.e.,  $BVF = [MVF - 0.01, MVF]$ , or  $MVF - 0.005 \pm 0.005$ . MVF was obtained from clustering a dataset using the

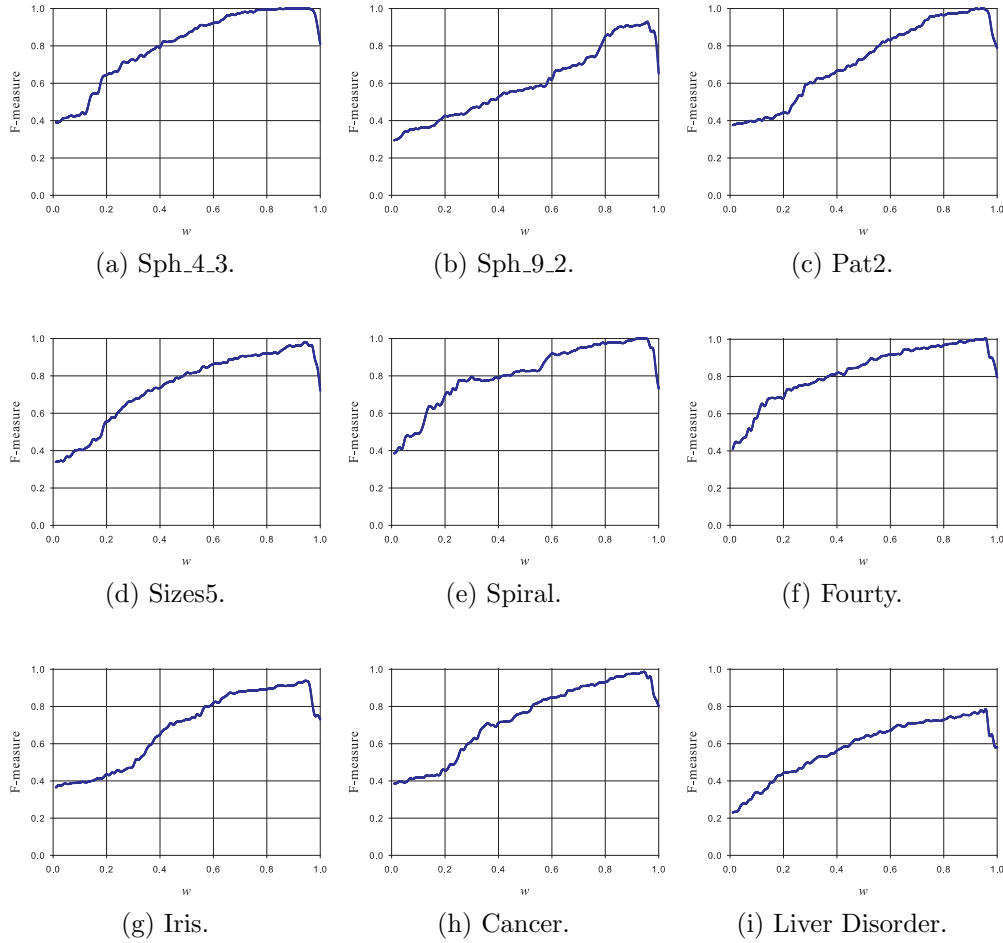


Figure 3: The effect of  $w$  on the F-measure criterion of 9 artificial and real-life datasets, where  $r_1 = 0.90$ ,  $r_2 = 0.90$ , and the 100 values for  $w$ , are  $0.01, 0.02, \dots, 0.99, 1$ .

MOPSOSA algorithm at  $w = 0.01, 0.02, \dots, 1$ . Table 3 shows the appropriate range for  $w$  to achieve BVF; this range also determines the correct number of clusters. The proper cluster number and BVF can be obtained from a certain interval of  $w$  values.

These results show that the probability velocity parameter  $w$  affects the accuracy of the MOPSOSA algorithm. Furthermore, the following conclusions can be drawn; First, as  $w$  increases, the F-measure criterion also increases up to a certain point; then, it decreases as  $w$  continues to increase. Second, a large  $w$  value (approaching 1) and a small  $w$  value (approaching 0) provide weak results when solving clustering problems. Third, the proper interval of  $w$  for all datasets used in this study is  $[0.94, 0.95]$ . Finally,  $w$  values may be

modified to improve the results for other datasets.

Table 3: A proper number of clusters, BVF, and an appropriate range of  $w$  obtained from MOPSOSA for 9 datasets, where  $r_1 = 0.90$  and  $r_2 = 0.90$ .

Dataset	# Clusters	BVF	$w$
Sph_4.3	4	$0.995 \pm 0.005$	[0.76,0.97]
Sph_9.2	9	$0.920 \pm 0.005$	[0.94,0.96]
Pat2	2	$0.995 \pm 0.005$	[0.90,0.96]
Sizes5	4	$0.974 \pm 0.005$	[0.94,0.95]
Spiral	2	$0.995 \pm 0.005$	[0.90,0.96]
Fourty	40	$0.995 \pm 0.005$	[0.90,0.96]
Iris	3	$0.936 \pm 0.005$	[0.92,0.96]
Cancer	2	$0.980 \pm 0.005$	[0.90,0.95]
LiverDisorder	2	$0.775 \pm 0.005$	[0.94,0.96]

## 4.2 The Probability Velocity Parameter $r_1$

To study the effect of the probability velocity parameter  $r_1$  on the MOPSOSA algorithm, 100 different values for  $r_1$ , that are 0.01, 0.02, 0.03,  $\dots$ , 1 were selected to cluster 9 real-life and artificial datasets using the MOPSOSA algorithm. Each  $r_1$  value was used to implement the MOPSOSA algorithm to appropriately divide the datasets into a proper number of clusters. The proper interval for  $r_1$  was determined to achieve BVF in clustering each dataset used in this experiment, and determine the best interval for  $r_1$  for all datasets. Assuming that the other velocity parameters are fixed, we considered  $w = 0.95$  and  $r_2 = 0.90$ .

Figure 4 shows the relationship between  $r_1$  and the F-measure criterion by implementing the MOPSOSA algorithm in the 6 artificial and 3 real life datasets. The value of the F-measure criterion increases as  $r_1$  increases up to certain point, and then begin to decreases thereafter. Moreover, by increasing  $r_1$  the accuracy of clustering by the MOPSOSA algorithm increases, although this phenomenon occurs to a certain point only; then, an adverse relationship is formed between  $r_1$  and the F-measure. This phenomenon occurs because as  $r_1$  approaches 1, the particle velocity increases over time toward the best previous position of a particle; thus, the particle cannot easily move into a new search area. The particles are possibly trapped in local solutions; therefore, the swarm diverges. In addition, a small  $r_1$  value slightly maintains the momentum of the best previous position of a particle, indicating a higher chance for the particle to move into a new search area. The MOPSOSA algorithm thus loses its ability for an in-depth search in the local area of the particles. When  $r_1$  approaches

0, then in each step, all particles move regardless of the previous condition, thereby making the movement of all particles depend on one particle.

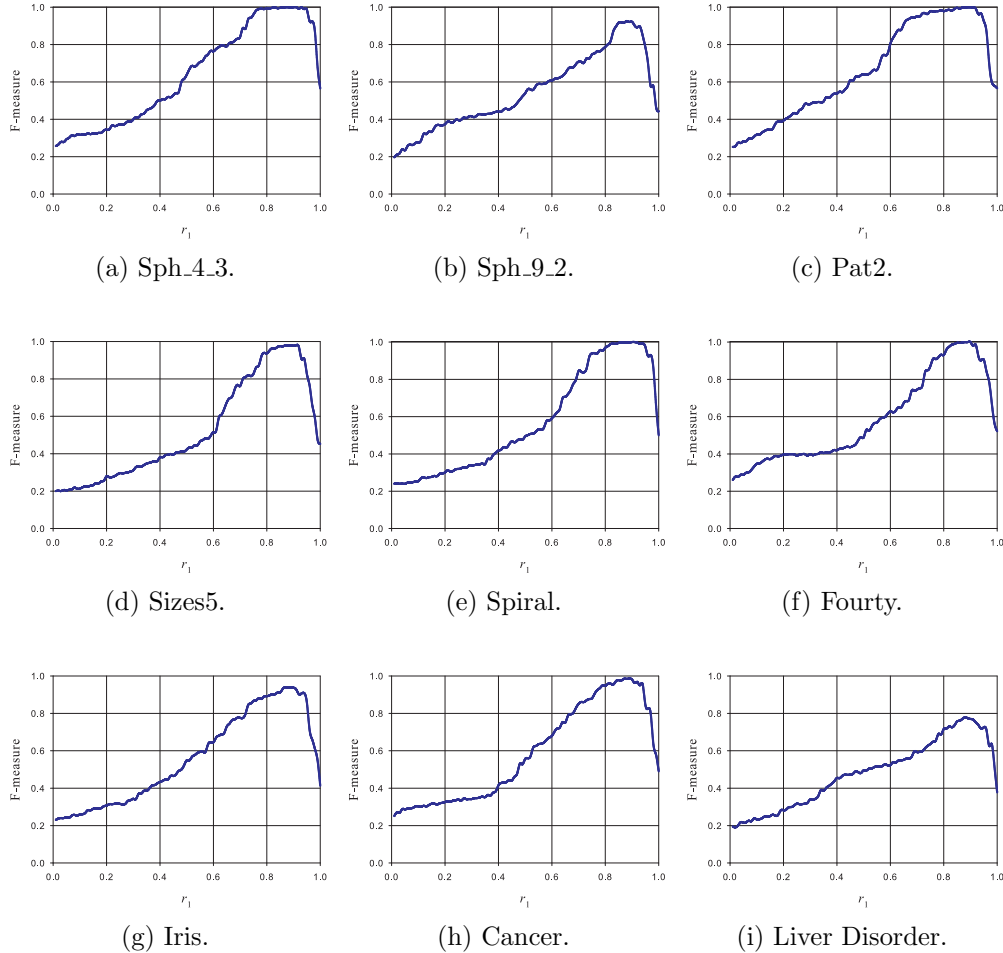


Figure 4: The effect of  $r_1$  on the F-measure criterion of 9 artificial and real-life datasets, where  $w = 0.95$ ,  $r_2 = 0.90$ , and the 100 values for  $r_1$ , are  $0.01, 0.02, \dots, 0.99, 1$ .

Table 4 shows the appropriate range for  $r_1$  to achieve BVF; this range also determines the correct number of clusters. The proper cluster number and BVF are obtained from a certain interval of  $r_1$  values.

This study shows that  $r_1$  affects the accuracy of the MOPSOSA algorithm. Furthermore, we have the following observations; First, as  $r_1$  increases the F-measure criterion also increases up to a certain point, and then decreases as  $r_1$  continues to increase. Second, a large  $r_1$  value (approaching 1) and a small  $r_1$  value (approaching 0) provide weak results when solving clustering problems. Third, the proper  $r_1$  interval for all datasets used in these study is  $[0.87, 0.90]$ .

Finally,  $r_1$  may be modified to improve the results of the other datasets.

Table 4: The proper number of clusters, BVF, and an appropriate range of  $r_1$  obtained from MOPSOSA of 9 datasets, where  $w = 0.95$  and  $r_2 = 0.90$ .

Dataset	# Clusters	BVF	$r_1$
Sph_4.3	4	$0.995 \pm 0.005$	[0.77,0.94]
Sph_9.2	9	$0.921 \pm 0.005$	[0.85,0.90]
Pat2	2	$0.995 \pm 0.005$	[0.84,0.93]
Sizes5	4	$0.975 \pm 0.005$	[0.84,0.92]
Spiral	2	$0.995 \pm 0.005$	[0.83,0.94]
Fourty	40	$0.995 \pm 0.005$	[0.84,0.91]
Iris	3	$0.936 \pm 0.005$	[0.86,0.91]
Cancer	2	$0.981 \pm 0.005$	[0.85,0.90]
LiverDisorder	2	$0.774 \pm 0.005$	[0.87,0.91]

### 4.3 The Probability Velocity Parameter $r_2$

To study the effects of  $r_2$  on the MOPSOSA algorithm, 100 different values for  $r_2$  that are 0.01, 0.02, 0.03,  $\dots$ , 1 were selected to cluster 9 real-life and artificial datasets using the MOPSOSA algorithm. Each  $r_2$  value was used to implement the MOPSOSA algorithm to appropriately divide the datasets into a proper number of clusters. The proper interval of  $r_2$  was determined to achieve BVF for clustering each dataset used in this experiment, as well as to determine the best interval of  $r_2$  for all datasets. The other velocity parameters are fixed at  $w = 0.95$  and  $r_1 = 0.90$ .

Figure 5 shows the relationship between  $r_2$  and the F-measure criterion by implementing the MOPSOSA algorithm in the 6 artificial and 3 real life datasets. As  $r_2$  increases up to a certain point, the value of the F-measure criterion increases, and then decreases thereafter. Moreover, by increasing  $r_2$  the performance of the MOPSOSA algorithm improves, although this phenomenon occurs up to a certain point only; then, an adverse relationship is formed between  $r_2$  and the F-measure. This phenomenon occurs when  $r_2$  approaches 1, which causes the particle velocity to increase over time toward the best previous position of all particles, thereby making the movement of all particles depend on one particle. Therefore, the particles are possibly trapped in local solutions, and the swarm diverges. In addition, a small  $r_2$  value slightly maintains the momentum of the best global position. The MOPSOSA algorithm thus loses its ability to search in the global area of the particles, indicating a high chance for the particle to become trapped in a local solution. Furthermore, if  $r_2$  approaches 0, then in each step, all particles move regardless of previous swarm causing each particle to move within the local area.

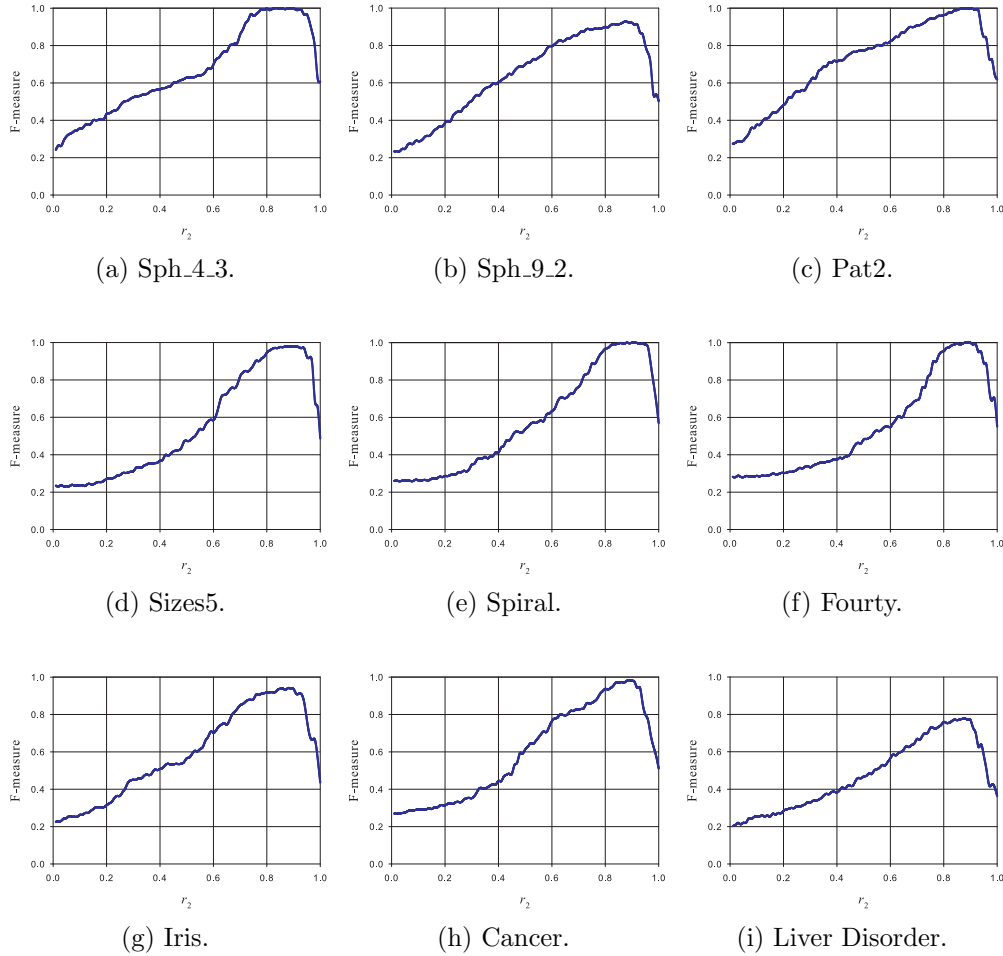


Figure 5: The effect of  $r_2$  on the F-measure criterion of 9 artificial and real-life datasets, where  $w = 0.95$ ,  $r_1 = 0.9$ , and the 100 values for  $r_2$ , are  $0.01, 0.02, \dots, 0.99, 1$ .

Table 5 shows the appropriate range for  $r_2$  to achieve BVF; this range also determines the correct number of clusters. The proper cluster number and BVF are obtained from a certain interval of  $r_2$  values.

This study shows that  $r_2$  affects the accuracy of the MOPSOSA algorithm, where  $r_2$  increases from 0.01 to 1 at 0.01 increment. Furthermore, we conclude the following. First, as  $r_2$  increases, the F-measure criterion also increases up to a certain point and then decreases as  $r_2$  continues to increase. Second, a large  $r_2$  value (approaches 1) and a small  $r_2$  value (approaches 0) provide weak results when solving the clustering problem. Third, the proper interval of  $r_2$  for all datasets used in these study is  $[0.87, 0.90]$ . Finally,  $r_2$  values may be modified to improve the results of other datasets.

Table 5: Proper number of clusters, BVF, and appropriate range of  $r_2$  obtained from MOPSOSA of 9 datasets, where  $w = 0.95$  and  $r_1 = 0.9$ .

Dataset	# Clusters	BVF	$r_2$
Sph_4.3	4	$0.995 \pm 0.005$	[0.78,0.93]
Sph_9.2	9	$0.922 \pm 0.005$	[0.86,0.90]
Pat1	3	$0.995 \pm 0.005$	[0.82,0.91]
Pat2	2	$0.995 \pm 0.005$	[0.85,0.92]
Sizes5	4	$0.974 \pm 0.005$	[0.83,0.94]
Spiral	2	$0.995 \pm 0.005$	[0.81,0.95]
Fourty	40	$0.995 \pm 0.005$	[0.86,0.92]
Iris	3	$0.937 \pm 0.005$	[0.84,0.90]
Cancer	2	$0.979 \pm 0.005$	[0.87,0.91]
LiverDisorder	2	$0.773 \pm 0.005$	[0.84,0.90]

## 5 Conclusion

The effect of the particle's velocity parameters  $W$ ,  $R_1$ , and  $R_2$ , on the ability of the MOPSOSA algorithm to solve the clustering problem was scrutinised. The velocity parameters  $W$ ,  $R_1$ , and  $R_2$  are the vectors of the  $m$ -dimension with 0 or 1 component are generated randomly by the probabilities  $w$ ,  $r_1$ , and  $r_2$ , respectively. In this study, 100 distinct values for each probability velocity parameter, were chosen to cluster 9 real-life and artificial datasets using the MOPSOSA algorithm. It is shown that the performance of the MOPSOSA algorithm and the quality of clustering are affected by all the probability velocity parameters, where there exists a correlation between probability velocity parameters and the F-measure criterion. As the probability velocity parameters increase, the F-measure criterion increases correspondingly up to a specific value whereupon it would start to decrease. Hence, the efficiency of the MOPSOSA algorithm may be enhanced by raising the probability velocity parameters  $w$ ,  $r_1$ , and  $r_2$ , though this is only true up to a specific value, after which, the positive effect of increasing the probability velocity parameters becomes a negative effect, instead. The suitable values for probability velocity parameters  $w$ ,  $r_1$ , and  $r_2$  to produce the vectors  $W$ ,  $R_1$ , and  $R_2$  are found in the ranges [0.94, 0.95], [0.87, 0.90], and [0.87, 0.90], respectively.[]

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**Received: May 10, 2016; Published: June 24, 2016**