Progress and Preservation Proofs for the Expressions "iszero" and "pred" in the Arith Language

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1 Arith Language

Arith is a basic language; its expressions, values, and types are enumerated in figure 1. Arith's small-step, evaluation order semantics are defined in figure 2 while Arith's type rules are enumerated in figure 3.

```
Expressions \\
e ::=
                                                Boolean True
      true
      false
                                                Boolean False
                                              Integer Value 0
      0
      succ(e)
                                        Successor Expressions
      pred(e)
                                      Predecessor Expressions
                                Zero Value Check Expressions
      iszero(e)
      if (e) then (e) else (e)
                                      Conditional Expressions
v ::=
                                                       Values
                                                integer values
      i
      b
                                               boolean values
T ::=
                                                        Types
      Bool
                                                Boolean Type
      Int
                                                 Integer Type
```

Figure 1: The Arith language

Evaluation Rules:
$$e \rightarrow e'$$

$$[E-SUCC-CTXT] \qquad \frac{e_1 \rightarrow e'_1}{\operatorname{succ}\ (e_1)} \rightarrow \operatorname{succ}\ (e'_1)$$

$$[E-SUCC] \qquad \frac{i'=i+1}{\operatorname{succ}\ (i) \rightarrow i'}$$

$$[E-PRED-CTXT] \qquad \frac{e_1 \rightarrow e'_1}{\operatorname{pred}\ (e_1) \rightarrow \operatorname{pred}\ (e'_1)}$$

$$[E-PRED] \qquad \frac{i'=i-1}{\operatorname{pred}\ (i) \rightarrow i'}$$

$$[E-ISZERO-CTXT] \qquad \frac{e_1 \rightarrow e'_1}{\operatorname{iszero}\ (e_1) \rightarrow \operatorname{iszero}\ (e'_1)}$$

$$[E-ISZERO-Z] \qquad \operatorname{iszero}\ (0) \rightarrow \operatorname{true}$$

$$[E-ISZERO-NZ] \qquad \frac{i \neq 0}{\operatorname{iszero}\ (i) \rightarrow \operatorname{false}}$$

$$[E-IF-CTXT] \qquad \frac{e_1 \rightarrow e'_1}{\operatorname{if}\ (e_1)\ \operatorname{then}\ (e_2)\ \operatorname{else}\ (e_3) \rightarrow \operatorname{if}\ (e'_1)\ \operatorname{then}\ (e_2)\ \operatorname{else}\ (e_3)}$$

$$[E-IF-TRUE] \qquad \operatorname{if}\ (\operatorname{true})\ \operatorname{then}\ (e_2)\ \operatorname{else}\ (e_3) \rightarrow e_2$$

$$[E-IF-FALSE] \qquad \operatorname{if}\ (\operatorname{false})\ \operatorname{then}\ (e_2)\ \operatorname{else}\ (e_3) \rightarrow e_3$$

Figure 2: Small-Step, Evaluation Order Semantics Semantics for the Arith Language

Type Rules:	e:T	
	[T-TRUE]	true: Bool
	[T-FALSE]	false: Bool
	[T-Int]	$i: { t Int}$
	[T-Succ]	$\frac{e_1: \mathtt{Int}}{\mathtt{succ}\ (e_1): \mathtt{Int}}$
	[T-Pred]	$\frac{e_1: \mathtt{Int}}{\mathtt{pred}\; (e_1): \mathtt{Int}}$
	[T-IsZero]	$rac{e_1: exttt{Int}}{ exttt{iszero}\left(e_1 ight): exttt{Bool}}$
	$[T-I_F]$	$\frac{e_1: \texttt{Bool}, \ e_2: T, \ e_3: T}{\texttt{if} \ (e_1) \ \texttt{then} \ (e_2) \ \texttt{else} \ (e_3): T}$

Figure 3: Type Rules for the Arith Language

2 Progress

In semantics context, "progress" entails that a well-type expression will not "get stuck." Figure 4 is the formal, theoretical definition of progress.

Given e:T, then either:

- 1. e is a value.
- 2. There exists an e' such that: $e \to e'$.

Figure 4: Formal Definition of the Progress Theorem

The following subsections are the formal proofs of progress for the type rules in figure 3.

2.1 Proving Progress for Boolean and Integer Values

Figure 4 establish that progress is achieved if an expression e is a value. Hence, by this criterion, type rules [T-TRUE], [T-FALSE], and [T-INT] all hold.

2.2 Proving Progress for the if Expression

Figure 5 is the proof of progress for the if expression in the Arith Language.

Given:

$$e = \text{if } (e_1) \text{ then } (e_2) \text{ else } (e_3) \ e_1 : \text{Bool}, \ e_2 : T, \ e_3 : T$$

Then:

e:T

By induction, an expression e_1 must be a value or $\exists e_1$ such that:

- 1. If e_1 is a value, then either [E-IF-TRUE] or [E-IF-FALSE] applies since e_1 : Bool.
- 2. Otherwise, $e_1 \rightarrow e_1'$ which means that [E-IF-CTXT] applies (as shown below) which by induction holds.

$$\succ e_1 \rightarrow \text{if } (e_1') \text{ then } (e_2) \text{ else } (e_3)$$

Figure 5: Proof of Progress for the if Expression

2.3 Proving Progress for the succ Expression

Figure 3 shows the type rule for the succ expression. The proof of progress for this expression is shown in figure 6.

Given:

$$e = \mathtt{succ}\ (e_1)$$

 $e_1 : \mathtt{Int}$

Then:

$$T = {\tt Int}$$

By induction, e_1 must either be a value or $\exists e'_1$ such that:

- 1. If e_1 is a value (i.e. i), then: $e \to i'$ where i' = i + 1 by [E-Succ] as e_1 : Int.
- 2. Otherwise, then: $e \to e'$. Hence, [E-Succ-Ctxt] applies (as shown below) which holds by induction.

$$e \to \mathtt{succ}\ (e')$$

Figure 6: Proof of Progress for the succ Expression

2.4 Proving Progress for the pred Expression

Figure 3 shows the type rule for the pred expression. The proof of progress for this expression is shown in figure 7.

Given:

$$e = \mathtt{pred}\ (e_1)$$
 $e_1 : \mathtt{Int}$

Then:

$$T = Int$$

By induction, e_1 must either be a value or $\exists e'_1$ such that:

- 1. If e_1 is a value (i.e. i), then: $e \to i'$ where i' = i 1 by [E-PRED] as e_1 : Int.
- 2. Otherwise, then: $e \to e'$. Hence, [E-PRED-CTXT] applies (as shown below) which holds by induction.

$$e \to \mathtt{pred}\ (e')$$

Figure 7: Proof of Progress for the pred Expression

2.5 Proving Progress for the iszero Expression

Figure 3 shows the type rule for the iszero expression. The proof of progress for this expression is shown in figure 7.

Given: $e = \mathtt{iszero} \ (e_1)$ $e_1 : \mathtt{Int}$ Then: $T = \mathtt{Bool}$ By induction, e_1 must either be a value or $\exists e_1'$ such that: $1. \ \ \mathsf{If} \ e_1 \ \mathsf{is} \ \mathsf{a} \ \mathsf{value} \ (\mathsf{i.e.} \ i), \ \mathsf{then} \ \mathsf{either} \ \mathsf{rule} \ [\mathsf{E-IsZero-Z}] \ \mathsf{or} \ [\mathsf{E-IsZero-NZ}] \ \mathsf{applies} \ \mathsf{as} \ e_1 : \mathtt{Int.}$ $2. \ \ \mathsf{Otherwise}, \ \mathsf{then:} \ e \to e'. \ \mathsf{Hence}, \ [\mathsf{E-IsZero-CTXT}] \ \mathsf{applies} \ \mathsf{(as} \ \mathsf{shown} \ \mathsf{below}) \ \mathsf{which} \ \mathsf{holds} \ \mathsf{by} \ \mathsf{induction}.$ $e \to \mathsf{iszero} \ (e')$

Figure 8: Proof of Progress for the iszero Expression