Inference on FDs

- Closures
- Determining Keys
- Minimal Covers

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Given a set *F* of *fd*s, how many new *fd*s can we derive?

For a finite set of attributes, there must be a finite set of derivable *fd*s.

The largest collection of dependencies that can be derived from F is called the closure of F and is denoted F<sup>+</sup>.

Closures allow us to answer two interesting questions:

- is a particular dependency  $X \rightarrow Y$  derivable from F?
- are two sets of dependencies *F* and *G* equivalent?

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## Closures (cont)

For the question "is  $X \rightarrow Y$  derivable from F?" ...

• compute the closure F'; check whether  $X \rightarrow Y \in F'$ 

For the question "are F and G equivalent?" ...

• compute closures  $F^+$  and  $G^+$ ; check whether they're equal

Unfortunately, closures can be very large, e.g.

$$R = ABC, F = \{AB \rightarrow C, C \rightarrow B\}$$
  
 $F^{+} = \{A \rightarrow A, AB \rightarrow A, AC \rightarrow A, AB \rightarrow B, BC \rightarrow B, ABC \rightarrow B, C \rightarrow C, AC \rightarrow C, BC \rightarrow C, ABC \rightarrow C, AB \rightarrow AB, \dots, AB \rightarrow ABC, AB \rightarrow ABC, C \rightarrow B, C \rightarrow BC, AC \rightarrow B, AC \rightarrow AB\}$ 

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Algorithms based on  $F^+$  rapidly become infeasible.

To solve this problem ...

• use closures based on sets of attributes rather than sets of *fd*s.

Given a set X of attributes and a set F of fds, the closure of X (denoted  $X^{+}$ ) is

 the largest set of attributes that can be derived from Xusing F

Determining X+ from  $\{X \rightarrow Y, Y \rightarrow Z\}$  ...  $X \rightarrow XY \rightarrow XYZ = X+$ 

For computation,  $|X^{+}|$  is bounded by the number of attributes.

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# Closures (cont)

### Algorithm for computing attribute closure:

```
Input: F (set of FDs), X (starting attributes)
Output: X+ (attribute closure)

Closure = X
while (not done) {
   OldClosure = Closure
   for each A → B such that A ⊂ Closure
   add B to Closure
   if (Closure == OldClosure) done = true
}
```

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Closures (cont)

For the question "is  $X \rightarrow Y$  derivable from F?" ...

• compute the closure  $X^+$ , check whether  $Y \subset X^+$ 

For the question "are F and G equivalent?" ...

- for each dependency in G, check whether derivable from F
- for each dependency in F, check whether derivable from G
- if true for all, then  $F \Rightarrow G$  and  $G \Rightarrow F$  which implies  $F^+$ =  $G^+$

For the question "what are the keys of R implied by F?" ...

• find subsets  $K \subseteq R$  such that  $K^+ = R$ 

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# Determining Keys

Example: determine primary keys for each of the following:

1. 
$$FD = \{A \rightarrow B, C \rightarrow D, E \rightarrow FG\}$$

- A? A+ = AB, so no ... AB? AB+ = ABCD, so no
- ACE? ACE+ = ABCDEFG, so yes!

2. 
$$FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow D\}$$

3. 
$$FD = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$$

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For a given application, we can define many different sets of fds with the same closure (e.g. F and G where  $F^+$  =  $G^+$ )

Which one is best to "model" the application?

- any model has to be complete (i.e. capture entire semantics)
- models should be as small as possible (we use them to check DB validity after update; less checking is better)

If we can...

- determine a number of candidate fd sets, F, G and H
- establish that  $F^+ = G^+ = H^+$
- we would then choose the smallest one for our "model"

Better still, can we *derive* the smallest complete set of *fd*s?

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## Minimal Covers (cont)

### Minimal cover $F_c$ for a set F of fd s:

- F<sub>c</sub> is equivalent to F
- all fds have the form  $X \rightarrow A$  (where A is a single attribute)
- it is not possible to make  $F_c$  smaller
  - either by deleting an fd
  - or by deleting an attribute from an fd

An fd d is redundant if  $(F-\{d\})^+ = F^+$ 

An attribute a is redundant if  $(F-\{d\} \cup \{d'\})^+ = F^+$  (where d' is the same as d but with attribute A removed)

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## Minimal Covers (cont)

## Algorithm for computing minimal cover:

```
Inputs: set F of fds
```

Output: minimal cover  $F_c$  of F

 $F_c = F$ 

Step 1: put  $f \in F_c$  into canonical form

Step 2: eliminate redundant attributes from  $f \in F_c$ 

Step 3: eliminate redundant fds from  $F_c$ 

### Step 1: put fds into canonical form

```
for each f \in F_c like X \to \{A_1, \dots, A_n\}
remove X \to \{A_1, \dots, A_n\} from F_c
add X \to A_1, \dots, X \to A_n to F_c
end
```

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## Minimal Covers (cont)

### Step 2: eliminate redundant attributes

for each 
$$f \in F_c$$
 like  $X \to A$  for each  $b$  in  $X$  
$$f' = (X - \{b\}) \to A; \qquad G = F_c - \{f\} \quad \cup \quad \{f'\}$$
 if  $(G^+ == F_c^+)$   $F_c = G$  end

### Step 3: eliminate redundant functional dependencies

for each 
$$f \in F_c$$

$$G = F_c - \{f\}$$

$$if (G^+ == F_c^+) F_c = G$$
end

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# Minimal Covers (cont)

Example: compute minimal cover

E.g. R = ABC,  $F = \{A \rightarrow BC, B \rightarrow C, A \rightarrow B, AB \rightarrow C\}$ 

Working...

- canonical fds:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $B \rightarrow C$ ,  $AB \rightarrow C$
- redundant attrs:  $A \rightarrow B$ ,  $A \rightarrow C$ ,  $B \rightarrow C$ ,  $AB \rightarrow C$
- redundant  $fds: A \rightarrow B, A \rightarrow C, B \rightarrow C$

This gives the minimal cover  $F_c = \{A \rightarrow B, B \rightarrow C\}$ .

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Inference on FDs

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