Face Recognition using Eigenface, A Simple Example

The size of face image used in this program is 92 pixels x 112 pixels. But in this example, assume that the size of face image is 2 x 2 pixels.

Training dataset Test dataset $\begin{bmatrix} T_1 = \begin{bmatrix} 3 & 1 \\ 9 & 5 \end{bmatrix} \\ T_2 = \begin{bmatrix} 2 & 1 \\ 5 & 2 \end{bmatrix} \\ T_3 = \begin{bmatrix} 7 & 4 \\ 5 & 3 \end{bmatrix}$

STEP 1: Convert the training faces 2D-matrix into vector. The matrix $\hfill \Gamma$ will store these vectors.

$$\Gamma = \begin{bmatrix} T_1^\mathsf{T}, & T_2^\mathsf{T}, & T_3^\mathsf{T} \end{bmatrix}$$
$$= \begin{bmatrix} 3 & 2 & 7 \\ 1 & 1 & 4 \\ 9 & 5 & 5 \\ 5 & 2 & 3 \end{bmatrix}$$

STEP 2: Find $\boxed{\psi}$, the mean face from training dataset.

$$\Psi = \frac{1}{M} \sum_{n=1}^{M} \Gamma_n = \frac{1}{3} \begin{bmatrix} 3 \\ 1 \\ 9 \\ 5 \end{bmatrix} + \begin{bmatrix} 2 \\ 1 \\ 5 \\ 2 \end{bmatrix} + \begin{bmatrix} 7 \\ 4 \\ 5 \\ 3 \end{bmatrix} = \frac{1}{3} \begin{bmatrix} 12 \\ 6 \\ 19 \\ 10 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \\ 19/3 \\ 10/3 \end{bmatrix}$$

STEP 3: Find $\boxed{\ \Phi_{\mathbf{i}}\ }$, the unique features of the training faces.

Using the following equation to find the unique features:

$$\Phi_i = \Gamma_i - \Psi$$

$$\Phi_1 = \Gamma_1 - \Psi = \begin{bmatrix} 3 \\ 1 \\ 9 \\ 5 \end{bmatrix} - \begin{bmatrix} 4 \\ 2 \\ 19/3 \\ 10/3 \end{bmatrix} = \begin{bmatrix} -1 \\ -1 \\ 8/3 \\ 5/3 \end{bmatrix}$$

$$\Phi_2 = \Gamma_2 - \Psi = \begin{bmatrix} 2 \\ 1 \\ 5 \\ 2 \end{bmatrix} - \begin{bmatrix} 4 \\ 2 \\ 19/3 \\ 10/3 \end{bmatrix} = \begin{bmatrix} -2 \\ -1 \\ -4/3 \\ -4/3 \end{bmatrix}$$

$$\Phi_3 = \Gamma_3 - \Psi = \begin{bmatrix} 7 \\ 4 \\ 5 \\ 3 \end{bmatrix} - \begin{bmatrix} 4 \\ 2 \\ 19/3 \\ 10/3 \end{bmatrix} = \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix}$$

$$L = \Phi^{\mathsf{T}} \Phi$$

$$= \begin{bmatrix} -1 & -1 & 8/3 & 5/3 \\ -2 & -1 & -4/3 & -4/3 \\ 3 & 2 & -4/3 & -1/3 \end{bmatrix} \begin{bmatrix} -1 & -2 & 3 \\ -1 & -1 & 2 \\ 8/3 & -4/3 & -4/3 \\ 5/3 & -4/3 & -1/3 \end{bmatrix}$$

$$= \begin{bmatrix} 107/9 & -25/9 & -82/9 \\ -25/9 & 77/9 & -52/9 \\ -82/9 & -52/9 & 134/9 \end{bmatrix}$$

STEP 5: Find eigenvalues of the Matrix L

$$\det \left(\lambda \, \mathbf{I} - \mathbf{L} \right) \; = \; 0$$

$$\det \left(\lambda \left[\begin{matrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{matrix} \right] - \left[\begin{matrix} 107/9 & -25/9 & -82/9 \\ -25/9 & 77/9 & -52/9 \\ -82/9 & -52/9 & 134/9 \end{matrix} \right] \right) \; = \; 0$$

$$\det \left[\left[\begin{matrix} \lambda - 107/9 & 25/9 & 82/9 \\ 25/9 & \lambda - 77/9 & 52/9 \\ 82/9 & 52/9 & \lambda - 134/9 \end{matrix} \right] \right] \; = \; 0$$

$$\left(\lambda - 107/9 \right) \left[(\lambda - 77/9) (\lambda - 134/9) - (52/9) (52/9) \right] - 25/9 \left[(25/9) (\lambda - 134/9) - (52/9) (82/9) \right] + 82/9 \left[(25/9) (52/9) - (\lambda - 77/9) (82/9) \right] \; = \; 0$$

$$\left(\lambda - 107/9 \right) \left[\lambda^2 - 211/9 \, \lambda + 94 \right] - 25/9 \left[25/9 \, \lambda - 94 \right] + 82/9 \left[-82/9 \, \lambda + 94 \right] = \; 0$$

$$\left(\lambda^3 - 211/9 \, \lambda^2 + 94 \, \lambda - 107/9 \, \lambda^2 + 22577/81 \, \lambda - 10058/9 \right) - (625/81 \, \lambda - 2350/9) + (-6724/81 \, \lambda + 7708/9) \; = \; 0$$

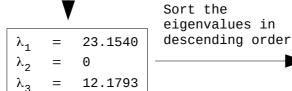
$$\left(\lambda^3 - 106/3 \, \lambda^2 + 30191/81 \, \lambda - 10058/9 \right) - (625/81 \, \lambda - 2350/9) + (-6724/81 \, \lambda + 7708/9) \; = \; 0$$

$$\lambda^3 - 106/3 \, \lambda^2 + 30191/81 \, \lambda - 625/81 \, \lambda - 6724/81 \, \lambda - 10058/9 + 2350/9 + 7708/9 \; = \; 0$$

$$\lambda^3 - 106/3 \, \lambda^2 + 30191/81 \, \lambda - 625/81 \, \lambda - 6724/81 \, \lambda - 10058/9 + 2350/9 + 7708/9 \; = \; 0$$

$$\lambda^3 - 106/3 \, \lambda^2 + 30191/81 \, \lambda - 625/81 \, \lambda - 6724/81 \, \lambda - 10058/9 + 2350/9 + 7708/9 \; = \; 0$$

$$\lambda^3 - 106/3 \, \lambda^2 + 282 \, \lambda + 0 \; = \; 0$$



 $\lambda_1 = 23.1540$ $\lambda_2 = 12.1793$ $\lambda_3 = 0$

STEP 6: Use Gaussian Elimination method to find $\fbox{\mbox{$V$}}$, the eigenvectors of the Matrix $\fbox{\mbox{$L$}}$.

$$B = \begin{bmatrix} \lambda I - L \end{bmatrix}$$

$$= \begin{bmatrix} \lambda - 107/9 & 25/9 & 82/9 \\ 25/9 & \lambda - 77/9 & 52/9 \\ 82/9 & 52/9 & \lambda - 134/9 \end{bmatrix}$$

To find the eigenvectors, we need to solve Bx=0 for every eigenvalues.

Case 1: $\lambda = 23.1540$

```
0
                                                    Bx
                                             82/9 X<sub>1</sub>
                                                             [0]
                    \lambda - 107/9
                              25/9
                                          52/9 | x<sub>2</sub>
                         25/9
                                \lambda - 77/9
                                                             0
                                  52/9 \lambda-134/9 \chi_3
                                                             [0]
                         82/9
                                                             [0]
                                              82/9 | X_1
23.1540-107/9
                          25/9
                                              52/9 | x<sub>2</sub>
                                                             0
           25/9
                  23.1540-77/9
                                   23.1540-134/9 | <sub>X3</sub>
                                                             [0
                           52/9
           82/9
                                              82/9 || X_1
                     11.2651
                                  25/9
                                                             0
                                            52/9 \| x_2
                         25/9
                                14.5984
                                                             0
                                           8.2651 |_{X_3}
                         82/9
                                    52/9
                                                         82/9 0
                                11.2651
                                              25/9
                                    25/9
                                          14.5984
                                                        52/9 0
                                    82/9
                                           52/9
                                                      8.26510
                                    1
                                                      0.8088 0
                                          0.2466
                         R<sub>1</sub>/11.2651 25/9
                                                      52/9 0
                                           14.5984
                                    82/9
                                            52/9
                                                      8.2651 0
                                                      0.8088 0
                                          0.2466
                                     0
                                           13.9134
                                                      3.5311 0
                                    82/9
                                               52/9
                                                      8.2651 0
                                                      0.8088 0
                                             0.2466
                                           13.9134
                                                      3.5311 0
                                                      0.8960 0
                                             3.5310
                                                      0.8088 0
                                            0.2466
                             R_2/13.9134 |_{0}
                                            1
                                                      0.2538 0
                                             3.5310
                                                      0.8960 0
                                                      0.7462 0
                                             1
                           R_{1}^{-0.2466}R_{2}0
                                                      0.2538 0
                                                      0.8960 0
                                             3.5310
                                                      0.7462 0
                                                0
                                             0
                                                1
                                                      0.2538 0
                                                0 -0.0002 0
                                                 0
                                                      0.7462 0
                                  R_3/-0.0002|_0
                                                      0.2538 0
                                              0
                                                   0
                                                            1 0
```

Therefore, the eigenvector for eigenvalue

$$\lambda = 23.1540$$
 is

$$\mathbf{v_1} = \begin{bmatrix} -0.7462 \\ -0.2538 \\ 1.0000 \end{bmatrix}$$

Case 2: $\lambda = 12.1793$

```
Bx
                                                  = 0
                          [0]
                  \lambda - 107/9 25/9
                     25/9
                                                     0
                              52/9 \quad \lambda - 134/9 \Big|_{X_3}
                                                     [0]
                     82/9
                                        82/9 || X_1
                                                     [0]
12.1793-107/9
                 25/9
                                       52/9 || x_2
         25/9
               12.1793-77/9
                                                     0
               82/9
                                                     [0]
                           25/9 82/9 x<sub>1</sub>
3.6237 52/9 x<sub>2</sub>
                                                     0
                   0.2904
                     25/9
                                                     0
                           52/9 - 2.7096 |_{X_3}
                     82/9
                                                     [0]

    0.2904
    25/9
    82/9
    0

    25/9
    3.6237
    52/9
    0

    82/9
    52/9
    -2.7096
    0

                      82/9
                                    52/9 -2.7096 0
                               1 9.5654 31.3743
                            0 -22.9469 -81.3731 0
                            \begin{bmatrix} 82/9 & 52/9 & -2.7096 & 0 \end{bmatrix}
                             1 9.5654 31.3743 0
                    R_3 - 82/9 R_1 | 0 -22.9469 -81.3731 | 0
                              0 -81.3736 -288.5643 0
                  0 -81.3736 -288.5643 0
                  0 -81.3736 -288.5643 0
                                       1 0 -2.5456 0
                          R_3 + 81.3736 R_2 | 0 1 3.5461 | 0
                                         0 - 0.0054 0
                                         0 -2.5456 0
                                         1 3.5461 0
                             R_3/-0.0054|_0
                                                    1 0
                                       0
```

$$\begin{array}{rcl} x_1 - 2.5456 \, x_3 & = & 0 \\ x_2 + 3.5461 \, x_3 & = & 0 \\ x_3 & = & 1 \\ \\ x_1 & = & 2.5456(1) \\ x_2 & = & -3.5461(1) \\ x_3 & = & 1 \\ \\ v_2 & = & \frac{1}{\max |x_1, x_2, x_3|} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \\ & = & \frac{1}{3.5461} \begin{bmatrix} 2.5456 \\ -3.5461 \\ 1.0000 \end{bmatrix} \\ & = & \begin{bmatrix} 0.7179 \\ -1.0000 \\ 0.2820 \end{bmatrix} \end{array}$$

Therefore, the eigenvector for eigenvalue $\lambda=12.1793$ is

$$v_2 = \begin{bmatrix} 0.7179 \\ -1.0000 \\ 0.2820 \end{bmatrix}$$

Case 3: $\lambda = 0$

Therefore, the eigenvector of Matrix $\begin{bmatrix} L \end{bmatrix}$ is:

$$v = \begin{bmatrix} -0.7462 & 0.7179 \\ -0.2538 & -1.0000 \\ 1.0000 & 0.2820 \end{bmatrix}$$

STEP 7: Find the Eigenface, u .

Using the following equation to find the Eigenface:

$$u_1 = \sum_{k=1}^{M} v_{1k} \Phi_k$$
 , where $M=3$, the number of training faces.

$$\begin{aligned} \mathbf{u}_1 &= (-0.7462) \begin{bmatrix} -1 \\ -1 \\ 8/3 \\ 5/3 \end{bmatrix} + (-0.2538) \begin{bmatrix} -2 \\ -1 \\ -4/3 \\ -4/3 \end{bmatrix} + (1.0000) \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix} \\ &= \begin{bmatrix} 0.7462 \\ 0.7462 \\ -1.9899 \\ -1.2437 \end{bmatrix} + \begin{bmatrix} 0.5076 \\ 0.2538 \\ 0.3384 \\ 0.3384 \end{bmatrix} + \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix} \\ &= \begin{bmatrix} 4.2538 \\ 3.0000 \\ -2.9848 \\ -1.2386 \end{bmatrix}$$

$$\begin{aligned} \mathbf{u}_2 &= (0.7179) \begin{bmatrix} -1 \\ -1 \\ 8/3 \\ 5/3 \end{bmatrix} + (-1.0000) \begin{bmatrix} -2 \\ -1 \\ -4/3 \\ -4/3 \end{bmatrix} + (0.2820) \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix} \\ &= \begin{bmatrix} -0.7179 \\ -0.7179 \\ 1.9144 \\ 1.1965 \end{bmatrix} + \begin{bmatrix} 2 \\ 1 \\ 4/3 \\ 4/3 \end{bmatrix} + \begin{bmatrix} 0.8460 \\ 0.5640 \\ -0.3760 \\ -0.0940 \end{bmatrix} \\ &= \begin{bmatrix} 2.1281 \\ 0.8461 \\ 2.8717 \\ 2.4358 \end{bmatrix}$$

Therefore,
$$u = \begin{bmatrix} 4.2538 & 2.1281 \\ 3.0000 & 0.8461 \\ -2.9848 & 2.8717 \\ -1.2386 & 2.4358 \end{bmatrix}$$

STEP 8: Find training weights

$$\Omega_{\mathsf{k}}$$

Using the following equations to find the weight:

$$\Omega^{\mathsf{T}} = [\mathsf{w}_1, \quad \mathsf{w}_2, \quad \mathsf{w}_3 \quad \dots \quad \mathsf{w}_{\mathsf{M}}]$$

where M number of faces in the training dataset.

$$| \mathbf{w}_{k} = \mathbf{u}_{k}^{\mathsf{T}}(\Gamma - \Psi) |$$

where Γ is a face vector from training dataset.

Find the weights for training face 1:

$$\begin{array}{llll} \Omega_{1}^{T} & = & \left[w_{1}, & w_{2}\right] \\ w_{1} & = & u_{1}^{T}(\Gamma_{1} - \Psi) \\ & = & \left[4.2538, & 3.0000, & -2.9848, & -1.2386\right] \begin{bmatrix} 3 \\ 1 \\ 9 \\ 9 \end{bmatrix} \begin{bmatrix} 4 \\ 19/5 \\ 19/3 \end{bmatrix} \\ & = & \left[4.2538, & 3.0000, & -2.9848, & -1.2386\right] \begin{bmatrix} -1 \\ -1 \\ 8/3 \\ 5/3 \end{bmatrix} \\ & = & -17.2778 \\ w_{2} & = & u_{2}^{T}(\Gamma_{1} - \Psi) \\ & = & \left[2.1281, & 0.8461, & -2.8717, & -2.4358\right] \begin{bmatrix} 3 \\ 1 \\ 9 \\ 9 \end{bmatrix} \begin{bmatrix} 4 \\ 19/5 \\ 19/3 \end{bmatrix} \\ & = & \left[2.1281, & 0.8461, & -2.8717, & -2.4358\right] \begin{bmatrix} -1 \\ -1 \\ 8/3 \\ 5/3 \end{bmatrix} \\ & = & -14.6918 \end{array}$$

Therefore,

$$\Omega_1^{\mathsf{T}} = [-17.2778, -14.6918]$$

Find the weights for training face 2:

$$\begin{array}{rclcrcl} \Omega_2^T & = & [w_1, & w_2] \\ w_1 & = & u_1^T(\Gamma_2 - \Psi) \\ & = & [4.2538, & 3.0000, & -2.9848, & -1.2386] & \begin{bmatrix} 2 \\ 1 \\ 5 \\ 2 \end{bmatrix} \begin{bmatrix} 4 \\ 1 \\ 1 \\ 2 \end{bmatrix} \\ 19/3 \\ 2 \end{bmatrix} & \begin{bmatrix} 2 \\ 1 \\ 19/3 \\ 2 \end{bmatrix} \begin{bmatrix} 4 \\ 1 \\ 1 \\ 10/3 \end{bmatrix} \\ & = & [4.2538, & 3.0000, & -2.9848, & -1.2386] & \begin{bmatrix} -2 \\ -1 \\ -4/3 \\ -4/3 \end{bmatrix} \\ & = & -5.8764 \\ w_2 & = & u_2^T(\Gamma_2 - \Psi) \\ & = & [2.1281, & 0.8461, & -2.8717, & -2.4358] & \begin{bmatrix} 2 \\ 1 \\ 5 \\ 2 \end{bmatrix} \begin{bmatrix} 4 \\ 1 \\ 19/3 \\ 2 \end{bmatrix} \\ & = & [2.1281, & 0.8461, & -2.8717, & -2.4358] & \begin{bmatrix} -2 \\ -1 \\ -4/3 \\ -4/3 \end{bmatrix} \\ & = & 1.9744 \end{array}$$

Therefore,

$$\Omega_2^{\mathsf{T}} = [-5.8764, -1.9744]$$

Find the weights for training face 3:

$$\begin{array}{rclcrcl} \Omega_{3}^{T} & = & [w_{1}, & w_{2}] \\ w_{1} & = & u_{1}^{T}(\Gamma_{3} - \Psi) \\ & = & [4.2538, & 3.0000, & -2.9848, & -1.2386] & \begin{bmatrix} 7 \\ 4 \\ 5 \\ 5 \\ 3 \end{bmatrix} \begin{bmatrix} 4 \\ 19/3 \\ 10/3 \end{bmatrix} \\ & = & [4.2538, & 3.0000, & -2.9848, & -1.2386] & \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix} \\ & = & 23.1540 \\ w_{2} & = & u_{2}^{T}(\Gamma_{3} - \Psi) \\ & = & [2.1281, & 0.8461, & -2.8717, & -2.4358] & \begin{bmatrix} 7 \\ 4 \\ 5 \\ 5 \\ 3 \end{bmatrix} \begin{bmatrix} 4 \\ 19/3 \\ 10/3 \end{bmatrix} \\ & = & [2.1281, & 0.8461, & -2.8717, & -2.4358] & \begin{bmatrix} 3 \\ 2 \\ -4/3 \\ -1/3 \end{bmatrix} \\ & = & 12.7174 \end{array}$$

Therefore,

$$\Omega_3^{\mathsf{T}} = [23.1540, 12.7174]$$

Thus, the weight for training faces in the training dataset is:

$$\Omega_{k} = \begin{bmatrix} -17.2778 & -5.8764 & 23.1540 \\ -14.6918 & -1.9744 & 12.7174 \end{bmatrix}$$

STEP 9: Find the weight of unknown face from the training dataset.

$$\begin{split} \Omega^{\mathsf{T}} &= & [\mathsf{w}_1, \quad \mathsf{w}_2] \\ \mathsf{w}_1 &= & \mathsf{u}_1^{\mathsf{T}}(\mathsf{F}_1 - \Psi) \\ &= & [4.2538, \quad 3.0000, \, -2.9848, \quad -1.2386] \, \begin{bmatrix} 3 \\ 2 \\ 6 \end{bmatrix} \begin{bmatrix} 4 \\ 19/3 \\ 10/3 \end{bmatrix} \\ &= & [4.2538, \quad 3.0000, \, -2.9848, \quad -1.2386] \, \begin{bmatrix} -1 \\ 0 \\ -1/3 \\ 2/3 \end{bmatrix} \\ &= & -4.0846 \\ \mathsf{w}_2 &= & \mathsf{u}_2^{\mathsf{T}}(\mathsf{F}_1 - \Psi) \\ &= & [2.1281, \quad 0.8461, \, -2.8717, \quad -2.4358] \, \begin{bmatrix} 3 \\ 2 \\ 6 \end{bmatrix} \begin{bmatrix} 4 \\ 2 \\ 19/3 \\ 10/3 \end{bmatrix} \\ &= & [2.1281, \quad 0.8461, \, -2.8717, \quad -2.4358] \, \begin{bmatrix} -1 \\ 0 \\ -1/3 \\ 2/3 \end{bmatrix} \\ &= & -2.7947 \end{split}$$

Therefore,

$$\Omega^{\mathsf{T}} = [-4.0846, -2.7947]$$

Thus, the weight for unknown face in the test dataset is:

$$\Omega = \begin{bmatrix} -4.0846 \\ -2.7947 \end{bmatrix}$$

STEP 10: Recognize the unknown face from the test dataset.

To recognize an unknown face, we need to find the Euclidean Distance between training weights and unknown face weight.

Using the following Euclidean Distance equation:

$$\varepsilon_k^2 \ = \ \left\| \left(\Omega {-} \Omega_k \right) \right\|^2$$

Therefore, the unknown face belongs to face 2.