

# Pharmacovigilance: Passive Surveillance

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### Contents

- ▶ What is passive surveillance?
- Passive surveillance system in the United States
- Worldwide passive surveillance system
- Analyses of passively reported events
- Strengths and limitations of passive methods

# What Is Passive Surveillance? Produced by the Center for Teaching and Learning at the Johns Hopkins Bloomberg School of Public Health.

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### Passive Surveillance—1

- Spontaneous (voluntary) reporting of adverse events / adverse drug reactions directly to either established national or regional centers or to pharmaceutical manufacturers
- ► The most common form of pharmacovigilance

### Passive Surveillance—2

- ► The use of term "spontaneous" is because the person who initially reports the adverse event / adverse drug reaction chooses what events to report
  - Reporting is entirely dependent on the initiative and motivation of the potential reporters
  - No specific efforts are made to make sure all cases are reported
- Spontaneous reporting systems are labeled as "passive"
  - ▶ Based on the argument that the reporting center or manufacturers "passively receive" this information rather than actively seeking it out

# Passive Surveillance—3

- ► However, many pharmacovigilance centers seek to operate, even if resource constraints limit the ability to interact adequately with reporters
- Most countries have mandated reporting from manufacturers
- Clinicians, pharmacists, and community members should be trained on how, when, what, and where to report

# Passive Surveillance System in the United States

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# MedWatch: National Passive Surveillance Program in the United States

- ► The US Food and Drug Administration (FDA)'s medical product safety reporting program for health care professionals, patients, and consumers (founded in 1993)
- Voluntary reports are submitted by consumers and health care professionals (~6% of all reports)
- Mandatory reports are submitted by manufacturers (~94% of all reports)



# Goals of the MedWatch Program

- ▶ Increase awareness of adverse events and importance of reporting
- Clarify what should be reported
- Convenient reporting of adverse drug events (Forms 3500 and 3500A)
  - Available via online and paper (postage-paid) forms
- Provide timely and clinically useful safety information to providers and patients

# MedWatch Online Voluntary Reporting Form

### **MedWatch Online Voluntary Reporting Form**



### Welcome

If this is a medical emergency, please call 911.

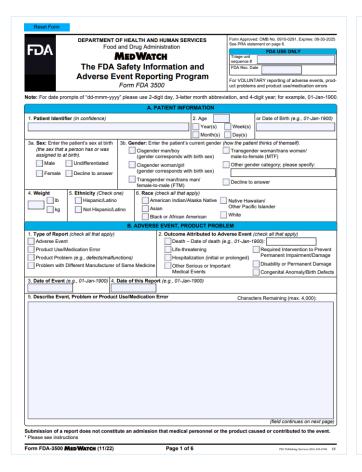
If you have a mental health crisis, please call 988.

Health professionals, consumers and patients can voluntarily report observed or suspected adverse events for human medical products to FDA. Voluntary reporting can help FDA identify unknown risk for approved medical products. Reporting can be done through our online reporting portal or by downloading, completing and then submitting FDA Form 3500 (Health Professional) or 3500B (Consumer/Patient) to MedWatch: The FDA Safety Information and Adverse Event Reporting Program.

While not mandatory, FDA encourages reporters to provide their contact information in case FDA needs to gather more information. Note that reporters can request, within the report, FDA not release their contact information to the manufacturer.

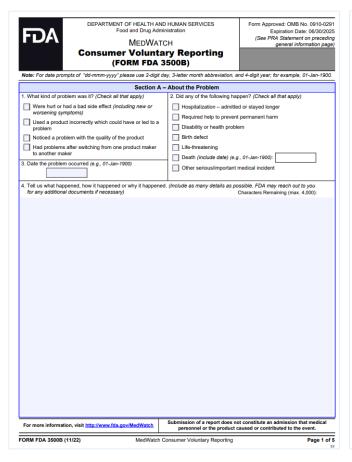


# MedWatch Voluntary Reporting Form for Health Care Professionals



	C. PR	ODUCT AVAILABILITY			
1. Product Available for Evaluation?	t to FDA)	2. Do you have a picture of the product?			
Yes No Returned to M	01-Jan-1900)	] (Ct	neck if you are including a picture) Yes		
	D. S	SUSPECT PRODUCTS			
SUSPECT PRODUCT #1					
This report involves: Cosmetic	Dietary suppler	nent Food/medical	food	Other	
1. Name, Strength, Manufacturer/Con					
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Unit		Other Frequency		Other Route	
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Is therapy still on-going? Yes	No	, ,			
4. Diagnosis for use (indication)		5 Product Type (check)	all that a	pply) 6. Expiration Date (e.g., 01-Jan-1900)	
The state of the s	1	Потс	Generic		
		Compounded	Biosimil		
7. Event Abated after use Stopped or	Dono Boducod?	8. Event Reappeared af	,		
Yes No Doesn't appl	у	Yes No	Doesn't	apply	
SUSPECT PRODUCT #2					
This report involves: Cosmetic	Dietary suppler		1000	Other	
Name, Strength, Manufacturer/Cor Product Name	mpounder (from prod		Init		
Product Name		Strength	Init		
NDC # or Unique ID	Manufacturer/ Com	pounder Name		Lot#	
2. Dose or Amount		Frequency		Route	
		▼			
Unit		Other Frequency		Other Route	
	~				
3. Treament Dates/Therapy Dates (gi				e of dose reduction.)	
Therapy started on Therapy stoppe			Unit		
(e.g., 01-Jan-1900) (e.g., 01-Jan-19	(e.g., 01-Jan-19	1		▼	
Is therapy still on-going? Yes	No				
<ol><li>Diagnosis for use (indication)</li></ol>			all that a	pply) 6. Expiration Date (e.g., 01-Jan-1900)	
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		OTC Compounded	Generic		
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	у	OTC Compounded 8. Event Reappeared af	Biosimil ter Rein	ar troduction?	

# MedWatch Voluntary Reporting Forms for Consumers/ Patients and Industry



	DEPARTMENT OF HEALTH AND HUMAN SERVICES Food and Drug Administration		
	MEDWATCH	FDA USE ONLY	
For	FORM 3500A	UF/Importer Report #	
	se by user-facilities, importers, distributors nanufacturers for MANDATORY reporting	Exemption/Variance #	
ote: For date prompts of "dd-mmm-	yyy" please use 2-digit day, 3-letter month abbreviation	, and 4-digit year; for example, 01-JAN-190	
	A. PATIENT INFORMATION		
Patient Identifier (In confidence)		or Date of Birth (e.g., 01-Jan-1900) Neek(s) Day(s)	
3a. Sex: Enter the patient's sex at bir (the sex that a person has or was assigned to at birth).  Male Undifferentiated Female Decline to answ	Cisgender man/boy Transcender woman/girl Cisgender corresponds with birth sex Cisgender woman/girl Cisgender woman	ransgender woman/trans woman/ lale-to-female (MTF) ther gender category; please specify:	
	female-to-male (FTM)	ecline to answer	
4. Weight 5. Ethnicity (Che	ino American Indian/Alaska Native Na	her Pacific Islander	
	B. ADVERSE EVENT OR PRODUCT PROBI	LEM	
□ Product Problem         □ Life-threatening         □ Required Intervention to Problem (e.g., defects/maifunctions)           □ Other Serious or Important         □ Other Serious or Important         □ Disability or Permanent Darr Medical Events           ■ Medical Events         □ Congenital Anomaly/Birth Dr.			
3. Date of Event (01-JAN-1900)	4. Date of this Report (01-JAN-1900)		
ubmission of a report does not co	stitute an admission that medical personnel or the pr	oduct caused or contributed to the event.	

# What Should Be Reported to MedWatch

- Unexpected side effects or adverse events (e.g., everything from skin rashes to more serious complications)
- Product quality problems
- ▶ Product use/medication errors that can be prevented (e.g., choosing the wrong product because of labels of packaging that look alike or have similar brand or generic names)
- Therapeutic failures

# Types of Products That Should Be Reported to MedWatch

- Events associated with:
  - Prescription and over-the-counter medicines, including those administered in a hospital or outpatient infusion centers
  - ▶ Biologics (e.g., blood components, blood/plasma derivatives, blood transfusions, gene therapies, and human cells and tissue transplants)
  - Medical devices (e.g., diabetes glucose-test kit, hearing aids, breast pumps)
  - Combination products (e.g., prefilled drug syringe, auto-injectors, metered-dose inhalers, contact lens coated with a drug, and nasal spray)
  - Cosmetics (e.g., moisturizers, makeup, shampoo, conditioners, hair dyes, and tattoos)
  - Food (e.g., beverages and ingredients added to foods)

# US Mandatory Reporting Requirements for Manufacturers

- All adverse events must be reported to FDA by industry
  - ▶ 15-day alert reports: serious and unexpected adverse events from all sources (foreign and domestic)
  - ▶ Periodic adverse events reports (domestic only): quarterly for the first three years, then annually
    - Serious and expected
    - Nonserious and unexpected
    - Nonserious and expected

# FDA Adverse Event Reporting System (FAERS)

- A computerized database designed to support FDA's postmarketing safety surveillance program for human drug and therapeutic biologic products
- Contains information on spontaneous adverse event reports and medication error reports submitted to FDA
- ► FAERS data is available to the public
  - FAERS dashboard: a highly interactive web-based tool that allows for the querying of FAERS data in a user-friendly fashion
  - ► FAERS data files: provide raw data extracted from the FAERS database
  - Individual case safety reports from the FAERS database can also be obtained by sending a request to FDA

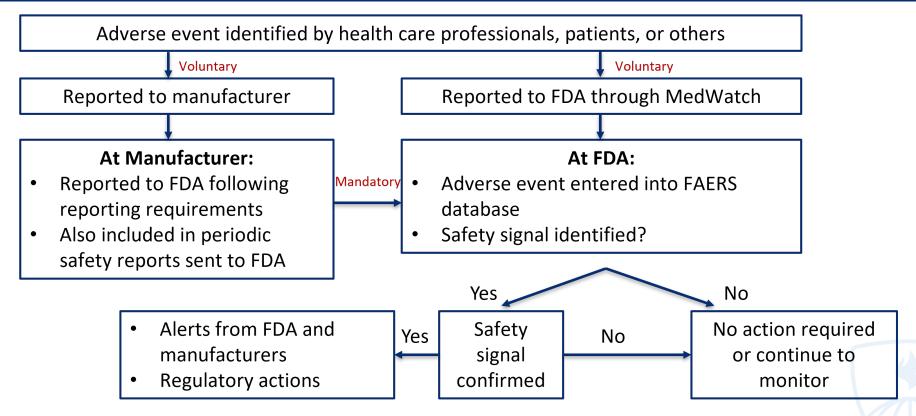
## FAERS Public Dashboard



# What Happens After a Report Is Made to MedWatch?

- ▶ FDA staff in Center for Drug Evaluation and Research (CDER) regularly monitor FAERS database
- ▶ Potential serious risks are communicated in a quarterly report
- ▶ FDA continues evaluation and issues public communications as appropriate

# Summary



# Other Reporting Systems in the United States

- Joint Commission Sentinel Event Reporting System
- ► Maryland Department of Health and Human Hygiene
- ▶ Institute for Safe Medication Practices (ISMP) Medication Errors Reporting System
- ► US Pharmacopeia (USP) MEDMARX
- Veteran Affairs Patient Safety Reporting System

# Worldwide Passive Surveillance System

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# The World Health Organization Programme for International Drug Monitoring (WHO PIDM)

- A global collaboration for patient safety
- Established in 1968
- ► The goals are
  - To monitor and identify medicine- and vaccine-related safety problems
  - ► To establish worldwide pharmacovigilance standards and systems
- >170 full members and associate members in the programme (countries and regions)
- Covers ~99% of the world's population

# Uppsala Monitoring Centre (UMC)

- ▶ The WHO Collaborating Centre for International Drug Monitoring
  - Self-funded, nonprofit organization, founded by WHO and the Swedish government in 1978
- Activities include:
  - Supporting WHO in scientific development and in its activities in the WHO PIDM relating to the detection, assessment, understanding, and prevention of adverse effects or any other drugrelated problem
  - Under WHO's guidance, providing pharmacovigilance tools and services and delivering efficient access to information in VigiBase, WHO's global database of reported potential side effects of medicinal products
  - Assisting WHO by contributing to capacity-building activities relevant to the framework of the WHO PIDM
  - Supporting WHO drug-risk mitigation strategies for low-and middle-income countries in the WHO PIDM

# VigiBase

- ▶ The richest source of pharmacovigilance data in the world
- WHO PIDM members submit reports of suspected adverse drug reactions to WHO's database, VigiBase
- A few million reports annually
- VigiBase is used to find "signals" of potential adverse effects of medicines and vaccines (VigiFlow: internet-based data management tool)
- Has benefit of pooling reports in a big, global database
  - Safety signals might emerge from the large account of worldwide data that might not be evident in smaller national databases
  - Includes US databases FAERS and VAERS (Vaccine Adverse Event Reporting System), Europe's EudraVigilance, and many national databases from across Asia, Africa, Latin America, and Oceania

# The WHO PIDM in Focus: Building a Global Community

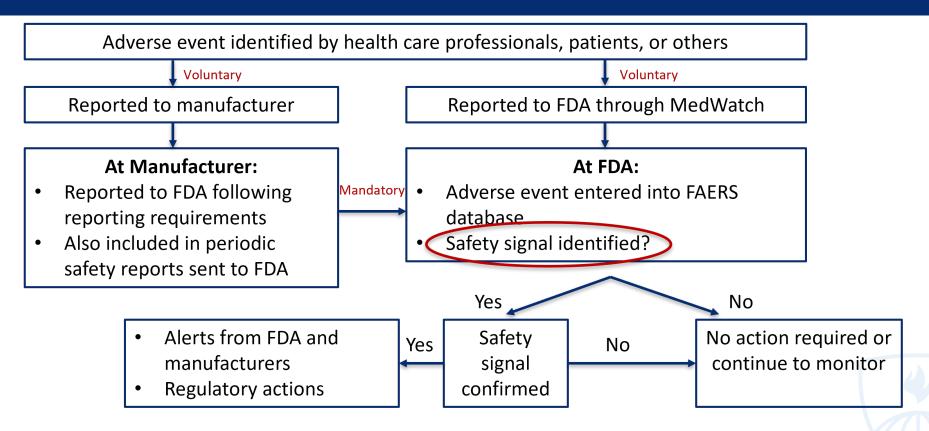


# Analyses of Passively Reported Events

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# Summary (Revisit)



# Safety Signal (Revisit)

- "Reported information on a possible causal relationship between an adverse event and a drug, the relationship being unknown or incompletely documented previously" (WHO)
  - Usually supported by multiple case reports
  - New unlabeled adverse events
  - An observed increase in a labeled event or a greater severity
  - New interactions
  - Newly identified at-risk population
- Generates hypothesis, which calls for future work to be performed to evaluate that hypothesis

# Disproportionality Analysis: Proportional Reporting Ratio (PRR)

► The degree of disproportionate reporting of an adverse event for a product of interest compared with this same event for a comparison drug in the database

$$PRR = \frac{\text{event of interest with exposure to a drug of interest}}{\text{% of all adverse drug event reports that are}}$$

$$\text{the event of interest with exposure to a}$$

$$\text{comparison drug or class of drugs}$$

Proportional reporting ratio (PRR) >1 indicates increased risk of the drug of interest

# Calculation of Proportional Reporting Ratio (PRR)

	Event of interest	All other events	Total
Drug A	а	b	a + b
Comparison drugs	С	d	c + d

$$PRR = \frac{a/(a+b)}{c/(c+d)}$$



# Example: Proportional Reporting Ratio (PRR)

Want to assess whether myocardial infarction is more strongly associated with drug A versus other drugs in its drug class

	Myocardial infarction	All other events	Total
Drug A	83	1,273	1,356
Other 4 drugs in the same class	1,489	52,300	53,789

$$PRR = \frac{83/1,356}{1,489/53,789} = \frac{6.1\%}{2.8\%} = 2.18$$

# Disproportionality Analysis: Reporting Odds Ratio (ROR)

	Adverse event of interest	All other adverse events
Drug A	а	b
Comparison drugs	С	d

$$ROR = \frac{a/b}{c/d}$$



# Example: Reporting Odds Ratio (ROR)

Want to assess whether myocardial infarction is more strongly associated with drug A versus other drugs in its drug class

	Myocardial infarction	All other events	Total
Drug A	83	1,273	1,356
Other 4 drugs in the same class	1,489	52,300	53,789

$$ROR = \frac{83/1,273}{1,489/52,300} = 2.29$$



# Real-World Example: Alpha-Chymotrypsin in Vietnam

- ► Alpha-chymotrypsin: a biological product commonly used in Vietnam for numerous conditions
- ► Significant safety signals related to hypersensitivity, including anaphylactic reactions:
  - ▶ ROR (95% CI) = 2.12 (1.26–3.07) from the Vietnamese national spontaneous reporting database
- ➤ Since 2010, 249 adverse event reports for this product were received nationwide, of which 65 cases were related to anaphylactic reactions (this is approximately equal to all spontaneous reports related to alpha-chymotrypsin obtained from VigiBase)
- ► The National Centre sent an official letter to the Drug Administration of Vietnam, Ministry of Health, to advocate a safety revision for this product

# Factors to Consider in Assessment of a Safety Signal (Is It a Risk?)

- Strength of association
  - ▶ Generally speaking, PRR >2 indicates a potential safety signal
- Temporal relationship
- Consistency of findings with other data sources
- ► Evidence of dose—response or rechallenge effect
- Biological plausibility
- Seriousness of the event
- Potential to mitigate the risk
- Degree of benefit of this and other therapies

# Strengths and Limitations of Passive Methods Produced by the Center for Teaching and Learning at the Johns Hopkins Bloomberg School of Public Health.

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# Strengths of Spontaneous Reporting System

- ▶ It enables early detection of events not observed in clinical trials
- ▶ It is large scale, broad scope, and relatively inexpensive
  - ▶ It covers all medicines used in the population
  - It received adverse event reports throughout a medicine's life cycle
- ▶ It generates signals, which led to hypothesis and further investigation
- As few as one event can trigger further evaluation
- lt provides opportunity for the public (e.g., consumers and patients) to report adverse events
  - e.g., US MedWatch program

# Limitations of Spontaneous Reporting System

- ► Information is incomplete
- ▶ Denominators (number of individuals exposed to a drug) are unknown
  - Population-based rates of an adverse event cannot be estimated from spontaneous reporting data
- Some adverse events are difficult to recognize
- Underreporting
- Reporting biases

# Factors Affecting the Reporting of Adverse Events for a Given Drug–Event Pair

- Duration since drug's approval (e.g., Weber effect: reports peak in the second year after approval and decline thereafter, even though the drug might be used more widely)
  - More adverse event reports received from the new drug compared to an older, widely used drug, even if there is no true difference in risk between them
- Publicity about an important new adverse event often causes a large number of reports shortly after the publicity
  - ► Changes in the number of adverse event reports for a give drug—event pair can not reliably be interpreted as a change in the population-based frequency of the adverse event

# Summary

- Passive surveillance
  - Spontaneous reporting of adverse events / adverse drug reactions
  - ► The most common form of pharmacovigilance
- MedWatch: National Passive Surveillance Program in the United States
  - ► FDA Adverse Event Reporting System (FAERS)—a computerized database designed to support FDA's postmarketing safety surveillance program
- Worldwide passive surveillance systems: WHO Programme for International Drug Monitoring (WHO-PIDM)
  - VigiBase—the richest source of pharmacovigilance data in the world
- ▶ The Proportional Reporting Ratio (PRR) is the foundational concept for many disproportionality methods