

# Homework 01

Due Date: 11/02/23

**Instruction.** Do not submit part B.

## A Homework Problems

1. Let  $V, W$  be finite dimensional vector spaces over a field  $\mathbb{F}$  and  $T: V \rightarrow W$  be a linear transformation. Let  $T^t: W^* \rightarrow V^*$  be the dual (transpose) of  $T$ . Show that
  - (a)  $T$  is injective if and only if  $T^t$  is surjective.
  - (b)  $T$  is surjective if and only if  $T^t$  is injective.

**Remark.** These results hold also for infinite-dimensional vector spaces.

2. Let  $V$  be the vector space over  $\mathbb{C}$  consisting of all infinite sequences  $(a_1, a_2, a_3, \dots)$  **with only finitely many nonzero entries**.<sup>1</sup> Let  $e_i$  be the sequence with only one nonzero entry being 1 at  $i$ -th position. It follows that  $\beta := \{e_1, e_2, \dots\}$  is a basis for  $V$ . Show that, however,  $\beta^* = \{e_1^*, e_2^*, \dots\}$  is **NOT** a basis for  $V^*$ .

(Hint. Let  $f \in V^*$  defined by  $f(a_1, a_2, \dots) = \sum_{i=1}^{\infty} a_i$ . Explain that  $f$  is well-defined and show that  $f \notin \text{span } \beta^*$ . Note that in a vector space, “span” only collects finite linear combinations.)

**Remark.** If  $\dim V = \infty$ , one can show that  $\dim V^* > \dim V$ .

3. Let  $V_1, V_2$  be two subspaces of a vector space  $V$ . Show that there is an isomorphism

$$\varphi: \frac{V_1}{V_1 \cap V_2} \rightarrow \frac{V_1 + V_2}{V_2}.$$

(Hint. Construct a linear transformation from  $V_1$  to  $(V_1 + V_2)/V_2$  and use theorem in class.)

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<sup>1</sup>The addition and the scalar multiplication are the component-wise ones as usual.

## B Supplementary Problems.

4. Let  $V = \mathbb{R}^3$ , and define  $f_1, f_2, f_3 \in V^*$  as follows:

$$f_1(x, y, z) = x - 2y, \quad f_2(x, y, z) = x + y + z \quad \text{and} \quad f_3(x, y, z) = y - 3z.$$

Prove that  $\{f_1, f_2, f_3\}$  is a basis for  $V^*$ , and then find a basis  $\mathcal{B} = \{v_1, v_2, v_3\}$  for  $V$  such that the dual basis is given by  $\mathcal{B}^* = \{f_1, f_2, f_3\}$ .

5. Let  $V_1, V_2$  be two (finite dimensional) vector spaces over a field  $\mathbb{F}$ . Suppose  $\psi_i: V_i \rightarrow V_i^{**}$  are the canonical embeddings given by

$$\begin{aligned} \psi_i: V_i &\rightarrow V_i^{**} \\ v &\mapsto [\widehat{v}: f \mapsto f(v)] \end{aligned}$$

for  $i = 1, 2$ . Do the following.

- (a) Let  $T \in \mathcal{L}(V_1, V_2)$  be a linear transformation from  $V_1$  to  $V_2$ . Illustrate the linear transformation  $T^{tt}: V_1^{**} \rightarrow V_2^{**}$  (That is, show that how it acts on  $V_1^{**}$ )  
 (b) Show for all linear transformations  $T \in \mathcal{L}(V_1, V_2)$ , we have the following identity

$$\psi_2 \circ T = T^{tt} \circ \psi_1.$$

That is, the following diagram commutes:

$$\begin{array}{ccc} V_1 & \xrightarrow{T} & V_2 \\ \downarrow \psi_1 & & \downarrow \psi_2 \\ V_1^{**} & \xrightarrow{T^{tt}} & V_2^{**} \end{array}$$

6. Let  $V$  denotes a finite-dimensional vector space over  $\mathbb{F}$ . For every subset  $S$  of  $V$ , define the **annihilator**  $S^0$  of  $S$  as

$$S^0 = \{f \in V^* \mid f(x) = 0 \text{ for all } x \in S\}.$$

- (a) Prove that  $S^0$  is a subspace of  $V^*$  provided  $S$  is a subset of  $V$ .  
 (b) If  $W$  is a subspace of  $V$ , show that

$$\dim W + \dim W^0 = \dim V.$$

- (c) Deduce from the previous problem that  $W \simeq W^{00}$ . (*Hint.* Build the isomorphism via the canonical isomorphism  $\psi: V \rightarrow V^{**}$ )  
 (d) If  $W$  is a subspace of  $V$ , show that  $(V/W)^* \simeq W^0$ .  
 (e) Suppose  $V = W_1 \oplus W_2$ , show that  $V^* = W_1^0 \oplus W_2^0$ .

**Definition B.1.** An *exact sequence* is a diagram

$$0 \longrightarrow U \xrightarrow{f} V \xrightarrow{g} W \longrightarrow 0$$

such that  $U, V, W$  are vector spaces over  $\mathbb{F}$ , and  $f, g$  are linear transformations such that

- $f$  is injective.
- $g$  is surjective.
- $\text{Im } f = \text{Ker } g$ .

7. Assume

$$0 \longrightarrow U \xrightarrow{f} V \xrightarrow{g} W \longrightarrow 0$$

is an exact sequence. Prove that the sequence

$$0 \longrightarrow W^* \xrightarrow{g^t} V^* \xrightarrow{f^t} U^* \longrightarrow 0$$

is also exact.

8. Let  $f: V \rightarrow W$  be a linear map. Denote

$$\bar{f}: V / \text{Ker } f \rightarrow W$$

$$v + \text{Ker } f \mapsto f(v)$$

the linear map induced by the universal property of quotient space. Prove that the sequence

$$0 \longrightarrow V / \text{Ker } f \xrightarrow{\bar{f}} W \xrightarrow{\pi} W / \text{Im } f \longrightarrow 0$$

is exact, where  $\pi$  is the quotient map

$$\pi: W \rightarrow W / \text{Im } f$$

$$w \mapsto w + \text{Im } f.$$

9. Use Problem 7 and Problem 8 to show that the sequence

$$0 \longrightarrow (W / \text{Im } f)^* \xrightarrow{\pi^t} W^* \xrightarrow{\bar{f}^t} (V / \text{Ker } f)^* \longrightarrow 0$$

is exact. Deduce that  $\dim \text{Im}(f^t) = \dim \text{Im}(f)$  if  $V, W$  are finite-dimensional. This gives a categorical proof that the row-rank and column-rank of a matrix coincide. (*Hint.* Denote  $p: V \rightarrow V / \text{Ker } f$  the quotient map. Then  $f = \bar{f} \circ p$  and so  $f^t = p^t \circ \bar{f}^t$ . Note that  $p$  is surjective, so  $p^t$  is injective. Hence  $\dim \text{Im}(f^t) = \dim \text{Im}(p^t \circ \bar{f}^t) = \dim \text{Im}(\bar{f}^t)$ . Now use the exact sequence to see that  $\dim \text{Im}(\bar{f}^t) = \dim \text{Im}(f)$ .)

**Definition B.2.** Let  $I$  be any set and  $\mathbb{F}$  a field. Define

$$\prod_{i \in I} \mathbb{F} = \{\text{all functions } I \rightarrow \mathbb{F}\},$$

a vector space over  $\mathbb{F}$  with valuwewise addition and scalar multiplication, and

$$\bigoplus_{i \in I} \mathbb{F} = \left\{ f \in \prod_{i \in I} \mathbb{F} : f(i) = 0 \text{ for all but finitely many } i \in I \right\},$$

a subspace of  $\prod_{i \in I} \mathbb{F}$ .

10. For  $i \in I$ , define

$$\varepsilon_i : I \rightarrow \mathbb{F}, \quad \varepsilon_i(j) = \delta_{ij} := \begin{cases} 1 & \text{if } i = j; \\ 0 & \text{if } i \neq j, \end{cases} \quad j \in I.$$

Show that  $\{\varepsilon_i\}_{i \in I}$  is a basis for  $\bigoplus_{i \in I} \mathbb{F}$ .

11. Define

$$\Phi : \left( \bigoplus_{i \in I} \mathbb{F} \right)^* \rightarrow \prod_{i \in I} \mathbb{F}, \quad \Phi(f)(i) = f(\varepsilon_i), \quad f \in \left( \bigoplus_{i \in I} \mathbb{F} \right)^*, \quad i \in I.$$

Prove that  $\Phi$  is an isomorphism.

12. Assume  $I$  is infinite, so there is an injection

$$\mathbb{N} \rightarrow I, \quad n \mapsto i_n.$$

For  $c \in \mathbb{F}$ , define  $f_c \in \prod_{i \in I} \mathbb{F}$  by

$$f_c : I \rightarrow \mathbb{F}, \quad f_c(i) = \begin{cases} c^n & \text{if } i = i_n \text{ for some } n \in \mathbb{N}; \\ 0 & \text{otherwise.} \end{cases}$$

Prove that

$$\left\{ f_c \in \prod_{i \in I} \mathbb{F} : c \in \mathbb{F} \text{ and } c \neq 0 \right\}$$

is a linearly independent subset of  $\prod_{i \in I} \mathbb{F}$ . (Hint: Use the Vandermonde matrix.)

13. Use the fact (B.3) below to show that  $\dim(\prod_{i \in I} \mathbb{F}) > \dim(\bigoplus_{i \in I} \mathbb{F})$  if  $I$  is infinite. Deduce that  $\dim V^* > \dim V$  if  $V$  is an infinite-dimensional vector space. (Hint. Note that we have  $\dim(\prod_{i \in I} \mathbb{F}) = |\prod_{i \in I} \mathbb{F}|$  by (12) and (B.3), and  $|\prod_{i \in I} \mathbb{F}| = |\mathbb{F}^I| \geq |\{0, 1\}^I| > |I|$  by Cantor's theorem, where for any sets  $A$  and  $B$ , we denote  $B^A$  the set of all functions from  $A$  to  $B$ .)

**Theorem B.3.** Let  $V$  be an infinite dimensional vector space over  $\mathbb{F}$  such that  $\dim_{\mathbb{F}} V \geq |\mathbb{F}|$ . Then  $\dim_{\mathbb{F}} V = |V|$ .