

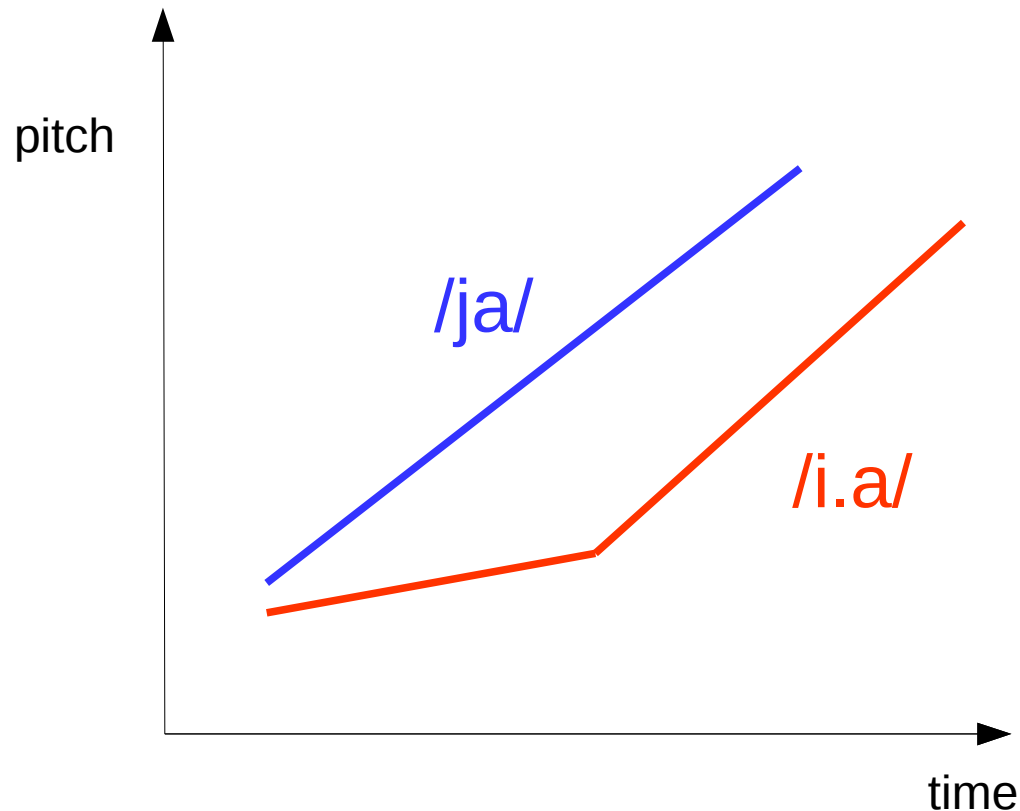
# Functional Principal Component Analysis (FPCA) for Phonetic Research

Michele Gubian

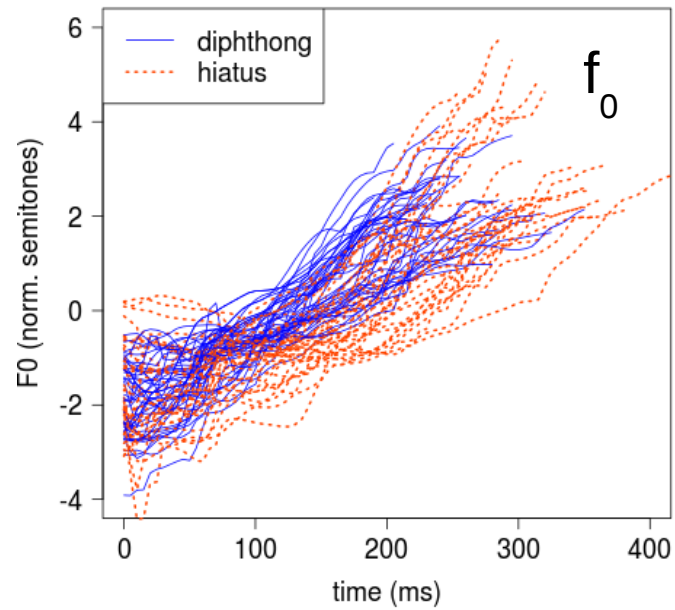
LMU Munich, Germany

November 15<sup>th</sup>, 2018

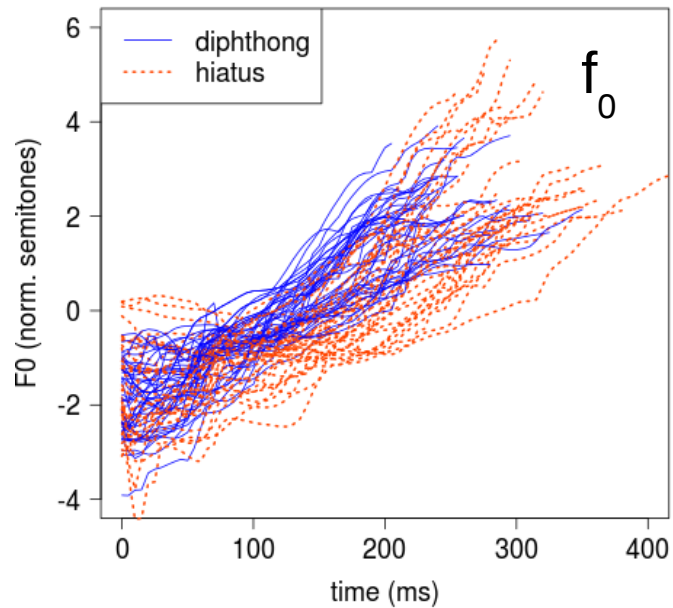
# Alignment of rising pitch accents in Spanish



- European Spanish
- **Diphthong**: */ja/*  
e.g. *Emiliana*
- **Hiatus** */i.a/*  
e.g. *piano*
- Rising pitch accent should align to syllabic structure



- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each



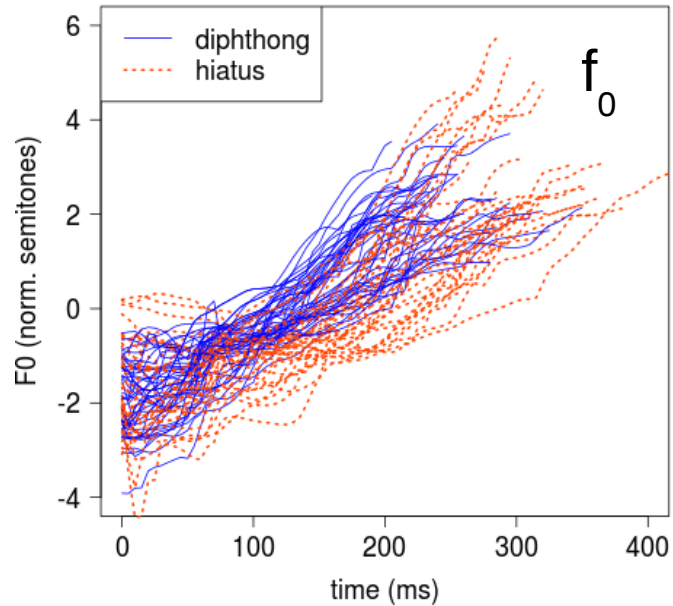
- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each

ANOVA

LR

LMER

## CURVES



- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each



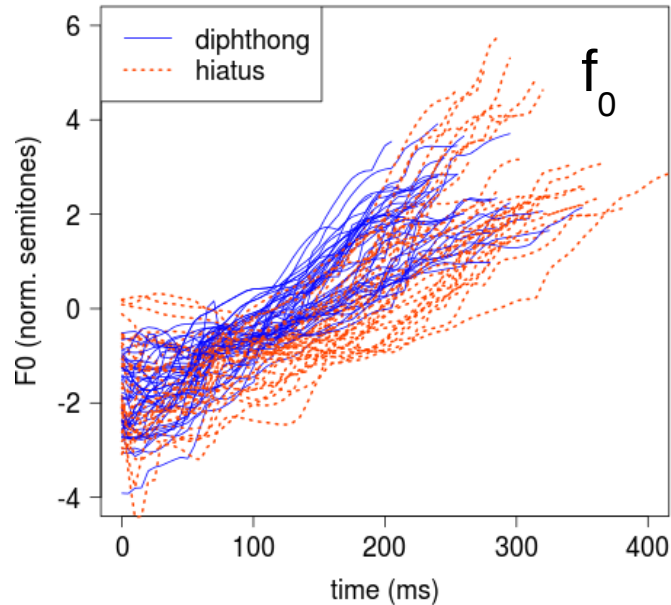
## NUMBERS

ANOVA

LR

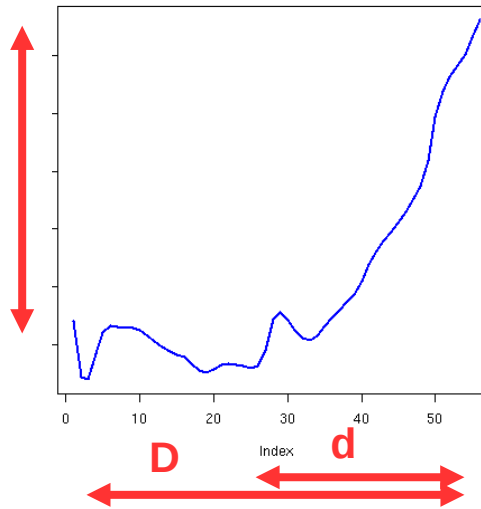
LMER

## CURVES



- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each

ext



ext (st)	d/D	Cat.
5.3	0.9	D
4.6	0.7	H
...	...	...

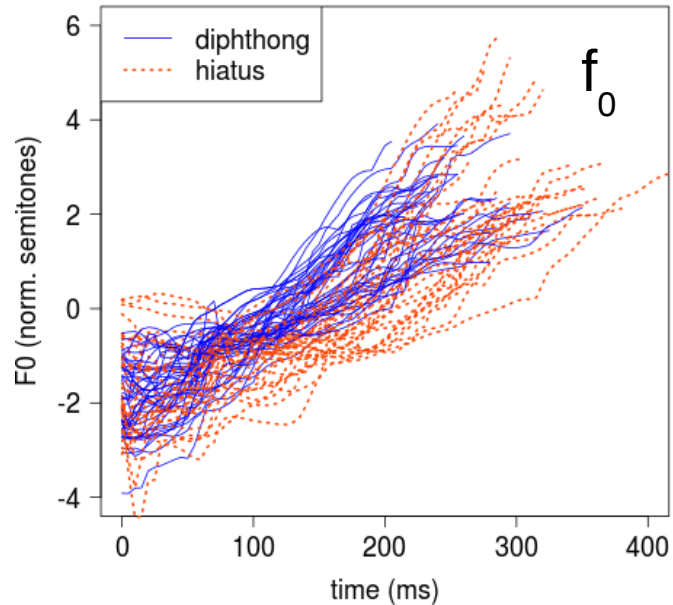
## NUMBERS

ANOVA

LR

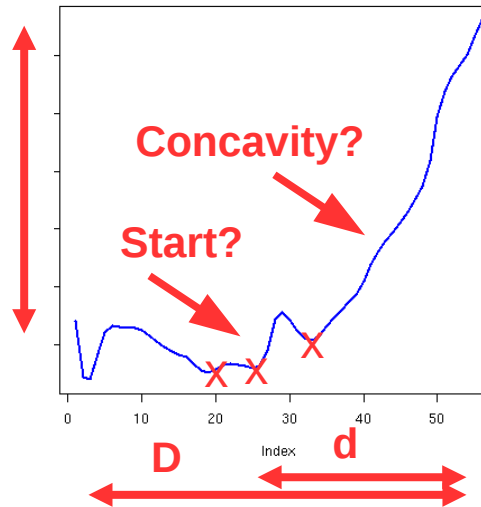
LMER

## CURVES



- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each

ext



ext (st)	d/D	Cat.
5.3	0.9	D
4.6	0.7	H
...	...	...

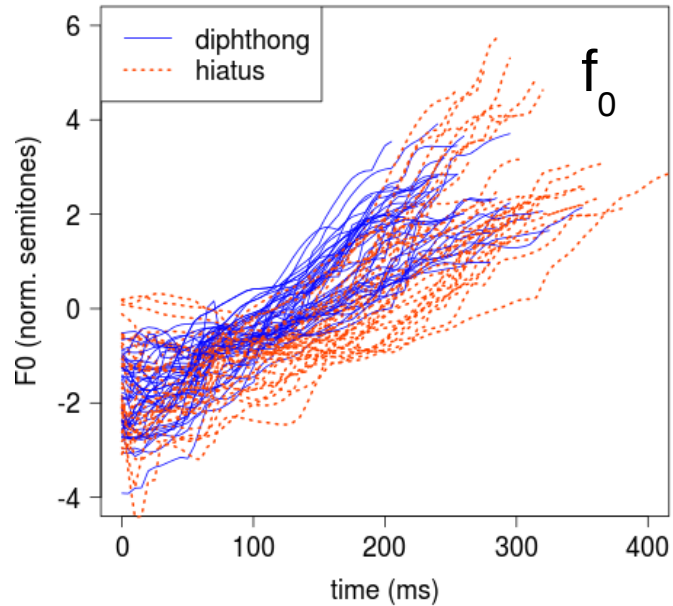
## NUMBERS

ANOVA

LR

LMER

## CURVES



- Read speech
- 9 participants
- 20 Diphthongs +  
20 Hiatuses each

**DCT**

k0	k1	k2	...
...	...	...	...
...	...	...	...
...	...	...	...

## NUMBERS

**ANOVA**

**LR**

**LMER**



# DCT limitations

- DCT does not (easily) encode time-localised information, e.g. a small hump
- Typically only  $k_0$ ,  $k_1$  and  $k_2$  are used, which have a geometric interpretation (mean, slope, curvature)
- Extracting several  $k$ 's brings up the need of PCA
- In general, not effective to encode long signals

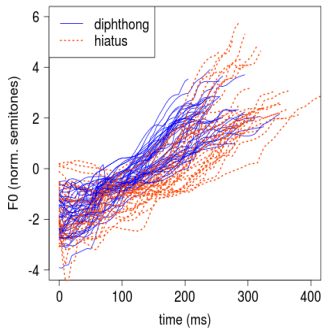
# MISSION

automate curve parametrisation

- Data driven
- Few parameters
- Interpretable

# Road map

## CURVES



## NUMBERS

Interpolate using a  
function basis

Dimensionality  
reduction tool

- Data driven

- Few parameters
- Interpretable

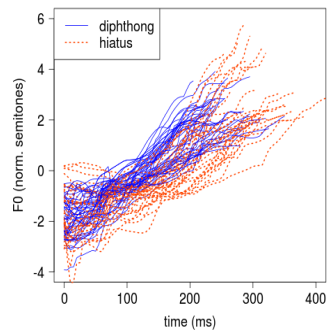
ANOVA

LM

LMER

# Road map

## CURVES



Interpolate using a  
function basis

- Data driven

Dimensionality  
reduction tool

- Few parameters
- Interpretable

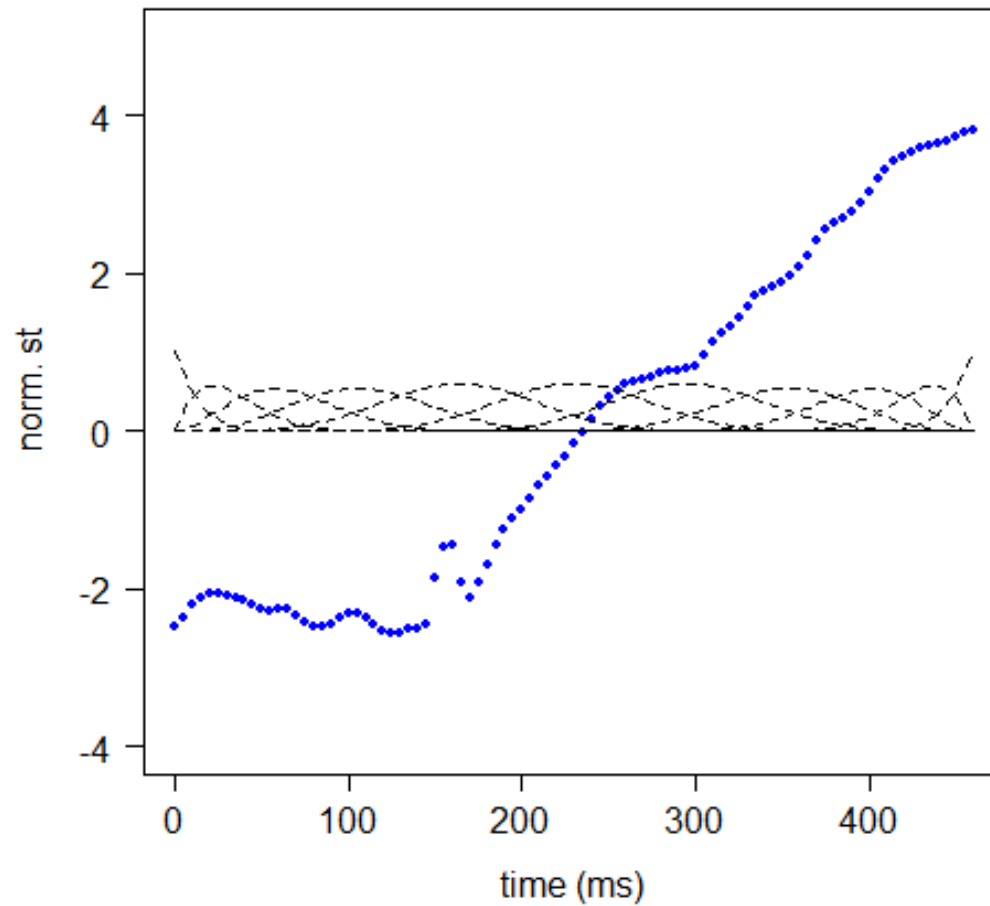
## NUMBERS

ANOVA

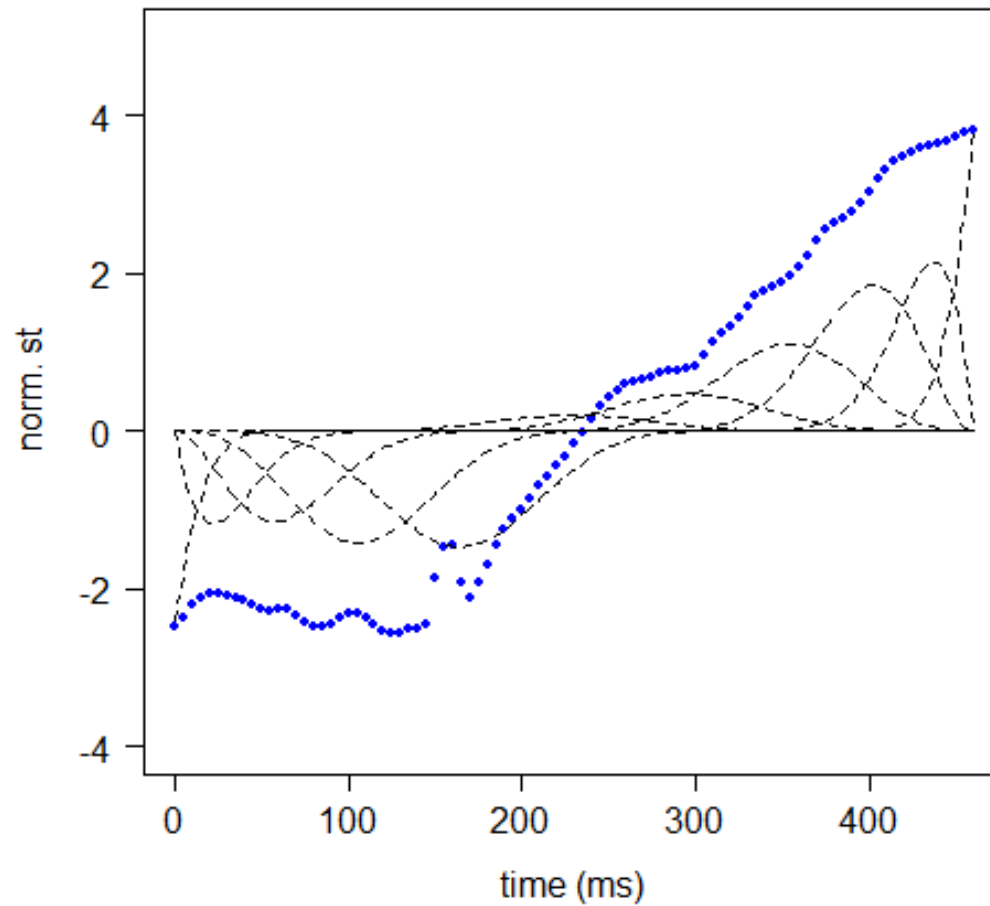
LM

LMER

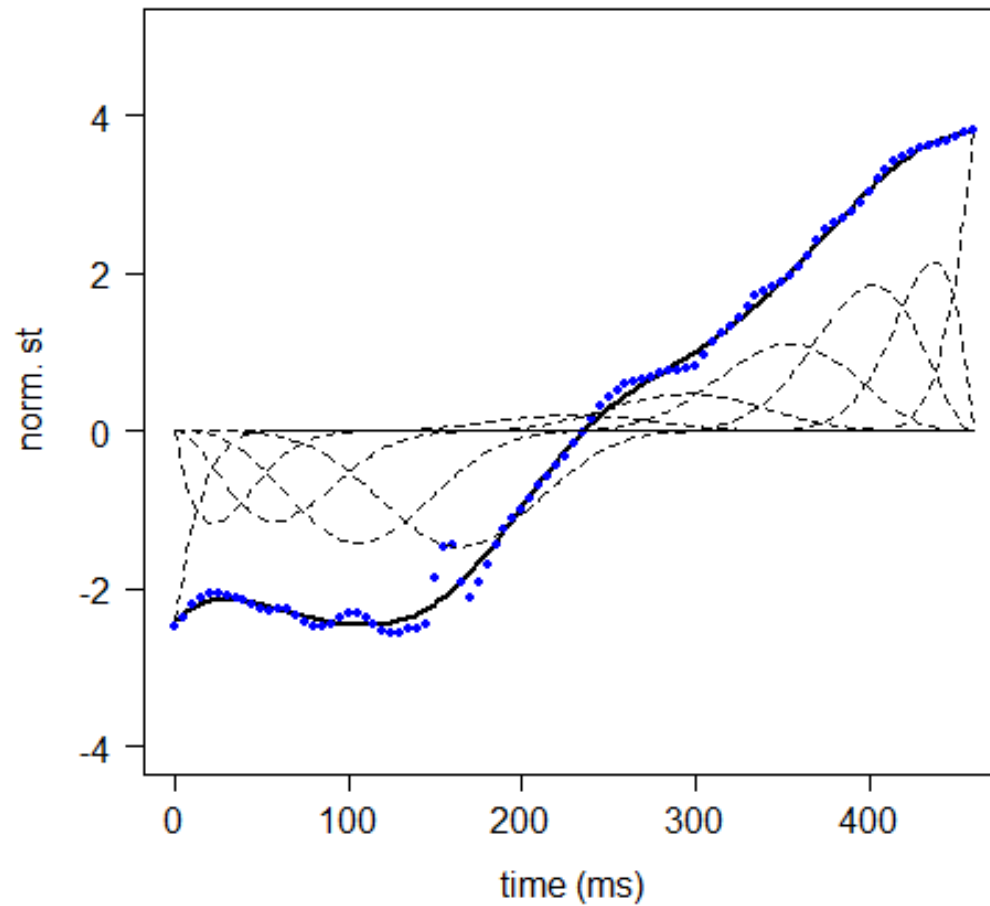
# Interpolation with B-splines



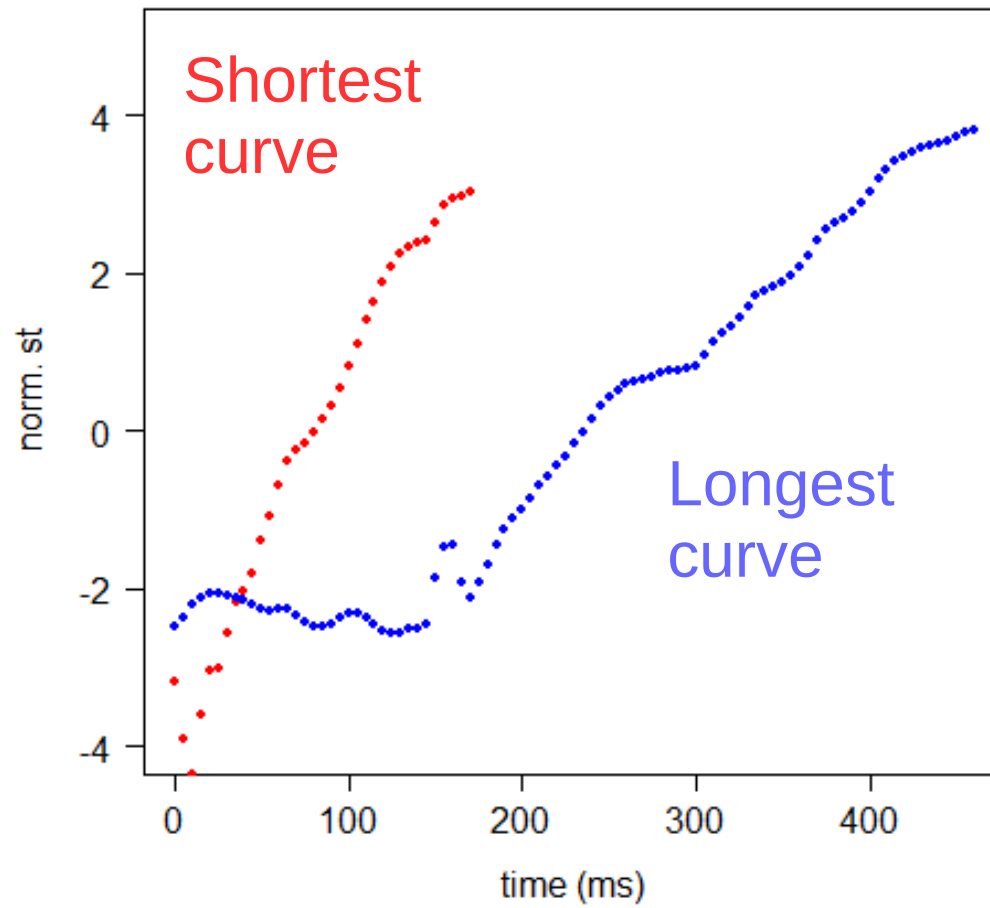
# Interpolation with B-splines



# Interpolation with B-splines

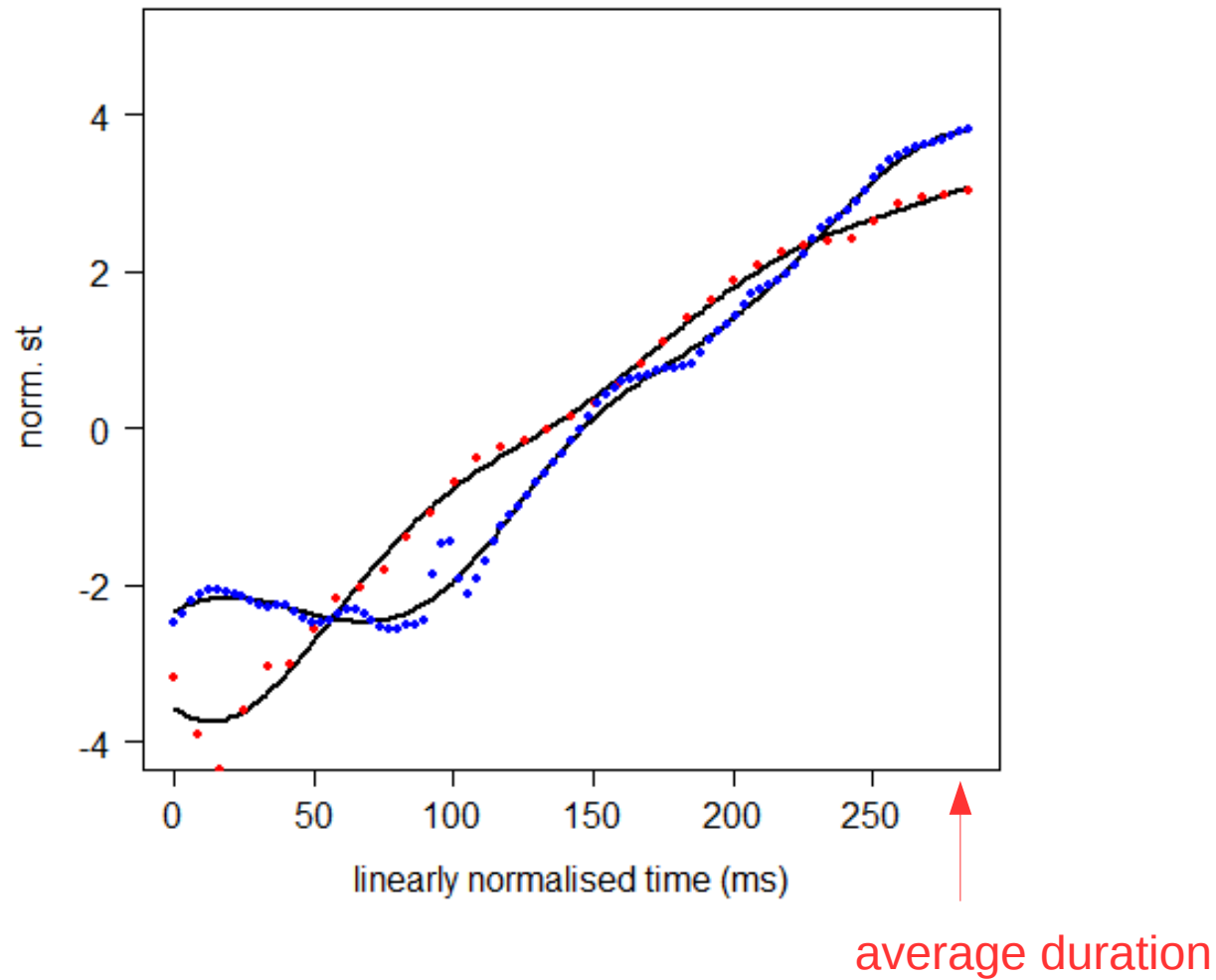


# Different durations

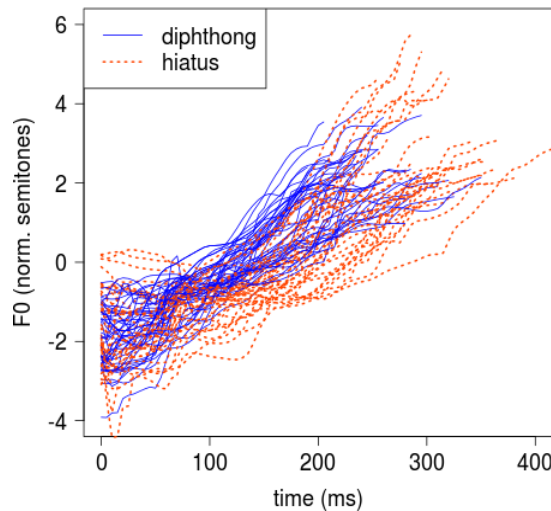




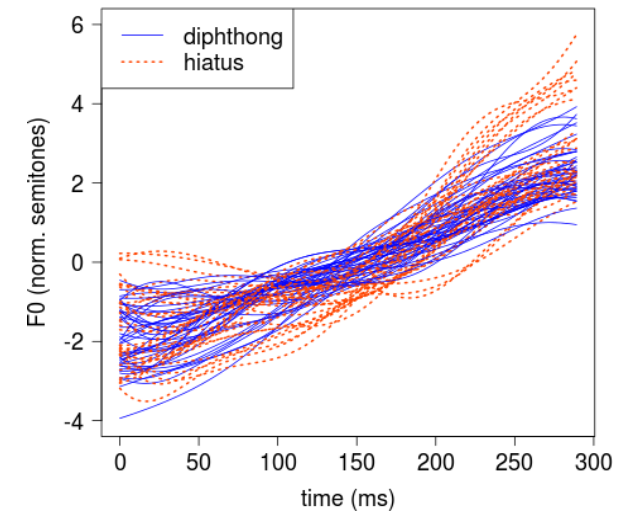
# Linear time normalisation



# Linear time normalisation



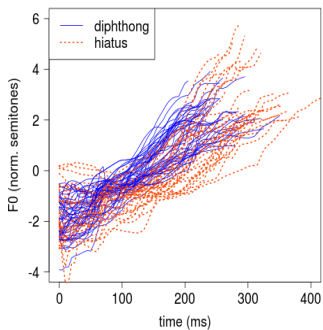
Interpolate to  
the same  
time interval



- We must use the same time interval
- This implies linear time normalisation
- Durations have to be reintroduced at the end of the analysis

# Road map

## CURVES



## NUMBERS

Interpolate to  
the same  
time interval

Dimensionality  
reduction tool

- Data driven

- Few parameters
- Interpretable

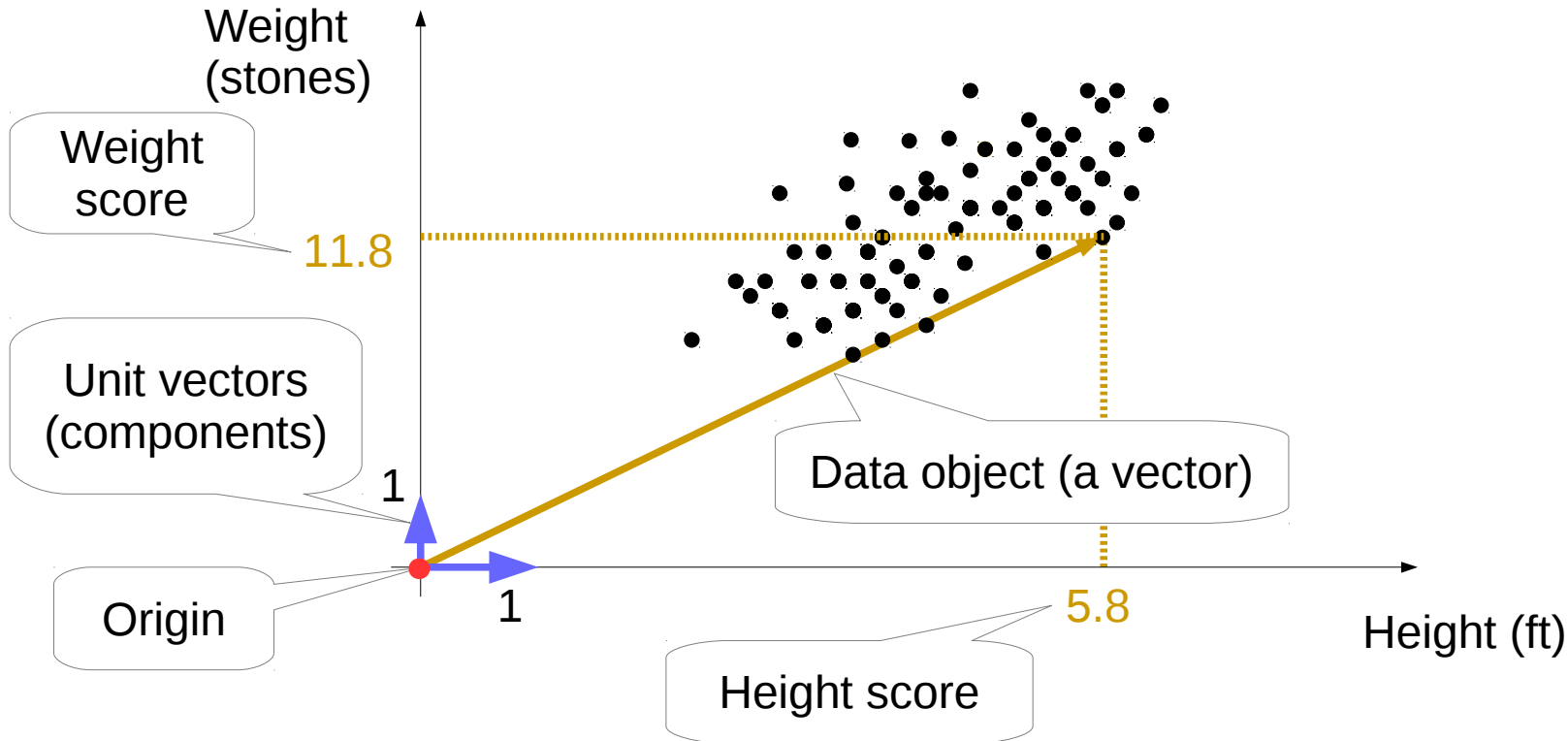
ANOVA

LM

LMER

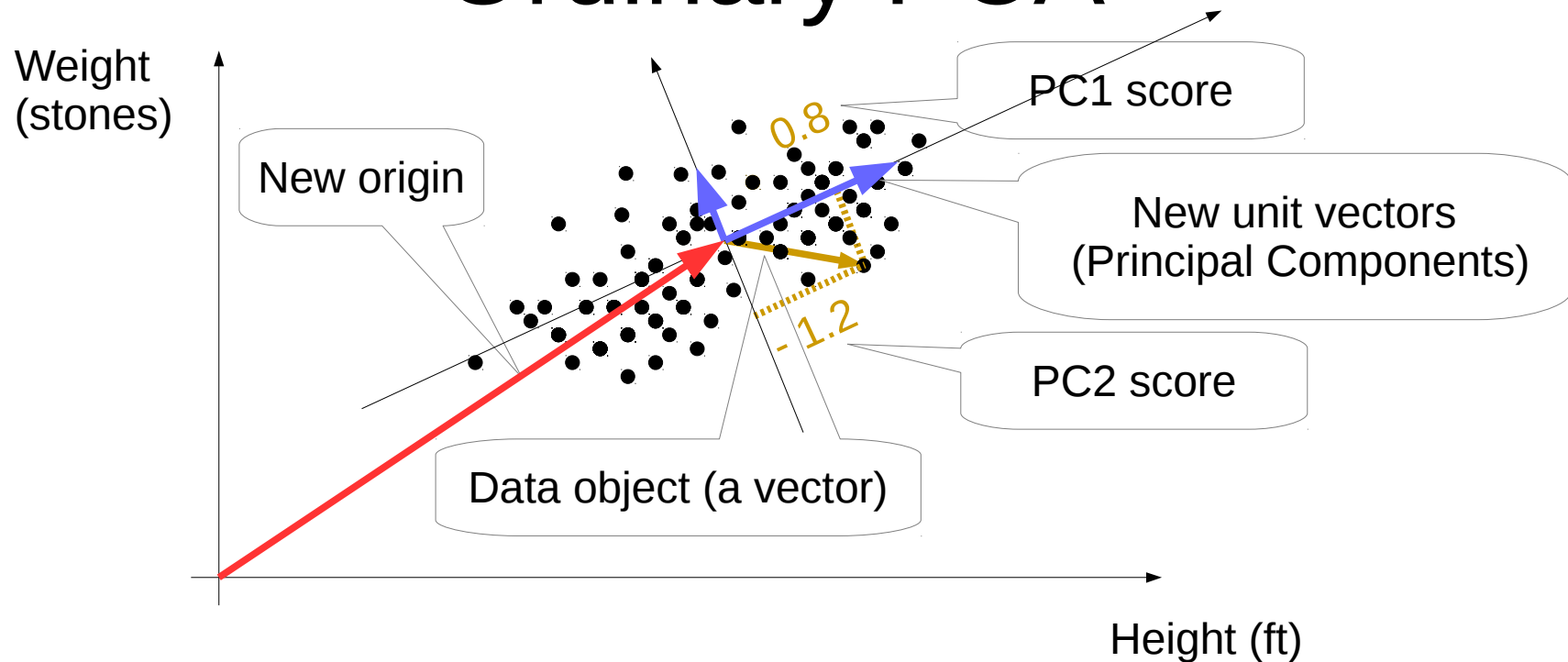
# Introducing Functional PCA

# Vectors



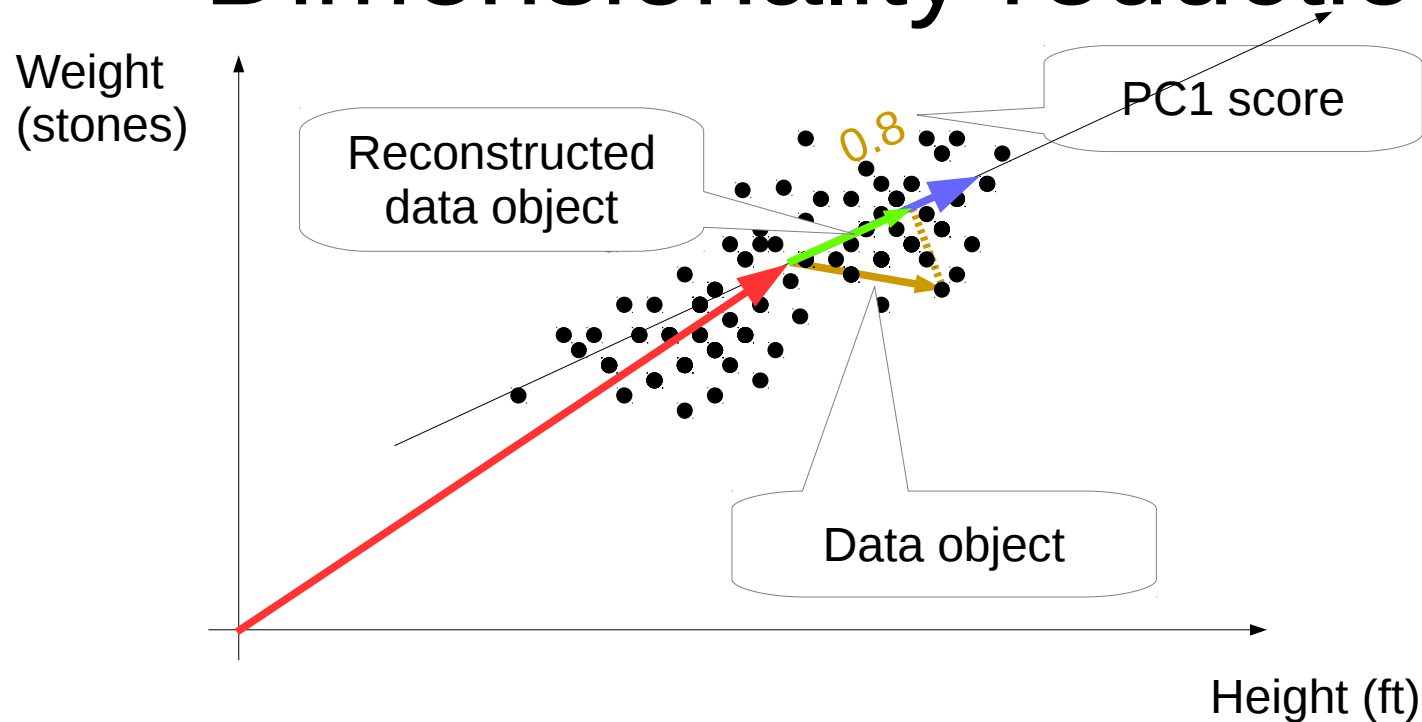
- Data objects and components are vectors
- From scores (numbers) we can reconstruct data objects (vectors)

# Ordinary PCA



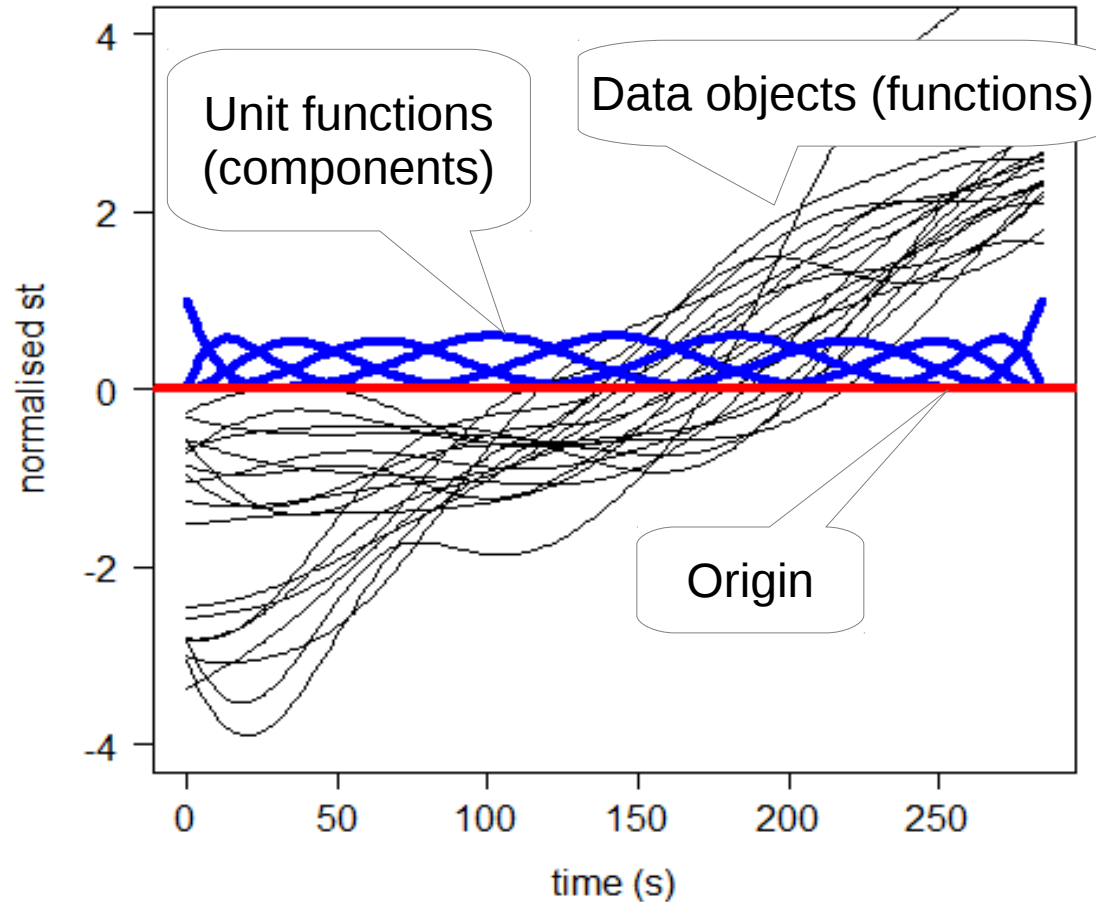
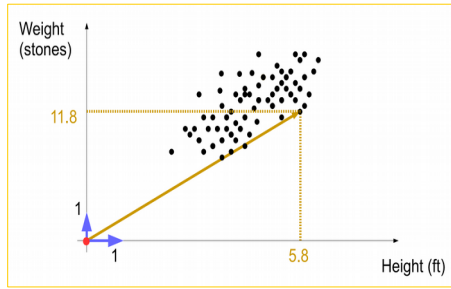
- PCA computes new origin and unit vectors which best suit the data
- From PC scores we can reconstruct data objects

# Dimensionality reduction



- We can use only part of the PCs
- This reduces the data dimensionality
- But introduces reconstruction errors too

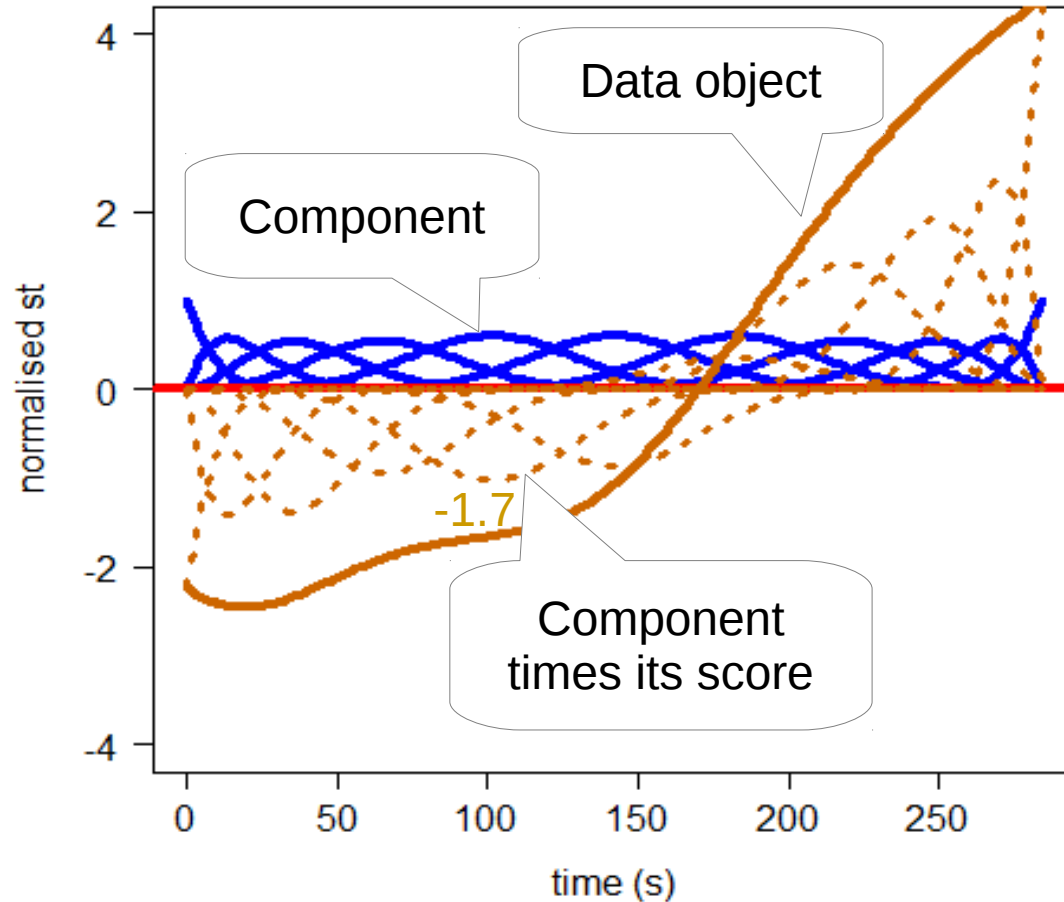
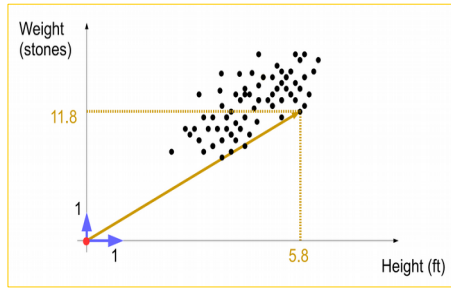
# Functions (curves)



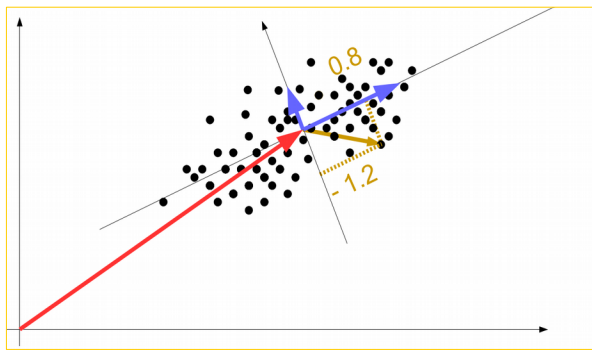
- Origin, components and data objects are functions
- Origin is a flat line
- Components are 11 B-spline curves



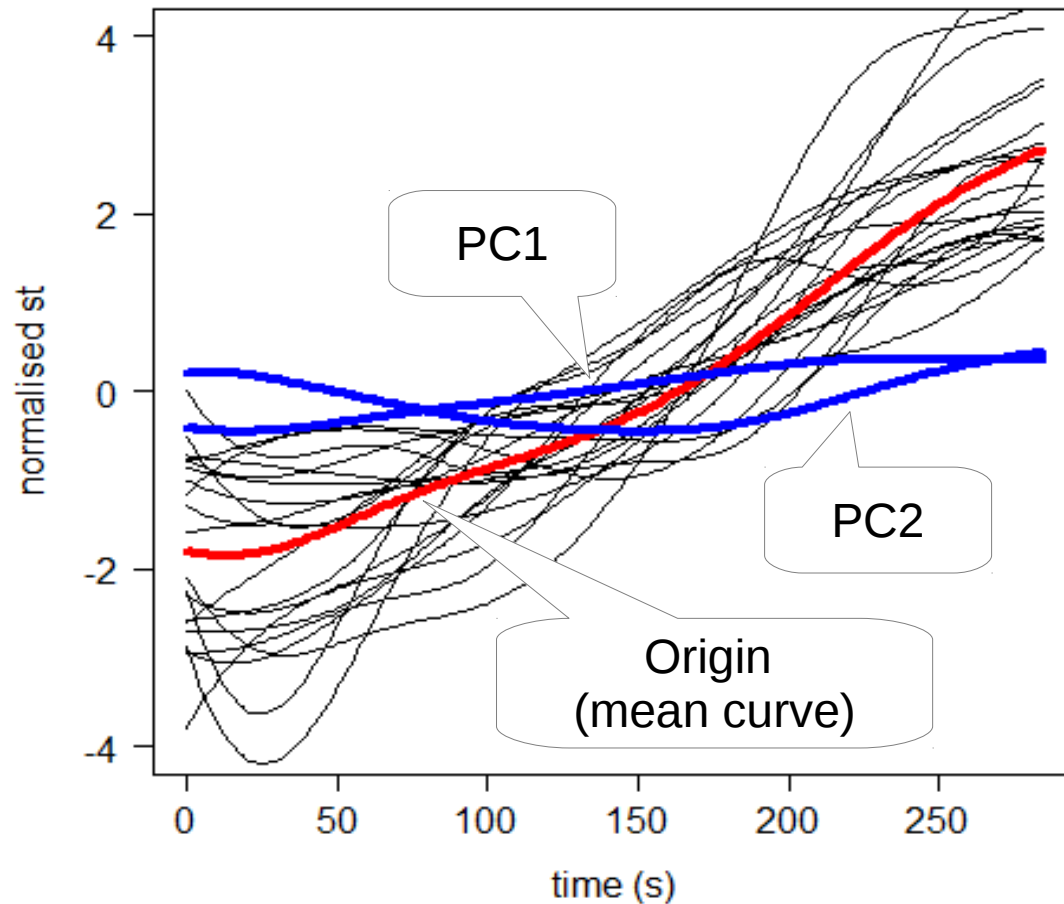
# Functions (curves)



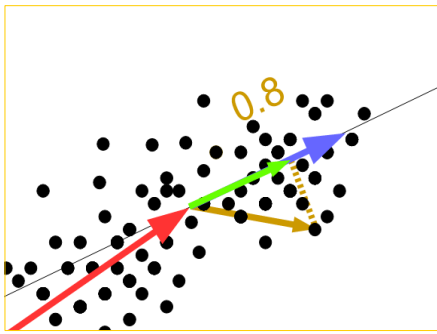
- Each of the 11 components is multiplied by a score
- These are summed together to obtain a data object



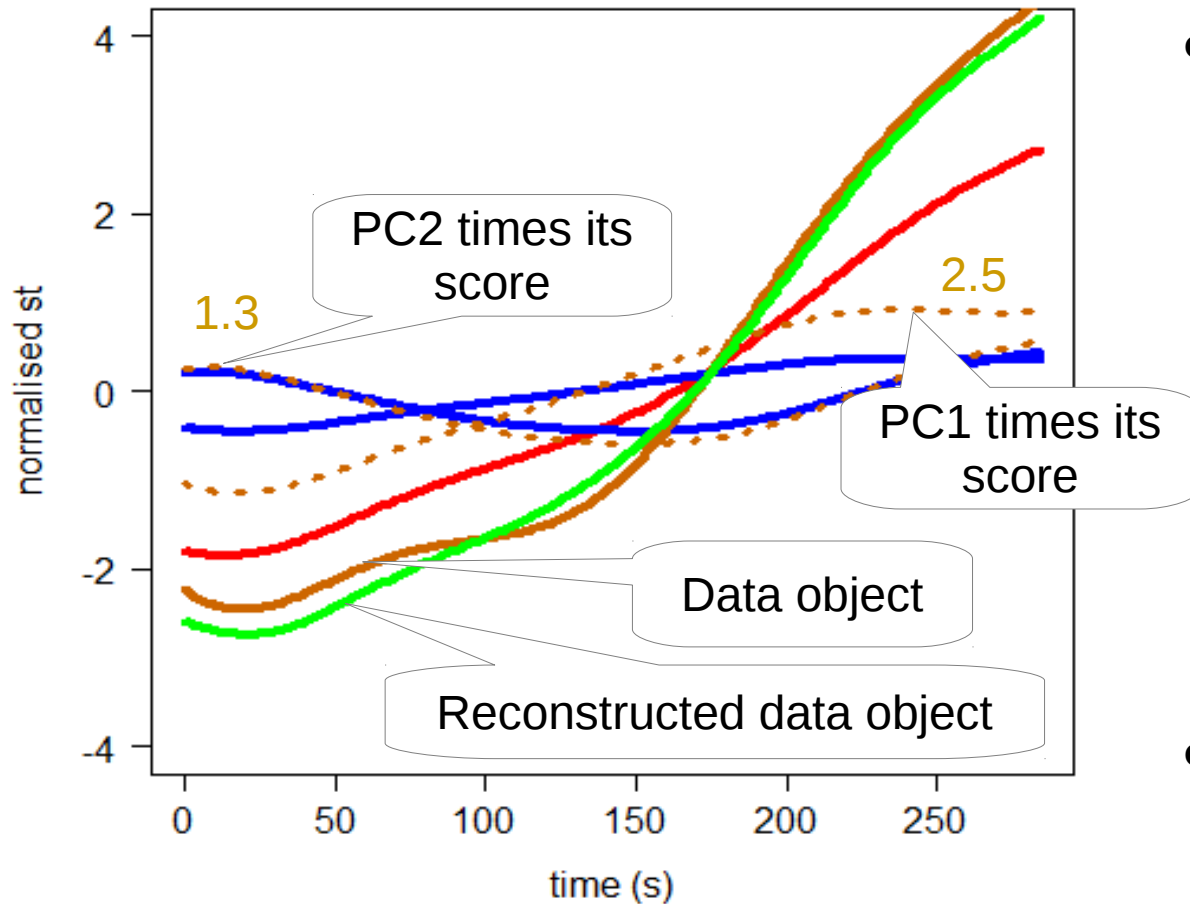
# Functional PCA



- FPCA computes new origin and component functions which best suit the data



# Functional PCA



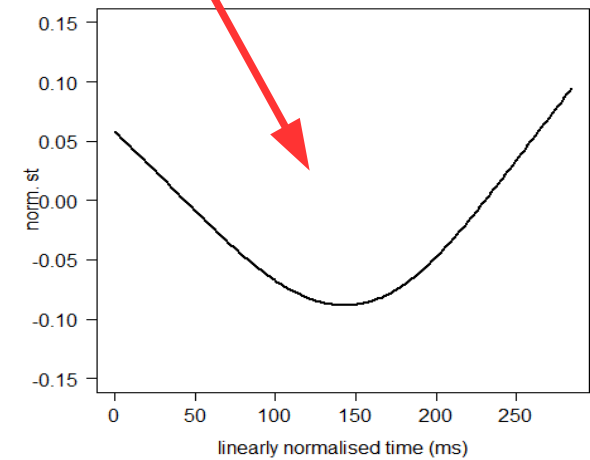
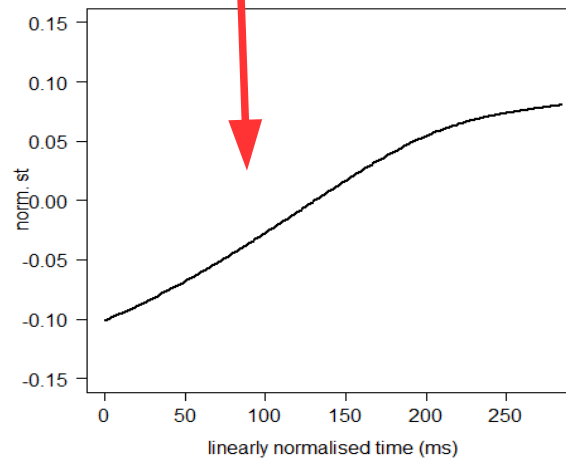
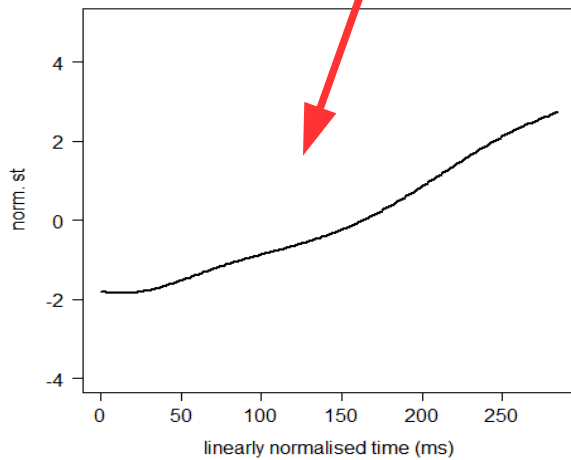
- The sum of origin (mean) curve + PCs times their scores gives an approx reconstruction of the original curve
- Dimensions from 11 (B-splines) down to 2 (PCs)

# Functional PCs

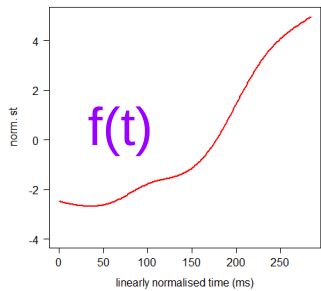
$$f(t) \approx \mu(t) + s_1 \cdot PC1(t) + s_2 \cdot PC2(t) + \dots$$

PC1 score

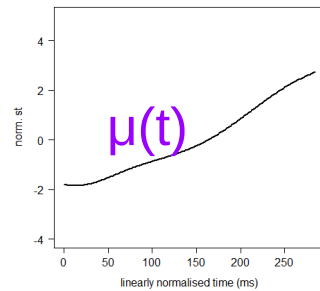
PC2 score



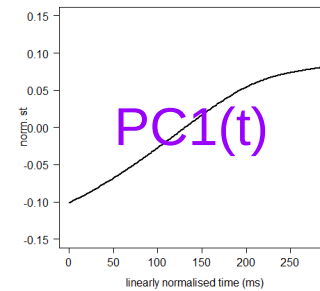
# Curve reconstruction



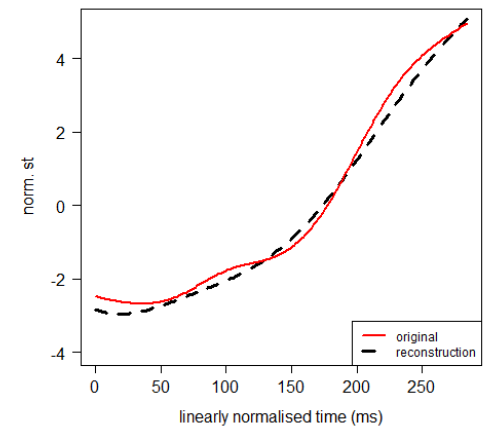
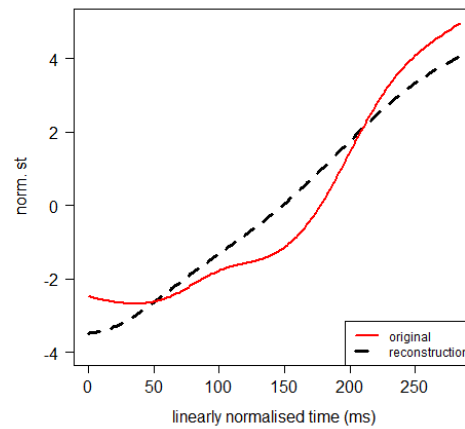
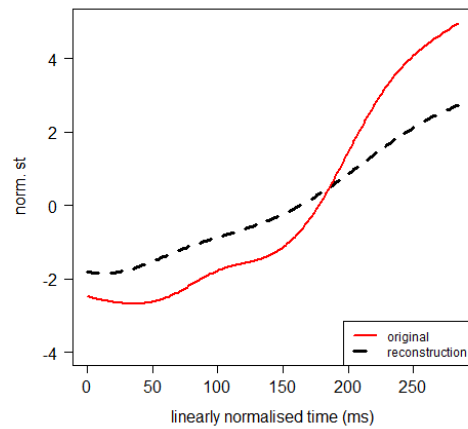
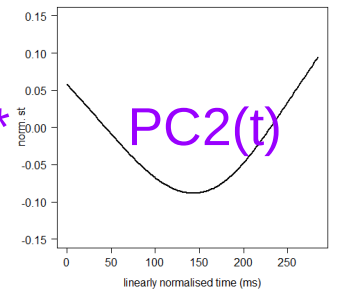
$\approx$



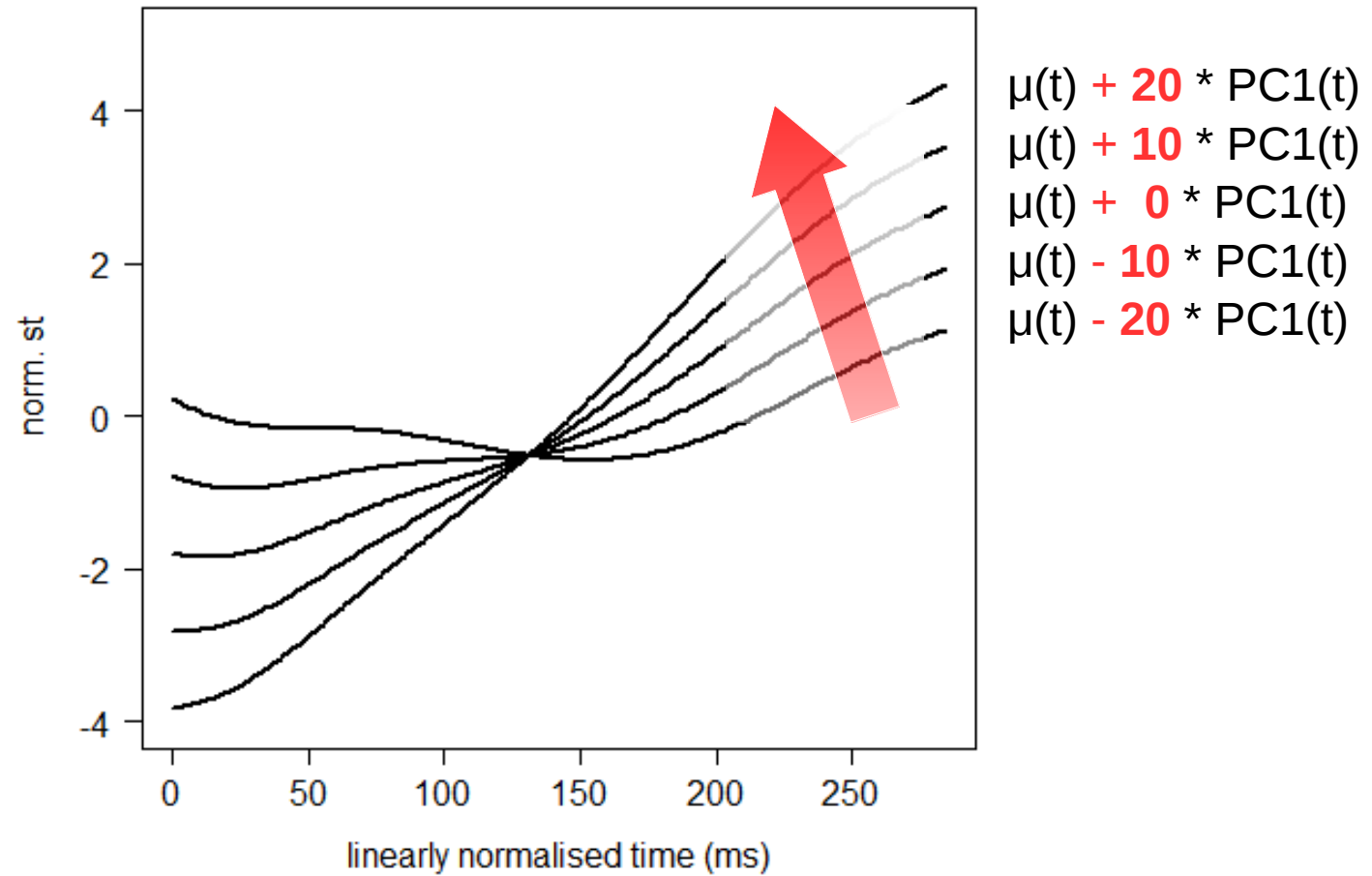
+ 16.5 \*



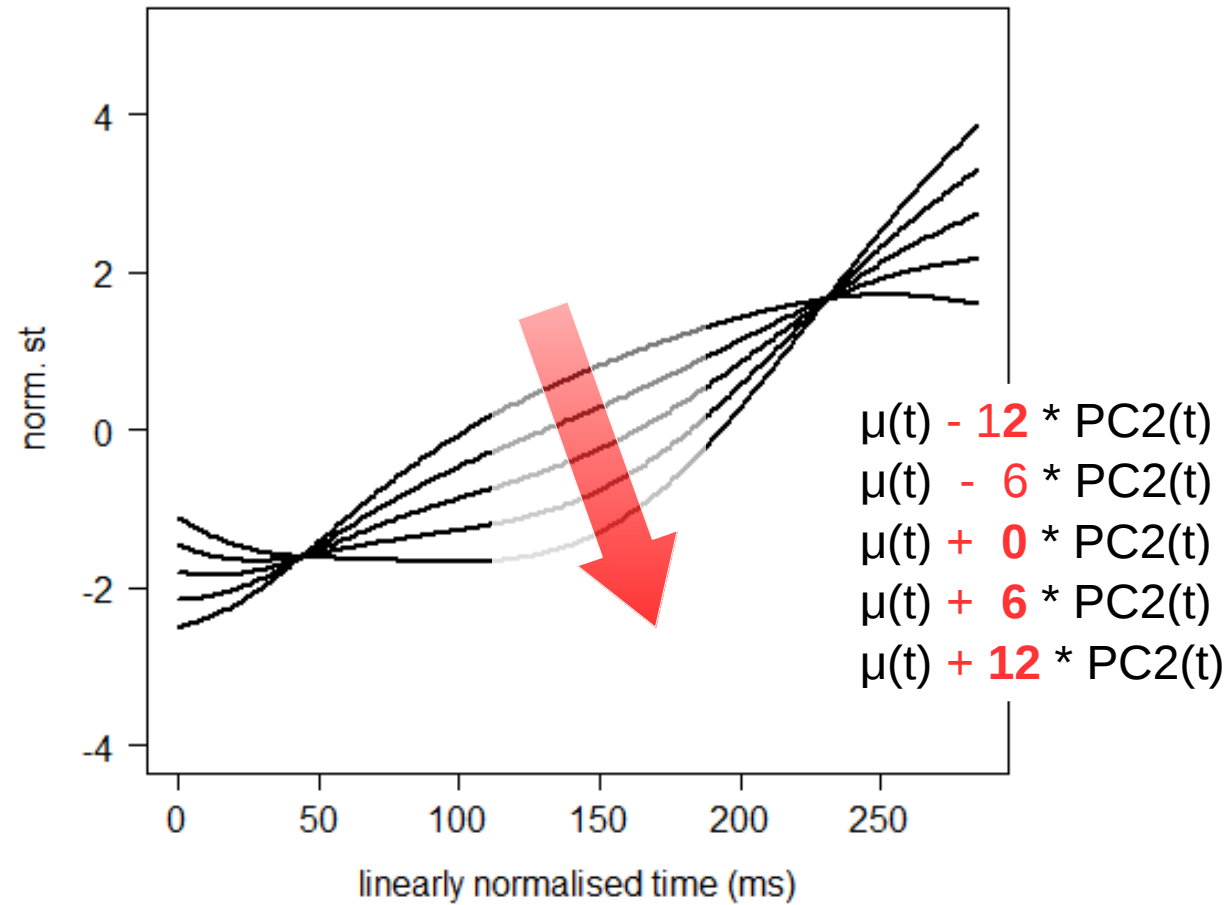
+ 10.8 \*



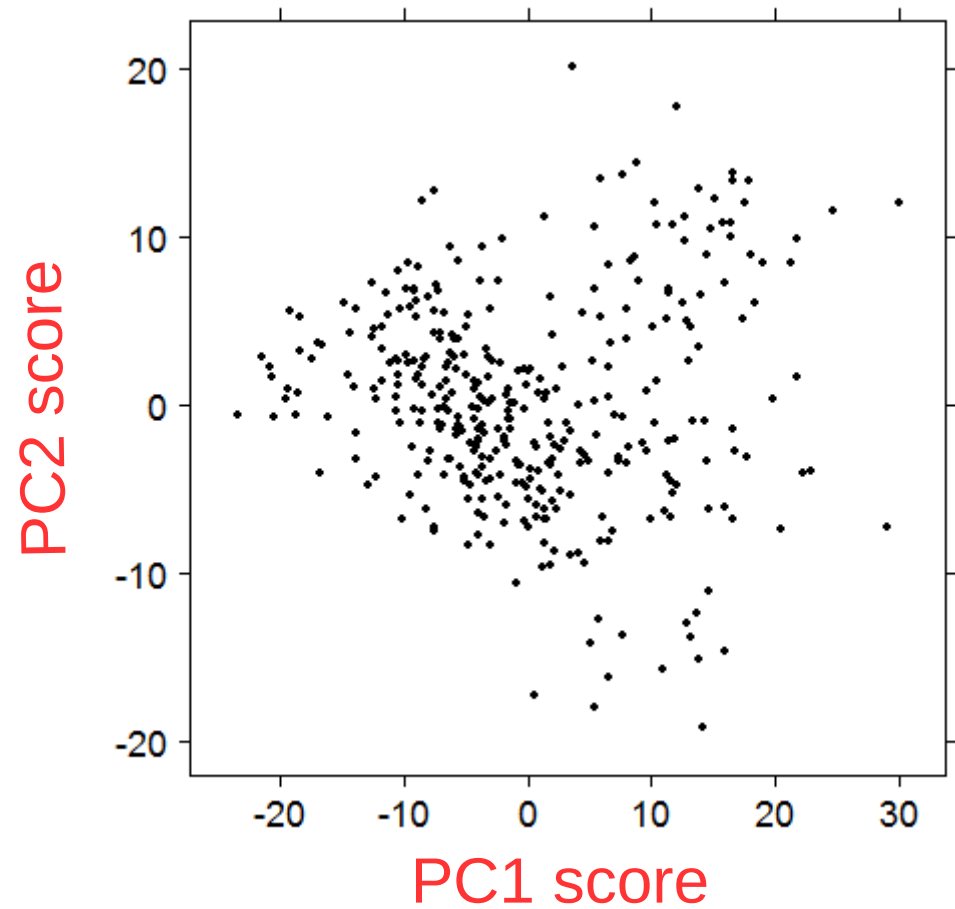
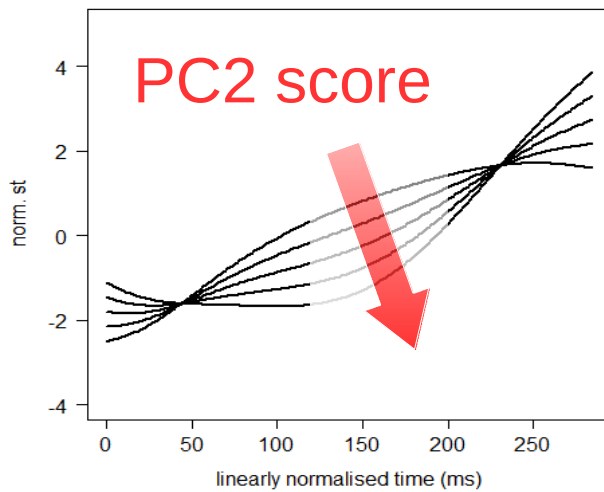
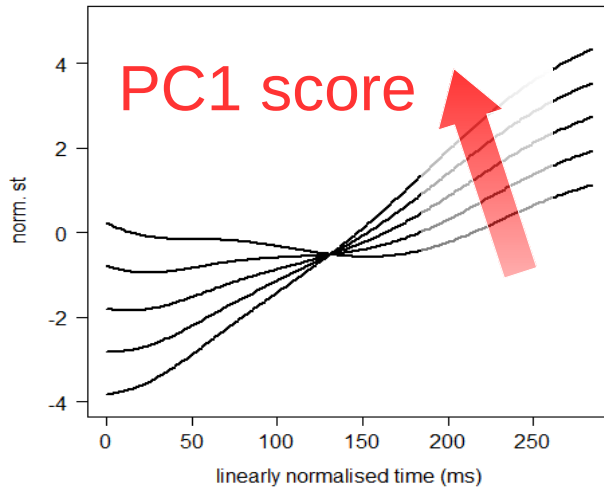
# PC1 scores



# PC2 scores

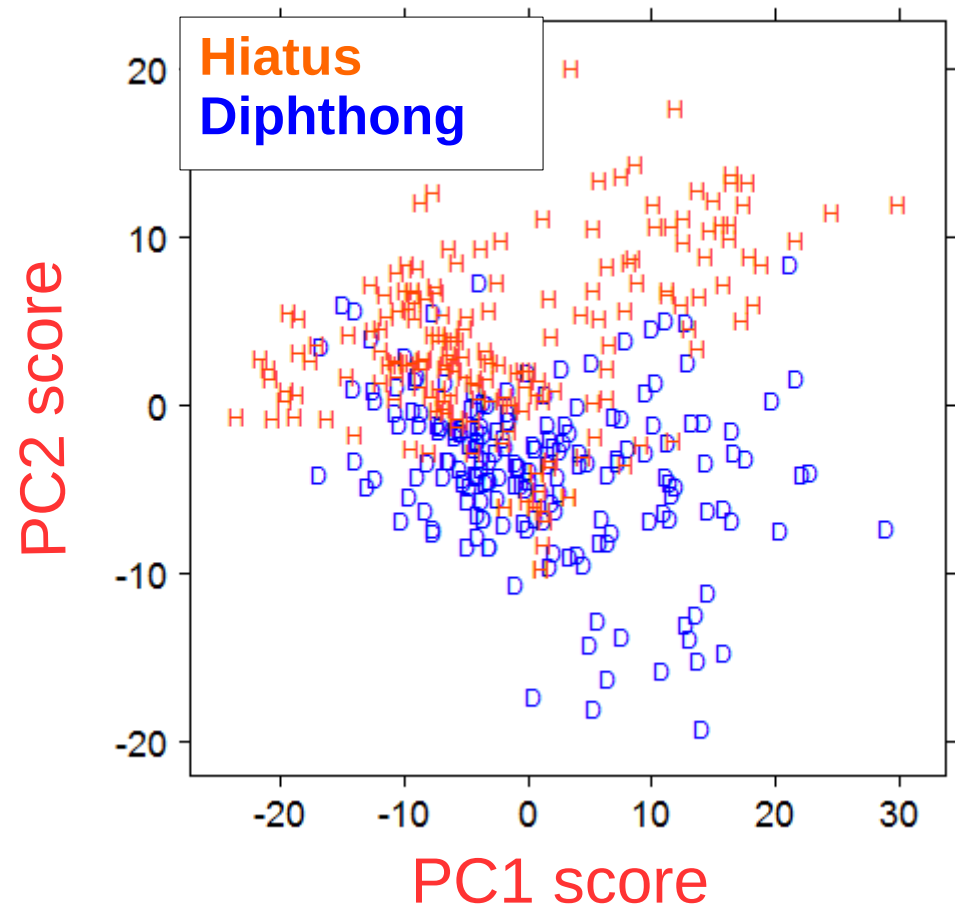
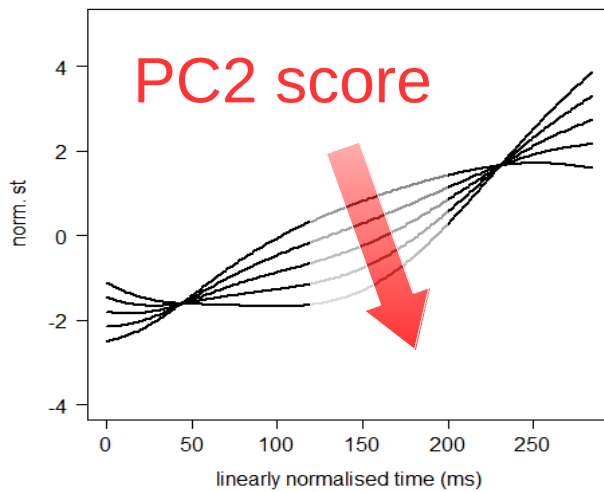
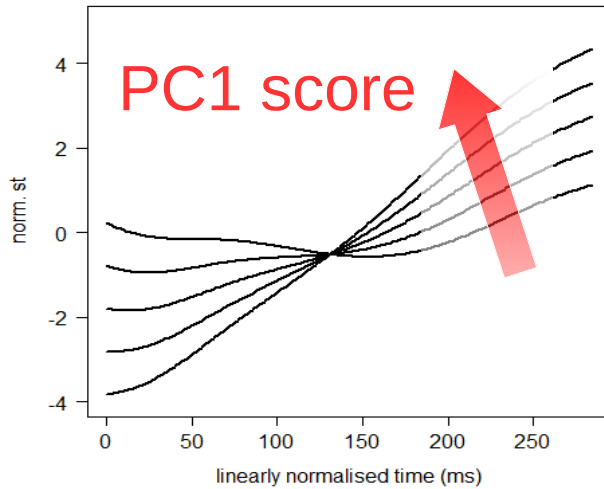


# Curve parametrisation





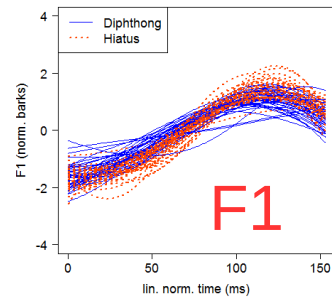
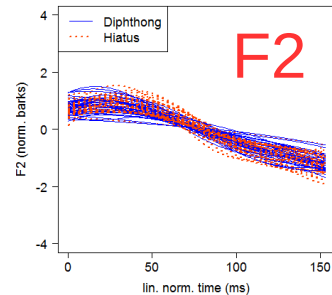
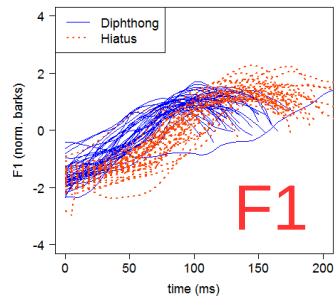
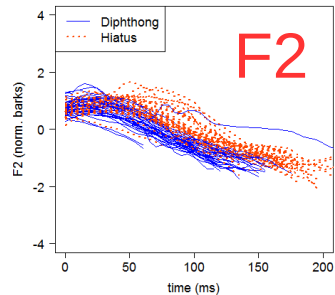
# Curve parametrisation



# Multidimensional signals

# Formants

## 2D CURVES



FPCA

FPCA

## NUMBERS

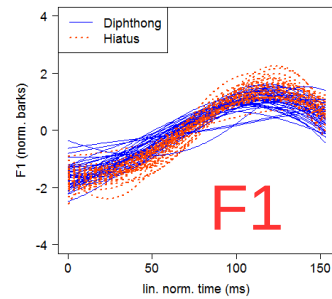
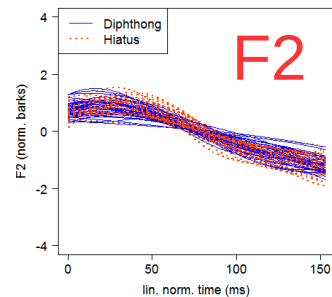
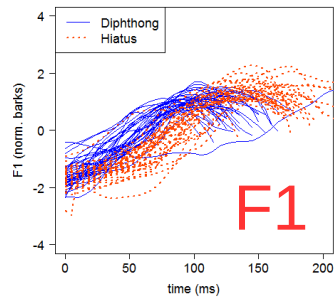
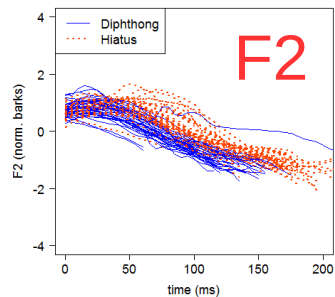
ANOVA

LM

LMER

# Formants

## 2D CURVES



2D  
FPCA

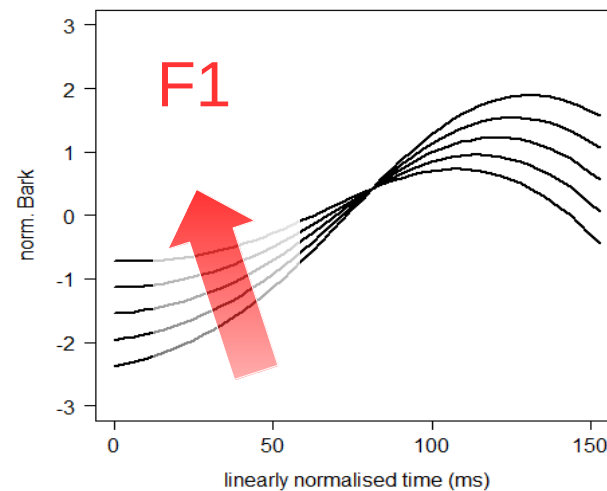
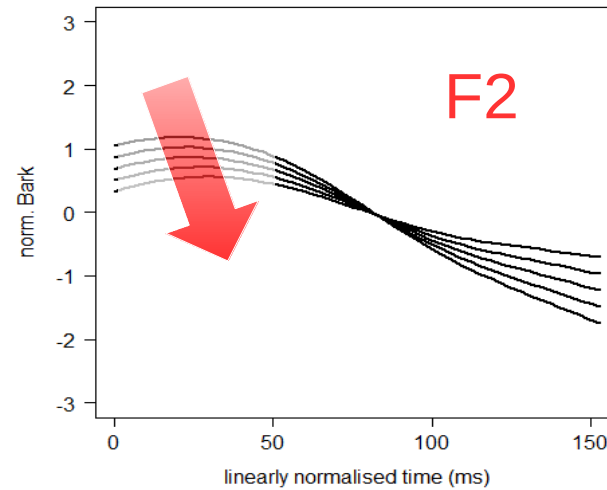
## NUMBERS

ANOVA

LM

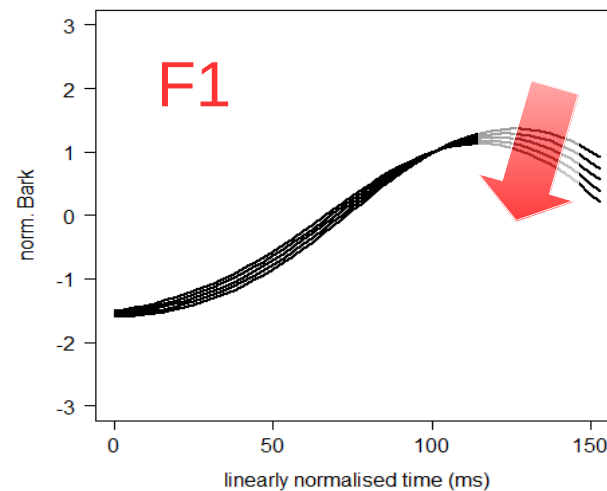
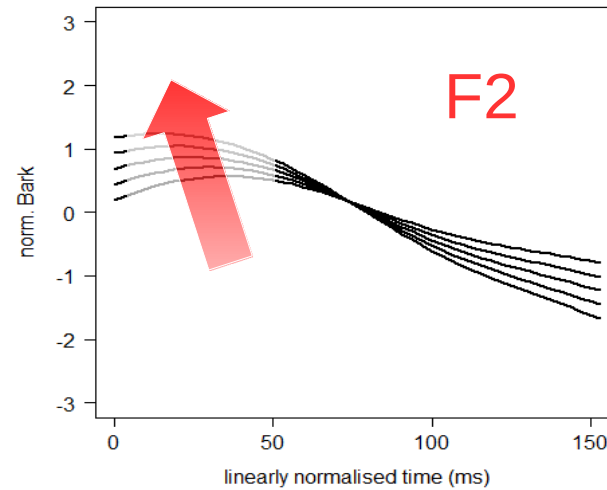
LMER

# PC1 scores



$$\begin{aligned} &\mu(t) + 8 * PC1(t) \\ &\mu(t) + 4 * PC1(t) \\ &\mu(t) + 0 * PC1(t) \\ &\mu(t) - 4 * PC1(t) \\ &\mu(t) - 8 * PC1(t) \end{aligned}$$

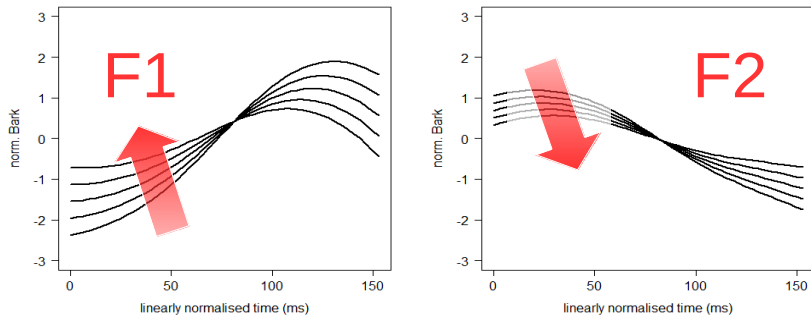
# PC2 scores



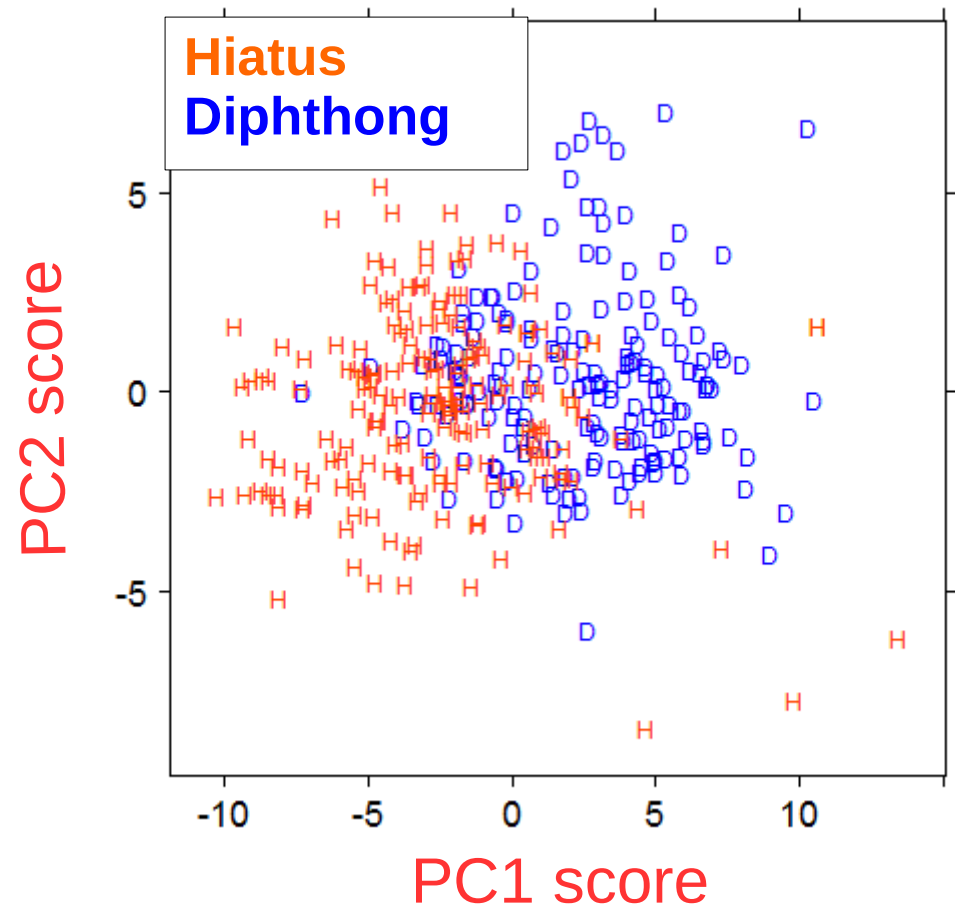
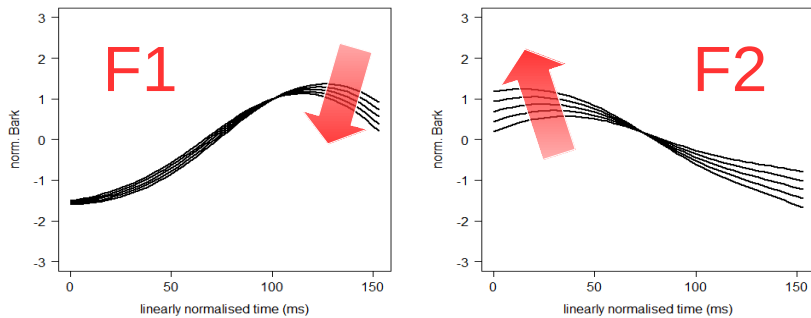
$$\begin{aligned} &\mu(t) + 4 * PC1(t) \\ &\mu(t) + 2 * PC1(t) \\ &\mu(t) + 0 * PC1(t) \\ &\mu(t) - 2 * PC1(t) \\ &\mu(t) - 4 * PC1(t) \end{aligned}$$

# 2D curve parametrisation

PC1 score



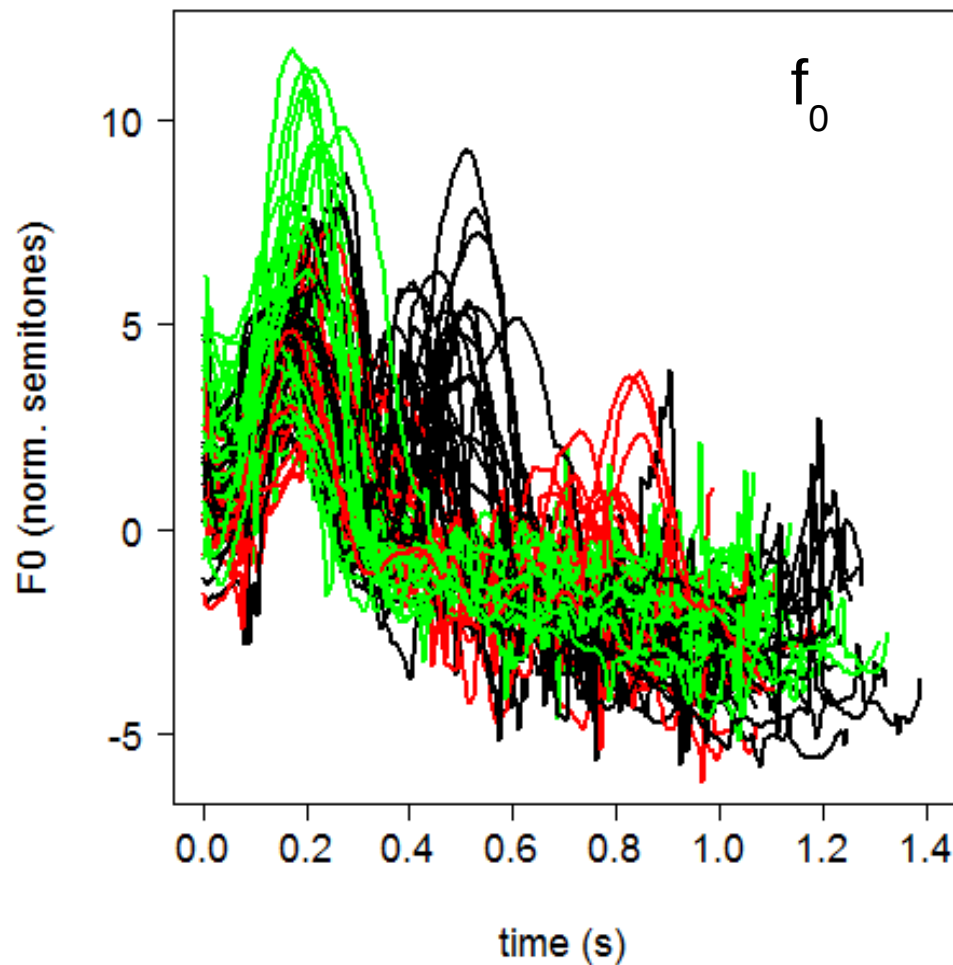
PC2 score



Long signals



# Many segments



- Narrow focus in Neapolitan Italian
- Focus on

**Subject**, **Verb** or **Prop. Phrase**

Danilo vola da Roma

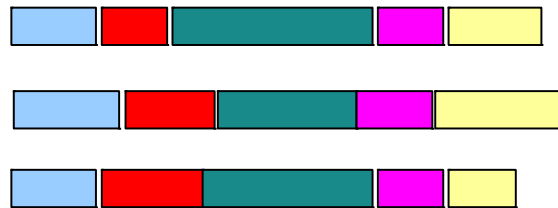
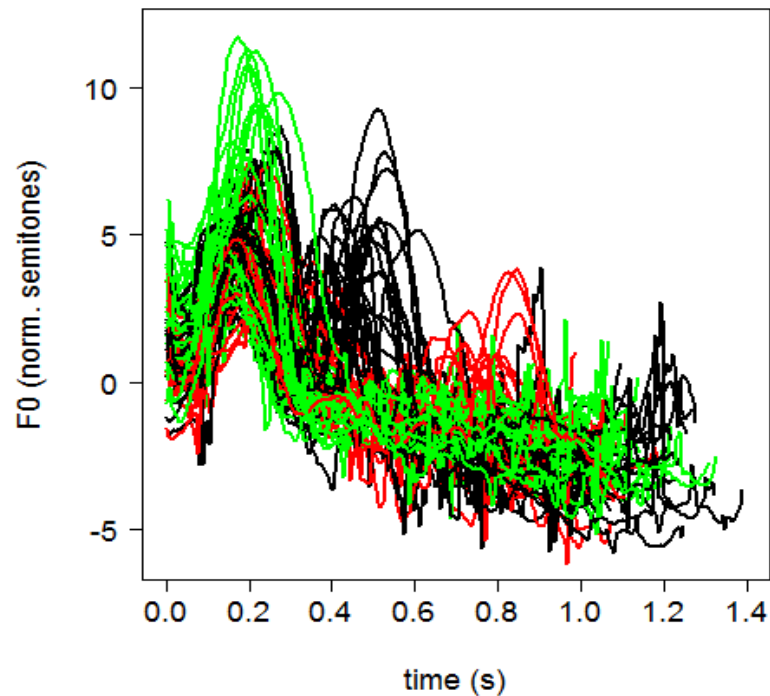
(*Danilo flies from Rome*)

- 8 CV syllables  
first C was excluded (too short)  
VCVCV CVCV CV CVCV

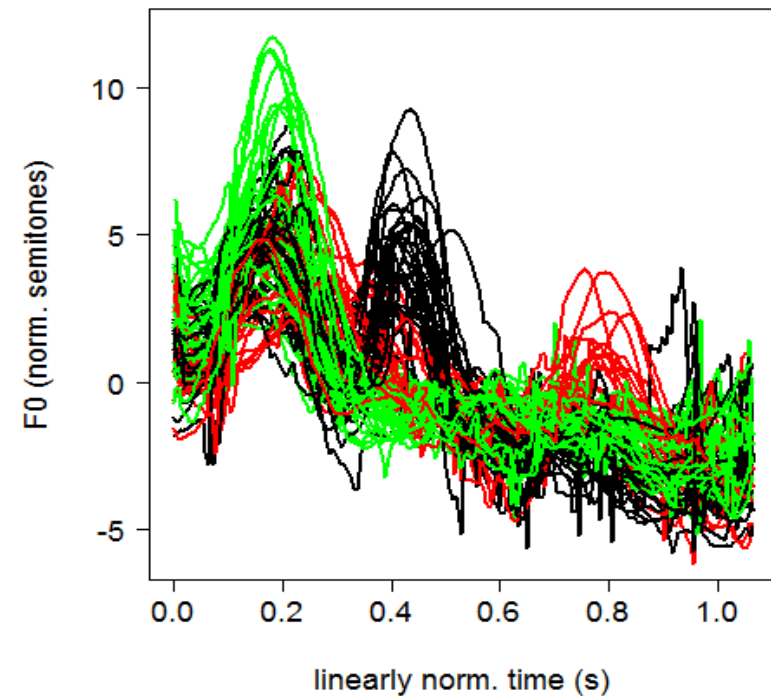
... **15 segments!**

# Linear time normalisation

BEFORE

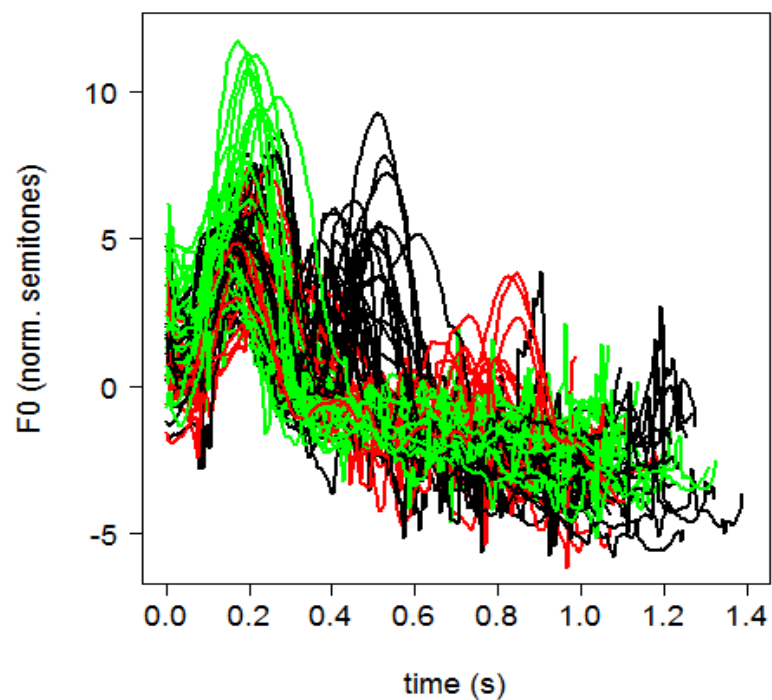


AFTER

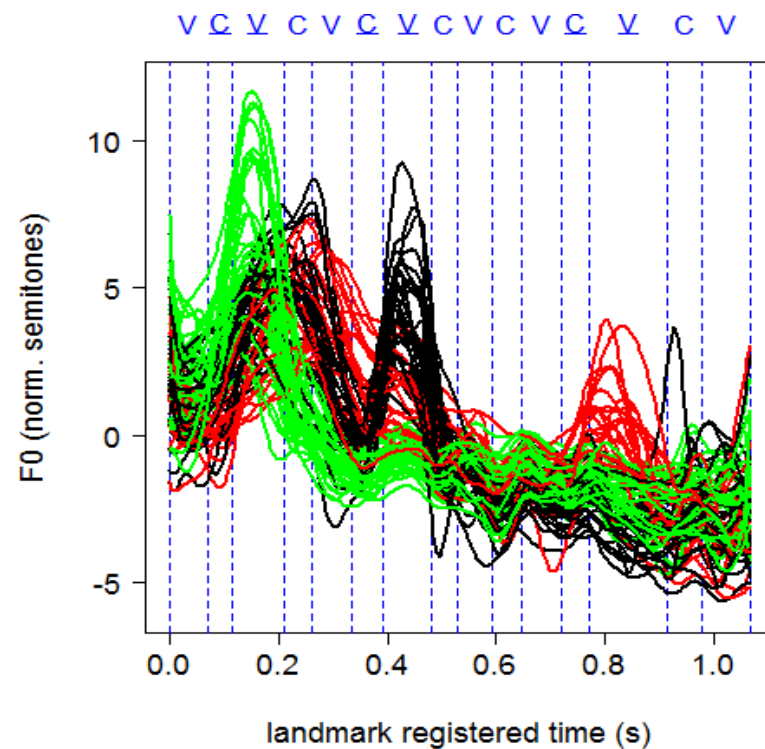


# Landmark registration

BEFORE

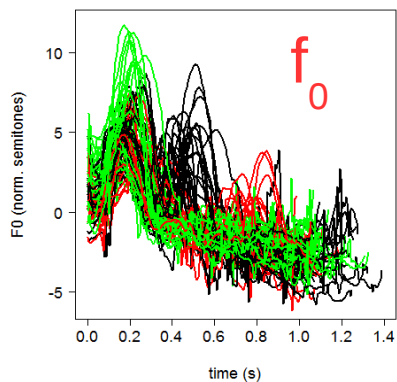


AFTER

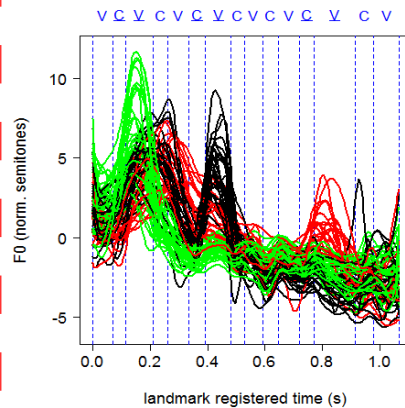


# Using landmark registration

## CURVES



segment  
durations



d1	d2	...	d15
...	...	...	...
...	...	...	...
...	...	...	...

## NUMBERS

FPCA

PCA

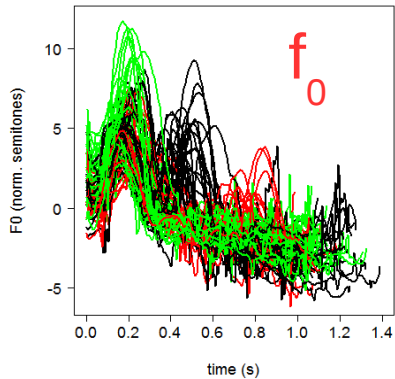
ANOVA

LM

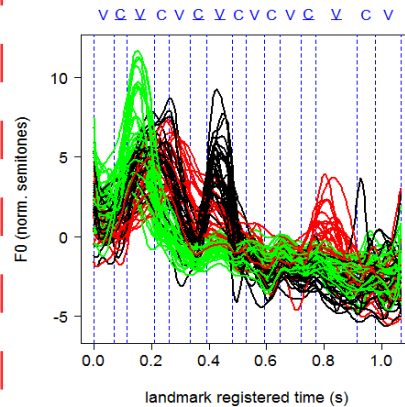
LMER

# Using landmark registration

## CURVES



segment  
durations



d1	d2	...	d15
...	...	...	...
...	...	...	...
...	...	...	...



FPCA



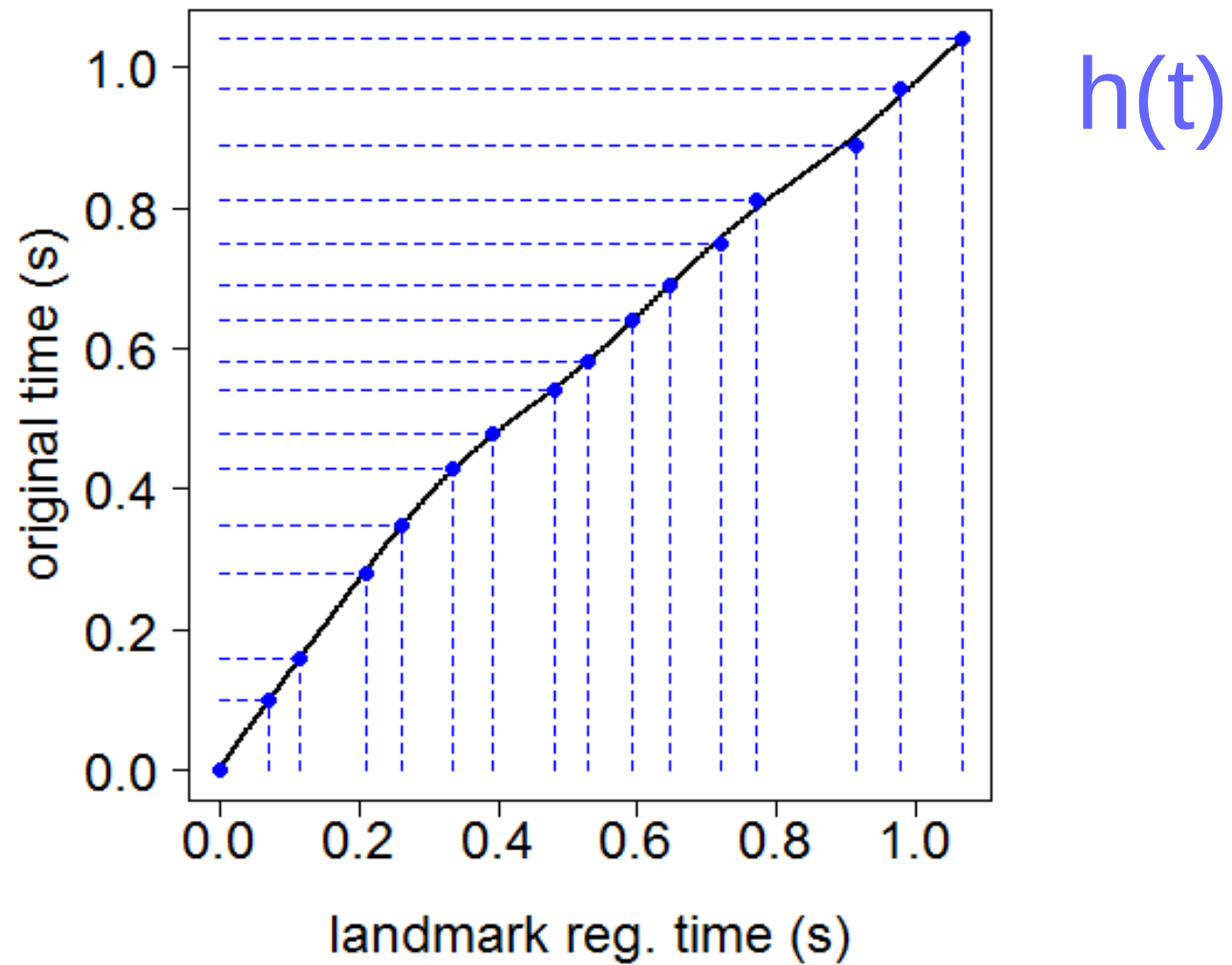
## NUMBERS

ANOVA

LM

LMER

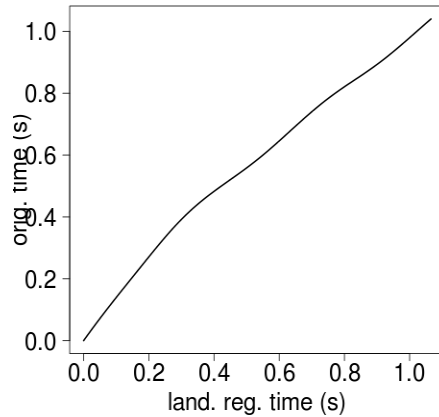
# Inside landmark registration



# Relative log rate

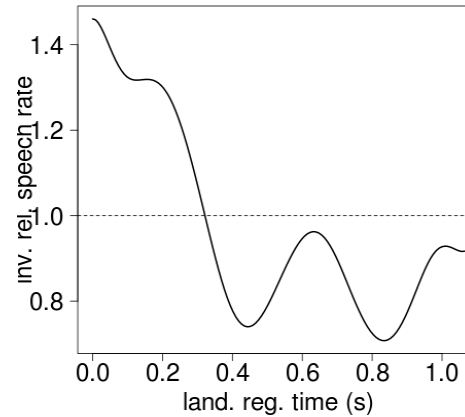
1

$h(t)$



2

$dh(t)/dt$



REVERSIBLE!

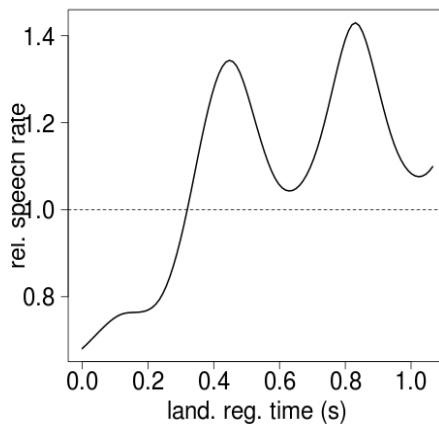
1



4

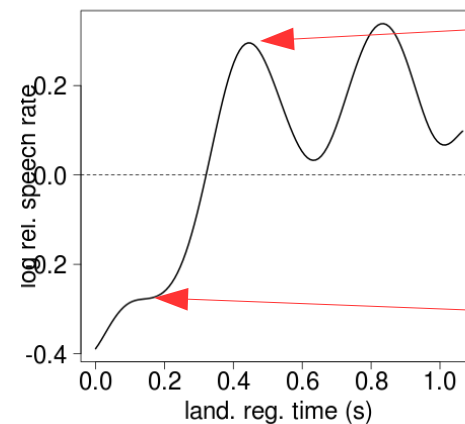
3

$- dh(t)/dt$



4

$-\log dh(t)/dt$



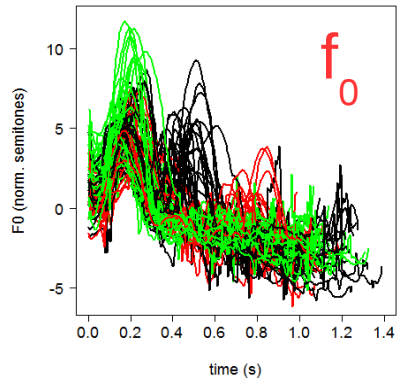
+ 0.25  $\rightarrow$  duration / 1.28

0  $\rightarrow$  same duration

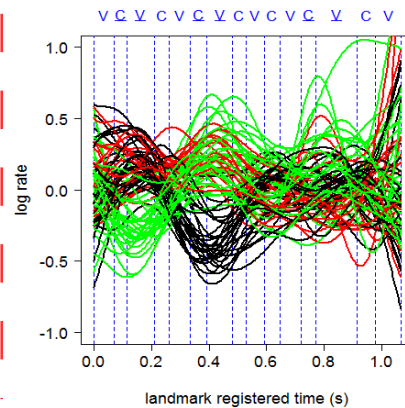
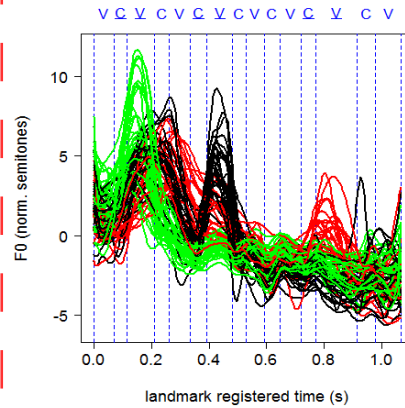
- 0.25  $\rightarrow$  duration \* 1.28

# Using log rates

## CURVES



log rates



2D  
FPCA

## NUMBERS

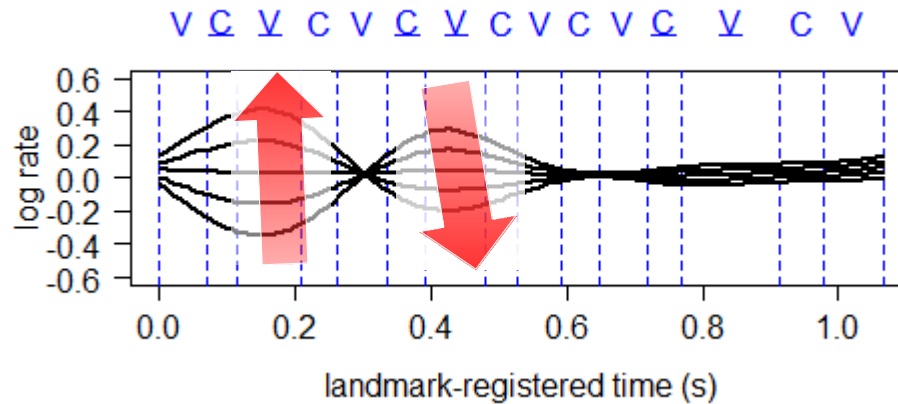
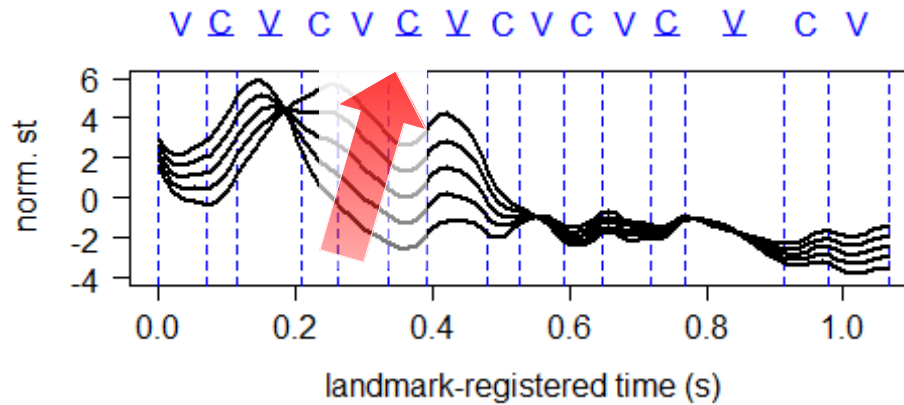
ANOVA

LM

LMER



# PC1 scores

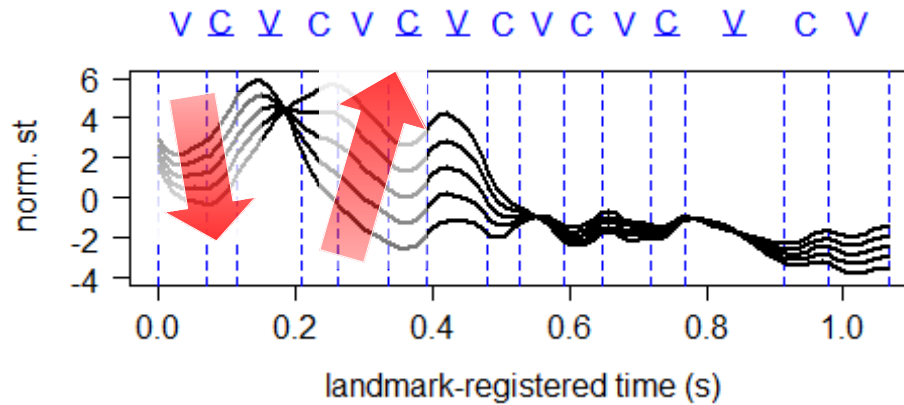


$f_0$

log rates

$$\begin{aligned} &\mu(t) + 2 * PC1(t) \\ &\mu(t) + 1 * PC1(t) \\ &\mu(t) + 0 * PC1(t) \\ &\mu(t) - 1 * PC1(t) \\ &\mu(t) - 2 * PC1(t) \end{aligned}$$

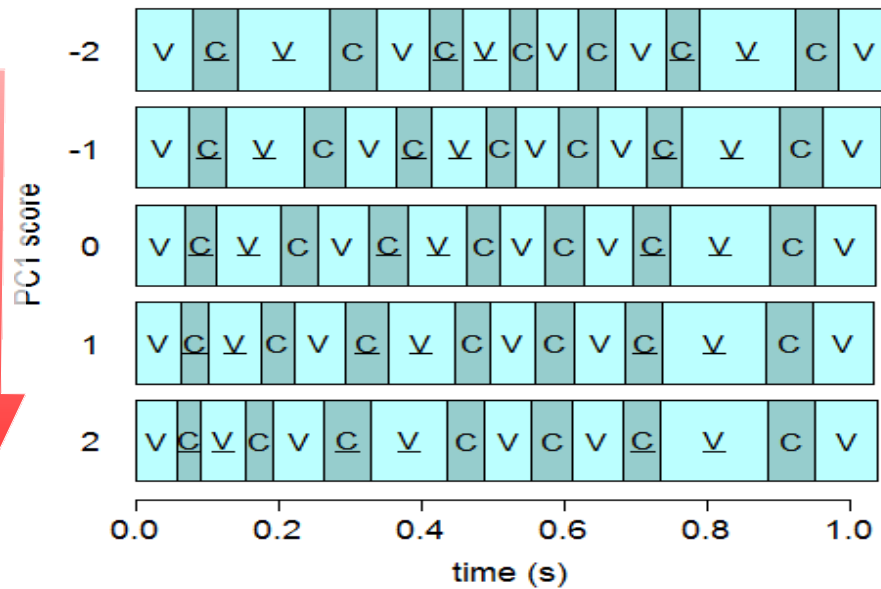
# PC1 scores



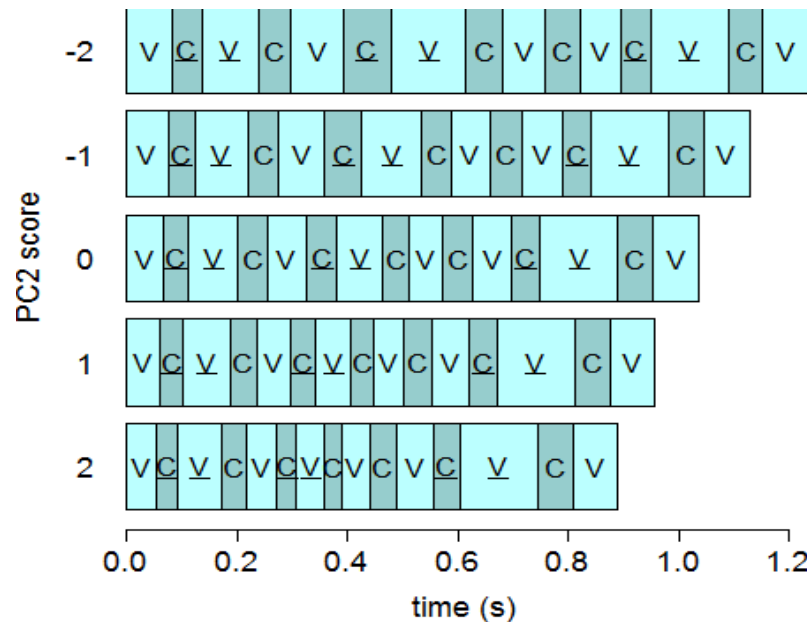
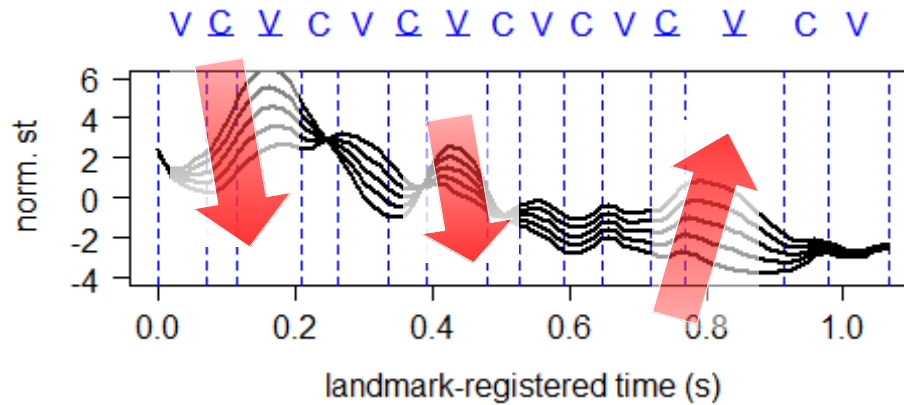
$f_0$

$$\begin{aligned} &\mu(t) + 2 * PC1(t) \\ &\mu(t) + 1 * PC1(t) \\ &\mu(t) + 0 * PC1(t) \\ &\mu(t) - 1 * PC1(t) \\ &\mu(t) - 2 * PC1(t) \end{aligned}$$

segment durations



# PC2 scores



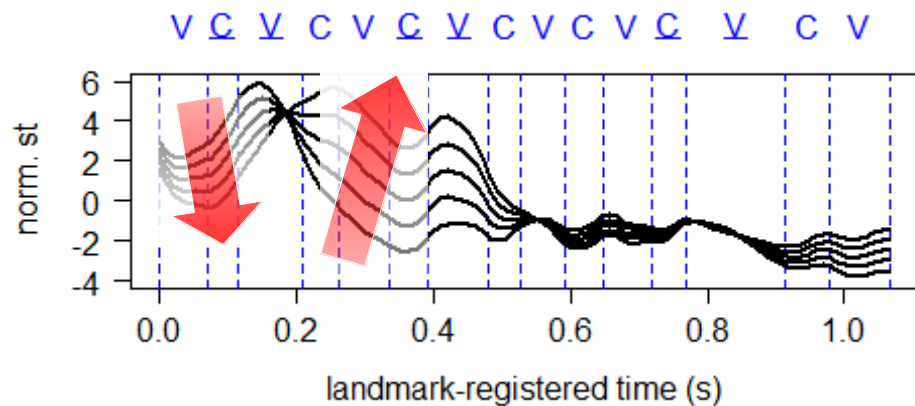
$f_0$

$$\begin{aligned} &\mu(t) + 2 * PC1(t) \\ &\mu(t) + 1 * PC1(t) \\ &\mu(t) + 0 * PC1(t) \\ &\mu(t) - 1 * PC1(t) \\ &\mu(t) - 2 * PC1(t) \end{aligned}$$

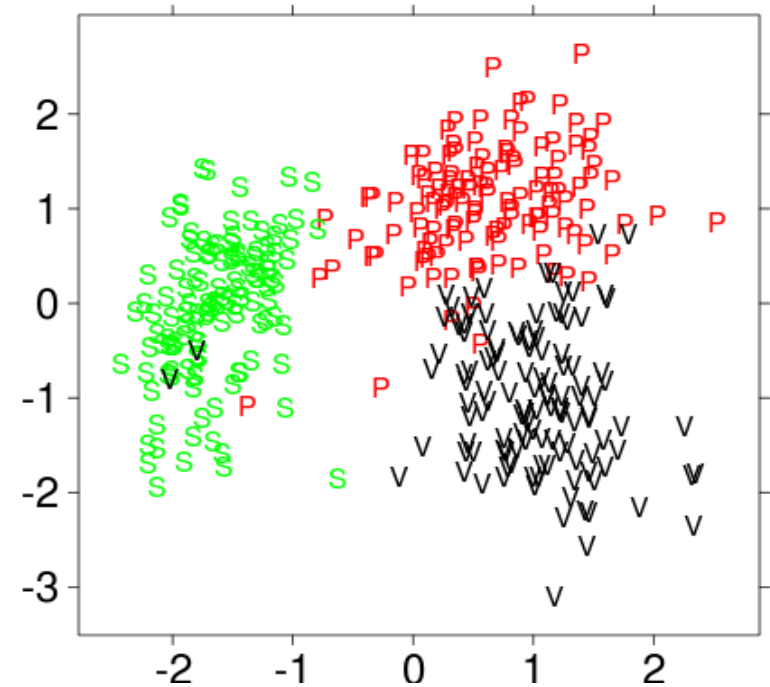
segment durations

# multi-segment curve parametrisation

PC1 score



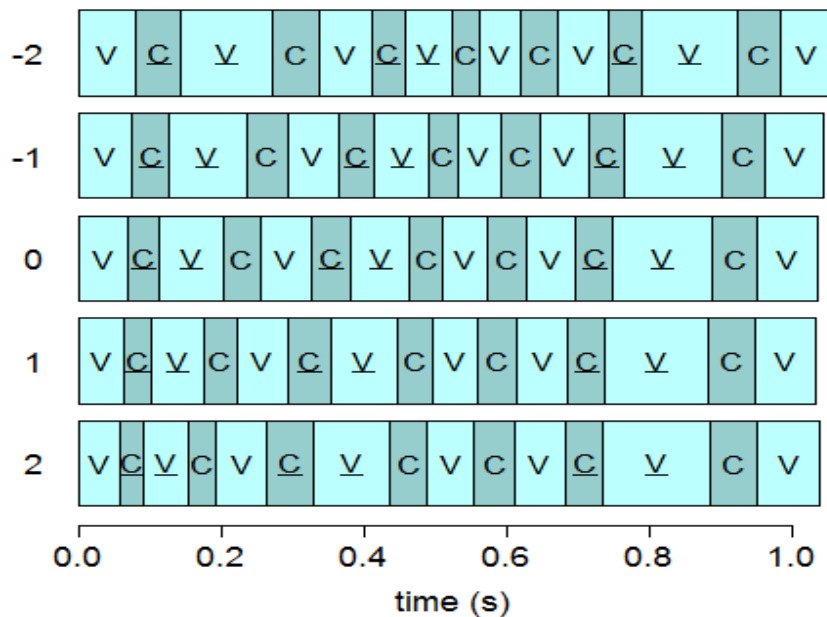
PC2 score



PC1 score

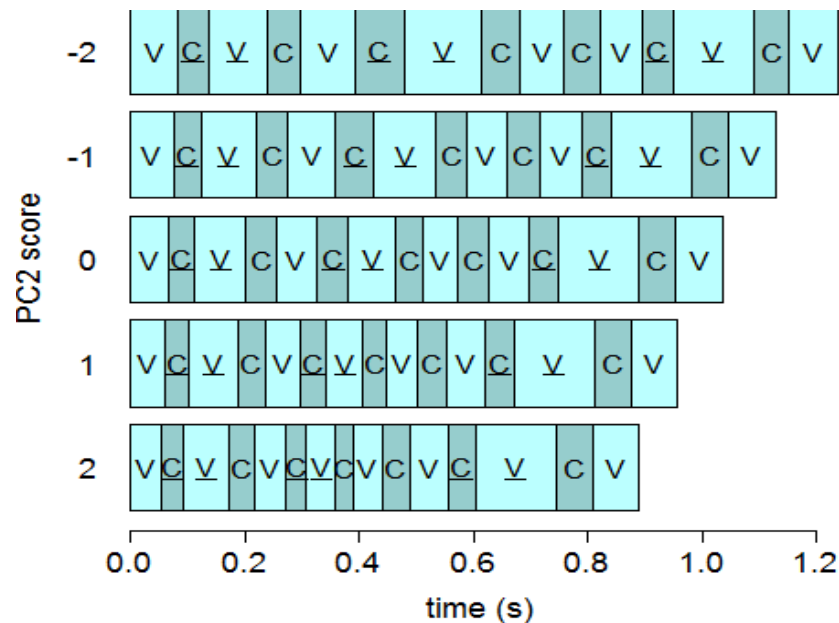
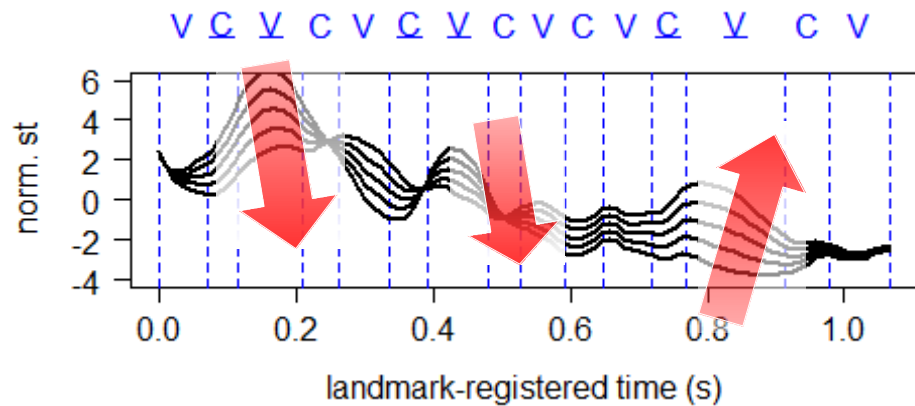


PC1 score

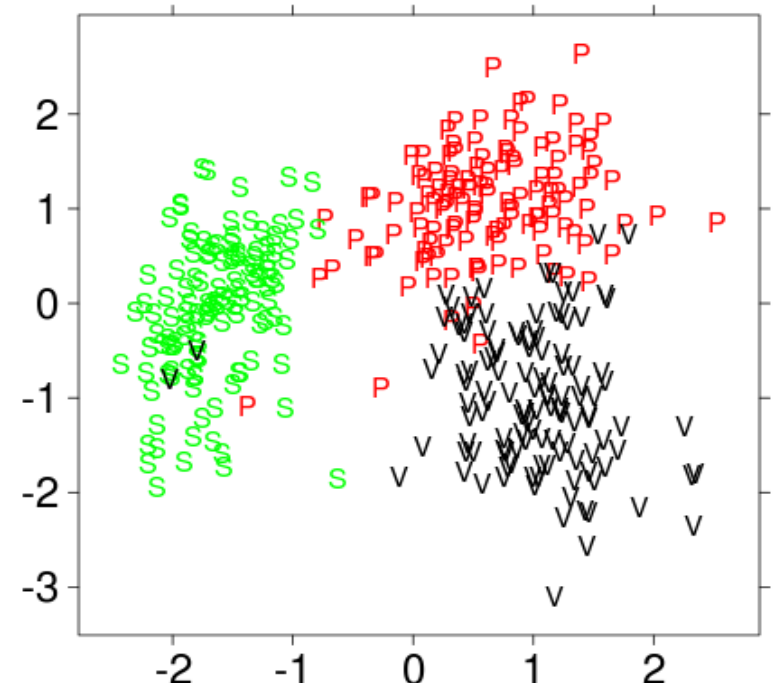


# multi-segment curve parametrisation

PC2 score



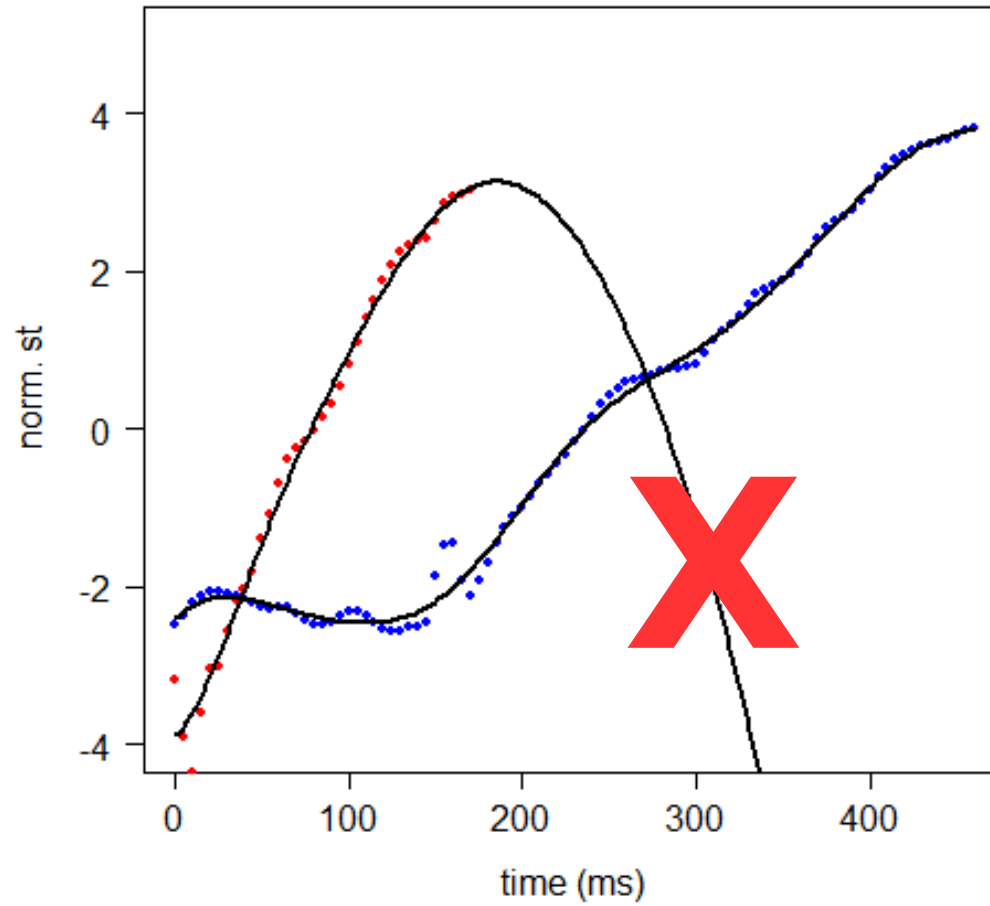
PC2 score



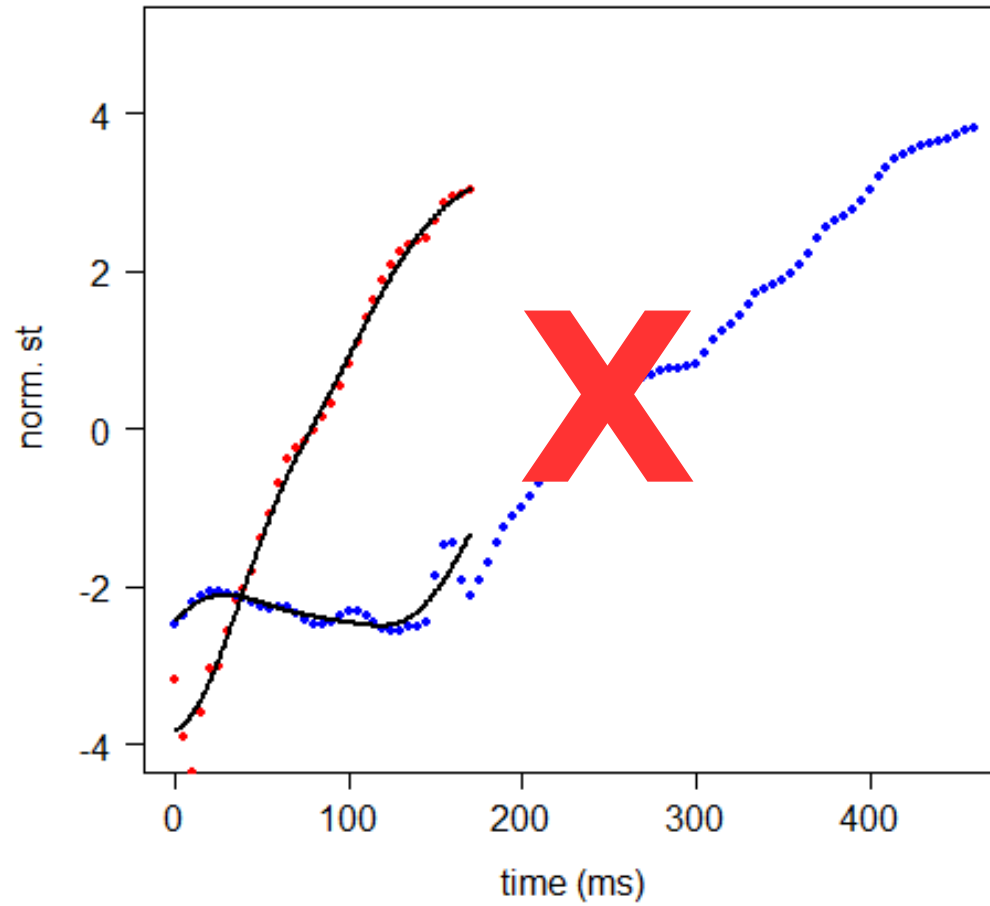
PC1 score

Extra slides

# Take longest duration

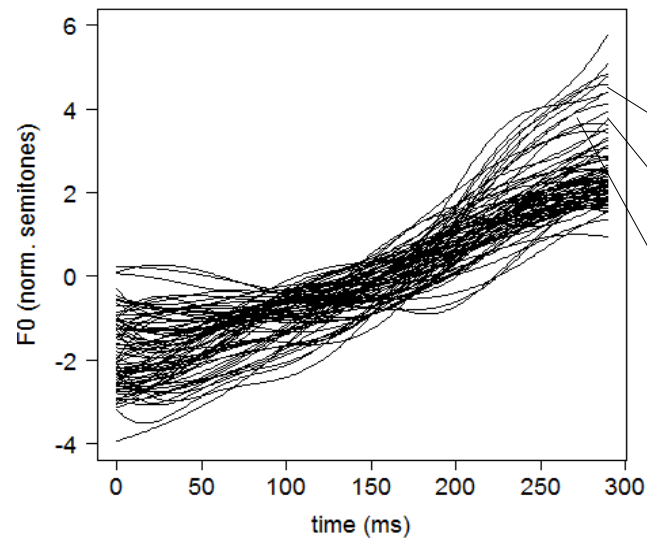


# Take shortest duration

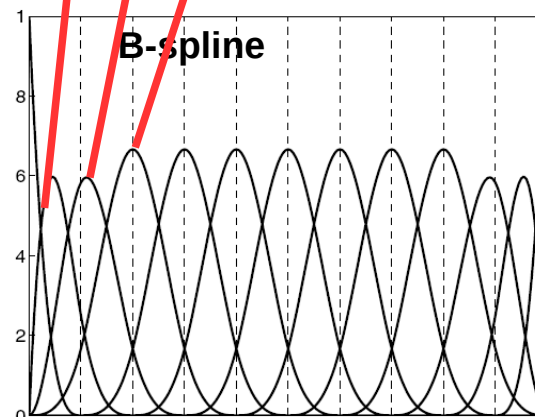




# Principal Component Analysis



c1	c2	c3	...
...	...	...	...
...	...	...	...
...	...	...	...

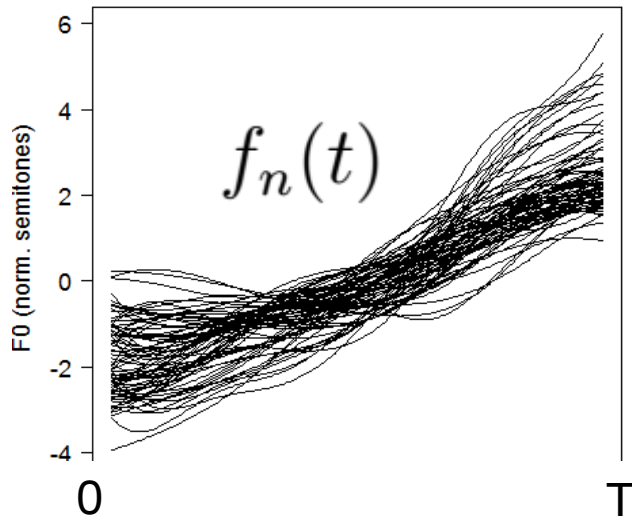


**PCA**

# PCA limitations

- PCA does not use any explicit information related to the curve shapes or the B-splines shapes
- e.g. the sequence of coefficients  $c_1, c_2, \dots$  reflects time adjacency of polynomial components, i.e. overlapping 'hills'

# Functional PCA



$$\max \left\{ \text{var}_n \left( \int_0^T PC1(t) f_n(t) dt \right) \right\}$$

$$\text{subject to } \int_0^T PC1^2(t) = 1$$

- FPCA definition uses the input curves  $f_n(t)$
- FPCA is independent of the B-splines used to smooth  $f_n(t)$