# **Prediction of ICU Readmissions**

Unplanned readmissions to ICU contribute to high health care costs and poor patient outcomes. 6-7% of all ICU cases see a readmission within 72 hours. Machine learning models on electronic health record data can help identify these cases, providing more information about short and long-term risks to clinicians at the time of ICU discharge

## 1. Topic

In this project I'll try to explore how to predict ICU readmission based on publicly available dataset (MIMIC) and optimize the model to get a reasonable performance

#### 2. Dataset

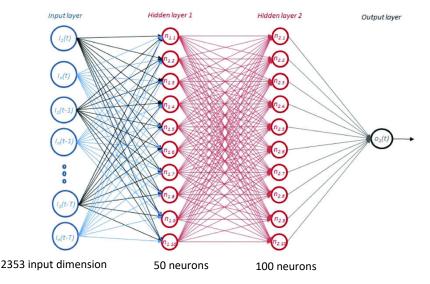
In MIMIC III dataset, (<a href="https://mimic.physionet.org/">https://mimic.physionet.org/</a>), we have a registry developed by the MIT Lab for Computational Physiology, comprising deidentified health data associated with ~40,000 critical care patients. It includes demographics, vital signs, laboratory tests, medications, and more.

In my github page (<a href="https://github.com/ZepengHuo/deep\_learning\_class.git">https://github.com/ZepengHuo/deep\_learning\_class.git</a>), you can find the extracted data, stored in csv file format, named as df\_MASTER\_DATA.csv. It has 2354 features, ranging from heart rate, blood pressure, etc.

In the dataset, you can find out whether a patient has been readmitted in 72 hours, and I'll use that as my training labels

### 3. Neural network model

I used grid search to search all the optimal hyper-parameters (e.g. number of neurons) to train a neural network, code is (GridSearch\_NN.py), result is (Grid\_search\_results.png).



As shown in the figure, I have input variables from the left and one output (readmission or not) on the right.

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```

The grid search result is shown above. And I used the best of each hyper-parameter to train the neural network. The architecture is dense network

X train is 42664 rows × 2353 columns

X test is 10207 rows × 2353 columns

Input layer: 2353

# Hidden layer 1:

• 50 neurons

• Shape of tensor: 2353 \* 50

## Hidden layer 2:

100 neurons

• Shape of tensor: 50 \* 100

Loss function: 'binary\_crossentropy'

Output layer: 1 neuron

## 4. Annotated code

```
import os
os.environ["CUDA DEVICE ORDER"]="PCI BUS ID"
device nb = 2
os.environ["CUDA_VISIBLE_DEVICES"]=str(device_nb)
import pandas as pd
import datetime
from xgboost import XGBClassifier
from sklearn.model selection import GridSearchCV
import tensorflow as tf
from keras import backend as K
import tarfile
import numpy as np
import pickle as cPickle
import os
import wfdb
from datetime import datetime
from datetime import timedelta
import numpy
from sklearn.model selection import GridSearchCV
from keras.models import Sequential
from keras.layers import Dense
from keras.layers import Dropout
from keras.wrappers.scikit_learn import KerasClassifier
from keras.constraints import maxnorm
from sklearn.model_selection import KFold
import numpy as np
from sklearn.metrics import roc_auc_score
from sklearn.metrics import make_scorer
df_o = pd.read_csv("df_MASTER_DATA.csv")
import random
random.seed(9)
random.sample(range(1, 61089), 10000)
#sub-select 20% for testing
test_idx = random.sample(range(df_o.shape[0]), int(df_o.shape[0] * 0.2))
df_test = df_o.loc[test_idx]
train_idx = list(set([ x for x in range(df_o.shape[0])]) - set(test_idx))
df_train = df_o.loc[train_idx]
```

```
best neurons = 50
best optimizer = 'RMSprop'
best init mode = 'lecun uniform'
best batch size = 1024
best_epochs = 50
def create model(neurons=best neurons, optimizer=best optimizer, init mode=best init mode,
batch size=best batch size,
         epochs=best epochs):
 # create model
 model = Sequential()
 model.add(Dense(neurons, input dim=2291, activation='relu',
          kernel_initializer=init_mode, kernel_constraint=maxnorm(4)))
  model.add(Dropout(0.2))
  model.add(Dense(1, kernel initializer=init mode, activation='sigmoid'))
 # Compile model
  model.compile(loss='binary_crossentropy', optimizer=best_optimizer, metrics=['accuracy'])
 #model.compile(loss='binary crossentropy', optimizer='adam')
 return model
#mean impute
#delete HADM_ID, SUBJECT_ID, ETHNICITY, MARITAL_STATUS, INSURANCE, RELIGION, INTIME, OUTTIME
#dummify
def preprocessing(df_labeled):
 #pre-processing, missingness > 0.5
  df_labeled_filtered = df_labeled
 for column in df labeled filtered.columns:
    missing_r = df_labeled[column].isnull().sum()/df_labeled.shape[0]
    if missing_r > 0.5:
      #keep arterial BP
      if not (column.startswith('Arterial_BP') or column.startswith('Mean_Arterial')):
        df_labeled_filtered = df_labeled_filtered.drop(columns=[column])
  #mean impute
  df labeled filtered = df labeled filtered.fillna(df labeled filtered.mean())
  #delete HADM ID, SUBJECT ID, ETHNICITY, MARITAL STATUS, INSURANCE, RELIGION, INTIME, OUTTIME
  colmuns_todrop = ['Unnamed: 0','FIRST_CAREUNIT','ETHNICITY','MARITAL_STATUS','HADM_ID', 'ICUSTAY_ID',
           'INSURANCE','RELIGION','INTIME', 'OUTTIME', 'SUBJECT_ID','LANGUAGE','Time_To_readmission',
           'IsReadmitted 24hrs', 'IsReadmitted 48hrs', 'IsReadmitted 7days',
           'IsReadmitted_30days', 'IsReadmitted_Bounceback']
 #don't delete ==> 'IsReadmitted 72hrs',
 for column_d in colmuns_todrop:
      df_labeled_filtered = df_labeled_filtered.drop(columns=column_d)
```

```
except:
       pass
  #dummify
  df_labeled_filtered = pd.get_dummies(df_labeled_filtered)
  return df labeled filtered
def fivefold_auroc(df_labeled_filtered):
  #Up- and down-sample for imbalance class
  X_1 = df_labeled_filtered[df_labeled_filtered['IsReadmitted_72hrs'] == 1]
  X 0 = df labeled filtered[df labeled filtered['lsReadmitted 72hrs'] == 0].iloc[:X 1.shape[0],:]
  X_{all} = X_{0.append}(X_{1})
  X_all = df_labeled_filtered
  y = X all['IsReadmitted 72hrs']
  X = X_all.drop(columns=['IsReadmitted_72hrs'])
  #X = df_labeled_filtered.drop(columns=['label'])
  #y = df labeled filtered['label']
  kf = KFold(n_splits=5, shuffle=True)
  kf.get_n_splits(X)
  fold_num = 1
  for train_index, test_index in kf.split(X):
    #print("TRAIN:", train_index, "TEST:", test_index)
    model = KerasClassifier (build\_fn=create\_model, epochs=best\_epochs, batch\_size=best\_batch\_size, verbose=0)
    model.fit(X.iloc[train_index], y.iloc[train_index])
    print('Fold %d' %fold_num, end=' ')
    fold_num += 1
    print(roc_auc_score(y.iloc[test_index], model.predict_proba(X.iloc[test_index])[:,1]))
df_filtered = preprocessing(df_train)
fivefold_auroc(df_filtered)
```

I have the code to run a 5 fold cross validation, and iterate through each of them, each time a 20% of data will be used as testing set, and the remaining will be used as training, until all data has been used.

### 5. Visualization/demonstration

Run the commend in terminal:

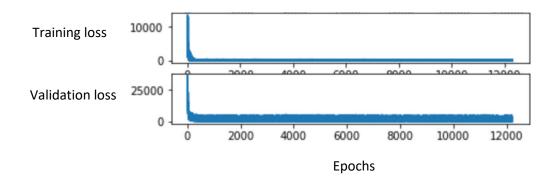
# python medical\_prediction.py

And you will see input and output window. In my project, I'm planning to use medical data registry to predict whether the patient will be readmitted to an ICU (a minor revision from last video). Since readmission to an ICU in a short time will incur unnecessary overhead and potentially influence the mortality rate. So if the model can predict if a patient will be readmitted at what time, it can largely reduce the patient risk and increase survival rate

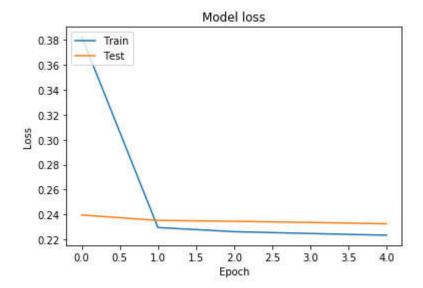
The demo will first require you generate test patient data from a test set (data here is a mockup), and then it will predict the readmission for those patients. Lastly it will plot the result of how well the model predicts.

Github link: https://github.com/ZepengHuo/deep\_learning\_class.git

Video link: https://youtu.be/KkjTmxNwnSs



For improvement, I've trained a LSTM model on only the time-series data in the MIMIC dataset The loss look like this:



However, when I jointly train them together, by concatenation of LSTM to the dense network, the performance didn't improve much, since the original accuracy is already really high (~0.96). So I concluded the dense network can already prediction readmission in an ideal performance. The code for LSTM implementation:

# coding: utf-8
# In[8]:

import pandas as pd
import datetime
from xgboost import XGBClassifier
from sklearn.model\_selection import GridSearchCV
import tarfile
import numpy as np
import \_pickle as cPickle
import os
import wfdb
from datetime import datetime
from datetime import timedelta
from keras.models import LSTM

from keras.layers import Dense from keras import backend as K

```
# In[2]:
#df_CE = pd.read_csv('all_chart_events.csv')
#df_CE.columns = ['icustay_id', 'itemid', 'valuenum', 'charttime']
# In[3]:
#df_itemID = pd.read_csv('unique_chartevent_items.csv')
#df_itemID.columns = ['itemid', 'measurement']
# In[9]:
df_trainID = pd.read_csv('df_train_subjects.csv')
df_testID = pd.read_csv('df_test_subjects.csv')
df_valID = pd.read_csv('df_val_subjects.csv')
# In[10]:
df_trainID
## bounce back has 6% of the data
# In[5]:
import os
path, dirs, files = next(os.walk("./each_icustay_csv"))
file_count = len(files)
file_count
# In[6]:
import os
path, dirs, files = next(os.walk("./feature_folder"))
file_count = len(files)
file_count
```

```
## feature space has non-sparse ratio mean: 23%, max 51%, min 0%
## get features prepared for LSTM (training set)
# In[22]:
#nan is from mean, std, that there's no mean, std (just one value in a window)
#0 is from max, min, count
x train = []
y_train = []
for index, row in df_trainID.iterrows():
  icustay_id = row['ICUSTAY_ID']
  #see if a icu stay has a feature file
  try:
    outfile = './feature_folder/feature_space_%s.file.npy'%(str(icustay_id))
    all_feature_vectors = np.load(outfile)
    #change NaN to 0
    all_feature_vectors = np.nan_to_num(all_feature_vectors)
    #count non-zero
    #non_0 = np.count_nonzero(np.nan_to_num(all_feature_vectors))
    #non_0_ratio = non_0/(all_feature_vectors.shape[0]*all_feature_vectors.shape[1])
    x_train.append(all_feature_vectors)
    y_train.append(row['IsReadmitted_Bounceback'])
  except FileNotFoundError:
    continue
x_train = np.asarray(x_train)
y_train = np.asarray(y_train)
# In[24]:
```

```
x_train.shape
## get features prepared for LSTM (validation set)
# In[8]:
#nan is from mean, std, that there's no mean, std (just one value in a window)
#0 is from max, min, count
x valid = []
y_valid = []
for index, row in df_valID.iterrows():
  icustay_id = row['ICUSTAY_ID']
  #see if a icu stay has a feature file
  try:
    outfile = './feature_folder/feature_space_%s.file.npy'%(str(icustay_id))
    all_feature_vectors = np.load(outfile)
    #change NaN to 0
    all_feature_vectors = np.nan_to_num(all_feature_vectors)
    #count non-zero
    #non_0 = np.count_nonzero(np.nan_to_num(all_feature_vectors))
    #non_0_ratio = non_0/(all_feature_vectors.shape[0]*all_feature_vectors.shape[1])
    x_valid.append(all_feature_vectors)
    y_valid.append(row['IsReadmitted_Bounceback'])
  except FileNotFoundError:
    continue
x_valid = np.asarray(x_valid)
y_valid = np.asarray(y_valid)
## get features prepared for LSTM (testing set)
```

```
# In[9]:
#nan is from mean, std, that there's no mean, std (just one value in a window)
#0 is from max, min, count
x_test = []
y_test = []
for index, row in df_testID.iterrows():
  icustay_id = row['ICUSTAY_ID']
  #see if a icu stay has a feature file
    outfile = './feature_folder/feature_space_%s.file.npy'%(str(icustay_id))
    all_feature_vectors = np.load(outfile)
    #change NaN to 0
    all_feature_vectors = np.nan_to_num(all_feature_vectors)
    #count non-zero
    #non_0 = np.count_nonzero(np.nan_to_num(all_feature_vectors))
    #non_0_ratio = non_0/(all_feature_vectors.shape[0]*all_feature_vectors.shape[1])
    x_test.append(all_feature_vectors)
    y test.append(row['IsReadmitted Bounceback'])
  except FileNotFoundError:
    continue
x_test = np.asarray(x_test)
y_test = np.asarray(y_test)
## test: not output along time dimension, but along neurons dimesnion
# In[17]:
import os
os.environ["CUDA_DEVICE_ORDER"]="PCI_BUS_ID"
device nb = '7'
```

```
os.environ["CUDA_VISIBLE_DEVICES"]=str(device_nb)
from keras.models import Model
from keras.layers import Input
from keras.layers import LSTM, Dense
from numpy import array
# define model
inputs1 = Input(shape=(3, 1))
#lstm1 = LSTM(2, return_state=True)(inputs1)
lstm1 = LSTM(10, name='lstm')(inputs1)
output = Dense(1)(lstm1)
model = Model(inputs=inputs1, outputs=output)
# define input data
data = array([[0.8, 0.9, 0.7],[0.1, 0.2, 0.3]]).reshape((2,3,1))
# make and show prediction
prediction=model.predict(data)
print(prediction)
# In[18]:
df = pd.DataFrame(data.reshape(2,-1))
df.values
# In[6]:
model.summary()
# In[20]:
layer name = 'Istm'
intermediate layer model output = Model(inputs=model.input,
                 outputs=model.get_layer(layer_name).output)
intermediate_output = intermediate_layer_model_output.predict(df.values.reshape(2,3,1))
# In[21]:
```

```
intermediate\_output
# In[25]:
pd.DataFrame(intermediate_output)
# In[2]:
from numba import cuda
cuda.select_device(0)
cuda.close()
# In[26]:
cohortvector_train = pd.read_csv('cohortvector_train.csv')
# In[27]:
cohortvector_train
##LSTM
# In[10]:
import os
os.environ["CUDA_DEVICE_ORDER"]="PCI_BUS_ID"
device_nb = 2
os.environ["CUDA_VISIBLE_DEVICES"]=str(device_nb)
model = Sequential()
#model.add(LSTM(32, return_sequences=True, input_shape=(48, 30)))
model.add(LSTM(2048, input_shape=(48, 30)))
model.add(Dense(1, activation='sigmoid'))
model.compile(loss='binary_crossentropy', optimizer='adam')
```

```
history = model.fit(x_train, y_train, epochs=5, batch_size=2014, verbose=2, validation_data=(x_valid,
y_valid))
y_pred_proba = model.predict_proba(x_test)
y_pred = model.predict(x_test)
# In[23]:
from sklearn.metrics import roc_auc_score
roc_auc_score(y_test, y_pred_proba)
# In[12]:
import matplotlib.pyplot as plt
# Plot training & validation loss values
plt.plot(history.history['loss'])
plt.plot(history.history['val_loss'])
plt.title('Model loss')
plt.ylabel('Loss')
plt.xlabel('Epoch')
plt.legend(['Train', 'Test'], loc='upper left')
plt.show()
# In[22]:
y_pred_proba
# In[21]:
y_pred
# In[6]:
from numba import cuda
cuda.select_device(0)
cuda.close()
```

```
# In[15]:
itemid1 = 211
itemid2 = 224697
icustay_id = 294638
intime = pd.to_datetime(df_trainID[df_trainID['ICUSTAY_ID'] == icustay_id]['INTIME'].values[0])
outtime = pd.to_datetime(df_trainID[df_trainID['ICUSTAY_ID'] == icustay_id]['OUTTIME'].values[0])
with open("./each_icustay_csv/" + str(icustay_id) + '.csv') as f0:
  Adf_ICUstay_CE = pd.read_csv(f0)
onetime = pd.to datetime(Adf ICUstay CE[(Adf ICUstay CE['itemid'] == itemid1) |
(Adf ICUstay CE['itemid'] == itemid2)]['charttime']).values[0]
df onechannel = Adf ICUstay CE[(Adf ICUstay CE['itemid'] == itemid1) | (Adf ICUstay CE['itemid']
== itemid2)]
#Adf_ICUstay_CE[(Adf_ICUstay_CE['itemid'] == itemid1) | (Adf_ICUstay_CE['itemid'] == itemid2)]
# In[16]:
pd.to_datetime(df_onechannel['charttime'])
df onechannel['charttime'] = pd.to datetime(df onechannel['charttime'])
df_onechannel['charttime'] .values[0]
# In[17]:
#given a icu stay's df, and what itemid, to get features in 48h
def OnefeaturesIN48h(Adf ICUstay CE, itemid1, itemid2, intime, outtime):
  feature_vector = []
```

```
df_onechannel = Adf_ICUstay_CE[(Adf_ICUstay_CE['itemid'] == itemid1) | (Adf_ICUstay_CE['itemid']
== itemid2)]
  #change 'charttime' str to datetime object
  df_onechannel['charttime'] = pd.to_datetime(df_onechannel['charttime'])
  #TO DO: first impute all of them
  end_time = intime
  #first 24 hours
  for pasthours in range(0, 24):
    start_time = end_time
    end_time = start_time + np.timedelta64(1, 'h')
    df_InATimewindow = df_onechannel[ (start_time < df_onechannel['charttime']) &
(df_onechannel['charttime'] < end_time) ]</pre>
    #add mean feature
    try:
      mean_feature = np.mean(df_InATimewindow['valuenum'].values)
    except ValueError:
      mean_feature = 0
    #add std feature
    try:
      std feature = np.std(df InATimewindow['valuenum'].values)
    except ValueError:
      std feature = 0
    #add max feature
    try:
      max_feature = np.amax(df_InATimewindow['valuenum'].values)
    except ValueError:
      max_feature = 0
    #add min feature
    try:
      min_feature = np.amin(df_InATimewindow['valuenum'].values)
    except ValueError:
      min_feature = 0
    #add count feature
    try:
```

```
count feature = df InATimewindow['valuenum'].values.shape[0]
    except ValueError:
      count_feature = 0
    features 1h window = [mean_feature, std_feature, max_feature, min_feature, count_feature]
    feature_vector.append(features_1h_window)
  end_time = outtime - np.timedelta64(24, 'h')
  #last 24 hours
 for pasthours in range(0, 24):
    start_time = end_time
    end_time = start_time + np.timedelta64(1, 'h')
    df_InATimewindow = df_onechannel[ (start_time < df_onechannel['charttime']) &
(df_onechannel['charttime'] < end_time) ]</pre>
    #add mean feature
    try:
      mean feature = np.mean(df InATimewindow['valuenum'].values)
    except ValueError:
      mean_feature = 0
    #add std feature
      std feature = np.std(df InATimewindow['valuenum'].values)
    except ValueError:
      std_feature = 0
    #add max feature
    try:
      max_feature = np.amax(df_InATimewindow['valuenum'].values)
    except ValueError:
      max_feature = 0
    #add min feature
      min feature = np.amin(df InATimewindow['valuenum'].values)
    except ValueError:
      min_feature = 0
    #add count feature
```

```
try:
      count_feature = df_InATimewindow['valuenum'].values.shape[0]
    except ValueError:
      count_feature = 0
    features 1h window = [mean_feature, std_feature, max_feature, min_feature, count_feature]
    feature_vector.append(features_1h_window)
  return feature_vector
# In[18]:
def AICUstay_goodchannels(df_CE, df_itemID, icustay_id):
  goodchannels = []
  for item count in df CE[df CE['icustay id'] == icustay id]['itemid'].value counts().iteritems():
    itemid = item count[0]
    item name = df itemID[df itemID['itemid']==itemid]['measurement'].values[0]
    goodratio = missing_ratio(df_CE, icustay_id, itemid)
    if goodratio >= 0.5:
      goodchannels.append(item_name)
      #print(item_name, goodratio)
  return goodchannels
# In[19]:
def missing_ratio(df_CE, icustay_id, itemid):
  return (df CE[(df CE['icustay id'] == icustay id) & (df CE['itemid'] ==
itemid)]['valuenum'].shape[0]-df_CE[(df_CE['icustay_id'] == icustay_id) & (df_CE['itemid'] ==
itemid)]['valuenum'].isnull().sum()) / df_CE[(df_CE['icustay_id'] == icustay_id) & (df_CE['itemid'] ==
itemid)]['valuenum'].shape[0]
```