Contents

1	Introduction	2
	1.1 Conventions	2
2	Basic Definitions	2
	2.1 λ -calculus $\lambda 2$	2
	2.2 first-order logic	4
	2.3 two-counter automaton	6
3	System P	7
	3.1 Definitions	7
	3.2 CONS is undecidable	9
4	INHAR is undecidable	17

1 Introduction

1.1 Conventions

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\lambda \text{2-types: } t, t', t'', t_1, t_2, \ldots, s, s_1, s_2, \ldots \lambda \text{2-terms: } M, M', M_1, M_2, \ldots, N, N', N_1, N_2, \ldots first-order terms: first-order formulas: \varphi, \psi, type-variables: \alpha, \alpha, \alpha_1, \alpha_2, \ldots, \beta, b, \ldots value-variables: x, x_1, x_2, \ldots Predicate-symbols: P, P^1, P^2, \ldots P-variables: P-formulas: A, B two-counter states: Q, Q', \widehat{Q}, Q_f, Q_1, Q_2, \ldots
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2 Basic Definitions

2.1 λ -calculus $\lambda 2$

In the following let $\mathcal{V}_T = \{\alpha, a, \beta, b, ...\}$ be a countably infinite set (of type-variables) and $\mathcal{V}_V = \{x, x_1, x_2, ...\}$ be a countably infinite set (of value-variables).

Definition 1. The set of all $\lambda 2$ types over \mathcal{V}_T , denoted by $T_{\lambda 2}$, is the smallest set T satisfying the following conditions:

- $\mathcal{V}_T \subseteq \mathcal{T}$,
- if $t_1, t_2 \in T$ then $(t_1 \to t_2) \in T$, and
- if $t \in T$ and $\alpha \in \mathcal{V}_T$ then $\forall \alpha.t \in T$.

The set of all $\lambda 2$ terms over \mathcal{V}_T and \mathcal{V}_V , denoted by $\Lambda_{T_{\lambda_2}}$, is the smallest set Λ_T satisfying the following conditions:

- $\mathcal{V}_V \subseteq \Lambda_{\mathrm{T}}$,
- if $M_1, M_2 \in \Lambda_T$ then $M_1 M_2 \in \Lambda_T$,
- if $x \in \mathcal{V}_V$, $t \in T_{\lambda 2}$, and $M \in \Lambda_T$ then $\lambda x : t : M \in \Lambda_T$,
- if $\alpha \in \mathcal{V}_T$ and $M \in \Lambda_T$ then $\Lambda \alpha. M \in \Lambda_T$, and
- if $M \in \Lambda_T$ and $t \in T_{\lambda 2}$ then $M t \in \Lambda_T$.

If we have a type of the form $(t_1 \to (t_2 \to (\cdots \to (t_{n-1} \to t_n) \cdots)))$ we will often omit the brackets and just write $(t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n)$ or $t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n$ instead.

Definition 2. Let $t \in T_{\lambda 2}$. The <u>set of free variables of t</u>, denoted by FV(t), is defined inductively as follows:

$$FV(t) = \begin{cases} \{a\} & \text{if } t = a \\ FV(t_1) \cup FV(t_2) & \text{if } t = t_1 \to t_2 \\ FV(t') \setminus \{\alpha\} & \text{if } t = \forall \alpha.t' \end{cases}$$

Let $M \in \Lambda_{T_{\lambda_2}}$. The <u>set of free variables of M,</u> denoted by FV(M), is defined inductively as follows:

$$FV(M) = \begin{cases} \{x\} & \text{if } M = x \\ FV(M_1) \cup FV(M_2) & \text{if } M = M_1 M_2 \\ FV(M') \setminus \{x\} & \text{if } M = \lambda x : t.M' \\ FV(M') & \text{if } M = \Lambda \alpha.M' \\ FV(M') & \text{if } M = M' t \end{cases}$$

Definition 3. Let $\mathcal{V} = \{x_1, \dots, x_n\}$ be a finite subset of \mathcal{V}_V and $t_1, \dots, t_n \in \Lambda_{T_{\lambda_2}}$. A $\underline{\lambda_2}$ -basis $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$ is a mapping from \mathcal{V} to T_{λ_2} . If the kind of basis is clear from the context we abbreviate λ_2 -basis to basis.

The free variables of a basis Γ , denoted by $FV(\Gamma)$, are $\bigcup \{FV(t) \mid (x:t) \in \Gamma\}$.

For a basis Γ and another basis Σ , $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$, and $t \in T_{\lambda 2}$ we will abbreviate $\Gamma \cup \{(x:t)\}$ to $\Gamma, x:t$ and $\Gamma \cup \Sigma$ to Γ, Σ .

Definition 4. Let M be in $\Lambda_{\Gamma_{\lambda_2}}$, t in Γ_{λ_2} , and Γ be a basis. A statement M:t is derivable from Γ , denoted by $\Gamma \vdash M:t$, if M:t can be produced using the following rules.

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, x: t \vdash x: t \\ \\ (\lambda\text{-Introduction}) & \frac{\Gamma, x: t_1 \vdash M: t_2}{\Gamma \vdash \lambda x: t_1.M: t_1 \to t_2} \\ \\ (\lambda\text{-Elimination}) & \frac{\Gamma \vdash M_1: t_1 \to t_2 \quad \Gamma \vdash M_2: t_1}{\Gamma \vdash M_1 M_2: t_2} \\ \\ (\forall\text{-Introduction}) & \frac{\Gamma \vdash M: t}{\Gamma \vdash \Lambda \alpha.M: \forall \alpha.t} \qquad \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall\text{-Elimination}) & \frac{\Gamma \vdash M: \forall \alpha.t}{\Gamma \vdash M \: t': t \: [\alpha:=t']} \end{array}$$

Definition 5. The inhabitation problem for $\lambda 2$, denoted by **INHAB**, is defined as follows. Given a $\lambda 2$ type t.

Is there a $\lambda 2$ term M such that $\emptyset \vdash M : t$?

But we can rephrase this problem so that it becomes more general: Given a basis Γ and a $\lambda 2$ type t.

Is there a
$$\lambda 2$$
 term M such that $\Gamma \vdash M : t$?

Obviously the second version is a special case of the first one. For the other direction consider a basis $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$ and a $\lambda 2$ type t. Clearly, for every term $M, \Gamma \vdash M : t$ holds iff $\emptyset \vdash \lambda x_1 : t_1 \dots \lambda x_n : t_n M : t_1 \to \dots \to t_n \to t$.

2.2 first-order logic

Definition 6. A <u>ranked set</u> is a tuple (Σ, rk) , where Σ is a countable set and $rk: \Sigma \to \mathbb{N}$ is a function that maps every symbol from Σ to a natural number (its rank).

If the function rk is understood we will just write Σ instead of (Σ, rk) . The set of all elements in Σ with a certain rank k, denoted by $\Sigma^{(k)}$, is defined as $\Sigma^{(k)} := rk^{-1}(k)$.

For the remainder of this subsection let $\mathcal{V} = \{y, y_1, y_2, \dots\}$ be a countable set (of variables), \mathcal{F} a ranked set (of function symbols), and \mathcal{P} a ranked set (of predicate symbols).

Definition 7. The set of <u>terms over V and F</u>, denoted by $T_{(V,F)}$, is the smallest set T satisfying the following conditions:

- $\mathcal{V} \subseteq \mathcal{T}$, and
- for every $k \in \mathbb{N}$, if $f \in \mathcal{F}^{(k)}$ and $t_1, t_2, \ldots, t_k \in \mathcal{T}$ then $f(t_1, t_2, \ldots, t_k) \in \mathcal{T}$.

The set of <u>first-order formulas over V, F, and P, denoted by $\mathcal{L}_{(V,F,P)}$, is the smallest set \mathcal{L} satisfying the following conditions:</u>

- for every $k \in \mathbb{N}$, if $P \in \mathcal{P}^{(k)}$ and $t_1, t_2, \ldots, t_k \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ then $P(t_1, t_2, \ldots, t_k) \in \mathcal{L}$.
- If $\varphi, \psi \in \mathcal{L}$ then $(\varphi \wedge \psi)$, $(\varphi \vee \psi)$, $\neg \varphi \in \mathcal{L}$, and
- if $y \in \mathcal{V}$ and $\varphi \in \mathcal{L}$ then $\exists y.\varphi, \forall y.\varphi \in \mathcal{L}$.

We introduce an additional binary operation \rightarrow on formulas, where for some φ , $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ the formula $(\varphi \rightarrow \psi)$ is defined as $(\neg \varphi \lor \psi)$, if we have a formula of the form $(\varphi_1 \rightarrow (\varphi_2 \rightarrow (\cdots \rightarrow (\varphi_{n-1} \rightarrow \varphi_n) \cdots)))$ we will often omit the brackets and just write $(\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n)$ or $\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n$ instead.

For nullary relation symbols P we will abbreviate P() to P. If a formula φ is of the form $Qy.(\psi)$ (where $Q \in \{\exists, \forall\}, y \in \mathcal{V}, \text{ and } (\psi) \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})})$ we often drop the dot and write $Qy(\psi)$ instead. If a formula φ has multiple variables bound by the same quantifier (i.e. $\varphi = Qy_1.Qy_2...Qy_n.\psi$ for $Q \in \{\exists, \forall\}, \text{ some } n \in \mathbb{N}, y_1, y_2, ..., y_n \in \mathcal{V}, \text{ and } \psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})})$ we abbreviate φ to $Qy_1y_2...y_n.\psi$ or to $Q\vec{y}.\psi$ where $\vec{y} = y_1y_2...y_n$.

Definition 8. The set of variables of a term $t \in \mathcal{T}_{(\mathcal{V},\mathcal{F})}$, denoted by V(t), is defined by:

$$V(t) = \begin{cases} \{y\} & \text{if } t = y \\ V(t_1) \cup V(t_2) \cup \dots \cup V(t_k) & \text{if } t = f(t_1, t_2, \dots, t_k) \end{cases}$$

The <u>set of free variables of a formula $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$,</u> denoted by $FV(\varphi)$, is defined as follows:

$$FV(\varphi) = \begin{cases} V(t_1) \cup V(t_2) \cup \cdots \cup V(t_k) & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ FV(\psi) & \text{if } \varphi = \neg \psi \\ FV(\varphi_1) \cup FV(\varphi_2) & \text{if } \varphi = (\varphi_1 \wedge \varphi_2) \text{ or } \varphi = (\varphi_1 \vee \varphi_2) \\ FV(\psi) \setminus \{y\} & \text{if } \varphi = \forall y. \psi \text{ or } \varphi = \exists y. \psi \end{cases}$$

Definition 9. Let y be in \mathcal{V} and $t, t' \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$. The <u>substitution of y by t' in t, denoted by t[y := t'], is defined as follows:</u>

$$t[y := t'] = \begin{cases} t' & \text{if } t = y \\ z & \text{if } t = z \text{ and } z \neq y \\ f(t_1[y := t'], \dots, t_k[y := t']) & \text{if } t = f(t_1, \dots, t_k) \end{cases}$$

Now we can lift this definition to formulas, let φ be in $\mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$. The <u>substitution of</u> y by t' in φ , denoted by $\varphi[y:=t']$, is defined as follows:

$$\varphi\left[y:=t'\right] = \begin{cases} P(t_1\left[y:=t'\right], \dots, t_k\left[y:=t'\right]) & \text{if } \varphi = P(t_1, \dots, t_k) \\ \neg(\psi\left[y:=t'\right]) & \text{if } \varphi = \neg\psi \\ \varphi_1\left[y:=t'\right] \circ \varphi_2\left[y:=t'\right] & \text{if } \varphi = (\varphi_1 \circ \varphi_2) \ , \circ \in \{\land, \lor\} \\ \varphi & \text{if } \varphi = \forall y.\psi \text{ or } \varphi = \exists y.\psi \\ Qz.(\psi\left[y:=t'\right]) & \text{if } \varphi = Qz.\psi, \ Q \in \{\forall, \exists\} \text{ and } z \neq y \end{cases}$$

Now we come to the semantics of first-order formulas.

Definition 10. An interpretation I over \mathcal{V} , \mathcal{F} , and \mathcal{P} is a triple $I=(\Delta,\cdot^I,\omega)$, where Δ is a nonempty set (which we call domain), \cdot^I is a function such that $f^I \colon \Delta^k \to \Delta$ is a function for every $k \in \mathbb{N}$, $f \in \mathcal{F}^{(k)}$ and $P^I \subseteq \Delta^k$ is a relation for every $k \in \mathbb{N}$, $P \in \mathcal{P}^{(k)}$ ω is a function from \mathcal{V} to Δ .

Let $I = (\Delta, \cdot^I, \omega)$ be an interpretation, $y \in \mathcal{V}$, and $d \in \Delta$ the interpretation $I[y \mapsto d]$ is defined as $(\Delta, \cdot^I, \omega[y \mapsto d])$ where

$$(\omega[y \mapsto d])(z) = \begin{cases} d & \text{if } z = y\\ \omega(y) & \text{otherwise.} \end{cases}$$

Definition 11. Let $I = (\Delta, \cdot^I, \omega)$ be an interpretation and t a term. The <u>interpretation</u> of t under I, denoted by t^I , is defined as follows:

$$t^{I} = \begin{cases} \omega(y) & \text{if } t = y\\ f^{I}(t_{1}^{I}, \dots, t_{k}^{I}) & \text{if } t = f(t_{1}, \dots, t_{k}) \end{cases}$$

Let φ be a formula. The <u>interpretation of φ under I, denoted by φ^{I} , is defined recursively as follows:</u>

$$\varphi^I = \begin{cases} \top & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \in P^I \\ \bot & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \notin P^I \\ \text{not } \psi^I & \text{if } \varphi = \neg \psi \\ \varphi_1^I \text{ and } \varphi_2^I & \text{if } \varphi = (\varphi_1 \land \varphi_2) \\ \varphi_1^I \text{ or } \varphi_2^I & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \\ \text{exists } d \in \Delta \ \psi^{I[y \mapsto d]} & \text{if } \varphi = \exists y.\psi \\ \text{forall } d \in \Delta \ \psi^{I[y \mapsto d]} & \text{if } \varphi = \forall y.\psi \end{cases}$$

The interpretation I is a model of φ , denoted by $I \models \varphi$, if $\varphi^I = \top$.

When we define an interpretation I and we have a nullary predicate symbol P we write $P^I = \top$ instead of $P^I = \{()\}$ and $P^I = \bot$ for $P^I = \emptyset$ (this works because $P()^I = \top$ iff $() \in P^I$).

Definition 12. Let Γ be a finite set of first-order formulas.

We say that an interpretation I is a <u>model</u> of Γ , denoted by $I \models \Gamma$, if $I \models \psi$ for every ψ in Γ .

The formula φ is a <u>semantic consequence</u> of Γ , denoted by $\Gamma \vdash \varphi$, if every model of Γ is also a model of φ .

The free variables of Γ , denoted by $FV(\Gamma)$, are $\bigcup \{FV(\varphi) \mid \varphi \in \Gamma\}$.

2.3 two-counter automaton

We will use a version of two-counter automaton which only has two types of transitions. First it can increment a register and second it can decrement a register or jump if the register is already zero. Formally:

Definition 13. A <u>deterministic two-counter automaton</u> is a 4-tuple $M = (\mathcal{Q}, Q_0, Q_f, R)$,

where Q is a finite set (of states),

 Q_0 is in \mathcal{Q} (the initial state),

 Q_f is in \mathcal{Q} (the final state), and

is a function from $\mathcal{Q} \setminus \{Q_f\}$ to $\mathcal{R}_{\mathcal{Q}}$, where $\mathcal{R}_{\mathcal{Q}} = \{+(i,Q') \mid i \in \{1,2\}, Q' \in \mathcal{Q}\}$ $\cup \{-(i,Q_1,Q_2) \mid i \in \{1,2\}, Q_1, Q_2 \in \mathcal{Q}\}$

A <u>configuration</u> C of our automaton is a triple $C = \langle Q, m, n \rangle$, where $Q \in \mathcal{Q}$ and $m, n \in \mathbb{N}$. Let r be in $R(\mathcal{Q} \setminus \{Q_f\})$, then \Rightarrow_M^r is a binary relation on the configurations of M such that two configurations $\langle Q, m, n \rangle$, $\langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$ of M are in the in the relation if all of the following conditions hold:

•
$$Q \neq Q_f$$
, $r = R(Q)$,

- if r = +(1, Q') for some $Q' \in \mathcal{Q}$ then $\widehat{Q} = Q'$, $\widehat{m} = m + 1$, and $\widehat{n} = n$,
- if r = +(2, Q') for some $Q' \in \mathcal{Q}$ then $\widehat{Q} = Q'$, $\widehat{m} = m$, and $\widehat{n} = n + 1$,
- if $r = -(1, Q_1, Q_2)$ for some $Q_1, Q_2 \in \mathcal{Q}$ then if m = 0 then $\widehat{Q} = Q_2$, $\widehat{m} = 0$, and $\widehat{n} = n$, if $m \ge 1$ then $\widehat{Q} = Q_1$, $\widehat{m} = m - 1$, and $\widehat{n} = n$,
- if $r = -(2, Q_1, Q_2)$ for some $Q_1, Q_2 \in \mathcal{Q}$ then if n = 0 then $\widehat{Q} = Q_2$, $\widehat{m} = m$, and $\widehat{n} = 0$, if n > 1 then $\widehat{Q} = Q_1$, $\widehat{m} = m$, and $\widehat{n} = n - 1$.

The <u>transition relation of M</u>, denoted by \Rightarrow_M , is defined as $\bigcup_{r \in R(Q \setminus \{Q_f\})} \Rightarrow_M^r$. We denote the transitive reflexive closure of \Rightarrow_M by \Rightarrow_M^*

Let m, n be in \mathbb{N} , we say that \underline{M} terminates on input (m, n) if there exist $\widehat{m}, \widehat{n} \in \mathbb{N}$ such that $\langle Q_0, m, n \rangle \Rightarrow_M^* \langle Q_f, \widehat{m}, \widehat{n} \rangle$ (It follows that there exists an $i \in \mathbb{N}$ and configurations D_1, \ldots, D_i of M such that $\langle Q_0, m, n \rangle = D_1 \Rightarrow_M \cdots \Rightarrow_M D_i = \langle Q_f, \widehat{m}, \widehat{n} \rangle$, we call this chain a computation with length i).

Definition 14. The halting problem for two-counter automaton, denoted by **HALT**, is defined as follows. Given a two-counter automaton M.

Does M terminate on input (0,0)?

It is well known that **HALT** is undecidable.

3 System P

3.1 Definitions

In the following let $\mathcal{V}_P = \{\alpha, a, \beta, b, ...\}$ be a countably infinite subset of \mathcal{V}_T (of variables). Let $\mathcal{P}_P = \{P, Q, ...\}$ be a set (of predicate symbols) and \mathcal{P} a ranked set such that $\mathcal{P}^{(0)} = \{\text{false}\}$, $\mathcal{P}^{(2)} = \mathcal{P}_P$, and $\mathcal{P}^{(k)} = \emptyset$ for all $k \in \mathbb{N} \setminus \{0, 2\}$. A first-order logic formula φ over \mathcal{V}_P , \emptyset , and \mathcal{P} is an

atomic formula if $\varphi =$ **false** or $\varphi = P(a, b)$ for some $P \in \mathcal{P}_P$ and $a, b \in \mathcal{V}_P$.

universal formula if $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$ for some $n \in \mathbb{N}$ and where A_i is an atomic formula for $i \in \{1, \dots, n\}$, $A_i \neq \mathbf{false}$ for $i \in \{1, \dots, n-1\}$ and for each $\alpha \in \mathrm{FV}(A_n) \setminus \mathrm{FV}(\varphi)$ there exists an $i \in \{1, \dots, n-1\}$ such that $\alpha \in \mathrm{FV}(A_i)$.

existential formula if there is an $n \in \mathbb{N}^+$, atomic formulas $A_i \neq \mathbf{false}$ for $i \in \{1, \dots, n\}, \ \beta \in \mathcal{V}_P$, such that for each $\alpha \in (\mathrm{FV}(A_n) \setminus \mathrm{FV}(\varphi)) \setminus \{\beta\}$ there exists an $i \in \{1, \dots, n-1\}$ such that $\alpha \in \mathrm{FV}(A_i)$ and $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_{n-1} \to \forall \beta(A_n \to \mathbf{false}) \to \mathbf{false})$.

The set of formulas of System \mathbf{P} (= set of \mathbf{P} -formulas) over \mathcal{V}_P and \mathcal{P}_P is the set of all first-order formulas in $\mathcal{L}_{(\mathcal{V}_P,\emptyset,\mathcal{P})}$ that are either an atomic, universal or existential formula.

Definition 15. A finite set of **P**-formulas Γ is called **P**-basis, or basis if it is clear from the context whether a **P**-basis or a $\lambda 2$ -basis is meant.

For a **P**-basis Γ , another **P**-basis Σ , and a **P**-formula A we will abbreviate $\Gamma \cup \{A\}$ to Γ , A and $\Gamma \cup \Sigma$ to Γ , Σ (c.f. λ **2**-basis).

Definition 16. Let A be a **P**-formula, and Γ be a basis. The formula A is a <u>semantic consequence</u> of Γ , denoted by $\Gamma \vdash A$, if A can be produced using the following deduction rules.

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, A \vdash A \\ \\ (\to \operatorname{-Introduction}) & \frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \\ \\ (\to \operatorname{-Elimination}) & \frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\forall \operatorname{-Introduction}) & \frac{\Gamma \vdash B}{\Gamma \vdash \forall \alpha B} & \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall \operatorname{-Elimination}) & \frac{\Gamma \vdash \forall \alpha B}{\Gamma \vdash B \ [\alpha := b]} & b \in \mathcal{V}_P \end{array}$$

We define a more general consequence relation in which we demand that **false** is interpreted with \perp . In this relation existential formulas will behave like the name suggests. Formally:

Definition 17. Let Γ be a basis. The **P**-formula A is a semantic consequence with falsity of Γ , denoted by $\Gamma \vdash_f A$, if for every interpretation I

$$I \models \Gamma$$
 and $\mathbf{false}^I = \bot$ implies $I \models A$.

This allows us to add the following deduction rule.

$$(\exists \text{-Introduction}) \quad \frac{\Gamma, A \, [\alpha := a] \vdash_{\mathrm{f}} B}{\Gamma, A' := \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \vdash_{\mathrm{f}} B} \quad a \notin FV(\Gamma, A', B)$$

Proof. Let $I = (\Delta, \cdot^I, \omega)$ be a model of $\Gamma, \forall \alpha(A \to \mathbf{false}) \to \mathbf{false}$ with $\mathbf{false}^I = \bot$ and $a \in \mathcal{V}_P$ a variable such that $a \notin FV(\Gamma, A', B)$.

$$\begin{split} I &\models \Gamma, \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \Rightarrow I \models \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \\ &\Rightarrow (\forall \alpha (A \to \mathbf{false}))^I \to \mathbf{false}^I \\ &\Rightarrow (\forall \alpha (A \to \mathbf{false}))^I \to \bot \\ &\Rightarrow \neg (\forall \alpha (A \to \mathbf{false}))^I \\ &\Rightarrow \neg (\forall d \in \Delta \colon (A \to \mathbf{false})^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon \neg (A^{I[\alpha \mapsto d]} \to \mathbf{false}^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon \neg (A^{I[\alpha \mapsto d]} \to \bot) \\ &\Rightarrow \exists d \in \Delta \colon \neg (\neg A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon A^{I[\alpha \mapsto d]} \end{split}$$

Together with $a \notin FV(\Gamma, A')$, it follows that $I[a \mapsto d]$ is a model of $\Gamma, A[\alpha := a]$. Which implies $I[a \mapsto d] \models B$. Since a is not free in B we conclude that I is also a model of B.

Definition 18. The problem to decide whether a given set of **P**-formulas is consistent, denoted by **CONS**, is defined as follows. Given a set of **P**-formulas Γ .

Does $\Gamma \vdash$ **false** not hold?

3.2 CONS is undecidable

We will show that $\mathbf{HALT} \leq \mathbf{CONS}$ then the undecidability of \mathbf{CONS} directly follows from the undecidability of \mathbf{HALT} . For a given two-counter automaton M we will effectively construct a \mathbf{P} -basis Γ_M such that

M terminates on input (0,0) iff $\Gamma_M \vdash \mathbf{false}$ holds in System \mathbf{P} .

Let $M = (\mathcal{Q}, Q_0, Q_f, R)$ be a two-counter automaton, w.l.o.g. $S, P, R_1, R_2, E, D, G \notin \mathcal{Q}$. In the following we will consider **P**-formulas over \mathcal{V}_P and \mathcal{P}_P , where $\mathcal{P}_P = \mathcal{Q} \uplus \{S, P, R_1, R_2, E, D, G\}$. We will abbreviate P(a, a) to P(a), note that this way we can use binary predicate symbols as unary ones.

The intended informal meaning for these new relation symbols is the following:

- The meaning of Q(a) is "a represents a configuration and Q is the state of this configuration".
- For $i \in \{1, 2\}$, $R_i(a, m)$ denotes that "the value of register i in the configuration represented by a is represented by m" (we call m anchor of a for register i).
- With S(a,b) we state that "b is a successor of a".
- The meaning of P(a,b) is "b is a predecessor of a".

- And E(a) marks "a as the end of chain".
- With D(a) we state that "a is not the end of a chain".
- Finally G(a) has no actual meaning we just need it for the existential formulas.

For a configuration $C = \langle Q, m, n \rangle$ of M we define a set of **P**-formulas Γ_C . It contains the following formulas:

- Q(a), G(a)
- $R_1(a, a_0), P(a_{i-1}, a_i)$ for $i \in \{1, \dots, m\}$
- $R_2(a, b_0), P(b_{i-1}, b_i)$ for $i \in \{1, \dots, n\}$
- $D(a_i), D(b_i), G(a_i), G(b_i)$ for $i \in \{0, \dots, m-1\}$ and $j \in \{0, \dots, n-1\}$
- $E(a_m), E(b_n), G(a_m), G(b_n)$

Next we need sets of **P**-formulas for all possible transitions. For every $Q \in \mathcal{Q} \setminus \{Q_f\}$ and $r \in \mathcal{R}_{\mathcal{Q}}$ we define $\Gamma_{Q,r}$. If r = +(1,Q') for some $Q' \in \mathcal{Q}$ then $\Gamma_{Q,+(1,Q')}$ contains the following formulas:

- $\forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))$ change of state
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to R_1(\beta, \delta) \to P(\delta, \gamma))$ increment register 1
- $\forall \alpha \beta \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\beta, \delta) \to D(\delta))$ prevent zero in register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change the value register 2

If $r=-(1,Q_1,Q_2)$ for some $Q_1,Q_2\in\mathcal{Q}$ then $\Gamma_{Q,-(1,Q_1,Q_2)}$ contains the following formulas:

- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to Q_2(\beta))$ jump to Q_2 if register 1 is zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to R_1(\beta, \gamma))$ if register 1 is zero it stays zero
- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to Q_1(\beta))$ change state to Q_1 if register 1 is greater zero
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to P(\gamma, \delta) \to R_1(\beta, \delta))$ decrement register 1 if possible

• $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2 in both cases

For r = +(2, Q') for some $Q' \in \mathcal{Q}$ or $r = -(2, Q_1, Q_2)$ for some $Q_1, Q_2 \in \mathcal{Q}$ the sets $\Gamma_{Q,r}$ are defined analogously.

We also need a set Γ_1 to ensure that our representation works correctly. The following formula are in Γ_1 :

- $\forall \alpha \beta (S(\alpha, \beta) \to G(\beta))$
- $\forall \alpha (D(\alpha) \to G(\alpha))$
- $\forall \alpha(G(\alpha) \to \forall \beta(R_1(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element represents a configuration so it has a value for register 1
- $\forall \alpha(G(\alpha) \to \forall \beta(R_2(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element represents a configuration so it has a value for register 2
- $\forall \alpha(G(\alpha) \to \forall \beta(S(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element has a successor

We define $\Gamma_{\overline{M}}$ as $\bigcup_{Q \in \mathcal{Q} \setminus \{Q_f\}} \Gamma_{Q,R(Q)} \cup \{ \forall \alpha (Q_f(\alpha) \to \mathbf{false}) \} \cup \Gamma_1$. We have added the formula $\forall \alpha (Q_f(\alpha) \to \mathbf{false})$ to be able to deduce \mathbf{false} if our automaton terminates. Finally we can define Γ_M as $\Gamma_{C_0} \cup \Gamma_{\overline{M}}$, where $C_0 = \langle Q_0, 0, 0 \rangle$ is the initial configuration.

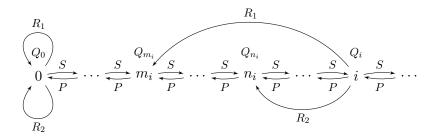
Claim 19.

 $\Gamma_M \vdash \mathbf{false} \text{ holds in system P} \Longrightarrow M \text{ terminates on input } (0,0)$

Proof. Assume M does not terminate then there is an infinite chain $C_0 \Rightarrow_M C_1 \Rightarrow_M C_2 \Rightarrow_M \cdots (C_i = \langle Q_i, m_i, n_i \rangle \text{ for } i \in \mathbb{N})$. Now we construct a model of Γ_M which interprets **false** with \bot this contradicts $\Gamma_M \vdash$ **false**.

To illustrate the idea we will use a graphical notation for an interpretation I. By $d_1 \stackrel{R}{\to} d_2$ we say that $(d_1, d_2) \in R^I$. And we use $\begin{pmatrix} P \\ d \end{pmatrix}$ to say that $(d, d) \in P^I$ for predicate

symbols that are used as unary predicate symbols. As domain for our interpretation we will use the natural numbers. Every number will have two tasks: firstly it will represent itself as a possible value for register 1 or 2 and secondly every number i will also represent the ith configuration of our infinite computation. Now the idea for our model of Γ_M looks like this:



We have $0 \in E^I$, all other numbers are in D^I , and all numbers are in G^I . Here is the more formal definition of our model $I = (\mathbb{N}, \cdot^I, \omega)$.

$$\begin{split} P^I &= \{(i+1,i) \mid i \in \mathbb{N}\} & R_1^I &= \{(i,m_i) \mid i \in \mathbb{N}\} & R_2^I &= \{(i,n_i) \mid i \in \mathbb{N}\} \\ S^I &= \{(i,i+1) \mid i \in \mathbb{N}\} & D^I &= \{(i,i) \mid i \in \mathbb{N}^+\} & E^I &= \{(0,0)\} \\ Q^I &= \{(i,i) \mid i \in \mathbb{N}, Q = Q_i\} & \text{false}^I &= \bot \\ G^I &= \mathbb{N} & \end{split}$$

$$a^{I} = 0$$
 $a_{0}^{I} = 0$ $b_{0}^{I} = 0$

Since there are no free variables in Γ_M we can just set $\omega(x) = 0$ for every $x \in \mathcal{V}_P$. It is easy to see that I is indeed a model of Γ_M .

We proof the other direction by induction on the length of the computation. But to be able to use the induction hypothesis we need a slightly more general statement (this is why we defined $\Gamma_{\overline{M}}$ and not just Γ_M right away).

Claim 20. Let $C = \langle Q, m, n \rangle$ be a configuration of M. If a final configuration (i.e. a configuration $\langle Q_f, \widehat{m}, \widehat{n} \rangle$ for some $\widehat{m}, \widehat{n} \in \mathbb{N}$) is reachable from C then $\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}$.

Proof. By induction on the length i of the computation.

Induction Base: i = 0

Since a final configuration is reachable in 0 steps C must be this final configuration. So $C = \langle Q_f, m, n \rangle$ for some $m, n \in \mathbb{N}$. Hence, $Q_f(a)$ is in Γ_C for some $a \in \mathcal{V}_P$ and $\forall \alpha (Q_f(\alpha) \to \mathbf{false})$ is in $\Gamma_{\overline{M}}$, we can easily deduce false.

$$\cfrac{ \frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \forall \alpha (Q_f(\alpha) \to \mathbf{false})}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a) \to \mathbf{false}} }{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}}$$

Induction Step: i = i' + 1

Since $I \models \mathbf{false}$ holds trivially if I interprets \mathbf{false} with \top we only need to consider models of $\Gamma_C \cup \Gamma_{\overline{M}}$ that interpret \mathbf{false} with \bot (note that there are no such models if M terminates which is exactly what we want to proof). As result of this observation we can use the \exists -Introduction rule.

From the fact that a final configuration is reachable from C in i steps we can deduce that there exists a configuration $D = \langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$ such that $C \Rightarrow_M^r D$ for some $r \in \mathcal{R}_{\mathcal{Q}}$ and a final configuration is reachable from D in i' steps. We also know that $C = \langle Q, m, n \rangle$ for some $Q \in \mathcal{Q} \setminus \{Q_f\}$ and some $m, n \in \mathbb{N}$. The set Γ_C contains the formulas:

$$R_1(a, a_0), P(a_{i-1}, a_i), G(a_{i-1}), \text{ and } D(a_{i-1}) \text{ for } i \in \{1, \dots, m\},$$

 $R_2(a, b_0), P(b_{i-1}, b_i), G(b_{i-1}), \text{ and } D(b_{i-1}) \text{ for } i \in \{1, \dots, n\},$

$$Q(a)$$
, $E(a_m)$, $E(b_n)$, $G(a)$, $G(a_m)$, and $G(b_n)$.

And Γ_D contains the formulas:

$$R_1(\widehat{a}, \widehat{a}_0), P(\widehat{a}_{i-1}, \widehat{a}_i), G(\widehat{a}_{i-1}), \text{ and } D(\widehat{a}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{m}\},$$

 $R_2(\widehat{a}, \widehat{b}_0), P(\widehat{b}_{i-1}, \widehat{b}_i), G(\widehat{b}_{i-1}), \text{ and } D(\widehat{b}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{n}\},$
 $\widehat{Q}(\widehat{a}), E(\widehat{a}_{\widehat{m}}), E(\widehat{b}_{\widehat{n}}), G(\widehat{a}), G(\widehat{a}_{\widehat{m}}), \text{ and } G(\widehat{b}_{\widehat{n}}).$

The basic idea is to deduce Γ_D from $\Gamma_C \cup \Gamma_{\overline{M}}$ and then apply the induction hypothesis to $\Gamma_D \cup \Gamma_{\overline{M}}$.

$$\frac{\text{Induction Hypothesis}}{\Gamma_C \cup \Gamma_{\overline{M}} \cup \Gamma_D \vdash_{\text{f}} \mathbf{false}} \qquad \Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\text{f}} \Gamma_D}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\text{f}} \mathbf{false}}$$

We achieve this by looking at the four possible cases for the type of the rule r. We will only consider the cases r = +(1, Q') and $r = -(1, Q_1, Q_2)$, because the two remaining cases r = +(2, Q') and $r = -(2, Q_1, Q_2)$ follow by exchanging the roles of register 1 and register 2 in the first two cases.

First we need a new free variable representing the configuration D. Also the value in register 2 does not change, because in both cases we are only concerned with register 1. For the succeeding tableau proofs we will abbreviate **false** by **f** and we will drop $\Gamma_C \cup \Gamma_{\overline{M}}$ and only write new formulas on the left side of $\vdash_{\mathbf{f}}$.

We first introduce a new variable representing the new configuration D (let $b \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma_C)$, note that $\mathrm{FV}(\Gamma_{\overline{M}}) = \emptyset$).

$$\frac{\vdots}{S(a,b)\vdash_{\mathbf{f}}\mathbf{f}} \qquad \qquad \vdash_{\mathbf{f}}\forall\alpha(G(\alpha)\to\forall\beta(S(\alpha,\beta)\to\mathbf{f})\to\mathbf{f}) \\
\frac{\forall\beta(S(a,\beta)\to\mathbf{f})\to\mathbf{f}\vdash_{\mathbf{f}}\mathbf{f}}{\vdash_{\mathbf{f}}(\forall\beta(S(a,\beta)\to\mathbf{f})\to\mathbf{f})\to\mathbf{f}} \qquad \qquad \vdash_{\mathbf{f}}G(a)\to(\forall\beta(S(a,\beta)\to\mathbf{f})\to\mathbf{f}) \\
\frac{\vdash_{\mathbf{f}}\forall\beta(S(a,\beta)\to\mathbf{f})\to\mathbf{f}}{\vdash_{\mathbf{f}}\forall\beta(S(a,\beta)\to\mathbf{f})\to\mathbf{f}} \\
\vdash_{\mathbf{f}}\mathbf{f}$$

For the new variable b we have to deduce G(b).

$$\frac{\vdots}{G(b) \vdash_{f} \mathbf{f}} \qquad \frac{\vdash_{f} \forall \alpha \beta (S(\alpha, \beta) \to G(\beta))}{\vdash_{f} S(a, b) \to G(b)} \qquad \vdash_{f} S(a, b)$$

$$\vdash_{f} G(b) \to \mathbf{f} \qquad \vdash_{f} G(b) \qquad \vdash_{f} G(b)$$

$$\vdash_{f} \mathbf{f}$$

Since register 2 should not change we need $R_2(b, b_0)$. Again we will just drop S(a, b) on the left side for comprehensibility.

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma (Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_2(\alpha,\gamma) \rightarrow R_2(\beta,\gamma)) \\ \hline \\ \vdots \\ \hline R_2(b,b_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_2(b,b_0) \rightarrow \mathbf{f} \end{array} \begin{array}{c} \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0) & \vdash_{\mathbf{f}} Q(a) \\ \hline \\ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \\ \vdash_{\mathbf{f}} R_2(a,b_0) \rightarrow \mathbf{f} & \vdash_{\mathbf{f}} R_2(b,b_0) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

For the case that r = +(1, Q'), we have that $\hat{Q} = Q'$, $\hat{m} = m + 1$, and $\hat{n} = n$. So we need to increment register 1 and ensure that the state of b is Q'.

$$\frac{\vdots}{Q'(b) \vdash_{\mathbf{f}} \mathbf{f}} \xrightarrow{ \vdash_{\mathbf{f}} \forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))} }{ \xrightarrow{ \vdash_{\mathbf{f}} Q(a) \to S(a, b) \to Q'(b)}} \vdash_{\mathbf{f}} Q(a) \xrightarrow{ \vdash_{\mathbf{f}} S(a, b) \to Q'(b)} } \vdash_{\mathbf{f}} S(a, b) \xrightarrow{ \vdash_{\mathbf{f}} Q'(b)}$$

$$\vdash_{\mathbf{f}} \mathbf{f}$$

To increment register 1 we need a new free variable as anchor for register 1 (let $d \in \mathcal{V}_P \setminus FV(\Gamma_C)$ and $d \neq b$).

$$\frac{\vdots}{R_{1}(b,d) \vdash_{\mathbf{f}} \mathbf{f}} \\
\frac{\forall \beta (R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f} \vdash_{\mathbf{f}} \mathbf{f}}{\forall \beta (R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f}} \qquad \qquad \frac{\vdash_{\mathbf{f}} \forall \alpha (\forall \beta (R_{1}(\alpha,\beta) \to \mathbf{f}) \to \mathbf{f})}{\vdash_{\mathbf{f}} \forall \beta (R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f}} \\
\vdash_{\mathbf{f}} \mathbf{f}$$

Now we need to connect d with a_0 (the anchor of a for register 1).

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow R_1(\beta,\delta) \rightarrow P(\delta,\gamma)) \\ \hline \\ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow P(d,a_0) & \vdash_{\mathbf{f}} Q(a) \\ \hline \vdots \\ \hline P(d,a_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} P(d,a_0) \rightarrow \mathbf{f} & \begin{array}{c} \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow P(d,a_0) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \\ \vdash_{\mathbf{f}} R_1(b,d) \rightarrow P(d,a_0) & \vdash_{\mathbf{f}} R_1(b,d) \\ \hline \\ \vdash_{\mathbf{f}} P(d,a_0) & \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

We have to make sure that we do not get an artificial zero. We achieve this by deducing D(d).

$$\frac{ \begin{array}{c} \displaystyle \vdash_{\mathbf{f}} \forall \alpha \beta \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\beta,\delta) \rightarrow D(\delta)) \\ \\ \displaystyle \vdots \\ \hline D(d) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} D(d) \rightarrow \mathbf{f} \end{array} } \frac{ \begin{array}{c} \displaystyle \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(b,d) \rightarrow D(d) & \vdash_{\mathbf{f}} Q(a) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(b,d) \rightarrow D(d) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} R_1(b,d) \rightarrow D(d) & \vdash_{\mathbf{f}} R_1(b,d) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} D(d) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} \mathbf{f} \end{array} }$$

Now we can easily deduce G(d).

$$\begin{array}{c} \vdots \\ \hline G(d) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} G(d) \to \mathbf{f} \end{array} \qquad \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha (D(\alpha) \to G(\alpha)) \\ \hline \vdash_{\mathbf{f}} D(d) \to G(d) \\ \hline \vdash_{\mathbf{f}} G(d) \end{array} \qquad \vdash_{\mathbf{f}} D(d) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

Now we already have deduced Γ_D , to see why define $\widehat{a} := b$, $\widehat{b}_i := b_i$ for $i \in \{0, \ldots, n\}$, $\widehat{a}_0 := d$, and $\widehat{a}_{i+1} := a_i$ for $i \in \{0, \ldots, m\}$. Hence we can deduce **false** by induction hypothesis.

The other case, that $r = -(Q, 1, Q_1, Q_2)$, has to be split into two cases again. If m = 0 then $\hat{Q} = Q_2$, $\hat{m} = 0$, and $\hat{n} = n$. We only need to ensure that the successor state is Q_2 and that register 1 is still zero.

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow Q_2(\beta)) \\ \hline \\ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} Q(a) \\ \hline \\ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \\ \vdots & \hline \\ \hline \\ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \\ \vdash_{\mathbf{f}} Q_2(b) \vdash_{\mathbf{f}} \mathbf{f} & \hline \\ \hline \\ \vdash_{\mathbf{f}} Q_2(b) & \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \\ \vdash_{\mathbf{f}} Q_2(b) & \hline \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

Register 1 stays zero.

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma (Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow R_1(\beta,\gamma)) \\ \hline \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0) & \vdash_{\mathbf{f}} Q(a) \\ \hline \vdots & \hline \begin{matrix} \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) \rightarrow \mathbf{f} \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} E(a_0) \rightarrow R_1(b,a_0) & \vdash_{\mathbf{f}} E(a_0) \\ \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) \rightarrow \mathbf{f} \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} E(a_0) \\ \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1(b,a_0) \end{matrix} & \hline \begin{matrix} \vdash_{\mathbf{f}} R_1(b,a_0) & \vdash_{\mathbf{f}} R_1$$

If we define $\widehat{a} := b$, $\widehat{b}_i := b_i$ for $i \in \{0, ..., n\}$, and $\widehat{a}_0 := a_0$ then it is clear that we have deduced all formulas required for Γ_D . So we can use the induction hypothesis to deduce **false**.

In the last case m > 0, so $\widehat{Q} = Q_1$, $\widehat{m} = m - 1$, and $\widehat{n} = n$. First we ensure that b is in state Q_1 .

$$\frac{ \begin{array}{c} \displaystyle \frac{\displaystyle \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow Q_1(\beta))}{\displaystyle \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)} & \displaystyle \vdash_{\mathbf{f}} Q(a) \\ \\ \displaystyle \vdots & & \frac{\displaystyle \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)}{\displaystyle \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)} & \displaystyle \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \\ \displaystyle \frac{\displaystyle \vdash_{\mathbf{f}} P_1(a,a_0) \rightarrow P_1(a_0) \rightarrow P_1(b)}{\displaystyle \vdash_{\mathbf{f}} P_1(a_0) \rightarrow P_1(b)} & \displaystyle \vdash_{\mathbf{f}} P_1(a_0) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} P_1(b) \rightarrow \mathbf{f} & & \displaystyle \vdash_{\mathbf{f}} P_1(b) \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} P_1(b) \rightarrow \mathbf{f} & & \displaystyle \vdash_{\mathbf{f}} P_1(b) \\ \hline \end{array}$$

Now we decrement register 1 by taking a_1 (the predecessor of a_0) as anchor of b for register 1.

$$\frac{ \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha, \beta) \rightarrow R_1(\alpha, \gamma) \rightarrow D(\gamma) \rightarrow P(\gamma, \delta) \rightarrow R_1(\beta, \delta)) }{ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a, b) \rightarrow R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} Q(a) } \\ \frac{ \vdash_{\mathbf{f}} S(a, b) \rightarrow R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} S(a, b) }{ \vdash_{\mathbf{f}} R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} R_1(a, a_0) } \\ \vdots \\ \frac{ \vdash_{\mathbf{f}} R_1(b, a_1) \vdash_{\mathbf{f}} \mathbf{f} }{ \vdash_{\mathbf{f}} R_1(b, a_1) \rightarrow \mathbf{f} } \frac{ \vdash_{\mathbf{f}} P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} P(a_0, a_1) }{ \vdash_{\mathbf{f}} R_1(b, a_1) } \\ \vdots \\ \frac{ \vdash_{\mathbf{f}} P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} P(a_0, a_1) }{ \vdash_{\mathbf{f}} R_1(b, a_1) } \\ \vdots \\ \vdash_{\mathbf{f}} \mathbf{f}$$

Again it is obvious that we have deduced Γ_D ($\widehat{a} := b$, $\widehat{b}_i := b_i$ for $i \in \{0, \ldots, n\}$, and $\widehat{a}_{i-1} := a_i$ for $i \in \{1, \ldots, m\}$). Hence, by induction hypothesis, we can deduce **false**. \square

Lemma 21.

M terminates on input (0,0) iff $\Gamma_M \vdash \mathbf{false}$ holds in system P.

Proof. The \Leftarrow directions is proven in Claim 19. And the \Rightarrow direction is a direct consequence of Claim 20 with $C = \langle Q_0, 0, 0 \rangle$.

Theorem 22. CONS is undecidable.

Proof. Since by Lemma 21 for a given two-counter automaton M we can effectively construct a set of **P**-formulas Γ_M such that M terminates on input (0,0) iff Γ_M is not consistent. It follows that $\mathbf{HALT} \leq \mathbf{CONS}$. Since \mathbf{HALT} is undecidable we have shown that \mathbf{CONS} is undecidable too.

4 INHAB is undecidable

Now we can show that the inhabitation problem in $\lambda 2$ is undecidable by reducing **CONS** to **INHAB**. Given a **P**-basis Γ we construct a $\lambda 2$ -basis $\overline{\Gamma}$ such that

$$\Gamma \vdash \mathbf{false}$$
 iff $\overline{\Gamma} \vdash \mathbf{false}$

where false

Definition 23. We define a function ...

For a **P**-formula A, if A is an atomic formula then

$$\overline{A} = \begin{cases} \mathbf{false} & \text{if } A = \mathbf{false} \\ (\alpha \to p_1) \to (\beta \to p_2) \to p & \text{if } A = P(\alpha, \beta) \end{cases}$$

if A is an universal formula, it follows that there is an $n \in \mathbb{N}$ and atomic formulas A_1, A_2, \ldots, A_n such that $A = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$, then

$$\overline{A} = \forall \vec{\alpha} (\overline{A_1} \to \overline{A_2} \to \cdots \to \overline{A_n})$$

if A is an existential formula, it follows that for some $n \in \mathbb{N}^+$ and some atomic formulas A_1, \ldots, A_n it holds that $A = \forall \vec{\alpha}(A_1 \to \cdots \to A_{n-1} \to \forall \beta((A_n) \to \mathbf{false}) \to \mathbf{false})$, then

$$\overline{A} = \forall \vec{\alpha}(\overline{A_1} \to \cdots \to \forall \beta(\overline{A_n} \to \mathbf{false}) \to \mathbf{false})$$

For a **P**-basis Γ we define $\overline{\Gamma}$ as $\{(x_A : \overline{A}) \mid A \in \Gamma\}$.

Lemma 24. Let Γ be a P-basis, $M \in \Lambda_{T_{\lambda_2}}$, $P \in \mathcal{P}_P$, and $s, t \in T_{\lambda_2}$ such that $\overline{\Gamma} \vdash M : P_{st}$ holds. Then $s, t \in \mathcal{V}_P$ (remember that $\mathcal{V}_P \subseteq \mathcal{V}_T$). Furthermore $\Gamma \vdash P(s, t)$ holds.

Proof. Note that all well typed $\lambda 2$ terms are strongly normalizing (see). Hence, M is well typed in $\lambda 2$, we can assume that M is in normal form.

We now proof the lemma by structural induction on the term M.

 $\underline{M} = \underline{x}$ for some $y \in \mathcal{V}_V$.

It follows that $(x: P_{st}) \in \overline{\Gamma}$. Now the definition of $\overline{\Gamma}$ yields that $P(s,t) \in \Gamma$. Therefore $s, t \in \mathcal{V}_P$ and $\Gamma \vdash P(s,t)$ holds trivially.

 $\underline{M} = M_1 M_2$ for some $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$.

Since M is in normal form we have that $M_1 = xN_1 \dots N_k$ for some $x \in \mathcal{V}_V$, $n \in \mathbb{N}$, and some $N_1, \dots, N_k \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$.

Therefore $x = x_A$ for some universal formula $A = \forall \vec{\alpha}(A_1 \to \cdots \to A_n \to P(\alpha, \beta))$ in Γ with $A_i = P^i(\alpha_i, \beta_i)$ for some $P^i \in \mathcal{P}_P$ and $\alpha_i, \beta_i \in \mathcal{V}_P$ for all $i \in \{1, \dots, n\}$ and $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$ for some $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$.

Furthermore $M = x\vec{t}\vec{N}$ for some $\vec{t} = \bar{t}_1 \dots \bar{t}_m$ with $\bar{t}_1, \dots, \bar{t}_m \in T_{\lambda 2}$ and some $\vec{N} = N_1 \dots N_n$ with $N_1, \dots, N_n \in \Lambda_{T_{\lambda 2}}$ and $\overline{\Gamma} \vdash N_i : P^i_{s_i t_i}$ (where $s_i = \alpha_i \left[\vec{\alpha} := \vec{t} \right]$) and $t_i = \beta_i \left[\vec{\alpha} := \vec{t} \right]$) for $i \in \{1, \dots, n\}$.

$$\frac{\overline{\Gamma} \vdash x : \forall \vec{\alpha} (P_{\alpha_1 \beta_1}^1 \to \cdots \to P_{\alpha_n \beta_n}^n \to P_{\alpha \beta})}{\overline{\Gamma} \vdash x \vec{t} : P_{s_1 t_1}^1 \to \cdots \to P_{s_n t_n}^n \to P_{st}} \quad \overline{\Gamma} \vdash N_1 : P_{s_1 t_1}^1}$$

$$\vdots$$

$$\overline{\Gamma} \vdash x \vec{t} N_1 \dots N_{n-1} : P_{s_n t_n}^n \to P_{st}} \quad \overline{\Gamma} \vdash N_n : P_{s_n t_n}^n$$

$$\overline{\Gamma} \vdash (x \vec{t} N_1 \dots N_{n-1}) N_n : P_{st}$$

For $i \in \{1, ..., n\}$ we can now apply the induction hypothesis to $\overline{\Gamma} \vdash N_i : P_{s_i t_i}^i$ and we get that $s_i, t_i \in \mathcal{V}_P$ and that $\Gamma \vdash P^i(s_i, t_i)$ holds.

Since there are no dummy quantifiers it follows that $\vec{t} = \vec{a}$. Either α is in $\vec{\alpha}$ which implies $t_1 = \alpha \left[\vec{\alpha} := \vec{t} \right] = a$ or α is free then $\alpha = t_1 \in \mathcal{V}_P$ anyway. For t_2 we can make a similar argument and get $t_2 = b$ for some $b \in \mathcal{V}_P$.

$$\frac{\Gamma \vdash \forall \vec{\alpha}(A_1 \to \cdots \to A_n \to P(\alpha, \beta))}{\Gamma \vdash P^1(a_1, b_1) \to \cdots \to P^n(a_n, b_n) \to P(a, b)} \qquad \Gamma \vdash P^1(a_1, b_1) \\
\vdots \\
\overline{\Gamma \vdash P^n(a_n, b_n) \to P(a, b)} \qquad \Gamma \vdash P^n(a_n, b_n)}$$

$$\frac{\vdots}{\Gamma \vdash P^n(a_n, b_n) \to P(a, b)} \qquad \Gamma \vdash P^n(a_n, b_n)$$

 $\underline{M} = \lambda x : t'.\underline{M'}$ for some $M' \in \Lambda_{T_{\lambda_2}}$, some $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$, and some $t' \in T_{\lambda_2}$. It follows that $t' = t_1 \to p_1$ and $\overline{\Gamma}, (x : t_1 \to p_1) \vdash M' : (t_2 \to p_2) \to p$ $M' = yx; \ y : (t_1 \to p_1) \to (t_2 \to p_2) \to p;$ (eta reduction?) $M' = \lambda y : t_2 \to p_2.M''; \ \Gamma, x, y \vdash M'' = zxy : p$

$$\frac{\Gamma \vdash \forall \vec{\alpha}(A_1 \to \cdots \to A_n \to P(\alpha, \beta))}{\Gamma \vdash P^1(a_1, b_1) \to \cdots \to P^n(a_n, b_n) \to P(a, b)} \qquad \Gamma \vdash P^1(a_1, b_1) \\
\vdots \\
\Gamma \vdash P^n(a_n, b_n) \to P(a, b) \qquad \Gamma \vdash P^n(a_n, b_n) \\
\hline \Gamma \vdash P^n(a_n, b_n) \to P(a, b) \qquad \Gamma \vdash P^n(a_n, b_n)$$

 $\underline{M} = \Lambda \gamma.M'$ for some $M' \in \Lambda_{\mathcal{T}_{\lambda_2}}$ and some $\gamma \in \mathcal{V}_T \setminus \mathrm{FV}(\Gamma)$. It follows that $t = \forall \gamma.t'$ for some $t' \in \mathcal{T}_{\lambda_2}$. But this can not be since $P_{t_1t_2} = (t_1 \to p_1) \to (t_2 \to p_2) \to p$. Therefore M is not of the form $\Lambda \gamma.M'$ and this case is impossible.

 $\underline{M} = \underline{M't'}$ for some $M' \in \Lambda_{T_{\lambda_2}}$ and some $t' \in T_{\lambda_2}$.

Since M is in normal form we have that either M' = x for some $x \in \mathcal{V}_V$ and $(x:\forall \beta.t'') \in \overline{\Gamma}$ for some $\beta \in \mathcal{V}_T$ and some $t'' \in \Lambda_{T_{\lambda_2}}$ such that $t = t'' [\beta := t']$ or $M' = xM_1 \dots M_n$ for some $x \in \mathcal{V}_V$, $n \in \mathbb{N}$, and some $M_1, \dots, M_n \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$.

If n > 1 impossible

If n = 1 $M_1 = t''$

Obviously, this is impossible if $t' \notin \mathcal{V}_P$. But even if $t' \in \mathcal{V}_P$ we have a contradiction because there are no dummy quantifiers and so there is no P-formula A such that $\overline{A} = t''$. It follows that this case is impossible.

Lemma 25. Let Γ be a P-basis, $M \in \Lambda_{T_{\lambda_2}}$ such that $\overline{\Gamma} \vdash M$: false holds. $\Gamma \vdash false \ holds.$

Proof. By structural induction on the term M. Again we can assume that M is in normal form.

 $\underline{M} = \underline{x}$ for some $y \in \mathcal{V}_V$.

It follows that $(x : \mathbf{false}) \in \overline{\Gamma}$. Now the definition of $\overline{\Gamma}$ yields that $\mathbf{false} \in \Gamma$. Therefore $\Gamma \vdash$ **false** holds.

 $\underline{M} = M_1 M_2$ for some $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$.

 $M = x\vec{t}\vec{N}$

$$\begin{split} & \overline{\Gamma} \vdash x : \forall \vec{\alpha}(\overline{A_1} \to \cdots \to \overline{A_n} \to \mathbf{false}) \\ & \overline{\Gamma} \vdash x\vec{t} : P^1_{\widetilde{a_1}\widetilde{b_1}} \to \cdots \to P^n_{\widetilde{a_n}\widetilde{b_n}} \to \mathbf{false} & \overline{\Gamma} \vdash N_1 : P^1_{\widetilde{a_1}\widetilde{b_1}} \\ & \vdots \\ & \overline{\Gamma} \vdash x\vec{t}N_1 \dots N_{n-1} : P^n_{\widetilde{a_n}\widetilde{b_n}} \to \mathbf{false} & \overline{\Gamma} \vdash N_n : P^n_{\widetilde{a_n}\widetilde{b_n}} \\ & \overline{\Gamma} \vdash (x\vec{t}N_1 \dots N_{n-1})N_n : \mathbf{false} \end{split}$$

$$M = x\vec{t}\vec{N}L$$

$$\begin{split} M &= x\vec{t}\vec{N}L \\ &\overline{\Gamma} \vdash x : \forall \vec{\alpha}(\overline{A_1} \to \cdots \to \forall \beta(\overline{A_n} \to \mathbf{false}) \to \mathbf{false}) \\ &\overline{\overline{\Gamma} \vdash x\vec{t} : P^1_{\widetilde{\alpha}_1\widetilde{b}_1} \to \cdots \to \forall \beta(P^n_{\widetilde{a_n}\widetilde{b}_n} \to \mathbf{false}) \to \mathbf{false}} \qquad \overline{\Gamma} \vdash N_1 : P^1_{\widetilde{a}_1\widetilde{b}_1} \\ &\vdots \\ &\overline{\overline{\Gamma} \vdash x\vec{t}\vec{N} : \forall \beta(P^n_{\widetilde{a}_n\widetilde{b}_n} \to \mathbf{false}) \to \mathbf{false}} \qquad \overline{\Gamma} \vdash L : \forall \beta(P^n_{\widetilde{a}_n\widetilde{b}_n} \to \mathbf{false}) \\ &\overline{\overline{\Gamma}} \vdash (x\vec{t}\vec{N})L : \mathbf{false} \end{split}$$

Lets take a closer look at L.

$$L = y$$

$$L = \Lambda \beta. y \vec{t'} \vec{N'}$$

$$L = \Lambda \beta. \lambda y : P^n_{\widetilde{\alpha}_n \widetilde{\beta}_n}.N, \qquad \qquad \overline{\Gamma}, y : P^n_{\widetilde{\alpha}_n \widetilde{\beta}_n} \vdash N : \mathbf{false} \text{ by IH}$$

 $\underline{M} = \lambda x : t_1.\underline{M'}$ for some $M' \in \Lambda_{T_{\lambda_2}}$, some $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$, and some $t_1 \in T_{\lambda_2}$. It follows that $t = t_1 \to t_2$ for some $t_2 \in T_{\lambda 2}$ which contradicts t =false. So this

 $M = \Lambda \gamma.M'$ for some $M' \in \Lambda_{T_{\lambda_2}}$ and some $\gamma \in \mathcal{V}_T \setminus FV(\Gamma)$.

It follows that $t = \forall \gamma . t'$ for some $t' \in T_{\lambda 2}$. Again the fact that t =false leads to a contradiction and makes this case impossible.

$$\underline{M} = \underline{M't'}$$
 for some $M' \in \Lambda_{T_{\lambda_2}}$ and some $t' \in T_{\lambda_2}$.

$$M' = xM_1 \dots M_n$$

n > 0 impossible no dummy quantifiers

n = 0 $M' = x : \forall \alpha.\alpha, t' =$ false impossible

References

[1] H.P. Barendregt, 1993. Lambda Calculi with Types, Handbook of Logic in Computer Science, Volume II, 34-68.