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# 1 Introduction

 $FV(\Gamma) = \bigcup \{FV(t) \mid (x:t) \in \Gamma\}$  $\lambda 2$  deduction Rules

$$\begin{array}{ll} \text{(Axiom)} & \Gamma, x: t \vdash x: t \\ \\ \text{($\lambda$-Introduction)} & \frac{\Gamma, x: t_1 \vdash e: t_2}{\Gamma \vdash \lambda x. e: t_1 \to t_2} \\ \\ \text{($\lambda$-Elimination)} & \frac{\Gamma \vdash e_1: t_1 \to t_2 \quad \Gamma \vdash e_2: t_1}{\Gamma \vdash e_1 e_2: t_2} \\ \\ \text{($\forall$-Introduction)} & \frac{\Gamma \vdash e: t}{\Gamma \vdash \Lambda \alpha. e: \forall \alpha. t} \qquad \alpha \notin \text{FV}(\Gamma) \\ \\ \text{($\forall$-Elimination)} & \frac{\Gamma \vdash e: \forall \alpha. t}{\Gamma \vdash et': t \left[\alpha:=t'\right]} \end{array}$$

## 2 Basic Definitions

We will denote the set  $\{1, \ldots, n\}$  by [n].

**Definition 1.** A <u>ranked set</u> is a tuple  $(\Sigma, rk)$ , where  $\Sigma$  is a countable set and  $rk : \Sigma \to \mathbb{N}$  is a function that maps every symbol from  $\Sigma$  to a natural number (its rank).

If the function rk is understood we will just write  $\Sigma$  instead of  $(\Sigma, rk)$ . The set of all elements with a certain rank k in  $\Sigma$ , denoted by  $\Sigma^{(k)}$ , is defined by  $\Sigma^{(k)} := rk^{-1}(k)$ . In the following we will write  $\Sigma = \{P^{(0)}, Q^{(3)}\}$  to say that  $\Sigma = \{P, Q\}, rk(P) = 0$ , and rk(Q) = 3.

First-order logic

**Definition 2.** Let  $\mathcal{V} = \{x_0, x_1, \dots\}$  be a countable set (of variables),  $\mathcal{F} = \{\}$  a ranked set (of function symbols), and  $\mathcal{P} = \{\}$  a ranked set (of predicate symbols). Then the set of terms over  $(\mathcal{V}, \mathcal{F})$ , denoted by  $\mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ , is the smallest set  $\mathcal{T}$  satisfying the following conditions:

- $\mathcal{V} \subseteq \mathcal{T}$ , and
- for every  $k \in \mathbb{N}$  if  $f \in \mathcal{F}^{(k)}$  and  $t_1, t_2, \dots, t_k \in \mathcal{T}$  then  $f(t_1, t_2, \dots, t_k) \in \mathcal{T}$ .

The set of first-order formulas over  $(\mathcal{V}, \mathcal{F}, \mathcal{P})$ , denoted by  $\mathcal{L}_{(\mathcal{V}, \mathcal{F}, \mathcal{P})}$ , is the smallest set  $\mathcal{L}$  satisfying the following conditions:

• for every  $k \in \mathbb{N}$  if  $P \in \mathcal{P}^{(k)}$  and  $t_1, t_2, \dots, t_k \in \mathcal{T}$  then  $P(t_1, t_2, \dots, t_k) \in \mathcal{L}$ .

- If  $\varphi, \psi \in \mathcal{L}$  then  $(\varphi \wedge \psi), (\varphi \vee \psi), (\varphi \rightarrow \psi), \neg \varphi \in \mathcal{L}$ , and
- if  $x \in \mathcal{V}$  and  $\varphi \in \mathcal{L}$  then  $\exists x \varphi, \forall x \varphi \in \mathcal{L}$ .

**Definition 3.** The <u>free variables of a formula  $\varphi$ , denoted by  $FV(\varphi)$ , are defined as follows:</u>

$$FV(\varphi) = \begin{cases} V(t_1) \cup V(t_2) \cup \dots \cup V(t_k) & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ FV(\varphi_1) \cup FV(\varphi_2) & \text{if } \varphi = \varphi_1 \circ \varphi_2, \circ \in \{\land, \lor, \to\} \\ FV(\psi) \setminus \{x\} & \text{if } \varphi = Qx\psi, \ Q \in \{\lor, \exists\} \end{cases}$$

# 3 System P

#### 3.1 Definitions

Let  $\mathcal{V}_P = \{\alpha, \beta, \ldots\}$  be a countably infinite set (of variables) and  $\mathcal{P}_P = \{false^{(0)}, P^{(2)}, Q^{(2)}, \ldots\}$  a ranked set (of predicate symbols) such that  $\mathcal{P}_P^{(0)} = \{false\}, \mathcal{P}_P^{(2)} = \{P, Q, \ldots\}$  is a countable infinite set, and  $\mathcal{P}_P^{(k)} = \emptyset$  for all  $k \in \mathbb{N} \setminus \{0, 2\}$ . A first-order logic formula  $\varphi$  over  $(\mathcal{V}_P, \emptyset, \mathcal{P}_P)$  is an

**atomic formula** if  $\varphi = false$  or  $\varphi = P(\alpha, \beta)$  for some  $P \in \mathcal{P}_P$  and  $\alpha, \beta \in \mathcal{V}_P$ .

**universal formula** if  $\varphi = \forall \overrightarrow{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$  where  $A_i$  is an atomic formula for  $i \in [n]$ ,  $A_i \neq false$  for  $i \in [n-1]$  and for each  $\alpha \in FV(\varphi) \cap FV(A_n)$  there exists an  $i \in [n-1]$  such that  $\alpha \in FV(A_i)$ .

**existential formula** if there exits  $n \geq 0$ , atomic formulas  $A_i \neq false$  for  $i \in [n]$  such that  $\varphi = \forall \overrightarrow{\alpha}(A_1 \to A_2 \to \cdots \to A_{n-1} \to \forall \beta(A_n \to false) \to false)$ .

The set of formulas of System **P** over  $(\mathcal{V}_P, \mathcal{P}_P)$  is the set of all first-order formulas over  $(\mathcal{V}_P, \emptyset, \mathcal{P}_P)$  that are either an atomic, universal or existential formula.  $FV(\Gamma) = \bigcup \{FV(A) \mid A \in \Gamma\}$  Deduction Rules

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, A \vdash A \\ \\ (\to \operatorname{-Introduction}) & \frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \\ \\ (\to \operatorname{-Elimination}) & \frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\forall \operatorname{-Introduction}) & \frac{\Gamma \vdash B}{\Gamma \vdash \forall \alpha B} \qquad \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall \operatorname{-Elimination}) & \frac{\Gamma \vdash \forall \alpha B}{\Gamma \vdash B \, [\alpha := b]} \end{array}$$

An Interpretation I of a P formula is a tuple  $I = (\Delta, \cdot^I)$  where  $\Delta$  is a set (called domain),  $P^I \subseteq \Delta^k$  and  $\alpha^I \in \Delta \dots$ 

If we interpret *false* with the logical constant false  $(\bot)$  (denoted by  $\vdash_f$ ) we can add a new deduction rule.

$$(\exists \text{-Introduction}) \quad \frac{\Gamma, A \left[\alpha := a\right] \vdash_f B}{\Gamma, \forall \alpha (A \to false) \to false \vdash_f B} \quad a \notin FV(\Gamma, A, B)$$

*Proof.* Let  $I = (\Delta, \cdot^I)$  be a model of  $\Gamma, \forall \alpha (A \to false) \to false$  with  $false^I = \bot$ .

$$\begin{split} I &\models \Gamma, \forall \alpha(A \to false) \to false \Rightarrow I \models \forall \alpha(A \to false) \to false \\ &\Rightarrow (\forall \alpha(A \to false))^I \to false^I \\ &\Rightarrow (\forall \alpha(A \to false))^I \to \bot \\ &\Rightarrow \neg (\forall \alpha(A \to false))^I \\ &\Rightarrow \neg (\forall d \in \Delta : (A \to false)^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta : \neg (A^{I[\alpha \mapsto d]} \to false^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta : \neg (A^{I[\alpha \mapsto d]} \to \bot) \\ &\Rightarrow \exists d \in \Delta : \neg (\neg A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta : A^{I[\alpha \mapsto d]} \end{split}$$

Together with  $a \notin FV(\Gamma, A)$ , it follows that  $I[a \mapsto d]$  is a model of  $\Gamma, A[\alpha := a]$ . Which implies  $I[a \mapsto d] \models B$ . Since a is not free in B we conclude that I is also a model of B.

## 3.2 Provability in System P is undecidable

 $\Gamma_C$ :

- Q(a)
- $R_1(a, a_0), P(a_{i-1}, a_i)$  for  $i \in \{1, \dots, m\}$
- $R_2(a, b_0), P(b_{i-1}, b_i)$  for  $i \in \{1, \dots, n\}$
- $D(a), D(a_i), D(b_j)$  for  $i \in \{1, ..., m\}$  and  $j \in \{1, ..., n\}$
- $E(a_m), E(b_n)$
- +(Q,1,Q'):
- $\forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))$ change of state
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to R_1(\beta, \delta) \to P(\delta, \gamma))$ increment register 1
- $\forall \alpha \beta \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\beta, \delta) \to D(\delta))$ prevent zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2
- $-(Q, 1, Q_1, Q_2)$ :
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to Q_2(\beta))$  jump on zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to R_1(\beta, \gamma))$  register 1 stays zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to Q_1(\beta))$ change state if register 1 is greater zero
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to P(\gamma, \delta) \to R_1(\beta, \delta))$  decrement register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2

#### Lemma 5.

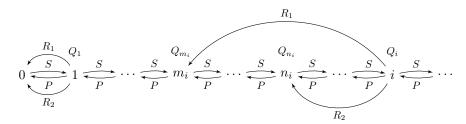
M terminates on input (0,0) iff  $\Gamma_M \vdash \text{false holds in system } P$ .

#### Claim 6.

 $\Gamma_M \vdash \text{false holds in system } P \implies M \text{ terminates on input } (0,0)$ 

*Proof.* Assume M does not terminate then there is an infinite chain  $C_1 \Rightarrow_M C_2 \Rightarrow_M C_3 \Rightarrow_M \dots$   $(C_i = \langle Q_i, m_i, n_i \rangle)$  Now we construct a model of  $\Gamma_M$  which interprets false with  $\bot$  this contradicts  $\Gamma_M \vdash false$ .

To illustrate the idea we will use a graphical notation for an interpretation I. By  $d_1 \stackrel{R}{\longrightarrow} d_2$  we say that  $(d_1, d_2) \in R^I$ . And we use q to say that  $d \in P^I$  for unary predicate symbols. Now the idea for our model of  $\Gamma_M$  looks like this:



We have  $0 \in E^I$  and all other numbers are in  $D^I$ . Here is the more formal definition of our model  $I = (\mathbb{N}, \cdot^I)$ .

$$\begin{split} P^I &= \{(i+1,i) \mid i \in \mathbb{N}\} & R_1^I &= \{(i,m_i) \mid i \in \mathbb{N}\} & R_2^I &= \{(i,n_i) \mid i \in \mathbb{N}\} \\ Q^I &= \{i \in \mathbb{N} \setminus \{0\} \mid Q = Q_i\} & D^I &= \mathbb{N} \setminus \{0\} & E^I &= \{0\} \\ S^I &= \{(i,i+1) \mid i \in \mathbb{N}\} & \end{split}$$

$$a^{I} = 1$$
  $a_{0}^{I} = 0$   $b_{0}^{I} = 0$ 

**Claim 7.** If a final state is reachable from C then  $\Gamma_C \cup \Gamma \vdash$  false.

*Proof.* By induction on the length of the computation. For the tableau proofs we will abbreviate false by f.

Induction Base trivial ...

Induction Step

 $C \Rightarrow_M^r D$ 

We need to make a case distinction on the rule r.

Case r = +(Q, 1, Q')

Basic idea:

$$\frac{IH}{\frac{\Gamma_C \cup \Gamma \cup \Gamma_D \vdash f}{\Gamma_C \cup \Gamma \vdash \Gamma}} \frac{\Gamma_C \cup \Gamma \vdash \Gamma_D}{\Gamma_C \cup \Gamma \vdash f}$$

Since  $I \models \mathit{false}$  holds trivially if I interprets  $\mathit{false}$  with  $\top$  we only need to consider models (note that there are none if M terminates which is exactly what we want to proof) of  $\Gamma_C \cup \Gamma$  that interpret  $\mathit{false}$  with  $\bot$  (so we can use

our new deduction rule).

We will just drop  $\Gamma_C \cup \Gamma$  and only write new formulas on the left side. We first introduce the new variables needed for  $\Gamma_D$  (let  $b, d \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma_C \cup \Gamma)$ ). Intuitively b will represent the successor state and d will be the anchor for register one.

$$\frac{\vdots}{S(a,b),D(b)\vdash_{f}f} = \frac{S(a,b)\vdash_{f}\forall\alpha\beta(S(\alpha,\beta)\to D(\beta))}{S(a,b)\vdash_{f}S(a,b)\to D(b)\quad S(a,b)\vdash_{f}S(a,b)}$$

$$\frac{S(a,b)\vdash_{f}f}{S(a,b)\vdash_{f}f} = \frac{S(a,b)\vdash_{f}f}{\forall\beta(S(a,\beta)\to f)\to f)\to f} = \frac{F_{f}\forall\alpha(\forall\beta(S(\alpha,\beta)\to f)\to f)}{\vdash_{f}\forall\beta(S(a,\beta)\to f)\to f}$$

$$\frac{F_{f}\forall\beta(S(a,\beta)\to f)\to f}{\vdash_{f}f} = \frac{F_{f}\forall\alpha(\forall\beta(S(a,\beta)\to f)\to f)}{\vdash_{f}f} = \frac{F_{f}\forall\beta(S(a,\beta)\to f)\to f}{\vdash_{f}f} = \frac{F_{f}\forall\beta(S(a,\beta)\to f})\to f}{\vdash_{f}f} = \frac{F_{f}\lor f}{\vdash_{f}f} = \frac{F_{f}\lor f}}{\vdash_{f}f} = \frac{F_{f}\lor f}$$

The formula  $R_1(b,d)$  can be acquired in a similar way. Again we will just drop S(a,b) and D(b) on the left side for comprehensibility.

$$\frac{\vdots}{R_{1}(b,d)\vdash_{f}f} \\
\frac{\forall \beta(R_{1}(b,\beta)\to f)\to f\vdash_{f}f}{\forall \beta(R_{1}(b,\beta)\to f)\to f)\to f} \\
\frac{\vdash_{f} (\forall \beta(R_{1}(b,\beta)\to f)\to f)}{\vdash_{f} f} \\
\frac{\vdash_{f} D(b)\to \forall \beta(R_{1}(b,\beta)\to f)\to f}{\vdash_{f} D(b)\to \forall \beta(R_{1}(b,\beta)\to f)\to f} \\
\vdash_{f} f$$

Now we have all the new free variables we need and we continue by ensuring that these variables fulfill all the formulas in  $\Gamma_D$ .

$$\frac{\vdots}{Q'(b)\vdash_{f}f} \frac{\vdash_{f} \forall \alpha \beta(Q(\alpha) \to S(\alpha,\beta) \to Q'(\beta))}{\vdash_{f} Q(a) \to S(a,b) \to Q'(b)} \vdash_{f} Q(a)}{\vdash_{f} Q'(b) \to f} \frac{\vdash_{f} S(a,b) \to Q'(b)}{\vdash_{f} S(a,b) \to Q'(b)} \vdash_{f} S(a,b)}{\vdash_{f} f}$$

Starting from  $Q'(b) \vdash_f false$  we can connect d and  $a_0$ .

$$\underbrace{\frac{\vdash_f \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow R_1(\beta,\delta) \rightarrow P(\delta,\gamma))}{\vdash_f Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_f Q(a)}_{\vdots \underbrace{P(d,a_0) \vdash_f f}_{\vdash_f R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_f S(a,b)}_{\vdash_f R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_f R_1(a,a_0)}_{\vdash_f R_1(b,d) \rightarrow Q'(b) \quad \vdash_f R_1(b,d)}_{\vdash_f P(d,a_0)}_{\vdash_f f}$$

For register one we still need D(d).

$$\vdots \\ \frac{D(d) \vdash_f f}{\vdash_f D(d) \to f} \\ \frac{\vdash_f \forall \alpha \beta \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\beta, \delta) \to D(\delta))}{\vdash_f Q(a) \to S(a, b) \to R_1(b, d) \to D(d) \quad \vdash_f Q(a)} \\ \frac{\vdash_f Q(a) \to S(a, b) \to R_1(b, d) \to D(d) \quad \vdash_f S(a, b)}{\vdash_f R_1(b, d) \to D(d) \quad \vdash_f R_1(b, d)} \\ \frac{\vdash_f R_1(b, d) \to D(d) \quad \vdash_f R_1(b, d)}{\vdash_f D(d)}$$

Since register two should not change we only need  $R_2(b, b_0)$ .

$$\underbrace{\frac{\vdash_{f} \forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_{2}(\alpha, \gamma) \to R_{2}(\beta, \gamma))}{\vdash_{f} Q(a) \to S(a, b) \to R_{2}(a, b_{0}) \to R_{2}(b, b_{0}) \quad \vdash_{f} Q(a)}_{\vdash_{f} R_{2}(b, b_{0}) \to f} \underbrace{\frac{\vdash_{f} Q(a) \to S(a, b) \to R_{2}(a, b_{0}) \to R_{2}(b, b_{0}) \quad \vdash_{f} S(a, b)}{\vdash_{f} R_{2}(a, b_{0}) \to R_{2}(b, b_{0}) \quad \vdash_{f} R_{2}(a, b_{0})}_{\vdash_{f} R_{2}(b, b_{0})}}_{\vdash_{f} R_{2}(b, b_{0})}$$

Now we have  $\Gamma_C$  (Since  $P(a_{i-1},a_i)$  is already in  $\Gamma_D$ ) and can deduce false by induction hypothesis.

Case 
$$r = -(Q, 1, Q_1, Q_2) \ r1 = 0$$

$$\frac{ \begin{array}{c} \vdash_f \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow Q_2(\beta)) \\ \hline \vdash_f Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_f Q(a) \\ \hline \vdots & \hline \\ P_f S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_f S(a,b) \\ \hline \hline P_f R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_f R_1(a,a_0) \\ \hline \\ P_f Q_2(b) \rightarrow f & \hline \\ \hline \\ \vdash_f Q_2(b) & \hline \\ \vdash_f f \end{array} }$$