# Contents

| 1 | Introduction                        | 2  |
|---|-------------------------------------|----|
|   | 1.1 Conventions                     | 2  |
| 2 | Basic Definitions                   | 2  |
|   | 2.1 $\lambda$ -calculus $\lambda 2$ | 2  |
|   | 2.2 first-order logic               | 6  |
|   | 2.3 two-counter automaton           | 8  |
| 3 | System P                            | 9  |
|   | 3.1 Definitions                     | 9  |
|   | 3.2 <b>CONS</b> is undecidable      | 11 |
| 4 | INHAB is undecidable                | 19 |

# 1 Introduction

### 1.1 Conventions

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\lambda \text{2-types: } t, t', t'', t_1, t_2, \ldots, s, s_1, s_2, \ldots \lambda \text{2-terms: } M, M', M_1, M_2, \ldots, N, N', N_1, N_2, \ldots first-order terms: first-order formulas: \varphi, \psi, type-variables: \alpha, a, \alpha_1, \alpha_2, \ldots, \beta, b, \ldots value-variables: x, y, z, x_1, x_2, \ldots Predicate-symbols: P, P^1, P^2, \ldots P-variables: P-formulas: A, B two-counter states: Q, Q', \widehat{Q}, Q_f, Q_1, Q_2, \ldots
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# 2 Basic Definitions

### 2.1 $\lambda$ -calculus $\lambda 2$

In the following let  $\mathcal{V}_T = \{\alpha, a, \beta, b, ...\}$  be a countably infinite set (of type-variables) and  $\mathcal{V}_V = \{x, x_1, x_2, ...\}$  be a countably infinite set (of value-variables).

**Definition 1.** The set of all  $\lambda 2$  types over  $\mathcal{V}_T$ , denoted by  $T_{\lambda 2}$ , is the smallest set T satisfying the following conditions:

- $\mathcal{V}_T \subseteq \mathcal{T}$ ,
- if  $t_1, t_2 \in T$  then  $(t_1 \to t_2) \in T$ , and
- if  $t \in T$  and  $\alpha \in \mathcal{V}_T$  then  $\forall \alpha.t \in T$ .

The set of all  $\lambda 2$  terms over  $\mathcal{V}_T$  and  $\mathcal{V}_V$ , denoted by  $\Lambda_{T_{\lambda_2}}$ , is the smallest set  $\Lambda_T$  satisfying the following conditions:

- $\mathcal{V}_V \subseteq \Lambda_{\mathrm{T}}$ ,
- if  $M_1, M_2 \in \Lambda_T$  then  $M_1 M_2 \in \Lambda_T$ ,
- if  $x \in \mathcal{V}_V$ ,  $t \in T_{\lambda 2}$ , and  $M \in \Lambda_T$  then  $\lambda x : t : M \in \Lambda_T$ ,
- if  $\alpha \in \mathcal{V}_T$  and  $M \in \Lambda_T$  then  $\Lambda \alpha.M \in \Lambda_T$ , and
- if  $M \in \Lambda_T$  and  $t \in T_{\lambda 2}$  then  $M t \in \Lambda_T$ .

If we have a type of the form  $(t_1 \to (t_2 \to (\cdots \to (t_{n-1} \to t_n) \cdots)))$  we will often omit the brackets and just write  $(t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n)$  or  $t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n$  instead.

**Definition 2.** Let  $M, N \in \Lambda_{T_{\lambda_2}}$  and  $x \in \mathcal{V}_V$ . The <u>substitution of x by N in M, denoted by M[x := N] is defined as follows:</u>

$$M[x := N] = \begin{cases} N & \text{if } M = x \\ y & \text{if } M = y \text{ and } y \neq x \\ (M_1[x := N])(M_2[x := N]) & \text{if } M = M_1 M_2 \\ M & \text{if } M = \lambda x : t.M' \\ \lambda y : t.(M'[x := N]) & \text{if } M = \lambda y : t.M' \text{ and } y \neq x \\ \Lambda \alpha .(M'[x := N]) & \text{if } M = \Lambda \alpha .M' \\ (M'[x := N])t & \text{if } M = M't \end{cases}$$

Let  $t, t' \in T_{\lambda 2}$  and  $a \in \mathcal{V}_T$ . The <u>substitution of a by t' in t'</u>, denoted by t[a := t'] is defined as follows:

$$t\left[a:=t'\right] = \begin{cases} t' & \text{if } t=a \\ b & \text{if } t=b \text{ and } b \neq a \\ (t_1\left[a:=t'\right]) \rightarrow (t_2\left[a:=t'\right]) & \text{if } t=t_1 \rightarrow t_2 \\ t & \text{if } t=\forall a.t'' \\ \forall \beta.(t''\left[a:=t'\right]) & \text{if } t=\forall \beta.t'' \text{ and } \beta \neq a \end{cases}$$

Let  $M \in \Lambda_{T_{\lambda_2}}$ ,  $a \in \mathcal{V}_T$ , and  $t \in T_{\lambda_2}$ . The <u>substitution of a by t in M</u>, denoted by M[a := t] is defined as follows:

$$M[a := t] = \begin{cases} x & \text{if } M = x \\ (M_1[a := t])(M_2[a := t]) & \text{if } M = M_1M_2 \\ \lambda x : t'[a := t] . (M'[a := t]) & \text{if } M = \lambda x : t'.M' \\ M & \text{if } M = \Lambda a.M' \\ \Lambda \beta . (M'[a := t]) & \text{if } M = \Lambda \beta.M' \text{ and } \beta \neq a \\ (M'[a := t]) t[a := t] & \text{if } M = M't \end{cases}$$

We will often abbreviate  $(\dots(M[a_n:=b_n])\dots)[a_1:=b_1]$  to  $M[\vec{a}:=\vec{b}]$  where  $\vec{a}=a_1\dots a_n$  and  $\vec{b}=b_1\dots b_n$ .

**Definition 3.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The <u>set of free variables of M, denoted by FV(M), is defined inductively as follows:</u>

$$FV(M) = \begin{cases} \{x\} & \text{if } M = x \\ FV(M_1) \cup FV(M_2) & \text{if } M = M_1 M_2 \\ FV(M') \setminus \{x\} & \text{if } M = \lambda x : t.M' \\ FV(M') & \text{if } M = \Lambda \alpha.M' \\ FV(M') & \text{if } M = M' t \end{cases}$$

The set of bound variables of M, denoted by BV(M), is defined as follows:

$$\mathrm{BV}(M) = \begin{cases} \emptyset & \text{if } M = x \\ \mathrm{BV}(M_1) \cup \mathrm{FV}(M_2) & \text{if } M = M_1 M_2 \\ \mathrm{BV}(M') \cup \{x\} & \text{if } M = \lambda x : t.M' \\ \mathrm{BV}(M') & \text{if } M = \Lambda \alpha.M' \\ \mathrm{BV}(M') & \text{if } M = M' t \end{cases}$$

**Definition 4.** Let  $t \in T_{\lambda 2}$ . The <u>set of free type-variables of t</u>, denoted by FV(t), is defined inductively as follows:

$$FV(t) = \begin{cases} \{a\} & \text{if } t = a \\ FV(t_1) \cup FV(t_2) & \text{if } t = t_1 \to t_2 \\ FV(t') \setminus \{\alpha\} & \text{if } t = \forall \alpha.t' \end{cases}$$

The set of bound type-variables of t, denoted by BV(t), is defined inductively as follows:

$$BV(t) = \begin{cases} \emptyset & \text{if } t = a \\ BV(t_1) \cup FV(t_2) & \text{if } t = t_1 \to t_2 \\ BV(t') \cup \{\alpha\} & \text{if } t = \forall \alpha.t' \end{cases}$$

Now we can lift this definition to terms.

**Definition 5.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The <u>set of free type-variables of M, denoted by FTV(M), is the union of all sets of free type-variables of types occurring in M.</u>

The set of bound type-variables of M, denoted by BTV(M), is the union of all sets of bound type-variables of types occurring in M.

**Definition 6.** The  $\alpha$ -conversion, denoted by  $\rightarrow_{\alpha}$ , is a binary relation on  $\Lambda_{T_{\lambda_2}}$ . For all  $M \in \Lambda_{T_{\lambda_2}}$ ,  $x, x' \in \mathcal{V}_T$ ,  $t \in T_{\lambda_2}$ , and  $\alpha, \beta \in \mathcal{V}_T$  if  $x' \notin FV(M) \cup BV(M)$  then  $\lambda x : t.M \rightarrow_{\alpha} \lambda x' : t.(M[x := x'])$  and if  $\beta \notin FTV(M) \cup BTV(M)$  then  $\Lambda \alpha.M \rightarrow_{\alpha} \Lambda \beta : t.(M[\alpha := \beta])$ .

The  $\underline{\beta}$ -reduction, denoted by  $\to_{\beta}$ , is a binary relation on  $\Lambda_{T_{\lambda_2}}$ . For all  $M, N \in \Lambda_{T_{\lambda_2}}$ ,  $x \in \mathcal{V}_T$ ,  $t \in T_{\lambda_2}$ , and  $\alpha \in \mathcal{V}_T$  if  $BV(M) \cap FV(N) = \emptyset$  then  $(\lambda x : t.M)N \to_{\beta} M$  [x := N] and if  $BTV(M) \cap FTV(N) = \emptyset$  then  $(\Lambda \alpha.M)t \to_{\beta} M$   $[\alpha := t]$ .

Note that right now we are not able to reduce terms within a context (e.g there is no  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\lambda x : t.(\lambda y : t.y)x \to_{\beta} M$ ).

**Definition 7.** So, for  $\to_{\alpha}$  we define a new relation  $\Rightarrow_{\alpha}$ . Let  $M, N, M' \in \Lambda_{T_{\lambda_2}}, x \in \mathcal{V}_T$ ,  $t \in T_{\lambda_2}$ , and  $\alpha \in \mathcal{V}_T$ . If  $M \to_{\alpha} M'$  then

$$M \Rightarrow_{\alpha} M'$$
  $MN \Rightarrow_{\alpha} M'N$   $\lambda x : t.M \Rightarrow_{\alpha} \lambda x : t.M'$   $Mt \Rightarrow_{\alpha} M't$   $NM \Rightarrow_{\alpha} NM'$   $\Lambda \alpha.M \Rightarrow_{\alpha} \Lambda \alpha.M'$ 

For  $\rightarrow_{\beta}$  we define  $\Rightarrow_{\beta}$  analogously.

**Definition 8.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The term M is in <u>normal form</u> if there is no  $N', N \in \Lambda_{T_{\lambda_2}}$  such that  $M \Rightarrow_{\alpha}^* N' \Rightarrow_{\beta} N$ .

M is <u>weakly normalizing</u> if there exists an  $M' \in \Lambda_{T_{\lambda_2}}$  such that N is in normal form and  $M \Rightarrow_{\lambda} N$ .

The term M is strongly normalizing if there is no infinite chain  $M \Rightarrow_{\alpha}^* M_1' \Rightarrow_{\beta} M_1 \Rightarrow_{\alpha}^* M_2' \Rightarrow_{\beta} M_2 \dots$ 

**Definition 9.** Let  $\mathcal{V} = \{x_1, \dots, x_n\}$  be a finite subset of  $\mathcal{V}_V$  and  $t_1, \dots, t_n \in \Lambda_{T_{\lambda_2}}$ . A  $\underline{\lambda_2}$ -basis  $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$  is a mapping from  $\mathcal{V}$  to  $T_{\lambda_2}$ . If the kind of basis is clear from the context we abbreviate  $\lambda_2$ -basis to basis.

The free variables of a basis  $\Gamma$ , denoted by  $FV(\Gamma)$ , are  $\bigcup \{FV(t) \mid (x:t) \in \Gamma\}$ .

For a basis  $\Gamma$  and another basis  $\Sigma$ ,  $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$ , and  $t \in T_{\lambda 2}$  we will abbreviate  $\Gamma \cup \{(x:t)\}$  to  $\Gamma, x:t$  and  $\Gamma \cup \Sigma$  to  $\Gamma, \Sigma$ .

**Definition 10.** Let M be in  $\Lambda_{\mathcal{T}_{\lambda_2}}$ , t in  $\mathcal{T}_{\lambda_2}$ , and  $\Gamma$  be a basis. A statement M:t is derivable from  $\Gamma$ , denoted by  $\Gamma \vdash M:t$ , if M:t can be produced using the following rules.

$$\begin{array}{ll} \text{(Axiom)} & \Gamma, x: t \vdash x: t \\ \\ \text{($\lambda$-Introduction)} & \frac{\Gamma, x: t_1 \vdash M: t_2}{\Gamma \vdash \lambda x: t_1.M: t_1 \to t_2} \\ \\ \text{($\lambda$-Elimination)} & \frac{\Gamma \vdash M_1: t_1 \to t_2 \quad \Gamma \vdash M_2: t_1}{\Gamma \vdash M_1 M_2: t_2} \\ \\ \text{($\forall$-Introduction)} & \frac{\Gamma \vdash M: t}{\Gamma \vdash \Lambda \alpha.M: \forall \alpha.t} \qquad \alpha \notin \text{FV}(\Gamma) \\ \\ \text{($\forall$-Elimination)} & \frac{\Gamma \vdash M: \forall \alpha.t}{\Gamma \vdash M \, t': t \, [\alpha:=t']} \end{array}$$

**Definition 11.** A term  $M \in \Lambda_{T_{\lambda_2}}$  is <u>well typed</u> if there exists a basis  $\Gamma$  and a type  $t \in T_{\lambda_2}$  such that  $\Gamma \vdash M : t$  holds.

**Theorem 12.** Let M, M' be in  $\Lambda_{T_{\lambda_2}}$  and  $M \Rightarrow_{\alpha} M'$  or  $M \Rightarrow_{\beta} M'$ , t in  $T_{\lambda_2}$ , and  $\Gamma$  be a basis. If  $\Gamma \vdash M : t$  then  $\Gamma \vdash M' : t$ .

**Theorem 13.** All well typed  $\lambda 2$  terms are strongly normalizing.

**Definition 14.** The inhabitation problem for  $\lambda 2$ , denoted by **INHAB**, is defined as follows. Given a  $\lambda 2$  type t.

Is there a  $\lambda 2$  term M such that  $\emptyset \vdash M : t$ ?

But we can rephrase this problem so that it becomes more general: Given a basis  $\Gamma$  and a  $\lambda 2$  type t.

Is there a 
$$\lambda 2$$
 term M such that  $\Gamma \vdash M : t$ ?

Obviously the second version is a special case of the first one. For the other direction consider a basis  $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$  and a  $\lambda 2$  type t. Clearly, for every term  $M, \Gamma \vdash M : t$  holds iff  $\emptyset \vdash \lambda x_1 : t_1 \dots \lambda x_n : t_n M : t_1 \to \dots \to t_n \to t$ .

# 2.2 first-order logic

**Definition 15.** A <u>ranked set</u> is a tuple  $(\Sigma, rk)$ , where  $\Sigma$  is a countable set and  $rk: \Sigma \to \mathbb{N}$  is a function that maps every symbol from  $\Sigma$  to a natural number (its rank).

If the function rk is understood we will just write  $\Sigma$  instead of  $(\Sigma, rk)$ . The set of all elements in  $\Sigma$  with a certain rank k, denoted by  $\Sigma^{(k)}$ , is defined as  $\Sigma^{(k)} := rk^{-1}(k)$ .

For the remainder of this subsection let  $\mathcal{V} = \{y, y_1, y_2, \dots\}$  be a countable set (of variables),  $\mathcal{F}$  a ranked set (of function symbols), and  $\mathcal{P}$  a ranked set (of predicate symbols).

**Definition 16.** The set of <u>terms over V and F</u>, denoted by  $T_{(V,F)}$ , is the smallest set T satisfying the following conditions:

- $\mathcal{V} \subseteq \mathcal{T}$ , and
- for every  $k \in \mathbb{N}$ , if  $f \in \mathcal{F}^{(k)}$  and  $t_1, t_2, \ldots, t_k \in \mathcal{T}$  then  $f(t_1, t_2, \ldots, t_k) \in \mathcal{T}$ .

The set of <u>first-order formulas over V, F, and P, denoted by  $\mathcal{L}_{(V,F,P)}$ , is the smallest set  $\mathcal{L}$  satisfying the following conditions:</u>

- for every  $k \in \mathbb{N}$ , if  $P \in \mathcal{P}^{(k)}$  and  $t_1, t_2, \ldots, t_k \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$  then  $P(t_1, t_2, \ldots, t_k) \in \mathcal{L}$ .
- If  $\varphi, \psi \in \mathcal{L}$  then  $(\varphi \wedge \psi)$ ,  $(\varphi \vee \psi)$ ,  $\neg \varphi \in \mathcal{L}$ , and
- if  $y \in \mathcal{V}$  and  $\varphi \in \mathcal{L}$  then  $\exists y.\varphi, \forall y.\varphi \in \mathcal{L}$ .

We introduce an additional binary operation  $\rightarrow$  on formulas, where for some  $\varphi$ ,  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  the formula  $(\varphi \rightarrow \psi)$  is defined as  $(\neg \varphi \lor \psi)$ , if we have a formula of the form  $(\varphi_1 \rightarrow (\varphi_2 \rightarrow (\cdots \rightarrow (\varphi_{n-1} \rightarrow \varphi_n) \cdots)))$  we will often omit the brackets and just write  $(\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n)$  or  $\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n$  instead.

For nullary relation symbols P we will abbreviate P() to P. If a formula  $\varphi$  is of the form  $Qy.(\psi)$  (where  $Q \in \{\exists, \forall\}, y \in \mathcal{V}, \text{ and } (\psi) \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})})$  we often drop the dot and write  $Qy(\psi)$  instead. If a formula  $\varphi$  has multiple variables bound by the same quantifier (i.e.  $\varphi = Qy_1.Qy_2...Qy_n.\psi$  for  $Q \in \{\exists, \forall\}, \text{ some } n \in \mathbb{N}, y_1, y_2, ..., y_n \in \mathcal{V},$  and  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})})$  we abbreviate  $\varphi$  to  $Qy_1y_2...y_n.\psi$  or to  $Q\vec{y}.\psi$  where  $\vec{y} = y_1y_2...y_n$ .

**Definition 17.** The set of variables of a term  $t \in \mathcal{T}_{(\mathcal{V},\mathcal{F})}$ , denoted by V(t), is defined by:

$$V(t) = \begin{cases} \{y\} & \text{if } t = y \\ V(t_1) \cup V(t_2) \cup \dots \cup V(t_k) & \text{if } t = f(t_1, t_2, \dots, t_k) \end{cases}$$

The set of free variables of a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ , denoted by  $FV(\varphi)$ , is defined as follows:

$$FV(\varphi) = \begin{cases} V(t_1) \cup V(t_2) \cup \cdots \cup V(t_k) & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ FV(\psi) & \text{if } \varphi = \neg \psi \\ FV(\varphi_1) \cup FV(\varphi_2) & \text{if } \varphi = (\varphi_1 \wedge \varphi_2) \text{ or } \varphi = (\varphi_1 \vee \varphi_2) \\ FV(\psi) \setminus \{y\} & \text{if } \varphi = \forall y. \psi \text{ or } \varphi = \exists y. \psi \end{cases}$$

**Definition 18.** The set of subformulas of a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ , denoted by  $SUB(\varphi)$ , is defined as follows:

$$FV(\varphi) = \begin{cases} \{\varphi\} & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ \{\varphi\} \cup SUB(\psi) & \text{if } \varphi = \neg \psi \\ \{\varphi\} \cup SUB(\varphi_1) \cup SUB(\varphi_2) & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ or } \varphi = (\varphi_1 \lor \varphi_2) \\ \{\varphi\} \cup SUB(\psi) & \text{if } \varphi = \forall y. \psi \text{ or } \varphi = \exists y. \psi \end{cases}$$

**Definition 19.** We say that a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  contains no <u>dummy quantifiers</u> if for all  $\psi \in SUB(\varphi)$  of the form  $\psi = \forall y.\psi'$  or  $\psi = \exists y.\psi'$  for some  $y \in \mathcal{V}_P$  and some  $\psi' \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  we have that  $y \in FV(\psi')$ .

**Definition 20.** Let y be in  $\mathcal{V}$  and  $t, t' \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ . The <u>substitution of y by t' in t, denoted by t[y := t'], is defined as follows:</u>

$$t[y := t'] = \begin{cases} t' & \text{if } t = y \\ z & \text{if } t = z \text{ and } z \neq y \\ f(t_1[y := t'], \dots, t_k[y := t']) & \text{if } t = f(t_1, \dots, t_k) \end{cases}$$

Now we can lift this definition to formulas, let  $\varphi$  be in  $\mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ . The <u>substitution of</u> y by t' in  $\varphi$ , denoted by  $\varphi[y:=t']$ , is defined as follows:

$$\varphi\left[y:=t'\right] = \begin{cases} P(t_1\left[y:=t'\right], \dots, t_k\left[y:=t'\right]) & \text{if } \varphi = P(t_1, \dots, t_k) \\ \neg(\psi\left[y:=t'\right]) & \text{if } \varphi = \neg\psi \\ \varphi_1\left[y:=t'\right] \circ \varphi_2\left[y:=t'\right] & \text{if } \varphi = (\varphi_1 \circ \varphi_2) \ , \circ \in \{\land, \lor\} \\ \varphi & \text{if } \varphi = \forall y.\psi \text{ or } \varphi = \exists y.\psi \\ Qz.(\psi\left[y:=t'\right]) & \text{if } \varphi = Qz.\psi, \ Q \in \{\forall, \exists\} \text{ and } z \neq y \end{cases}$$

Now we come to the semantics of first-order formulas.

**Definition 21.** An interpretation I over V,  $\mathcal{F}$ , and  $\mathcal{P}$  is a triple  $I = (\Delta, \cdot^I, \omega)$ , where  $\Delta$  is a nonempty set (which we call domain),

·I is a function such that  $f^I \colon \Delta^k \to \Delta \text{ is a function for every } k \in \mathbb{N}, \ f \in \mathcal{F}^{(k)} \text{ and } P^I \subseteq \Delta^k \text{ is a relation for every } k \in \mathbb{N}, \ P \in \mathcal{P}^{(k)}$ 

 $\omega$  is a function from  $\mathcal{V}$  to  $\Delta$ .

Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation,  $y \in \mathcal{V}$ , and  $d \in \Delta$  the interpretation  $I[y \mapsto d]$  is defined as  $(\Delta, \cdot^I, \omega[y \mapsto d])$  where

$$(\omega [y \mapsto d])(z) = \begin{cases} d & \text{if } z = y \\ \omega(y) & \text{otherwise.} \end{cases}$$

**Definition 22.** Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation and t a term. The interpretation of t under I, denoted by  $t^I$ , is defined as follows:

$$t^{I} = \begin{cases} \omega(y) & \text{if } t = y\\ f^{I}(t_{1}^{I}, \dots, t_{k}^{I}) & \text{if } t = f(t_{1}, \dots, t_{k}) \end{cases}$$

Let  $\varphi$  be a formula. The <u>interpretation of  $\varphi$  under I, denoted by  $\varphi^I$ , is defined recursively as follows:</u>

$$\varphi^I = \begin{cases} \top & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \in P^I \\ \bot & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \notin P^I \\ \text{not } \psi^I & \text{if } \varphi = \neg \psi \\ \varphi_1^I \text{ and } \varphi_2^I & \text{if } \varphi = (\varphi_1 \land \varphi_2) \\ \varphi_1^I \text{ or } \varphi_2^I & \text{if } \varphi = (\varphi_1 \lor \varphi_2) \\ \text{exists } d \in \Delta \ \psi^{I[y \mapsto d]} & \text{if } \varphi = \exists y.\psi \\ \text{forall } d \in \Delta \ \psi^{I[y \mapsto d]} & \text{if } \varphi = \forall y.\psi \end{cases}$$

The interpretation I is a model of  $\varphi$ , denoted by  $I \models \varphi$ , if  $\varphi^I = \top$ .

When we define an interpretation I and we have a nullary predicate symbol P we write  $P^I = \top$  instead of  $P^I = \{()\}$  and  $P^I = \bot$  for  $P^I = \emptyset$  (this works because  $P()^I = \top$  iff  $() \in P^I)$ .

**Definition 23.** Let  $\Gamma$  be a finite set of first-order formulas.

We say that an interpretation I is a <u>model</u> of  $\Gamma$ , denoted by  $I \models \Gamma$ , if  $I \models \psi$  for every  $\psi$  in  $\Gamma$ .

The formula  $\varphi$  is a <u>semantic consequence</u> of  $\Gamma$ , denoted by  $\Gamma \vdash \varphi$ , if every model of  $\Gamma$  is also a model of  $\varphi$ .

The free variables of  $\Gamma$ , denoted by  $FV(\Gamma)$ , are  $\bigcup \{FV(\varphi) \mid \varphi \in \Gamma\}$ .

#### 2.3 two-counter automaton

We will use a version of two-counter automaton which only has two types of transitions. First it can increment a register and second it can decrement a register or jump if the register is already zero. Formally:

**Definition 24.** A deterministic two-counter automaton is a 4-tuple  $M = (\mathcal{Q}, Q_0, Q_f, R)$ ,

where Q is a finite set (of states),

 $Q_0$  is in  $\mathcal{Q}$  (the initial state),

 $Q_f$  is in  $\mathcal{Q}$  (the final state), and

R is a function from  $\mathcal{Q} \setminus \{Q_f\}$  to  $\mathcal{R}_{\mathcal{Q}}$ , where  $\mathcal{R}_{\mathcal{Q}} = \{+(i,Q') \mid i \in \{1,2\}, Q' \in \mathcal{Q}\}$   $\cup \{-(i,Q_1,Q_2) \mid i \in \{1,2\}, Q_1, Q_2 \in \mathcal{Q}\}$ 

A <u>configuration</u> C of our automaton is a triple  $C = \langle Q, m, n \rangle$ , where  $Q \in \mathcal{Q}$  and  $m, n \in \mathbb{N}$ . Let r be in  $R(\mathcal{Q} \setminus \{Q_f\})$ , then  $\Rightarrow_M^r$  is a binary relation on the configurations of M such that two configurations  $\langle Q, m, n \rangle$ ,  $\langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$  of M are in the in the relation if all of the following conditions hold:

- $Q \neq Q_f$ , r = R(Q),
- if r = +(1, Q') for some  $Q' \in \mathcal{Q}$  then  $\widehat{Q} = Q'$ ,  $\widehat{m} = m + 1$ , and  $\widehat{n} = n$ ,
- if r = +(2, Q') for some  $Q' \in \mathcal{Q}$  then  $\widehat{Q} = Q'$ ,  $\widehat{m} = m$ , and  $\widehat{n} = n + 1$ ,
- if  $r = -(1, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  then if m = 0 then  $\widehat{Q} = Q_2$ ,  $\widehat{m} = 0$ , and  $\widehat{n} = n$ , if  $m \ge 1$  then  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m - 1$ , and  $\widehat{n} = n$ ,
- if  $r = -(2, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  then if n = 0 then  $\widehat{Q} = Q_2$ ,  $\widehat{m} = m$ , and  $\widehat{n} = 0$ , if  $n \ge 1$  then  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m$ , and  $\widehat{n} = n - 1$ .

The <u>transition relation of M</u>, denoted by  $\Rightarrow_M$ , is defined as  $\bigcup_{r \in R(Q \setminus \{Q_f\})} \Rightarrow_M^r$ . We denote the transitive reflexive closure of  $\Rightarrow_M$  by  $\Rightarrow_M^*$ 

Let m, n be in  $\mathbb{N}$ , we say that  $\underline{M}$  terminates on input (m, n) if there exist  $\widehat{m}, \widehat{n} \in \mathbb{N}$  such that  $\langle Q_0, m, n \rangle \Rightarrow_M^* \langle Q_f, \widehat{m}, \widehat{n} \rangle$  (It follows that there exists an  $i \in \mathbb{N}$  and configurations  $D_1, \ldots, D_i$  of M such that  $\langle Q_0, m, n \rangle = D_1 \Rightarrow_M \cdots \Rightarrow_M D_i = \langle Q_f, \widehat{m}, \widehat{n} \rangle$ , we call this chain a computation with length i).

**Definition 25.** The halting problem for two-counter automaton, denoted by HALT, is defined as follows. Given a two-counter automaton M.

Does M terminate on input (0,0)?

It is well known that **HALT** is undecidable.

# 3 System P

### 3.1 Definitions

In the following let  $\mathcal{V}_P = \{\alpha, a, \beta, b, ...\}$  be a countably infinite subset of  $\mathcal{V}_T$  (of variables). Let  $\mathcal{P}_P = \{P, Q, ...\}$  be a set (of predicate symbols) and  $\mathcal{P}$  a ranked set such

that  $\mathcal{P}^{(0)} = \{ \mathbf{false} \}$ ,  $\mathcal{P}^{(2)} = \mathcal{P}_P$ , and  $\mathcal{P}^{(k)} = \emptyset$  for all  $k \in \mathbb{N} \setminus \{0, 2\}$ . A first-order logic formula  $\varphi$  over  $\mathcal{V}_P$ ,  $\emptyset$ , and  $\mathcal{P}$  is an

**atomic formula** if  $\varphi =$ **false** or  $\varphi = P(a, b)$  for some  $P \in \mathcal{P}_P$  and  $a, b \in \mathcal{V}_P$ .

**universal formula** if  $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$  for some  $n \in \mathbb{N}$  and where  $A_i$  is an atomic formula for  $i \in \{1, \dots, n\}$ ,  $A_i \neq \mathbf{false}$  for  $i \in \{1, \dots, n-1\}$  and for each  $\alpha \in \mathrm{FV}(A_n) \setminus \mathrm{FV}(\varphi)$  there exists an  $i \in \{1, \dots, n-1\}$  such that  $\alpha \in \mathrm{FV}(A_i)$ .

**existential formula** if there is an  $n \in \mathbb{N}^+$ , atomic formulas  $A_i \neq \mathbf{false}$  for  $i \in \{1, ..., n\}$ ,  $\beta \in \mathcal{V}_P$ , such that for each  $\alpha \in (\mathrm{FV}(A_n) \setminus \mathrm{FV}(\varphi)) \setminus \{\beta\}$  there exists an  $i \in \{1, ..., n-1\}$  such that  $\alpha \in \mathrm{FV}(A_i)$  and  $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_{n-1} \to \forall \beta(A_n \to \mathbf{false}) \to \mathbf{false})$ .

The set of formulas of System  $\mathbf{P}$  (= set of  $\mathbf{P}$ -formulas) over  $\mathcal{V}_P$  and  $\mathcal{P}_P$  is the set of all first-order formulas in  $\mathcal{L}_{(\mathcal{V}_P,\emptyset,\mathcal{P})}$  that are either an atomic, universal or existential formula. In what follows we assume all  $\mathbf{P}$ -formulas to contain no dummy quantifiers.

**Definition 26.** A finite set of **P**-formulas  $\Gamma$  is called **P**-basis, or basis if it is clear from the context whether a **P**-basis or a  $\lambda$ **2**-basis is meant.

For a **P**-basis  $\Gamma$ , another **P**-basis  $\Sigma$ , and a **P**-formula A we will abbreviate  $\Gamma \cup \{A\}$  to  $\Gamma$ , A and  $\Gamma \cup \Sigma$  to  $\Gamma$ ,  $\Sigma$  (c.f.  $\lambda 2$ -basis).

**Definition 27.** Let A be a **P**-formula, and  $\Gamma$  be a basis. The formula A is a <u>semantic consequence</u> of  $\Gamma$ , denoted by  $\Gamma \vdash A$ , if A can be produced using the following deduction rules.

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, A \vdash A \\ \\ (\to \operatorname{-Introduction}) & \frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \\ \\ (\to \operatorname{-Elimination}) & \frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\forall \operatorname{-Introduction}) & \frac{\Gamma \vdash B}{\Gamma \vdash \forall \alpha B} & \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall \operatorname{-Elimination}) & \frac{\Gamma \vdash \forall \alpha B}{\Gamma \vdash B \ [\alpha := b]} & b \in \mathcal{V}_P \end{array}$$

We define a more general consequence relation in which we demand that **false** is interpreted with  $\perp$ . In this relation existential formulas will behave like the name suggests. Formally:

**Definition 28.** Let  $\Gamma$  be a basis. The **P**-formula A is a semantic consequence with falsity of  $\Gamma$ , denoted by  $\Gamma \vdash_f A$ , if for every interpretation I

$$I \models \Gamma$$
 and  $\mathbf{false}^I = \bot$  implies  $I \models A$ .

This allows us to add the following deduction rule.

$$(\exists \text{-Introduction}) \quad \frac{\Gamma, A \left[\alpha := a\right] \vdash_{\mathsf{f}} B}{\Gamma, A' := \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \vdash_{\mathsf{f}} B} \quad a \notin \mathit{FV}(\Gamma, A', B)$$

*Proof.* Let  $I = (\Delta, \cdot^I, \omega)$  be a model of  $\Gamma, \forall \alpha(A \to \mathbf{false}) \to \mathbf{false}$  with  $\mathbf{false}^I = \bot$  and  $a \in \mathcal{V}_P$  a variable such that  $a \notin FV(\Gamma, A', B)$ .

$$\begin{split} I &\models \Gamma, \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \Rightarrow I \models \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \\ &\Rightarrow (\forall \alpha (A \to \mathbf{false}))^I \to \mathbf{false}^I \\ &\Rightarrow (\forall \alpha (A \to \mathbf{false}))^I \to \bot \\ &\Rightarrow \neg (\forall \alpha (A \to \mathbf{false}))^I \\ &\Rightarrow \neg (\forall d \in \Delta \colon (A \to \mathbf{false})^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon \neg (A^{I[\alpha \mapsto d]} \to \mathbf{false}^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon \neg (A^{I[\alpha \mapsto d]} \to \bot) \\ &\Rightarrow \exists d \in \Delta \colon \neg (\neg A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \exists d \in \Delta \colon A^{I[\alpha \mapsto d]} \end{split}$$

Together with  $a \notin FV(\Gamma, A')$ , it follows that  $I[a \mapsto d]$  is a model of  $\Gamma, A[\alpha := a]$ . Which implies  $I[a \mapsto d] \models B$ . Since a is not free in B we conclude that I is also a model of B.

**Definition 29.** The problem to decide whether a given set of **P**-formulas is consistent, denoted by **CONS**, is defined as follows. Given a set of **P**-formulas  $\Gamma$ .

Does  $\Gamma \vdash$  **false** not hold?

## 3.2 CONS is undecidable

We will show that  $\mathbf{HALT} \leq \mathbf{CONS}$  then the undecidability of  $\mathbf{CONS}$  directly follows from the undecidability of  $\mathbf{HALT}$ . For a given two-counter automaton M we will effectively construct a  $\mathbf{P}$ -basis  $\Gamma_M$  such that

M terminates on input (0,0) iff  $\Gamma_M \vdash \mathbf{false}$  holds in System  $\mathbf{P}$ .

Let  $M = (\mathcal{Q}, Q_0, Q_f, R)$  be a two-counter automaton, w.l.o.g.  $S, P, R_1, R_2, E, D, G \notin \mathcal{Q}$ . In the following we will consider **P**-formulas over  $\mathcal{V}_P$  and  $\mathcal{P}_P$ , where  $\mathcal{P}_P = \mathcal{Q} \uplus \{S, P, R_1, R_2, E, D, G\}$ . We will abbreviate P(a, a) to P(a), note that this way we can use binary predicate symbols as unary ones.

The intended informal meaning for these new relation symbols is the following:

- The meaning of Q(a) is "a represents a configuration and Q is the state of this configuration".
- For  $i \in \{1, 2\}$ ,  $R_i(a, m)$  denotes that "the value of register i in the configuration represented by a is represented by m" (we call m anchor of a for register i).
- With S(a,b) we state that "b is a successor of a".
- The meaning of P(a,b) is "b is a predecessor of a".
- And E(a) marks "a as the end of chain".
- With D(a) we state that "a is not the end of a chain".
- Finally G(a) has no actual meaning we just need it for the existential formulas.

For a configuration  $C = \langle Q, m, n \rangle$  of M we define a set of **P**-formulas  $\Gamma_C$ . It contains the following formulas:

- $\bullet$  Q(a), G(a)
- $R_1(a, a_0), P(a_{i-1}, a_i)$  for  $i \in \{1, \dots, m\}$
- $R_2(a,b_0), P(b_{i-1},b_i)$  for  $i \in \{1,\ldots,n\}$
- $D(a_i), D(b_j), G(a_i), G(b_j)$  for  $i \in \{0, \dots, m-1\}$  and  $j \in \{0, \dots, n-1\}$
- $E(a_m), E(b_n), G(a_m), G(b_n)$

Next we need sets of **P**-formulas for all possible transitions. For every  $Q \in \mathcal{Q} \setminus \{Q_f\}$  and  $r \in \mathcal{R}_{\mathcal{Q}}$  we define  $\Gamma_{Q,r}$ . If r = +(1,Q') for some  $Q' \in \mathcal{Q}$  then  $\Gamma_{Q,+(1,Q')}$  contains the following formulas:

- $\forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))$ change of state
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to R_1(\beta, \delta) \to P(\delta, \gamma))$ increment register 1
- $\forall \alpha \beta \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\beta, \delta) \to D(\delta))$ prevent zero in register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change the value register 2

If  $r=-(1,Q_1,Q_2)$  for some  $Q_1,Q_2\in\mathcal{Q}$  then  $\Gamma_{Q,-(1,Q_1,Q_2)}$  contains the following formulas:

•  $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to Q_2(\beta))$ jump to  $Q_2$  if register 1 is zero

- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to R_1(\beta, \gamma))$ if register 1 is zero it stays zero
- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to Q_1(\beta))$ change state to  $Q_1$  if register 1 is greater zero
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to P(\gamma, \delta) \to R_1(\beta, \delta))$  decrement register 1 if possible
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2 in both cases

For r = +(2, Q') for some  $Q' \in \mathcal{Q}$  or  $r = -(2, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  the sets  $\Gamma_{Q,r}$  are defined analogously.

We also need a set  $\Gamma_1$  to ensure that our representation works correctly. The following formula are in  $\Gamma_1$ :

- $\forall \alpha \beta (S(\alpha, \beta) \to G(\beta))$
- $\forall \alpha(D(\alpha) \to G(\alpha))$
- $\forall \alpha(G(\alpha) \to \forall \beta(R_1(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element represents a configuration so it has a value for register 1
- $\forall \alpha(G(\alpha) \to \forall \beta(R_2(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element represents a configuration so it has a value for register 2
- $\forall \alpha(G(\alpha) \rightarrow \forall \beta(S(\alpha, \beta) \rightarrow \mathbf{false}) \rightarrow \mathbf{false})$ every element has a successor

We define  $\Gamma_{\overline{M}}$  as  $\bigcup_{Q \in \mathcal{Q} \setminus \{Q_f\}} \Gamma_{Q,R(Q)} \cup \{ \forall \alpha (Q_f(\alpha) \to \mathbf{false}) \} \cup \Gamma_1$ . We have added the formula  $\forall \alpha (Q_f(\alpha) \to \mathbf{false})$  to be able to deduce  $\mathbf{false}$  if our automaton terminates. Finally we can define  $\Gamma_M$  as  $\Gamma_{C_0} \cup \Gamma_{\overline{M}}$ , where  $C_0 = \langle Q_0, 0, 0 \rangle$  is the initial configuration.

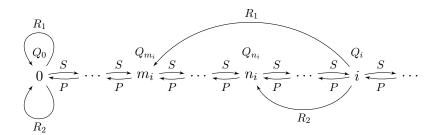
### Claim 30.

$$\Gamma_M \vdash \mathbf{false} \text{ holds in system P} \implies M \text{ terminates on input } (0,0)$$

*Proof.* Assume M does not terminate then there is an infinite chain  $C_0 \Rightarrow_M C_1 \Rightarrow_M C_2 \Rightarrow_M \cdots (C_i = \langle Q_i, m_i, n_i \rangle \text{ for } i \in \mathbb{N})$ . Now we construct a model of  $\Gamma_M$  which interprets **false** with  $\bot$  this contradicts  $\Gamma_M \vdash$  **false**.

To illustrate the idea we will use a graphical notation for an interpretation I. By  $d_1 \stackrel{R}{\to} d_2$  we say that  $(d_1, d_2) \in R^I$ . And we use  $\frac{P}{d}$  to say that  $(d, d) \in P^I$  for predicate symbols that are used as unary predicate symbols. As domain for our interpretation we will use the natural numbers. Every number will have two tasks: firstly it will represent itself as a possible value for register 1 or 2 and secondly every number i will also represent

the  $i^{\text{th}}$  configuration of our infinite computation. Now the idea for our model of  $\Gamma_M$  looks like this:



We have  $0 \in E^I$ , all other numbers are in  $D^I$ , and all numbers are in  $G^I$ . Here is the more formal definition of our model  $I = (\mathbb{N}, \cdot^I, \omega)$ .

$$\begin{split} P^I &= \{(i+1,i) \mid i \in \mathbb{N}\} & R_1^I &= \{(i,m_i) \mid i \in \mathbb{N}\} & R_2^I &= \{(i,n_i) \mid i \in \mathbb{N}\} \\ S^I &= \{(i,i+1) \mid i \in \mathbb{N}\} & D^I &= \{(i,i) \mid i \in \mathbb{N}^+\} & E^I &= \{(0,0)\} \\ Q^I &= \{(i,i) \mid i \in \mathbb{N}, Q = Q_i\} \text{ for every } Q \in \mathcal{Q} & \mathbf{false}^I &= \bot \\ G^I &= \mathbb{N} \end{split}$$

$$a^{I} = 0$$
  $a^{I}_{0} = 0$   $b^{I}_{0} = 0$ 

Since there are no free variables in  $\Gamma_M$  we can just set  $\omega(x) = 0$  for every  $x \in \mathcal{V}_P$ . It is easy to see that I is indeed a model of  $\Gamma_M$ .

We proof the other direction by induction on the length of the computation. But to be able to use the induction hypothesis we need a slightly more general statement (this is why we defined  $\Gamma_{\overline{M}}$  and not just  $\Gamma_M$  right away).

Claim 31. Let  $C = \langle Q, m, n \rangle$  be a configuration of M. If a final configuration (i.e. a configuration  $\langle Q_f, \widehat{m}, \widehat{n} \rangle$  for some  $\widehat{m}, \widehat{n} \in \mathbb{N}$ ) is reachable from C then  $\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}$ .

*Proof.* By induction on the length i of the computation.

Induction Base: i = 0

Since a final configuration is reachable in 0 steps C must be this final configuration. So  $C = \langle Q_f, m, n \rangle$  for some  $m, n \in \mathbb{N}$ . Hence,  $Q_f(a)$  is in  $\Gamma_C$  for some  $a \in \mathcal{V}_P$  and  $\forall \alpha (Q_f(\alpha) \to \mathbf{false})$  is in  $\Gamma_{\overline{M}}$ , we can easily deduce  $\mathbf{false}$ .

$$\frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \forall \alpha (Q_f(\alpha) \to \mathbf{false})}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a) \to \mathbf{false}} \frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a)}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}}$$

Induction Step: i = i' + 1

Since  $I \models$  false holds trivially if I interprets false with  $\top$  we only need to consider

models of  $\Gamma_C \cup \Gamma_{\overline{M}}$  that interpret **false** with  $\bot$  (note that there are no such models if M terminates which is exactly what we want to proof). As result of this observation we can use the  $\exists$ -Introduction rule.

From the fact that a final configuration is reachable from C in i steps we can deduce that there exists a configuration  $D = \langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$  such that  $C \Rightarrow_M^r D$  for some  $r \in \mathcal{R}_{\mathcal{Q}}$  and a final configuration is reachable from D in i' steps. We also know that  $C = \langle Q, m, n \rangle$  for some  $Q \in \mathcal{Q} \setminus \{Q_f\}$  and some  $m, n \in \mathbb{N}$ . The set  $\Gamma_C$  contains the formulas:

$$R_1(a, a_0), P(a_{i-1}, a_i), G(a_{i-1}), \text{ and } D(a_{i-1}) \text{ for } i \in \{1, \dots, m\},$$
  
 $R_2(a, b_0), P(b_{i-1}, b_i), G(b_{i-1}), \text{ and } D(b_{i-1}) \text{ for } i \in \{1, \dots, n\},$   
 $Q(a), E(a_m), E(b_n), G(a), G(a_m), \text{ and } G(b_n).$ 

And  $\Gamma_D$  contains the formulas:

$$R_1(\widehat{a}, \widehat{a}_0), P(\widehat{a}_{i-1}, \widehat{a}_i), G(\widehat{a}_{i-1}), \text{ and } D(\widehat{a}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{m}\},$$
  
 $R_2(\widehat{a}, \widehat{b}_0), P(\widehat{b}_{i-1}, \widehat{b}_i), G(\widehat{b}_{i-1}), \text{ and } D(\widehat{b}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{n}\},$   
 $\widehat{Q}(\widehat{a}), E(\widehat{a}_{\widehat{m}}), E(\widehat{b}_{\widehat{n}}), G(\widehat{a}), G(\widehat{a}_{\widehat{m}}), \text{ and } G(\widehat{b}_{\widehat{n}}).$ 

The basic idea is to deduce  $\Gamma_D$  from  $\Gamma_C \cup \Gamma_{\overline{M}}$  and then apply the induction hypothesis to  $\Gamma_D \cup \Gamma_{\overline{M}}$ .

$$\frac{ \begin{array}{c|c} \text{Induction Hypothesis} \\ \hline \Gamma_C \cup \Gamma_{\overline{M}} \cup \Gamma_D \vdash_{\mathrm{f}} \mathbf{false} \end{array} & \Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\mathrm{f}} \Gamma_D \\ \hline \hline \Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\mathrm{f}} \mathbf{false} \end{array}$$

We achieve this by looking at the four possible cases for the type of the rule r. We will only consider the cases r = +(1, Q') and  $r = -(1, Q_1, Q_2)$ , because the two remaining cases r = +(2, Q') and  $r = -(2, Q_1, Q_2)$  follow by exchanging the roles of register 1 and register 2 in the first two cases.

First we need a new free variable representing the configuration D. Also the value in register 2 does not change, because in both cases we are only concerned with register 1. For the succeeding tableau proofs we will abbreviate **false** by **f** and we will drop  $\Gamma_C \cup \Gamma_{\overline{M}}$  and only write new formulas on the left side of  $\vdash_{\mathbf{f}}$ .

We first introduce a new variable representing the new configuration D (let  $b \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma_C)$ , note that  $\mathrm{FV}(\Gamma_{\overline{M}}) = \emptyset$ ).

$$\frac{\vdots}{S(a,b)\vdash_{\mathbf{f}}\mathbf{f}} \qquad \qquad \vdash_{\mathbf{f}} \forall \alpha(G(\alpha) \to \forall \beta(S(\alpha,\beta) \to \mathbf{f}) \to \mathbf{f}) \\
 \frac{\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f} \vdash_{\mathbf{f}} \mathbf{f}}{\vdash_{\mathbf{f}} (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}) \to \mathbf{f})} \qquad \qquad \vdash_{\mathbf{f}} G(a) \to (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}) \\
 \frac{\vdash_{\mathbf{f}} G(a) \to (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f})}{\vdash_{\mathbf{f}} \forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}} \qquad \qquad \vdash_{\mathbf{f}} \mathbf{f}$$

For the new variable b we have to deduce G(b).

$$\frac{\vdots}{G(b) \vdash_{\mathbf{f}} \mathbf{f}} \qquad \frac{\vdash_{\mathbf{f}} \forall \alpha \beta (S(\alpha, \beta) \to G(\beta))}{\vdash_{\mathbf{f}} S(a, b) \to G(b)} \qquad \vdash_{\mathbf{f}} S(a, b)}{\vdash_{\mathbf{f}} G(b)}$$

$$\vdash_{\mathbf{f}} \mathbf{f}$$

Since register 2 should not change we need  $R_2(b, b_0)$ . Again we will just drop S(a, b) on the left side for comprehensibility.

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma (Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_2(\alpha,\gamma) \rightarrow R_2(\beta,\gamma)) \\ \hline \\ \vdots \\ \hline R_2(b,b_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_2(b,b_0) \rightarrow \mathbf{f} \end{array} } \frac{ \begin{array}{c} \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0) & \vdash_{\mathbf{f}} Q(a) \\ \hline \\ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \\ \vdash_{\mathbf{f}} R_2(a,b_0) \rightarrow \mathbf{f} \end{array} } \frac{ \begin{array}{c} \vdash_{\mathbf{f}} R_2(a,b_0) \rightarrow R_2(b,b_0) & \vdash_{\mathbf{f}} R_2(a,b_0) \\ \hline \\ \vdash_{\mathbf{f}} R_2(b,b_0) & \vdash_{\mathbf{f}} R_2(b,b_0) \end{array} }{ \begin{array}{c} \vdash_{\mathbf{f}} R_2(b,b_0) & \vdash_{\mathbf{f}} R_2(a,b_0) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array} }$$

For the case that r = +(1, Q'), we have that  $\widehat{Q} = Q'$ ,  $\widehat{m} = m + 1$ , and  $\widehat{n} = n$ . So we need to increment register 1 and ensure that the state of b is Q'.

$$\begin{array}{c} \vdots \\ \hline Q'(b) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} Q'(b) \to \mathbf{f} \end{array} \begin{array}{c} \frac{\vdash_{\mathbf{f}} \forall \alpha \beta (Q(\alpha) \to S(\alpha,\beta) \to Q'(\beta))}{\vdash_{\mathbf{f}} Q(a) \to S(a,b) \to Q'(b)} \vdash_{\mathbf{f}} Q(a) \\ \hline \vdash_{\mathbf{f}} Q(a) \to S(a,b) \to Q'(b) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \vdash_{\mathbf{f}} Q'(b) \to \mathbf{f} & \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

To increment register 1 we need a new free variable as anchor for register 1 (let  $d \in \mathcal{V}_P \setminus FV(\Gamma_C)$  and  $d \neq b$ ).

$$\frac{\vdots}{R_{1}(b,d) \vdash_{\mathbf{f}} \mathbf{f}} \\
\frac{\forall \beta(R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f} \vdash_{\mathbf{f}} \mathbf{f}}{\forall \beta(R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f}) \to \mathbf{f}} \qquad \qquad \frac{\vdash_{\mathbf{f}} \forall \alpha(\forall \beta(R_{1}(\alpha,\beta) \to \mathbf{f}) \to \mathbf{f})}{\vdash_{\mathbf{f}} \forall \beta(R_{1}(b,\beta) \to \mathbf{f}) \to \mathbf{f}} \\
\vdash_{\mathbf{f}} \mathbf{f}$$

Now we need to connect d with  $a_0$  (the anchor of a for register 1).

$$\frac{ \begin{array}{c} \displaystyle \frac{\displaystyle \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow R_1(\beta,\delta) \rightarrow P(\delta,\gamma))}{\displaystyle \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow P(d,a_0) \quad \vdash_{\mathbf{f}} Q(a)} \\ \displaystyle \vdots \\ \hline \displaystyle \frac{\displaystyle \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow P(d,a_0) \quad \vdash_{\mathbf{f}} S(a,b)}{\displaystyle \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow P(d,a_0) \quad \vdash_{\mathbf{f}} R_1(a,a_0)} \\ \hline \\ \displaystyle \frac{\displaystyle \vdash_{\mathbf{f}} R_1(b,d) \rightarrow P(d,a_0) \quad \vdash_{\mathbf{f}} R_1(b,d)}{\displaystyle \vdash_{\mathbf{f}} P(d,a_0)} \\ \hline \\ \displaystyle \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

We have to make sure that we do not get an artificial zero. We achieve this by deducing D(d).

$$\frac{ \begin{array}{c} \displaystyle \vdash_{\mathrm{f}} \forall \alpha \beta \delta(Q(\alpha) \to S(\alpha,\beta) \to R_1(\beta,\delta) \to D(\delta)) \\ \\ \displaystyle \vdots \\ \hline D(d) \vdash_{\mathrm{f}} \mathbf{f} \\ \hline \vdash_{\mathrm{f}} D(d) \to \mathbf{f} \\ \hline \end{array} \begin{array}{c} \displaystyle \vdash_{\mathrm{f}} \forall \alpha \beta \delta(Q(\alpha) \to S(\alpha,\beta) \to R_1(\beta,\delta) \to D(\delta)) \\ \hline \\ \displaystyle \vdash_{\mathrm{f}} Q(a) \to S(a,b) \to R_1(b,d) \to D(d) & \vdash_{\mathrm{f}} S(a,b) \\ \hline \\ \displaystyle \vdash_{\mathrm{f}} S(a,b) \to R_1(b,d) \to D(d) & \vdash_{\mathrm{f}} R_1(b,d) \\ \hline \\ \vdash_{\mathrm{f}} D(d) & \vdash_{\mathrm{f}} \mathbf{f} \\ \hline \end{array}$$

Now we can easily deduce G(d).

$$\begin{array}{c} \vdots \\ \hline G(d) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} G(d) \to \mathbf{f} \end{array} \qquad \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha (D(\alpha) \to G(\alpha)) \\ \hline \vdash_{\mathbf{f}} D(d) \to G(d) \\ \hline \vdash_{\mathbf{f}} G(d) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array} \qquad \vdash_{\mathbf{f}} \mathbf{f} \end{array} \qquad \vdash_{\mathbf{f}} D(d)$$

Now we already have deduced  $\Gamma_D$ , to see why define  $\widehat{a} := b$ ,  $\widehat{b}_i := b_i$  for  $i \in \{0, \dots, n\}$ ,  $\widehat{a}_0 := d$ , and  $\widehat{a}_{i+1} := a_i$  for  $i \in \{0, \dots, m\}$ . Hence we can deduce **false** by induction hypothesis.

The other case, that  $r = -(Q, 1, Q_1, Q_2)$ , has to be split into two cases again. If m = 0 then  $\hat{Q} = Q_2$ ,  $\hat{m} = 0$ , and  $\hat{n} = n$ . We only need to ensure that the successor state is  $Q_2$  and that register 1 is still zero.

$$\begin{array}{c|c} & \underbrace{\vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow Q_2(\beta))}_{ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} Q(a) \\ \hline & \underbrace{\vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} S(a,b)}_{ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline Q_2(b) \vdash_{\mathbf{f}} \mathbf{f} & \underbrace{\vdash_{\mathbf{f}} E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} E(a_0)}_{ \vdash_{\mathbf{f}} Q_2(b) & \vdash_{\mathbf{f}} E(a_0) \\ \hline & \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

Register 1 stays zero.

$$\begin{array}{c} \frac{ \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow R_1(\beta,\gamma))}{ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)} \quad \vdash_{\mathbf{f}} Q(a) \\ \vdots \\ \hline \frac{ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)}{ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)} \quad \vdash_{\mathbf{f}} S(a,b) \\ \hline \hline R_1(b,a_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_1(b,a_0) \rightarrow \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_1(b,a_0) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

If we define  $\widehat{a} := b$ ,  $\widehat{b}_i := b_i$  for  $i \in \{0, ..., n\}$ , and  $\widehat{a}_0 := a_0$  then it is clear that we have deduced all formulas required for  $\Gamma_D$ . So we can use the induction hypothesis to deduce **false**.

In the last case m > 0, so  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m - 1$ , and  $\widehat{n} = n$ . First we ensure that b is in state  $Q_1$ .

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow Q_1(\beta)) \\ \hline \\ P_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \vdots \\ \hline \\ P_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ P_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ \vdash_{\mathbf{f}} P_{\mathbf{f}} R_1(a,a_0) \rightarrow P_{\mathbf{f}} \\ \hline \\ \vdash_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ \hline \\ \vdash_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}$$

Now we decrement register 1 by taking  $a_1$  (the predecessor of  $a_0$ ) as anchor of b for register 1.

$$\begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow P(\gamma,\delta) \rightarrow R_1(\beta,\delta)) \\ \hline \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) & \vdash_{\mathbf{f}} Q(a) \\ \hline & \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \vdots & \hline \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \vdots & \hline \vdash_{\mathbf{f}} D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) & \vdash_{\mathbf{f}} D(a_0) \\ \hline \hline \vdash_{\mathbf{f}} R_1(b,a_1) \rightarrow \mathbf{f} & \hline \vdash_{\mathbf{f}} P(a_0,a_1) \rightarrow R_1(b,a_1) & \vdash_{\mathbf{f}} P(a_0,a_1) \\ \hline \vdash_{\mathbf{f}} R_1(b,a_1) \rightarrow \mathbf{f} & \hline \vdash_{\mathbf{f}} R_1(b,a_1) & \vdash_{\mathbf{f}} P(a_0,a_1) \\ \hline \end{array}$$

Again it is obvious that we have deduced  $\Gamma_D$  ( $\widehat{a} := b$ ,  $\widehat{b}_i := b_i$  for  $i \in \{0, ..., n\}$ , and  $\widehat{a}_{i-1} := a_i$  for  $i \in \{1, ..., m\}$ ). Hence, by induction hypothesis, we can deduce **false**.  $\square$ 

### Lemma 32.

M terminates on input (0,0) iff  $\Gamma_M \vdash \mathbf{false}$  holds in system P.

*Proof.* The  $\Leftarrow$  direction is proven in Claim 30. And the  $\Rightarrow$  direction is a direct consequence of Claim 31 with  $C = \langle Q_0, 0, 0 \rangle$ .

Theorem 33. CONS is undecidable.

*Proof.* Since by Lemma 32 for a given two-counter automaton M we can effectively construct a set of **P**-formulas  $\Gamma_M$  such that M terminates on input (0,0) iff  $\Gamma_M$  is not consistent. It follows that  $\mathbf{HALT} \leq \mathbf{CONS}$ . Since  $\mathbf{HALT}$  is undecidable we have shown that  $\mathbf{CONS}$  is undecidable too.

## 4 INHAB is undecidable

Now we can show that the inhabitation problem in  $\lambda 2$  is undecidable by reducing **CONS** to **INHAB**. Given a **P**-basis  $\Gamma$  we construct a  $\lambda 2$ -basis  $\overline{\Gamma}$  such that

$$\Gamma \vdash false$$
 iff There is a  $\lambda 2$  term  $M$  such that  $\overline{\Gamma} \vdash M : false$ 

where **false**  $\in \mathcal{V}_T$ . Furthermore for every  $P \in \mathcal{P}_P$  we have  $p, p_1, p_2 \in \mathcal{V}_T$ .

**Definition 34.** For a **P**-formula A we define the <u>code</u> of A, denoted by  $\overline{A}$ , as: If A is an atomic formula then

$$\overline{A} = \begin{cases} \mathbf{false} & \text{if } A = \mathbf{false} \\ (\alpha \to p_1) \to (\beta \to p_2) \to p & \text{if } A = P(\alpha, \beta) \end{cases}$$

We will abbreviate  $(\alpha \to p_1) \to (\beta \to p_2) \to p$  to  $P_{\alpha\beta}$ .

If A is a universal formula, it follows that there is an  $n \in \mathbb{N}$ , atomic formulas  $A_1, A_2, \ldots, A_n$ , and an  $\vec{\alpha} = \overline{\alpha}_1 \ldots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and  $\overline{\alpha}_1, \ldots, \overline{\alpha}_m \in \mathcal{V}_P$  such that  $A = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$ , then

$$\overline{A} = \forall \vec{\alpha} (\overline{A_1} \to \overline{A_2} \to \cdots \to \overline{A_n})$$

If A is an existential formula, it follows that for some  $n \in \mathbb{N}^+$ , some atomic formulas  $A_1, \ldots, A_n$ , some  $\vec{\alpha} = \overline{\alpha}_1 \ldots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and  $\overline{\alpha}_1, \ldots, \overline{\alpha}_m \in \mathcal{V}_P$ , and some  $\beta \in \mathcal{V}_P$  it holds that  $A = \forall \vec{\alpha}(A_1 \to \cdots \to A_{n-1} \to \forall \beta((A_n) \to \mathbf{false}) \to \mathbf{false})$ , then

$$\overline{A} = \forall \vec{\alpha}(\overline{A_1} \to \cdots \to \forall \beta(\overline{A_n} \to \mathbf{false}) \to \mathbf{false})$$

For a **P**-basis  $\Gamma$  we define the code of  $\Gamma$ , denoted by  $\overline{\Gamma}$ , as  $\{(x_A : \overline{A}) \mid A \in \Gamma\}$ .

In the following lemma we prove the  $\Rightarrow$  direction by constructing a  $\lambda 2$  term M with the required type.

**Lemma 35.** Let  $\Gamma$  be a P-basis and A a P-formula such that  $\Gamma \vdash A$ . Then there exists a term  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M : \overline{A}$  holds.

*Proof.* We proof this by induction on the structure of the proof.

A is produced by the <u>Axiom</u> rule. It follows that  $A \in \Gamma$  and therefore  $(x_A : \overline{A}) \in \overline{\Gamma}$ . Now the term  $M := x_A$  fulfills the condition.

A is produced by the  $\rightarrow$ -Introduction rule. It follows that  $A = A' \rightarrow B'$  for some **P**-formulas A' and B'. We can now apply the induction hypothesis to  $\Gamma, A' \vdash B'$  and we get that there exists an  $M' \in \Lambda_{T_{\lambda 2}}$  such that  $\overline{\Gamma}, \overline{A'} \vdash M' : \overline{B'}$ . With the  $\lambda$ -Introduction rule we deduce  $\overline{\Gamma} \vdash \lambda x : \overline{A'}.M' : \overline{A'} \rightarrow \overline{B'}$ . Since A has to be a universal or an existential formula  $\overline{A'} \rightarrow \overline{B'} = \overline{A'} \rightarrow \overline{B'}$ . So  $M := \lambda x : \overline{A'}.M'$  has the required type.

A is produced by the  $\rightarrow$ -Elimination rule. So there exists a **P**-formula B such that  $\Gamma \vdash B \to A$  and  $\Gamma \vdash B$ . Now we apply the induction hypothesis and get that there exist  $M_1, M_2 \in \Lambda_{\Gamma_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M_1 : \overline{B} \to \overline{A}$  and  $\overline{\Gamma} \vdash M_2 : \overline{B}$ . Again we have that  $\overline{B} \to \overline{A} = \overline{B} \to \overline{A}$ . It follows that  $M := M_1 M_2$  has the type  $\overline{A}$ .

A is produced by the  $\forall$ -Introduction rule. It follows that  $A = \forall \beta B$  for some  $\beta \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma)$  and some **P**-formula B. By applying the induction hypothesis to  $\Gamma \vdash B$  we get that there exists an  $M' \in \Lambda_{\mathrm{T}_{\lambda 2}}$  such that  $\overline{\Gamma} \vdash M' : \overline{B}$ . We deduce that  $M := \Lambda \beta . M'$  has type  $\forall \beta . \overline{B} = \overline{\forall} \beta \overline{B}$  as desired.

A is produced by the  $\forall$ -Elimination rule. There is a **P**-formula B and variables  $\alpha, b \in \mathcal{V}_P$  such that  $\Gamma \vdash \forall \alpha B$  and  $A = B \ [\alpha := b]$ . The induction hypothesis implies that there exits an  $M' \in \Lambda_{\mathcal{T}_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M' : \overline{\forall \alpha B}$ . Since  $\overline{\forall \alpha B} = \forall \alpha.\overline{B}$  the term M := M'b has the type  $\overline{B} \ [\alpha := b] = \overline{B} \ [\alpha := b]$ .

In the next two lemmas we will prove the  $\Leftarrow$  direction.

**Lemma 36.** Let  $\Gamma$  be a P-basis,  $M \in \Lambda_{T_{\lambda_2}}$ ,  $P \in \mathcal{P}_P$ , and  $s, t \in T_{\lambda_2}$  such that  $\overline{\Gamma} \vdash M : P_{st}$  holds. Then  $s, t \in \mathcal{V}_P$  (remember that  $\mathcal{V}_P \subseteq \mathcal{V}_T$ ). Furthermore  $\Gamma \vdash P(s, t)$  holds.

*Proof.* Note that all well typed  $\lambda 2$  terms are strongly normalizing (see ). Hence, M is well typed in  $\lambda 2$ , we can assume that M is in normal form.

We now proof the lemma by structural induction on the term M.

 $\underline{M} = \underline{x}$  for some  $y \in \mathcal{V}_V$ .

It follows that  $(x: P_{st}) \in \overline{\Gamma}$ . Now the definition of  $\overline{\Gamma}$  yields that  $P(s,t) \in \Gamma$ . Therefore  $s, t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$  holds trivially.

 $M = M_1 M_2$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$ .

Since M is in normal form we have that  $M_1 = xN_1 \dots N_k$  for some  $x \in \mathcal{V}_V$ ,  $k \in \mathbb{N}$ , and some  $N_1, \dots, N_k \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ .

It follows that  $x = x_A$  and  $(x : \overline{A}) \in \overline{\Gamma}$  for some universal formula  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to P^n(\alpha_n, \beta_n) \to P(\alpha, \beta))$  in  $\Gamma$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$ .

Furthermore  $M = x\vec{t}\vec{N}$  for some  $\vec{t} = \bar{t}_1 \dots \bar{t}_m$  with  $\bar{t}_1, \dots, \bar{t}_m \in T_{\lambda 2}$  and some  $\vec{N} = N_1 \dots N_n$  with  $N_1, \dots, N_n \in \Lambda_{T_{\lambda 2}}$  and  $\bar{\Gamma} \vdash N_i : P_{s_i t_i}^i$  (where  $s_i = \alpha_i \left[ \vec{\alpha} := \vec{t} \right]$ ) and  $t_i = \beta_i \left[ \vec{\alpha} := \vec{t} \right]$ ) for  $i \in \{1, \dots, n\}$ .

$$\frac{\overline{\Gamma} \vdash x : \forall \vec{\alpha} (P^1_{\alpha_1 \beta_1} \to \cdots \to P^n_{\alpha_n \beta_n} \to P_{\alpha \beta})}{\overline{\Gamma} \vdash x \vec{t} : P^1_{s_1 t_1} \to \cdots \to P^n_{s_n t_n} \to P_{st}} \quad \overline{\Gamma} \vdash N_1 : P^1_{s_1 t_1}}$$

$$\vdots$$

$$\overline{\overline{\Gamma} \vdash x \vec{t} N_1 \dots N_{n-1} : P^n_{s_n t_n} \to P_{st}} \quad \overline{\Gamma} \vdash N_n : P^n_{s_n t_n}$$

$$\overline{\overline{\Gamma}} \vdash (x \vec{t} N_1 \dots N_{n-1}) N_n : P_{st}$$

For  $i \in \{1, ..., n\}$  we can now apply the induction hypothesis to  $\overline{\Gamma} \vdash N_i : P_{s_i t_i}^i$  and we get that  $s_i, t_i \in \mathcal{V}_P$  and that  $\Gamma \vdash P^i(s_i, t_i)$  holds.

If  $\alpha = \overline{\alpha}_j$  for some  $j \in \{1, ..., n\}$  then because there are no dummy quantifiers we get that  $s = \overline{t}_j$ . Furthermore since  $\alpha \in FV(P(\alpha, \beta)) \setminus FV(A)$  it follows that there exists an  $i \in \{1, ..., n\}$  such that  $\alpha \in FV(P^i(\alpha_i, \beta_i))$ , i.e.  $\alpha = \alpha_i$  or  $\alpha = \beta_i$ . It follows that  $s = s_i$  or  $s = t_i$ , in both cases we get that  $s \in \mathcal{V}_P$ .

If  $\alpha \neq \overline{\alpha}_j$  for all  $j \in \{1, ..., n\}$  then  $\alpha \in FV(A)$  and therefore  $s = \alpha$  and  $s \in \mathcal{V}_P$ .

For t we can make a similar argument and get that  $t \in \mathcal{V}_P$ .

Finally we have to show that P(s,t) is a semantic consequence of  $\Gamma$ .

$$\frac{\Gamma \vdash \forall \vec{\alpha}(P^{1}(\alpha_{1}, \beta_{1}) \to \cdots \to P^{n}(\alpha_{n}, \beta_{n}) \to P(\alpha, \beta))}{\Gamma \vdash P^{1}(s_{1}, t_{1}) \to \cdots \to P^{n}(s_{n}, t_{n}) \to P(s, t)} \qquad \Gamma \vdash P^{1}(s_{1}, t_{1})}$$

$$\vdots$$

$$\Gamma \vdash P^{n}(s_{n}, t_{n}) \to P(a, b) \qquad \Gamma \vdash P^{n}(s_{n}, t_{n})$$

$$\Gamma \vdash P^{n}(s_{n}, t_{n})$$

 $\underline{M} = \lambda x : t'.\underline{M'}$  for some  $M' \in \Lambda_{\mathcal{T}_{\lambda_2}}$ , some  $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$ , and some  $t' \in \mathcal{T}_{\lambda_2}$ . It follows that  $t' = s \to p_1$  and  $\overline{\Gamma}, x : s \to p_1 \vdash M' : (t \to p_2) \to p$ .

If M' = yx for some  $y \in \mathcal{V}_T$  then it has to be that  $y = x_{P(s,t)}$  and  $(y : (s \to p_1) \to (t \to p_2) \to p) \in \overline{\Gamma}$ . It follows that  $P(s,t) \in \Gamma$  and therefore  $s,t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$ .

If  $M' = \lambda y : t \to p_2.zxy$  for some  $y, z \in \mathcal{V}_T$  then  $z = x_{P(s,t)}$  and therefore  $(z : (s \to p_1) \to (t \to p_2) \to p) \in \overline{\Gamma}$ . We get that  $P(s,t) \in \Gamma$  and conclude that  $s, t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$ .

All other cases for M' are impossible because there are no **P**-formulas A such that  $\overline{A}$  has the required type.

 $\underline{M} = \Lambda \gamma . \underline{M'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $\gamma \in \mathcal{V}_T$ .

It follows that  $\overline{\Gamma} \vdash M : \forall \gamma.t'$  for some  $t' \in T_{\lambda 2}$ . But this can not be since  $P_{st} = (s \to p_1) \to (t \to p_2) \to p$ . Therefore M is not of the form  $\Lambda \gamma.M'$  and this case is impossible.

 $\underline{M} = \underline{M't'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $t' \in T_{\lambda_2}$ .

Since M is in normal form we have that  $M' = xM_1 \dots M_n$  for some  $x \in \mathcal{V}_V$ ,  $n \in \mathbb{N}$ , and some  $M_1, \dots, M_n \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ . Hence,  $(x : \overline{A}) \in \overline{\Gamma}$  for some **P**-formula A and M = M't', we get that this case is impossible because no such A exists.

The only case where the contradiction is not obvious is when A is an existential formula and  $M_1, \ldots, M_n \in T_{\lambda 2}$ . Furthermore because there are no dummy quantifiers  $n \leq 1$ . So A is of the form  $A = \forall \vec{\alpha}(P(\alpha, \beta))$  where  $\vec{\alpha} \in \{\alpha\beta, \beta\alpha, \alpha, \beta\}$ . But in every case A is not a **P**-formula since there always is a  $\gamma \in FV(P(\alpha, \beta)) \setminus FV(A)$ .

**Lemma 37.** Let  $\Gamma$  be a **P**-basis,  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M$ : **false** holds. Then  $\Gamma \vdash \mathbf{false}$  holds.

*Proof.* By structural induction on the term M. Again we can assume that M is in normal form.

 $\underline{M} = \underline{x}$  for some  $y \in \mathcal{V}_V$ .

It follows that  $(x : \mathbf{false}) \in \overline{\Gamma}$ . Now the definition of  $\overline{\Gamma}$  yields that  $\mathbf{false} \in \Gamma$ . Therefore  $\Gamma \vdash \mathbf{false}$  holds.

 $M = M_1 M_2$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$ .

Because M is in normal form we have that  $M_1 = xN_1 \dots N_k$  for some  $x \in \mathcal{V}_T$ ,  $k \in \mathbb{N}$ , and some  $N_1, \dots N_k \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ . We know that  $x = x_A$  for some  $A \in \Gamma$ .

Firstly A could be a universal formula. It follows that A is of the form  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to P^n(\alpha_n, \beta_n) \to \mathbf{false})$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$ . In this case  $M = x\vec{t}\vec{N}$  for some  $\vec{t} = \overline{t}_1 \dots \overline{t}_m$  with  $\overline{t}_1, \dots, \overline{t}_m \in T_{\lambda 2}$  and some  $\vec{N} = N_1 \dots N_n$  with  $N_1, \dots, N_n \in \Lambda_{T_{\lambda 2}}$ . Now  $\Gamma \vdash \mathbf{false}$  can be deduced as in the previous proof.

Secondly A could be an existential formula. It follows that A is of the form  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to \forall \beta(P^n(\alpha_n, \beta_n) \to \mathbf{false}) \to \mathbf{false})$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$  (w.l.o.g.  $\beta \notin \mathrm{FV}(\overline{\Gamma})$  and  $\beta \neq \overline{\alpha}_i$  for all  $i \in \{1, \dots, m\}$ ). Then M has to be of the form  $M = x\vec{t}\vec{N}L$  for some  $\vec{t} = \overline{t}_1 \dots \overline{t}_m$  with  $\overline{t}_1, \dots, \overline{t}_m \in \mathrm{T}_{\lambda 2}$ , some  $\vec{N} = N_1 \dots N_{n-1}$  with  $N_1, \dots, N_{n-1} \in \Lambda_{\mathrm{T}_{\lambda 2}}$ , and some  $L \in \Lambda_{\mathrm{T}_{\lambda 2}}$ . It also has to hold that  $\overline{\Gamma} \vdash L : \forall \beta(P^n_{s_nt_n} \to \mathbf{false})$  and for  $i \in \{1, \dots, n-1\}$  that  $\overline{\Gamma} \vdash N_i : P^i_{s_it_i}$  (where  $s_i = \alpha_i \ [\vec{\alpha} := \vec{t}\ ]$  and  $t_i = \beta_i \ [\vec{\alpha} := \vec{t}\ ]$  for  $i \in \{1, \dots, n\}$ ).

For  $i \in \{1, ..., n-1\}$  we can apply Lemma 36 to  $\overline{\Gamma} \vdash N_i : P_{s_i t_i}^i$  to get that  $s_i, t_i \in \mathcal{V}_P$  and that  $\Gamma \vdash P^i(s_i, t_i)$ . But to proof that **false** is a semantic consequence of  $\Gamma$  we still need  $\Gamma \vdash \forall \beta(P^n(s_n, t_n) \to \mathbf{false})$ .

To deduce this we have to take a closer look at L. First note that because either  $\alpha_n = \beta = s_n$  or there exits an  $i \in \{1, \ldots, n-1\}$  such that  $\alpha_n \in \mathrm{FV}(P^i(\alpha_i, \beta_i))$  which implies that  $s_n = s_i$  or  $s_n = t_i$ . In all cases we get that  $s_n \in \mathcal{V}_P$ . A similar argument yields  $t_n \in \mathcal{V}_P$ .

If, for some  $y \in \mathcal{V}_V$ ,  $l \in \mathbb{N}$ , and  $t_1, \ldots, t_l, t' \in T_{\lambda 2}$  with  $M' := yt_1 \ldots t_l$ , the term L is equal to y, to  $\Lambda \beta. y$ , to  $\Lambda \beta. M' t'$ , or to M' t' then  $y = x_A$  for some universal formula  $A = \forall \vec{\alpha}(P^n(s,t) \to \mathbf{false}) \in \Gamma$ . It is easy to see that in all three cases we can indeed deduce  $\Gamma \vdash \forall \beta(P^n(s_n,t_n) \to \mathbf{false})$ .

If  $L = \Lambda \beta. M_1 M_2$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$  it follows that  $M_1 = x_A \vec{t'} N_1' \dots N_{n'}'$  for some universal formula  $A \in \Gamma$ ,  $l \in \mathbb{N}$ , some  $\vec{t'} = t_1' \dots t_l'$  where  $t_1', \dots, t_l' \in T_{\lambda_2}$ ,  $n' \in \mathbb{N}$ , and some  $N_1', \dots, N_{n'}' \in \Lambda_{T_{\lambda_2}}$ . We get that  $L = \Lambda \beta. x_A \vec{t'} \vec{N'}$  where  $\vec{N'} := N_1' \dots N_{n'}' M_2$ . Hence,  $\beta \notin FV(\overline{\Gamma})$ , we can use the  $\forall$ -Introduction rule to deduce  $\overline{\Gamma} \vdash x_A \vec{t'} \vec{N'} : P_{s_n t_n}^n \to \mathbf{false}$ . Now we can conclude  $\Gamma \vdash P^n(s_n, t_n) \to \mathbf{false}$ )

as in the previous proof. Since  $\beta$  is also not in  $FV(\Gamma)$  we can use the  $\forall$ -Introduction of System **P** to deduce  $\Gamma \vdash \forall \beta(P^n(s_n, t_n) \to \mathbf{false})$  as desired.

If  $L = \Lambda \beta . \lambda y : t'.N$  for some  $y \in \mathcal{V}_V$ , some  $t' \in \mathcal{T}_{\lambda 2}$ , and some  $N \in \Lambda_{\mathcal{T}_{\lambda 2}}$  then  $t' = P^n_{s_n t_n}$ . Furthermore:

$$\frac{\overline{\Gamma}, y: P^n_{s_nt_n} \vdash N: \mathbf{false}}{\overline{\Gamma} \vdash \lambda y: P^n_{s_nt_n}.N: P^n_{s_nt_n} \to \mathbf{false}}$$
$$\overline{\overline{\Gamma} \vdash \Lambda \beta. \lambda y: P^n_{s_nt_n}.N: \forall \beta (P^n_{s_nt_n} \to \mathbf{false})}$$

Because  $s_n, t_n \in \mathcal{V}_P$  we know that  $P^n(s_n, t_n)$  is a valid **P**-formula. So we can apply the induction hypothesis to  $\overline{\Gamma}, y : P^n_{s_n t_n} \vdash N :$  **false** and it follows that  $\Gamma, P^n(s_n, t_n) \vdash$  **false**. Now we can deduce  $\Gamma \vdash \forall \beta(P^n(s_n, t_n) \to$ **false**).

$$\frac{\Gamma, P^n(s_n, t_n) \vdash \mathbf{false}}{\Gamma \vdash P^n(s_n, t_n) \to \mathbf{false}}$$
$$\Gamma \vdash \forall \beta (P^n(s_n, t_n) \to \mathbf{false})$$

All other forms for L (i.e.  $M_1M_2$ ,  $\lambda y$  : t'.M',  $\Lambda \beta.\Lambda \gamma.M'$ , and M't' with  $M' \neq yt_1 \dots t_l$ ) are impossible.

Now we can show that **false** is a semantic consequence of  $\Gamma$ .

$$\cfrac{\Gamma \vdash \forall \vec{\alpha}(P^1(\alpha_1,\beta_1) \to \cdots \to \forall \beta(P^n(\alpha_n,\beta_n) \to \mathbf{false}) \to \mathbf{false})}{\Gamma \vdash P^1(s_1,t_1) \to \cdots \to \forall \beta(P^n(s_n,t_n) \to \mathbf{false}) \to \mathbf{false}} \cfrac{\Gamma \vdash P^1(s_1,t_1)}{\Gamma \vdash \forall \beta(P^n(s_n,t_n) \to \mathbf{false}) \to \mathbf{false}} \cfrac{\Gamma \vdash \forall \beta(P^n(s_n,t_n) \to \mathbf{false})}{\Gamma \vdash \mathbf{false}}$$

 $\underline{M} = \lambda x : t_1.M'$  for some  $M' \in \Lambda_{T_{\lambda_2}}$ , some  $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$ , and some  $t_1 \in T_{\lambda_2}$ . It follows that  $t = t_1 \to t_2$  for some  $t_2 \in T_{\lambda_2}$  which contradicts t = false. So this case is impossible.

 $\underline{M = \Lambda \gamma. M'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $\gamma \in \mathcal{V}_T$ .

It follows that  $t = \forall \gamma . t'$  for some  $t' \in T_{\lambda 2}$ . Again the fact that t =**false** leads to a contradiction and makes this case impossible.

 $\underline{M} = \underline{M' \, t'}$  for some  $M' \in \Lambda_{\mathcal{T}_{\lambda_2}}$  and some  $t' \in \mathcal{T}_{\lambda_2}$ . Since M is in normal form we have that  $M' = x M_1 \dots M_n$  for some  $x \in \mathcal{V}_V, n \in \mathbb{N}$ , and some  $M_1, \ldots, M_n \in \Lambda_{\Gamma_{\lambda_2}} \cup \Gamma_{\lambda_2}$ . Hence,  $(x : \overline{A}) \in \overline{\Gamma}$  for some **P**-formula A and M = M't', we get that this case is impossible because no such A exists.

Lemma 38.  $\Gamma \vdash false \qquad iff \qquad There \ is \ a \ \lambda 2 \ term \ M \ such \ that \ \overline{\Gamma} \vdash M : false$ Proof. The  $\Leftarrow$  direction follows from Lemma 37. And the  $\Rightarrow$  direction follows from Lemma 35 with A = false.

Theorem 39. INHAB is undecidable.

Proof. From Lemma 38 it follows that  $CONS \leq INHAB$ . Since , by Theorem 33,

# References

[1] H.P. Barendregt, 1993. Lambda Calculi with Types, Handbook of Logic in Computer Science, Volume II, 34-68.

CONS is undecidable we have shown that INHAB is undecidable too.