# Inhabitation in $\lambda 2$

Florian Starke

October 15, 2015

A  $\lambda 2$  type is inhabited in  $\lambda 2$  iff there is a closed  $\lambda 2$  term of this type. The inhabitation problem in  $\lambda 2$  is to determine whether a given  $\lambda 2$  type is inhabited. This work gives a formal proof for the fact that the inhabitation problem in  $\lambda 2$  is undecidable.

## 1 Introduction

We will only consider the explicitly typed  $\lambda 2$  calculus (Church style), so whenever we speak of  $\lambda 2$  terms we know that the type information is given explicitly. Let us take a look at the problem. It is clear that there are closed  $\lambda 2$  terms to which no  $\lambda 2$  type can be assigned (e.g. to the  $\lambda 2$  term  $(\lambda x : \forall \alpha \alpha. xx)(\lambda x : \forall \alpha \alpha. xx)$  no type can be assigned). But there are also  $\lambda 2$  types which can not be assigned to any closed  $\lambda 2$  term. We say that these types are empty. For instance the  $\lambda 2$  type  $\forall \alpha \alpha$  is empty.

In what follows we will prove that the inhabitation problem in  $\lambda 2$  is undecidable. We do this by reducing the halting problem for two-counter automaton to the consistency problem of System **P** (a restricted version of first-order logic). Finally we reduce the consistency problem to the inhabitation problem in  $\lambda 2$ . The constructions used for this are mainly based on [2] but the proofs go much more into detail.

### 2 Basic Definitions

## 2.1 Conventions

```
For variable names we will use the following conventions.
```

 $\lambda 2$  types:  $t, t', t'', t_1, t_2, \dots, s, s_1, s_2, \dots$ 

 $\lambda 2 \text{ terms: } M, M', M_1, M_2, \dots, N, N', N_1, N_2, \dots$ 

first-order terms:  $t, t_1, t_2, \ldots$ 

first-order formulas:  $\varphi, \varphi_1, \varphi_2, \psi, \psi'$ 

type-variables:  $p, \eta_1, \eta_2, \alpha, a, \alpha_1, a_1, \alpha_2, a_2, \dots, \beta, b, \beta_1, b_1, \beta_2, b_2, \dots$ 

value-variables:  $x, y, z, x_1, x_2, \dots$  predicate-symbols:  $P, P^1, P^2, \dots$ 

**P**-variables:  $\alpha, a, \alpha_1, a_1, \alpha_2, a_2, \dots, \beta, b, \beta_1, b_1, \beta_2, b_2, \dots$ 

**P**-formulas:  $A, A', B, B', A_1, A_2, ...$  states:  $Q, Q', \hat{Q}, Q_f, Q_0, Q_1, Q_2, ...$ 

If possible we will use Greek letters for bound type-variables and Latin letters for free type-variables.

### 2.2 $\lambda$ -calculus $\lambda 2$

In the following let  $\mathcal{V}_T = \{\alpha, a, \beta, b, ...\}$  be a countably infinite set (of type-variables) and  $\mathcal{V}_V = \{x, x_1, x_2, ...\}$  be a countably infinite set (of value-variables).

**Definition 1.** The set of all  $\lambda 2$  types over  $\mathcal{V}_T$ , denoted by  $T_{\lambda 2}$ , is the smallest set T satisfying the following conditions:

- $\mathcal{V}_T \subseteq \mathbf{T}$ ,
- if  $t_1, t_2 \in T$  then  $(t_1 \to t_2) \in T$ , and
- if  $t \in T$  and  $\alpha \in \mathcal{V}_T$  then  $\forall \alpha.t \in T$ .

The set of all  $\lambda 2$  terms over  $\mathcal{V}_T$  and  $\mathcal{V}_V$ , denoted by  $\Lambda_{T_{\lambda 2}}$ , is the smallest set  $\Lambda_T$  satisfying the following conditions:

- $\mathcal{V}_V \subseteq \Lambda_{\mathrm{T}}$ ,
- if  $M_1, M_2 \in \Lambda_T$  then  $M_1 M_2 \in \Lambda_T$ ,
- if  $x \in \mathcal{V}_V$ ,  $t \in T_{\lambda 2}$ , and  $M \in \Lambda_T$  then  $\lambda x : t : M \in \Lambda_T$ ,
- if  $\alpha \in \mathcal{V}_T$  and  $M \in \Lambda_T$  then  $\Lambda \alpha.M \in \Lambda_T$ , and
- if  $M \in \Lambda_T$  and  $t \in T_{\lambda 2}$  then  $M t \in \Lambda_T$ .

If we have a type of the form  $(t_1 \to (t_2 \to (\cdots \to (t_{n-1} \to t_n) \cdots)))$  we will often omit the brackets and just write  $(t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n)$  or  $t_1 \to t_2 \to \cdots \to t_{n-1} \to t_n$  instead.

**Definition 2.** Let  $M, N \in \Lambda_{T_{\lambda_2}}$  and  $x \in \mathcal{V}_V$ . The <u>substitution of x by N in M, denoted by M[x := N] is defined as follows:</u>

$$M\left[x:=N\right] \text{ is defined as follows:}$$

$$M\left[x:=N\right] = \begin{cases} N & \text{if } M=x \\ y & \text{if } M=y \text{ and } y \neq x \\ (M_1\left[x:=N\right])(M_2\left[x:=N\right]) & \text{if } M=M_1M_2 \\ \lambda x:t.M' & \text{if } M=\lambda x:t.M' \\ \lambda y:t.(M'\left[x:=N\right]) & \text{if } M=\lambda y:t.M' \text{ and } y \neq x \\ \Lambda \alpha.(M'\left[x:=N\right]) & \text{if } M=\Lambda \alpha.M' \\ (M'\left[x:=N\right])t & \text{if } M=M't \end{cases}$$

Let  $t, t' \in T_{\lambda 2}$  and  $a \in \mathcal{V}_T$ . The substitution of a by t in t', denoted by t[a := t'] is defined as follows:

$$t\left[a:=t'\right] = \begin{cases} t' & \text{if } t=a \\ b & \text{if } t=b \text{ and } b \neq a \\ (t_1\left[a:=t'\right]) \to (t_2\left[a:=t'\right]) & \text{if } t=t_1 \to t_2 \\ \forall a.t'' & \text{if } t=\forall a.t'' \\ \forall \beta.(t''\left[a:=t'\right]) & \text{if } t=\forall \beta.t'' \text{ and } \beta \neq a \end{cases}$$

Let  $M \in \Lambda_{T_{\lambda_2}}$ ,  $a \in \mathcal{V}_T$ , and  $t \in T_{\lambda_2}$ . The substitution of a by t in M, denoted by M[a := t] is defined as follows:

$$M\left[a:=t\right] = \begin{cases} x & \text{if } M=x\\ (M_1\left[a:=t\right])(M_2\left[a:=t\right]) & \text{if } M=M_1M_2\\ \lambda x:t'\left[a:=t\right].(M'\left[a:=t\right]) & \text{if } M=\lambda x:t'.M'\\ M & \text{if } M=\Lambda a.M'\\ \Lambda\beta.(M'\left[a:=t\right]) & \text{if } M=\Lambda\beta.M' \text{ and } \beta\neq a\\ (M'\left[a:=t\right])t\left[a:=t\right] & \text{if } M=M't \end{cases}$$
In the following we will often abbreviate  $(\dots(M\left[a_n:=b_n\right])\dots)\left[a_1:=b_1\right]$  to  $M\left[\vec{s}:=\vec{b}\right]$  where  $\vec{s}=a_1\dots a_n$  and  $\vec{b}=b_1\dots b_n$ 

 $M\left[\vec{a} := \vec{b}\right]$  where  $\vec{a} = a_1 \dots a_n$  and  $\vec{b} = b_1 \dots b_n$ .

**Definition 3.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The <u>set of free variables of M, denoted by FV(M), is</u> defined inductively as follows:

$$FV(M) = \begin{cases} \{x\} & \text{if } M = x \\ FV(M_1) \cup FV(M_2) & \text{if } M = M_1 M_2 \\ FV(M') \setminus \{x\} & \text{if } M = \lambda x : t.M' \\ FV(M') & \text{if } M = \Lambda \alpha.M' \\ FV(M') & \text{if } M = M' t \end{cases}$$

The set of bound variables of M, denoted by BV(M), is defined as follows:

$$\mathrm{BV}(M) = \begin{cases} \emptyset & \text{if } M = x \\ \mathrm{BV}(M_1) \cup \mathrm{BV}(M_2) & \text{if } M = M_1 M_2 \\ \mathrm{BV}(M') \cup \{x\} & \text{if } M = \lambda x : t.M' \\ \mathrm{BV}(M') & \text{if } M = \Lambda \alpha.M' \\ \mathrm{BV}(M') & \text{if } M = M' t \end{cases}$$

**Definition 4.** Let  $t \in T_{\lambda 2}$ . The set of free type-variables of t, denoted by FV(t), is defined inductively as follows:

$$FV(t) = \begin{cases} \{a\} & \text{if } t = a \\ FV(t_1) \cup FV(t_2) & \text{if } t = t_1 \to t_2 \\ FV(t') \setminus \{\alpha\} & \text{if } t = \forall \alpha.t' \end{cases}$$

The set of bound type-variables of t, denoted by BV(t), is defined inductively as follows:

$$BV(t) = \begin{cases} \emptyset & \text{if } t = a \\ BV(t_1) \cup BV(t_2) & \text{if } t = t_1 \to t_2 \\ BV(t') \cup \{\alpha\} & \text{if } t = \forall \alpha.t' \end{cases}$$

Now we can lift this definition to terms.

**Definition 5.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The <u>set of free type-variables of M</u>, denoted by FTV(M), is the union of all sets of free type-variables of types occurring in M.

The set of bound type-variables of M, denoted by BTV(M), is the union of all sets of bound type-variables of types occurring in M.

**Definition 6.** The  $\underline{\beta}$ -reduction, denoted by  $\rightarrow_{\beta}$ , is a binary relation on  $\Lambda_{T_{\lambda_2}}$ . For all  $M, N \in \Lambda_{T_{\lambda_2}}$ ,  $x \in \mathcal{V}_V$ ,  $t \in T_{\lambda_2}$ , and  $\alpha \in \mathcal{V}_T$  if  $BV(M) \cap FV(N) = \emptyset$  then  $(\lambda x : t.M)N \rightarrow_{\beta} M[x := N]$  and from  $BTV(M) \cap FTV(N) = \emptyset$  it follows that  $(\Lambda \alpha.M)t \rightarrow_{\beta} M[\alpha := t]$ .

The  $\underline{\alpha_1$ -conversion, denoted by  $\rightarrow_{\alpha_1}$ , is a binary relation on  $\Lambda_{T_{\lambda_2}}$ . For all  $M \in \Lambda_{T_{\lambda_2}}$ ,  $x, x' \in \mathcal{V}_V$ ,  $t \in T_{\lambda_2}$ , and  $\alpha, \beta \in \mathcal{V}_T$  if  $x' \notin FV(M) \cup BV(M)$  then  $\lambda x : t.M \rightarrow_{\alpha_1} \lambda x' : t.(M [x := x'])$  and from  $\beta \notin FTV(M) \cup BTV(M)$  it follows that  $\Lambda \alpha.M \rightarrow_{\alpha_1} \Lambda \beta.(M [\alpha := \beta])$ .

The  $\underline{\alpha_2$ -conversion, denoted by  $\rightarrow_{\alpha_2}$ , is a binary relation on  $T_{\lambda_2}$ . For all  $t \in T_{\lambda_2}$ , and  $\alpha, \beta \in \overline{\mathcal{V}_T}$  if  $\beta \notin FV(t) \cup BV(t)$  then  $\forall \alpha.t \rightarrow_{\alpha_2} \forall \beta.(t [\alpha := \beta])$ .

Note that right now we are not able to reduce terms within a context (e.g there is no  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\lambda x : t.(\lambda y : t.y)x \to_{\beta} M$ ).

**Definition 7.** So, for a binary relation  $\to$  on  $\Lambda_{T_{\lambda_2}}$  we define the closure of  $\to$  under term contexts, denoted by  $cl_{\Lambda}(\to)$ , as the smallest binary relation  $\Rightarrow$  on  $\Lambda_{T_{\lambda_2}}$  containing  $\to$  such that for all  $N, M, M' \in \Lambda_{T_{\lambda_2}}, x \in \mathcal{V}_V, t \in T_{\lambda_2}$ , and  $\alpha \in \mathcal{V}_T$ . If  $M \Rightarrow M'$  then

$$MN \Rightarrow M'N$$
  $\lambda x : t.M \Rightarrow \lambda x : t.M'$   $Mt \Rightarrow M't$   $NM \Rightarrow NM'$   $\Lambda \alpha.M \Rightarrow \Lambda \alpha.M'$ 

also hold.

For a binary relation  $\to'$  on  $T_{\lambda 2}$  we define the <u>closure of</u>  $\to'$  under type contexts, denoted by  $cl_T(\to')$ , as the smallest binary relation  $\Rightarrow$  on  $T_{\lambda 2}$  containing  $\to'$  such that for all  $s, t, t' \in T_{\lambda 2}$ , and  $\alpha \in \mathcal{V}_T$ . If  $t \Rightarrow t'$  then

$$\forall \alpha.t \Rightarrow \forall \alpha.t'$$
  $t \to s \Rightarrow t' \to s$   $s \to t \Rightarrow s \to t'$ 

also hold.

### Definition 8.

We define  $\Rightarrow_{\beta}$  as  $\operatorname{cl}_{\Lambda}(\rightarrow_{\beta})$ .

And we define  $\Rightarrow_{\alpha}$  as union of  $\operatorname{cl}_{\Lambda}(\rightarrow_{\alpha_1})$  and  $\operatorname{cl}_{\Lambda}(\Rightarrow_{\alpha_2})$  where

$$\Rightarrow_{\alpha_2} := \{ (Mt, Mt'), (\lambda x : t.M, \lambda x : t'.M) \mid M \in \Lambda_{T_{\lambda_2}}, x \in \mathcal{V}_V, (t, t') \in \operatorname{cl}_T(\rightarrow_{\alpha_2}) \}.$$

Finally we define  $\Rightarrow_{\lambda}$  as  $\Rightarrow_{\alpha}^* \circ \Rightarrow_{\beta}$ .

**Definition 9.** Let  $M \in \Lambda_{T_{\lambda_2}}$ . The term M is in <u>normal form</u> if there is no  $N \in \Lambda_{T_{\lambda_2}}$  such that  $M \Rightarrow_{\lambda} N$ .

M is <u>weakly normalizing</u> if there exists an  $N \in \Lambda_{T_{\lambda_2}}$  such that N is in normal form and  $M \Rightarrow_{\lambda} N$ .

The term M is called <u>strongly normalizing</u> if there is no infinite chain  $M \Rightarrow_{\lambda} M_1 \Rightarrow_{\lambda} M_2 \dots$ 

**Definition 10.** Let  $\mathcal{V} = \{x_1, \dots, x_n\}$  be a finite subset of  $\mathcal{V}_V$  such that  $x_i \neq x_j$  for  $1 \leq i < j \leq n$  and  $t_1, \dots, t_n \in T_{\lambda_2}$ . A  $\underline{\lambda_2}$ -basis  $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$  is a mapping from  $\mathcal{V}$  to  $T_{\lambda_2}$ . If the kind of basis is clear from the context we abbreviate  $\lambda_2$ -basis to basis.

The free variables of a basis  $\Gamma$ , denoted by  $FV(\Gamma)$ , are  $\bigcup \{FV(t) \mid (x:t) \in \Gamma\}$ .

For a basis  $\Gamma$  and another basis  $\Sigma$  such that  $dom(\Gamma) \cap dom(\Sigma) = \emptyset$ ,  $x \in \mathcal{V}_V \setminus dom(\Gamma)$ , and  $t \in T_{\lambda 2}$  we will abbreviate  $\Gamma \cup \{(x:t)\}$  to  $\Gamma, x:t$  and  $\Gamma \cup \Sigma$  to  $\Gamma, \Sigma$ .

**Definition 11.** Let M be in  $\Lambda_{T_{\lambda_2}}$ , t in  $T_{\lambda_2}$ , and  $\Gamma$  be a basis. A statement M:t is derivable from  $\Gamma$ , denoted by  $\Gamma \vdash M:t$ , if M:t can be produced using the following rules.

$$\begin{array}{ll} \text{(Axiom)} & \Gamma, x: t \vdash x: t \\ \\ \text{($\lambda$-Introduction)} & \frac{\Gamma, x: t_1 \vdash M: t_2}{\Gamma \vdash \lambda x: t_1.M: t_1 \to t_2} \\ \\ \text{($\lambda$-Elimination)} & \frac{\Gamma \vdash M_1: t_1 \to t_2 \quad \Gamma \vdash M_2: t_1}{\Gamma \vdash M_1 M_2: t_2} \\ \\ \text{($\forall$-Introduction)} & \frac{\Gamma \vdash M: t}{\Gamma \vdash \Lambda \alpha.M: \forall \alpha.t} \qquad \alpha \notin \text{FV}(\Gamma) \\ \\ \text{($\forall$-Elimination)} & \frac{\Gamma \vdash M: \forall \alpha.t}{\Gamma \vdash M \, t': t \, [\alpha:=t']} \end{array}$$

**Definition 12.** A term  $M \in \Lambda_{T_{\lambda_2}}$  is <u>well typed</u> if there exists a basis  $\Gamma$  and a type  $t \in T_{\lambda_2}$  such that  $\Gamma \vdash M : t$  holds.

The following two theorems are well known (for formal proofs see [1]).

**Theorem 13.** Let M, M' be in  $\Lambda_{T_{\lambda_2}}$  and  $M \Rightarrow_{\alpha}^* M'$  or  $M \Rightarrow_{\beta}^* M'$ , t in  $T_{\lambda_2}$ , and  $\Gamma$  be a basis. If  $\Gamma \vdash M : t$  then  $\Gamma \vdash M' : t$ .

**Theorem 14.** All well typed  $\lambda 2$  terms are strongly normalizing.

**Definition 15.** The inhabitation problem for  $\lambda 2$ , denoted by **INHAB**, is defined as follows. Given a  $\lambda 2$  type t.

Is there a  $\lambda 2$  term M such that  $\emptyset \vdash M : t$ ?

But we can rephrase this problem so that it becomes more general: Given a basis  $\Gamma$  and a  $\lambda 2$  type t.

Is there a 
$$\lambda 2$$
 term M such that  $\Gamma \vdash M : t$ ?

Obviously the first version is a special case of the second one. For the other direction consider a basis  $\Gamma = \{(x_1 : t_1), \dots, (x_n : t_n)\}$  and a  $\lambda 2$  type t. Clearly, for every term  $M, \Gamma \vdash M : t \text{ holds iff } \emptyset \vdash \lambda x_1 : t_1 \dots \lambda x_n : t_n M : t_1 \to \dots \to t_n \to t$ .

## 2.3 First-order logic

**Definition 16.** A <u>ranked set</u> is a tuple  $(\Sigma, rk)$ , where  $\Sigma$  is a countable set and  $rk: \Sigma \to \mathbb{N}$  is a function that maps every symbol from  $\Sigma$  to a natural number (its rank).

If the function rk is understood we will just write  $\Sigma$  instead of  $(\Sigma, rk)$ . The set of all elements in  $\Sigma$  with a certain rank k, denoted by  $\Sigma^{(k)}$ , is defined as  $\Sigma^{(k)} := rk^{-1}(k)$ .

For the remainder of this subsection let  $\mathcal{V} = \{y, y_1, y_2, \dots\}$  be a countable set (of variables),  $\mathcal{F}$  a ranked set (of function symbols), and  $\mathcal{P}$  a ranked set (of predicate symbols).

**Definition 17.** The set of <u>terms over V and  $\mathcal{F}$ , denoted by  $\mathcal{T}_{(V,\mathcal{F})}$ , is the smallest set  $\mathcal{T}$  satisfying the following conditions:</u>

- $\mathcal{V} \subseteq \mathcal{T}$ , and
- for every  $k \in \mathbb{N}$ , if  $f \in \mathcal{F}^{(k)}$  and  $t_1, t_2, \ldots, t_k \in \mathcal{T}$  then  $f(t_1, t_2, \ldots, t_k) \in \mathcal{T}$ .

The set of <u>first-order formulas over V, F, and P, denoted by  $\mathcal{L}_{(V,F,P)}$ , is the smallest set  $\mathcal{L}$  satisfying the following conditions:</u>

- for every  $k \in \mathbb{N}$ , if  $P \in \mathcal{P}^{(k)}$  and  $t_1, t_2, \ldots, t_k \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$  then  $P(t_1, t_2, \ldots, t_k) \in \mathcal{L}$ .
- If  $\varphi, \psi \in \mathcal{L}$  then  $(\varphi \wedge \psi)$ ,  $(\varphi \vee \psi)$ ,  $\neg \varphi \in \mathcal{L}$ , and
- if  $y \in \mathcal{V}$  and  $\varphi \in \mathcal{L}$  then  $\exists y.\varphi, \forall y.\varphi \in \mathcal{L}$ .

We introduce an additional binary operation  $\rightarrow$  on formulas, where for some  $\varphi$ ,  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  the formula  $(\varphi \rightarrow \psi)$  is defined as  $(\neg \varphi \lor \psi)$ , if we have a formula of the form  $(\varphi_1 \rightarrow (\varphi_2 \rightarrow (\cdots \rightarrow (\varphi_{n-1} \rightarrow \varphi_n) \cdots)))$  we will often omit the brackets and just write  $(\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n)$  or  $\varphi_1 \rightarrow \varphi_2 \rightarrow \cdots \rightarrow \varphi_{n-1} \rightarrow \varphi_n$  instead.

For nullary relation symbols P we will abbreviate P() to P. If a formula  $\varphi$  is of the form  $Qy.\psi$  (where  $Q \in \{\exists, \forall\}, y \in \mathcal{V}$ , and  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ ) we often drop the dot and write  $Qy\psi$  instead. If a formula  $\varphi$  has multiple variables bound by the same quantifier (i.e.  $\varphi = Qy_1.Qy_2...Qy_n.\psi$  for  $Q \in \{\exists, \forall\}$ , some  $n \in \mathbb{N}, y_1, y_2,...,y_n \in \mathcal{V}$ , and  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ ) we abbreviate  $\varphi$  to  $Qy_1y_2...y_n.\psi$  or to  $Q\vec{y}.\psi$  where  $\vec{y} = y_1y_2...y_n$ .

**Definition 18.** The set of variables of a term  $t \in \mathcal{T}_{(\mathcal{V},\mathcal{F})}$ , denoted by V(t), is defined by:

$$V(t) = \begin{cases} \{y\} & \text{if } t = y \\ V(t_1) \cup V(t_2) \cup \dots \cup V(t_k) & \text{if } t = f(t_1, t_2, \dots, t_k) \end{cases}$$

The <u>set of free variables of a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ ,</u> denoted by  $FV(\varphi)$ , is defined as follows:

$$FV(\varphi) = \begin{cases} V(t_1) \cup V(t_2) \cup \cdots \cup V(t_k) & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ FV(\psi) & \text{if } \varphi = \neg \psi \\ FV(\varphi_1) \cup FV(\varphi_2) & \text{if } \varphi = (\varphi_1 \wedge \varphi_2) \text{ or } \varphi = (\varphi_1 \vee \varphi_2) \\ FV(\psi) \setminus \{y\} & \text{if } \varphi = \forall y. \psi \text{ or } \varphi = \exists y. \psi \end{cases}$$

**Definition 19.** The set of subformulas of a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ , denoted by  $SUB(\varphi)$ , is defined as follows:

$$FV(\varphi) = \begin{cases} \{\varphi\} & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ \{\varphi\} \cup SUB(\psi) & \text{if } \varphi = \neg \psi \\ \{\varphi\} \cup SUB(\varphi_1) \cup SUB(\varphi_2) & \text{if } \varphi = (\varphi_1 \land \varphi_2) \text{ or } \varphi = (\varphi_1 \lor \varphi_2) \\ \{\varphi\} \cup SUB(\psi) & \text{if } \varphi = \forall y. \psi \text{ or } \varphi = \exists y. \psi \end{cases}$$

**Definition 20.** We say that a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  contains no <u>dummy quantifiers</u> if for all  $\psi \in SUB(\varphi)$  of the form  $\psi = \forall y.\psi'$  or  $\psi = \exists y.\psi'$  for some  $y \in \mathcal{V}$  and some  $\psi' \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  we have that  $y \in FV(\psi')$ .

**Definition 21.** Let y be in  $\mathcal{V}$  and  $t, t' \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ . The <u>substitution of y by t' in t, denoted by t[y := t'], is defined as follows:</u>

$$t[y := t'] = \begin{cases} t' & \text{if } t = y \\ z & \text{if } t = z \text{ and } z \neq y \\ f(t_1[y := t'], \dots, t_k[y := t']) & \text{if } t = f(t_1, \dots, t_k) \end{cases}$$

Now we can lift this definition to formulas, let  $\varphi$  be in  $\mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ . The <u>substitution of</u> y by t' in  $\varphi$ , denoted by  $\varphi[y:=t']$ , is defined as follows:

$$\varphi\left[y:=t'\right] = \begin{cases} P(t_1\left[y:=t'\right], \dots, t_k\left[y:=t'\right]) & \text{if } \varphi = P(t_1, \dots, t_k) \\ \neg(\psi\left[y:=t'\right]) & \text{if } \varphi = \neg\psi \\ \varphi_1\left[y:=t'\right] \circ \varphi_2\left[y:=t'\right] & \text{if } \varphi = (\varphi_1 \circ \varphi_2) \ , \circ \in \{\land, \lor\} \\ \varphi & \text{if } \varphi = \forall y.\psi \text{ or } \varphi = \exists y.\psi \\ Qz.(\psi\left[y:=t'\right]) & \text{if } \varphi = Qz.\psi, \ Q \in \{\forall, \exists\} \text{ and } z \neq y \end{cases}$$

Now we come to the semantics of first-order formulas.

**Definition 22.** An interpretation I over V,  $\mathcal{F}$ , and  $\mathcal{P}$  is a triple  $I = (\Delta, \cdot^I, \omega)$ , where  $\Delta$  is a nonempty set (which we call domain),

·I is a function such that  $f^I : \Delta^k \to \Delta$  is a function for every  $k \in \mathbb{N}$ ,  $f \in \mathcal{F}^{(k)}$  and  $P^I \subseteq \Delta^k$  is a relation for every  $k \in \mathbb{N}$ ,  $P \in \mathcal{P}^{(k)}$   $\omega$  is a function from  $\mathcal{V}$  to  $\Delta$ .

Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation,  $y \in \mathcal{V}$ , and  $d \in \Delta$  the interpretation  $I[y \mapsto d]$  is defined as  $(\Delta, \cdot^I, \omega[y \mapsto d])$  where

$$(\omega [y \mapsto d])(z) = \begin{cases} d & \text{if } z = y \\ \omega(y) & \text{otherwise.} \end{cases}$$

**Definition 23.** Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation and t a term. The interpretation of t under I, denoted by  $t^I$ , is defined as follows:

$$t^{I} = \begin{cases} \omega(y) & \text{if } t = y\\ f^{I}(t_{1}^{I}, \dots, t_{k}^{I}) & \text{if } t = f(t_{1}, \dots, t_{k}) \end{cases}$$

Let  $\varphi$  be a formula. The <u>interpretation of  $\varphi$  under I, denoted by  $\varphi^I$ , is defined recursively as follows:</u>

$$\varphi^I = \begin{cases} \top & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \in P^I \\ \bot & \text{if } \varphi = P(t_1, \dots, t_k) \text{ and } (t_1^I, \dots, t_k^I) \notin P^I \\ \text{not } \psi^I & \text{if } \varphi = \neg \psi \\ \varphi_1^I \text{ and } \varphi_2^I & \text{if } \varphi = (\varphi_1 \wedge \varphi_2) \\ \varphi_1^I \text{ or } \varphi_2^I & \text{if } \varphi = (\varphi_1 \vee \varphi_2) \\ \text{exists } d \in \Delta : \psi^{I[y \mapsto d]} & \text{if } \varphi = \exists y.\psi \\ \text{forall } d \in \Delta : \psi^{I[y \mapsto d]} & \text{if } \varphi = \forall y.\psi \end{cases}$$

The interpretation I is a model of  $\varphi$ , denoted by  $I \models \varphi$ , if  $\varphi^I = \top$ .

When we define an interpretation I and we have a nullary predicate symbol P we write  $P^I = \top$  instead of  $P^I = \{()\}$  and  $P^I = \bot$  for  $P^I = \emptyset$  (this works because  $P()^I = \top$  iff  $() \in P^I$ ).

**Definition 24.** Let  $\Gamma$  be a finite set of first-order formulas.

We say that an interpretation I is a <u>model</u> of  $\Gamma$ , denoted by  $I \models \Gamma$ , if  $I \models \psi$  for every  $\psi$  in  $\Gamma$ .

The formula  $\varphi$  is a <u>semantic consequence</u> of  $\Gamma$ , denoted by  $\Gamma \vdash \varphi$ , if every model of  $\Gamma$  is also a model of  $\varphi$ .

The free variables of  $\Gamma$ , denoted by  $FV(\Gamma)$ , are  $\bigcup \{FV(\varphi) \mid \varphi \in \Gamma\}$ .

### 2.4 Two-counter automaton

We will use a version of two-counter automaton which only has two types of transitions. First it can increment a register and second it can decrement a register or jump if the register is already zero. Formally:

**Definition 25.** A deterministic two-counter automaton is a 4-tuple  $M = (\mathcal{Q}, Q_0, Q_f, R)$ ,

where Q is a finite set (of states),

 $Q_0$  is in  $\mathcal{Q}$  (the initial state),

 $Q_f$  is in  $\mathcal{Q}$  (the final state), and

R is a function from  $\mathcal{Q} \setminus \{Q_f\}$  to  $\mathcal{R}_{\mathcal{Q}}$ , where  $\mathcal{R}_{\mathcal{Q}} = \{+(i,Q') \mid i \in \{1,2\}, Q' \in \mathcal{Q}\}$   $\cup \{-(i,Q_1,Q_2) \mid i \in \{1,2\}, Q_1, Q_2 \in \mathcal{Q}\}$ 

A <u>configuration</u> C of our automaton is a triple  $C = \langle Q, m, n \rangle$ , where  $Q \in \mathcal{Q}$  and  $m, n \in \mathbb{N}$ . Let r be in  $R(\mathcal{Q} \setminus \{Q_f\})$ , then  $\Rightarrow_M^r$  is a binary relation on the configurations of M such that two configurations  $\langle Q, m, n \rangle$ ,  $\langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$  of M are in the in the relation if all of the following conditions hold:

- $Q \neq Q_f$ , r = R(Q),
- if r = +(1, Q') for some  $Q' \in \mathcal{Q}$  then  $\widehat{Q} = Q'$ ,  $\widehat{m} = m + 1$ , and  $\widehat{n} = n$ ,
- if r = +(2, Q') for some  $Q' \in \mathcal{Q}$  then  $\widehat{Q} = Q'$ ,  $\widehat{m} = m$ , and  $\widehat{n} = n + 1$ ,
- if  $r = -(1, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  then if m = 0 then  $\widehat{Q} = Q_2$ ,  $\widehat{m} = 0$ , and  $\widehat{n} = n$ , if  $m \ge 1$  then  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m - 1$ , and  $\widehat{n} = n$ ,
- if  $r = -(2, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  then if n = 0 then  $\widehat{Q} = Q_2$ ,  $\widehat{m} = m$ , and  $\widehat{n} = 0$ , if  $n \ge 1$  then  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m$ , and  $\widehat{n} = n - 1$ .

The transition relation of M, denoted by  $\Rightarrow_M$ , is defined as  $\bigcup_{r \in R(Q \setminus \{Q_f\})} \Rightarrow_M^r$ .

Let m, n be in  $\mathbb{N}$ , we say that  $\underline{M}$  terminates on input (m, n) if there exist  $\widehat{m}, \widehat{n} \in \mathbb{N}$  such that  $\langle Q_0, m, n \rangle \Rightarrow_M^* \langle Q_f, \widehat{m}, \widehat{n} \rangle$  (It follows that there exists an  $i \in \mathbb{N}$  and configurations  $D_1, \ldots, D_i$  of M such that  $\langle Q_0, m, n \rangle = D_1 \Rightarrow_M \cdots \Rightarrow_M D_i = \langle Q_f, \widehat{m}, \widehat{n} \rangle$ , we call this chain a computation with length i-1).

**Definition 26.** The halting problem for two-counter automaton, denoted by  $\mathbf{HALT}$ , is defined as follows. Given a two-counter automaton M.

Does M terminate on input (0,0)?

It is well known that **HALT** is undecidable.

## 3 System P

### 3.1 Definitions

In the following let  $\mathcal{V}_P = \{\alpha, a, \beta, b, ...\}$  be a countably infinite subset of  $\mathcal{V}_T$  (of variables). Let  $\mathcal{P}_P = \{P, Q, ...\}$  be a set (of predicate symbols) and  $\mathcal{P}$  a ranked set such that  $\mathcal{P}^{(0)} = \{\text{false}\}$ ,  $\mathcal{P}^{(2)} = \mathcal{P}_P$ , and  $\mathcal{P}^{(k)} = \emptyset$  for all  $k \in \mathbb{N} \setminus \{0, 2\}$ . A first-order logic formula  $\varphi$  over  $\mathcal{V}_P$ ,  $\emptyset$ , and  $\mathcal{P}$  is an

atomic formula if  $\varphi =$ false or  $\varphi = P(a, b)$  for some  $P \in \mathcal{P}_P$  and  $a, b \in \mathcal{V}_P$ .

**universal formula** if  $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$  for some  $\vec{\alpha} = \alpha_1 \dots \alpha_m$  where  $\alpha_1, \dots, \alpha_m \in \mathcal{V}_P$ , some  $n \in \mathbb{N}$  and where  $A_i$  is an atomic formula for  $i \in \{1, \dots, n\}$ ,  $A_i \neq \mathbf{false}$  for  $i \in \{1, \dots, n-1\}$  and for each  $\alpha \in \mathrm{FV}(A_n) \cap \mathrm{BV}(\varphi)$  there exists an  $i \in \{1, \dots, n-1\}$  such that  $\alpha \in \mathrm{FV}(A_i)$ .

**existential formula** if there is a  $\vec{\alpha} = \alpha_1 \dots \alpha_m$  where  $\alpha_1, \dots, \alpha_m \in \mathcal{V}_P$ , an  $n \in \mathbb{N}^+$ , atomic formulas  $A_i \neq \text{false}$  for  $i \in \{1, \dots, n\}$ ,  $\beta \in \mathcal{V}_P$ , such that for each  $\alpha \in (\text{FV}(A_n) \cap \text{BV}(\varphi)) \setminus \{\beta\}$  there exists an  $i \in \{1, \dots, n-1\}$  such that  $\alpha \in \text{FV}(A_i)$  and  $\varphi = \forall \vec{\alpha}(A_1 \to A_2 \to \dots \to A_{n-1} \to \forall \beta(A_n \to \text{false}) \to \text{false})$ .

The set of formulas of System  $\mathbf{P}$  (= set of  $\mathbf{P}$ -formulas) over  $\mathcal{V}_P$  and  $\mathcal{P}_P$  is the set of all first-order formulas in  $\mathcal{L}_{(\mathcal{V}_P,\emptyset,\mathcal{P})}$  that are either an atomic, universal or existential formula. In what follows we assume all  $\mathbf{P}$ -formulas to contain no dummy quantifiers.

**Definition 27.** A finite set of **P**-formulas  $\Gamma$  is called **P**-basis, or basis if it is clear from the context whether a **P**-basis or a  $\lambda$ **2**-basis is meant.

For a **P**-basis  $\Gamma$ , another **P**-basis  $\Sigma$ , and a **P**-formula A we will abbreviate  $\Gamma \cup \{A\}$  to  $\Gamma$ , A and  $\Gamma \cup \Sigma$  to  $\Gamma$ ,  $\Sigma$  (c.f.  $\lambda 2$ -basis).

**Definition 28.** Let A be a **P**-formula, and  $\Gamma$  be a basis. The formula A is a <u>semantic consequence</u> of  $\Gamma$ , denoted by  $\Gamma \vdash A$ , if A can be produced using the following deduction rules.

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, A \vdash A \\ \\ (\to \operatorname{-Introduction}) & \frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \\ \\ (\to \operatorname{-Elimination}) & \frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\forall \operatorname{-Introduction}) & \frac{\Gamma \vdash B}{\Gamma \vdash \forall \alpha B} \qquad \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall \operatorname{-Elimination}) & \frac{\Gamma \vdash \forall \alpha B}{\Gamma \vdash B \, [\alpha := b]} \qquad b \in \mathcal{V}_P \end{array}$$

We define a more general consequence relation in which we demand that **false** is interpreted with  $\perp$ . In this relation existential formulas will behave like the name suggests. Formally:

**Definition 29.** Let  $\Gamma$  be a basis. The **P**-formula A is a semantic consequence with falsity of  $\Gamma$ , denoted by  $\Gamma \vdash_f A$ , if for every interpretation I

$$I \models \Gamma$$
 and  $\mathbf{false}^I = \bot$  implies  $I \models A$ .

This allows us to add the following deduction rule.

$$(\exists \text{-Introduction}) \quad \frac{\Gamma, A [\alpha := a] \vdash_{\mathsf{f}} B}{\Gamma, A' := \forall \alpha (A \to \mathbf{false}) \to \mathbf{false} \vdash_{\mathsf{f}} B} \quad a \notin FV(\Gamma, A', B)$$

*Proof.* Let  $I = (\Delta, {}^{I}, \omega)$  be a model of  $\Gamma, A' := \forall \alpha(A \to \mathbf{false}) \to \mathbf{false}$  with  $\mathbf{false}^{I} = \bot$  and  $a \in \mathcal{V}_{P}$  a variable such that  $a \notin FV(\Gamma, A', B)$ .

$$\begin{split} I &\models \Gamma, \forall \alpha(A \to \mathbf{false}) \to \mathbf{false} \Rightarrow I \models \forall \alpha(A \to \mathbf{false}) \to \mathbf{false} \\ &\Rightarrow \mathrm{not} \ (\forall \alpha(A \to \mathbf{false}))^I \ \mathrm{or} \ \mathbf{false}^I \\ &\Rightarrow \mathrm{not} \ (\forall \alpha(A \to \mathbf{false}))^I \ \mathrm{or} \ \bot \\ &\Rightarrow \mathrm{not} \ (\forall \alpha(A \to \mathbf{false}))^I \\ &\Rightarrow \mathrm{not} \ (\mathrm{forall} \ d \in \Delta \colon (A \to \mathbf{false})^{I[\alpha \mapsto d]}) \\ &\Rightarrow \mathrm{exists} \ d \in \Delta \colon \mathrm{not} \ (\mathrm{not} \ A^{I[\alpha \mapsto d]} \ \mathrm{or} \ \mathbf{false}^{I[\alpha \mapsto d]}) \\ &\Rightarrow \mathrm{exists} \ d \in \Delta \colon \mathrm{not} \ (\mathrm{not} \ A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \mathrm{exists} \ d \in \Delta \colon \mathrm{not} \ (\mathrm{not} \ A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \mathrm{exists} \ d \in \Delta \colon \mathrm{not} \ (\mathrm{not} \ A^{I[\alpha \mapsto d]}) \\ &\Rightarrow \mathrm{exists} \ d \in \Delta \colon \mathrm{not} \ (\mathrm{not} \ A^{I[\alpha \mapsto d]}) \end{split}$$

Together with  $a \notin FV(\Gamma, A')$ , it follows that  $I[a \mapsto d]$  is a model of  $\Gamma, A[\alpha := a]$ . Which implies  $I[a \mapsto d] \models B$ . Since a is not free in B we conclude that I is also a model of B.

**Definition 30.** The consistency problem, denoted by **CONS**, is defined as follows. Given a set of **P**-formulas  $\Gamma$ .

Does  $\Gamma \vdash$  **false** not hold?

### 3.2 Consistency in System P is undecidable

We will show that  $\mathbf{HALT} \leq \mathbf{CONS}$  then the undecidability of  $\mathbf{CONS}$  directly follows from the undecidability of  $\mathbf{HALT}$ . For a given two-counter automaton M we will effectively construct a  $\mathbf{P}$ -basis  $\Gamma_M$  such that

M terminates on input (0,0) iff  $\Gamma_M \vdash \mathbf{false} \ holds \ in \ System \ \mathbf{P}$ .

Let  $M = (\mathcal{Q}, Q_0, Q_f, R)$  be a two-counter automaton, w.l.o.g.  $S, P, R_1, R_2, E, D, G \notin \mathcal{Q}$ . In the following we will consider **P**-formulas over  $\mathcal{V}_P$  and  $\mathcal{P}_P$ , where  $\mathcal{P}_P = \mathcal{Q} \uplus \{S, P, R_1, R_2, E, D, G\}$ . We will abbreviate P(a, a) to P(a), note that this way we can use binary predicate symbols as unary ones.

The intended informal meaning for these new relation symbols is the following:

• The meaning of Q(a) is "a represents a configuration and Q is the state of this configuration".

- For  $i \in \{1, 2\}$ ,  $R_i(a, m)$  denotes that "the value of register i in the configuration represented by a is represented by m" (we call m anchor of a for register i).
- With S(a,b) we state that "b is a successor of a".
- The meaning of P(a,b) is "b is a predecessor of a".
- And E(a) marks "a as the end of chain".
- With D(a) we state that "a is not the end of a chain".
- Finally G(a) has no actual meaning, it holds for all elements representing a configuration or a number. But we just need it for the existential formulas.

For a configuration  $C = \langle Q, m, n \rangle$  of M we define a set of **P**-formulas  $\Gamma_C$ . It contains the following formulas:

- Q(a), G(a)
- $R_1(a, a_0), P(a_{i-1}, a_i)$  for  $i \in \{1, \dots, m\}$
- $R_2(a,b_0), P(b_{i-1},b_i)$  for  $i \in \{1,\ldots,n\}$
- $D(a_i), D(b_i), G(a_i), G(b_i)$  for  $i \in \{0, \dots, m-1\}$  and  $j \in \{0, \dots, n-1\}$
- $E(a_m), E(b_n), G(a_m), G(b_n)$

Next we need sets of **P**-formulas for all possible transitions. For every  $Q \in \mathcal{Q} \setminus \{Q_f\}$  and  $r \in \mathcal{R}_{\mathcal{Q}}$  we define  $\Gamma_{Q,r}$ . If r = +(1,Q') for some  $Q' \in \mathcal{Q}$  then  $\Gamma_{Q,+(1,Q')}$  contains the following formulas:

- $\forall \alpha \beta(Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))$ change of state
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to R_1(\beta, \delta) \to P(\delta, \gamma))$ increment register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change the value register 2

If  $r=-(1,Q_1,Q_2)$  for some  $Q_1,Q_2\in\mathcal{Q}$  then  $\Gamma_{Q,-(1,Q_1,Q_2)}$  contains the following formulas:

- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to Q_2(\beta))$ jump to  $Q_2$  if register 1 is zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to R_1(\beta, \gamma))$ if register 1 is zero it stays zero
- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to Q_1(\beta))$ change state to  $Q_1$  if register 1 is greater zero

- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to P(\gamma, \delta) \to R_1(\beta, \delta))$  decrement register 1 if possible
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2 in both cases

For r = +(2, Q') for some  $Q' \in \mathcal{Q}$  or  $r = -(2, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  the sets  $\Gamma_{Q,r}$  are defined analogously.

We also need a set  $\Gamma_1$  to ensure that our representation works correctly. The following formula are in  $\Gamma_1$ :

- $\forall \alpha \beta (S(\alpha, \beta) \to G(\beta))$
- $\forall \alpha (D(\alpha) \to G(\alpha))$
- $\forall \alpha \beta (P(\alpha, \beta) \to D(\alpha))$ no element with a predecessor is the end of a chain
- $\forall \alpha(G(\alpha) \to \forall \beta(R_1(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element that represents a configuration has a value for register 1
- $\forall \alpha(G(\alpha) \to \forall \beta(R_2(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element that represents a configuration has a value for register 2
- $\forall \alpha(G(\alpha) \to \forall \beta(S(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$ every element that represents a configuration has a successor

Note that in the last three formulas the only task of  $G(\alpha)$  is to make these formulas existential formulas (e.g.  $\forall \alpha (\forall \beta (S(\alpha, \beta) \to \mathbf{false}) \to \mathbf{false})$  is not an existential formula).

We define  $\Gamma_{\overline{M}}$  as  $\bigcup_{Q \in \mathcal{Q} \setminus \{Q_f\}} \Gamma_{Q,R(Q)} \cup \{ \forall \alpha(Q_f(\alpha) \to \mathbf{false}) \} \cup \Gamma_1$ . We have added the formula  $\forall \alpha(Q_f(\alpha) \to \mathbf{false})$  to be able to deduce **false** if our automaton terminates. Finally we can define  $\Gamma_M$  as  $\Gamma_{C_0} \cup \Gamma_{\overline{M}}$ , where  $C_0 = \langle Q_0, 0, 0 \rangle$  is the initial configuration.

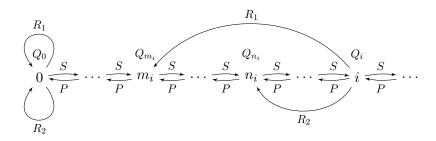
### Lemma 31.

$$\Gamma_M \vdash \mathbf{false} \ holds \ in \ System \ \mathbf{P} \implies M \ terminates \ on \ input (0,0)$$

*Proof.* Assume M does not terminate it follows that there is an infinite chain  $C_0 \Rightarrow_M C_1 \Rightarrow_M C_2 \Rightarrow_M \cdots$   $(C_i = \langle Q_i, m_i, n_i \rangle \text{ for } i \in \mathbb{N})$ . Now we construct a model of  $\Gamma_M$  which interprets **false** with  $\bot$  this contradicts  $\Gamma_M \vdash \mathbf{false}$ .

To illustrate the idea we will use a graphical notation for an interpretation I. By  $d_1 \stackrel{R}{\to} d_2$  we say that  $(d_1, d_2) \in R^I$ . And we use  $\frac{P}{d}$  to say that  $(d, d) \in P^I$  for predicate

symbols that are used as unary predicate symbols. As domain for our interpretation we will use the natural numbers. Every number will have two tasks: firstly it will represent itself as a possible value for register 1 or 2 and secondly every number i will also represent the  $i^{\rm th}$  configuration of our infinite computation. Now the idea for our model of  $\Gamma_M$  looks like this:



We have  $0 \in E^I$ , all other numbers are in  $D^I$ , and all numbers are in  $G^I$ . Here is the more formal definition of our model  $I = (\mathbb{N}, \cdot^I, \omega)$ .

$$\begin{split} P^I &= \{(i+1,i) \mid i \in \mathbb{N}\} & R^I_1 &= \{(i,m_i) \mid i \in \mathbb{N}\} & R^I_2 &= \{(i,n_i) \mid i \in \mathbb{N}\} \\ S^I &= \{(i,i+1) \mid i \in \mathbb{N}\} & D^I &= \{(i,i) \mid i \in \mathbb{N}^+\} & E^I &= \{(0,0)\} \\ Q^I &= \{(i,i) \mid i \in \mathbb{N}, Q = Q_i\} & \text{false}^I &= \bot \\ G^I &= \mathbb{N} & \end{split}$$

$$a^I = 0 \qquad \qquad a^I_0 = 0 \qquad \qquad b^I_0 = 0$$

Since there are no free variables in  $\Gamma_M$  we can just set  $\omega(x) = 0$  for every  $x \in \mathcal{V}_P$ . It is easy to see that I is indeed a model of  $\Gamma_M$ .

We proof the other direction by induction on the length of the computation. But to be able to use the induction hypothesis we need a slightly more general statement (this is why we defined  $\Gamma_{\overline{M}}$  and not just  $\Gamma_M$  right away).

**Lemma 32.** Let  $C = \langle Q, m, n \rangle$  be a configuration of M. If a final configuration (i.e. a configuration  $\langle Q_f, \widehat{m}, \widehat{n} \rangle$  for some  $\widehat{m}, \widehat{n} \in \mathbb{N}$ ) is reachable from C then  $\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}$ .

*Proof.* By induction on the length i of the computation.

Induction Base: i = 0

Since a final configuration is reachable in 0 steps C must be this final configuration. So  $C = \langle Q_f, m, n \rangle$  for some  $m, n \in \mathbb{N}$ . Hence,  $Q_f(a)$  is in  $\Gamma_C$  for some  $a \in \mathcal{V}_P$  and  $\forall \alpha (Q_f(\alpha) \to \mathbf{false})$  is in  $\Gamma_{\overline{M}}$ , we can easily deduce  $\mathbf{false}$ .

$$\frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \forall \alpha (Q_f(\alpha) \to \mathbf{false})}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a) \to \mathbf{false}} \frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a)}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \mathbf{false}}$$

Induction Step: i = i' + 1

Since  $I \models \mathbf{false}$  holds trivially if I interprets  $\mathbf{false}$  with  $\top$  we only need to consider models of  $\Gamma_C \cup \Gamma_{\overline{M}}$  that interpret  $\mathbf{false}$  with  $\bot$  (note that there are no such models if M terminates which is exactly what we want to proof). As result of this observation we can use the  $\exists$ -Introduction rule.

From the fact that a final configuration is reachable from C in i steps we can deduce that there exists a configuration  $D = \langle \widehat{Q}, \widehat{m}, \widehat{n} \rangle$  such that  $C \Rightarrow_M^r D$  for some  $r \in \mathcal{R}_{\mathcal{Q}}$  and a final configuration is reachable from D in i' steps. We also know that  $C = \langle Q, m, n \rangle$  for some  $Q \in \mathcal{Q} \setminus \{Q_f\}$  and some  $m, n \in \mathbb{N}$ . The set  $\Gamma_C$  contains the formulas:

$$R_1(a, a_0), P(a_{i-1}, a_i), G(a_{i-1}), \text{ and } D(a_{i-1}) \text{ for } i \in \{1, \dots, m\},$$
  
 $R_2(a, b_0), P(b_{i-1}, b_i), G(b_{i-1}), \text{ and } D(b_{i-1}) \text{ for } i \in \{1, \dots, n\},$   
 $Q(a), E(a_m), E(b_n), G(a), G(a_m), \text{ and } G(b_n).$ 

And  $\Gamma_D$  contains the formulas:

$$R_1(\widehat{a}, \widehat{a}_0), P(\widehat{a}_{i-1}, \widehat{a}_i), G(\widehat{a}_{i-1}), \text{ and } D(\widehat{a}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{m}\},$$
  
 $R_2(\widehat{a}, \widehat{b}_0), P(\widehat{b}_{i-1}, \widehat{b}_i), G(\widehat{b}_{i-1}), \text{ and } D(\widehat{b}_{i-1}) \text{ for } i \in \{1, \dots, \widehat{n}\},$   
 $\widehat{Q}(\widehat{a}), E(\widehat{a}_{\widehat{m}}), E(\widehat{b}_{\widehat{n}}), G(\widehat{a}), G(\widehat{a}_{\widehat{m}}), \text{ and } G(\widehat{b}_{\widehat{n}}).$ 

The basic idea is to deduce  $\Gamma_D$  from  $\Gamma_C \cup \Gamma_{\overline{M}}$  and then apply the induction hypothesis to  $\Gamma_D \cup \Gamma_{\overline{M}}$ .

$$\frac{\text{Induction Hypothesis}}{\Gamma_C \cup \Gamma_{\overline{M}} \cup \Gamma_D \vdash_{\text{f}} \mathbf{false}} \qquad \Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\text{f}} \Gamma_D$$

$$\Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\text{f}} \mathbf{false}$$

We achieve this by looking at the four possible cases for the type of the rule r. We will only consider the cases r = +(1, Q') and  $r = -(1, Q_1, Q_2)$ , because the two remaining cases r = +(2, Q') and  $r = -(2, Q_1, Q_2)$  follow by exchanging the roles of register 1 and register 2 in the first two cases.

In every case we need a new free variable representing the configuration D. Also the value in register 2 does not change, because in both cases we are only concerned with register 1. In the following tableau proofs we will abbreviate **false** by **f** and we will drop  $\Gamma_C \cup \Gamma_{\overline{M}}$  and only write new formulas on the left side of  $\vdash_{\mathbf{f}}$ .

We first introduce a new variable representing the new configuration D (let  $b \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma_C)$ , note that  $\mathrm{FV}(\Gamma_{\overline{M}}) = \emptyset$ ).

$$\begin{array}{c} \vdots \\ \hline S(a,b) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f} \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}) \to \mathbf{f} \end{array} \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha(G(\alpha) \to \forall \beta(S(\alpha,\beta) \to \mathbf{f}) \to \mathbf{f}) \\ \hline \vdash_{\mathbf{f}} G(a) \to (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}) \\ \hline \vdash_{\mathbf{f}} G(a) \to (\forall \beta(S(a,\beta) \to \mathbf{f}) \to \mathbf{f}) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

For the new variable b we have to deduce G(b). Again we will just drop S(a,b) on the left side for comprehensibility.

$$\frac{\vdots}{G(b) \vdash_{f} \mathbf{f}} \qquad \frac{\vdash_{f} \forall \alpha \beta(S(\alpha, \beta) \to G(\beta))}{\vdash_{f} S(a, b) \to G(b)} \qquad \vdash_{f} S(a, b)$$

$$\vdash_{f} \mathbf{f}$$

$$\vdash_{f} \mathbf{f}$$

Since register 2 should not change we need  $R_2(b, b_0)$ .

For the case that r = +(1, Q'), we have that  $\hat{Q} = Q'$ ,  $\hat{m} = m + 1$ , and  $\hat{n} = n$ . So we need to increment register 1 and ensure that the state of the configuration represented by b is Q'.

$$\begin{array}{c} \vdots \\ \hline Q'(b) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} Q'(b) \to \mathbf{f} \end{array} \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta (Q(\alpha) \to S(\alpha,\beta) \to Q'(\beta)) \\ \hline \vdash_{\mathbf{f}} Q(a) \to S(a,b) \to Q'(b) \\ \hline \vdash_{\mathbf{f}} S(a,b) \to Q'(b) \\ \hline \vdash_{\mathbf{f}} Q'(b) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array} \begin{array}{c} \vdash_{\mathbf{f}} S(a,b) \to Q'(b) \\ \hline \vdash_{\mathbf{f}} Q'(b) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

To increment register 1 we need a new free variable as anchor of b for register 1 (let  $d \in \mathcal{V}_P \setminus FV(\Gamma_C)$  and  $d \neq b$ ).

$$\frac{\vdots}{R_{1}(b,d)\vdash_{\mathbf{f}}\mathbf{f}} \qquad \qquad \vdash_{\mathbf{f}}\forall\alpha(G(\alpha)\to\forall\beta(R_{1}(\alpha,\beta)\to\mathbf{f})\to\mathbf{f}) \\
\frac{\forall\beta(R_{1}(b,\beta)\to\mathbf{f})\to\mathbf{f}\vdash_{\mathbf{f}}\mathbf{f}}{\vdash_{\mathbf{f}}(\forall\beta(R_{1}(b,\beta)\to\mathbf{f})\to\mathbf{f})\to\mathbf{f}} \qquad \qquad \frac{\vdash_{\mathbf{f}}\forall\alpha(G(\alpha)\to\forall\beta(R_{1}(\alpha,\beta)\to\mathbf{f})\to\mathbf{f})}{\vdash_{\mathbf{f}}G(a)\to\forall\beta(R_{1}(b,\beta)\to\mathbf{f})\to\mathbf{f}} \\
\vdash_{\mathbf{f}}\mathbf{f}$$

Now we need to connect d with  $a_0$  (the anchor of a for register 1).

We have to make sure that we do not get an artificial zero. We achieve this by deducing D(d).

$$\frac{\vdots}{D(d) \vdash_{\mathbf{f}} \mathbf{f}} \qquad \frac{\vdash_{\mathbf{f}} \forall \alpha \beta (P(\alpha, \beta) \to D(\alpha))}{\vdash_{\mathbf{f}} P(d, a_0) \to D(d)} \vdash_{\mathbf{f}} P(d, a_0)}{\vdash_{\mathbf{f}} D(d)}$$

$$\vdash_{\mathbf{f}} \mathbf{f}$$

Now we can easily deduce G(d).

$$\begin{array}{c} \vdots \\ \hline G(d) \vdash_{\mathrm{f}} \mathbf{f} \\ \hline \vdash_{\mathrm{f}} G(d) \to \mathbf{f} \end{array} \qquad \begin{array}{c} \vdash_{\mathrm{f}} \forall \alpha (D(\alpha) \to G(\alpha)) \\ \hline \vdash_{\mathrm{f}} D(d) \to G(d) \\ \hline \vdash_{\mathrm{f}} G(d) \\ \hline \\ \vdash_{\mathrm{f}} \mathbf{f} \end{array} \qquad \vdash_{\mathrm{f}} D(d) \\ \hline \end{array}$$

Now we have already deduced  $\Gamma_D$ . To see why we define  $\hat{a} := b$ ,  $\hat{b}_i := b_i$  for  $i \in \{0, ..., n\}$ ,  $\hat{a}_0 := d$ , and  $\hat{a}_{i+1} := a_i$  for  $i \in \{0, ..., m\}$ . It follows that  $\Gamma_D \subseteq (\Gamma_C \cup \{S(a, b), G(b), Q'(b), R_2(b, b_0), R_1(b, d), P(d, a_0), D(d), G(d)\})$ . Hence we can deduce **false** by induction hypothesis.

The other case, that  $r = -(Q, 1, Q_1, Q_2)$ , has to be split into two cases again. If m = 0 then  $\widehat{Q} = Q_2$ ,  $\widehat{m} = 0$ , and  $\widehat{n} = n$ . We only need to ensure that the successor state is  $Q_2$  and that register 1 is still zero.

$$\begin{array}{c|c} & \underbrace{\vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow Q_2(\beta))}_{ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} Q(a) \\ \hline & \underbrace{\vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} S(a,b)}_{ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline Q_2(b) \vdash_{\mathbf{f}} \mathbf{f} & \underbrace{\vdash_{\mathbf{f}} E(a_0) \rightarrow Q_2(b) & \vdash_{\mathbf{f}} E(a_0)}_{ \vdash_{\mathbf{f}} Q_2(b) & \vdash_{\mathbf{f}} E(a_0) \\ \hline & \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

Register 1 stays zero.

$$\begin{array}{c} \frac{ \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow E(\gamma) \rightarrow R_1(\beta,\gamma))}{ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)} \quad \vdash_{\mathbf{f}} Q(a) \\ \vdots \\ \hline \frac{ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)}{ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow E(a_0) \rightarrow R_1(b,a_0)} \quad \vdash_{\mathbf{f}} S(a,b) \\ \hline \hline R_1(b,a_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_1(b,a_0) \rightarrow \mathbf{f} \\ \hline \vdash_{\mathbf{f}} R_1(b,a_0) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

If we define  $\widehat{a} := b$ ,  $\widehat{b}_i := b_i$  for  $i \in \{0, ..., n\}$ , and  $\widehat{a}_0 := a_0$  then it is clear that we have deduced all formulas required for  $\Gamma_D$ . So we can use the induction hypothesis to deduce **false**.

In the last case m > 0, so  $\widehat{Q} = Q_1$ ,  $\widehat{m} = m - 1$ , and  $\widehat{n} = n$ . First we ensure that b is in state  $Q_1$ .

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow Q_1(\beta)) \\ \hline \\ P_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \vdots \\ \hline \\ P_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ P_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b) \\ \hline \\ \vdash_{\mathbf{f}} P_{\mathbf{f}} R_1(a,a_0) \rightarrow P_{\mathbf{f}} \\ \hline \\ \vdash_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f}} P_{\mathbf{f}} \\ \hline \\ P_{\mathbf{f}} P_{\mathbf{f$$

Now we decrement register 1 by taking  $a_1$  (the predecessor of  $a_0$ ) as anchor of b for register 1.

$$\frac{\vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha, \beta) \rightarrow R_1(\alpha, \gamma) \rightarrow D(\gamma) \rightarrow P(\gamma, \delta) \rightarrow R_1(\beta, \delta))}{\vdash_{\mathbf{f}} Q(a) \rightarrow S(a, b) \rightarrow R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} Q(a)} \\ \frac{\vdash_{\mathbf{f}} S(a, b) \rightarrow R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} S(a, b)}{\vdash_{\mathbf{f}} R_1(a, a_0) \rightarrow D(a_0) \rightarrow P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} R_1(a, a_0)} \\ \vdots \\ \frac{\vdash_{\mathbf{f}} R_1(b, a_1) \vdash_{\mathbf{f}} \mathbf{f}}{\vdash_{\mathbf{f}} R_1(b, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} P(a_0, a_1)} \\ \frac{\vdash_{\mathbf{f}} P(a_0, a_1) \rightarrow R_1(b, a_1) \quad \vdash_{\mathbf{f}} P(a_0, a_1)}{\vdash_{\mathbf{f}} R_1(b, a_1)} \\ \vdash_{\mathbf{f}} \mathbf{f}$$

Again it is obvious that we have deduced  $\Gamma_D$  ( $\widehat{a} := b$ ,  $\widehat{b}_i := b_i$  for  $i \in \{0, ..., n\}$ , and  $\widehat{a}_{i-1} := a_i$  for  $i \in \{1, ..., m\}$ ). Hence, by induction hypothesis, we can deduce **false**.  $\square$ 

### Lemma 33.

M terminates on input (0,0) iff  $\Gamma_M \vdash \mathbf{false}$  holds in system P.

*Proof.* The  $\Leftarrow$  direction is proven in Lemma 31. And the  $\Rightarrow$  direction is a direct consequence of Lemma 32 with  $C = \langle Q_0, 0, 0 \rangle$ .

**Theorem 34.** The consistency problem is undecidable.

*Proof.* Since by Lemma 33 for a given two-counter automaton M we can effectively construct a set of **P**-formulas  $\Gamma_M$  such that M terminates on input (0,0) iff  $\Gamma_M$  is not consistent. It follows that  $\mathbf{HALT} \leq \mathbf{CONS}$ . Since  $\mathbf{HALT}$  is undecidable we have shown that  $\mathbf{CONS}$  is undecidable too.

## 4 Inhabitation in $\lambda 2$ is undecidable

Now we can show that the inhabitation problem in  $\lambda 2$  is undecidable by reducing **CONS** to **INHAB**. Given a **P**-basis  $\Gamma$  we construct a  $\lambda 2$ -basis  $\overline{\Gamma}$  such that

$$\Gamma \vdash false$$
 iff There is a  $\lambda 2$  term  $M$  such that  $\overline{\Gamma} \vdash M : false$ 

where **false**  $\in \mathcal{V}_T$ . Furthermore we have  $\eta_1, \eta_2 \in \mathcal{V}_T$  and for every  $P \in \mathcal{P}_P$  we have  $p \in \mathcal{V}_T$ .

**Definition 35.** For a **P**-formula A we define the <u>code</u> of A, denoted by  $\overline{A}$ , as follows. If A is an atomic formula then

$$\overline{A} := \begin{cases} \mathbf{false} & \text{if } A = \mathbf{false} \\ (\alpha \to \eta_1) \to (\beta \to \eta_2) \to p & \text{if } A = P(\alpha, \beta) \end{cases}$$

We will abbreviate  $(\alpha \to \eta_1) \to (\beta \to \eta_2) \to p$  to  $P_{\alpha\beta}$ .

If A is a universal formula, it follows that there is an  $n \in \mathbb{N}$ , atomic formulas  $A_1, A_2, \ldots, A_n$ , and an  $\vec{\alpha} = \overline{\alpha}_1 \ldots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and  $\overline{\alpha}_1, \ldots, \overline{\alpha}_m \in \mathcal{V}_P$  such that  $A = \forall \vec{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$ , then

$$\overline{A} := \forall \vec{\alpha} (\overline{A_1} \to \overline{A_2} \to \cdots \to \overline{A_n})$$

If A is an existential formula, it follows that for some  $n \in \mathbb{N}^+$ , some atomic formulas  $A_1, \ldots, A_n$ , some  $\vec{\alpha} = \overline{\alpha}_1 \ldots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and  $\overline{\alpha}_1, \ldots, \overline{\alpha}_m \in \mathcal{V}_P$ , and some  $\beta \in \mathcal{V}_P$  it holds that  $A = \forall \vec{\alpha}(A_1 \to \cdots \to A_{n-1} \to \forall \beta((A_n) \to \mathbf{false}) \to \mathbf{false})$ , then

$$\overline{A} := \forall \vec{\alpha}(\overline{A_1} \to \cdots \to \forall \beta(\overline{A_n} \to \mathbf{false}) \to \mathbf{false})$$

For a **P**-basis  $\Gamma$  we define the code of  $\Gamma$ , denoted by  $\overline{\Gamma}$ , as  $\{(x_A : \overline{A}) \mid A \in \Gamma\}$ .

In the following lemma we prove the  $\Rightarrow$  direction by constructing a  $\lambda 2$  term M with the required type.

**Lemma 36.** Let  $\Gamma$  be a **P**-basis and A a **P**-formula such that  $\Gamma \vdash A$ . Then there exists a term  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M : \overline{A}$  holds.

*Proof.* We proof this by induction on the structure of the proof.

A is produced by the <u>Axiom</u> rule. It follows that  $A \in \Gamma$  and therefore  $(x_A : \overline{A}) \in \overline{\Gamma}$ . Now the term  $M := x_A$  fulfills the condition.

A is produced by the  $\rightarrow$ -Introduction rule. It follows that  $A = A' \rightarrow B'$  for some **P**-formulas A' and B'. We can now apply the induction hypothesis to  $\Gamma, A' \vdash B'$  and we get that there exists an  $M' \in \Lambda_{\Gamma_{\lambda_2}}$  such that  $\overline{\Gamma}, \overline{A'} \vdash M' : \overline{B'}$ . With the  $\lambda$ -Introduction rule we deduce  $\overline{\Gamma} \vdash \lambda x_{A'} : \overline{A'}.M' : \overline{A'} \rightarrow \overline{B'}$ . Since A has to be a universal or an existential formula  $\overline{A'} \rightarrow \overline{B'} = \overline{A'} \rightarrow \overline{B'}$ . So  $M := \lambda x_{A'} : \overline{A'}.M'$  has the required type.

A is produced by the  $\rightarrow$ -Elimination rule. So there exists a **P**-formula B such that  $\Gamma \vdash B \to A$  and  $\Gamma \vdash B$ . Now we apply the induction hypothesis and get that there exist  $M_1, M_2 \in \Lambda_{\Gamma_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M_1 : \overline{B} \to \overline{A}$  and  $\overline{\Gamma} \vdash M_2 : \overline{B}$ . Again we have that  $\overline{B} \to \overline{A} = \overline{B} \to \overline{A}$ . It follows that  $M := M_1 M_2$  has the type  $\overline{A}$ .

A is produced by the  $\forall$ -Introduction rule. It follows that  $A = \forall \beta B$  for some  $\beta \in \mathcal{V}_P \setminus \mathrm{FV}(\Gamma)$  and some **P**-formula B. By applying the induction hypothesis to  $\Gamma \vdash B$  we get that there exists an  $M' \in \Lambda_{\mathrm{T}_{\lambda 2}}$  such that  $\overline{\Gamma} \vdash M' : \overline{B}$ . We deduce that  $M := \Lambda \beta . M'$  has type  $\forall \beta . \overline{B} = \overline{\forall} \beta \overline{B}$  as desired.

A is produced by the  $\forall$ -Elimination rule. Then there is a **P**-formula B and variables  $\alpha, b \in \mathcal{V}_P$  such that  $\Gamma \vdash \forall \alpha B$  and  $A = B \ [\alpha := b]$ . The induction hypothesis implies that there exits an  $M' \in \Lambda_{\Gamma_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M' : \overline{\forall \alpha B}$ . Since  $\overline{\forall \alpha B} = \forall \alpha.\overline{B}$  the term M := M'b has the type  $\overline{B} \ [\alpha := b] = \overline{B} \ [\alpha := b]$ .

In the next two lemmas we will prove the  $\Leftarrow$  direction.

**Lemma 37.** Let  $\Gamma$  be a P-basis,  $M \in \Lambda_{T_{\lambda_2}}$ ,  $P \in \mathcal{P}_P$ , and  $s, t \in T_{\lambda_2}$  such that  $\overline{\Gamma} \vdash M : P_{st}$  holds. Then  $s, t \in \mathcal{V}_P$  (remember that  $\mathcal{V}_P \subseteq \mathcal{V}_T$ ). Furthermore  $\Gamma \vdash P(s, t)$  holds.

*Proof.* Note that M is a well typed  $\lambda 2$  term and hence, by Theorem 13, there is a  $N \in \Lambda_{T_{\lambda_2}}$  such that N is in normal form and  $M \Rightarrow_{\lambda}^* N$ . From Theorem 14 it follows that the statement  $N: P_{st}$  is derivable from  $\overline{\Gamma}$ . Therefore we can assume w.l.o.g. that M is in normal form.

We now proof the lemma by structural induction on the term M.

 $\underline{M} = \underline{x}$  for some  $x \in \mathcal{V}_V$ .

It follows that  $(x: P_{st}) \in \overline{\Gamma}$ . Now the definition of  $\overline{\Gamma}$  yields that  $P(s,t) \in \Gamma$ . Therefore  $s, t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$  holds trivially.

 $\underline{M = M_1 M_2}$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$ .

Since M is in normal form we have that  $M_1 = xN_1 \dots N_k$  for some  $x \in \mathcal{V}_V$ ,  $k \in \mathbb{N}$ , and some  $N_1, \dots, N_k \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ .

We conclude that  $x = x_A$  and  $(x : \overline{A}) \in \overline{\Gamma}$  for some universal formula  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to P^n(\alpha_n, \beta_n) \to P(\alpha, \beta))$  in  $\Gamma$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$ .

Furthermore  $M = x\vec{t}\vec{N}$  for some  $\vec{t} = \bar{t}_1 \dots \bar{t}_m$  with  $\bar{t}_1, \dots, \bar{t}_m \in T_{\lambda 2}$  and some  $\vec{N} = N_1 \dots N_n$  with  $N_1, \dots, N_n \in \Lambda_{T_{\lambda 2}}$  and  $\overline{\Gamma} \vdash N_i : P^i_{s_i t_i}$  (where  $s_i = \alpha_i \left[ \vec{\alpha} := \vec{t} \right]$ ) and  $t_i = \beta_i \left[ \vec{\alpha} := \vec{t} \right]$ ) for  $i \in \{1, \dots, n\}$ .

$$\frac{\overline{\Gamma} \vdash x : \forall \vec{\alpha} (P^1_{\alpha_1 \beta_1} \to \cdots \to P^n_{\alpha_n \beta_n} \to P_{\alpha \beta})}{\overline{\Gamma} \vdash x \vec{t} : P^1_{s_1 t_1} \to \cdots \to P^n_{s_n t_n} \to P_{st}} \quad \overline{\Gamma} \vdash N_1 : P^1_{s_1 t_1}}{\vdots}$$

$$\vdots$$

$$\overline{\overline{\Gamma} \vdash x \vec{t} N_1 \dots N_{n-1} : P^n_{s_n t_n} \to P_{st}} \quad \overline{\Gamma} \vdash N_n : P^n_{s_n t_n}$$

$$\overline{\overline{\Gamma}} \vdash (x \vec{t} N_1 \dots N_{n-1}) N_n : P_{st}$$

For  $i \in \{1, ..., n\}$  we can now apply the induction hypothesis to  $\overline{\Gamma} \vdash N_i : P_{s_i t_i}^i$  and we get that  $s_i, t_i \in \mathcal{V}_P$  and that  $\Gamma \vdash P^i(s_i, t_i)$  holds.

If  $\alpha = \overline{\alpha}_j$  for some  $j \in \{1, ..., n\}$  then because there are no dummy quantifiers we get that  $s = \overline{t}_j$ . Furthermore since  $\alpha \in FV(P(\alpha, \beta)) \setminus FV(A)$  it follows that there exists an  $i \in \{1, ..., n\}$  such that  $\alpha \in FV(P^i(\alpha_i, \beta_i))$ , i.e.  $\alpha = \alpha_i$  or  $\alpha = \beta_i$ . It follows that  $s = s_i$  or  $s = t_i$ , in both cases we get that  $s \in \mathcal{V}_P$ .

If  $\alpha \neq \overline{\alpha}_j$  for all  $j \in \{1, ..., n\}$  then  $\alpha \in FV(A)$  and therefore  $s = \alpha$  and  $s \in \mathcal{V}_P$ .

For t we can make a similar argument and get that  $t \in \mathcal{V}_P$ .

Finally we have to show that P(s,t) is a semantic consequence of  $\Gamma$ .

$$\frac{\Gamma \vdash \forall \vec{\alpha}(P^{1}(\alpha_{1}, \beta_{1}) \to \cdots \to P^{n}(\alpha_{n}, \beta_{n}) \to P(\alpha, \beta))}{\Gamma \vdash P^{1}(s_{1}, t_{1}) \to \cdots \to P^{n}(s_{n}, t_{n}) \to P(s, t)} \qquad \Gamma \vdash P^{1}(s_{1}, t_{1})}$$

$$\vdots$$

$$\frac{\Gamma \vdash P^{n}(s_{n}, t_{n}) \to P(a, b)}{\Gamma \vdash P^{n}(s_{n}, t_{n})} \qquad \Gamma \vdash P^{n}(s_{n}, t_{n})$$

$$\Gamma \vdash P(s, t)$$

 $\underline{M} = \lambda x : t'.\underline{M'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$ , some  $x \in \mathcal{V}_V$ , and some  $t' \in T_{\lambda_2}$  (w.l.o.g.  $x \notin \text{dom}(\Gamma)$ ).

It follows that  $t' = s \to \eta_1$  and  $\overline{\Gamma}, x : s \to \eta_1 \vdash M' : (t \to \eta_2) \to p$ .

If M' = yx for some  $y \in \mathcal{V}_V$  then it has to be that  $y = x_{P(s,t)}$  and  $(y : (s \to \eta_1) \to (t \to \eta_2) \to p) \in \overline{\Gamma}$ . It follows that  $P(s,t) \in \Gamma$  and therefore  $s,t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$ .

If  $M' = \lambda y : t \to \eta_2.zxy$  for some  $y, z \in \mathcal{V}_V$  then  $z = x_{P(s,t)}$  and therefore  $(z : (s \to \eta_1) \to (t \to \eta_2) \to p) \in \overline{\Gamma}$ . We get that  $P(s,t) \in \Gamma$  and conclude that  $s, t \in \mathcal{V}_P$  and  $\Gamma \vdash P(s,t)$ .

All other cases for M' are impossible because there are no **P**-formulas A such that  $\overline{A}$  has the required type.

 $M = \Lambda \gamma \underline{M'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $\gamma \in \mathcal{V}_T$ .

It follows that  $\overline{\Gamma} \vdash M : \forall \gamma.t'$  for some  $t' \in T_{\lambda 2}$ . But this can not be since  $P_{st} = (s \to \eta_1) \to (t \to \eta_2) \to p$ . Therefore M is not of the form  $\Lambda \gamma.M'$  and this case is impossible.

 $\underline{M} = \underline{M't'}$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $t' \in T_{\lambda_2}$ .

Since M is in normal form we have that  $M' = xM_1 \dots M_n$  for some  $x \in \mathcal{V}_V$ ,  $n \in \mathbb{N}$ , and some  $M_1, \dots, M_n \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ . Hence,  $(x : \overline{A}) \in \overline{\Gamma}$  for some **P**-formula A and M = M't', we get that this case is impossible because no such A exists.

The only case where the contradiction is not obvious is when A is a universal formula and  $M_1, \ldots, M_n \in T_{\lambda 2}$ . Furthermore because there are no dummy quantifiers  $n \leq 1$ . So A is of the form  $A = \forall \vec{\alpha}(P(\alpha, \beta))$  where  $\vec{\alpha} \in \{\alpha\beta, \beta\alpha, \alpha, \beta\}$ . But in every case A is not a **P**-formula since there always is a  $\gamma \in FV(P(\alpha, \beta)) \setminus FV(A)$ .

**Lemma 38.** Let  $\Gamma$  be a **P**-basis,  $M \in \Lambda_{T_{\lambda_2}}$  such that  $\overline{\Gamma} \vdash M$ : **false** holds. Then  $\Gamma \vdash \mathbf{false}$  holds.

*Proof.* By structural induction on the term M. Again we can assume that M is in normal form.

 $\underline{M} = \underline{x}$  for some  $y \in \mathcal{V}_V$ .

It follows that  $(x : \mathbf{false}) \in \overline{\Gamma}$ . Now the definition of  $\overline{\Gamma}$  yields that  $\mathbf{false} \in \Gamma$ . Therefore  $\Gamma \vdash \mathbf{false}$  holds.

 $M = M_1 M_2$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$ .

Because M is in normal form we have that  $M_1 = xN_1 \dots N_k$  for some  $x \in \mathcal{V}_V$ ,  $k \in \mathbb{N}$ , and some  $N_1, \dots N_k \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ . We know that  $x = x_A$  for some  $A \in \Gamma$ .

Firstly A could be a universal formula. It follows that A is of the form  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to P^n(\alpha_n, \beta_n) \to \mathbf{false})$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$ . In this case  $M = x\vec{t}\vec{N}$  for some  $\vec{t} = \overline{t}_1 \dots \overline{t}_m$  with  $\overline{t}_1, \dots, \overline{t}_m \in T_{\lambda 2}$  and some  $\vec{N} = N_1 \dots N_n$  with  $N_1, \dots, N_n \in \Lambda_{T_{\lambda 2}}$ . Now  $\Gamma \vdash \mathbf{false}$  can be deduced as in the previous proof.

Secondly A could be an existential formula. It follows that A is of the form  $A = \forall \vec{\alpha}(P^1(\alpha_1, \beta_1) \to \cdots \to \forall \beta(P^n(\alpha_n, \beta_n) \to \mathbf{false}) \to \mathbf{false})$  where  $\vec{\alpha} = \overline{\alpha}_1 \dots \overline{\alpha}_m$  for some  $m \in \mathbb{N}$  and some  $\overline{\alpha}_1, \dots, \overline{\alpha}_m \in \mathcal{V}_P$  (w.l.o.g.  $\beta \notin \mathrm{FV}(\overline{\Gamma})$  and  $\beta \neq \overline{\alpha}_i$  for all  $i \in \{1, \dots, m\}$ ). Then M has to be of the form  $M = x\vec{t}\vec{N}L$  for some  $\vec{t} = \overline{t}_1 \dots \overline{t}_m$  with  $\overline{t}_1, \dots, \overline{t}_m \in \mathrm{T}_{\lambda 2}$ , some  $\vec{N} = N_1 \dots N_{n-1}$  with  $N_1, \dots, N_{n-1} \in \Lambda_{\mathrm{T}_{\lambda 2}}$ , and some  $L \in \Lambda_{\mathrm{T}_{\lambda 2}}$ . It also has to hold that  $\overline{\Gamma} \vdash L : \forall \beta(P^n_{s_nt_n} \to \mathbf{false})$  and for  $i \in \{1, \dots, n-1\}$  that  $\overline{\Gamma} \vdash N_i : P^i_{s_it_i}$  (where  $s_i = \alpha_i \ [\vec{\alpha} := \vec{t}\ ]$  and  $t_i = \beta_i \ [\vec{\alpha} := \vec{t}\ ]$  for  $i \in \{1, \dots, n\}$ ).

For  $i \in \{1, ..., n-1\}$  we can apply Lemma 37 to  $\overline{\Gamma} \vdash N_i : P_{s_i t_i}^i$  to get that  $s_i, t_i \in \mathcal{V}_P$  and that  $\Gamma \vdash P^i(s_i, t_i)$ . But to proof that **false** is a semantic consequence of  $\Gamma$  we still need  $\Gamma \vdash \forall \beta (P^n(s_n, t_n) \to \mathbf{false})$ .

To deduce this we have to take a closer look at L. First note that because either  $\alpha_n = s_n$  or there exits an  $i \in \{1, \ldots, n-1\}$  such that  $\alpha_n \in \mathrm{FV}(P^i(\alpha_i, \beta_i))$  which implies that  $s_n = s_i$  or  $s_n = t_i$ . In all cases we get that  $s_n \in \mathcal{V}_P$ . A similar argument yields  $t_n \in \mathcal{V}_P$ .

If, for some  $y \in \mathcal{V}_V$ ,  $l \in \mathbb{N}$ , and  $s, t, t', t_1, \ldots, t_l \in T_{\lambda 2}$  with  $M' := yt_1 \ldots t_l$ , the term L is equal to y, to  $\Lambda \beta. y$ , to  $\Lambda \beta. M' t'$ , or to M' t' then  $y = x_A$  for some universal formula  $A = \forall \vec{\alpha}(P^n(s,t) \to \mathbf{false}) \in \Gamma$ . It is easy to see that in all three cases we can indeed deduce  $\Gamma \vdash \forall \beta (P^n(s_n, t_n) \to \mathbf{false})$ .

If  $L = \Lambda \beta. M_1 M_2$  for some  $M_1, M_2 \in \Lambda_{T_{\lambda_2}}$  it follows that  $M_1 = x_A \vec{t'} N_1' \dots N_{n'}'$  for some universal formula  $A \in \Gamma$ ,  $l \in \mathbb{N}$ , some  $\vec{t'} = t_1' \dots t_l'$  where  $t_1', \dots, t_l' \in T_{\lambda_2}$ ,  $n' \in \mathbb{N}$ , and some  $N_1', \dots, N_{n'}' \in \Lambda_{T_{\lambda_2}}$ . We get that  $L = \Lambda \beta. x_A \vec{t'} \vec{N'}$  where  $\vec{N'} := N_1' \dots N_{n'}' M_2$ . Hence,  $\beta \notin FV(\overline{\Gamma})$ , we can use the  $\forall$ -Introduction rule to deduce  $\overline{\Gamma} \vdash x_A \vec{t'} \vec{N'} : P_{s_n t_n}^n \to \mathbf{false}$ . Now we can conclude  $\Gamma \vdash P^n(s_n, t_n) \to \mathbf{false}$ 

as in the proof of Lemma 37. Since  $\beta$  is also not in  $FV(\Gamma)$  we can use the  $\forall$ -Introduction of System **P** to deduce  $\Gamma \vdash \forall \beta(P^n(s_n, t_n) \to \mathbf{false})$  as desired.

If  $L = \Lambda \beta . \lambda y : t'.N$  for some  $y \in \mathcal{V}_V$ , some  $t' \in \mathcal{T}_{\lambda 2}$ , and some  $N \in \Lambda_{\mathcal{T}_{\lambda 2}}$  then  $t' = P_{s_n t_n}^n$ . Furthermore:

$$\frac{\overline{\Gamma}, y: P^n_{s_nt_n} \vdash N: \mathbf{false}}{\overline{\Gamma} \vdash \lambda y: P^n_{s_nt_n}.N: P^n_{s_nt_n} \to \mathbf{false}}$$
$$\overline{\overline{\Gamma} \vdash \Lambda \beta. \lambda y: P^n_{s_nt_n}.N: \forall \beta (P^n_{s_nt_n} \to \mathbf{false})}$$

Because  $s_n, t_n \in \mathcal{V}_P$  we know that  $P^n(s_n, t_n)$  is a valid **P**-formula. So we can apply the induction hypothesis to  $\overline{\Gamma}, y : P^n_{s_n t_n} \vdash N :$  **false** and it follows that  $\Gamma, P^n(s_n, t_n) \vdash$  **false**. Now we can deduce  $\Gamma \vdash \forall \beta(P^n(s_n, t_n) \to \text{false})$ .

$$\frac{\Gamma, P^n(s_n, t_n) \vdash \mathbf{false}}{\Gamma \vdash P^n(s_n, t_n) \to \mathbf{false}}$$
$$\Gamma \vdash \forall \beta (P^n(s_n, t_n) \to \mathbf{false})$$

All other forms for L (i.e.  $M_1M_2$ ,  $\lambda y$  : t'.M',  $\Lambda \beta.\Lambda \gamma.M'$ , and M't' with  $M' \neq yt_1 \dots t_l$ ) are impossible.

Now we can show that **false** is a semantic consequence of  $\Gamma$ .

$$\cfrac{\Gamma \vdash \forall \vec{\alpha}(P^1(\alpha_1,\beta_1) \to \cdots \to \forall \beta(P^n(\alpha_n,\beta_n) \to \mathbf{false}) \to \mathbf{false})}{\Gamma \vdash P^1(s_1,t_1) \to \cdots \to \forall \beta(P^n(s_n,t_n) \to \mathbf{false}) \to \mathbf{false}} \cfrac{\Gamma \vdash P^1(s_1,t_1)}{\vdots} \cfrac{\vdots}{\Gamma \vdash \forall \beta(P^n(s_n,t_n) \to \mathbf{false}) \to \mathbf{false}} \cfrac{\Gamma \vdash \forall \beta(P^n(s_n,t_n) \to \mathbf{false})}{} = \cfrac{\Gamma \vdash \mathbf{false}}{} = \cfrac{\Gamma \vdash \mathbf{fals$$

 $\underline{M} = \lambda x : t_1.M'$  for some  $M' \in \Lambda_{T_{\lambda_2}}$ , some  $x \in \mathcal{V}_V \setminus \text{dom}(\Gamma)$ , and some  $t_1 \in T_{\lambda_2}$ . It follows that **false**  $= t_1 \to t_2$  for some  $t_2 \in T_{\lambda_2}$  which is impossible.

 $M = \Lambda \gamma. M'$  for some  $M' \in \Lambda_{T_{\lambda_2}}$  and some  $\gamma \in \mathcal{V}_T$ .

It follows that **false** =  $\forall \gamma . t'$  for some  $t' \in T_{\lambda 2}$ . Again is a contradiction and makes this case impossible.

 $\underline{M} = \underline{M't'}$  for some  $M' \in \Lambda_{\mathcal{T}_{\lambda_2}}$  and some  $t' \in \mathcal{T}_{\lambda_2}$ .

Since M is in normal form we have that  $M' = xM_1 \dots M_n$  for some  $x \in \mathcal{V}_V$ ,  $n \in \mathbb{N}$ , and some  $M_1, \dots, M_n \in \Lambda_{T_{\lambda_2}} \cup T_{\lambda_2}$ . Hence,  $(x : \overline{A}) \in \overline{\Gamma}$  for some **P**-formula A and M = M't', we get that this case is impossible because no such A exists.

Lemma 39.

 $\Gamma \vdash false$  iff There is a  $\lambda 2$  term M such that  $\overline{\Gamma} \vdash M$ : false.

*Proof.* The  $\Leftarrow$  direction follows from Lemma 38. And the  $\Rightarrow$  direction follows from Lemma 36 with A = **false**.

**Theorem 40.** The inhabitation problem for  $\lambda 2$  is undecidable.

*Proof.* From Lemma 39 it follows that  $CONS \leq INHAB$ . Since , by Theorem 34, CONS is undecidable we have shown that INHAB is undecidable too.

## References

- [1] H.P. Barendregt, Lambda calculi with types, Handbook of Logic in Computer Science, Volume II, 1993.
- [2] P. Urzyczyn, Inhabitation in typed lambda-calculi (A Syntactic Approach), Typed Lambda Calculi and Applications, Lecture Notes in Computer Science 1210 (1997) pp. 373-389.