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## 1 Introduction

### 2 Basic Definitions

We will denote the set  $\{1, \ldots, n\}$  by [n].

#### **2.1** $\lambda$ -calculus $\lambda 2$

 $FV(\Gamma) = \bigcup \{FV(t) \mid (x:t) \in \Gamma\}$ 

In the following let  $\mathcal{V}_T = \{\alpha, \beta, ...\}$  be a countable set (of type-variables) and  $\mathcal{V}_V = \{x_1, x_2, ...\}$  be a countable set (of value-variables).

**Definition 1.** The set of all  $\lambda 2$  types over  $\mathcal{V}_T$ , denoted by  $T_{\lambda 2}$ , is the smallest set T satisfying the following conditions:

- $\mathcal{V}_T \subseteq \mathcal{T}$ ,
- if  $t_1, t_2 \in T$  then  $t_1 \to t_2 \in T$ , and
- if  $t \in T$  and  $\alpha \in \mathcal{V}_T$  then  $\forall \alpha.t \in T$ .

**Definition 2.** The set of all  $\lambda 2$  terms over  $\mathcal{V}_T$  and  $\mathcal{V}_V$ , denoted by  $\Lambda_{T_{\lambda 2}}$ , is the smallest set  $\Lambda_T$  satisfying the following conditions:

- $\mathcal{V}_V \subseteq \Lambda_T$ ,
- if  $e_1, e_2 \in \Lambda_T$  then  $e_1e_2 \in \Lambda_T$ ,
- if  $x \in \mathcal{V}_V$ ,  $t \in T_{\lambda 2}$ , and  $e \in \Lambda_T$  then  $\lambda x : t \cdot e \in \Lambda_T$ ,
- if  $\alpha \in \mathcal{V}_T$  and  $e \in \Lambda_T$  then  $\Lambda \alpha.e \in \Lambda_T$ , and
- if  $e \in \Lambda_T$  and  $t \in T_{\lambda 2}$  then  $e \in \Lambda_T$ .

**Definition 3.** Let  $e \in \Lambda_{T_{\lambda_2}}$ . The <u>free variables of e</u>, denoted by FV(e), are defined inductively as follows:

$$FV(e) = \begin{cases} \{x\} & \text{if } e = x \\ FV(e_1) \cup FV(e_2) & \text{if } e = e_1e_2 \\ FV(e') \setminus \{x\} & \text{if } e = \lambda x : t.e' \\ FV(e') & \text{if } e = \Lambda \alpha.e' \\ FV(e') & \text{if } e = e't \end{cases}$$

Or is this definition better?

**Definition 4.** Let  $e \in \Lambda_{T_{\lambda_2}}$ . The <u>free variables of e</u>, denoted by FV(e), are defined inductively as follows:

$$FV(y) = \{x\}$$

$$FV(e_1e_2) = FV(e_1) \cup FV(e_2)$$

$$FV(\lambda x : t.e') = FV(e') \setminus \{x\}$$

$$FV(\Lambda \alpha.e') = FV(e')$$

$$FV(e't) = FV(e')$$

**Definition 5.** A basis is a finite subset of  $\mathcal{V}_V \times \Lambda_{T_{\lambda_2}}$ 

 $\lambda 2$  deduction Rules

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, x: t \vdash x: t \\ \\ (\lambda\text{-Introduction}) & \frac{\Gamma, x: t_1 \vdash e: t_2}{\Gamma \vdash \lambda x. e: t_1 \to t_2} \\ \\ (\lambda\text{-Elimination}) & \frac{\Gamma \vdash e_1: t_1 \to t_2 \quad \Gamma \vdash e_2: t_1}{\Gamma \vdash e_1 e_2: t_2} \\ \\ (\forall\text{-Introduction}) & \frac{\Gamma \vdash e: t}{\Gamma \vdash \Lambda \alpha. e: \forall \alpha. t} \qquad \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall\text{-Elimination}) & \frac{\Gamma \vdash e: \forall \alpha. t}{\Gamma \vdash e \: t': \: t \: [\alpha:=t']} & t' \in \operatorname{T}_{\lambda 2} \end{array}$$

#### 2.2 first-order logic

**Definition 6.** A <u>ranked set</u> is a tuple  $(\Sigma, rk)$ , where  $\Sigma$  is a countable set and  $rk : \Sigma \to \mathbb{N}$  is a function that maps every symbol from  $\Sigma$  to a natural number (its rank).

If the function rk is understood we will just write  $\Sigma$  instead of  $(\Sigma, rk)$ . The set of all elements with a certain rank k in  $\Sigma$ , denoted by  $\Sigma^{(k)}$ , is defined by  $\Sigma^{(k)} := rk^{-1}(k)$ . In the following we will write  $\Sigma = \{P^{(0)}, Q^{(3)}\}$  to say that  $\Sigma = \{P, Q\}$ , rk(P) = 0, and rk(Q) = 3.

In the following let  $\mathcal{V} = \{x_0, x_1, \dots\}$  be a countable set (of variables),  $\mathcal{F}$  a ranked set (of function symbols), and  $\mathcal{P}$  a ranked set (of predicate symbols).

**Definition 7.** The set of terms over  $(\mathcal{V}, \mathcal{F})$ , denoted by  $\mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ , is the smallest set  $\mathcal{T}$  satisfying the following conditions:

•  $\mathcal{V} \subseteq \mathcal{T}$ , and

• for every  $k \in \mathbb{N}$  if  $f \in \mathcal{F}^{(k)}$  and  $t_1, t_2, \dots, t_k \in \mathcal{T}$  then  $f(t_1, t_2, \dots, t_k) \in \mathcal{T}$ .

The set of first-order formulas over  $(\mathcal{V}, \mathcal{F}, \mathcal{P})$ , denoted by  $\mathcal{L}_{(\mathcal{V}, \mathcal{F}, \mathcal{P})}$ , is the smallest set  $\mathcal{L}$  satisfying the following conditions:

- for every  $k \in \mathbb{N}$  if  $P \in \mathcal{P}^{(k)}$  and  $t_1, t_2, \dots, t_k \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$  then  $P(t_1, t_2, \dots, t_k) \in \mathcal{L}$ .
- If  $\varphi, \psi \in \mathcal{L}$  then  $(\varphi \wedge \psi)$ ,  $(\varphi \vee \psi)$ ,  $\neg \varphi \in \mathcal{L}$ , and
- if  $x \in \mathcal{V}$  and  $\varphi \in \mathcal{L}$  then  $\exists x \varphi, \forall x \varphi \in \mathcal{L}$ .

We introduce an additional binary operation  $\to$  on formulas, where for some  $\varphi$ ,  $\psi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$  the formula  $(\varphi \to \psi)$  is defined as  $(\neg \varphi \lor \psi)$ . For nullary relation symbols P we will abbreviate P() to P.

**Definition 8.** The variables of a term  $t \in \mathcal{T}_{(\mathcal{V},\mathcal{F})}$ , denoted by V(t), are defined by:

$$V(t) = \begin{cases} \{x\} & \text{if } t = x \\ V(t_1) \cup V(t_2) \cup \dots \cup V(t_k) & \text{if } t = f(t_1, t_2, \dots, t_k) \end{cases}$$

The free variables of a formula  $\varphi \in \mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ , denoted by  $\mathrm{FV}(\varphi)$ , are defined as follows:

$$FV(\varphi) = \begin{cases} V(t_1) \cup V(t_2) \cup \cdots \cup V(t_k) & \text{if } \varphi = P(t_1, t_2, \dots, t_k) \\ FV(\psi) & \text{if } \varphi = \neg \psi \\ FV(\varphi_1) \cup FV(\varphi_2) & \text{if } \varphi = \varphi_1 \circ \varphi_2, \circ \in \{\land, \lor\} \\ FV(\psi) \setminus \{x\} & \text{if } \varphi = Qx\psi, Q \in \{\forall, \exists\} \end{cases}$$

**Definition 9.** Let x be in  $\mathcal{V}$  and  $t, t' \in \mathcal{T}_{(\mathcal{V}, \mathcal{F})}$ . The <u>substitution of x by t' in t, denoted by t[x := t'], is defined as follows:</u>

$$t[x := t'] = \begin{cases} t' & \text{if } t = x \\ y & \text{if } t = y \text{ and } y \neq x \\ f(t_1[x := t'], \dots, t_k[x := t']) & \text{if } t = f(t_1, \dots, t_k) \end{cases}$$

Now we can lift this definition to formulas, let  $\varphi$  be in  $\mathcal{L}_{(\mathcal{V},\mathcal{F},\mathcal{P})}$ . The <u>substitution of</u>  $\underline{x}$  by  $\underline{t'}$  in  $\underline{\varphi}$ , denoted by  $\varphi[x := t']$ , is defined as follows:

$$\varphi\left[x := t'\right] = \begin{cases} P(t_1\left[x := t'\right], \dots, t_k\left[x := t'\right]) & \text{if } \varphi = P(t_1, \dots, t_k) \\ \psi\left[x := t'\right] & \text{if } \varphi = \neg \psi \\ \varphi_1\left[x := t'\right] \circ \varphi_2\left[x := t'\right] & \text{if } \varphi = (\varphi_1 \circ \varphi_2), \circ \in \{\land, \lor\} \\ \varphi & \text{if } \varphi = Qx\psi, \ Q \in \{\forall, \exists\} \\ Qy(\psi\left[x := t'\right]) & \text{if } \varphi = Qy\psi, \ Q \in \{\forall, \exists\} \text{ and } y \neq x \end{cases}$$

Now we come to the semantics of first-order formulas.

**Definition** 10. An interpretation I over  $(\mathcal{V}, \mathcal{F}, \mathcal{P})$  is a triple  $(\Delta, \cdot^I, \omega)$  where  $\Delta$  is a nonempty set (which we call domain),

I is a function such that  $f^I: \Delta^k \to \Delta \text{ is a function for every } k \in \mathbb{N}, \ f \in \mathcal{F}^{(k)} \text{ and } P^I \subseteq \Delta^k \text{ is a relation for every } k \in \mathbb{N}, \ f \in \mathcal{P}^{(k)}$   $\omega$  is a function from  $\mathcal{V}$  to  $\Delta$ .

Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation,  $x \in \mathcal{V}$ , and  $d \in \Delta$  the interpretation  $I[x \to d]$  is defined as  $(\Delta, \cdot^I, \omega[x \to d])$  where

$$(\omega [x \to d])(y) = \begin{cases} d & \text{if } y = x \\ \omega(y) & \text{otherwise.} \end{cases}$$

**Definition 11.** Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation and t a term the <u>interpretation</u> of t under I, denoted by  $t^I$ , is defined as follows:

$$t^{I} = \begin{cases} \omega(x) & \text{if } t = x\\ f^{I}(t_{1}^{I}, \dots, t_{k}^{I}) & \text{if } t = f(t_{1}, \dots, t_{k}) \end{cases}$$

**Definition 12.** Let  $I = (\Delta, \cdot^I, \omega)$  be an interpretation and  $\varphi$  a formula the <u>interpretation</u> of  $\varphi$  under I, denoted by  $\varphi^I$ , is defined recursively as follows:

$$\varphi^{I} = \begin{cases} \top & \text{if } \varphi = P(t_{1}, \dots, t_{k}) \text{ and } (t_{1}^{I}, \dots, t_{k}^{I}) \in P^{I} \\ \bot & \text{if } \varphi = P(t_{1}, \dots, t_{k}) \text{ and } (t_{1}^{I}, \dots, t_{k}^{I}) \notin P^{I} \\ \text{not } \psi^{I} & \text{if } \varphi = \neg \psi \\ \varphi_{1}^{I} \text{ and } \varphi_{2}^{I} & \text{if } \varphi = (\varphi_{1} \land \varphi_{2}) \\ \varphi_{1}^{I} \text{ or } \varphi_{2}^{I} & \text{if } \varphi = (\varphi_{1} \lor \varphi_{2}) \\ \text{exists } d \in \Delta \ \psi^{I[x \to d]} & \text{if } \varphi = \exists x \psi \\ \text{forall } d \in \Delta \ \psi^{I[x \to d]} & \text{if } \varphi = \forall x \psi \end{cases}$$

The interpretation I is a model of  $\varphi$ , denoted by  $I \models \varphi$ , if  $\varphi^I = \top$ .

When we define an interpretation I and we have a nullary predicate symbol P we write  $P^I = \top$  instead of  $P^I = \{()\}$  and  $P^I = \bot$  for  $P^I = \emptyset$  (this works because  $P()^I = \top$  iff  $() \in P^I)$ .

**Definition 13.** Let  $\Gamma$  be a finite set of first-oder formulas.

We say that an interpretation I is a model of  $\Gamma$  if  $I \models \psi$  for every  $\psi$  in  $\Gamma$ .

The formula  $\varphi$  is a <u>semantic consequence</u> of  $\Gamma$ , denoted by  $\Gamma \vdash \varphi$ , if every model of  $\Gamma$  is also a model of  $\varphi$ .

The free variables of  $\Gamma$ , denoted by  $FV(\Gamma)$ , are  $\bigcup \{FV(\varphi) \mid \varphi \in \Gamma\}$ .

### 2.3 two-counter automaton

We will use a version of two-counter automaton which only has two types of transitions. First it can increment a register and second it can try to decrement a register and jump if the register is already zero. Formally:

**Definition 14.** A deterministic two-counter automaton is a 4-tuple  $M = (\mathcal{Q}, Q_0, Q_f, R)$ ,

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where Q is a finite set (of states),

Q_0 is in Q (the initial state),

Q_f is in Q (the final state), and

Q_f is a function from Q \setminus \{Q_f\} to
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is a function from 
$$\mathcal{Q} \setminus \{Q_f\}$$
 to  $\mathcal{R}_{\mathcal{Q}}$ ,  
where  $\mathcal{R}_{\mathcal{Q}} = \{+(i, Q') \mid i \in \{1, 2\}, Q' \in \mathcal{Q}\}$   
 $\cup \{-(i, Q_1, Q_2) \mid i \in \{1, 2\}, Q_1, Q_2 \in \mathcal{Q}\}$ 

A <u>configuration</u> C of our automaton is a triple  $\langle Q, m, n \rangle$ , where  $Q \in \mathcal{Q}$  and  $m, n \in \mathbb{N}$ . Let r be in  $R(\mathcal{Q} \setminus \{Q_f\})$ , then  $\Rightarrow_M^r$  is a binary relation on the configurations of M such that two configurations  $\langle Q, m, n \rangle$ ,  $\langle Q', m', n' \rangle$  of M are in the in the relation if all of the following conditions hold:

- $Q \neq Q_f$ , r = R(Q),
- if r = +(1, P) for some  $P \in \mathcal{Q}$  then Q' = P, m' = m + 1, and n' = n,
- if r = +(2, P) for some  $P \in \mathcal{Q}$  then Q' = P, m' = m, and n' = n + 1,
- if  $r = -(1, P_1, P_2)$  for some  $P_1, P_2 \in \mathcal{Q}$  then if m = 0 then  $Q' = P_2$ , m' = 0, and n' = n, if m > 1 then  $Q' = P_1$ , m' = m - 1, and n' = n,
- if  $r = -(2, P_1, P_2)$  for some  $P_1, P_2 \in \mathcal{Q}$  then if n = 0 then  $Q' = P_2$ , m' = m, and n' = 0, if  $n \ge 1$  then  $Q' = P_1$ , m' = m, and n' = n - 1.

The <u>transition relation of M</u>, denoted by  $\Rightarrow_M$ , is defined as  $\bigcup_{r \in R(Q \setminus \{Q_f\})} \Rightarrow_M^r$ . We denote the transitive reflexive closure of  $\Rightarrow_M$  by  $\Rightarrow_M^*$ 

Let m, n be in  $\mathbb{N}$ , we say that  $\underline{M}$  terminates on input (m, n) if there exist  $m', n' \in \mathbb{N}$  such that  $\langle Q_0, m, n \rangle \Rightarrow_M^* \langle Q_f, m', n' \rangle$ .

**Definition 15.** The halting problem for two-counter automaton, denoted by **HALT**, is defined as follows. Given a two-counter automaton M.

Does M terminate on input (0,0).

It is well known that **HALT** is undecidable.

# 3 System P

#### 3.1 Definitions

In the following let  $\mathcal{V}_P = \{\alpha, a, \beta, b, ...\}$  be a countably infinite set (of variables). Let  $\mathcal{P}_P = \{P, Q, ...\}$  be a set (of predicate symbols) and  $\mathcal{P}$  a ranked set such that  $\mathcal{P}^{(0)} = \{\text{false}\}, \mathcal{P}^{(2)} = \mathcal{P}_P, \text{ and } \mathcal{P}^{(k)} = \emptyset \text{ for all } k \in \mathbb{N} \setminus \{0, 2\}.$  A first-order logic formula  $\varphi$  over  $(\mathcal{V}_P, \emptyset, \mathcal{P})$  is an

**atomic formula** if  $\varphi = \text{false or } \varphi = P(a, b) \text{ for some } P \in \mathcal{P}_P \text{ and } a, b \in \mathcal{V}_P.$ 

**universal formula** if  $\varphi = \forall \overrightarrow{\alpha}(A_1 \to A_2 \to \cdots \to A_n)$  where  $A_i$  is an atomic formula for  $i \in [n], A_i \neq \text{false for } i \in [n-1] \text{ and for each } \alpha \in \text{FV}(\varphi) \cap \text{FV}(A_n) \text{ there exists an } i \in [n-1] \text{ such that } \alpha \in \text{FV}(A_i).$ 

**existential formula** if there exits  $n \ge 0$ , atomic formulas  $A_i \ne \text{false for } i \in [n]$  such that  $\varphi = \forall \overrightarrow{\alpha}(A_1 \to A_2 \to \cdots \to A_{n-1} \to \forall \beta(A_n \to \text{false}) \to \text{false}).$ 

The set of formulas of System  $\mathbf{P}$  (= set of  $\mathbf{P}$ -formulas) over  $(\mathcal{V}_P, \mathcal{P}_P)$  is the set of all first-order formulas over  $(\mathcal{V}_P, \emptyset, \mathcal{P})$  that are either an atomic, universal or existential formula.

**Deduction Rules** 

$$\begin{array}{ll} (\operatorname{Axiom}) & \Gamma, A \vdash A \\ \\ (\to \operatorname{-Introduction}) & \frac{\Gamma, A \vdash B}{\Gamma \vdash A \to B} \\ \\ (\to \operatorname{-Elimination}) & \frac{\Gamma \vdash A \to B \quad \Gamma \vdash A}{\Gamma \vdash B} \\ \\ (\forall \operatorname{-Introduction}) & \frac{\Gamma \vdash B}{\Gamma \vdash \forall \alpha B} & \alpha \notin \operatorname{FV}(\Gamma) \\ \\ (\forall \operatorname{-Elimination}) & \frac{\Gamma \vdash \forall \alpha B}{\Gamma \vdash B \ [\alpha := b]} & b \in \mathcal{V}_P \end{array}$$

An Interpretation I of a P formula is a tuple  $I = (\Delta, I)$  where  $\Delta$  is a set (called domain),  $P^I \subseteq \Delta^k$  and  $\alpha^I \in \Delta \dots$ 

If we interpret false with the logical constant false  $(\bot)$  (denoted by  $\vdash_f$ ) we can add a new deduction rule.

$$(\exists \text{-Introduction}) \qquad \frac{\Gamma, A [\alpha := a] \vdash_{\mathsf{f}} B}{\Gamma, \forall \alpha (A \to \mathsf{false}) \to \mathsf{false} \vdash_{\mathsf{f}} B} \qquad a \notin \mathit{FV}(\Gamma, A, B)$$

*Proof.* Let  $I = (\Delta, \cdot^I, \omega)$  be a model of  $\Gamma, \forall \alpha (A \to \text{false}) \to \text{false}$  with  $\text{false}^I = \bot$  and  $a \in \mathcal{V}_P$  a variable such that  $a \notin FV(\Gamma, A, B)$ .

$$I \models \Gamma, \forall \alpha (A \to \text{false}) \to \text{false} \Rightarrow I \models \forall \alpha (A \to \text{false}) \to \text{false}$$

$$\Rightarrow (\forall \alpha (A \to \text{false}))^I \to \text{false}^I$$

$$\Rightarrow (\forall \alpha (A \to \text{false}))^I \to \bot$$

$$\Rightarrow \neg (\forall \alpha (A \to \text{false}))^I$$

$$\Rightarrow \neg (\forall d \in \Delta : (A \to \text{false})^{I[\alpha \mapsto d]})$$

$$\Rightarrow \exists d \in \Delta : \neg (A^{I[\alpha \mapsto d]} \to \text{false}^{I[\alpha \mapsto d]})$$

$$\Rightarrow \exists d \in \Delta : \neg (A^{I[\alpha \mapsto d]} \to \bot)$$

$$\Rightarrow \exists d \in \Delta : \neg (\neg A^{I[\alpha \mapsto d]})$$

$$\Rightarrow \exists d \in \Delta : A^{I[\alpha \mapsto d]}$$

Together with  $a \notin FV(\Gamma, A)$ , it follows that  $I[a \mapsto d]$  is a model of  $\Gamma, A[\alpha := a]$ . Which implies  $I[a \mapsto d] \models B$ . Since a is not free in B we conclude that I is also a model of B

**Definition 16.** The problem to decide whether a given set of **P**-formulas is consistent, denoted by **CONS**, is defined as follows. Given a set of **P**-formulas  $\Gamma$ .

Does  $\Gamma \vdash$  false not hold.

## 3.2 CONS is undecidable

We will show that  $\mathbf{HALT} \leq \mathbf{CONS}$  then the undecidability of  $\mathbf{CONS}$  directly follows from the undecidability of  $\mathbf{HALT}$ . For a given two-counter automaton M we will effectively construct a set of  $\mathbf{P}$ -formulas  $\Gamma_M$  such that

M terminates on input (0,0) iff  $\Gamma_M \vdash \text{false holds in system P}$ .

Let  $M = (\mathcal{Q}, Q_0, Q_f, R)$  be a two-counter automaton, w.l.o.g.  $S, P, R_1, R_2, E, D \notin \mathcal{Q}$ . In the following we will consider **P**-formulas over  $(\mathcal{V}_P, \mathcal{P}_P)$ , where  $\mathcal{P}_P = \mathcal{Q} \uplus \{S, P, R_1, R_2, E, D\}$ . We will abbreviate P(a, a) to P(a), note that this way we can use binary predicate symbols as unary ones.

Intuitively Q(a) stands for "a is in state Q",  $R_i(a, m)$  stands for "in a the value of register i is m" for  $i \in \{1, 2\}$ , S(a, b) states that "b is a successor of a", P(a, b) states that "b is a predecessor of a", E(a) marks "a as the end of chain", and D(a) states that "a is not the end of a chain".

For a configuration  $C = \langle Q, m, n \rangle$  of M we define a set of **P**-formulas  $\Gamma_C$ . It contains the following formulas:

- $\bullet$  Q(a)
- $R_1(a, a_0), P(a_{i-1}, a_i)$  for  $i \in \{1, \dots, m\}$

- $R_2(a,b_0), P(b_{i-1},b_i)$  for  $i \in \{1,\ldots,n\}$
- $D(a), D(a_i), D(b_j)$  for  $i \in \{0, ..., m-1\}$  and  $j \in \{0, ..., n-1\}$
- $E(a_m), E(b_n)$

Next we need sets of **P**-formulas for all possible transitions. For every  $Q \in \mathcal{Q} \setminus \{Q_f\}$  and  $r \in \mathcal{R}_{\mathcal{Q}}$  we define  $\Gamma_{Q,r}$ . If r = +(1,Q') for some  $Q' \in \mathcal{Q}$  then  $\Gamma_{Q,+(1,Q')}$  contains the following formulas:

- $\forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))$ change of state
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to R_1(\beta, \delta) \to P(\delta, \gamma))$ increment register 1
- $\forall \alpha \beta \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\beta, \delta) \to D(\delta))$ prevent zero in register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change the value register 2

If  $r=-(1,Q_1,Q_2)$  for some  $Q_1,Q_2\in\mathcal{Q}$  then  $\Gamma_{Q,-(1,Q_1,Q_2)}$  contains the following formulas:

- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to Q_2(\beta))$ jump to  $Q_2$  if register 1 is zero
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to E(\gamma) \to R_1(\beta, \gamma))$ if register 1 is zero it stays zero
- $\forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to Q_1(\beta))$ change state to  $Q_1$  if register 1 is greater zero
- $\forall \alpha \beta \gamma \delta(Q(\alpha) \to S(\alpha, \beta) \to R_1(\alpha, \gamma) \to D(\gamma) \to P(\gamma, \delta) \to R_1(\beta, \delta))$  decrement register 1
- $\forall \alpha \beta \gamma (Q(\alpha) \to S(\alpha, \beta) \to R_2(\alpha, \gamma) \to R_2(\beta, \gamma))$ do not change register 2 in both cases

For r = +(2, Q') for some  $Q' \in \mathcal{Q}$  or  $r = -(2, Q_1, Q_2)$  for some  $Q_1, Q_2 \in \mathcal{Q}$  the sets  $\Gamma_{Q,r}$  are defined analogously.

We also need a set  $\Gamma_1$  to ensure that our representation works correctly. The following formula are in  $\Gamma_1$ :

- $\forall \alpha \beta(S(\alpha, \beta) \to D(\beta))$ no successor is the end of a chain
- $\forall \alpha(D(\alpha) \to \forall \beta(R_1(\alpha, \beta) \to \text{false}) \to \text{false})$ every element that represents a configuration has a value for register 1

- $\forall \alpha(D(\alpha) \to \forall \beta(R_2(\alpha, \beta) \to \text{false}) \to \text{false})$ every element that represents a configuration has a value for register 2
- $\forall \alpha (\forall \beta (S(\alpha, \beta) \rightarrow \text{false}) \rightarrow \text{false})$ every element has a successor

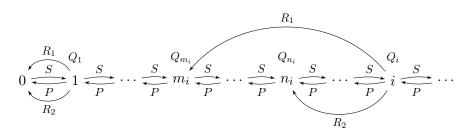
We define  $\Gamma_{\overline{M}}$  as  $\bigcup_{Q \in \mathcal{Q} \setminus \{Q_f\}} \Gamma_{Q,R(Q)} \cup \{ \forall \alpha (Q_f(\alpha) \to \text{false}) \} \cup \Gamma_1$ . Finally we can define  $\Gamma_M$  as  $\Gamma_{C_1} \cup \Gamma_{\overline{M}}$ , where  $C_1 = \langle Q_0, 0, 0 \rangle$  is the initial configuration.

#### Claim 17.

$$\Gamma_M \vdash false\ holds\ in\ system\ P \implies M\ terminates\ on\ input\ (0,0)$$

*Proof.* Assume M does not terminate then there is an infinite chain  $C_1 \Rightarrow_M C_2 \Rightarrow_M C_3 \Rightarrow_M \dots$   $(C_i = \langle Q_i, m_i, n_i \rangle \text{ for } i \in \mathbb{N}^+)$ . Now we construct a model of  $\Gamma_M$  which interprets false with  $\bot$  this contradicts  $\Gamma_M \vdash$  false.

To illustrate the idea we will use a graphical notation for an interpretation I. By  $d_1 \stackrel{\mathrm{R}}{\to} d_2$  we say that  $(d_1, d_2) \in R^I$ . And we use  $\frac{\mathrm{P}}{d}$  to say that  $(d, d) \in P^I$  for predicate symbols that are used as unary predicate symbols. As domain for our interpretation we will use the natural numbers. Every number will have two tasks: firstly it will represent itself as a possible value for register 1 or 2 and secondly every number i greater than zero will also represent the  $i^{\mathrm{th}}$  configuration of our infinite computation. Now the idea for our model of  $\Gamma_M$  looks like this:



We have  $0 \in E^I$  and all other numbers are in  $D^I$ . Here is the more formal definition of our model  $I = (\mathbb{N}, \cdot^I, \omega)$ .

$$P^{I} = \{(i+1,i) \mid i \in \mathbb{N}\} \qquad R_{1}^{I} = \{(i,m_{i}) \mid i \in \mathbb{N}\} \qquad R_{2}^{I} = \{(i,n_{i}) \mid i \in \mathbb{N}\}$$

$$Q^{I} = \{(i,i) \mid i \in \mathbb{N}^{+}, Q = Q_{i}\} \qquad D^{I} = \{(i,i) \mid i \in \mathbb{N}^{+}\} \qquad E^{I} = \{(0,0)\}$$

$$S^{I} = \{(i,i+1) \mid i \in \mathbb{N}\} \qquad \text{false}^{I} = \bot$$

$$a^{I} = 1$$
  $a_{0}^{I} = 0$   $b_{0}^{I} = 0$ 

Since there are no free variables in  $\Gamma_M$  we can just set  $\omega(x) = 0$  for every  $x \in \mathcal{V}_P$ . It is easy to see that I is indeed a model of  $\Gamma_M$ .

Claim 18. Let  $C = \langle Q, m, n \rangle$  be a configuration of M. If a final configuration (i.e. a configuration  $\langle Q_f, m', n' \rangle$  for some  $n', m' \in \mathbb{N}$ ) is reachable from C then  $\Gamma_C \cup \Gamma_{\overline{M}} \vdash false$ .

*Proof.* By induction on the length i of the computation. For the tableau proofs we will abbreviate false by f.

Induction Base: i = 0

Since a final configuration is reachable in 0 steps C must be this final configuration. So  $C = \langle Q_f, m, n \rangle$  for some  $n, m \in \mathbb{N}$ . Hence,  $Q_f(a)$  is in  $\Gamma_C$  for some  $a \in \mathcal{V}_P$  and  $\forall \alpha (Q_f(\alpha) \to \text{false})$  is in  $\Gamma_{\overline{M}}$ , we can easily deduce false.

$$\frac{\Gamma_C \cup \Gamma_{\overline{M}} \vdash \forall \alpha (Q_f(\alpha) \to f)}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a) \to f} \qquad \Gamma_C \cup \Gamma_{\overline{M}} \vdash Q_f(a)$$

$$\Gamma_C \cup \Gamma_{\overline{M}} \vdash f$$

Induction Step: i = i' + 1

Since  $I \models$  false holds trivially if I interprets false with  $\top$  we only need to consider models (note that there are none if M terminates which is exactly what we want to proof) of  $\Gamma_C \cup \Gamma_{\overline{M}}$  that interpret false with  $\bot$ . As result of this observation we can use the  $\exists$ -Introduction rule.

From the fact that a final configuration is reachable from C in i steps we can deduce that there exists a configuration  $D = \langle P, m', n' \rangle$  such that  $C \Rightarrow_M^r D$  for some  $r \in \mathcal{R}_{\mathcal{Q}}$  and a final configuration is reachable from D in i' steps. The basic idea is to deduce  $\Gamma_D$  from  $\Gamma_C \cup \Gamma_{\overline{M}}$  and then apply the induction hypothesis to  $\Gamma_D \cup \Gamma_{\overline{M}}$ .

$$\frac{IH}{\Gamma_C \cup \Gamma_{\overline{M}} \cup \Gamma_D \vdash_{\mathrm{f}} \mathrm{f}} \quad \Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\mathrm{f}} \Gamma_D}{\Gamma_C \cup \Gamma_{\overline{M}} \vdash_{\mathrm{f}} \mathrm{f}}$$

We need to make a case distinction on the rule r.

Case 
$$r = +(Q, 1, Q')$$

We will just drop  $\Gamma_C \cup \Gamma_{\overline{M}}$  and only write new formulas on the left side.

We first introduce the new variables needed for  $\Gamma_D$  (let  $b, d \in \mathcal{V}_P \setminus \text{FV}(\Gamma_C \cup \Gamma_{\overline{M}})$ ). Intuitively b will represent the successor state and d will be the anchor for register one.

$$\begin{array}{c} \vdots \\ \hline S(a,b),D(b) \vdash_{\mathrm{f}} \mathrm{f} \\ \hline S(a,b) \vdash_{\mathrm{f}} D(b) \to \mathrm{f} \end{array} \\ \hline \begin{array}{c} S(a,b) \vdash_{\mathrm{f}} \forall \alpha \beta (S(\alpha,\beta) \to D(\beta)) \\ \hline S(a,b) \vdash_{\mathrm{f}} D(b) \to \mathrm{f} \end{array} \\ \hline \\ S(a,b) \vdash_{\mathrm{f}} D(b) \to \mathrm{f} \\ \hline \\ \hline S(a,b) \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \forall \beta (S(a,\beta) \to \mathrm{f}) \to \mathrm{f} \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \vdash_{\mathrm{f}} (\forall \beta (S(a,\beta) \to \mathrm{f}) \to \mathrm{f}) \to \mathrm{f} \end{array} \\ \hline \\ \begin{array}{c} F(a,b) \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \\ \hline \vdash_{\mathrm{f}} (\forall \beta (S(a,\beta) \to \mathrm{f}) \to \mathrm{f}) \to \mathrm{f} \\ \hline \\ \vdash_{\mathrm{f}} \mathrm{f} \end{array} \\ \hline \end{array} \\ \begin{array}{c} F(a,b) \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \\ \vdash_{\mathrm{f}} \mathrm{f} \end{array} \\ \hline \end{array}$$

The formula  $R_1(b,d)$  can be acquired in a similar way. Again we will just drop S(a,b) and D(b) on the left side for comprehensibility.

$$\begin{array}{c} \vdots \\ \hline R_{1}(b,d) \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \forall \beta (R_{1}(b,\beta) \to \mathrm{f}) \to \mathrm{f} \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \vdash_{\mathrm{f}} (\forall \beta (R_{1}(b,\beta) \to \mathrm{f}) \to \mathrm{f}) \to \mathrm{f} \\ \hline \vdash_{\mathrm{f}} \mathrm{f} \end{array} \qquad \begin{array}{c} \vdash_{\mathrm{f}} \forall \alpha (D(\alpha) \to \forall \beta (R_{1}(\alpha,\beta) \to \mathrm{f}) \to \mathrm{f}) \\ \hline \vdash_{\mathrm{f}} D(b) \to \forall \beta (R_{1}(b,\beta) \to \mathrm{f}) \to \mathrm{f} \\ \hline \vdash_{\mathrm{f}} \mathrm{f} \\ \hline \vdash_{\mathrm{f}} \mathrm{f} \end{array} \qquad \begin{array}{c} \vdash_{\mathrm{f}} \forall \beta (R_{1}(b,\beta) \to \mathrm{f}) \to \mathrm{f} \\ \hline \vdash_{\mathrm{f}} \mathrm{f} \end{array}$$

Now we have all the new free variables we need and we continue by ensuring that these variables fulfill all the formulas in  $\Gamma_D$ .

$$\frac{\vdots}{Q'(b) \vdash_{\mathbf{f}} \mathbf{f}} \frac{ \vdash_{\mathbf{f}} \forall \alpha \beta (Q(\alpha) \to S(\alpha, \beta) \to Q'(\beta))}{ \vdash_{\mathbf{f}} Q(a) \to S(a, b) \to Q'(b)} \vdash_{\mathbf{f}} Q(a) }{ \vdash_{\mathbf{f}} Q'(b) \to \mathbf{f}} \frac{ \vdash_{\mathbf{f}} Q(a) \to S(a, b) \to Q'(b)}{ \vdash_{\mathbf{f}} S(a, b) \to Q'(b)} \vdash_{\mathbf{f}} S(a, b) }{ \vdash_{\mathbf{f}} \mathbf{f}}$$

Starting from  $Q'(b) \vdash_f$  false we can connect d and  $a_0$ .

$$\underbrace{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow R_1(\beta,\delta) \rightarrow P(\delta,\gamma)) \\ \\ \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_{\mathbf{f}} Q(a) \\ \\ \vdots \\ \hline P(d,a_0) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} P(d,a_0) \rightarrow \mathbf{f} \\ \end{array} }_{ \begin{array}{c} \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \\ \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow R_1(b,d) \rightarrow Q'(b) \quad \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \\ \vdash_{\mathbf{f}} R_1(b,d) \rightarrow Q'(b) \quad \vdash_{\mathbf{f}} R_1(b,d) \\ \hline \\ \vdash_{\mathbf{f}} P(d,a_0) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array} }$$

For register one we still need D(d).

$$\frac{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \delta(Q(\alpha) \to S(\alpha,\beta) \to R_1(\beta,\delta) \to D(\delta)) \\ \vdots \\ \hline D(d) \vdash_{\mathbf{f}} \mathbf{f} \\ \hline \vdash_{\mathbf{f}} D(d) \to \mathbf{f} \\ \hline \end{array} \underbrace{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \delta(Q(\alpha) \to S(\alpha,\beta) \to R_1(\beta,\delta) \to D(\delta)) \\ \hline \vdash_{\mathbf{f}} Q(a) \to S(a,b) \to R_1(b,d) \to D(d) & \vdash_{\mathbf{f}} Q(a) \\ \hline \vdash_{\mathbf{f}} S(a,b) \to R_1(b,d) \to D(d) & \vdash_{\mathbf{f}} R_1(b,d) \\ \hline \vdash_{\mathbf{f}} D(d) & \vdash_{\mathbf{f}} D(d) \\ \hline \\ \vdash_{\mathbf{f}} \mathbf{f} \end{array} }$$

Since register two should not change we only need  $R_2(b, b_0)$ .

$$\underbrace{\frac{\vdash_{\mathbf{f}} \forall \alpha \beta \gamma (Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_2(\alpha,\gamma) \rightarrow R_2(\beta,\gamma))}{\vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0)}_{\vdash_{\mathbf{f}} S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0)} \underbrace{\frac{\vdash_{\mathbf{f}} S(a,b) \rightarrow R_2(a,b_0) \rightarrow R_2(b,b_0)}{\vdash_{\mathbf{f}} R_2(a,b_0) \rightarrow R_2(b,b_0)}}_{\vdash_{\mathbf{f}} R_2(b,b_0)} \underbrace{\frac{\vdash_{\mathbf{f}} R_2(a,b_0) \rightarrow R_2(b,b_0)}{\vdash_{\mathbf{f}} R_2(b,b_0)}}_{\vdash_{\mathbf{f}} \mathbf{f}}$$

Now we have  $\Gamma_C$  (Since  $P(a_{i-1}, a_i)$  is already in  $\Gamma_D$ ) and can deduce false by induction hypothesis.

Case 
$$r = -(Q, 1, Q_1, Q_2)$$
  
 $r_1 = 0$ 

$$\underbrace{ \begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha,\beta) \to R_1(\alpha,\gamma) \to E(\gamma) \to Q_2(\beta)) \\ \vdash_{\mathbf{f}} Q(a) \to S(a,b) \to R_1(a,a_0) \to E(a_0) \to Q_2(b) & \vdash_{\mathbf{f}} Q(a) \\ \hline \vdots & \underbrace{ \begin{array}{c} \vdash_{\mathbf{f}} S(a,b) \to R_1(a,a_0) \to E(a_0) \to Q_2(b) & \vdash_{\mathbf{f}} S(a,b) \\ \hline \vdash_{\mathbf{f}} S(a,b) \to R_1(a,a_0) \to E(a_0) \to Q_2(b) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \hline \downarrow_{\mathbf{f}} R_1(a,a_0) \to E(a_0) \to Q_2(b) & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \hline \vdash_{\mathbf{f}} Q_2(b) \to \mathbf{f} & \underbrace{ \begin{array}{c} \vdash_{\mathbf{f}} E(a_0) \to Q_2(b) & \vdash_{\mathbf{f}} E(a_0) \\ \hline \vdash_{\mathbf{f}} Q_2(b) & \vdash_{\mathbf{f}} F(a_0) \\ \hline \hline \end{array} }_{\vdash_{\mathbf{f}} \mathbf{f}} \mathbf{f} \\ \hline \end{array} }$$

 $r_1$  stays zero

$$\begin{array}{c|c} & \frac{\vdash_{\rm f} \forall \alpha \beta \gamma(Q(\alpha) \to S(\alpha,\beta) \to R_1(\alpha,\gamma) \to E(\gamma) \to R_1(\beta,\gamma))}{\vdash_{\rm f} Q(a) \to S(a,b) \to R_1(a,a_0) \to E(a_0) \to R_1(b,a_0)} & \vdash_{\rm f} Q(a) \\ & & \frac{\vdash_{\rm f} S(a,b) \to R_1(a,a_0) \to E(a_0) \to R_1(b,a_0)}{\vdash_{\rm f} R_1(a,a_0) \to E(a_0) \to R_1(b,a_0)} & \vdash_{\rm f} R_1(a,a_0) \\ \hline R_1(b,a_0) \vdash_{\rm f} f & & \frac{\vdash_{\rm f} E(a_0) \to R_1(b,a_0)}{\vdash_{\rm f} R_1(b,a_0)} & \vdash_{\rm f} E(a_0) \\ \hline \vdash_{\rm f} R_1(b,a_0) \to f & & \vdash_{\rm f} F_1(b,a_0) \\ \hline \end{array}$$

 $\frac{r_1 \ge 1}{\text{new state } Q_1}$ 

$$\begin{array}{c|c} \frac{\vdash_{\mathbf{f}} \forall \alpha \beta \gamma(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow Q_1(\beta))}{\vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)} & \vdash_{\mathbf{f}} Q(a) \\ \vdots & \frac{\vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)}{\vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow Q_1(b)} & \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline P_{\mathbf{f}} Q_1(b) \vdash_{\mathbf{f}} \mathbf{f}} & \frac{\vdash_{\mathbf{f}} D(a_0) \rightarrow Q_1(b)}{\vdash_{\mathbf{f}} Q_1(b)} & \vdash_{\mathbf{f}} D(a_0) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

decrement  $r_1$ 

$$\begin{array}{c} \vdash_{\mathbf{f}} \forall \alpha \beta \gamma \delta(Q(\alpha) \rightarrow S(\alpha,\beta) \rightarrow R_1(\alpha,\gamma) \rightarrow D(\gamma) \rightarrow P(\gamma,\delta) \rightarrow R_1(\beta,\delta)) \\ \hline \vdash_{\mathbf{f}} Q(a) \rightarrow S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) \quad \vdash_{\mathbf{f}} Q(a) \\ \hline & \vdash_{\mathbf{f}} S(a,b) \rightarrow R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) \quad \vdash_{\mathbf{f}} S(a,b) \\ \hline \vdots & \hline \vdash_{\mathbf{f}} R_1(a,a_0) \rightarrow D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) \quad \vdash_{\mathbf{f}} R_1(a,a_0) \\ \hline \vdots & \hline \vdash_{\mathbf{f}} D(a_0) \rightarrow P(a_0,a_1) \rightarrow R_1(b,a_1) \quad \vdash_{\mathbf{f}} D(a_0) \\ \hline \vdash_{\mathbf{f}} P(a_0,a_1) \rightarrow R_1(b,a_1) \quad \vdash_{\mathbf{f}} P(a_0,a_1) \\ \hline \vdash_{\mathbf{f}} R_1(b,a_1) \rightarrow \mathbf{f} & \hline \vdash_{\mathbf{f}} R_1(b,a_1) \\ \hline \vdash_{\mathbf{f}} \mathbf{f} \end{array}$$

Lemma 19.

M terminates on input (0,0) iff  $\Gamma_M \vdash false \ holds \ in \ system \ P.$ 

*Proof.* The  $\Leftarrow$  directions follows directly from Claim 17. And the  $\Rightarrow$  direction is a direct consequence of Claim 18 with  $C = \langle Q_0, 0, 0 \rangle$ .

Theorem 20. CONS is undecidable.

*Proof.* Since by Lemma 19 for a given two-counter automaton M we can effectively construct a set of **P**-formulas  $\Gamma_M$  such that M terminates on input (0,0) iff  $\Gamma_M$  is not consistent. It follows that **HALT**  $\leq$  **CONS**. Hence, since **HALT** is undecidable, we have shown that **CONS** is undecidable too.