

Institute of Theoretical Computer Science Chair of Automata Theory

UNIFICATION MODULO BOOLEAN RINGS

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Structure

Equational Unification

Boolean Rings

Unification modulo Boolean Rings

Equational Unification Unification Problem

$$S:=\left\{f(a,x)\stackrel{?}{\approx}x\right\}$$

Unification Problem

$$I:=\{f(x,x)\approx x\}$$

$$S:=\left\{f(a,x)\stackrel{?}{\approx}x\right\}$$

Unification Problem

$$I := \{f(x, x) \approx x\}$$

$$S := \left\{ f(a,x) \overset{?}{\approx} x \right\} \qquad \Rightarrow \qquad S := \left\{ f(a,x) \overset{?}{\approx}_I x \right\}$$

Unification Classes

Let S be an I-unification problem . . .

elementary:
$$S := \left\{ f(y, x) \stackrel{?}{pprox}_I x \right\}$$

with constants:
$$S:=\left\{f(a,x)\stackrel{?}{\approx}_{I}x\right\}$$

general:
$$S:=\left\{f(g(a),x)\stackrel{?}{\approx}_I x\right\}$$

Equational Unification MGU?

$$C:=\{f(x,y)\approx f(y,x)\}$$

$$S:=\left\{f(x,y)\stackrel{?}{\approx}_C f(a,b)\right\}$$

Equational Unification MGU?

$$\begin{split} C := \{f(x,y) \approx f(y,x)\} \\ S := \left\{f(x,y) \stackrel{?}{\approx}_C f(a,b)\right\} \\ \\ \sigma_1 := \{x \mapsto a, y \mapsto b\} \\ \qquad \sigma_2 := \{x \mapsto b, y \mapsto a\} \end{split}$$

Equational Unification More General

$$\begin{split} \sigma & \leq \sigma' \\ & \text{iff} \\ \exists \delta : \delta(\sigma) = \sigma' \end{split}$$

More General

$$\begin{split} \sigma &\leq \sigma' & \sigma \lesssim_{\mathbf{E}}^{\mathbf{X}} \sigma' \\ & \text{iff} & \Rightarrow & \text{iff} \\ \exists \delta : \delta(\sigma) &= \sigma' & \exists \delta : \forall \mathbf{x} \in \mathbf{X} : \\ & \delta(\sigma(\mathbf{x})) \approx_{\mathbf{E}} \sigma'(\mathbf{x}) \end{split}$$

Minimal Complete Sets

Let S be an E-unification problem. A minimal complete set for S is a set of substitutions \mathcal{M} that satisfy the following properties:

- each $\sigma \in \mathcal{M}$ is an E-unifier of S
- for all $\theta \in \mathcal{U}_E(S)$ there exists a $\sigma \in \mathcal{M}$ such that $\sigma \lesssim_E^X \theta$
- $\bullet \ \ \text{for all} \ \sigma,\sigma' \in \mathcal{M}, \ \sigma \mathop{\lesssim_{\mathrm{E}}}^{X} \sigma' \ \text{implies} \ \sigma = \sigma'.$

unitary iff for all E-unification problems S there exists a minimal complete set of cardinality ≤ 1 .

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- infinitary iff for all E-unification problems S there exists a minimal complete set, and there exists an E-unification problem for which this set is infinite.
 - zero iff there exists an E-unification problem that does not have a minimal complete set.

Boolean Rings

$$B := \left\{ \begin{aligned} x + y &\approx y + x, & x * y &\approx y * x, \\ (x + y) + z &\approx x + (y + z), & (x * y) * z &\approx x * (y * z), \\ x + x &\approx 0, & x * x &\approx x, \\ 0 + x &\approx x, & 0 * x &\approx 0, \\ x * (y + z) &\approx (x * y) + (x * z), & 1 * x &\approx x \end{aligned} \right\}$$

Boolean Rings Interpretation

$$\mathcal{B}_2 := (\Delta^{\mathcal{B}_2}, \cdot^{\mathcal{B}_2})$$
$$\Delta^{\mathcal{B}_2} := \{\bot, \top\}$$

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$$\Delta^{\mathcal{B}_2} := \{\bot, \top\}$$

$$(x + y)^{\mathcal{B}_2} := (x^{\mathcal{B}_2} \wedge \neg y^{\mathcal{B}_2}) \vee (\neg x^{\mathcal{B}_2} \wedge y^{\mathcal{B}_2})$$

$$(x * y)^{\mathcal{B}_2} := x^{\mathcal{B}_2} \wedge y^{\mathcal{B}_2}$$

$$0^{\mathcal{B}_2} := \bot$$

$$1^{\mathcal{B}_2} := \top$$

Boolean Rings

${\bf Example}$

$$(1+0)^{\mathcal{B}_2} = \left(1^{\mathcal{B}_2} \wedge \neg 0^{\mathcal{B}_2}\right) \vee \left(\neg 1^{\mathcal{B}_2} \wedge 0^{\mathcal{B}_2}\right)$$
$$= (\top \wedge \neg \bot) \vee (\neg \top \wedge \bot)$$
$$= \top \vee \bot$$
$$= \top$$

In the following we will only consider elementary B-unification.

We will see:

- how to find a B-unifier.
- how to turn this B-unifier into an mgu.
- $\bullet~$ that elementary B-unification is unitary.

Unification modulo Boolean Rings Solution in $\mathcal{B}_2 \Rightarrow B$ -unifier

$$S:=x+y+z\stackrel{?}{\approx}_B z+1$$

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$$\varphi(\mathbf{w}) := \begin{cases} \bot & \text{if } \mathbf{w} = \mathbf{x} \\ \top & \text{if } \mathbf{w} \neq \mathbf{x} \end{cases}$$

Unification modulo Boolean Rings Solution in $\mathcal{B}_2 \Rightarrow \text{B-unifier}$

$$S := x + y + z \stackrel{?}{\approx}_B z + 1$$

$$\varphi(\mathbf{w}) := \begin{cases} \bot & \text{if } \mathbf{w} = \mathbf{x} \\ \top & \text{if } \mathbf{w} \neq \mathbf{x} \end{cases} \Rightarrow \sigma' := \{ \mathbf{x} \mapsto \mathbf{0}, \mathbf{y} \mapsto \mathbf{1}, \mathbf{z} \mapsto \mathbf{1} \}$$

Unification modulo Boolean Rings Transformation

$$S = \left\{s_1 \stackrel{?}{\approx}_B t_1, \dots, s_n \stackrel{?}{\approx}_B t_n\right\}$$

Unification modulo Boolean Rings Transformation

$$S = \left\{ s_1 \stackrel{?}{\approx}_B t_1, \dots, s_n \stackrel{?}{\approx}_B t_n \right\}$$

$$\Rightarrow S = \left\{ s_1 + t_1 \stackrel{?}{\approx}_B 0, \dots, s_n + t_n \stackrel{?}{\approx}_B 0 \right\}$$

Transformation

$$S = \left\{ s_1 \stackrel{?}{\approx}_B t_1, \dots, s_n \stackrel{?}{\approx}_B t_n \right\}$$

$$\Rightarrow \qquad S = \left\{ s_1 + t_1 \stackrel{?}{\approx}_B 0, \dots, s_n + t_n \stackrel{?}{\approx}_B 0 \right\}$$

$$\Rightarrow \qquad S = \left\{ (s_1 + t_1 + 1) * \dots * (s_n + t_n + 1) \stackrel{?}{\approx}_B 1 \right\}$$

Transformation

$$S = \left\{ s_1 \stackrel{?}{\approx}_B t_1, \dots, s_n \stackrel{?}{\approx}_B t_n \right\}$$

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$$\Rightarrow S = \left\{ (s_1 + t_1 + 1) * \dots * (s_n + t_n + 1) + 1 \stackrel{?}{\approx}_B 0 \right\}$$

Unification modulo Boolean Rings Reproductive E-unifier

$$\sigma$$
 is a most general E-unifier of S
$$iff$$

$$\forall \tau \in \mathcal{U}_E(S): \exists \theta: \forall x \in X:$$

$$\theta(\sigma(x)) \approx_E \tau(x)$$

Unification modulo Boolean Rings Reproductive E-unifier

Unification modulo Boolean Rings Löwenheim's Formula

Let τ be a B-unifier of t $\stackrel{?}{\approx}_B 0$. The substitution σ defined by

$$\sigma(x) := \begin{cases} (t+1)*x + t * \tau(x) & \text{if } x \in \mathcal{V}ar(t) \\ x & \text{if } x \notin \mathcal{V}ar(t) \end{cases}$$

is a reproductive B-unifier of t $\stackrel{?}{\approx}_B$ 0.

Löwenheim's Formula

Why is σ reproductive?

Let τ' be an arbitrary B-unifier of S.

$$\begin{split} \tau'(\sigma(x)) &= & \tau'((t+1)*x + t * \tau(x)) \\ &= & (\tau'(t) + 1) * \tau'(x) + \tau'(t) * \tau'(\tau(x)) \\ &\approx_B (0+1) * \tau'(x) + 0 * \tau'(\tau(x)) \\ &\approx_B \tau'(x) \end{split}$$

Löwenheim's Formula Example

B-unification problem: xy $\stackrel{?}{\approx}_{\rm B}$ 0

$$x = 0, y = 0$$
:

$$x = 0, y = 1$$
:

$$x = 1, y = 0$$
:

Löwenheim's Formula Example

B-unification problem: xy $\stackrel{?}{\approx}_{\rm B}$ 0

$$x = 0, y = 0:$$
 $\sigma_1(x) = (xy + 1) * x + xy * 0 \approx_B xy + x$
 $\sigma_1(y) = (xy + 1) * y + xy * 0 \approx_B xy + y$

x = 0, y = 1:

x = 1, y = 0:

Löwenheim's Formula Example

B-unification problem: $xy \stackrel{?}{\approx}_B 0$

$$x = 0, y = 0: \quad \sigma_1(x) = (xy + 1) * x + xy * 0 \approx_B xy + x$$

$$\sigma_1(y) = (xy + 1) * y + xy * 0 \approx_B xy + y$$

$$x=0,y=1:\quad \sigma_2(x)=(xy+1)*x+xy*0\approx_B xy+x$$

$$\sigma_2(y) = (xy + 1) * y + xy * 1 \approx_B y$$

$$x=1, y=0: \quad \text{similar to} \ x=0, y=1.$$

Equational unification needs minimal complete sets of unifiers.

Elementary B-unification is unitary.

Finding an mgu is NP-complete.