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1 Equational Unification

In the following let E be a set of identities of the form $\{e_1 \approx f_1, \dots, e_n \approx f_n\}$. Furthermore let $\text{Sig}(E)$ denote the set of all function symbols occurring in E . Let Σ be a finite set of function symbols and a superset of $\text{Sig}(E)$.

Definition 1.1. An E -unification Problem over Σ is a finite set S of the form $S = \left\{ s_1 \stackrel{?}{\approx}_E t_1, \dots, s_n \stackrel{?}{\approx}_E t_n \right\}$ with $s_1, \dots, s_n, t_1, \dots, t_n \in T(\Sigma, V)$, V being a countable set of Variables.

A substitution σ is an E -unifier of S iff $\sigma(s_i) \approx_E \sigma(t_i)$ for all $1 \leq i \leq n$. The set of all E -unifiers of S is denoted by $\mathcal{U}_E(S)$. S is E -unifiable iff $\mathcal{U}_E(S) \neq \emptyset$.

Definition 1.2. Let S be an E -unification problem over Σ .

- S is an **elementary** E -unification problem iff $\text{Sig}(E) = \Sigma$.
- S is an E -unification problem **with constants** iff $\Sigma - \text{Sig}(E) \subseteq \Sigma^{(0)}$
- S is an **general** E -unification problem iff $\Sigma - \text{Sig}(E)$ contains an at least unary function symbol.

Definition 1.3. Let X be a set of Variables. A substitution σ is **more general** modulo \approx_E than a substitution σ' on X iff there is a substitution δ such that $\delta(\sigma(x)) \approx_E \sigma'(x)$ for all $x \in X$. We denote this by $\sigma \lesssim_E^X \sigma'$.

\lesssim_E^X is a quasi order since it obviously is reflexive and transitive. But why do we only demand equality modulo \approx_E on X and not on all Variables like we did in syntactic unification? Note that by the restriction to Variables in X more substitutions are comparable with respect to \lesssim_E^X since we do not need equality modulo \approx_E on all Variables. Let's denote the Variables occurring in an E -unification problem S by $\text{Var}(S)$. If $X = \text{Var}(S)$