

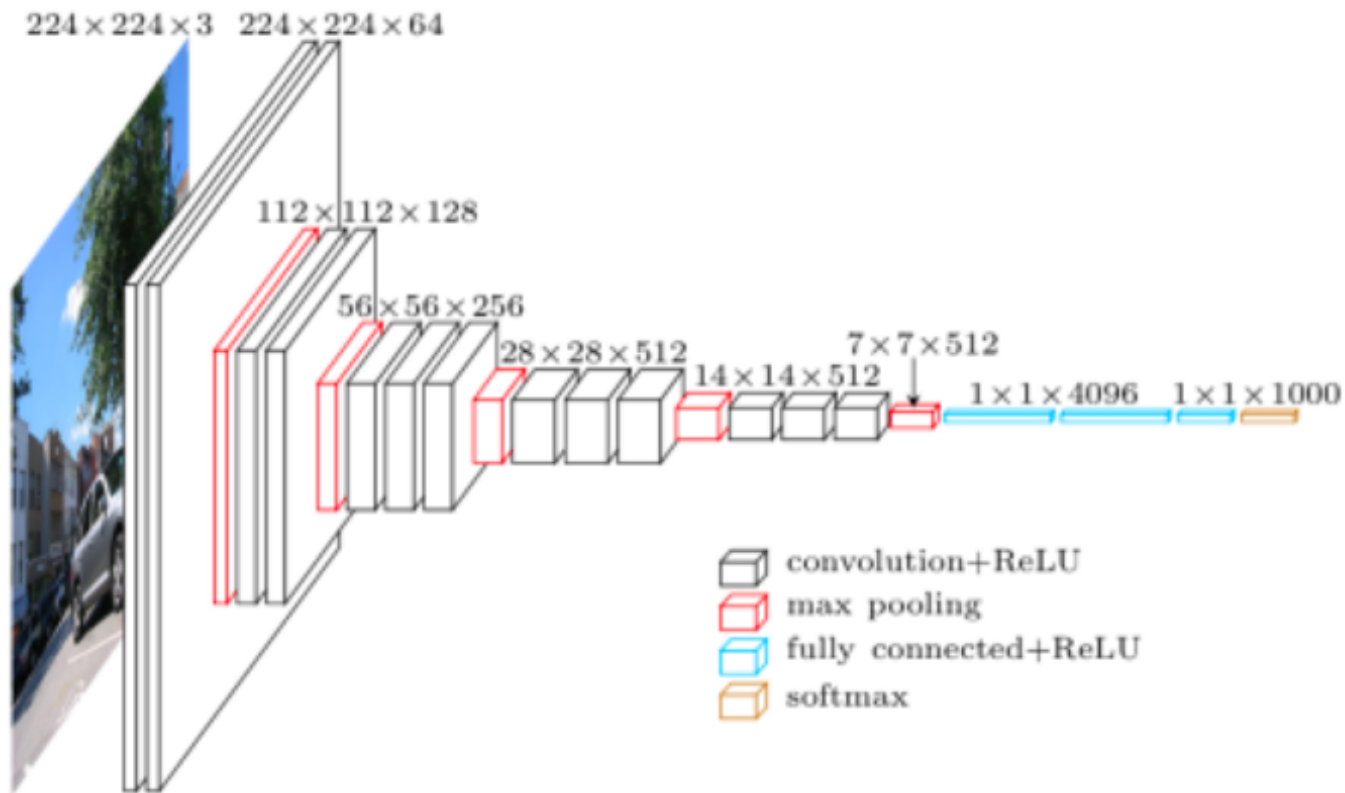
# **TTIC 31230, Fundamentals of Deep Learning**

David McAllester, Winter 2019

## **Convolutional Neural Networks (CNNs)**

# What is a CNN?

## VGG, Zisserman, 2014



Davi Frossard

## Review: Einstein Notation for Linear Threshold Layer

$$y = \sigma (W x - B)$$

is an abbreviation for

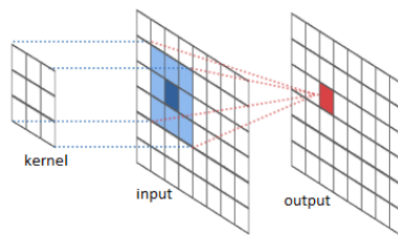
$$y[b, j] = \sigma \left( \left( \sum_i W[j, i] x[b, i] \right) - B[j] \right)$$

Think of this as a separate assignment statement for each  $(b, j)$ .

Each  $y[b, j]$  is the output of a “linear threshold unit”.

Einstein notation makes all indices and summations explicit.

# A Convolution Layer



$$W[\Delta x, \Delta y, i, j] \quad L_{\text{IN}}[b, x, y, i] \quad L_{\text{out}}[b, x, y, j]$$

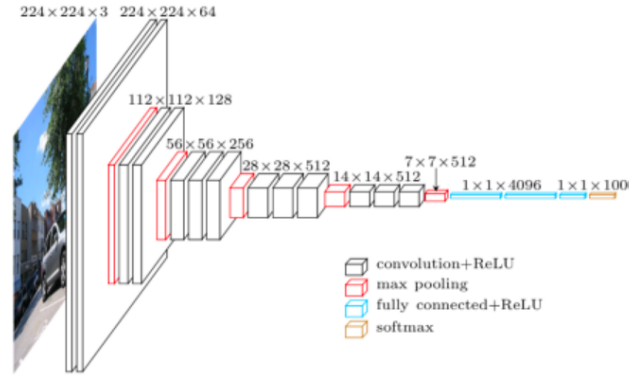
River Trail Documentation

Procedure CONV( $W[\Delta x, \Delta y, i, j], B[j], L_{\text{in}}[b, x, y, i]$ )

Return  $L_{\text{out}}[b, x, y, j]$

$$= \sigma \left( \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] L_{\text{in}}[b, x + \Delta x, y + \Delta y, i] \right) - B[j] \right)$$

# A Convolution Layer

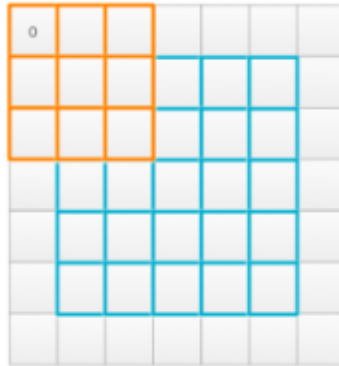


Each box is a tensor  $L[b, x, y, i]$

$$L_{\text{out}}[b, x, y, j] = \sigma \left( \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] L_{\text{in}}[b, x + \Delta x, y + \Delta y, i] \right) - B[j] \right)$$

Each  $L_{\text{out}}[b, x, y, j]$  is the output of a single linear threshold unit.

# Padding



Jonathan Hui

If we pad the input with zeros then the input and output can have the same spatial dimensions.

## Zero Padding in NumPy

In NumPy we can add a zero padding of width  $p$  to an image as follows:

```
padded = np.zeros(W + 2*p, H + 2*p)  
  
padded[p:W+p, p:H+p] = x
```

## Padding

Procedure CONV( $\Phi$ ,  $L_{\text{in}}[b, x, y, i]$ , padding  $p$ )

$$L'_{\text{in}} = \text{Padd}(L_{\text{in}}, p)$$

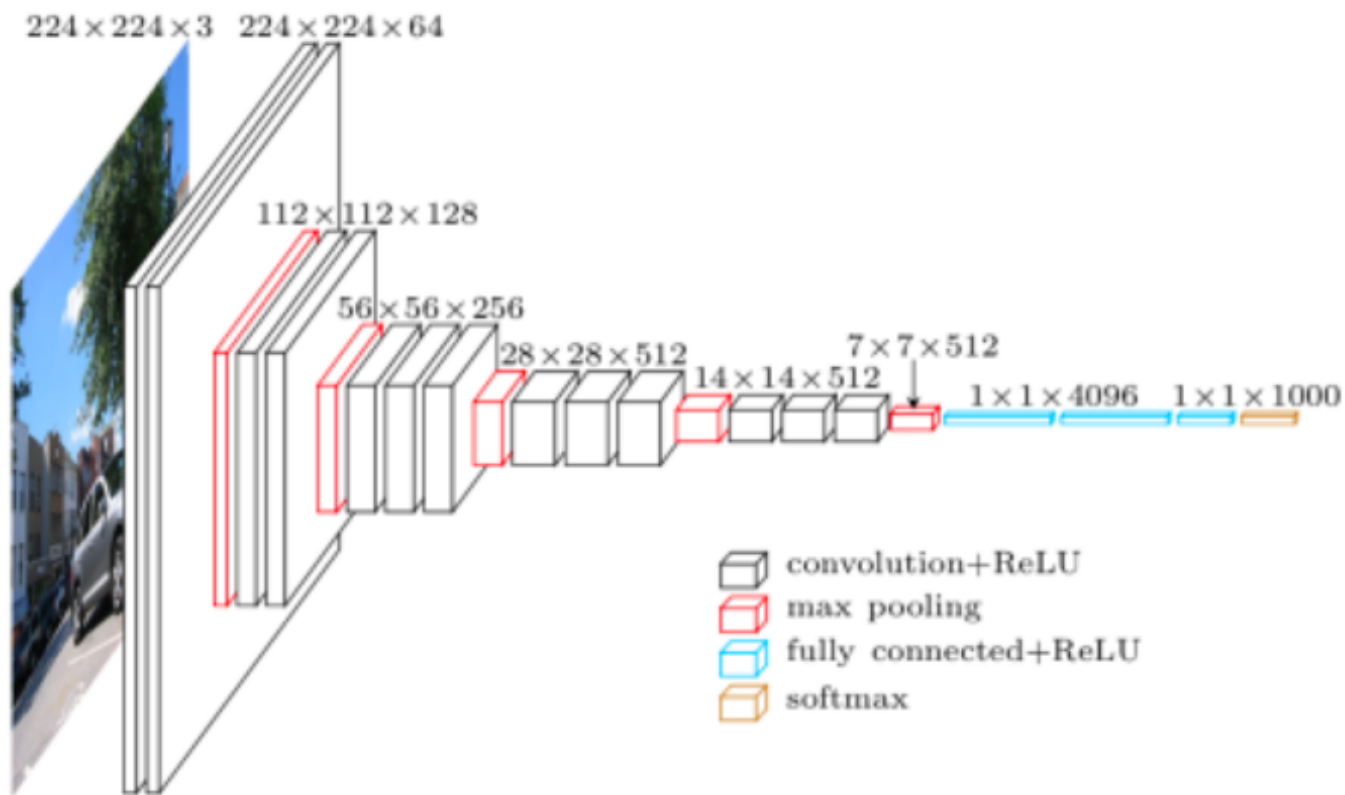
$$L_{\text{out}}[b, x, y, j] =$$

$$\sigma \left( \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] L'_{\text{in}}[b, x + \Delta x, y + \Delta y, i] \right) - B[j] \right)$$

Return  $L_{\text{out}}[b, x, y, j]$



# Reducing Spatial Dimension



## Strides

We can move the filter by a “stride”  $s$  for each spatial step.

$$L_{\text{out}}[b, \textcolor{red}{x}, \textcolor{red}{y}, j] = \sigma \left( \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] L_{\text{in}}[b, \textcolor{red}{s} * \textcolor{red}{x} + \Delta x, \textcolor{red}{s} * \textcolor{red}{y} + \Delta y, i] \right) - B[j] \right)$$

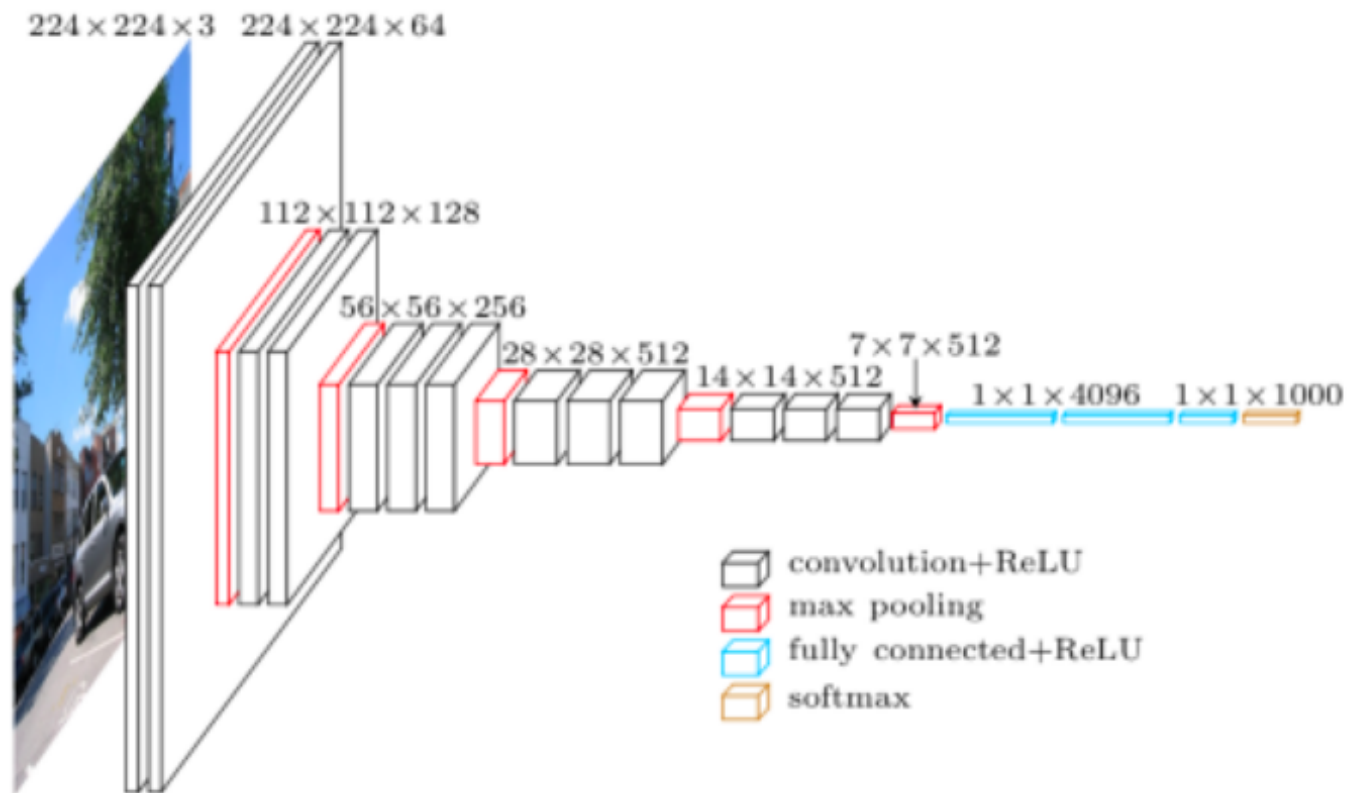
For strides greater than 1 the spatial dimension is reduced.

## Max Pooling

$$L_{\text{out}}[b, \textcolor{red}{x}, \textcolor{red}{y}, i] = \max_{\Delta x, \Delta y} L_{\text{in}}[b, \textcolor{red}{s} * \textcolor{red}{x} + \Delta x, \textcolor{red}{s} * \textcolor{red}{y} + \Delta y, i]$$

This is typically done with a stride greater than one so that the image dimension is reduced.

# Fully Connected (FC) Layers



## Fully Connected (FC) Layers

We reshape  $L_{\text{in}}[b, x, y, i]$  to  $L_{\text{in}}[b, i']$  and then

$$L_{\text{out}}[b, j] = \sigma \left( \left( \sum_{i'} W[j, i'] L_{\text{in}}[b, i'] \right) - B[j] \right)$$

# Alexnet

Given Input[227, 227, 3]

$$L_1[55 \times 55 \times 96] = \text{ReLU}(\text{CONV}(\text{Input}, \Phi_1, \text{width } 11, \text{pad } 0, \text{stride } 4))$$

$$L_2[27 \times 27 \times 96] = \text{MaxPool}(L_1, \text{width } 3, \text{stride } 2))$$

$$L_3[27 \times 27 \times 256] = \text{ReLU}(\text{CONV}(L_2, \Phi_3, \text{width } 5, \text{pad } 2, \text{stride } 1))$$

$$L_4[13 \times 13 \times 256] = \text{MaxPool}(L_3, \text{width } 3, \text{stride } 2))$$

$$L_5[13 \times 13 \times 384] = \text{ReLU}(\text{CONV}(L_4, \Phi_5, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

$$L_6[13 \times 13 \times 384] = \text{ReLU}(\text{CONV}(L_5, \Phi_6, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

$$L_7[13 \times 13 \times 256] = \text{ReLU}(\text{CONV}(L_6, \Phi_7, \text{width } 3, \text{pad } 1, \text{stride } 1))$$

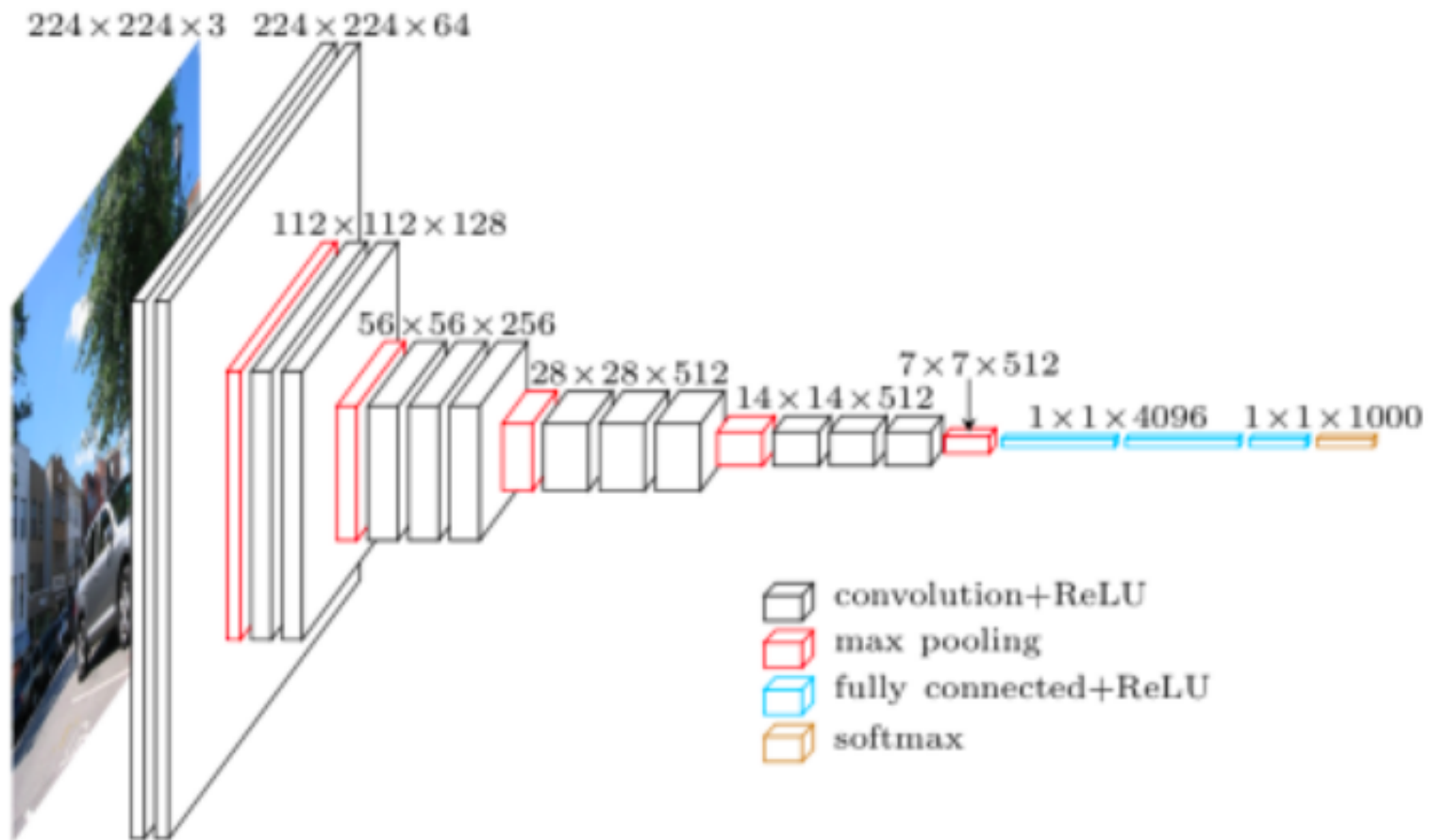
$$L_8[6 \times 6 \times 256] = \text{MaxPool}(L_7, \text{width } 3, \text{stride } 2))$$

$$L_9[4096] = \text{ReLU}(\text{FC}(L_8, \Phi_9))$$

$$L_{10}[4096] = \text{ReLU}(\text{FC}(L_9, \Phi_{10}))$$

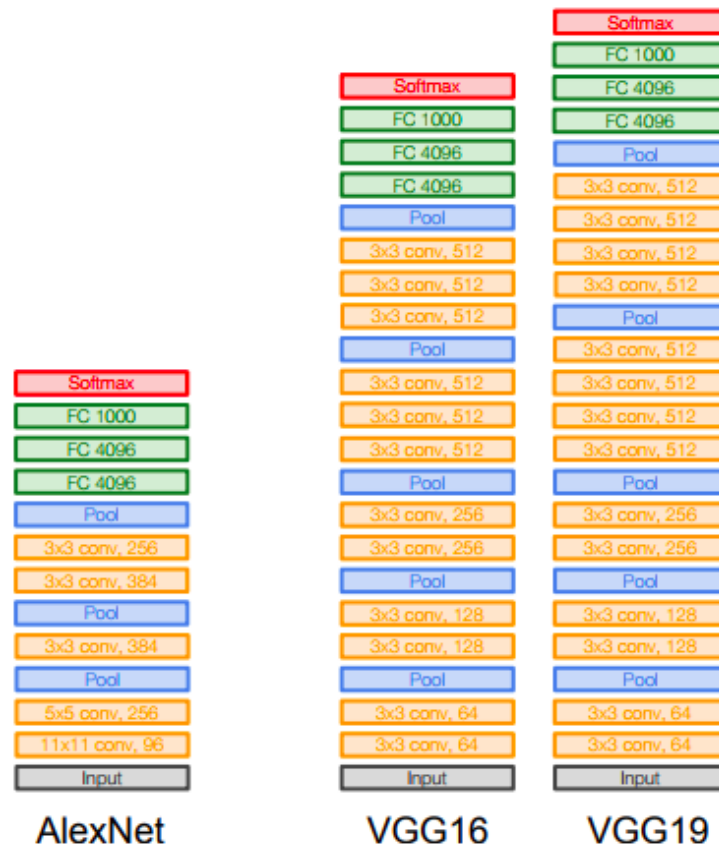
$$s[1000] = \text{ReLU}(\text{FC}(L_{10}, \Phi_s)) \quad \text{class scores}$$

# VGG, Zisserman, 2014



Davi Frossard

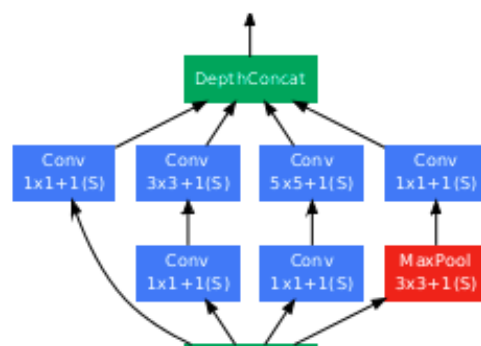
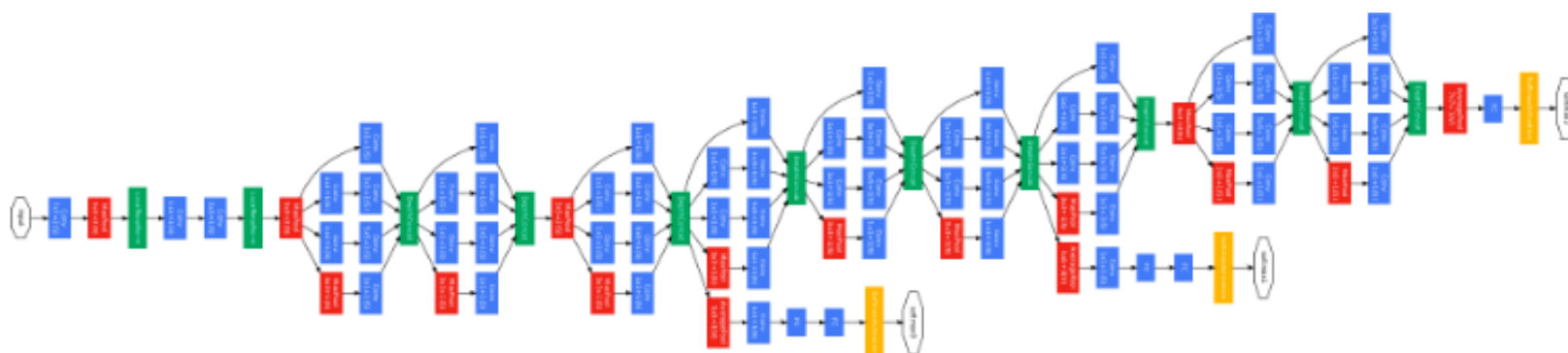
# VGG



Stanford CS231

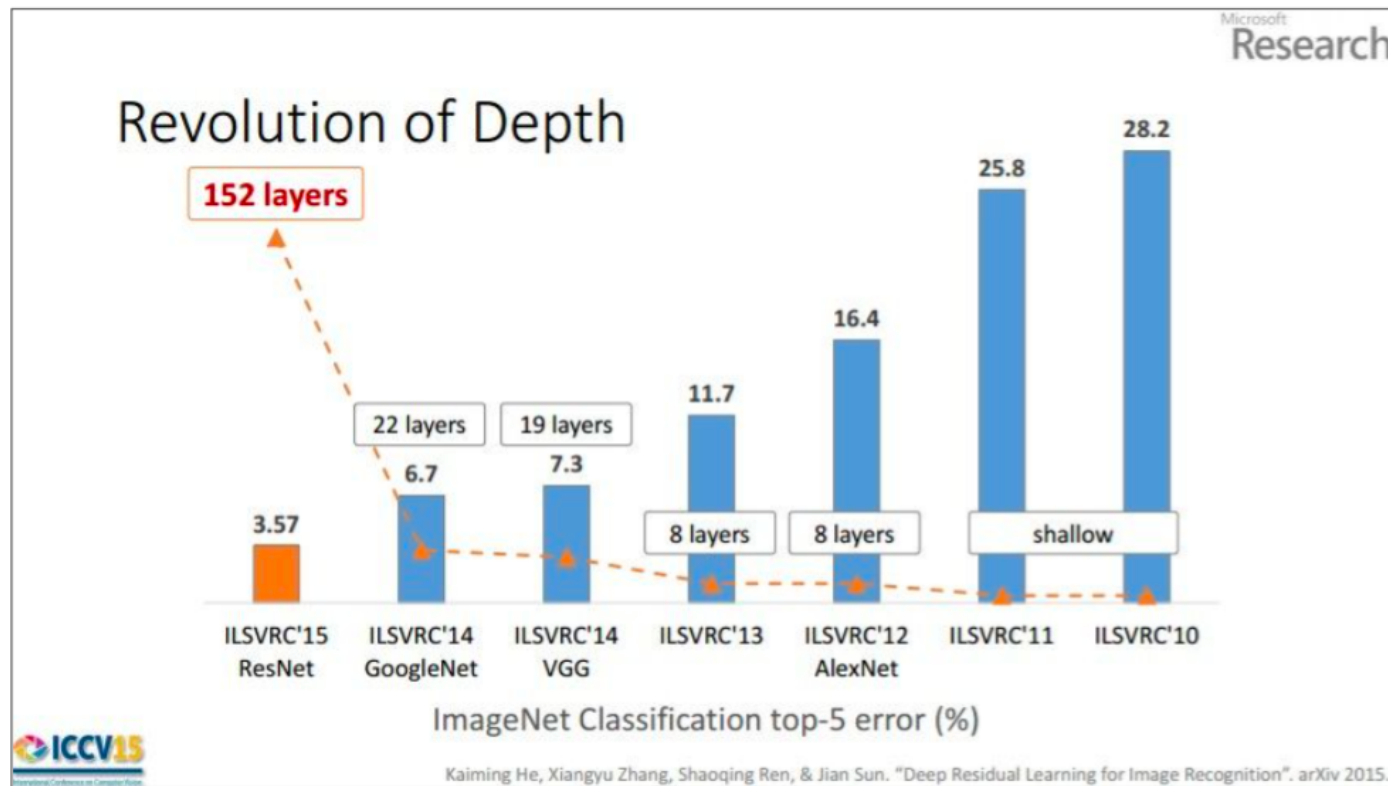


# Inception, Google, 2014



# Imagenet Classification

1000 kinds of objects.



(slide from Kaiming He's recent presentation)

2016 error rate is 3.0%

2017 error rate is 2.25%

## Review of The Swap Rule

$$\tilde{y}[b, j] = \sum_i W[j, i] x[b, i]$$

$$y[b, j] = \sigma(\tilde{y}[b, j] - B[j])$$

$$x.\text{grad}[b, i] += \sum_j \tilde{y}.\text{grad}[b, j] W[j, i]$$

$$W.\text{grad}[j, i] += \sum_b \tilde{y}.\text{grad}[b, j] x[b, i]$$

## Alternative Swap Rule

Initialize all computed tensors to zero and write the program using only `+=`.

for  $b, i, j$        $\tilde{y}[b, j] \ += \ W[j, i] \ x[b, i]$

for  $b, i, j$      $x.\text{grad}[b, i] \ += \ \tilde{y}.\text{grad}[b, j] W[j, i]$

for  $b, i, j$      $W.\text{grad}[j, i] \ += \ \tilde{y}.\text{grad}[b, j] x[b, i]$

one swaps the output with one of the inputs inside the body of the loop.

## Alternative Swap Rule for Convolution

for  $b, x, y, i, j, \Delta x, \Delta y$

$$\tilde{L}_{\text{out}}[b, x, y, j] += W[\Delta x, \Delta y, i, j] L_{\text{in}}[b, x + \Delta x, y + \Delta y, i]$$

for  $b, x, y, i, j, \Delta x, \Delta y$

$$W.\text{grad}[\Delta x, \Delta y, i, j] += \tilde{L}_{\text{out}}.\text{grad}[b, x, y, j] L_{\text{in}}[b, x + \Delta x, y + \Delta y, i]$$

for  $b, x, y, i, j, \Delta x, \Delta y$

$$L_{\text{in}}.\text{grad}[b, x + \Delta x, y + \Delta y, i, j] += \tilde{L}_{\text{out}}.\text{grad}[b, x, y, j] W[\Delta x, \Delta y, i, j]$$

## Image to Column (Im2C)

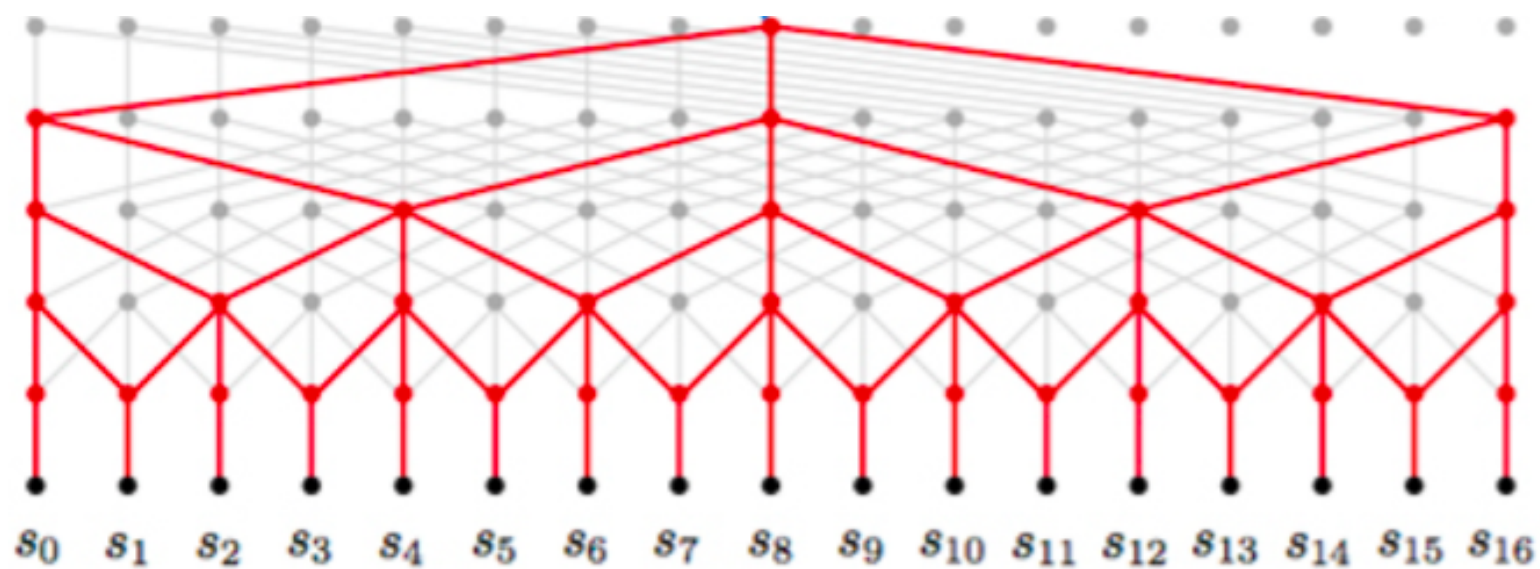
Reduce convolution to matrix multiplication — more space but faster.

$$L_{\text{in}}[b, x, y, \Delta x, \Delta y, i] = L_{\text{in}}[b, x + \Delta x, y + \Delta y, i]$$

$$\tilde{L}_{\text{out}}[b, x, y, j]$$

$$\begin{aligned} &= \left( \sum_{\Delta x, \Delta y, i} W[\Delta x, \Delta y, i, j] * L_{\text{in}}[b, x + \Delta x, y + \Delta y, i] \right) + B[j] \\ &= \left( \sum_{\Delta x, \Delta y, i} L_{\text{in}}[b, x, y, \Delta x, \Delta y, i] * W[\Delta x, \Delta y, i, j] \right) + B[j] \\ &= \left( \sum_{(\Delta x, \Delta y, i)} L_{\text{in}}[(b, x, y), (\Delta x, \Delta y, i)] * W[(\Delta x, \Delta y, i), j] \right) + B[j] \end{aligned}$$

# Fully Convolutional Networks



## Dilation

We can “dilate” the filter by introducing an image step size  $d$  for each step in the filter coordinates.

$$\tilde{L}_{\text{out}}[b, x, y, j] = W[\Delta x, \Delta y, i, j] L_{\text{in}}[b, x + d * \Delta x, y + d * \Delta y, i] + B[j]$$

This is used for “fully convolutional” CNNs.



## Summary

- Convolution
- Padding
- Strides
- Max Pooling
- Fully Connected Layers
- Dilation

## Modern Trends

Modern Convolutions use 3X3 filters. This is faster and has fewer parameters. Expressive power is preserved by increasing depth with many stride 1 layers.

Max pooling and dilation seem to have disappeared.

Resnet and resnet-like architectures are now dominant (next lecture).

**END**