# The scheduling for batch processes based on clustering approximated timed reachability graphs<sup>☆</sup>

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#### Abstract

To solve some scheduling problems of batch processes based on timed Petri net models, timed extended reachability graphs (TERGs) and approximated TERGs can be used. Such graphs abstract temporal specifications and represent parts of timed languages. By exploring the feasible trajectories in a TERG, optimal schedules can be obtained with respect to the makespans of batch processes that are modeled by timed Petri nets. Nevertheless, the rapid growth of the amount of states in a TERG makes the approach intractable for large systems. In this paper, we propose a clustering approximated TERG that is suitable for large sized batch processes with near-optimal schedules. First, we present a systematic approach to model a batch process with a timed Petri net. Then, information vertices of the clustering approximated TERG for the timed Petri net model are presented to handle time constraints, and a precise metric on the basis of the time constraints of information vertices is designed to avoid excessive approximations. A clustering algorithm is put forward to cluster adjacent information vertices for obtaining a clustering approximated TERG with a reduced size. Finally, an example on scheduling problems for an archetypal

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chemical production plant demonstrates the efficiency of the proposed approach. Keywords: Batch process, Timed Petri net, Reachability graph, Clustering algorithm, Scheduling.

#### 1. Introduction

A batch chemical system executes various operations by adopting multiple resources, such as storage tanks, piping, valves and so on, according to the recipes of products being ordered. Since several operations may use the same resources, conflicts occur between these operations. As a result, given the processing tasks, different processing sequences lead to different processing times. A typical scheduling problem of batch processes is to allocate resources rationally and design appropriate operation sequences such that the batch plant produces the given products in the shortest time. Batch chemical systems are typical dynamical discrete event systems that can be described accurately by Petri nets [1, 2, 3]. For solving the scheduling problem of batch processes, besides the formal representation at the logical level, temporal constraints and specifications should also be considered. Thanks to their modular design and graphical representation, timed Petri nets [4, 5, 6] are adopted in this article to describe these systems, rather than other discrete event formalisms [7].

For the scheduling problem of batch processes modeled by Petri nets, one promising study direction is to combine graph search algorithms with the execution of Petri nets to compute schedules that lead to the optimal makespan. Ghaeli et al. [8] use the A\* algorithm for that purpose. The markings of the reachability graph are heuristically generated and checked to obtain an optimal schedule. Mejia et al. [9] improve heuristic algorithms that prune the search space by controlling the search depth and developing heuristic functions to prevent marking explosion. Li et al. [10] modify a dynamic programming algorithm to select the most promising states evaluated by a heuristic function for further exploration. Mejia et al. [11] present a filtered beam search algorithm, in which a filtering mechanism and an evaluation function are introduced to

pre-valuate and limit the expansion of nodes in the reachability graph. Wu et al. [12] model a crude-oil refining process with a hybrid Petri net, and divide the scheduling problem into subproblems with continuous variables solved by linear programming techniques and those with discrete variables solved by heuristic algorithms.

Since the reachability graphs obtained with the above methods do not encode time information, the design of heuristic functions and rules for exploring the nodes of the reachability graph often depends on the specific systems. For practical applications, it becomes difficult to find efficient heuristic functions. An alternative research direction is to combine the state space abstraction of timed Petri nets and a global searching algorithm. These works mainly focus on the improvement of the state space abstraction techniques considering extended time information.

Berthomieu et al. [13] represent finite state spaces with state class graphs for time Petri nets, where the state classes are defined by combining markings with time constraints of enabled transitions at these markings. Inspired by the state class graph, many extended graphs [14, 15, 16, 17] that preserve reachability, branching properties, and linear properties of time Petri nets are presented for model checking or state estimation. In addition, Gardey et al. [18] describe the state space for time Petri nets on the basis of the timed automaton region graph where regions are encoded by bound matrices. Lime et al. [19] construct a time automaton that uses less clock variables to characterize the dynamic evolution of time Petri nets.

However, since the specific firing rule of the transitions is absent in the above methods, these approaches are not appropriate for solving scheduling issues. Moreover, although the timed Petri net system has small scale, these methods may generate graphs with huge state spaces as a consequence of the duplicated time specifications. In [20, 21], a timed extended reachability graph (TERG) is proposed to directly characterize the specific firing times of transitions and the time constraints of enabled transitions under the earliest firing policy. To further reduce the state space, a clustering approximated TERG (ClusTERG)

has been designed in [22] for time Petri nets. Then, a global searching algorithm explores the ClusTERG to obtain scheduling solutions. This paper continues this study and focuses on scheduling applications of the ClusTERG.

The main contributions are as follows: (i) we formalize the scheduling problem of batch processes with timed Petri net structures; (ii) we combine the TERG with a hierarchical clustering algorithm to develop a new time graph with a reduced size, namely ClusTERG, for which the sum of the approximation errors is far less than other approximations of TERG proposed in [20]. Compared with [22], we adapt and simplify the construction of the ClusTERG to timed Petri nets. This approach is relevant for a particular case of batch processes, where maximal time constraints are not considered.

This paper is structured as follows. In the following section, batch processes are introduced. Section III reviews the concepts and notations of timed Petri nets and TERG, and designs the timed Petri net model of batch processes. Section IV combines the hierarchical clustering algorithm with the design of the TERG to develop the ClusTERG. Section V presents a case study and discusses the obtained results. Conclusions of this paper are presented in Section VI.

### 75 2. Batch processes

Basic notions about batch processes are introduced in this section. In batch processes, each kind of product corresponds to a recipe containing the information required to define the manufacturing requirements [23, 24]. Let us define  $\mathbb{N}$  as the set of non negative integer numbers,  $\mathbb{R}^+$  as the set of non negative real numbers, and  $\mathbb{N}^+$  as the set of strictly positive integers.

**Definition 1.** Given a batch process, a recipe  $r_i := o_{i,1} \ o_{i,2} \dots o_{i,J}$  is a sequence of operations that need to be executed according to the order of  $r_i$ , where  $i, J \in \mathbb{N}^+$ .

To execute an operation, a batch production system needs several resources, such as tanks and valves, for a certain time. We denote the amount of executions of  $r_i$  by  $\rho(r_i) \in \mathbb{N}^+$ . The set of executed operations of  $r_i$  is  $O(r_i) =$   $\{o_{i,1},\ldots,o_{i,J}\}$ . The set of resources in a system is  $\mathscr{R}=\{v_1,\ldots,v_x,u_1,\ldots,u_y\}$ , where  $x,y\in\mathbb{N}^+,\ v_1,\ldots,v_x$  denote valves, and  $u_1,\ldots,u_y$  denote containers including supply tanks, storage tanks, and reactors. Each resource has two states. We denote the set of all resource states by  $\mathscr{R}^s=\{v_1^s,\ldots,v_x^s,\bar{v}_1^s,\ldots,\bar{v}_x^s,u_1^s,\ldots,\bar{v}_x^s,u_1^s,\ldots,\bar{v}_x^s\}$ , where  $v_1^s,\ldots,v_x^s$  are opened valves,  $\bar{v}_1^s,\ldots,\bar{v}_x^s$  are closed valves,  $u_1^s,\ldots,u_y^s$  are empty units, and  $\bar{u}_1^s,\ldots,\bar{u}_y^s$  are full units. For an operation o, the set of resources required to complete o is denoted by  $R(o)\subseteq\mathscr{R}$ , the minimal processing time of o is denoted by  $R(o)\subseteq\mathscr{R}^s$ .

For a considered batch system, maximal time constraints are generally not considered [8]. For example, in a closed chemical reactor of a batch system, if a reaction depends on one material, the reaction will stop once the material is totally consumed, and in such a case there is no maximal time constraint [25]. We concentrate on finding sequences of operations that complete the recipes in a minimal time, and the timed Petri net without maximal time constraints is adopted to describe the batch system in this paper. Since the limited resources may be competed by several operations, the design of the processing sequence depends not only on orders formulated by the recipe but also on conflicts as a consequence of the competition for the same resources during executing the operations. Thus, it is complicated to describe recipes and limited resources of a batch process.

**Definition 2.** A pair of operations o and o' is said to be conflicting if there exists  $v_i \in R(o) \cap R(o')$  with  $i \in \mathbb{N}^+$  such that  $\bar{v}_i^s \in R^s(o) \& v_i^s \in R^s(o')$  holds or there exists  $u_j \in R(o) \cap R(o')$  with  $j \in \mathbb{N}^+$  such that  $\bar{u}_j^s \in R^s(o) \& u_j^s \in R^s(o')$  holds, denoted by  $o \bowtie o'$ ; otherwise o and o' are compatible, denoted by  $o \bowtie o'$ . A set of operations is said to be maximally conflicting, denoted by  $O_{\max}$ , if  $o \bowtie o'$  holds for any different operations o and o' in  $O_{\max}$ , and there exists  $o \in O_{\max}$  satisfying  $o \bowtie o'$  for each  $o' \notin O_{\max}$ .

One can know that any two operations in  $O_{\text{max}}$  are conflicting and cannot be performed simultaneously from Definition 2. In addition, if  $O_{\text{max}}$  is maximally

conflicting, one cannot find another conflicting set  $O'_{\text{max}}$  such that  $O_{\text{max}} \subsetneq O'_{\text{max}}$ . For a batch process, different processing sequences result in different makespans, i.e., processing times. An archetypal scheduling problem is to find a sequence to execute operations with a minimal makespan [8]. In the following, we adopt a typical chemical plant to demonstrate the presented notations and concepts.

**Example 1:** Fig. 1 shows a chemical batch production system [26] containing three supply tanks  $u_1$ ,  $u_2$  and  $u_3$ , two reactors  $u_4$  and  $u_5$ , two storage tanks  $u_6$  and  $u_7$ , and a pipeline system with 13 valves. The production process of this system is as follows. Raw materials are added in tanks  $u_1$ ,  $u_2$  and  $u_3$ . Then, the contents of  $u_1$  are loaded into  $u_4$  or mixed with that of  $u_2$  in  $u_5$  for chemical reaction. The contents of  $u_3$  are unloaded into  $u_6$  to mix with that of  $u_4$  or unloaded into  $u_7$  to mix with that of  $u_5$  for chemical reaction. Finally, chemical products in  $u_6$  and  $u_7$  are transported out. Specifically, recipes of the batch process are shown in Table 1.

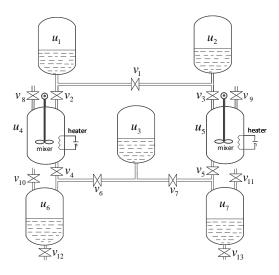


Figure 1: A batch production system.

Assume that the recipes  $r_1 = o_{1,1}o_{1,2}o_{1,3}o_{1,4}o_{1,5}$  and  $r_2 = o_{2,1}o_{2,2}o_{2,3}o_{2,4}o_{2,5}$  are executed k times in this production system, i.e.,  $\rho(r_1) = \rho(r_2) = k$ . It is obvious that some operations cannot be performed at the same time. For

example,  $o_{1,1}$  with  $R^s(o_{1,1}) = \{v_1^s, v_3^s, \bar{v}_2^s, \bar{v}_5^s, \bar{u}_1^s, \bar{u}_2^s, u_5^s\}$  and  $o_{2,1}$  with  $R^s(o_{2,1}) = \{v_2^s, \bar{v}_1^s, \bar{v}_4^s, \bar{u}_1^s, u_4^s\}$  are conflicting and cannot be performed simultaneously since  $o_{1,1}$  requires  $v_1$  to be opened and  $o_{2,1}$  requires  $v_1$  to be closed. In addition, some operations can be performed at the same time. For example, one can perform  $o_{1,1}$  and  $o_{2,2}$  simultaneously. Different sequences executing the required operations lead to different makespans. For the scheduling problem, the key is to find a suitable sequence executing the required operations to minimize the processing time.

Table 1: Recipes of the batch process shown in Fig. 1.

Recipe	Operation	Operation Description	$R^s(o_{i,j})$	$d(o_{i,j})$ (min.)
$r_1$	$o_{1,1}$	Transport reactant from $u_1$ and $u_2$ to $u_5$	$\{v_1^s, v_3^s, \bar{v}_2^s, \bar{v}_5^s, \bar{u}_1^s, \bar{u}_2^s, u_5^s\}$	20
	$o_{1,2}$	Chemical reaction in $u_5$	$\{v_9^s, \bar{v}_3^s, \bar{v}_5^s, \bar{u}_5^s\}$	30
	$o_{1,3}$	Transport material from $u_5$ and $u_3$ to $u_7$	$\{v_5^s, v_7^s, \bar{v}_3^s, \bar{v}_6^s, \bar{v}_{13}^s, \bar{u}_3^s, \bar{u}_5^s, u_7^s\}$	30
	$o_{1,4}$	Chemical reaction in $u_7$	$\{v_{11}^s, \bar{v}_5^s, \bar{v}_7^s, \bar{v}_{13}^s, \bar{u}_7^s\}$	40
	$o_{1,5}$	The chemical product in $u_7$ is transported out	$\{v^s_{13}, \bar{v}^s_5, \bar{v}^s_7, \bar{u}^s_7\}$	40
$r_2$	$o_{2,1}$	Transport material from $u_1$ to $u_4$	$\{v_2^s, \bar{v}_1^s, \bar{v}_4^s, \bar{u}_1^s, u_4^s\}$	30
	$o_{2,2}$	Chemical reaction in $u_4$	$\{v_8^s, \bar{v}_2^s, \bar{v}_4^s, \bar{u}_4^s\}$	40
	$o_{2,3}$	Transport material from $u_4$ and $u_3$ to $u_6$	$\{v_8^s, \bar{v}_2^s, \bar{v}_4^s, \bar{u}_4^s\}$	40
	$o_{2,4}$	Chemical reaction in $u_6$	$\{v_8^s, \bar{v}_2^s, \bar{v}_4^s, \bar{u}_4^s\}$	50
	$o_{2,5}$	The chemical product in $u_6$ is transported out	$\{v_8^s, \bar{v}_2^s, \bar{v}_4^s, \bar{u}_4^s\}$	60

#### 3. Timed Petri nets model

A Petri net [7] is enhanced with time specifications and semantics to obtain a timed Petri net. The detailed introduction about timed Petri nets is presented below.

**Definition 3.** [7] A timed Petri net structure is a pair  $N_{td} = (N, \delta_s)$ , in which  $N = (\mathbf{P}, \mathbf{T}, Pre, Post)$  is a Petri net structure with a set of places denoted by  $\mathbf{P}$ , a set of transitions denoted by  $\mathbf{T}$ , and the pre- and post-incidence functions that are defined by  $Pre: \mathbf{P} \times \mathbf{T} \to \mathbb{N}$  and  $Post: \mathbf{P} \times \mathbf{T} \to \mathbb{N}$  specify the flow of tokens from places to transitions and transitions to places, respectively; and  $\delta_s: \mathbf{T} \to \mathbb{R}^+$  is the static time function that specifies how long the transition  $t \in \mathbf{T}$  should be enabled before it could fire.

We define a marking m by a function m:  $\mathbf{P} \to \mathbb{N}$ . Given a place  $p \in \mathbf{P}$ , m(p) is the token count in p at m. We enhance an initial marking  $m_0$  with structure  $N_{td}$  to get a timed Petri net system  $(N_{td}, m_0)$ . At marking m, for all  $p \in \mathbf{P}$  satisfying Pre(p,t) > 0, if  $m(p) \geq Pre(p,t)$  holds, then t is enabled at m. The enabling degree of t at m is  $e_m(t) = \min_{p \in \mathbf{P}, Pre(p,t) > 0} \{ \lfloor m(p)/Pre(p,t) \rfloor \}$ , where  $\lfloor c \rfloor$  is the round down operation on the real number c. We denote the set of all enabled transitions at m as  $T_e(m) = \{t \in \mathbf{T} | m[t \rangle\}$ . For  $t \in T_e(m)$  at m, firing t generates a new marking m', denoted as  $m[t \rangle m'$ , where m'(p) = m(p) + Post(p,t) - Pre(p,t) for all  $p \in \mathbf{P}$ .

In this article, we focus on bounded timed Petri nets, in which the number of tokens for all places at each reachable marking has an upper bound. In addition, we assume that there exists at most one transition between two reachable markings (i.e.,  $m[t\rangle m'$  and  $m[t'\rangle m'$  imply t=t'). For a timed Petri net system, its time semantics [27] are defined under the enabling memory policy and infinite server policy in this paper. The choice policy is a preselection executed by an exterior agent such as a scheduler (i.e., the scheduling solution discussed in Section V): the scheduler decides the selection of transitions to fire when concurrency or conflict occurs. Given a timed Petri net system  $(N, \delta_s, m_0)$ , we write a timed firing sequence as  $\sigma = (t_1, \tau_1)(t_2, \tau_2) \cdots (t_h, \tau_h)$ , where h is the number of transitions in  $\sigma$ ,  $t_1, t_2, \ldots, t_h \in T$ , and  $\tau_1, \tau_2, \ldots, \tau_h$  are the firing time instants of  $t_1, t_2, \ldots, t_h$  satisfying  $0 \le \tau_1 \le \tau_2 \le \cdots \le \tau_h$ . In addition,  $\sigma_{log} = t_1 t_2 \cdots t_h$  is the logical firing sequence associated with  $\sigma$ , neglecting the time instants. We assume that the initial time instant is  $\tau_0 = 0$  at marking  $m_0$  and define the timed trajectory corresponding to  $\sigma$  by

$$(\sigma, m_0) = m_0[(t_1, \tau_1)) m_1[(t_2, \tau_2)) \cdots m_{h-1}[(t_h, \tau_h)) m_h, \tag{1}$$

such that  $m_1, \ldots, m_{h-1}$  are the markings visited by  $(\sigma, m_0)$ , and  $m_h$  is the final marking, where  $m_0[t_1)m_1, m_1[t_2)m_2, \ldots$ , and  $m_{h-1}[t_h)m_h$  hold.

Before presenting the definition of the states for a timed Petri net system, the multiset  $\mathcal{D}_k$  with  $k = 0, \dots, h$  [28] that consists of dynamic time constraints of enabled transitions is calculated iteratively. Given a trajectory  $(\sigma, m_0)$  taking the form (1) and time  $\tau_k$ , we define  $U_k$  as the set of dynamic time constraints  $(t, \delta)$  at marking  $m_k$ , t being a transition and  $\delta$  being the residual time before t can fire. We also define  $n_k((t, \delta))$  as the number of times that t may fire after  $\delta$ . Observe that, in general,  $n_k((t, \delta))$  is different from  $e_{m_k(t)}$ .

- When k = 0, define  $\mathcal{D}_0 = \{(u, n_0(u)) | u \in U_0\}$ , where  $U_0 = \{(t, \delta) | t \in T_e(m_0), \delta = \delta_s(t)\}$  and  $n_0(u) = e_{m_0}(t)$ .
- When k > 0, define  $\mathcal{D}_k = \{(u, n_k(u)) | u \in U_k\}$ , where  $U_k = \{(t, \delta) \mid t \in T_e(m_k), \delta = \max(0, \delta' (\tau_k \tau_{k-1}))\}$  and  $n_k(u) = n_{k-1}(u)$  if  $(t, \delta') \in \mathcal{D}_{k-1}$  and for all  $p \in {}^{\bullet}t, m(p) Pre(p, t_k) \geq Pre(p, t)$ ;  $U_k = \{(t, \delta) \mid t \in T_e(m_k), \delta = \delta_s(t)\}$  and  $n_k(u) = e_{m_k}(t)$  otherwise.

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We denote the number of dynamic time constraints in  $\mathcal{D}_k$  by  $|\mathcal{D}_k|$ . Note that  $\mathcal{D}_k$  is not empty, i.e.,  $|\mathcal{D}_k| \neq 0$ , if there exist enabled transitions at  $m_k$ . When  $|\mathcal{D}_k| \neq 0$ , we present an order, referred to the  $\mathcal{D}$ -order, to arrange the dynamic time constraints of  $\mathcal{D}_k$ . Specifically, given two dynamic time constraints  $(t_{i,j}, \delta)$  and  $(t_{i',j'}, \delta')$  in  $\mathcal{D}_k$ , write  $(t_{i,j}, \delta) \prec (t_{i',j'}, \delta')$  if one of the next statements is true: (i) i < i', (ii) i = i' and j < j', and (iii) i = i', j = j', and  $\delta < \delta'$ .

**Definition 4.** A state of a timed Petri net system is a pair  $S = (m, \mathcal{D})$ , where m is a marking and  $\mathcal{D}$  is the multiset of dynamic time constraints of the transitions enabled at m.

The detailed algorithms to model the batch production system with a timed Petri net are shown in [26]. In particular, monitors are introduced to solve conflicting situations in maximal conflicting sets.

**Example 2**: Reconsider the batch process described in Example 1, and its timed Petri net model  $(N, \delta_s, m_0)$  is shown in Fig. 2, with

- 1) two starting places  $p_{1,0}$  and  $p_{2,0}$  with  $m_0(p_{1,0}) = \rho(r_1) = k$  and  $m_0(p_{2,0}) = \rho(r_2) = k$ ;
  - 2) the places  $p_{i,j}$  corresponding to the operations  $o_{i,j}$ ,  $i=1,2,\,j=1,\ldots,5$ ;

3) the transitions  $t_{i,j}$  representing the execution of  $o_{i,j}$ ,  $i=1,2, j=1,\ldots,5$ . In addition, all maximally conflicting operation sets are computed, which are  $\{o_{1,1}, o_{2,1}\}, \{o_{1,1}, o_{1,2}, o_{1,3}\}, \{o_{2,1}, o_{2,2}, o_{2,3}\}, \{o_{1,3}, o_{1,4}, o_{1,5}\}, \{o_{2,3}, o_{2,4}, o_{2,5}\},$  and  $\{o_{1,3}, o_{2,3}\}$ . The monitor places  $p_{c1}, p_{c2}, \ldots, p_{c6}$  with  $m_0(p_{c1}) = m_0(p_{c2}) = \cdots = m_0(p_{c6}) = 1$  are designed to ensure  $\sum_{j=1}^{3} m(p_{i,j}) \le 1$  and  $\sum_{j=3}^{5} m(p_{i,j}) \le 1$ ,  $m(p_{1,1}) + m(p_{2,1}) \le 1$ , and  $m(p_{1,3}) + m(p_{2,3}) \le 1$  for i=1,2, where m is a reachable marking at  $m_0$ . That is, transitions representing the execution of operations in  $O_{\max}$  cannot fire synchronously.

The initial state is  $S_0 = (m_0, \mathcal{D}_0)$  at  $\tau_0 = 0$ . In detail, at  $m_0$ , we have  $T_e(m_0) = \{t_{1.1}, t_{2.1}\}$  and  $e_{m_0}(t_{1.1}) = e_{m_0}(t_{2.1}) = k$ . Time constraints  $(t_{1.1}, 20)$  and  $(t_{2.1}, 30)$  belong to  $\mathcal{D}_0$ . According to the  $\mathcal{D}$ -order, since i < i',  $(t_{i,j}, \delta) = (t_{1,1}, 20) \prec (t_{i',j'}, \delta') = (t_{2,1}, 30)$  holds. Thus, we have  $\mathcal{D}_0 = \{((t_{1.1}, 20), k), ((t_{2.1}, 30), k)\}$ .

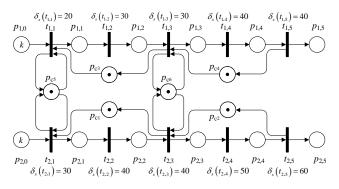


Figure 2: The timed Petri net system to describe the batch process in Fig. 1.

#### 4. Clustering approximated time reachability graph

The state space of the resulting Petri net model can be explored to calculate a sequence of operations that complete the recipes in a minimal time. We can analyze the timed Petri net system through constructing the TERG, or other time graphs preserving the temporal properties for the net. The scheduling problem is reformulated as a searching problem to discover the optimal path from a weighted graph with the Dijkstra algorithm [29].

In this article, we focus on timed Petri nets under the Earliest Firing Policy (EFP). In detail, if a transition with multiple enabling degree is preselected for the following firing, it will fire when its residual time with minimal value elapses [20, 21]. Given a timed Petri net system  $(N, \delta_s, m_0)$  under the EFP, a timed trajectory  $(\sigma, m_0)$  taking the form (1) is feasible if the following conditions are satisfied [22]: for all k = 1, ..., h (i)  $t_k$  is enabled at  $m_{k-1}$ ; (ii)  $\delta \geq 0$  holds for all time transitions  $(t, \delta)$  of  $\mathcal{D}_k$ ; (iii) there exists  $((t_k, \tau_k - \tau_{k-1}), n_k) \in \mathcal{D}_{k-1}$ ; (iv)  $\delta' \geq \tau_k - \tau_{k-1}$  holds for all time constraints  $(t_k, \delta')$  of  $\mathcal{D}_{k-1}$ . Based on the notion of the feasible trajectory, we define the reachable states of  $(N, \delta_s, m_0)$ .

**Definition 5.** Given  $(N, \delta_s, m_0)$  under the EFP, states  $S_0 = (m_0, \mathcal{D}_0)$  and  $S = (m, \mathcal{D})$ , S is reachable from  $S_0$  if there is a feasible trajectory  $(\sigma, m_0)$  taking the form (1) satisfying  $\mathcal{D}_h = \mathcal{D}$  and  $m_h = m$ , denoted as  $S_0 \xrightarrow{(\sigma, m_0)} S$ .

**Definition 6.** [20] Let  $(N, \delta_s, m_0)$  be a timed Petri net system. We define its TERG as  $G_E = (\mathbf{S}_E, \Omega_E, B_E, S_0)$ , where

•  $S_0 = (m_0, \mathcal{D}_0)$  is the initial state;

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- $\mathbf{S}_{\mathbf{E}}$  is a set of states, defined by  $\mathbf{S}_{\mathbf{E}} = \{S | \exists (\sigma, m_0) : S_0 \xrightarrow{(\sigma, m_0)} S\};$ 
  - $\Omega_E$  is the transition function:  $\mathbf{S}_E \times \mathbf{S}_E \to \mathbf{T} \cup \{\varepsilon\}$ . In detail, for states  $S, S' \in \mathbf{S}_E$  and  $S = (m, \mathcal{D})$ , we have  $\Omega_E(S, S') = t$  if  $S \xrightarrow{(\sigma, m)} S'$  with  $\sigma_{log} = t$ ; otherwise  $\Omega_E(S, S') = \varepsilon$  holds with  $\varepsilon$  standing for the empty sequence;
- $B_E$  is the firing time function:  $S_E \times S_E \to \mathbb{R}^+ \cup \{+\infty\}$ . In detail, for state  $S, S' \in S_E$  and  $S = (m, \mathcal{D})$ ,  $B_E(S, S') = \delta$  holds if (1)  $S \xrightarrow{(\sigma, m)} S'$  and  $\sigma_{log} = t$ , and (2) for all  $(u', n(u')) \in \mathcal{D}$  satisfying  $u' = (t, \delta')$ ,  $\delta \leq \delta'$  holds; otherwise  $B_E(S, S') = +\infty$  holds.

**Example 3**: A timed Petri net system that has one place  $p_1$ , two transitions  $t_{1,1}$  and  $t_{1,2}$ ,  $\delta_s(t_{1,1}) = 3$ ,  $\delta_s(t_{1,2}) = 5$ , and initial marking  $m_0$  with  $m_0(p_1) = 2$ , is depicted in Fig. 3. Its TERG is given in Fig. 4.

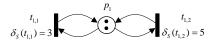


Figure 3: A timed Petri net system having a single marking.

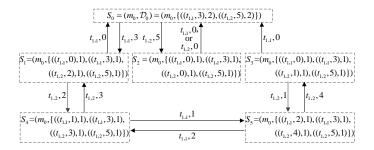


Figure 4: The TERG of the time Petri net system presented in Fig. 3.

Definition 6 is a simplification of the TERG defined in [22] in the case that the maximal residual time is not considered. However, the number of states in the TERG may increase unmanageably. To mitigate the state explosion problem in the TERG, some different states with the same marking can be merged. Let  $S = \{S_i = (m, \mathcal{D}_i) | i = 1, 2, ..., q\}$  be a set of q different states owning the identical marking m. If  $|\mathcal{D}_i| = 0$  holds for a given state  $S_i \in S$ , there is no enabled transition at m; thus  $|\mathcal{D}_{i'}| = 0$  holds also for i' = 1, 2, ..., q. In this case, S has only a state  $(m, \emptyset)$ , and we do not consider any merging. Otherwise, if  $|\mathcal{D}_i| \neq 0$ , i = 1, 2, ..., q, the time constraints  $(t_j, \delta_{i,j})$  with j = 1, ..., k and  $k = \sum_{t \in T_e(m)} e(t)$  in  $\mathcal{D}_i$  are enumerated and sorted according to the  $\mathcal{D}$ -order. The merging of the states with the same marking leads to a five-tuple named as an information vertex. The detailed definition is shown below.

**Definition 7.** Let  $S = \{S_i = (m, \mathcal{D}_i) | i = 1, 2, ..., q\}$  be a set of states with the same marking. The merging of these states results in a five-tuple  $V = (m, q, \mathcal{D}^{\min}, \mathcal{D}^{\max}, \mathcal{D}^{\text{ave}})$ , named as an information vertex, where

- m is the marking of all merged states;
- q is a positive integer recording the number of merged states;

- $\mathcal{D}^{\min} = \{(t_j, \delta_j^{\min}) | j = 1, \dots, k, t_j \in T_e(m)\} \text{ with } \delta_j^{\min} = \min_{i=1,\dots,q} \{\delta_{i,j}\};$
- $\mathcal{D}^{\max} = \{(t_j, \delta_j^{\max}) | j = 1, \dots, k, t_j \in T_e(m)\} \text{ with } \delta_j^{\max} = \max_{i=1,\dots,q} \{\delta_{i,j}\};$

• 
$$\mathcal{D}^{\text{ave}} = \{(t_j, \delta_j^{\text{ave}}) | j = 1, \dots, k, t_j \in T_e(m)\} \text{ with } \delta_j^{\text{ave}} = \sum_{i=1}^q (\delta_{i,j})/q.$$

When a certain number of states are generated, we merge them as aforementioned. Some resulting information vertices may be further merged. More generally, let  $\boldsymbol{X}(m) = \bigcup\limits_{i=1}^{l} \{V_i = (m,q_i,\mathcal{D}_i^{\min},\mathcal{D}_i^{\max},\mathcal{D}_i^{\mathrm{ave}})\}$  be a set of l different information vertices with the same marking m. Given  $\mathcal{D}_i^{\min}$ ,  $\mathcal{D}_i^{\max}$ , and  $\mathcal{D}_i^{\mathrm{ave}} \neq \emptyset$ ,  $i=1,2,\ldots,l$ , let  $\mathcal{D}_i^{\min} = \{(t_j,\delta_{i,j}^{\min})|j=1,\ldots,k,t_j \in T_e(m)\}$ ,  $\mathcal{D}_i^{\max} = \{(t_j,\delta_{i,j}^{\mathrm{ave}})|j=1,\ldots,k,t_j \in T_e(m)\}$ , with  $k=\sum_{t\in T_e(m)} e(t)$ . The merging of these already existing information vertices with the same marking also leads to an information vertex  $V=(m,q,\mathcal{D}_i^{\min},\mathcal{D}_i^{\max},\mathcal{D}_i^{\mathrm{ave}})$ , where  $q=\sum_{i=1}^{l} q_i$ ,  $\mathcal{D}_i^{\min} = \{(t_j,\delta_j^{\min})|j=1,\ldots,k,t_j \in T_e(m)\}$  with  $\delta_j^{\min} = \min_{i=1,\ldots,l} \{\delta_{i,j}^{\min}\}$ ,  $\mathcal{D}_i^{\max} = \{(t_j,\delta_j^{\max})|j=1,\ldots,k,t_j \in T_e(m)\}$  with  $\delta_j^{\max} = \max_{i=1,\ldots,l} \{\delta_{i,j}^{\max}\}$ , and  $\mathcal{D}_i^{\mathrm{ave}} = \{(t_j,\delta_j^{\mathrm{ave}})|j=1,\ldots,k,t_j \in T_e(m)\}$  with  $\delta_j^{\mathrm{ave}} = (\sum_{i=1}^{l} (q_i \times \delta_{i,j}^{\mathrm{ave}}))/\sum_{i=1}^{l} q_i$ .

Moreover, considering a set of information vertices X(m) with the same marking m, we introduce a metric, named  $\mathcal{D}$ -distance, in order to measure the maximal difference among these vertices. Specifically, we calculate the  $\mathcal{D}$ -distance within X(m) as follows:

$$D_{\mathcal{D}}(\boldsymbol{X}(m)) = \max_{V_{i}, V_{i'} \in \boldsymbol{X}(m)} \left\{ \max_{j=1,\dots,k} \left| \delta_{i,j}^{\min} - \delta_{i',j}^{\max} \right| \right\}$$
(2)

**Example 4:** Consider the timed Petri net system and its TERG shown in Figs. 3 and 4. Each state in Fig. 4 corresponds to an information vertex. For example,  $S_0 = (m, \mathcal{D}_0)$  corresponds to  $V_0 = (m, 1, \mathcal{D}_0^{\min}, \mathcal{D}_0^{\max}, \mathcal{D}_0^{\text{ave}})$  with  $\mathcal{D}_0^{\min} = \mathcal{D}_0^{\max} = \mathcal{D}_0^{\text{ave}} = \mathcal{D}_0 = \{(t_{1,1}, 3), (t_{1,1}, 3), (t_{1,2}, 5), (t_{1,2}, 5)\}$ . Then, similar to the computation of  $S_1$  and  $S_2$  from  $S_0$ , one can obtain  $V_1$  and  $V_2$  from  $V_0$ . Specifically,  $V_1 = (m, 1, \mathcal{D}_1^{\min}, \mathcal{D}_1^{\max}, \mathcal{D}_1^{\text{ave}})$  with  $\mathcal{D}_1^{\min} = \mathcal{D}_1^{\text{ave}} = \{(t_{1,1}, 0), (t_{1,1}, 3), (t_{1,2}, 2), (t_{1,2}, 5)\}$ , and  $V_2 = (m, 1, \mathcal{D}_2^{\min}, \mathcal{D}_2^{\max}, \mathcal{D}_2^{\text{ave}})$  with  $\mathcal{D}_2^{\min} = \mathcal{D}_2^{\max} =$ 

 $\{(t_{1,1},0),\ (t_{1,1},3),\ (t_{1,2},0),\ (t_{1,2},5)\}. \text{ If the above vertices are merged, one can obtain a new vertex } V=(m,q,\mathcal{D}^{\min},\mathcal{D}^{\max},\mathcal{D}^{\mathrm{ave}}), \text{ where } q=3, \mathcal{D}^{\min}=\{(t_{1,1},0),\ (t_{1,1},3),\ (t_{1,2},0),\ (t_{1,2},5)\}, \mathcal{D}^{\max}=\{(t_{1,1},3),\ (t_{1,1},3),\ (t_{1,2},5),\ (t_{1,2},5)\}, \text{ and } \mathcal{D}^{\mathrm{ave}}=\{(t_{1,1},1),\ (t_{1,1},3),\ (t_{1,2},7/3),\ (t_{1,2},5)\}.$ 

In order to compute the  $\mathcal{D}$ -distance for  $\boldsymbol{X}(m) = \{V_0, V_1, V_2\}$ , we first calculate the  $\mathcal{D}$ -distance within any two information vertices in  $\boldsymbol{X}(m)$ . Specifically, the  $\mathcal{D}$ -distance within  $\{V_0, V_1\}$  is  $D_{\mathcal{D}}(\{V_0, V_1\}) = \max\{|3-0|, |3-3|, |5-2|, |5-5|\} = 3$ . Moreover,  $D_{\mathcal{D}}(\{V_0, V_2\}) = 5$  and  $D_{\mathcal{D}}(\{V_1, V_2\}) = 2$ . The  $\mathcal{D}$ -distance within  $\boldsymbol{X}(m)$  is  $D_{\mathcal{D}}(\boldsymbol{X}(m)) = \max\{3, 5, 2\} = 5$ .

Furthermore, given a set of information vertices  $\boldsymbol{X} = \{V_1, \dots, V_l\}$  and parameter  $\lambda$ , the logical condition  $C_m(\boldsymbol{X}, \lambda)$  to merge these information vertices is as follows:

$$C_m(\boldsymbol{X}, \lambda) = (m_1 = \dots = m_l) \wedge (D_{\mathcal{D}}(\boldsymbol{X}) \leq \lambda)$$
(3)

where  $m_1, \ldots, m_l$  are the markings of  $V_1, \ldots, V_l$ , respectively.

Since the states of a TERG can be represented by numerical data points, clustering algorithms [30] such as the hierarchical clustering can be adopted to cluster and merge these states to obtain a new time graph. We name the new graph as a clustering approximated TERG (ClusTERG). In data mining and statistics, the hierarchical clustering [31, 32] is a common algorithm to cluster data points. This algorithm firstly regards each data points as one cluster. Then, it groups two clusters having the minimal difference as a new cluster and repeats the process iteratively.

For the hierarchical clustering algorithm, the difference of clusters is quantified by the linkage criterion such as the maximum linkage clustering (MLC), the minimum linkage clustering, the centroid linkage clustering, and so on [32]. In this article, we adopt the MLC since it calculates the distance of the two farthest data points as the distance of clusters.

One can notice that information vertices are data points, and sets X(m) and X'(m) of information vertices are regarded as two clusters. The MLC can be

computed directly with the  $\mathcal{D}$ -distance in the hierarchical clustering algorithm for two sets  $\boldsymbol{X}(m)$  and  $\boldsymbol{X'}(m)$  of information vertices. We calculate the MLC by  $\mathrm{MLC}(\boldsymbol{X}(m),\boldsymbol{X'}(m))=D_{\mathcal{D}}(\boldsymbol{X}(m)\cup\boldsymbol{X'}(m))$ . Considering a set of information vertices  $\boldsymbol{X}(m)=\{V_1,\ldots,V_l\}$  where  $V_1,\ldots,V_l$  have the same marking m, the detailed processes of the hierarchical clustering for  $\boldsymbol{X}(m)$  are:

- 1. Initialize information vertices of the data set X(m) to l clusters  $X_j$  with j = 1, ..., l, i.e.,  $X_j = \{V_j\}$ . At initialization, the amount n of clusters is identical with the amount l of information vertices in X(m).
- 2. For all i, j = 1, ..., n,  $i \neq j$ , compute  $\mathrm{MLC}(\boldsymbol{X}_i, \boldsymbol{X}_j)$ . If there exist two clusters such that  $\mathrm{MLC}(\boldsymbol{X}_i, \boldsymbol{X}_j) \leq \lambda$ , then perform Step 3; otherwise, perform Step 4.
- 3. Search for the two clusters X and X' with the minimal MLC(X, X'), and group them into one cluster  $X'' = \{V\}$ , where V is obtained by the merging of information vertices in X and X'.
- 4. Finally, a data set X'(m) with n clusters is obtained.

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The time complexity of the hierarchical clustering for a set of information vertices X(m) is quadratic. Next, a new graph, referred to the ClusTERG, is obtained by combining the construction of the TERG with the clustering algorithm. By calculating the MLC, each time  $\gamma$  new information vertices are generated, the hierarchical clustering is adopted to merge information vertices with MLC  $< \lambda$ , where  $\lambda$  and  $\gamma$  are input parameters to be selected depending on the size of the system.

**Definition 8.** Given a timed Petri net system  $(N, \delta_s, m_0)$  and the parameters  $\lambda$  and  $\gamma$ , we define the ClusTERG as a five-tuple  $G_C = (\mathbf{V}, \Omega, B, V_0)$ , where

- V is a finite set of information vertices, and for any two different vertices
   V, V' ∈ V with the same marking, D<sub>D</sub>({V, V'})≥ λ holds;
  - $\Omega$  is the transition function:  $\mathbf{V} \times \mathbf{V} \to \mathbf{T} \cup \{\varepsilon\}$ . In detail, for vertices  $V, V' \in \mathbf{V}$  with  $V = (m, q, \mathcal{D}^{\min}, \mathcal{D}^{\max}, \mathcal{D}^{\text{ave}})$  and  $V' = (m', q', \mathcal{D}'^{\min}, \mathcal{D}'^{\max}, \mathcal{D}^{\text{ini}}, \mathcal{D}'^{\max}, \mathcal{D}^{\text{ini}}, \mathcal{D}^{\text{i$

 $\mathcal{D}'^{\text{ave}}$ ),  $\Omega(V, V') = t$  if (1) m' can be obtained at m by firing t and (2) there is  $(u, n(u)) \in \mathcal{D}^{\text{ave}}$  satisfying  $u = (t, \delta)$ ; otherwise  $\Omega(V, V') = \varepsilon$ ;

• B is the firing time function:  $\mathbf{V} \times \mathbf{V} \to \mathbb{R}^+ \cup \{+\infty\}$ . For vertices  $V, V' \in \mathbf{V}$  with  $V = (m, q, \mathcal{D}^{\min}, \mathcal{D}^{\max}, \mathcal{D}^{\text{ave}})$  and  $V' = (m', q', \mathcal{D}'^{\min}, \mathcal{D}'^{\max}, \mathcal{D}'^{\text{ave}})$ ,  $B(V, V') = \delta$  if (1)  $\Omega(V, V') = t$ , and (2) for all  $(u', n(u')) \in \mathcal{D}^{\text{ave}}$  with  $u' = (t, \delta')$ ,  $\delta \leq \delta'$  holds; otherwise  $B(V, V') = +\infty$ ;

• 
$$V_0 = (m_0, 1, \mathcal{D}_0, \mathcal{D}_0, \mathcal{D}_0)$$
 is the initial information vertex.

Algorithm 1 shows the detailed construction of the ClusTERG. This algorithm uses a temporary list  $\mathcal{L}$  of unexplored information vertices sorted according to the generation order of them and  $\mathcal{L}[i]$  refers to the *i*th element in list  $\mathcal{L}$ .

In Algorithm 1, we first initialize  $V_0$  and the temporary list  $\mathcal{L}$  according to Steps 1–6. Then,  $\mathcal{L}[1] = V_0$  is selected. The possible successors of  $V_0$  are explored and evaluated whether they are newly generated according to Steps 9–24. Finally, each time  $\gamma$  information vertices are newly generated, the hierarchical clustering algorithm is called to merge vertices with same marking and MLC<  $\lambda$  according to Steps 25–31. Obviously, for information vertices merged in one cluster, the maximal difference among their time constraints is not more than  $\lambda$  [22].

## 5. Experimental results

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This section evaluates the performance of the TERG, the approximated TERG proposed in [20] and the ClusTERG from agglomeration errors and computation time aspects. The scheduling results based on the different graphs are also compared.

We compare the agglomeration errors generated by using the algorithm in [20] with that of Algorithm 1. In detail, the whole agglomeration error for a set of clusters  $Z = \{X_1, \ldots, X_n\}$  is defined by

$$E(\mathbf{Z}) = \sum_{\mathbf{X}_i \in \mathbf{Z}} D_{\mathcal{D}}(\mathbf{X}_i)$$
 (4)

## Algorithm 1: Construction of the ClusTERG

```
Input: Parameters \lambda and \gamma, and (N, m_0, \delta_s)
      Output: The ClusTERG G = (\mathcal{V}, \Omega, B, V_0)
 1 Initialize the number of information vertices: N_C \leftarrow 0
 2 for each transition t \in T_e(m_0) do
            compute the enabling degree e_{m_0}(t) of t
            ((t, \delta_s(t), \Delta_s(t)), e_{m_0}(t)) is added in \mathcal{D}_0
 5 sort time constraints of \mathcal{D}_0 based on the \mathcal{D}-order
 6 V_0 \leftarrow (m_0, 1, \mathcal{D}_0, \mathcal{D}_0, \mathcal{D}_0), \, \mathcal{V} \leftarrow \{V_0\}, \text{ add } V_0 \text{ into } \mathcal{L}
 7 while \mathcal{L} is not empty do
            V \leftarrow \mathcal{L}[1], remove \mathcal{L}[1] from \mathcal{L}
            if V = (m, q, \mathcal{D}^{\min}, \mathcal{D}^{\max}, \mathcal{D}^{\text{ave}}) and \mathcal{D}^{\text{ave}} \neq \emptyset then
 9
                   for each time constraint of \mathcal{D}^{\text{ave}} do
10
                         extract ((t_h, \delta_h, \Delta_h), n_h) from \mathcal{D}^{\text{ave}}
11
                         if there is no ((t_{h'}, \delta_{h'}), n_{h'}) in \mathcal{D}^{\text{ave}} such that n_h = n_{h'},
12
                         t_{h'} = t_h, and \delta_{h'} < \delta_h then
                                calculate m' at m by firing t_h
13
                                calculate \mathcal{D}' at \mathcal{D}^{ave}
14
                                sort \mathcal{D}' on the basis of the \mathcal{D}-order
15
                                \mathcal{D}'^{\min} \leftarrow \mathcal{D}', \, \mathcal{D}'^{\max} \leftarrow \mathcal{D}', \, \mathcal{D}'^{\text{ave}} \leftarrow \mathcal{D}'
16
                                V' \leftarrow (m', 1, \mathcal{D'}^{\min}, \mathcal{D'}^{\max}, \mathcal{D'}^{\text{ave}})
17
                                if there is an information vertex V^* \in \mathcal{V} with V^* = V'
18
                                then
                                      \Omega(V, V^*) \leftarrow t_h, B(V, V^*) \leftarrow \delta_h
19
                                else
20
                                      \begin{array}{l} V_{|\boldsymbol{\mathcal{V}}|} \leftarrow V',\, \boldsymbol{\mathcal{V}} \leftarrow \boldsymbol{\mathcal{V}} \cup \{V_{|\boldsymbol{\mathcal{V}}|}\} \\ \mathcal{L} \leftarrow \mathcal{L} \cup \{V_{|\boldsymbol{\mathcal{V}}|}\} \end{array}
21
22
                                     \Omega(V, V_{|\mathbf{v}|}) \leftarrow t_h
B(V, V_{|\mathbf{v}|}) \leftarrow \delta_h
23
24
                         if (\mathcal{L} = \emptyset) or (|\mathcal{V}| - N_C > \gamma) then
25
                                for V \in \mathcal{V} do
26
                                       put information vertices with the same marking into
27
                                      \boldsymbol{X}(m)
                                for each X(m) \subseteq \mathcal{V} do
28
                                       get X'(m) by using the hierarchical clustering
29
                                       algorithm to cluster X(m)
                                       \mathcal{V} \leftarrow (\mathcal{V}/X(m)) \cup X'(m)
30
                                N_C \leftarrow |\mathcal{V}|
31
```

The maximal agglomeration error  $\mathcal{E}(\mathbf{Z})$  is also considered

$$\mathcal{E}(\mathbf{Z}) = \max_{\mathbf{X}_i \in \mathbf{Z}} D_{\mathcal{D}}(\mathbf{X}_i)$$
 (5)

We focus on the timed Petri net model in Fig. 2 that behaves under the EFP for k = 2 (k being the number of repetitions of each recipe). Approximations of the timed language of this system are obtained by the algorithm in [20] and Algorithm 1. The agglomeration errors, the numbers  $N_A$  of states in approximated TERG computed in [20], the number  $N_C$  of information vertices in ClusTERG, and the time to get the result are reported in Table 2.

Table 2: Outcomes of diverse algorithms for the timed Petri model in Fig. 2

	The algorithm in [20]									
λ	0	10	20	30	50	$+\infty$				
$N_A$	1551	906	603	442	259	225				
Time to get the result	5.41s	1.63s	0.93s	0.52s	0.17s	0.14s				
$E(\boldsymbol{Z})$	0	3290	5530	6910	7330	7480				
$\mathcal{E}(oldsymbol{Z})$	0	30	40	60	60	60				
Algorithm 1 (with $\gamma = 5$ )										
λ	0	10	20	30	50	$+\infty$				
$N_C$	1551	995	670	445	229	225				
Time to get the result	90.39s	$56.74 \mathrm{s}$	25.13s	$15.48 \mathrm{s}$	2.06s	1.96s				
$E(\boldsymbol{Z})$	0	2225.9	3645.4	5850.6	6808.9	6894.9				
$\mathcal{E}(oldsymbol{Z})$	0	10	20	30	49.7	60				
A	Algorithm 1 (with $\gamma = 50$ )									
λ	0	10	20	30	50	$+\infty$				
$N_C$	1551	992	663	464	233	225				
Time to get the result	9.54s	7.64s	4.17s	2.48s	0.83s	0.71s				
$E(\boldsymbol{Z})$	0	2241.9	3488.8	5417.7	6911.3	6982.6				
$\mathcal{E}(oldsymbol{Z})$	0	10	20	30	50	60				

The amount of states in the approximated TERG gained by the algorithm in [20] is close to that gained by Algorithm 1. The sum of agglomeration errors  $E(\mathbf{Z})$  obtained by Algorithm 1 is smaller than that obtained by the algorithm in [20]. The clustering method detailed in Algorithm 1 is used with  $\gamma=5$  and  $\gamma=50$ . Observe that a large value of  $\gamma$  reduces the number of iterations as well as the complexity in time. However, Algorithm 1 with a large value of  $\gamma$  increases the complexity in space since larger subsets of information vertices

must be temporarily stored.

Moreover, more tests are conducted by selecting different parameter values of  $\gamma$  for a given  $\lambda$  ( $\lambda=10,\ \lambda=20,\ \lambda=30,\ {\rm or}\ \lambda=50$ ). The dependence of the global agglomeration error with respect to parameter  $\gamma$  is shown in Fig. 5. The global agglomeration error has low values when  $\gamma$  is set from 2 to 200. In the following tests, we set  $\gamma=100$ . In addition, considering the impact of  $\lambda$  on the complexity in space and time, we set  $\lambda=30$ .

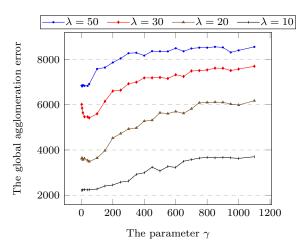


Figure 5: Global agglomeration error with respect to parameter  $\gamma$ .

To illustrate the application of scheduling issues, Table 3 summarizes the outcomes got by Dijkstra algorithm searching for the TERG, approximated TERG computed as in [20] and ClusTERG in this paper. In Table 3, we report in function of k the amount  $N_E$  of states in TERG, the amount  $N_A$  of states in the approximated TERG, the amount  $N_C$  of information vertices in ClusTERG, the makespan  $C_{\text{max}}$  based on Dijkstra algorithm, and the time to get these results. For these graphs, the amount of states or information vertices searched by Dijkstra algorithm is restricted to 8,000. Note that  $C_{\text{max}}$  based on Dijkstra algorithm and the whole TERG (without merging states) brings about the shortest processing time for k=1,2,3. However, from  $k\geq 4$ , the size of TERG exceeds 8000 states and the method based on TERG is no longer tractable. Increasing k induces a combinatory explosion of the amount of information vertices or states.

In contrast, Dijkstra algorithm based on approximated graphs can be used for larger values of k, since the approximations of graphs induce a decrease in the amount of information vertices or states.

Table 3: The performance of Dijkstra algorithm based on different graphs

					0 1			
TERG								
1	2	3	4	5	6			
0.24	34.07	889.10	Not found	Not found	Not found			
86	1551	5007	> 8000	> 8000	> 8000			
220	370	520	Not found	Not found	Not found			
The approximated TERG obtained by the algorithm in [20] with $\lambda = 30$								
1	2	3	4	5	6			
0.24	3.25	18.02	73.32	224.41	2262.67			
55	437	1199	2375	3915	5871			
240	430	620	730	1010	1180			
ClusTERG obtained by Algorithm 1								
(with hierarchical clustering, $\lambda = 30$ , $\gamma = 100$ )								
1	2	3	4	5	6			
0.25	7.17	54.27	673.79	1036.67	2977.21			
55	472	1336	2604	4279	6398			
240	410	600	710	860	1010			
	0.24 86 220 matec 1 0.24 55 240 (with 1 0.25 55	0.24 34.07 86 1551 220 370 mated TER( 1 2 0.24 3.25 55 437 240 430 ClusT1 (with hierar 1 2 0.25 7.17 55 472	0.24 34.07 889.10 86 1551 5007 220 370 520  mated TERG obtain 1 2 3 0.24 3.25 18.02 55 437 1199 240 430 620  ClusTERG obtain 1 2 3 0.25 7.17 54.27 55 472 1336	1 2 3 4 0.24 34.07 889.10 Not found 86 1551 5007 > 8000 220 370 520 Not found mated TERG obtained by the ε 1 2 3 4 0.24 3.25 18.02 73.32 55 437 1199 2375 240 430 620 730  ClusTERG obtained by A (with hierarchical clustering, λ 1 2 3 4 0.25 7.17 54.27 673.79 55 472 1336 2604	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			

Most solutions based on the ClusTERG have a better makespan than solutions based on the approximated graph in [20]. Observe that the solutions obtained by the application of the Dijkstra algorithms in the ClusTERG are in general not optimal since approximation errors induce a bias in the computation of the optimal paths (see for example the solution obtained with the ClusTERG for k = 1, 2, 3 in Table 3).

In Fig. 6, the scheduling solutions obtained by searching TERG, approximated TERG proposed in [20] and ClusTERG are  $\sigma_1$ ,  $\sigma_2$  and  $\sigma_3$ , respectively. For k=6, we cannot compute the scheduling solution  $\sigma_1$  because the TERG exceeds the maximal allowed space, but we can execute  $\sigma_0$  twice, where  $\sigma_0$  is the scheduling solution obtained with the TERG for k=3. Fig. 6 details the above solutions. Among these solutions, we find the minimal makespan obtained with  $\sigma_3$  that results from the proposed ClusTERG. Compared to  $\sigma_1$ , we save 30 minutes (1010 minutes instead of 1040 minutes), and compared to  $\sigma_2$ , we save 170 minutes (1010 minutes instead of 1180 minutes). It worths noting that there is

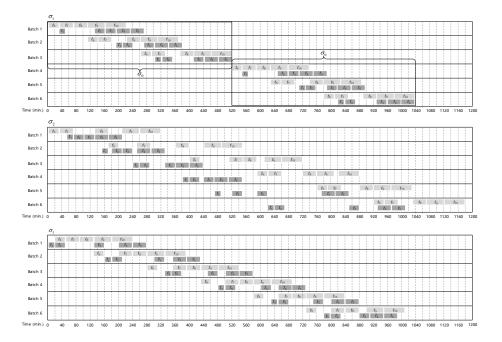


Figure 6: The Gantt chart of solutions  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$ 

no evidence showing that the solution  $\sigma_3$  is an optimal solution.

## 6. Conclusion

In this paper, a systematic approach to model batch processes with timed Petri nets is proposed. Then, an improved approximation - namely ClusTERG - of the timed graphs for timed Petri nets is detailed. By constructing and clustering the information vertices of timed Petri nets, time information about a batch process is directly reflected on the ClusTERG with the maximal error not exceeding a given value  $\lambda$ . Such an approach facilitates to obtain schedules of the batch process by directly and globally searching the ClusTERG of the timed Petri net model.

However, the design of a  $\mathcal{D}$ -order that is consistent with a ClusTERG having smallest dimensions (for a given granularity parameter  $\lambda$ ) is an open question. In addition, since there may exist some uncertain and unobservable faults in a

batch process, we will further improve our method based on the ClusTERG for robust and dynamic scheduling the system in future. Finally, we also aim to consider a more realistic case study.

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