## Appendix B

## **Coated Sphere**

As might be expected, adding a coating to a homogeneous sphere leads to several new computational problems, not all of which we were able to completely solve. As a consequence, BHCOAT, though similar in many ways to BHMIE, does not have as wide a range of applicability, and it should be used with more caution. The reasons for this will be discussed in the following paragraphs.

The mathematical form of all the scattering functions for a coated sphere—efficiencies and matrix elements—have the same form as those for a homogeneous sphere. Only the scattering coefficients (8.2) are different; these may be written in a form more suitable for computations:

$$a_{n} = \frac{\left\{\tilde{D}_{n}/m_{2} + n/y\right\}\psi_{n}(y) - \psi_{n-1}(y)}{\left\{\tilde{D}_{n}/m_{2} + n/y\right\}\xi_{n}(y) - \xi_{n-1}(y)},$$

$$b_{n} = \frac{\left\{m_{2}\tilde{G}_{n} + n/y\right\}\psi_{n}(y) - \psi_{n-1}(y)}{\left\{m_{2}\tilde{G}_{n} + n/y\right\}\xi_{n}(y) - \xi_{n-1}(y)},$$

$$\tilde{D}_{n} = \frac{D_{n}(m_{2}y) - A_{n}\chi'_{n}(m_{2}y)/\psi_{n}(m_{2}y)}{1 - A_{n}\chi_{n}(m_{2}y)/\psi_{n}(m_{2}y)},$$

$$\tilde{G}_{n} = \frac{D_{n}(m_{2}y) - B_{n}\chi'_{n}(m_{2}y)/\psi_{n}(m_{2}y)}{1 - B_{n}\chi_{n}(m_{2}y)/\psi_{n}(m_{2}y)},$$

$$A_{n} = \psi_{n}(m_{2}x)\frac{mD_{n}(m_{1}x) - D_{n}(m_{2}x)}{mD_{n}(m_{1}x)\chi_{n}(m_{2}x) - \chi'_{n}(m_{2}x)},$$

$$B_{n} = \psi_{n}(m_{2}x)\frac{mD_{n}(m_{2}x) - D_{n}(m_{1}x)\chi_{n}(m_{2}x)}{m\chi'_{n}(m_{2}x) - D_{n}(m_{1}x)\chi_{n}(m_{2}x)}.$$

 $D_n$  is the logarithmic derivative  $\psi'_n/\psi_n$  and m is  $m_2/m_1$ . Because of the relation

$$\frac{1}{\psi_n} = \chi_n D_n - \chi'_n,$$

which follows from the Wronskian (4.60), only three of the four functions  $\psi_n$ ,  $\chi_n$ ,  $\chi'_n$ ,  $D_n$  are independent. We also have

$$\chi'_n(z) = \chi_{n-1}(z) - \frac{n\chi_n(z)}{z}.$$

 $A_n$  and  $B_n$  depend on the size parameter x of the *inner*, or core, sphere only; they are independent of the thickness of the coating. Moreover, they are similar in form to the scattering coefficients for a homogeneous sphere with size parameter x. Therefore, it is reasonable to expect that we need not compute  $A_n$  and  $B_n$  for orders n appreciably larger than x. Convergence of the series for efficiencies and matrix elements, however, is determined by the size parameter y of the *outer* sphere. Therefore, if y is much greater than x,  $A_n$  and  $B_n$  will be computed well beyond the range where they are needed unless special care is taken. If this were merely inefficient, it would be tolerable. But, as we pointed out in Appendix A, one cannot expect to reliably compute by upward recurrence Bessel functions of order much larger than their argument. We have included, therefore, four tests in BHCOAT: if all the inequalities

DEL
$$|D_n(m_2y)| > |A_n\chi'_n(m_2y)/\psi_n(m_2y)|$$
 and  $|B_n\chi'_n(m_2y)/\psi_n(m_2y)|$   
DEL  $> |A_n\chi_n(m_2y)/\psi_n(m_2y)|$  and  $|B_n\chi_n(m_2y)/\psi_n(m_2y)|$ 

are satisfied for some index n, then  $\tilde{D}_n$  and  $\tilde{G}_n$  are set equal to  $D_n(m_2y)$  for all successive indices; note that when this occurs, the scattering coefficients are identical with those for a homogeneous sphere with size parameter y and relative refractive index  $m_2$ . The inner sphere convergence criterion DEL is  $10^{-8}$  in BHCOAT; it can, of course, be changed.

The fact that the contributions from the inner sphere to the scattering coefficients converge more rapidly than those associated with the outer sphere is merely a nuisance which is easily brushed aside. A more serious obstacle—one which we failed to hurdle—to writing an "explosion-proof" program for an arbitrary coated sphere is that the scattering coefficients cannot be put in a form that avoids computing excessively large numbers. The scattering coefficients for a homogeneous sphere can be written in such a way that functions of complex arguments are ratios—the logarithmic derivative—and the Riccati-Bessel functions have real arguments. This cannot be done with the coated sphere: we must, in general, compute functions  $\psi_n(z)$  and  $\chi_n(z)$  of the complex variable  $z = z_r + iz_i$ . That this can lead to difficulties is easily demonstrated. Consider the zero-order function with which upward recurrence is begun:

$$\chi_0(z) = \cos z = \frac{e^{z_i} + e^{-z_i}}{2} \cos z_r - i \frac{e^{z_i} - e^{-z_i}}{2} \sin z_r.$$

Because of the factor  $\exp(z_i)$  it is always possible to generate numbers that exceed the limits of any computer if the particle is sufficiently large and absorbing. For a given computer it is difficult to set precise upper bounds on the imaginary parts of the arguments of the functions computed in BHCOAT: although the zero-order functions might not exceed the limits of the computer, successive higher-order functions computed by upward recurrence might. We recommend that BHCOAT not be used if any of the imaginary parts of  $m_1x$ ,  $m_2x$ , or  $m_2y$  exceeds 30. This is only a rough guide, however; we strongly urge the user to do a bit of experimenting before accepting as correct any numbers produced by BHCOAT.

The convergence criterion in BHCOAT is the same as that in BHMIE: series are terminated after  $y + 4y^{1/3} + 2$  terms. Unlike BHMIE, however, all functions, including logarithmic derivatives, are computed by upward recurrence: it seemed pointless to compute these derivatives by downward recurrence when they are not the major obstacle to writing a program valid for an arbitrary coated sphere.

Tests of BHCOAT We subjected BHCOAT to the same tests as BHMIE. In addition, BHCOAT agrees with BHMIE when  $m_1 = m_2$ . We found that the asymptotic limit (4.83) for the backscattering efficiency was also a sensitive indicator of the health of the coated sphere program. The composition and size of the core is irrelevant if almost all the incident light is absorbed in the coating. On physical grounds, therefore, we expect  $Q_b$  for large y to be approximately equal to the reflectance of a slab with refractive index  $m_2$  if the coating is sufficiently thick and absorbing; this was verified by computations.

As a further test we compared efficiencies computed by BHCOAT with those given by Fenn and Oser (1965); there was good agreement in all instances.

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```
PROGRAM COAT (INPUT=TTY,OUTPUT=TTY,TAPE5=TTY)
1
  С
2
          COAT IS THE CALLING PROGRAM FOR BHCOAT, THE SUBROUTINE
3 C
4 C
          THAT CALCULATES EFFICIENCIES FOR A COATED SPHERE.
5
          FOR GIVEN RADII AND REFRACTIVE INDICES OF INNER AND
  C
          OUTER SPHERES, REFRACTIVE INDEX OF SURROUNDING
6
  С
          MEDIUM, AND FREE SPACE WAVELENGTH, COAT CALCULATES SIZE PARAMETERS AND RELATIVE REFRACTIVE INDICES
7
  С
8 C
          9 C
10 C
                        ****************
11 C
12 C
13 C
          BHCOAT SHOULD NOT BE USED FOR LARGE, HIGHLY ABSORBING
14 C
          COATED SPHERES
15 C
          X*REFIM1, X*REFIM2, AND Y*REFIM2 SHOULD BE LESS THAN ABOUT 30
15 C
                        жжжжжжжжжесаutionжжжжжжжжж
17 C
18 C
19 C
20
        COMPLEX RFREL1, RFREL2
        WRITE (5,11)
21
          22 C
          REFMED = (REAL) REFRACTIVE INDEX OF SURROUNDING MEDIUM
23 C
          24 C
25
        REFMED=1.0
          ***********************************
26 C
27 C
          REFRACTIVE INDEX OF CORE ■ REFRE1 + I*REFIM1
          REFRACTIVE INDEX OF COAT = REFRE2 + 1*REFIM2
28 C
          **************************************
29 C
30
        REFRE1=1.59
         REFIM1=.66
31
32
         REFRE2=1.409
         REFIM2=.1747
33
          ************************
34 C
          RADCOR = RADIUS OF CORE
35 C
          RADCOT = RADIUS OF COAT
36 C
          RADCOR, RADCOT, WAVEL SAME UNITS
37 C
38 C
39
         RADCOR=.171
40
         RADCOT=6.265
41
         WAVEL=3.
         WRITE (5,12) REFMED, REFRE1, REFIM1, REFRE2, REFIM2
42
43
         WRITE (5,13) RADCOR, RADCOT, WAVEL
44
         RFREL1=CMPLX(REFRE1, REFIM1)/REFMED
45
         RFREL 2=CMPLX(REFRE2, REFIM2)/REFMED
46
         PI=3.14159265
         X=2. *PI*RADCOR*REFMED/WAVEL
47
48
         Y=2. "P1 "RADCOT" REFMED/WAVEL
         WRITE (5,14) X,Y
49
5.0
         CALL BHCOAT (X,Y,RFREL1,RFREL2,QEXT,QSCA,QBACK)
51
         WRITE (5,67) QSCA, QEXT, QBACK
52
      11 FORMAT (/"COATED SPHERE SCATTERING PROGRAM"//)
      12 FORMAT (//5X, "REFMED = ", F8.4/5X, "REFRE1 =", E14.6,
53
54
        13X, "REFIM1 =", E14.6/5X, "REFRE2 =", E14.6, 3X, "REFIM2 =", E14.6)
      13 FORMAT (5x, "CORE RADIUS =", F7.3, 3x, "COAT RADIUS =", F7.3/
55
        15X, "WAVELENGTH =", F7.4)
56
      14 FORMAT (5X,"CORE SIZE PARAMETER = ",F8.3,3X,"COAT SIZE"
57
        1" PARAMETER =", F8.3)
58
      67 FORMAT (/,1x,"QSCA =",E13.6,3x,"OEXT =",E13.6,3x,
59
        1"QBACK =",E13.6//)
60
```

```
STOP
61
62
         FND
         SUBROUTINE BHCOAT (X,Y,RFREL1,RFREL2,QEXT,QSCA,QBACK)
63
64 C
65 C
           SUBROUTINE BHCOAT CALCULATES EFFICIENCIES FOR
66 C
           EXTINCTION, TOTAL SCATTERING, AND BACKSCATTERING
67 C
           FOR GIVEN SIZE PARAMETERS OF CORE AND COAT AND
68 C
           RELATIVE REFRACTIVE INDICES
69 C
           ALL BESSEL FUNCTIONS COMPUTED BY UPWARD RECURRENCE
           70 C
71
         COMPLEX RFREL1, RFREL2, X1, X2, Y2, REFREL
72
         COMPLEX D1X1,D0X1,D1X2,D0X2,D1Y2,D0Y2
73
         COMPLEX XIOY, XIIY, XIY, CHIOY2, CHIIY2, CHIY2, CHIOX2, CHIIX2, CHIX2
74
         COMPLEX CHIPX2, CHIPY2, ANCAP, BNCAP, DNBAR, GNBAR, AN, BN, CRACK, BRACK
75
         COMPLEX XBACK, AMESS1, AMESS2, AMESS3, AMESS4
         DEL=1.0E-8
76
           77 C
78 C
           DEL IS THE INNER SPHERE CONVERGENCE CRITERION
           79 C
80
         X1=RFREL1"X
81
         X2=RFREL2"X
82
         Y2=RFREL2"Y
         YSTOP = Y + 4. "Y"".3333 + 2.
83
84
         REFREL=RFREL2/RFREL1
 85
         NSTOP=YSTOP
           *******************************
 86 C
87 C
           SERIES TERMINATED AFTER NSTOP TERMS
 88 C
           ******************************
 89
         DOX1=CCOS(X1)/CSIN(X1)
 90
         DOX2=CCOS(X2)/CSIN(X2)
         D0Y2=CCOS(Y2)/CSIN(Y2)
91
 92
         PSIOY=COS(Y)
 93
         PSI1Y=SIN(Y)
 94
         CHIOY=-SIN(Y)
 95
         CHILY=COS(Y)
         XIOY=CMPLX(PSIOY,-CHIOY)
96
         XI1Y=CMPLX(PSI1Y,-CHI1Y)
97
98
         CHIOY2=-CSIN(Y2)
99
         CHI1Y2=CCOS(Y2)
100
         CHIOX2 = -CSIN(X2)
101
         CHI1X2=CCOS(X2)
102
         QSCA=0.0
103
         QEXT=0.0
         XBACK=CMPLX(0.0,0.0)
104
105
         N = 1
106
         IFLAG=0
107
     200 RN=N
         PSIY=(2. "RN-1.) "PSI1Y/Y-PSI0Y
108
109
         CHIY=(2.%RN-1.)%CHI1Y/Y-CHI0Y
         XIY=CMPLX(PSIY,-CHIY)
110
111
         D1Y2=1./(RN/Y2-D0Y2)-RN/Y2
112
         IF (IFLAG.EQ.1) GO TO 999
113
         D1X1=1./(RN/X1-D0X1)-RN/X1
114
         D1X2=1./(RN/X2-D0X2)-RN/X2
115
         CHIX2=(2. "RN-1.) "CHI1X2/X2-CHI0X2
         CHIY2=(2. "RN-1.) "CHI1Y2/Y2-CHI0Y2
116
         CHIPX2=CHI1X2-RN*CHIX2/X2
117
         CHIPY2=CHI1Y2-RN*CHIY2/Y2
118
         ANCAP=REFREL "D1X1-D1X2
119
         ANCAP=ANCAP/(REFREL*D1X1*CHIX2-CHIPX2)
120
```

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121
          ANCAP=ANCAP/(CHIX2*D1X2-CHIPX2)
122
          BRACK=ANCAP*(CHIY2*D1Y2-CHIPY2)
          BNCAP=REFREL*D1X2-D1X1
123
124
          BNCAP=BNCAP/(REFREL "CHIPX2-D1X1"CHIX2)
          BNCAP=BNCAP/(CHIX2"D1X2-CHIPX2)
125
          CRACK=BNCAP*(CHIY2*D1Y2-CHIPY2)
126
127
          AMESS1=BRACK#CHIPY2
          AMESS2=BRACK*CHIY2
128
          AMESS3=CRACK#CHIPY2
129
          AMESS4mCRACK#CHIY2
130
          IF(CABS(AMESS1).GT.DEL#CABS(D1Y2)) GO TO 999
131
          IF(CABS(AMESS2).GT.DEL) GO TO 999
132
133
           IF(CABS(AMESS3).GT.DEL*CABS(D1Y2)) GO TO 999
          IF(CABS(AMESS4).GT.DEL) GO TO 999
134
135
          BRACK=CMPLX(0.0,0.0)
136
          CRACK=CMPLX(0.0,0.0)
137
          IFLAG=1
138
      999 DNBAR=D1Y2-BRACK*CHIPY2
          DNBAR=DNBAR/(1,-BRACK*CHIY2)
139
          GNBAR=D1Y2-CRACK*CH1PY2
140
141
          GNBAR=GNBAR/(1.-CRACK*CH1Y2)
142
          AN=(DNBAR/REREL 2+RN/Y) PSIY-PSIIY
          AN=AN/((DNBAR/RFREL2+RN/Y)"XIY-XI1Y)
143
144
          BN=(RFREL2"GNBAR+RN/Y) #PSIY-PSIIY
145
          BN=BN/((RFREL2"GNBAR+RN/Y)"XIY-XI1Y)
           QSCA=QSCA+(2. RN+1.) (CABS(AN) CABS(AN)+CABS(BN) CABS(BN))
146
           XBACK=XBACK+(2. "RN+1.)"(-1.)""N"(AN-BN)
147
148
           OEXT=OEXT+(2. RN+1.) (REAL(AN)+REAL(BN))
          PSIOY=PSIIY
149
150
          PSI1Y=PSIY
151
           CHIOY=CHI1Y
152
           CHI1Y=CHIY
153
           XIIY=CMPLX(PSIIY,-CHIIY)
154
           CHIOX2=CHI1X2
155
           CHI1X2=CHIX2
156
           CHIOY2=CHI1Y2
157
           CHIIY2=CHIY2
158
           DOX1-DIXI
159
           D0X2=D1X2
160
           D0Y2 = D1Y2
161
           N=N+1
162
           IF(N-1-NSTOP) 200,300,300
       300 QSCA=(2./(Y"Y))"QSCA
163
           QEXT=(2./(Y*Y))*QEXT
164
165
           QBACK=XBACK*CONJG(XBACK)
           QBACK=(1./(Y"Y))"QBACK
166
167
           RETURN
168
           END
```

## COATED SPHERE

SHELL

COATED SPHERE SCATTERING PROGRAM

REFMED = 1.0000 REFRE1 = .159000E+01 REFIM1 = .660000E+00 REFRE2 = .140900E+01 REFIM2 = .174700E+00 CORE RADIUS = .171 COAT RADIUS = 6.265

WAVELENGTH = 3.0000

CORE SIZE PARAMETER = .358 COAT SIZE PARAMETER = 13.121

QSCA = .114341E+01 QEXT = .232803E+01 QBACK **□** .285099E-01