

# Image Processing and Analysis

## Lecture 4、Image Enhancement in Frequency Domain

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September 29, 2024

# Outline

- 1 2-D Discrete Fourier Transform
- 2 Filtering in the Frequency Domain
- 3 Obtaining Frequency Domain Filters from Spatial Filters
- 4 Generating Filters Directly in the Frequency Domain
- 5 Sharpening Frequency Domain Filters

## 2-D Fourier Transform

- Any function that **periodically** repeats itself can be expressed as the **sum** of sines and/or cosines of different frequencies, each multiplied by a different coefficient (**Fourier series**).
- Even functions that are **not periodic** (but whose area under the curve is finite) can be expressed as the **integral** of sines and/or cosines multiplied by a weighting function (**Fourier transform**).
- The **frequency domain** refers to the plane of the two dimensional discrete Fourier transform of an image.
- The purpose of the Fourier transform is to represent a signal as a **linear combination of sinusoidal signals of various frequencies**.

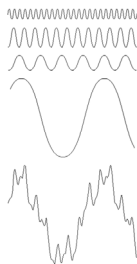


FIGURE 4.1 The function at the bottom is the sum of the four functions above it. Fourier's idea in 1807 that periodic functions could be represented as a weighted sum of sines and cosines was met with skepticism.

## 2-D Continuous Fourier Transform

- The **one-dimensional** Fourier transform and its inverse

- Fourier transform

$$F(u) = \int_{-\infty}^{\infty} f(x) e^{-j2\pi ux} dx, \text{ where } j = \sqrt{-1}$$

- Inverse Fourier transform:

$$f(x) = \int_{-\infty}^{\infty} F(u) e^{j2\pi ux} du \quad e^{j\theta} = \cos \theta + j \sin \theta$$

- The **two-dimensional** Fourier transform and its inverse

- Fourier transform

$$F(u, v) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} f(x, y) e^{-j2\pi(ux+vy)} dx dy$$

- Inverse Fourier transform:

$$f(x, y) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} F(u, v) e^{j2\pi(ux+vy)} du dv$$

# 2-D Discrete Fourier Transform

- The **one-dimensional** Discrete Fourier transform (DFT) and its inverse

- Fourier transform

$$F(u) = \frac{1}{M} \sum_{x=0}^{M-1} f(x) e^{-\frac{j2\pi ux}{M}} \quad \text{for } u = 0, 1, 2, \dots, M-1$$

- Inverse Fourier transform:

$$f(x) = \sum_{u=0}^{M-1} F(u) e^{\frac{j2\pi ux}{M}} \quad \text{for } x = 0, 1, 2, \dots, M-1$$

- Since  $e^{j\theta} = \cos \theta + j \sin \theta$ , then DFT can be redefined as

$$F(u) = \frac{1}{M} \sum_{x=0}^{M-1} f(x) \left[ \cos \frac{2\pi ux}{M} - j \sin \frac{2\pi ux}{M} \right] \\ \text{for } u = 0, 1, 2, \dots, M-1$$

- Frequency (time) domain:** the domain (values of  $u$ ) over which the values of  $F(u)$  range; because  $u$  determines the frequency of the components of the transform.
- Frequency (time) component:** each of the  $M$  terms of  $F(u)$ .

## 2-D Discrete Fourier Transform

- $F(u)$  can be expressed in polar coordinates:

$$F(u) = |F(u)|e^{j\phi(u)}$$

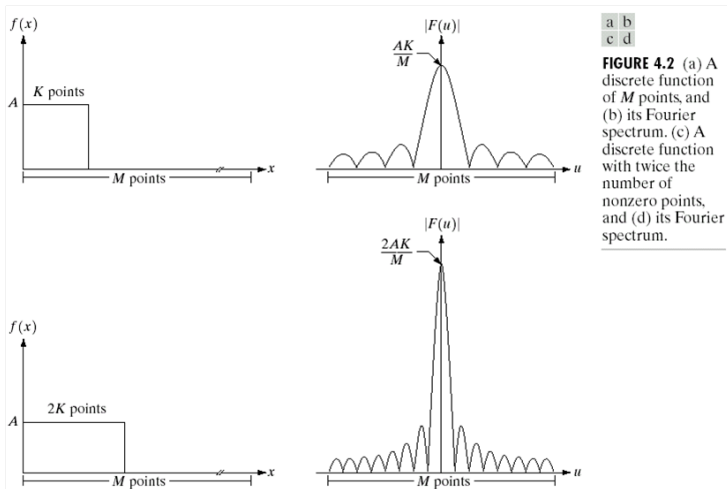
where  $|F(u)| = [R(u)^2 + I(u)^2]^{\frac{1}{2}}$  (magnitude or spectrum)

$$\phi(u) = \tan^{-1}\left[\frac{I(u)}{R(u)}\right] \quad (\text{phase angle or phase spectrum})$$

- $I(u)$ : the imaginary part of  $F(u)$ .
- $R(u)$ : the real part of  $F(u)$ .
- Power spectrum

$$P(u) = |F(u)|^2 = R^2(u) + I^2(u)$$

# 2-D Discrete Fourier Transform



# 2-D Discrete Fourier Transform

- The **two-dimensional** Fourier transform and its inverse

- Fourier transform (**discrete case**) DTC

$$F(u, v) = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) e^{-j2\pi\left(\frac{ux}{M} + \frac{vy}{N}\right)}$$

*for*  $u = 0, 1, 2, \dots, M-1, v = 0, 1, 2, \dots, N-1$

- Inverse Fourier transform:

$$f(x, y) = \sum_{u=0}^{M-1} \sum_{v=0}^{N-1} F(u, v) e^{j2\pi\left(\frac{ux}{M} + \frac{vy}{N}\right)}$$

*for*  $x = 0, 1, 2, \dots, M-1, y = 0, 1, 2, \dots, N-1$

- $u, v$ : the transform or frequency variables
- $x, y$ : the spatial or image variables



## 2-D Discrete Fourier Transform

- We define the Fourier spectrum, phase angle, and power spectrum as follows:

$$|F(u, v)| = [R^2(u, v) + I^2(u, v)]^{\frac{1}{2}} \quad (\text{*spectrum*})$$

$$\phi(u, v) = \tan^{-1}\left[\frac{I(u, v)}{R(u, v)}\right] \quad (\text{*phase angle*})$$

$$P(u, v) = |F(u, v)|^2 = R^2(u, v) + I^2(u, v) \quad (\text{*power spectrum*})$$

- $I(u, v)$  : the imaginary part of  $F(u, v)$ .
- $R(u, v)$  : the real part of  $F(u, v)$ .

# Properties of 2-D DFT

- Time-shifting

$$\mathfrak{F}[f(x - x_0, y - y_0)] = F(u, v)e^{-j2\pi(\frac{ux_0}{M} + \frac{vy_0}{N})}$$

- Frequency shifting

$$\mathfrak{F}[f(x, y)e^{-j2\pi(\frac{u_0x}{M} + \frac{v_0y}{N})}] = F(u - u_0, v - v_0)$$

$$\mathfrak{F}[f(x, y)(-1)^{x+y}] = F(u - \frac{M}{2}, v - \frac{N}{2})$$

- Average and Symmetry

$$F(0,0) = \frac{1}{MN} \sum_{x=0}^{M-1} \sum_{y=0}^{N-1} f(x, y) \quad (\text{average})$$

$$F(u, v) = F^*(-u, -v) \quad (\text{conjugate symmetric})$$

$$|F(u, v)| = |F(-u, -v)| \quad (\text{symmetric})$$

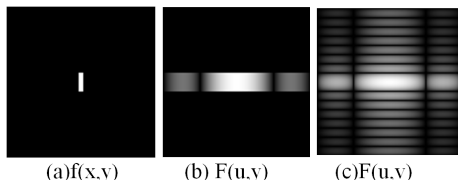
# Properties of 2-D DFT (cont.)

- Separability

$$\begin{aligned}
 F(u, v) &= \mathfrak{F}[f(x, y)] \\
 &= \frac{1}{N} \sum_y \left[ \frac{1}{M} \sum_x f(x, y) \exp \left( -j2\pi \frac{xu}{M} \right) \right] \exp \left( -j2\pi \frac{yv}{N} \right) \\
 &= \frac{1}{N} \sum_y F(u, y) \exp \left( -j2\pi \frac{yv}{N} \right)
 \end{aligned}$$

The 2D DFT  $F(u, v)$  can be obtained by

- 1 Taking the 1D DFT of every row of image  $f(x, y)$ ,  $F(u, y)$
- 2 The 1D DFT of every column of  $F(u, y)$

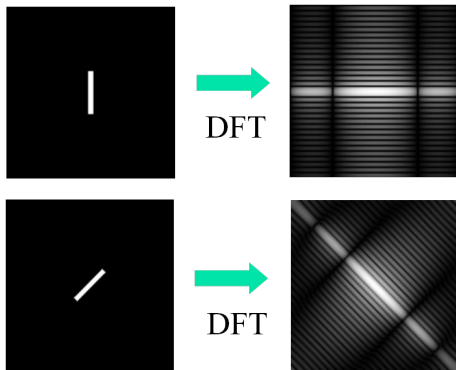


# Properties of 2-D DFT (cont.)

- Rotation

let  $x = r \cos \theta$ ,  $y = r \sin \theta$ ,  $u = \omega \cos \varphi$ ,  $v = \omega \sin \varphi$

$$f(r, \theta + \theta_0) \Leftrightarrow F(\omega, \varphi + \theta_0)$$



# Properties of 2-D DFT (cont.)

- Periodicity

$$f(x, y) = f(x + M, y) = f(x, y + N) = f(x + M, y + N)$$

$$F(u, v) = F(u + M, v) = F(u, v + N) = F(u + M, v + N)$$

- Linearity

$$\Im(af(x, y) + bg(x, y)) = a\Im(f(x, y)) + b\Im(g(x, y))$$

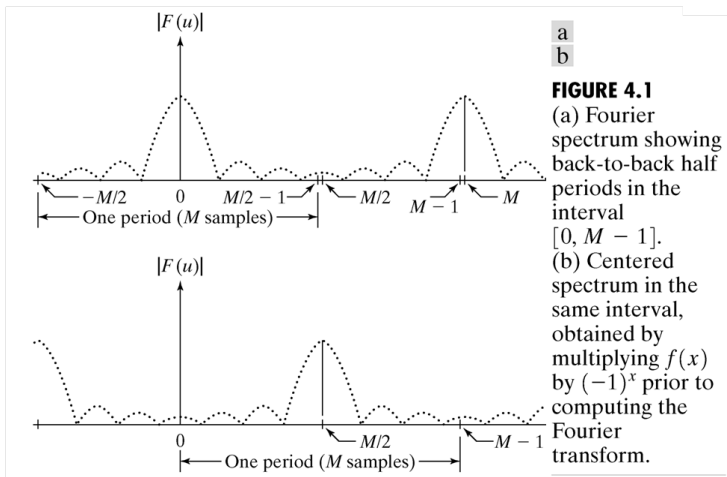
- Differentiation

$$\Im\left(\frac{\partial^n f(x, y)}{\partial x^n}\right) = (j2\pi u)^n \Im(f(x, y)) = (j2\pi u)^n F(u, v)$$

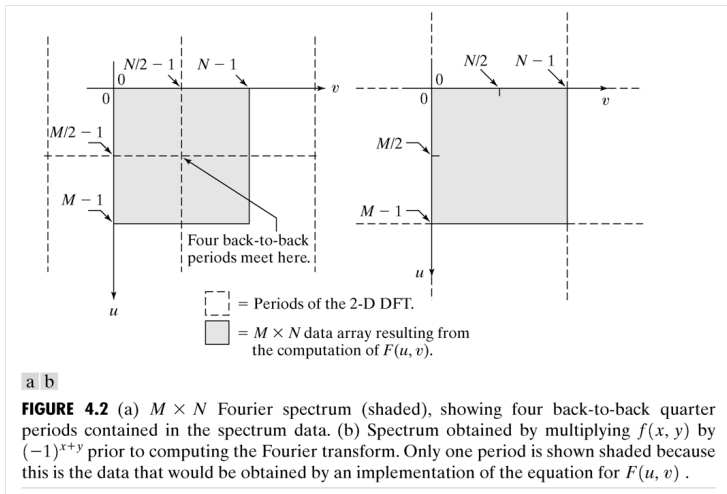
$$\Im((-j2\pi u)^n f(x, y)) = \frac{\partial^n F(u, v)}{\partial u^n}$$

$$\Im(\nabla^2 f(x, y)) = -4\pi^2(u^2 + v^2)F(u, v)$$

# 2-D Discrete Fourier Transform



# 2-D Discrete Fourier Transform (cont.)



# Properties of 2-D DFT (cont.)

- Convolution

$$\mathfrak{F}(f(x, y) * g(x, y)) = F(u, v)G(u, v)$$

$$\mathfrak{F}(f(x, y)g(x, y)) = F(u, v) * G(u, v)$$

- Correlation

$$\mathfrak{F}(f(x, y) \circ g(x, y)) = F^*(u, v)G(u, v)$$

$$\mathfrak{F}(f(x, y) \circ f(x, y)) = |F(u, v)|^2$$

$$\mathfrak{F}(f^*(x, y)g(x, y)) = F(u, v) \circ G(u, v)$$

$$\mathfrak{F}(|f(x, y)|^2) = F(u, v) \circ F(u, v)$$

- Similarity

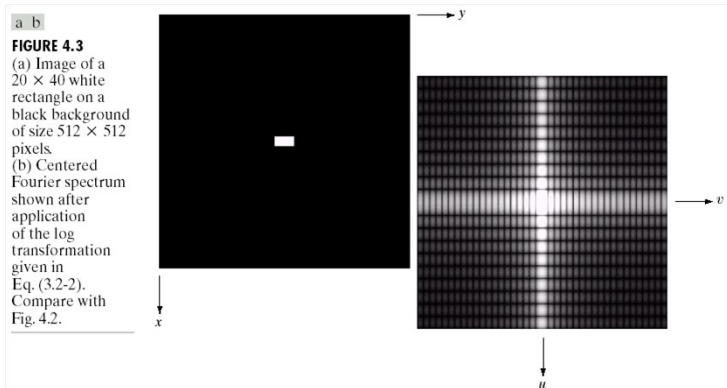
$$\mathfrak{F}(f(ax, by)) = \frac{1}{|ab|} F\left(\frac{u}{a}, \frac{v}{b}\right)$$



# Some useful FT pairs

- $\delta(x, y) \Leftrightarrow 1$
- $A2\pi\sigma^2\exp(-2\pi^2\sigma^2(x^2 + y^2)) \Leftrightarrow A\exp(-\frac{(u^2 + v^2)}{2\sigma^2})$   
 $\exp(-\pi(x^2 + y^2)) \Leftrightarrow \exp(-\pi(u^2 + v^2))$
- $\cos(2\pi u_0x + 2\pi v_0y) \Leftrightarrow \frac{1}{2}[\delta(u + u_0, v + v_0) + \delta(u - u_0, v - v_0)]$
- $\sin(2\pi u_0x + 2\pi v_0y) \Leftrightarrow \frac{1}{2}j [\delta(u + u_0, v + v_0) - \delta(u - u_0, v - v_0)]$

# 2-D Discrete Fourier Transform



## 2-D DFT in Matlab

- The DFT and its inverse are obtained in practice using a Fast Fourier Transform(FFT) algorithm. The FFT of an  $M \times N$  image array  $f$  is obtained in the toolbox with function `fft2`, which has the simple syntax:

$$F = \text{fft2}(f)$$

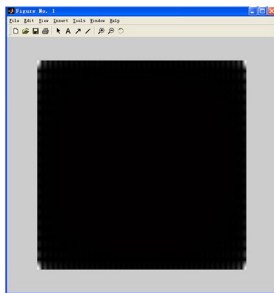
*This function returns a Fourier transform that is also of size  $M \times N$ , with the origin of the data at the top left, and with four quarter periods meeting at the center of the frequency rectangle.*

- The Fourier spectrum is obtained by using function `abs`:

$$S = \text{abs}(F)$$

## 2-D DFT in Matlab(cont.)

- `f=imread( 'Fig0403(a)(image).tif' );`
- `F=fft2(f);`
- `S=abs(F);`
- `imshow(S,[])`

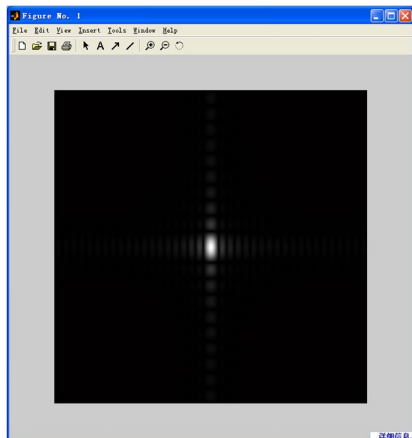


## 2-D DFT in Matlab(cont.)

- `Fc=fftshift(F);`
- `imshow(abs(Fc),[])`

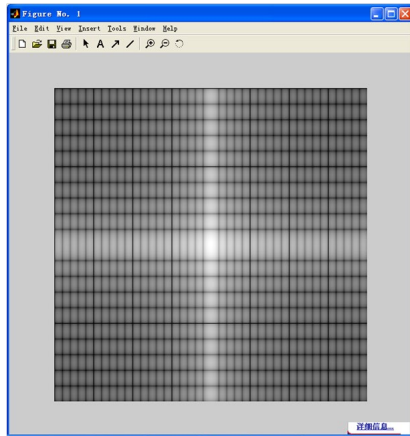
*The net result of using `fftshift` is the same as if the input image had been multiplied by  $(-1)^{x+y}$  prior to computing the transform.*

*Note, however, that the two processes are not interchangeable.*

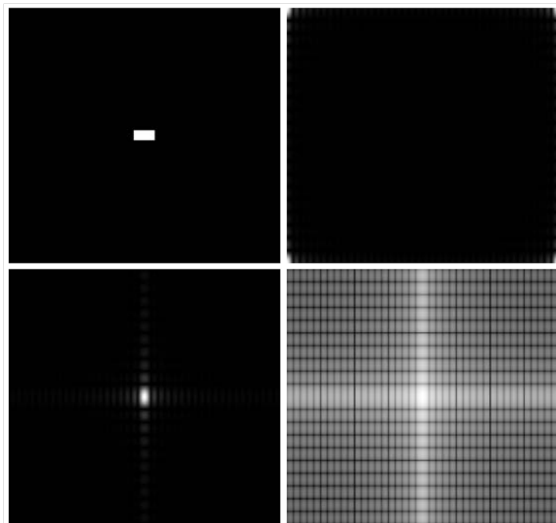


## 2-D DFT in Matlab(cont.)

- $S2 = \log(1 + \text{abs}(Fc));$
- `imshow(S2,[])`



# 2-D DFT in Matlab(cont.)



a	b
c	d

**FIGURE 4.3**

(a) A simple image.  
(b) Fourier spectrum.  
(c) Centered spectrum.  
(d) Spectrum visually enhanced by a log transformation.

## 2-D DFT in Matlab(cont.)

- We point out that the inverse Fourier transform is computed using function `ifft2`. which has the basic syntax

`f=ifft2(F)`

- In practice, the output of `ifft2` often has **very small imaginary components** resulting from round-off errors. Thus, it is good practice to **extract the real part of the result**.

`f=real(ifft2(F))`

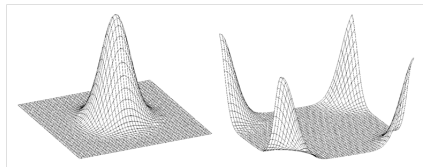


# Fundamental Concepts

- The foundation for linear filtering in both the spatial and frequency domains is the convolution theorem, which may be written as.

$$f(x, y) * h(x, y) \Leftrightarrow F(u, v)H(u, v)$$

- The idea in frequency domain filtering is to select a filter transfer function that modifies  $F(u, v)$  in a specified manner.
- For example, the lowpass filter in figure 4.4



a b

**FIGURE 4.4**

Transfer functions of (a) a centered lowpass filter, and (b) the format used for DFT filtering. Note that these are frequency domain filters.

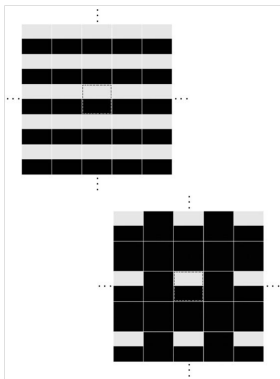
# Fundamental Concepts(cont.)

- Based on the convolution theorem, we know that to obtain the compute the inverse Fourier transform corresponding filtered image in the spatial domain we simply of the product  $H(u, v)F(u, v)$ .
- Convolving periodic functions can cause interference of the nonzero periods if the periods are close with respect to the duration of the nonzero parts of the functions. This interference, called *wraparound error*, can be avoided by padding the functions with zeros.
- For example, the lowpass filter in figure 4.4



**FIGURE 4.5** (a) A simple image of size  $256 \times 256$ . (b) Image lowpass-filtered in the frequency domain without padding. (c) Image lowpass-filtered in the frequency domain with padding. Compare the light portion of the vertical edges in (b) and (c).

# Fundamental Concepts(cont.)



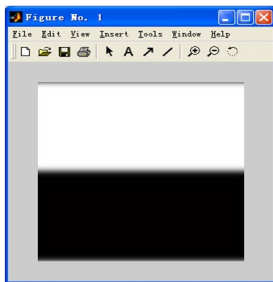
**FIGURE 4.6**  
 (a) Implied, infinite periodic sequence of the image in Fig. 4.5(a). The dashed region represents the data processed by `fft2`. (b) The same periodic sequence after padding with 0s. The thin white lines in both images are shown for convenience in viewing; they are not part of the data.



**FIGURE 4.7** Full padded image resulting from `ifft2` after filtering. This image is of size  $512 \times 512$  pixels.

# Fundamental Concepts(cont.)

- Image lowpass-filtered in the frequency domain without padding
  - `f=imread('Fig0405(a)(square original).tif');`
  - `[m n]=size(f)`
  - `F=fft2(f);`
  - `H=lpfilter('gaussian',m,n,10);`
  - `G=H.*F;`
  - `g=real(ifft2(G))`
  - `imshow(g,[])`



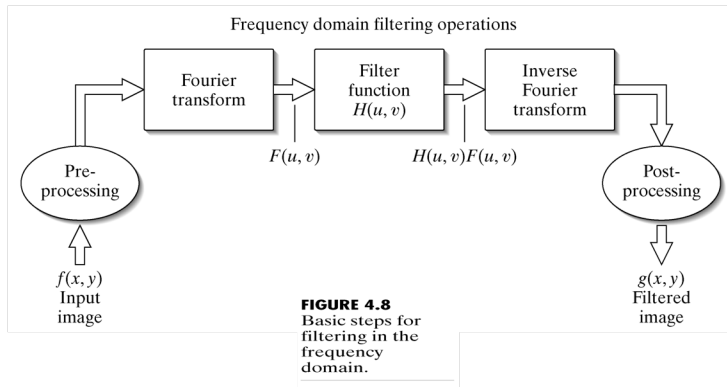
# Basic Steps in DFT Filtering

- 1. Obtain the padding parameters using function `paddedsize`:  
`PQ=paddedsize(size(f));`
- 2. Obtain the Fourier transform with padding:  
`F=fft2(f,PQ(1),PQ(2));`
- 3. Generate a filter function,  $H$ , of size  $PQ(1) \times PQ(2)$  using any of the methods discussed in the remainder of this chapter.

*The filter must be in the format shown in Fig. 4.4(b). If it is centered instead, as in Fig. 4.4(a), let  $H = \text{ifftshift}(H)$  before using the filter.*

- 4. Multiply the transform by the filter: `G = H.*F;`
- 5. Obtain the real part of the inverse FFT of  $G$ : `g=real(ifft2(G));`
- 6. Crop the top, left rectangle to the original size:  
`g=g(1:size(f,1),1:size(f,2));`

# Basic Steps in DFT Filtering(cont.)

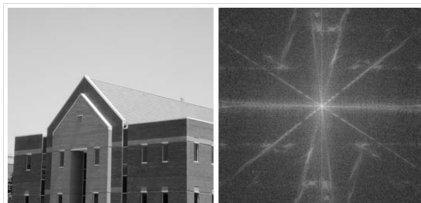


# Obtaining Frequency Domain Filters from Spatial Filters

- Why obtain Frequency Domain Filters from Spatial Filters?
  - Efficiency
  - Meaningful comparisons
- How?
  - How to convert spatial filters into equivalent frequency domain filters;
  - How to compare the results between spatial domain filtering using `imfilter`, and frequency domain filtering using `freqz2`

# Obtaining Frequency Domain Filters from Spatial Filters(cont.)

- `>>f=imread('Fig0409(a)(bld).tif');`
- `>>F=fft2(f);`
- `>>S=fftshift(log(1+abs(F)));`
- `>>S=gscale(S);`
- `>>imshow(S)`



a b

**FIGURE 4.9**

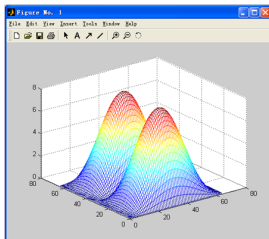
(a) A gray-scale image. (b) Its Fourier spectrum.



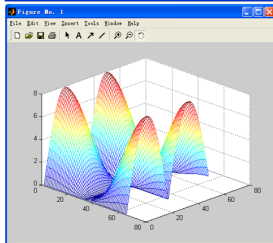
# Obtaining Frequency Domain Filters from Spatial Filters

## Filters(cont.)

- `h=fspecial( 'sobel' );`
- `H=freqz2(h);`
- `mesh(abs(H))`



- `H1=fftshift(H);`
- `mesh(abs(H1))`
- `view(45,30)`



# Obtaining Frequency Domain Filters from Spatial Filters(cont.)

Spatial domain	Frequent domain
<code>gs=imfilter(double(f),h);</code>	<code>PQ=paddedsz(size(f));</code> <code>H=freqz2(h,PQ(1),PQ(2));</code> <code>H1=ifftshift(H);</code> <code>gf=dftfilt(f,H1);</code>



- `d=abs(gs-gf);`
- `max(d(:))`  
`ans=`  
`5.4015e-012`
- `min(d(:))`  
`ans=`  
`0`

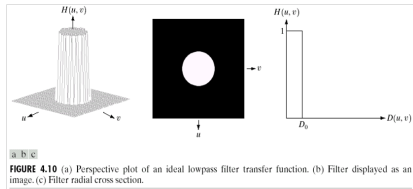
# Generating Filters Directly in the Frequency Domain

- Ideal lowpass filter(ILPF)

$$H(u, v) = \begin{cases} 1 & \text{if } D(u, v) \leq D_0 \\ 0 & \text{if } D(u, v) > D_0 \end{cases}$$

where  $D(u, v)$  : the distance from point  $(u, v)$  to the center of the frequency rectangle

$$D(u, v) = [(u - M/2)^2 + (v - N/2)^2]^{\frac{1}{2}}$$

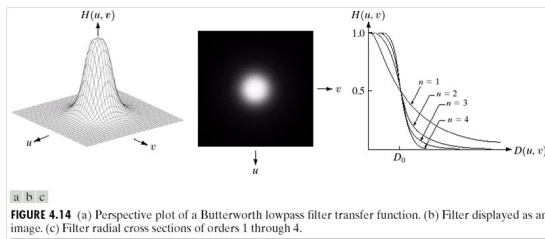


- Create meshgrid arrays for using in implementing Filters in the frequency domain **dftuv**

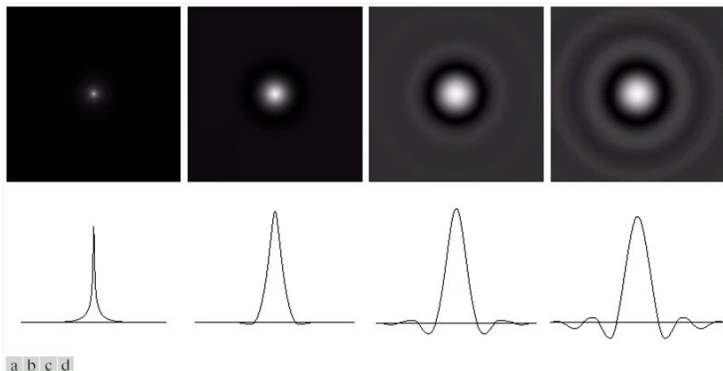
# Generating Filters Directly in the Frequency Domain(cont.)

- Butterworth Lowpass Filters (BLPFs) with order  $n$

$$H(u, v) = \frac{1}{1 + [D(u, v)/D_0]^{2n}}$$



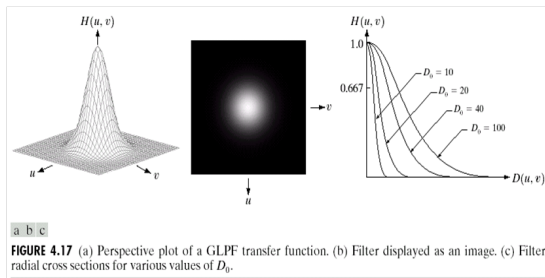
## Generating Filters Directly in the Frequency Domain(cont.)

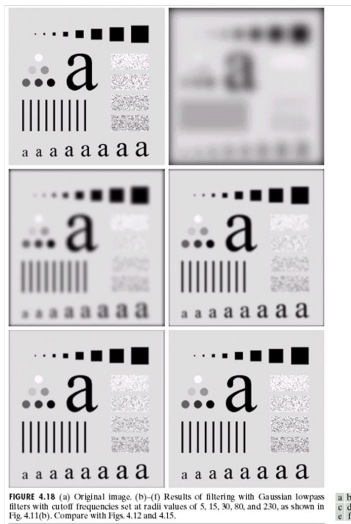


**FIGURE 4.16** (a)–(d) Spatial representation of BLPFs of order 1, 2, 5, and 20, and corresponding gray-level profiles through the center of the filters (all filters have a cutoff frequency of 5). Note that ringing increases as a function of filter order.

# Gaussian Lowpass Filters (GLPFs)

- $$H(u, v) = e^{-D^2(u, v)/2D_0^2}$$





# Examples of Lowpass Filtering

**a b c**

**FIGURE 4.20** (a) Original image ( $1028 \times 732$  pixels). (b) Result of filtering with a GLPF with  $D_0 = 100$ . (c) Result of filtering with a GLPF with  $D_0 = 80$ . Note reduction in skin fine lines in the magnified sections of (b) and (c).

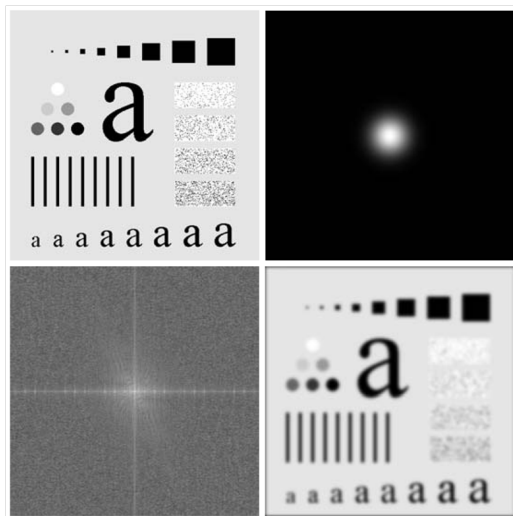


# Generating Filters Directly in the Frequency Domain

- `>>f=imread('Fig0413(a)(original-test-pattern).tif');`
- `>>PQ=paddedsized(size(f));`
- `>>[U V]=dftuv(PQ(1),PQ(2));`
- `>>D0=0.05*PQ(2);`
- `>>F=fft2(f,PQ(1),PQ(2));`
- `>>H=exp(-(U.^2+V.^2)/(2*(D0^2))); H=ifftshift(H);`
- `>>g=dftfilt(f,H);`
- `>>imshow(g,[]);`



# Generating Filters Directly in the Frequency Domain(cont.)



a	b
c	d

**FIGURE 4.13**

Lowpass filtering.

(a) Original image.

(b) Gaussian lowpass filter shown as an image.

(c) Spectrum of (a). (d) Processed image.

# Sharpening Frequency Domain Filters

- General high-pass frequency domain filters

$$H_{hp}(u, v) = 1 - H_{lp}(u, v)$$

Why? how to prove it?

# Sharpening Frequency Domain Filters

- Ideal highpass filter

$$H(u, v) = \begin{cases} 0 & \text{if } D(u, v) \leq D_0 \\ 1 & \text{if } D(u, v) > D_0 \end{cases}$$

- Butterworth highpass filter

$$H(u, v) = \frac{1}{1 + [D_0/D(u, v)]^{2n}}$$

- Gaussian highpass filter

$$H(u, v) = 1 - e^{-D^2(u, v)/2D_0^2}$$

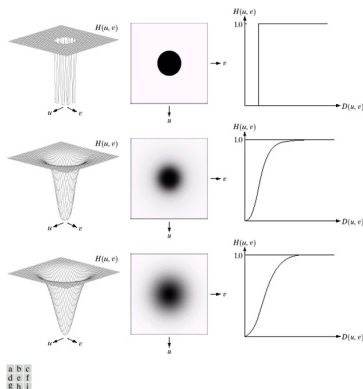
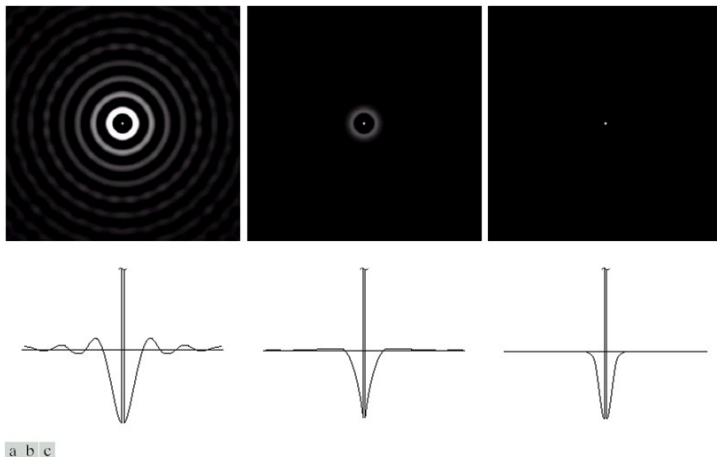


FIGURE 4.22 Top row: Perspective plot, image representation, and cross section of a typical ideal highpass filter. Middle and bottom rows: The same sequence for typical Butterworth and Gaussian highpass filters.

# Highpass Filters Spatial Representations

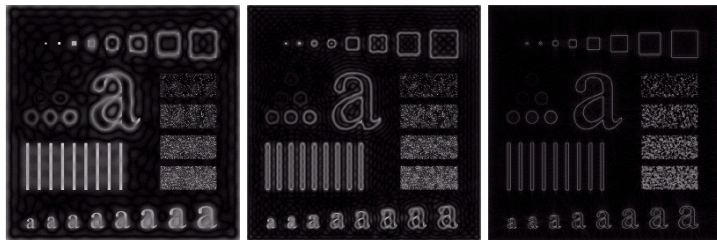


**FIGURE 4.23** Spatial representations of typical (a) ideal, (b) Butterworth, and (c) Gaussian frequency domain highpass filters, and corresponding gray-level profiles.

# Ideal Highpass Filters

- Ideal highpass filter

$$H(u, v) = \begin{cases} 0 & \text{if } D(u, v) \leq D_0 \\ 1 & \text{if } D(u, v) > D_0 \end{cases}$$



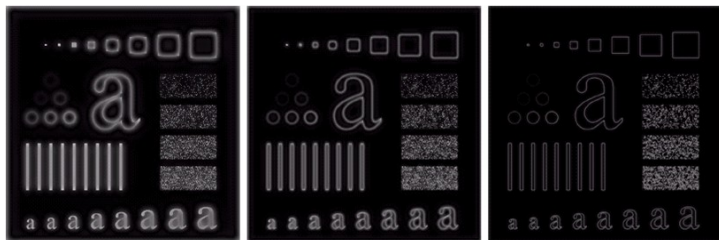
a b c

**FIGURE 4.24** Results of ideal highpass filtering the image in Fig. 4.11(a) with  $D_0 = 15, 30$ , and  $80$ , respectively. Problems with ringing are quite evident in (a) and (b).

# Butterworth Highpass Filters

- Butterworth highpass filter

$$H(u, v) = \frac{1}{1 + [D_0/D(u, v)]^{2n}}$$



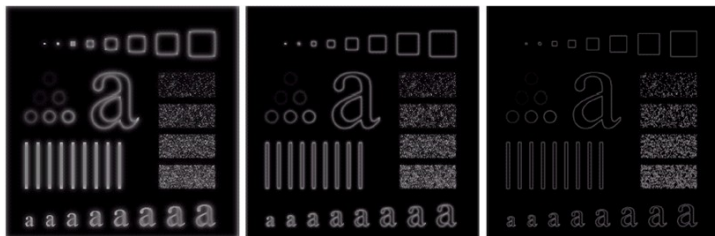
a b c

**FIGURE 4.25** Results of highpass filtering the image in Fig. 4.11(a) using a BHPF of order 2 with  $D_0 = 15$ , 30, and 80, respectively. These results are much smoother than those obtained with an ILPF.

# Gaussian Highpass Filters

- Gaussian highpass filter

$$H(u, v) = 1 - e^{-D^2(u, v) / 2D_0^2}$$



a b c

**FIGURE 4.26** Results of highpass filtering the image of Fig. 4.11(a) using a GHFPF of order 2 with  $D_0 = 15$ , 30, and 80, respectively. Compare with Figs. 4.24 and 4.25.



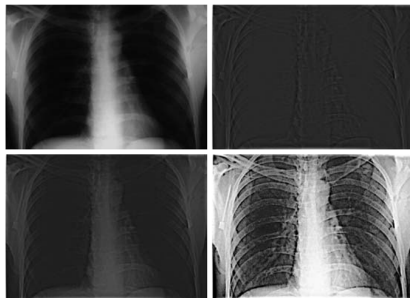
# Sharpening Frequency Domain Filters

## • High-Frequency Emphasis Filtering

$$H_{hfe}(u, v) = a + bH_{hp}(u, v)$$

```
>>f=imread('Fig0419(a)(chestXray_original).tif');
>>PQ=paddedsize(size(f));
>>D0=0.05*PQ(1);
>>HBW=hpfilter('btw',PQ(1),PQ(2),D0,2);
```

```
>>H=0.5+2*HBW;
>>gbf=dftfilt(f,H);
>>ghf=gscale(gbf);
>>ghe=histeq(ghf,256);
>>imshow(ghe);
```



a b  
c d

**FIGURE 4.19** High-frequency emphasis filtering. (a) Original image. (b) Highpass filtering result. (c) High-frequency emphasis result. (d) Image (c) after histogram equalization. (Original image courtesy of Dr. Thomas R. Gest, Division of Anatomical Sciences, University of Michigan Medical School.)