# **Huffman Codes**

Group 15 2020/05/13

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# Introduction

## **01** Introduction

### **Problem Description**

The input format in this project is including the following things A integer n, which means the number of characters. n characters and their frequency in pairs. A integer m, which means the number of hand-in answers from students. m groups of hand-in answers from students, each contains n pairs of character and its Huffman code.

Input format

➤ We will check whether the hand-in answer is correct or not and output a "Yes" or "No" to show the result of our program.

Output format

# Data Structure and Algorithm Specification

Huffman tree

```
typedef struct node
{
    char name;
    int weight, parent;
    int lchild, rchild;
    node():name(), weight(0), parent(0), lchild(0),rchild(0){}
}
HTNode,*HuffmanTree;
```

- ➤ Huffman tree is a binary prefix tree which store the characters in its leaf nodes. The Huffman code of a character is corresponding string on the Huffman tree of the data
- ➤ Greedy algorithm works in optimizing problems, it choose the choice that can get most benefits. But this algorithm works if and only if the local optimum is equal to the global optimum

Greedy Algorithm

Min Heap

```
void Huffman ( PriorityQueue heap[], int C )

consider the C characters as C single node binary trees,
    and initialize them into a min heap;

for ( i = 1; i < C; i++ ) {
    create a new node;
    /* be greedy here */
    delete root from min heap and attach it to left_child of node;
    delete root from min heap and attach it to right_child of node;
    weight of node = sum of weights of its children;
    /* weight of a tree = sum of the frequencies of its leaves */
    insert node into min heap;
}
</pre>
```

Min heap is a special tree-based data structure that the parent node is less than its non-empty children, but the siblings doesn't have any special relations. It can help us find the highest priority node.

## **02** Data Structure and Algorithm

```
1 Algorithm:Project 4: Huffman Codes
 2 Input: The input format in the 1.1.2
   Output: m results of the checking result "Yes" or "No"
   read in characters and their frequency;
 6 build_min_heap();
   min_length=calculate_weight(min_heap H);
 8 read in m;
   for(i=0;i<m;i++)
10
11
       total_length=0;
       for(j=0;j<n;j++)
13
           read in character and code;
14
15
           total_length+=frequency[character]*code.length();
16
       if(total_length==min_length&&check_prefix(code))
18
           printf("Yes\n");
       else
           printf("No\n");
24
25
       return 0;
26 }
```

pseudo-code of the whole program

## **02** Data Structure and Algorithm

#### The most important two functions 1

```
function calculate_weight(H)
        result:=0
        while(true)
            weight:=H.top()
            H.pop()
            if(H.size()==0)
                break
            weight+=H.top()
10
            H.pop()
            H.push(weight)
12
             result+=weight
13
        return result
14
```

Function 1: calculate weight

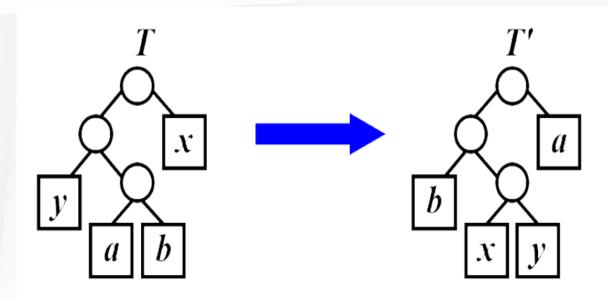
## **02** Data Structure and Algorithm

#### The most important two functions 2

```
function check_prefix(code)
sort(code.begin(),code.end())
for i=0 to code.size() do
len:=code[i].size()
for j=i+1 to code.size() do
sub_prefix=code[j].substr(0,len)
if sub_prefix==code[i]
return false
return true
```

Function 2: check prefix

# **Analysis and Comments**



#### The Greedy choice property

Lemma Let C be an alphabet in which each character c in C has the frequency c.freq. Let x and y be two characters in C having the lowest frequencies. Then there must exist an optimal prefix code for C in which the codewords for x and y have the same length and differ only in the last bit.

#### The optimal substructure property

Let C be a given alphabet with frequency c.freq defined for each character c in C. Let x and y be 2 characters in C with minimum frequency. Let C' be the alphabet C with a new character z replacing x and y, and z.freq = x.freq + y.freq. Let T' be any tree representing an optimal prefix code for the alphabet C'. Then the tree T, obtained from T' by replacing the leaf node for z with an internal node having x and y as children, represents an optimal prefix code for the alphabet C.

## 103 Analysis and Comments

#### Analysis of Min Heap

The time complexity of the min-heap operation cost most in out program, we have serveral operations in the program

Build min-heap: It is O(N) obviously to build a min heap because each insert cost O(logN)

Calculate the total weight: In the while loop, each time we pop 2 elements from the top of the heap(delete min) and insert 1 element into the heap. Delete min from heap and insert into heap both need O(logN) each time, so each time we need O(3logN+c) time. And we need do the loop N times to calculate the total weight of the Huffman tree, so the time complexity is O(NlogN).

> The space complexity of the min-heap is obviously O(N)

## **13** Analysis and Comments

#### Analysis of algorithm complexity

> Time complexity: The whole program contains several parts:

Read in the data:

It needs O(2N+MN)=O(MN) time complexity

**Heap Operations:** 

It needs O(NlogN) according to 4.1

**Check each group of answer:** 

It has m rounds and each round need O(N<sup>2</sup>) because if the prefix checking according to 4.2, so it needs O(MN<sup>2</sup>) in total

**All in all:** the time complexity is O(MN<sup>2</sup>)

> Space complexity: The whole program contains several parts:

**Build the heap:** O(N)

**Store the map from character to Huffman code:** O(N)

Store the result of Yes and No to output them together finally: O(M)

So the time complexity is : O(M+N)

# **Testing Results**

```
input:
    A 1 B 1 C 1 D 3 E 3 F 6 G 6
    4
    A 00000
    B 00001
    C 0001
    D 001
    E 01
    F 10
    G 11
11
    A 01010
    B 01011
    C 0100
15
    D 011
16
    E 10
17
    F 11
18
    G 00
19
    A 000
20
    B 001
    C 010
    D 011
    E 100
    F 101
25
    G 110
    A 00000
    B 00001
    C 0001
    D 001
29
30
    E 00
31
    F 10
32
    G 11
```

#### Test 1: Sample Data

```
33 Output:
34 Yes
35 Yes
36 No
37 No
```

```
input:
    9 1 B 1 C 1 D 3 e 3 f 6 _ 6
    9 00000
    B 00001
    C 0001
    D 001
    e 01
10
   f 10
11
   _ 11
12
   9 01010
   B 01011
14 C 0100
15
   D 011
16
   e 10
   f 11
18
    _ 00
   9 000
   B 001
   C 010
    D 011
    e 100
    f 101
    _ 110
    9 00000
    B 00001
    C 0001
29
    D 001
    e 00
30
   f 10
31
   _ 11
```

#### Test 2: Have characters of digit, '\_' and letters

```
33 Output:
34 Yes
35 Yes
36 No
37 No
```

#### Test 3: Have some not optimum condition

```
1   Input:
2   4
3   a 4 c 1 b 2 d 1
4   2
5   a 0
6   b 10
7   c 110
8   d 111
9   a 0
10   c 11000
11   d 10001
12   b 11111
13   Output:
14   Yes
15   No
```

#### Test 4: Have some code be prefix

#### Test 5: A smallest size of test data.

```
1   Input
2   2
3   a 1  b 2
4   2
5   a 0
6   b 1
7   a 1
8   b 10
9   Output:
10   Yes
11   No
```

#### Test 6: A largest size of test data

```
Input
    63 characters with 50 hand-in answers
    The test data is too big, you can find the data in the testdata.txt
    Output
    Yes
    Yes
    No
    No
    No
    Yes
    Yes
    Yes
    No
14
    No
15
    Yes
    Yes
    No
18
    No
19
    No
20
    Yes
21
    Yes
22
    Yes
23
   No
```

• • • • •

# 谢谢您的观看与聆听

Group 15 2020/05/13