



SQL介绍 Introduction to SQL

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Part 0: Overview

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Part 1 Relational Databases

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Ch5: Advanced SQL

Part 2 Database Design

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- · SQL查询的基本结构
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- 聚集函数
- · 嵌套子查询
- 数据库的修改

▶ SQL概览



- IBM Sequel language is developed as part of System R project at the IBM San Jose (圣何塞) Research Laboratory in the early 1970s
- Renamed Structured Query Language (SQL, 结构化查询语言)
- ANSI (美国国家标准学会) and ISO (国际标准化组织) standard SQL
 - SQL-86
 - SQL-89
 - SQL-92
 - SQL-99
 - SQL: 2003, 2006, 2008, 2011, 2016
- Commercial systems offer most SQL-92 features, plus varying feature sets from later standards and special proprietary features
 - 注意: Not all examples here may work on particular DBMS systems

▶ SQL的组成



- 数据定义语言 (Data definition language, DDL)
 - Relation schemas
 - Integrity constraints
 - View
 - Authorization
- 数据操纵语言 (Data manipulation language, DML)
 - Queries
 - Insertion
 - Deletion
 - Updates
 - Transaction processing

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▶ 数据定义语言DDL



· 定义关系及其相关信息,包括:

- 关系的模式
- 属性的域(domain)
- 完整性约束
- 索引结构
- 安全性和权限信息
- 物理存储结构

> SQL中的数据类型



· char(n)

fixed length character string with user-specified length n

varchar(n)

variable length character strings with user-specified maximum length n

int

Integer, a finite subset of the integers that is machine-dependent

smallint

small integer, a machine-dependent subset of the integer domain type

numeric(p, d)

- fixed point number (定点数), with user-specified precision of p digits, and with d digits to the right of decimal point
- E.g., numeric(3,1) allows 44.5 to be stored exactly, but neither 444.5 nor 0.32 can be stored exactly

▶ SQL中的数据类型(续)



real, double precision

floating point and double-precision floating point numbers, with machine-dependent precision

• float(*n*)

- floating point number with user-specified precision of at least n digits

null value

- allowed in all domain types. Declaring an attribute to be not null prohibits null values for that attribute
- In SQL-92, we can create user-defined domain types
 - create domain person_name char(20) not null

▶ 模式定义



Relation is defined using the create table command:

```
create table r(A_1 D_1, A_2 D_2, ..., A_n D_n, (integrity\_constraint_1), ..., (integrity\_constraint_k))
```

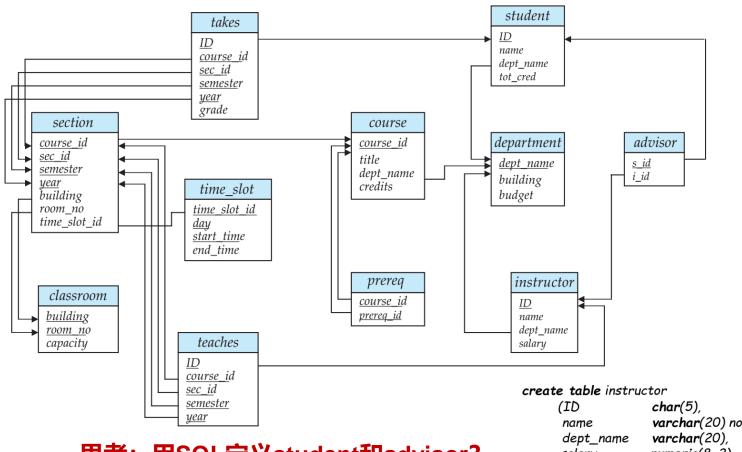
- r: the relation name
- A_i : an attribute name in the schema of relation r
- D_i : the data type of attribute A_i
- 例: 创建department关系表

> 完整性约束



- not null
- primary key $(A_1, ..., A_n)$
- foreign key $(A_{k1}, A_{k2} ..., A_{kn})$ references s
- check (P), where P is a predicate

注意: Primary key declaration on an attribute automatically ensures **not null** and **unique** in SQL-92 onwards, but needs to be explicitly stated in SQL-89



思考: 用SQL定义student和advisor?

(ID char(5),
name varchar(20) not null,
dept_name varchar(20),
salary numeric(8, 2),
primary key (ID),
foreign key (dept_name) references department,
check (salary >= 0));

▶ 删除或修改Table/Relation



删除表格

 drop table: deletes all information about the dropped relation from the database

• 修改表格

- alter table: add attributes to a relation or drop attributes from a relation alter table r add A D
 - All tuples in the relation are assigned null as the value for the new attribute
 alter table r drop A
 - Dropping of attributes is not supported by some databases

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▶ SQL查询的基本结构



· 典型的SQL查询结构:

select
$$A_1, A_2, ..., A_n$$
 from $r_1, r_2, ..., r_m$ **where** P

 The result of an SQL query is a relation and each query is equivalent to the relational algebra expression:

$$\Pi_{A_1,A_2,\ldots,A_n}(\sigma_P(r_1\times r_2\times\cdots\times r_m))$$

```
for each tuple t_1 in relation r_1
for each tuple t_2 in relation r_2
...
for each tuple t_m in relation r_m
Concatenate t_1, t_2, \ldots, t_m into a single tuple t_m Add t_m into the result relation
```

▶ Select子句



- The select clause lists the attributes desired in the result of a query
 - correspond to the projection (投影) operation of the RA (relational algebra)
 - E.g., find the names of all departments in the instructor relation

```
select dept_name
from instructor
```

- 对应的关系代数表达式:

 $\Pi_{dept_name}(instructor)$

- 注意: SQL is case insensitive

▶ Select子句(续)



- SQL allows duplicates in relations. To eliminate the duplicates, insert the keyword distinct after select
 - Find the names of all departments in the instructor relation, and remove duplicates

```
select distinct dept_name
from instructor
```

The keyword all specifies that duplicates should not be removed

```
select all dept_name
from instructor
```

▶ Select子句(续)



An asterisk in the select clause denotes "all attributes"

```
select *
from instructor
```

- The select clause can contain arithmetic expressions involving the operation +,
 -, *, and /, and operating on constants or attributes of tuples
- E.g.,
 select ID, dept_name, salary * 1.1
 from instructor

▶ From子句



- The from clause lists the relations involved in the query
 - corresponds to the Cartesian product operation of the RA
- 例: find the Cartesian product borrower × loan

```
select *
from borrower, loan
```

 例: find the name, loan number and loan amount of all the customers that have a loan at the Jiading branch

```
select customer_name, borrower.loan_number, amount
from borrower, loan
where borrower.loan_number=loan.loan_number and
    branch_name='Jiading'
```

▶ Where子句



- The where clause specifies conditions that the result must satisfy
 - correspond to the selection predicate of the RA
 - 例: find the names of those instructors who are with the Department of Computer Science and have the salary larger than 70000

```
select name
from instructor
where dept_name = 'Computer Science' and salary > 70000
```

Comparison results can be combined using the logical connectives and, or, and not

Where子句(续)



- SQL includes a between comparison operator
 - 例: find the loan numbers of those loans with loan amount between \$90,000 and \$100,000

```
select loan_number
from loan
where amount between 90000 and 100000
```

▶ 自然连接(Natural Join)



select $A_1, A_2, ..., A_n$ from r_1 natural join r_2 natural join ...natural join r_m where P;

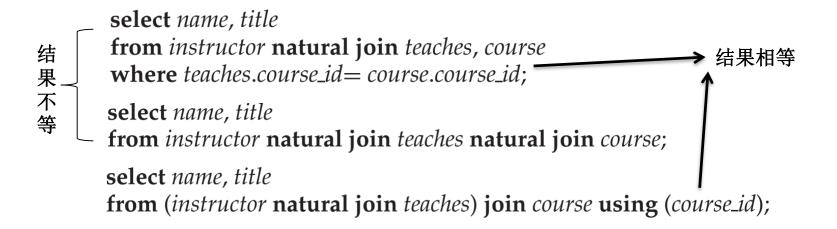
select name, course_id
from instructor natural join teaches;

select name, title
from instructor natural join teaches, course
where teaches.course_id=course.course_id;

join ... using (...)



- natural join of instructor and teaches
 - (ID, name, dept_name, salary, course_id, sec_id)
- course
 - (course_id, title, dept_name, credits)



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更名运算



- The SQL allows renaming relations and attributes using the as clause: old_name as new_name
- Find the name, loan_number and loan_amount of all customers, and rename the column name loan_number as loan_id:

```
select customer_name, borrower.loan_number as loan_id, amount
from borrower, loan
where borrower.loan_number = loan.loan_number
```

▶ 更名运算(续)



• 找出每位老师的姓名和所授所有课程的ID

```
select T.name, S.course_id
from instructor as T, teaches as S
where T.ID = S.ID
```

找出满足下列条件的所有教师的姓名,他们的工资至少比Biology系的某一位教师的工资高

```
select distinct T.name
from instructor as T, instructor as S
where T.salary > S.salary and S.dept_name = 'Biology'
```

> 字符串运算



- SQL includes a string-matching operator for comparisons on character strings
 - percent (%): matches any substring
 - underscore (_): matches any character
- like/not like: 找出所在建筑名称中包含子串"Watson"的所有系名

select dept_name
from department
where building like '%Watson%'

• 要匹配的字符中有百分号的情况,需要转义 like 'Watson\%'

- "*" denote "all attributes": select instructor. * from instructor
- SQL supports a variety of string operations such as
 - concatenation (串联) (using "||")
 - converting from upper case to lower case (and vice versa)
 - finding string length, extracting substrings, etc.

▶ 元组的顺序



 List in alphabetic order the names of all customers having a loan in Jiading branch

```
select distinct customer_name
from borrower, loan
where borrower.loan_number = loan.loan_number and
branch_name = 'Jiading'
order by customer_name
```

 Specify desc for descending order or asc (default) for ascending order, for each attribute

```
select *
from loan
order by amount desc, loan-number asc
```

▶ Where子句谓词



- SQL includes a between\not between comparison operator
 - 例: find the names of all instructors with salary between \$90,000 and \$100,000

```
select name
from instructor
where salary between 90000 and 100000
```

Tuple comparison

```
select name, course_id
from instructor, teaches
where (instructor.ID, dept_name) = (teaches.ID, 'Biology');

select name, course_id
from instructor, teaches
where instructor, teaches
where instructor.ID=teaches.ID and dept_name='Biology'
```

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集合运算



- The set operations union, intersect, and except operate on relations and correspond to the relational algebra operations ∪, ∩, –
- Each set operation automatically eliminates duplicates. To retain all duplicates
 use the corresponding multiset versions union all, intersect all and except all
 - Suppose a tuple occurs m times in r and n times in s, then, it occurs:
 - m+n times in r union all s
 - min(m, n) times in r intersect all s
 - $\max(0, m n)$ times in r except all s

▶ 集合运算(续)



- Find all customers who have a loan, an account, or both:
 (select customer_name from depositor)
 union [all]
 (select customer_name from borrower)
- Find all customers who have both a loan and an account.
 (select customer_name from depositor)
 intersect [all]
 (select customer_name from borrower)
- Find all customers who have an account but no loan.
 (select customer_name from depositor)
 except [all]
 (select customer_name from borrower)

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> 空值



- It is possible for tuples to have a null value, signifying an unknown value or a
 value that does not exist
- The predicate is null can be used to check for null values

```
select loan_number from loan where amount is null
```

- The result of any arithmetic expression involving null is null
 - E.g., 5 + null returns null

空值与聚集操作



- Calculate the sum of all loan amounts.
 - **select sum** (amount) **from** loan
 - Above statement ignores null amounts
 - Result is null if there is no non-null amount
- All aggregate operations except count(*) ignore tuples with null values on the aggregated attributes

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▶ 聚集函数(Aggregate Functions)



- These functions receive as input a set of values, and return a value
 - avg: average value
 - min: minimum value
 - max: maximum value
 - sum: sum of values
 - count: number of values

▶ 聚集函数(续)



找出Computer Science系教师的平均工资

```
select avg (salary)
from instructor
where dept_name= 'Computer Science'
```

• 找出在2018年春季学期授课的教师总数

```
select count (distinct ID)
from teaches
where semester = 'Spring' and year=2018
```

▶ 聚集函数: Group By

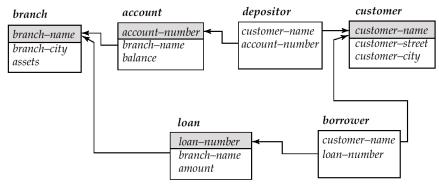


Find the number of depositors for each branch

```
select branch_name, count (distinct customer_name)
from depositor, account
where depositor.account_number = account.account_number
group by branch_name
```

• 注意: Attributes in select clause outside of aggregate functions must appear in group by list

```
/*erroneous query*/
select dept_name, ID, avg(salary)
from instructor
group by dept_name
```



> 聚集函数: Having子句



- At times, it is useful to state a condition that applies to groups rather than to tuples
- 何: find the names of all branches where the average account balance is more than \$1,200

```
select branch_name, avg (balance)
from account
group by branch_name
having avg (balance) > 1200
```

• 注意:

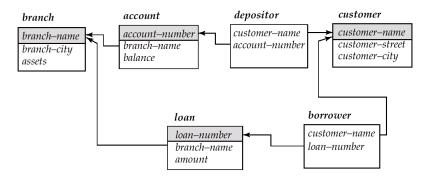
- predicates in the having clause are applied after the grouping operation
- predicates in the where clause are applied before forming groups

▶ 聚集函数: Having子句(续)



 例: find the average balance for each customer who lives in Shanghai and has at least three accounts

```
select depositor.customer_name, avg (balance)
from depositor, account, customer
where depositor.account_number=account.account_number
and depositer.customer_name=customer.customer_name
and customer_city='Shanghai'
group by depositor.customer_name
having count(distinct depositor.account_number) >= 3
```



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嵌套子查询(Nested Subqueries)



- A subquery is a select-from-where expression that is nested within another query in the where clause or from clause
- A common use of subqueries is to perform
 - tests for set membership (测试集合成员资格)
 - make set comparisons (集合比较)
 - determine set cardinality (确定集合基数)

▶ 集合包含



Find all customers who have both an account and a loan at the bank

```
select distinct customer_name
from borrower
where customer_name in (select customer_name
from depositor)
```

Find all customers who have a loan but do not have an account at the bank

```
select distinct customer_name
from borrower
where customer_name not in (select customer_name
from depositor)
```

select distinct name
from instructor
where name not in ('Mozart', 'Einstein');

▶ 集合包含(续)



Find all customers who have both an account and a loan at the Jiading branch

▶ 集合比较



Find all branches that have greater assets than some branch located in Brooklyn

```
select distinct T.branch_name
from branch as T, branch as S
where T.assets > S.assets and S.branch_city = 'Brooklyn'
```

▶ Some子句



• $E < comp > some r \Leftrightarrow \exists t \in r \text{ such that } (E < comp > t), \text{ where } < comp > can be: <math><, \le, >, \ge, =, \ne$

$$(5 < some \frac{0}{5}) = false$$

$$(5 \neq \text{some} \mid 0)$$
 = true (since $0 \neq 5$)

▶ AII子句



• $E < comp > all \ r \Leftrightarrow \forall t \in r \ (E < comp > t)$

(5< all
$$0$$

 5
 6
(5< all 10) = true
(\neq all) \equiv not in However,
(\Rightarrow all \Rightarrow in, why?
(\Rightarrow all \Rightarrow b) = false
(\Rightarrow all \Rightarrow in, why?

▶ AII子句(续)



 Find the names of all branches that have greater assets than all the branches located in Brooklyn.

```
select dept_name
from instructor
group by dept_name
having avg (salary) >= all (select avg (salary)
from instructor
group by dept_name);
```

> 空关系测试



- The exists construct returns the value true if the argument subquery is nonempty
 - exists $r \Leftrightarrow r \neq \emptyset$
 - not exists $r \Leftrightarrow r = \emptyset$
- E.g., find all the customers who have both account(s) and loan(s) at the bank

```
select distinct customer_name

from borrower

where exists (

select *

from depositor

where depositor.customer_name=borrower.customer_name)
```

▶ 空关系测试(续)



- We can write "relation A contains relation B" as "not exists (B except A)."
- E.g., find those students who have taken all the courses offered by the Biology Department

> 空关系测试(续)



Find all the customers who have accounts at all branches located in Brooklyn

```
select distinct S.customer name
from depositor as S
where not exists (
      (select branch name /* all branches in Brooklyn */
      from branch
      where branch_city = 'Brooklyn')
     except
      (select R.branch_name /* finds all the branches at which S.customer_name has an account */
     from depositor as T, account as R
      where T.account number = R.account number and
            T.customer\_name = S.customer\_name))
```

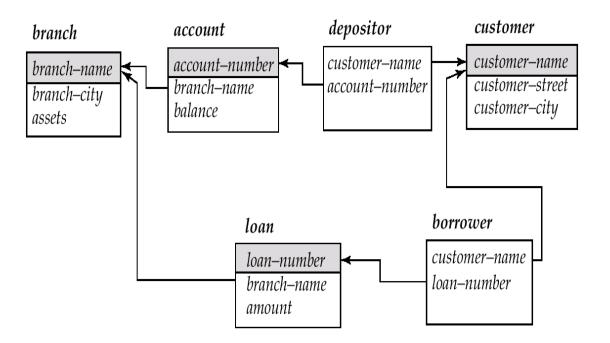
• Note: not exists $(X - Y) \Leftrightarrow X - Y = \emptyset \Leftrightarrow X \subseteq Y$

▶ 重复元组测试



- The unique construct tests whether a subquery has any duplicate tuples in its result
- E.g., find all the customers who have at most one account at the Jiading branch

```
select T.customer_name
from depositor as T  /* Better to use from customer. why? */
where unique (
    select R.customer_name
    from account, depositor as R
    where T.customer_name = R.customer_name and
        R.account_number = account.account_number and
        account.branch_name = 'Jiading')
```







• 找出所有在2017年最多开设一次的课程

```
select T.course id
           from course as T
           where unique (select R.course_id
                          from section as R
                          where T.course_id = R.course_id and
                                R.vear = 2017);
等价
            select T.course_id
            from course as T
            where 1 \ge (select count(R.course\_id))
                          from section as R
                          where T.course id = R.course id and
                                R.year = 2017);
```





Find all customers who have at least two accounts at the Jiading branch.

```
select T.course\_id

from course as T

where not unique (select R.course\_id

from section as R

where T.course\_id = R.course\_id and R.year = 2017);
```

找出所有在2017年至少开设 两次的课程

思考: 找出所有在2017年至 少开设三次的课程?

▶ 视图(View)



- In some cases, it is not desirable for all users to see the entire logical model, i.e., all the actual relations stored in the database
- Consider a person who needs to know a customer's name, loan number and branch name, but cannot see the loan amount

```
select customer_name, borrower.loan_number, branch_name
from borrower, loan
where borrower.loan_number = loan.loan_number
```

 A view provides a mechanism to hide certain data from the view of certain users. It's a virtual relation

▶ 视图的定义



A view is defined using the create view statement

create view v as < query expression >

where v is the view name, and <query expression> is any legal SQL expression

 Once a view is defined, the view name can be used to refer to the virtual relation, and the query expression is stored in the database. The expression is substituted into queries when the view is used.





A view consisting of branches and their customers

```
create view all_customer as
    (select customer_name, branch_name
    from depositor, account
    where depositor.account_number = account.account_number)
    union
    (select customer_name, branch_name
    from borrower, loan
    where borrower.loan_number = loan.loan_number)
```

Find all customers of the Jiading branch

```
select customer_name
from all_customer
where branch_name = 'Jiading'
```

▶ 导出关系(Derived Relations)



Derived Relations

 Find the average account balance of those branches which have the average account balance greater than \$1200

```
select branch_name, avg (balance)
from account
group by branch_name
having avg (balance) > 1200
```

```
select branch_name, avg_balance
from (select branch_name, avg (balance)
    from account
    group by branch_name)
    as result (branch_name, avg_balance)
where avg_balance > 1200
```

 Note: we do not need to use the having clause, since we compute the temporary (view) relation result in the from clause, and the attributes of result can be used directly in the where clause

▶ 导出关系(续)



Find the maximum total balance across all branches
 select max(tot_balance)
 from (select branch_name, sum (balance)
 from account
 group by branch_name)
 as branch_total (branch_name, tot_balance)

▶ With子句



- With clause allows views to be defined locally rather than globally. Analogous to procedures in a programming language
- 例: Find all accounts with the maximum balance

```
with max_balance(value) as
    select max(balance)
    from account

select account_number
from account, max_balance
where account.balance = max_balance.value
```

▶ 使用with子句的复杂查询



 Find all branches where the total account deposit is greater than the average of the total account deposits at all branches

```
with branch_total (branch_name, value) as
select branch name, sum (balance)
from account
group by branch_name
with branch_total_avg (value) as
select avg (value)
from branch total
select branch name
from branch_total, branch_total_avg
where branch total.value >= branch total avg.value
```

▶ 标量子查询(Scalar Subquery)



- Scalar subquery (标量子查询) is used where a single value is expected
- List all departments along with the number of instructors in each department

```
select dept_name,
    (select count(*)
    from instructor
    where department.dept_name = instructor.dept_name)
    as num_instructors
from department;
```

Runtime error if subquery returns more than one tuple

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数据库的修改: Deletion



Delete all the accounts at every branch located in Needham city

```
delete from account
where branch_name in (select branch_name
                       from branch
                      where branch_city = 'Needham')
delete from depositor
                                            思考: 删除操作是否存在问题?
where account number in
         (select account number
          from branch, account
          where branch_city = 'Needham'
          and branch.branch_name = account.branch_name)
```

▶ 数据库的修改: Deletion(续)



Delete the records of all accounts with balances below the average at the bank delete from account
 where balance < (select avg(balance)

from account)

- Note: as we delete tuples from account, the average balance changes
- Solution used in SQL:
 - First, compute avg balance and find all tuples to delete
 - Next, delete all tuples found above (without recomputing avg or retesting the tuples)

> 数据库的修改: Insertion



Add a new tuple to account

```
insert into account values ('A-9732', 'Perryridge',1200)
or equivalently
insert into account (branch_name, balance, account_number)
     values ('Perryridge', 1200, 'A-9732')
```

• Add a new tuple to account with balance set to null insert into account values ('A-777', 'Perryridge', null)

▶ 数据库的修改: Insertion(续)



Provide as a gift for all loan customers of the Jiading branch, i.e., a \$200 saving account.
 Let the loan number serve as the account number for the new saving account

```
insert into account
    select loan_number, branch_name, 200
    from loan
    where branch_name = 'Jiading'

insert into depositor
    select customer_name, loan_number
    from loan, borrower
    where loan.loan_number = borrower.loan_number
    and branch_name = 'Jiading'
```

 The select from where statement is fully evaluated before its results are inserted into the relation. Otherwise, queries like insert into table1 select * from table2 would cause problems

数据库的修改: Updates



思考:插入操作是否存在问题?

- Increase all accounts with balances over \$10,000 by 6%, and all other accounts receive an increase of 5%.
 - Write two update statements:

```
update account
```

set balance = balance * 1.05

where balance ≤ 10000

update account
set balance = balance * 1.06
where balance > 10000

- The order is important
- Can be done better using the case statement (next slide)

▶ Case表达式



 Same query: increase all accounts with balances over \$10,000 by 6%, and all other accounts receive 5%.

```
update accountcaseset balance =when pred_1 then result_1casewhen pred_2 then result_2when balance <= 10000 then balance *1.05when pred_n then result_nelse balance * 1.06else result_0endend
```

> 小结



- SQL
 - DDL + DML
- DDL
 - 数据库模式、完整性约束等
- ・ SQL查询
 - select子句、from子句、where子句
 - natural join, join ... using (...)
- · SQL附加运算
 - 更名运算、字符串运算、排序order by
- · 集合运算
 - union、intersect、except
- 空値

・聚集函数

- avg, min, max, sum, count
- group by having

・・嵌套子查询

- 集合包含: in、not in
- 集合比较: some子句、all子句、 exists、unique
- 视图、导出关系、with子句、标量子查询

• 数据库的修改

Deletion、Insertion、Updates

作业



Exercises

- 3.8, 3.9 (选择其中一个)
- 3.15, 3.16, 3.17, 3.21 (选择其中两个)

Submission

- Canvas上提交,上传单个PDF文件
- Deadline: 2024年3月25日