

Search-Based Software Engineering/Testing

Bogdan Marculescu
Bogdan.marculescu@Kristiania.no
Man Zhang

First a quick bit of bureaucracy



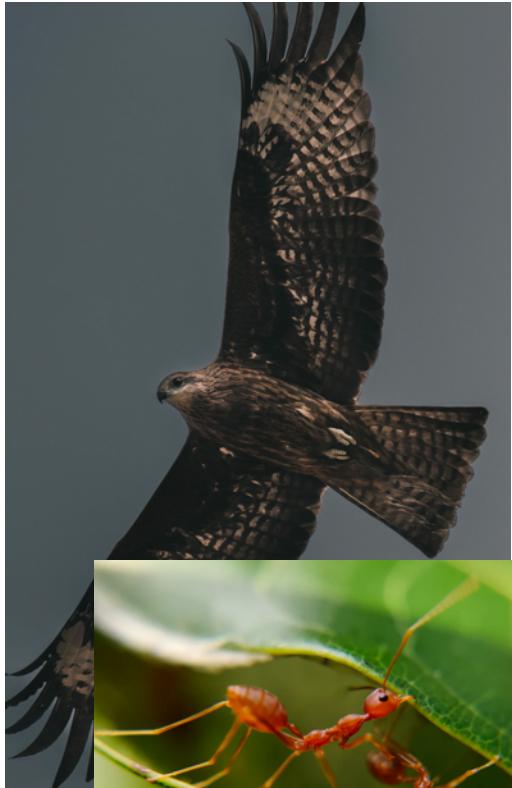
- Quick intro on theory
 - Lunch break
 - Small workshop on working with Search-Based Techniques
- (we'll work together, so no worries on the development side)

Some problems... are different

- Exact solution may be:
 - Impossible
 - Costly to compute
 - Not necessary
- “Knapsack problem”
- Optimization – “close enough”



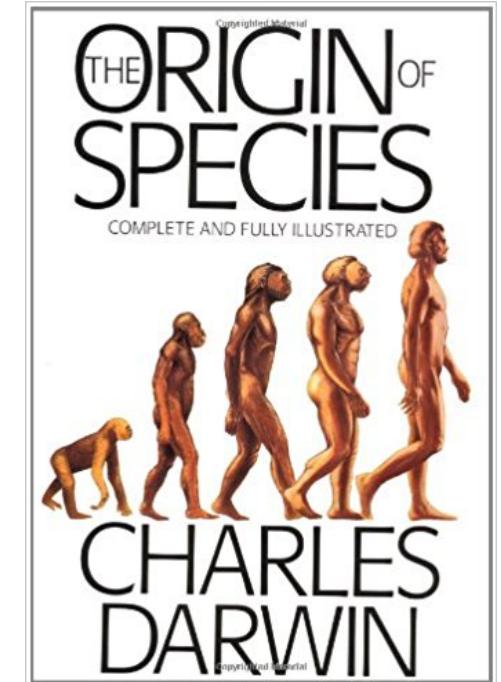
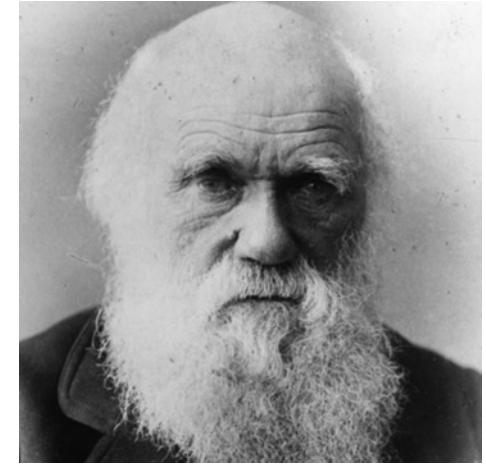
Inspiration Outside of Math



- Nature is good at solving different problems
- E.g.:
 - Carbon-to-Diamond (slow cooling)
 - Ants finding and gathering food
- Working (though perfectible) solutions
- Inspiration for algorithms

Theory Evolution

- Charles Darwin, “*The Origin of Species*”, 1859
- Theory describing how different species *evolved* from unicellular organisms
- Evolutionary Computation, Genetic Algorithms, Search-Based Techniques

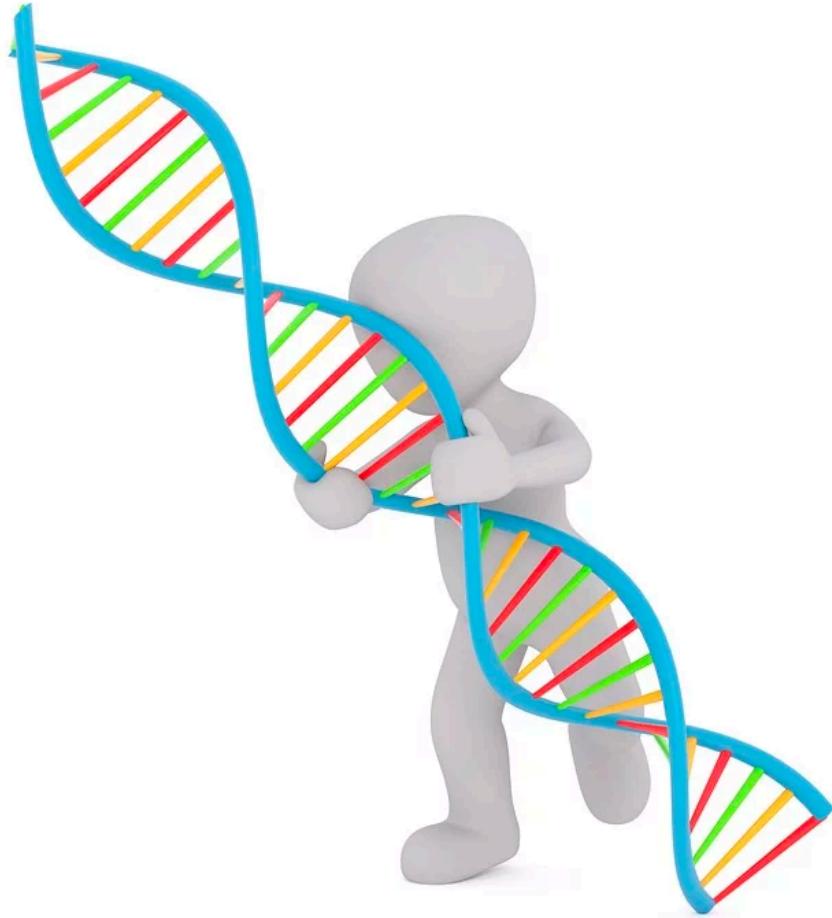


(1+1) EA

- 1 individual that produces 1 offspring
 - Evolution is driven by mutations in the chromosome of the offspring
 - Kill the offspring if worse than parent, otherwise kill parent after birth
 - Yep, evolution can be cruel...



In practice



Individuals – are the (approximate) solutions

- E.g. loading of the knapsack

Chromosome – representation of that solution

- E.g. binary array of objects (in knapsack or not)

Mutation – small changes that lead to *similar* individuals

- E.g. taking one object out leads to similar loading

Fitness – some measurement of solution quality

Mutation Example

1	1	0	0	0	1	0	1	0	0
---	---	---	---	---	---	---	---	---	---

Mutation: flip 1 bit

Non-zero probability - any solution

- ie, all bits can be flipped - low probability $(1/N)^N$

Reason: small modifications on average, but allow larger jumps

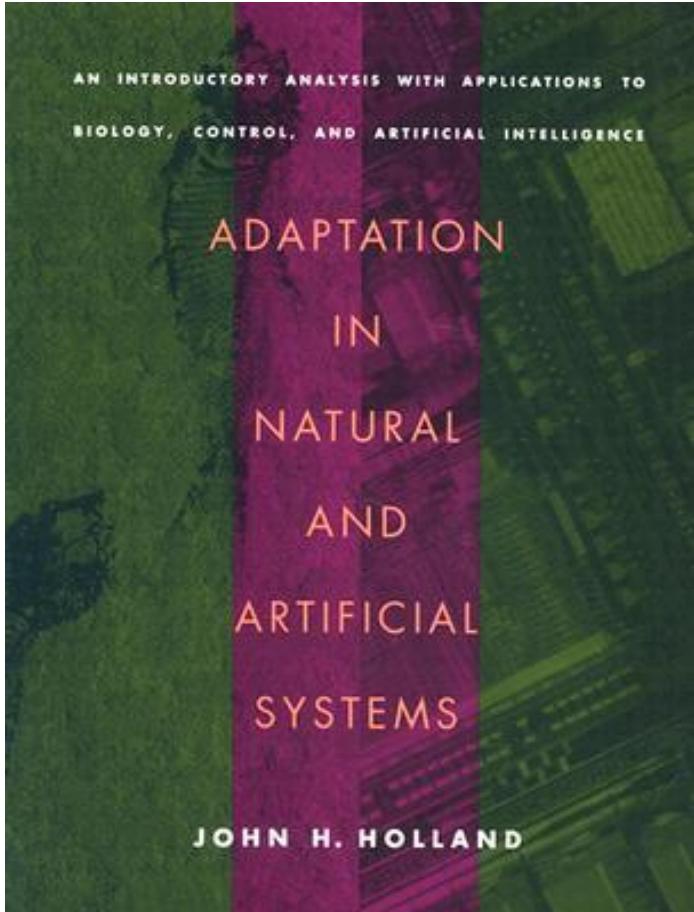


Fitness and Fitness functions



- Is this solution “good”?
- How good is it?
 - Compared to others?
 - Compared to previous version?
 - Compared to goal?
 - Measurable
 - Allow small changes
- Can become increasingly complex
- There may be more than one “good” solution

Genetic Algorithms (GAs)



- 1950s: Turing proposed use of evolution in computer programs
- 1970s: John Holland created GAs to address optimization problems
- Simulate evolution of an entire *population* of individuals, which procreate sexually

Population

- Keep track of more individuals
 - More likely to keep and combine “good” traits
- At the end of the search – select the best



Generations

- Select (more on that in a minute) individuals for reproduction
- Create a new generation of the same size
- Kill previous generation
 - Yes, evolution is STILL cruel



Selection



- The “best” (i.e. fittest) individuals - higher chances of reproduction
- Different strategies for parent selection
- Tournament Selection: sample T individuals *randomly* - choose the best
- All evaluations of “best” – Fitness Function

Sexual reproduction

- Select 2 parents, which give birth to 2 offspring
 - Note, ignoring gender here...
- Offspring will share genetic material with their parents, via the *crossover* operation (aka xover)
- After xover, offspring still have chances of getting mutated



Crossover

Parent 1

1	1	0	0	0	1	0	1	0	0
---	---	---	---	---	---	---	---	---	---

Parent 2

0	1	0	1	0	1	0	0	0	1
---	---	---	---	---	---	---	---	---	---

Offspring 1

1	1	0	0	0	1	0	0	0	1
---	---	---	---	---	---	---	---	---	---

Offspring 2

0	1	0	1	0	1	0	1	0	0
---	---	---	---	---	---	---	---	---	---

Choose a splitting point, eg the middle of the chromosomes



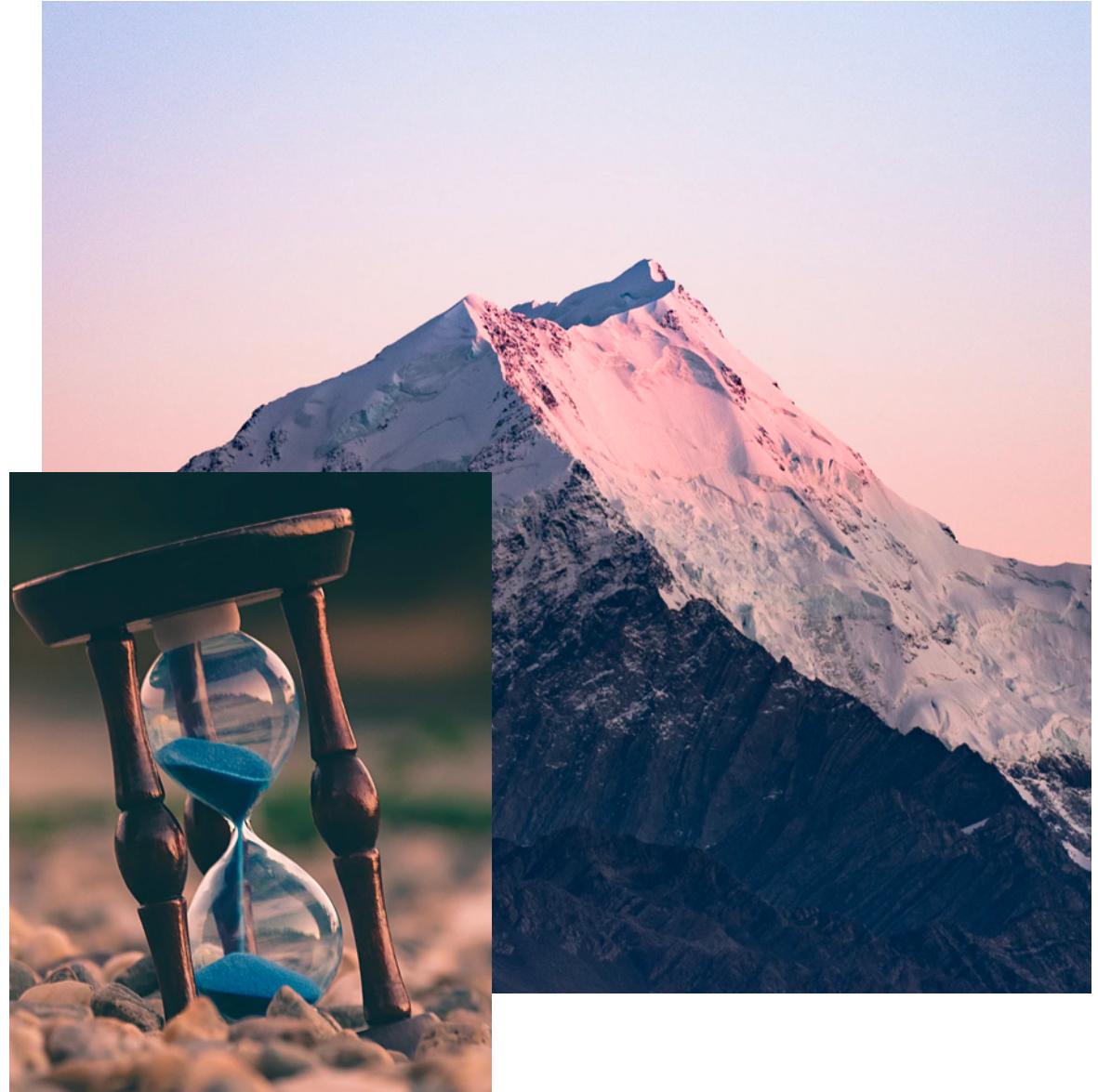
Crossover issues



- Chromosomes are constrained by domain
 - E.g. maximum weight of knapsack
- Crossover could lead to invalid offspring/individuals
- Options:
 - Discard (“kill”)
 - Repair

Elitism

- We (unlike nature) have a problem to solve, and finite time
- Don't want to lose our "best" individuals
- Preferential treatment (copy the "best" of each generation directly)



So, everything is all clear?

- More details to worry about:
 - “search landscape”, “search space”
 - Exploration (see more different solutions) vs
 - Exploitation (improve the best solutions so far)
 - Parameter tuning
 - Multiple (contradictory) objectives
 - Population diversity
 - etc

So, theory is okay. Practice?

Widely used, in a lot of different domains

- E.g.: antenna design
- **Chromosome:** shape of the antenna
- **Mutations:** changes in length, angles
- **Fitness:** improve beamwidth and impedance



So, theory is okay. Practice? – cont.

We use it for **software testing**:

- **Individual**: software tests?
- **Chromosome**: Test actions?
- **Mutations**: Sequence of test actions? Input values?
- **Fitness**: Bugs found? “Expected” behaviour?

```
01  def __init__(self, path=None, debug=False):
02      self.file = None
03      self.fingerprints = set()
04      self.logduplicates = True
05      self.debug = debug
06      self.logger = logging.getLogger(__name__)
07      if path:
08          self.file = open(os.path.join(path, 'fingerprint.log'), 'w')
09          self.file.seek(0)
10          self.fingerprints.update([x.rstrip() for x in self.file])
11
12  @classmethod
13  def from_settings(cls, settings):
14      debug = settings.getbool('general.use_logging')
15      return cls(job_dir(settings), debug)
16
17  def request_seen(self, request):
18      fp = self.request_fingerprint(request)
19      if fp in self.fingerprints:
20          return True
21      self.fingerprints.add(fp)
22      if self.file:
23          self.file.write(fp + os.linesep)
24
25  def request_fingerprint(self, request):
26      return request_fingerprint(request)
```

Software Testing



- Run a system to assess its behaviour
- Ensure it does:
 - Everything that it should do – expected behaviour
 - Nothing that it shouldn't do
- Use valid AND invalid inputs
- Try to break the system under test

Boxes of software testing

- Black-box testing:
 - Contents unknown
 - Can only observe behaviour
- “Expected”/“Correct” behaviour only on completion
- Difficult to identify faults (debug)
- Requires domain knowledge to evaluate



Boxes of Software Testing – part 2



- White-box testing
 - Contents known
 - Can trace program execution
 - Can evaluate coverage (code, branch, etc.)
- Partial results
- Easier to debug
- More complex to develop and use

Fitness functions for testing?

- Black-box
 - Crash oracle?
 - "best"/"better" solutions
- White-box:
 - Lines of code covered (number, overlap with previous)
 - Branch distance



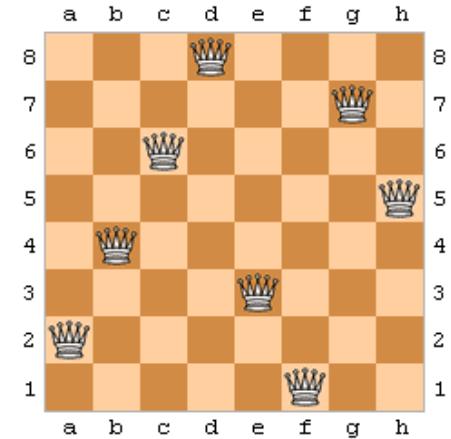
Quick exercise 1 – Knapsack problem



- Let's write a fitness function for the knapsack problem
- Basic restrictions:
 - Binary array of objects
 - Objects have different weights
 - Load up the backpack as much as possible (without exceeding capacity)

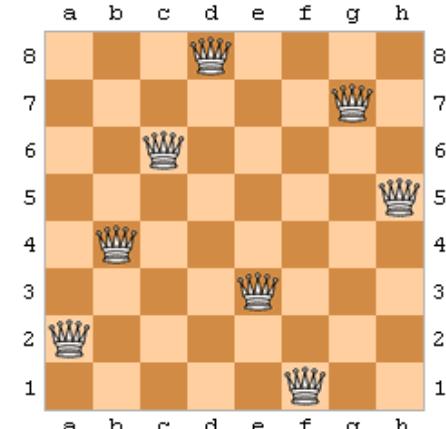
Quick exercise 2 - mutation

- On a chess board
 - As many queens as possible
 - Without threatening each other
- Representation:?
- Fitness: N queens on the board
 - Minimize the number that are threatened



Quick exercise 2 - mutation

- On a chess board
 - As many queens as possible
 - Without threatening each other
- Representation: matrix $N \times N$ of bits
 - 1 for a queen in that position, 0 otherwise



00010000

00000010

00100000

00000001

01000000

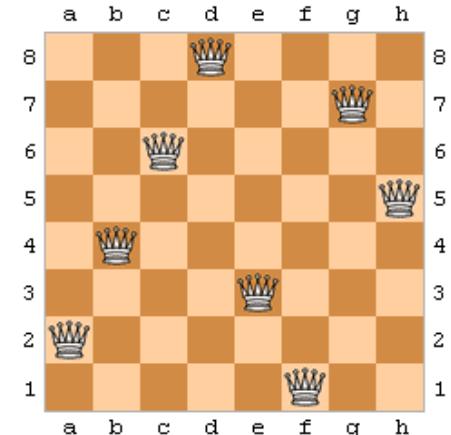
00001000

10000000

00000100

Quick exercise 2 - mutation

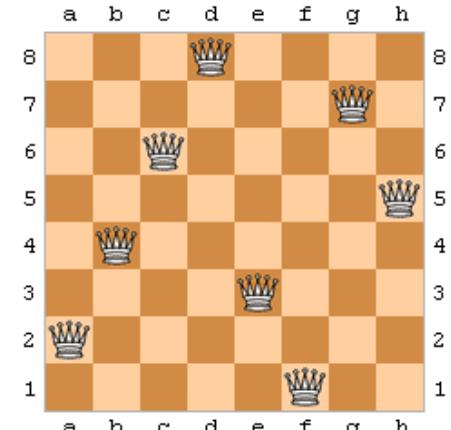
- On a chess board
 - As many queens as possible
 - Without threatening each other
- Representation: [f,a,e,b,h,c,g,d]
 - Eg, queen in row 1 is in column “f”, row 2 is in column “a”, etc
- Mutation operator:



[f,a,e,b,h,c,g,d]

Quick exercise 2 - mutation

- On a chess board
 - As many queens as possible
 - Without threatening each other
- Representation: [f,a,e,b,h,c,g,d]
 - Eg, queen in row 1 is in column “f”, row 2 is in column “a”, etc
- Mutation operator: swap two elements
 - Eg, swap “f” with “c”, [c,a,e,b,h,f,g,d]



[f,a,e,b,h,c,g,d]

For more code on these exercises:

With thanks to Prof. Andrea Arcuri, whose algorithms course examples can be found below:

- <https://github.com/arcuri82/algorithms/tree/master/solutions/src/main/java/org/pg4200/sol11>
- <https://github.com/arcuri82/algorithms/tree/master/lessons/src/main/java/org/pg4200/les11/ea>

Exercise 3 – EvoMaster and NewsAPI



- News API
 - Simple API
 - Allows users to send in and receive news
 - Each news item has:
 - An id (auto-generated)
 - An author
 - A text
 - A country
- Let's try to test it

References

- Note! This is just scratching the surface on a pretty wide (and dare I say, interesting) topic.
- A couple of references to get you started:
- Mark Harman, Phil McMinn, Jerffeson Teixeira Souza, and Shin Yoo. Search-Based Software Engineering: Techniques, Taxonomy, Tutorial. *Empirical Software Engineering and Verification, Lecture Notes in Computer Science*, vol. 7007, pp. 1–59, 2011
 - <https://mcminn.io/publications/ic2.html>
- Harman, Mark, Yue Jia, and Yuanyuan Zhang. "Achievements, open problems and challenges for search based software testing." *2015 IEEE 8th International Conference on Software Testing, Verification and Validation (ICST)*. IEEE, 2015.



Questions and discussion
