Research Statement

HAO ZHANG

1 Background

My research primarily focuses on the theory of conformal blocks in logarithmic conformal field theory (CFT). By logarithmic CFT, I mean the case where the underlying vertex operator algebra (VOA) is \mathbb{N} -graded and C_2 -cofinite, but not necessarily rational. The representation categories of such VOAs are finite abelian categories, but not necessarily semisimple. In Segal's framework, conformal block theory plays a central role in the geometric understanding of the representation theory of VOA and CFT. The construction of the tensor category of a VOA relies on the deep understanding of genus 0 and genus 1 conformal blocks.

In Segal's framework, sewing conformal blocks provides an efficient method to construct higher genus conformal blocks from those of lower genus. For instance, both the product/iterate of intertwining operators and their *q*-traces can be viewed as examples of sewing genus 0 conformal blocks. The proofs of associativity (genus 0) and modular invariance (genus 1) in rational CFT [Hua05a, Hua05b] can be reformulated in the following way:

- (1) **Convergence of sewing**. Sewing conformal blocks converges to a higher genus conformal block.
- (2) Factorization. Sewing conformal blocks gives all higher genus conformal blocks.
- (3) **Connections**. The spaces of smooth conformal blocks form a holomorphic vector bundle together with a connection ∇ .
- (4) **Parallelism**. Sewing conformal blocks is parallel with respect to ∇ , up to the projective term depending on the central charge c.

For rational CFT of arbitrary genus, Bin Gui proved the convergence of sewing conformal blocks [Gui24]. Thanks to the significant result [DGT24] in algebraic geometry, factorization property (2) of arbitrary genus is proved. The general theory of (3) and (4) is also discussed in [Gui24].

In logarithmic CFT, the situation becomes more intricate even for torus (genus 1) conformal blocks. The most subtle point lies in the factorization property (2): self-sewing conformal blocks does *not* produce all higher genus conformal blocks. To solve this problem, there are two parallel approaches to recover torus conformal blocks:

• **Pseudo-***q***-traces (VOA approach)**. Pseudo-*q*-traces were first introduced by Miyamoto as a tool to establish modular invariance of vertex operators. HIn this

framework, pseudo-*q*-traces replace the usual *q*-traces, which arise naturally from self-sewing constructions. The general modular invariance theorem in logarithmic CFT was later proved by Huang [Hua24].

• Ends/coends (TFT approach). Ends and coends provide an alternative method to study factorization properties in logarithmic CFT from the perspective of topological field theory. This method was originally introduced by Lyubashenko in [Lyu96] in the context of topological modular functors. (See also [FS17, HR24] for discussions.)

Therefore, it is natural to ask:

Question 1. How are pseudo-q-traces (VOA approach) related to ends/coends (TFT approach)?

2 Sewing-Factorization Theorem and (co)ends

In joint work with Bin Gui [GZ23, GZ24, GZ25a], we provide a systematic treatment of (1)-(4) for logarithmic CFT of *arbitrary* genus. The answers to (1) and (2) are encapsulated in the **Sewing–Factorization Theorem**, established in [GZ24, GZ25a]. The general theory addressing (3) and (4) is also developed in [GZ24].

Since self-sewing does not produce all higher genus conformal blocks, we instead use disjoint sewing along several points to formulate Sewing–Factorization Theorem, and then transform self-sewing to disjoint sewing, as illustrated in Figure 1. A special case of Sewing-Factorization Theorem for Figure 1 is

$$CB\bigg(\mathbb{W} \biguplus \bigg(\mathbb{W}) \bigg) \overset{\longleftarrow}{\longleftarrow} \int_{\mathbb{M} \in \mathrm{Mod}(\mathbb{V})} \mathbb{M} \otimes_{\mathbb{C}} \mathbb{M}' \bigg) \simeq CB\bigg(\mathbb{W} \biguplus \bigg(\mathbb{W}) \bigg)$$

where both sides denote the space of conformal blocks. This point of view is closely related to the construction of nonsemisimple modular functors in topological field theory [Lyu95, Lyu96], especially the horizontal composition of profunctors, as in [HR24]. Therefore, in our formulation, the ends and coends naturally appear as in TFT approaches. This leads to a connection between the space of conformal blocks and topological modular functors [GZ25a].

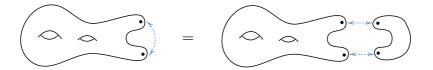


Figure 1 Transforming self-sewing to disjoint sewing

3 Gainutdinov-Runkel conjecture

In joint work with Bin Gui [GZ25c, GZ25b], we explain how this perspective relates to pseudo-*q*-traces, thereby resolving Question 1. In particular, we prove a conjecture

of Gainutdinov-Runkel [GR19], which asserts that the space of vacuum torus conformal blocks is isomorphic to the space of symmetric linear functionals (SLF) on $\operatorname{End}_{\mathbb{V}}(\mathbb{G})$ via the pseudo-q-trace construction, where $\mathbb{G} \in \operatorname{Mod}(\mathbb{V})$ is a projective generator.

The strategy is as follows:

• By applying the Sewing–Factorization Theorem, we show that the end

$$\mathbb{E} = \int_{\mathbb{X} \in \operatorname{Mod}(\mathbb{V})} \mathbb{X} \otimes \mathbb{X}' \in \operatorname{Mod}(\mathbb{V} \otimes \mathbb{V})$$

carries the structure of an associative algebra, and that the category of suitable \mathbb{E} -modules is linearly isomorphic to $\operatorname{Mod}(\mathbb{V})$.

- Again using the Sewing–Factorization Theorem, we establish that the space of vacuum torus conformal blocks is isomorphic to SLF(E).
- The pseudo-trace construction with respect to the $\mathbb{E}-\mathrm{End}_{\mathbb{V}}(\mathbb{G})^{\mathrm{op}}$ bimodule \mathbb{G} [GZ25c] yields an isomorphism

$$SLF(End_{\mathbb{V}}(\mathbb{G})) \xrightarrow{\simeq} SLF(\mathbb{E}).$$
 (1)

Composing the Sewing–Factorization isomorphism with (1) gives the desired isomorphism.

4 Non vector-bundle structure for nodal conformal blocks in logarithmic CFT

In algebraic geometry, conformal blocks for nodal curves play a crucial role in the study of vector bundles over the moduli space [TUY89, NT05, DGT24]. The most recent advance, due to [DGT24], establishes that the spaces of conformal blocks form a vector bundle over $\overline{\mathcal{M}}_{g,N}$. Their proof relies on a factorization result for smooth conformal blocks obtained via infinitesimal smoothing of nodal curves.

In my recent work [Zha25], I showed that the above result on vector bundles does not hold in the irrational/non-semisimple case. More precisely, if \mathbb{V} is an \mathbb{N} -graded, C_2 -cofinite VOA admitting a module that is not generated by its lowest weight subspace, then the spaces of conformal blocks associated to certain \mathbb{V} -modules do *not* form a vector bundle over $\overline{\mathcal{M}}_{0,N}$. In particular, the end \mathbb{E} is not isomorphic to the mode transition algebra defined by [DGK25a, DGK25b] as an object of $\mathrm{Mod}(\mathbb{V}^{\otimes 2})$.

Many C_2 -cofinite VOAs admit modules that are not generated by their lowest weight subspaces—for instance, the triplet algebras W_p for $p \in \mathbb{Z}_{\geq 2}$ and the even symplectic fermion VOAs SF_d^+ for $d \in \mathbb{Z}_+$. Consequently, my result applies to these examples.

5 Proposed research

It is well-known that: the proof of Verlinde conjecture and rigidity in rational CFT relies heavily on the fact that the modular *S*-transform coincides with the categorical *S*-transform [Hua08a, Hua08b]. In fact, this is the most difficult part in Huang's proof. Therefore, it is natural to consider the following open problem in logarithmic CFT:

Question 2. Prove that at least when V is strongly finite and rigid, the categorical S-transform coincides with the modular S-transform.

To answer Question 2, we need to solve the following problems.

• Describe the space of torus conformal blocks via (co)ends. This is done by Sewing-Factorization Theorem: the space of torus conformal blocks is isomorphic to $\operatorname{Hom}_{\mathbb{V}}(\mathbb{L},\mathbb{M})$, where

$$\mathbb{L} = \boxtimes_{\mathrm{HLZ}} \big(\int_{\mathbb{X} \in \mathrm{Mod}(\mathbb{V})} \mathbb{X} \otimes \mathbb{X}' \big)$$

is called the **Lyubashenko's construction**, which is isomorphic to Lyubashenko's coend when V is strongly finite and rigid.

- **Define the modular and categorical** *S***-transform.** This is done in the rigid setting. The modular *S*-transform is defined by $\tau \mapsto -1/\tau$. The categorical *S*-transform is defined by the Hopf pairing of \mathbb{L} .
- Check that the modular and categorical S-transforms are equivariant with respect to Sewing-Factorization isomorphism. This is not done yet, even when \mathbb{V} is strongly finite and rigid.

Bin Gui and I are now working on Question 2.

References

- [DGK25a] Chiara Damiolini, Angela Gibney, and Daniel Krashen. Conformal blocks on smoothings via mode transition algebras. *Comm. Math. Phys.*, 406(6):Paper No. 131, 58, 2025.
- [DGK25b] Chiara Damiolini, Angela Gibney, and Daniel Krashen. Factorization presentations. In *Higher dimensional algebraic geometry—a volume in honor of V. V. Shokurov*, volume 489 of *London Math. Soc. Lecture Note Ser.*, pages 163–191. Cambridge Univ. Press, Cambridge, 2025.
- [DGT24] Chiara Damiolini, Angela Gibney, and Nicola Tarasca. On factorization and vector bundles of conformal blocks from vertex algebras. *Ann. Sci. Éc. Norm. Supér.* (4), 57(1):241–292, 2024.
- [FS17] Jürgen Fuchs and Christoph Schweigert. Coends in conformal field theory. In *Lie algebras, vertex operator algebras, and related topics*, volume 695 of *Contemp. Math.*, pages 65–81. Amer. Math. Soc., Providence, RI, 2017.
- [GR19] Azat M. Gainutdinov and Ingo Runkel. The non-semisimple Verlinde formula and pseudo-trace functions. *J. Pure Appl. Algebra*, 223(2):660–690, 2019.
- [Gui24] Bin Gui. Convergence of sewing conformal blocks. Commun. Contemp. Math., 26(3):65, 2024. Id/No 2350007.
- [GZ23] Bin Gui and Hao Zhang. Analytic conformal blocks of C_2 -cofinite vertex operator algebras I: Propagation and dual fusion products. arXiv:2305.10180, 2023.
- [GZ24] Bin Gui and Hao Zhang. Analytic conformal blocks of C_2 -cofinite vertex operator algebras II: Convergence of sewing and higher genus pseudo-q-traces. To appear in Commun. Contemp. Math., arXiv:2411.07707, 2024.
- [GZ25a] Bin Gui and Hao Zhang. Analytic conformal blocks of C_2 -cofinite vertex operator algebras III: The sewing-factorization theorems. arXiv:2503.23995, 2025.
- [GZ25b] Bin Gui and Hao Zhang. How are pseudo-q-traces related to (co)ends? arXiv:2508.0453, 2025.
- [GZ25c] Bin Gui and Hao Zhang. Pseudotraces on almost unital and finite-dimensional algebras. arXiv:2508.00431, 2025.

- [HR24] Aaron Hofer and Ingo Runkel. Modular functors from non-semisimple 3d TFTs. Preprint, arXiv:2405.18038 [math.QA] (2024), 2024.
- [Hua05a] Yi-Zhi Huang. Differential equations and intertwining operators. *Commun. Contemp. Math.*, 7(3):375–400, 2005.
- [Hua05b] Yi-Zhi Huang. Differential equations, duality and modular invariance. *Commun. Contemp. Math.*, 7(5):649–706, 2005.
- [Hua08a] Yi-Zhi Huang. Rigidity and modularity of vertex tensor categories. *Commun. Contemp. Math.*, 10:871–911, 2008.
- [Hua08b] Yi-Zhi Huang. Vertex operator algebras and the Verlinde conjecture. *Commun. Contemp. Math.*, 10(1):103–154, 2008.
- [Hua24] Yi-Zhi Huang. Modular invariance of (logarithmic) intertwining operators. *Comm. Math. Phys.*, 405(5):Paper No. 131, 82, 2024.
- [Lyu95] Volodymyr V. Lyubashenko. Invariants of 3-manifolds and projective representations of mapping class groups via quantum groups at roots of unity. *Comm. Math. Phys.*, 172(3):467–516, 1995.
- [Lyu96] V. Lyubashenko. Ribbon abelian categories as modular categories. J. Knot Theory Ramifications, 5(3):311–403, 1996.
- [NT05] Kiyokazu Nagatomo and Akihiro Tsuchiya. Conformal field theories associated to regular chiral vertex operator algebras. I: Theories over the projective line. *Duke Math. J.*, 128(3):393–471, 2005.
- [TUY89] Akihiro Tsuchiya, Kenji Ueno, and Yasuhiko Yamada. Conformal field theory on universal family of stable curves with gauge symmetries. Integrable systems in quantum field theory and statistical mechanics, Proc. Symp., Kyoto/Jap. and Kyuzeso/Jap. 1988, Adv. Stud. Pure Math. 19, 459-566 (1989)., 1989.
- [Zha25] Hao Zhang. Non-equivalence of smooth and nodal conformal block functors in logarithmic cft. arXiv:2509.07720, 2025.

YAU MATHEMATICAL SCIENCES CENTER AND DEPARTMENT OF MATHEMATICS, TSINGHUA UNIVERSITY, BEIJING, CHINA.

E-mail: zhanghao1999math@gmail.com h-zhang21@mails.tsinghua.edu.cn